



SETUP 4.0

Small Enterprise Technology Upgrading Program

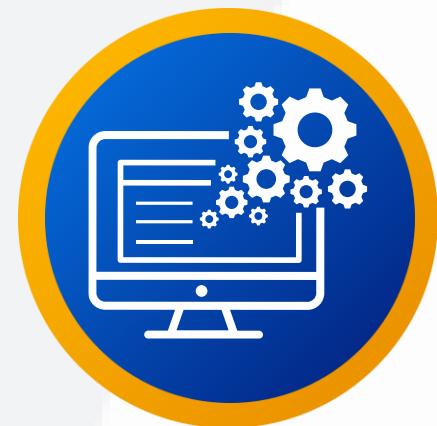


SETUP 4.0

Small Enterprise Technology
Upgrading Program

**provides industry-level and firm-level assistance
to help MSMEs attain sustainability, resilience,
and innovativeness in terms of technology
advancement, consultancy services, and other
S&T interventions**

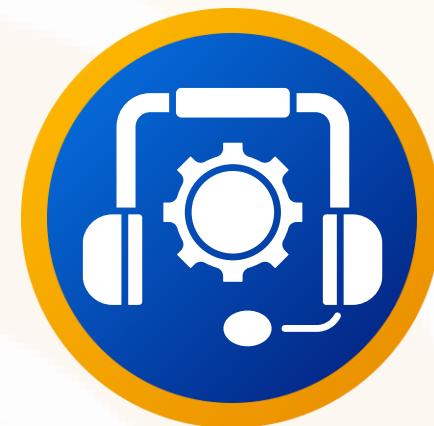
SETUP ASSISTANCE PACKAGE



**Technology
transfer and
commercialization**



**Digital
infrastructure
access assistance**



**Technical and
consultancy
services**



**Enterprise
resiliency and
continuity
assistance**

Manufacturing Productivity

Agricultural Productivity

Food Safety

Environmental Management

Machinery and Equipment Management

Product and Process Development

Management System Development

PRIORITY SECTORS

- Crop and animal production, hunting, and related service activities
- Forestry and logging
- Fishing and aquaculture
- Food processing
- Beverage manufacturing



PRIORITY SECTORS



- Textile manufacturing
- Wearing apparel manufacturing
- Leather and related products manufacturing

- Wood and products of wood and cork manufacturing
- Paper and paper products manufacturing
- Furniture manufacturing



PRIORITY SECTORS

- Rubber and plastic products manufacturing



- Fabricated metal products manufacturing



- Non-metallic mineral products manufacturing

PRIORITY SECTORS



- Machinery and equipment,
- NEC (Not Elsewhere Classified) manufacturing

- Other transport equipment manufacturing

PRIORITY SECTORS

- Chemicals and chemical products manufacturing
- Basic pharmaceutical products and pharmaceutical preparations manufacturing



- Information and communication



- Other regional priority industries approved by the Regional Development Council

Innovation Enabling Fund (iFund)

This includes funds for **technology acquisition** and **technical assistance** for qualified enterprises.

Who can apply?



enterprise or industry association must be **based and registered in the Philippines, wholly owned by Filipinos or at least 60% of its capital must be Filipino-owned**, and must intend to apply innovations to enhance products, services, or operations



A **government entity, SUC, LGU, civic society organization, or academic institutions** with attached business-like operating units, economic enterprises, income-generating projects, and other projects/activities for entrepreneurs/graduates of entrepreneurship



has no previous accountabilities with DOST-RO and Attached Agencies



Application Requirements

-  **Letter of intent** to avail SETUP assistance, stating commitment to refund the iFund support and cover the insurance cost for the acquired equipment;
-  Duly accomplished **DOST TNA Form 01**, "Application for Technology Needs Assessment Report";
-  Duly accomplished **DOST TNA Form 02**, "Technology Needs Assessment Report";
-  Proposal using the "**SETUP Form 001 – Project Proposal Format**" (Annex A-1);
-  Copy of **business permits and licenses** issued by LGUs and other appropriate government agencies;
-  **Certificate of registration with the Department of Trade and Industry (DTI), Securities and Exchange Commission (SEC) or Cooperative Development Authority (CDA)**, whichever is applicable;
-  Photocopy of the **official receipt** of the firm;
-  **Articles of Incorporation** for cooperatives and associations as proponent;

