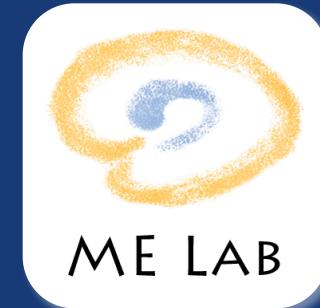




*Affective
Neuroscience and
Decision-making Lab*



@ANDlab3 *Memory and
Emotion Lab*



Towards human-compatible autonomous car: A study of non-verbal Turing test in automated driving with affective transition modelling

自动驾驶图灵测试中的情感计算初探

Presenter: Zhaoning Li 李肇宁

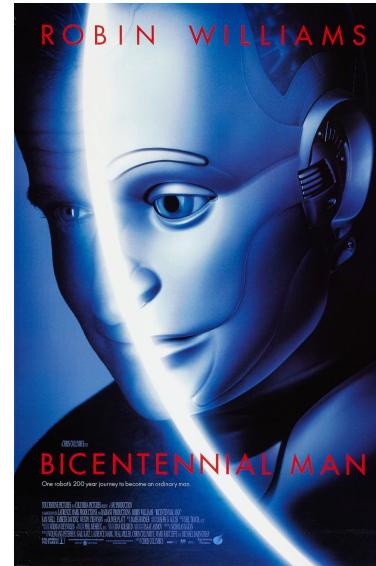
**National Doctoral Forum on Brain-Computer Intelligence and Psychology,
Zhejiang University, 2022**

PROLOGUE

‘Well, I'm human in part.’ “你哪部分是人类？”

... ‘Which part, Andrew?’ “这里，我的心！”

... ‘My mind. My heart. I may be artificial, alien, inhuman so far as your strict genetic definition goes. But I'm human in every way that counts. And I can be recognised as such legally.’



(Adapted from IMDb)

**ISAAC ASIMOV AND ROBERT SILVERBERG –
THE POSITRONIC MAN**

BACKGROUND

- Autonomous cars (AC) have the potential to increase road safety, as they can react faster than human drivers and are not subject to human errors.
- Despite the potential benefits, there has yet to be a large-scale deployment of ACs.
- One main obstacle is that these cars are not humanoid, i.e., they are not driving in a human-like manner.
- Existing literature highlights that **the acceptance of AC will increase if it drives in a human-like manner**.
- However, sparse research offers the true-to-life ride experience as a passenger in the AC that examines the human likeness of the AC.

RESEARCH QUESTION

RQ1: How to offer the naturalistic experience from a passenger's seat perspective to measure the human likeness of current autonomous cars?

RESEARCH QUESTION

How to offer the naturalistic experience from a passenger's seat perspective to measure the human likeness of current autonomous cars?

(Adapted
from
Wikipedia)



**Father of computer
science and AI**

In 1950, Alan Turing proposed the Turing test¹ to evaluate the **ascription of intelligence**, i.e., whether humans would ascribe human-like intelligent behaviour to machines.