Application Requirements

-  **Board/Legislative Council Resolution** authorizing the availment of the assistance and designating authorized signatory for the funding assistance for corporations, cooperatives, SUCs and LGUs;
-  **Financial statements for the past three (3) years** for small and medium enterprises and **at least one (1) year for micro-enterprises** together with **notarized Sworn Statement** from the proponent that all information provided are correct and true;
-  **Sworn affidavit that none of the incorporators/officials or applicant is related to the approving authority** up to the third degree of consanguinity and affinity and that the proponent has no bad debt;
-  **Projected financial statements** with the number of years depending on the proposed project duration;
-  **Complete technical specifications and design/drawing/picture of equipment** to be acquired, as determined in the TNA Report (DOST TNA Form02); and
-  **Three (3) quotations from suppliers/fabricators** for each equipment to be acquired

Project Implementation

PHASE 1

6 – 12 months upon receipt of funds

- acquisition, fabrication and installation of equipment
- provision of trainings
- laboratory analysis



PHASE 2

3 – 5 years

Refund Period

REFUND (RENTAL) SCHEDULE:

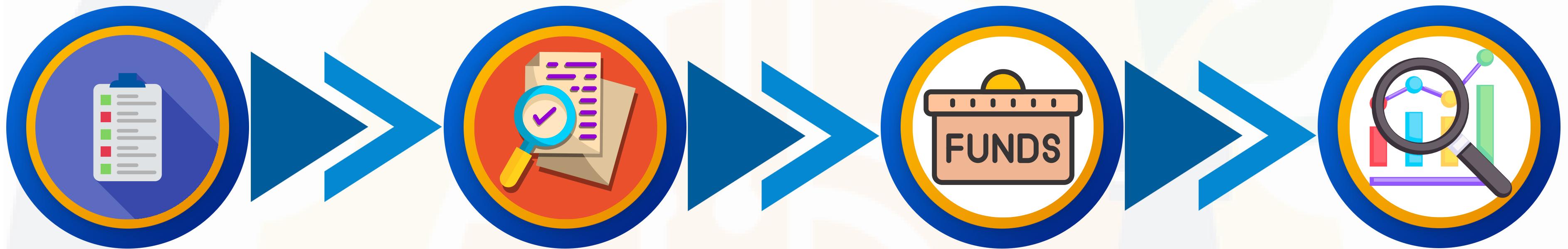
Manner of Refund (rental): Monthly – 4,444.00

Months	2022	2023	2024	2025	2026	TOTAL
January 1		1 st request for restructuring	2 nd request for restructuring	4,444	4,460	8,904
February 1	4,444			4,444	*800	9,688
March 1	4,444			4,444	4,444	13,332
April 1	4,444			4,444	4,444	13,332
May 1	4,444			4,444	4,444	13,332
June 1	4,444			4,444	4,444	13,332
July 1	4,444		4,444	4,444	4,444	17,776
August 1	4,444		4,444	4,444	4,444	17,776
September 1	4,444		2 nd request for restructuring	4,444	4,444	13,332
October 1	4,444			4,444	4,444	13,332
November 1	4,444			4,444	4,444	13,332
December 1	4,444			4,444	4,444	13,332
TOTAL	48,884	8,888	44,440	53,328	5,260	160,800

Note: Refund schedule shall be within a period of three (3) years depending on the nature/amount of project.

*Cooperator may opt to purchase all the equipment at the end of the refund period at 0.5 % of the equipment acquisition cost equivalent to PhP 800.00.

Proposal Processing



1 Proposal Submission

Letter of Intent



Technology Needs Assessment



Submission of Application Requirements

2 Proposal Evaluation/ Review / Approval

- completeness and truthfulness of all documentary requirements
- financial and technical viability of the project
- financial capacity of the proponent to sustain refund payment

3 Release of Funds

Funds are released once all documentary requirements are reviewed and validated by the RPMO and Accountant

4 Monitoring & Evaluation

During the entire duration of their refund period, clients are being monitored based on their productivity and whether or not they make use of the equipment they procured.

SETUP Success Stories



Bahaghari Global Food, Inc.

Provision of Mechanized Packaging Equipment for Improved Production of Export Quality Banana Chips

Brgy. Guinhawa, Pinamalayan, Oriental Mindoro

Date of Implementation:

November 2019 – October 2021

DOST Funding: **Php 3,000,000.00**



Red Gate Farm Supplies

Upgrading Feed Milling Equipment of Red Gate Farm Supplies

Brgy. Sta. Maria, Gloria, Oriental Mindoro

Date of Implementation:

Phase I (May 2016–April 2017)

Phase II (November 2019 – October 2020)

DOST Funding: **Phase I – Php 1,825,000.00**

Phase II – Php 3,000,000.00



WTL Enterprises

Technology Upgrading of White Shrimp Production Enterprise

Brgy. Don Pedro Mansalay, Oriental Mindoro

Date of Implementation:

November 2019 – October 2020

DOST Funding: **PhP 3,000,000.00**

SETUP Success Stories



Hatulan Engineering Works and Machine Shop

Improved Engine Reconditioning Services through Provision of Innovation Support System

Calapan City, Oriental Mindoro

Date of Implementation: January 2018 – December 2018

DOST Funding: Php 2,000,000.00



Tinnie's Food Products

Updating Productivity and Marketability of a Local Peanut Butter

Brgy. Dapawan, Odiongan, Romblon

Date of Implementation: 2014 & 2019

DOST Funding: Php 300,000.00

Bahag Footwear and Repair Shop

Technology Upgrading for Improved Footwear Production Enterprise Brgy. Pinamalayan, Oriental Mindoro

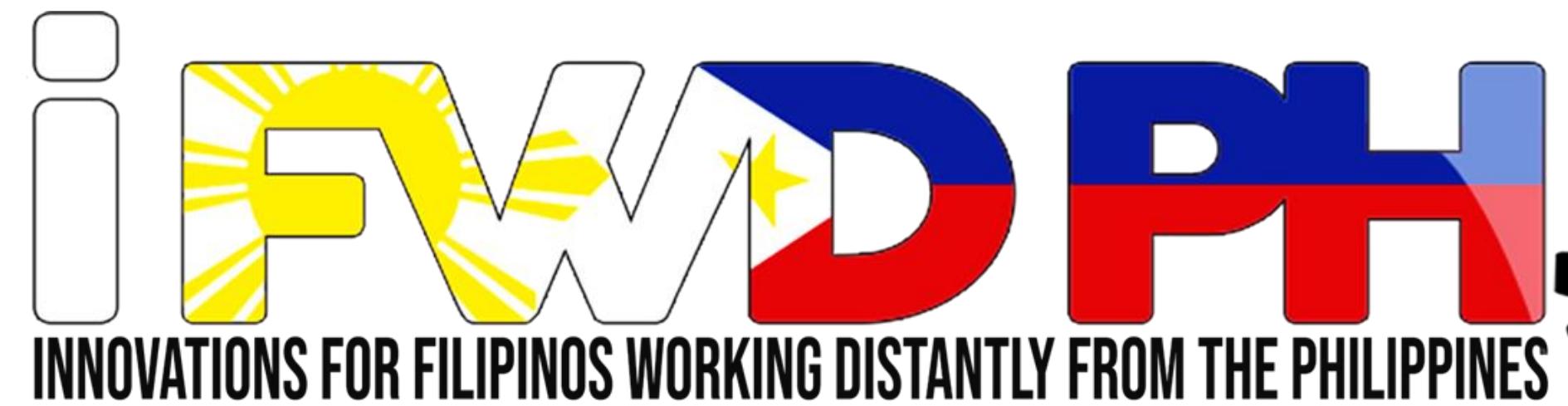
Date of Implementation: March 2017 – February 2018

DOST Funding: Php 600,000.00





iFund may also assist qualified iFWD PH customers in commercializing innovative products and processes.



**programa ng DOST na
naglalayong mabigyan ang mga
OFWs at ang kanilang pamilya
ng oportunidad na magkaroon
ng technology-based na
negosyo**



TULONG PARA SA OFW-OWNED ENTERPRISE

Assistance Package

-  Capability building on business ideation, enterprise development, and business management
-  Provision of DOST technical trainings
-  Technical assistance and consultancy services
-  Technology Business Incubators
-  DOST facilities for product development and production for market testing
-  Advisory support on product marketing
-  Marketing assistance through oneSTore.ph, bazaars, fairs and exhibits
-  Business matching activities with other program cooperators
-  Innovation funding assistance