1. A. M. Turing, "Computing machinery and intelligence," *Mind*, vol. 59, no. 236, 1950.

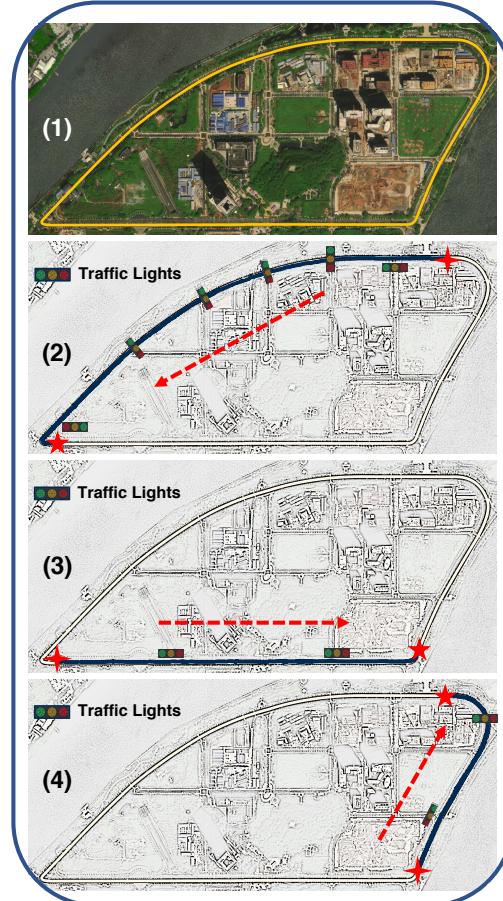
RESEARCH QUESTION

How to offer the naturalistic experience from a passenger's seat perspective to measure the human likeness of current autonomous cars?



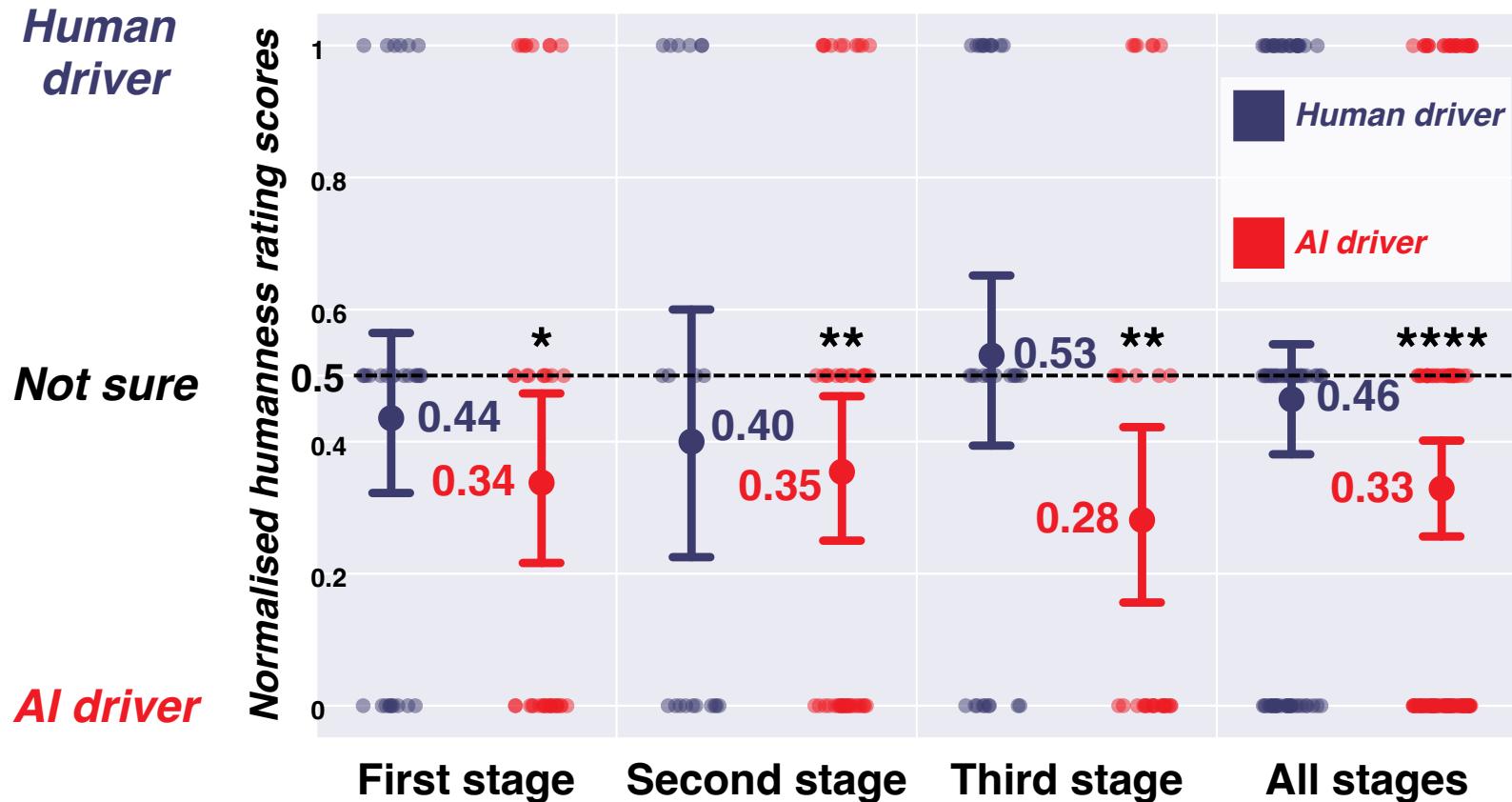
We designed a ride experience-based version of the non-verbal Turing test to evaluate the **ascription of humanness**, i.e., whether the AI driver could create a human-like ride experience for passengers, such that passengers would have either chance-level or even higher humanness ratings under the AI driver condition.