PHASE 1

**CAPACITY BUILDING ON
TECHNOLOGY-BASED BUSINESS
IDEATION AND DEVELOPMENT**

PHASE 1

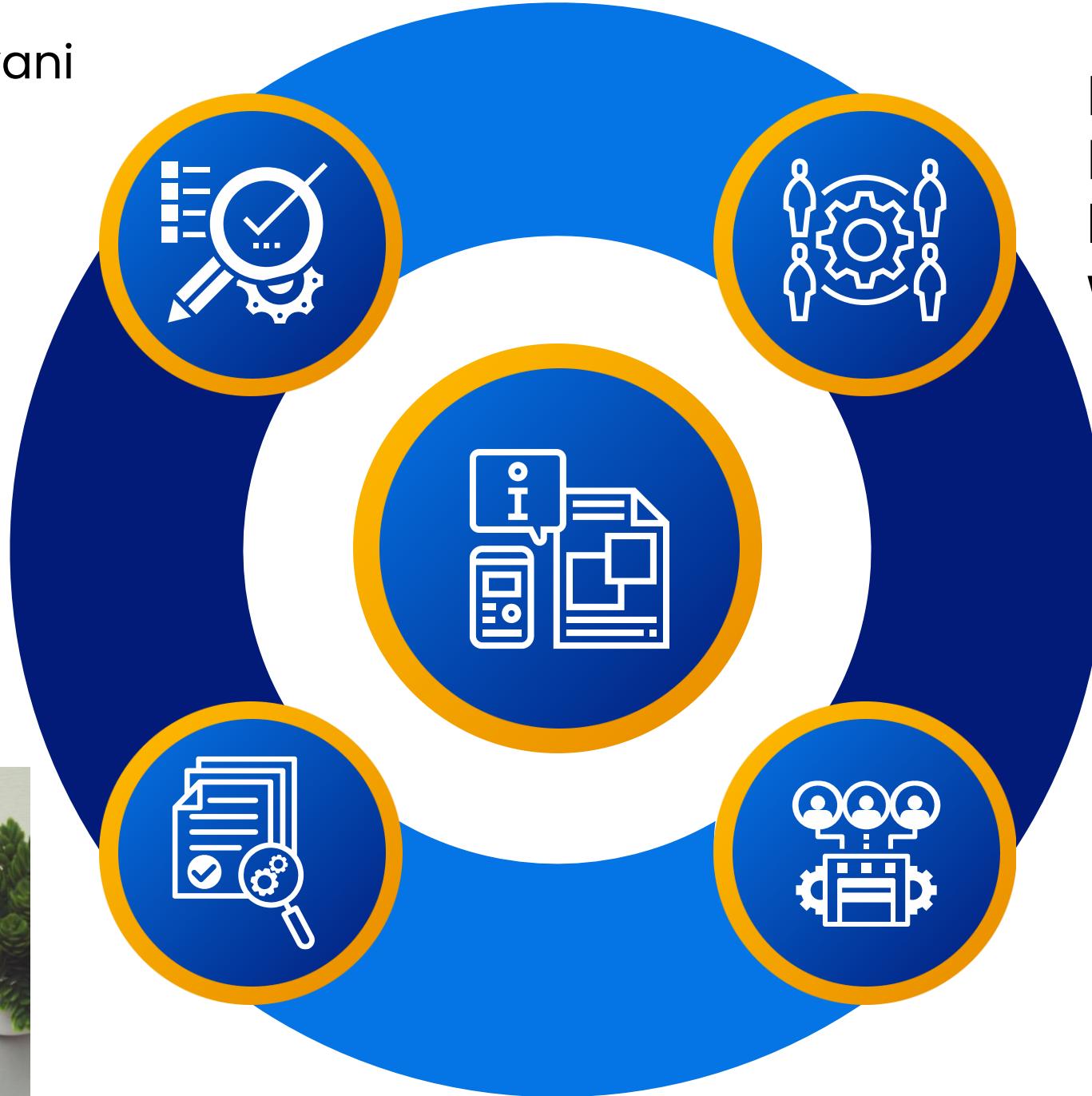
Capacity Building on Business Ideation and Management

Technology Pitching

TechnoLokal Para sa Makabagong Bayani



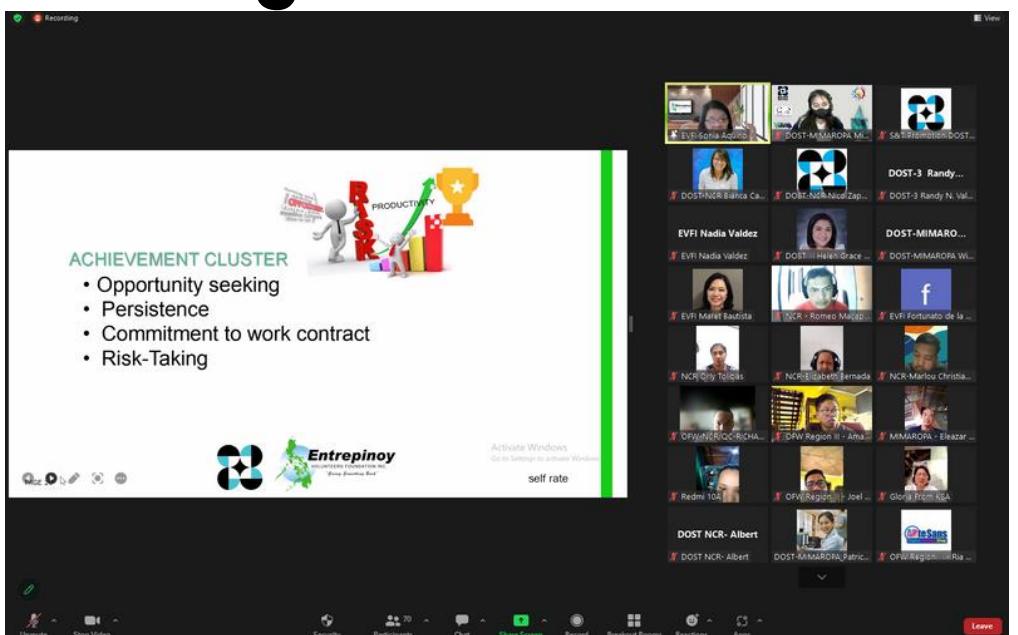
Proposal Writeshop



Early-Stage Business Ideation with TBIs



Core Business Development Trainings



Exemptions for Phase 1

An OFW may be exempt from Phase 1 only if they meet the following criteria:

If they have **training certificates** in Business Ideation and Business Development from other agencies or NGOs, and **pass the written and oral aptitude exam** on Core Business Development.

or

If the OFW has an **existing business with legitimate documentation** for **over 3 years** and **passes the written and oral aptitude exam** on Core Business Development.



Graduates from this Phase 1 are eligible to apply to iFWD PH Phase 2: Innovation Funding Support for OFW-owned enterprise



PHASE 2

INNOVATION FUNDING SUPPORT

PHASE 2

Innovation Funding Support

What's in for Phase 2?

Php 500,000.00

Qualified OFW enterprise can apply for a maximum iFund assistance package of up to PhP 500,000.

Technical Assistance

- Technical training
- Product development
- Market testing
- Operational consultancy services
- Initial laboratory testing
- Packaging and labeling design
- Execution of packaging and labeling design for market testing
- Rental of DOST or DOST-assisted facilities for pre-operational production or product development
- Rental of co-working space with DOST-assisted Technology Business Incubators

Equipment acquisition

The OFW's **counterpart support** may include manpower, facilities, raw materials, other equipment and machinery, and other capital requirements.

OFW Enterprise Categories



Category A

An OFW who is starting a business and will adopt DOST technology.

Up to 30% (PhP 150,000.00) of iFund for technical assistance FREE OF CHARGE; and

Up to 70% (PhP 350,000.00) of iFund for equipment acquisition with REPAYMENT CONDITIONS

Refund in full at NO INTEREST, payable in 3 YEARS



Category B

An OFW who is starting a business and will use non-DOST technology.



Category C

OFW with existing business for three (3) or more years

OFW enterprise established for three (3) or more years may apply to SETUP; in which case, SETUP Guidelines will apply



Category D

OFW groups formed into a cooperative
Innovation Funding support treated as a **grant**

Up to 30% (PhP 150,000.00) of iFund for technical assistance; and

Up to 70% (PhP 350,000.00) of iFund for equipment acquisition



PWEDE BA AKO DYAN?

Entry Point Eligibility

Returned OFW

Any OFW who returned to the Philippines **within the last 5 years** upon application

OFW still abroad

Represented by an **immediate family member** in the Philippines



PAANO SUMALI?

Eligibility Requirements

- ✓ Application Form
- ✓ Copy of Overseas Employment Certificate (OEC) or any proof of overseas employment
- ✓ Conforme

Dalawang paraan upang makasali:

1

ONLINE APPLICATION

Maaaring mag-apply online sa pamamagitan ng pag-fill out ng application form na makikita sa website na ito: ifwdph.dost.gov.ph

2

MANUAL APPLICATION

Maaaring i-download ang nasabing application form mula sa website at isumite sa pinakamalapit na Provincial Science and Technology Center sa inyong mga lugar.



PARA SA KARAGDAGANG KATANUNGAN:

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