THE NON-VERBAL VARIATION OF THE TURING TEST



RESULTS OF THE NON-VERBAL VARIATION OF THE TURING TEST

Normalised humanness rating scores, their mean values and 95% confidence intervals (CI) under different conditions



The AI driver failed to pass our test because passengers detected the AI driver above chance.

RESEARCH QUESTION

- The AI driver's failure inspired us to explore further why the AI algorithm could trick human passengers in some trials and not in most others.

RESEARCH QUESTION

**RQ2: How do human passengers
ascribe humanness in the non-verbal
variation of the Turing test?**

RESEARCH QUESTION

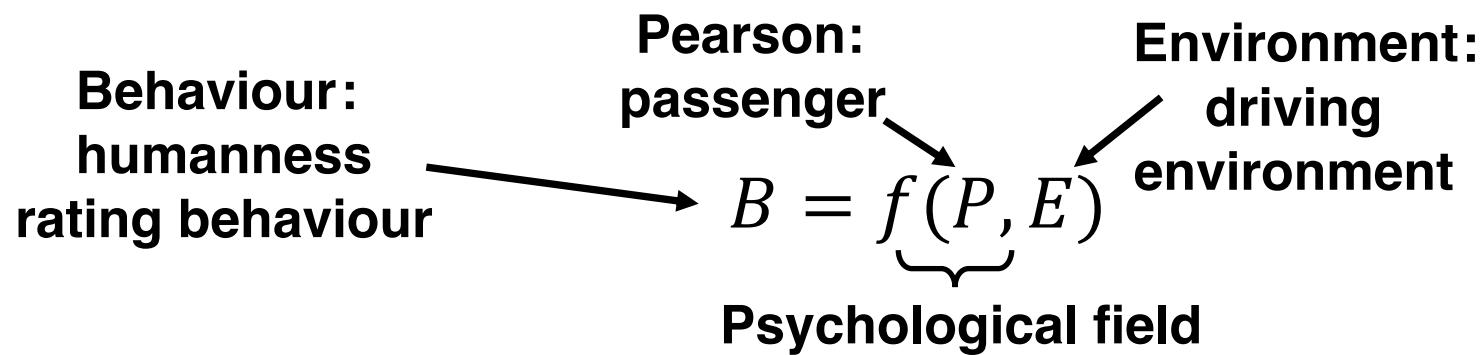
How do human passengers ascribe humanness in the non-verbal variation of the Turing test?

(Adapted from Wikipedia)



Father of modern social psychology

Lewin's Field theory ² states that a person's psychological field (i.e., the total psychological environment that the person experiences subjectively) determines their behaviour, which can be expressed by the following equation:



2. K. Lewin, *Principles of Topological Psychology*. McGraw-Hill, 1936.

RESEARCH QUESTION

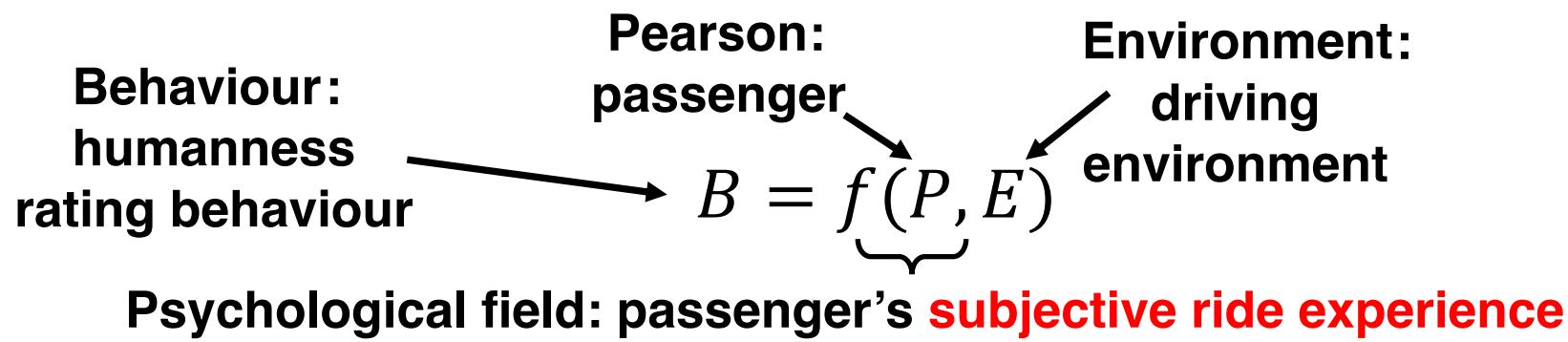
How do human passengers ascribe humanness in the non-verbal variation of the Turing test?

(Adapted from Wikipedia)



Father of modern social psychology

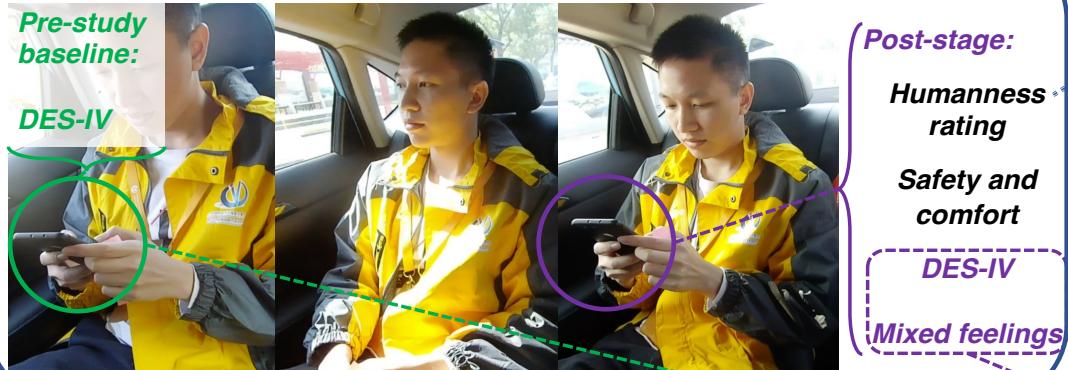
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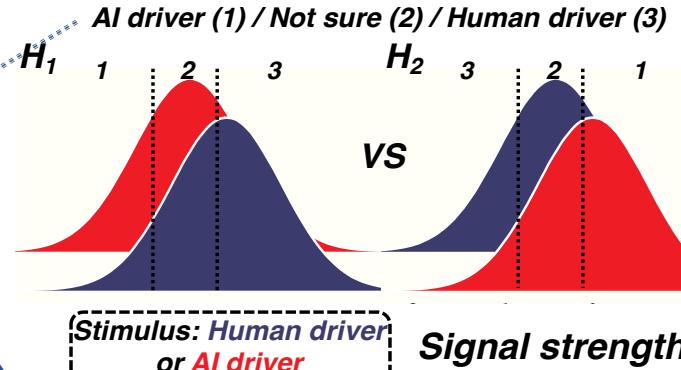
2. K. Lewin, *Principles of Topological Psychology*. McGraw-Hill, 1936.

COMPUTATIONAL MODELLING

A. Participant data



B. Signal detection theory



$$1 / 2 / 3 = \text{Signal strength distribution}$$

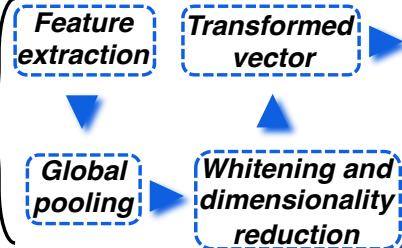
{ [], [], / }

D. Transformation

较强烈快乐 <i>Enjoyment (3/4)</i>	一点也没有恐惧 <i>Fear (1/4)</i>
较强烈兴趣 <i>Interest (3/4)</i>	一点也没有紧张 <i>Tension (1/4)</i>
较轻微惊奇 <i>Surprise (2/4)</i>	较强烈满意 <i>Satisfaction (3/4)</i>
过红绿灯时停车较急促。 <i>The car stopped more quickly at traffic lights.</i>	



Pre-trained language models



C. Affective transition



RESULTS OF THE COMPUTATIONAL MODELLING

Comparisons on the outer loop cross-validation of nested-LOOCV with baselines

(a) Evaluation results on the first stage.

Baselines	<i>AA</i>	<i>AA_{pre}</i>	<i>AA_{post}</i>	<i>PA</i>	<i>PA_{pre}</i>	<i>PA_{post}</i>	<i>NA</i>	<i>NA_{pre}</i>	<i>NA_{post}</i>
MLR	-0.1844	0.1312	0.1283	0.0988	0.1761	-0.0082	-0.0453	0.0390	0.0744
KNN	0.1431	0.0543	0.1753	0.4755****	0.2370*	-0.0669	0.0870	-0.1078	0.1129
SVC	-0.1039	-0.1027	-0.0268	0.1704	0.0431	-0.0932	0.0780	0.0340	-0.0578
RF	-0.0654	0.1239	-0.0122	0.1125	0.1245	-0.2744	0.0688	0.0586	0.1301
XGBoost	0.1794	0.4125***	0.0537	0.2188*	0.0754	0.0430	0.1013	0.1508	0.1321
MLP	0.2185*	0.3211**	-0.1391	-0.0759	0.1083	0.0953	0.0448	-0.1041	0.0342
Baselines	<i>None</i>	SDT-AT	<i>AA+MF</i>	<i>AA</i>	PA+MF	<i>PA</i>	<i>NA+MF</i>	<i>NA</i>	<i>MF</i>
Random	0.0029	Original	-0.3985	-0.3552	-0.2580	0.1738	-0.3397	0.0828	0.0990
Probability	-0.0060	PLM-wv	0.4511***	0.4152***	0.4092***	0.3939***	0.4064***	0.1359	0.3030**
Detective	0.1491	PLM-tf	0.4113***	0.4639****	0.4768****	0.3939***	0.3484**	0.1842	0.3738**

'AA' for all affect,
 'PA' for positive affect,
 'NA' for negative affect and
 'MF' for mixed feelings
 'Pre' for pre-study baseline
 and 'post' for post-stage

RESULTS OF THE COMPUTATIONAL MODELLING

Comparisons on the outer loop cross-validation of nested-LOOCV with baselines

(a) Evaluation results on the first stage.

Baselines		AA	AA _{pre}	AA _{post}	PA	PA _{pre}	PA _{post}	NA	NA _{pre}	NA _{post}
MLI										
KNN										
SVC	Baselines	AA	AA _{pre}	AA _{post}	PA	PA _{pre}	PA _{post}	NA	NA _{pre}	NA _{post}
RF	MLR	0.2752*	0.1524	-0.2298	0.1539	0.2095*	-0.1659	0.0205	0.1947	-0.1728
XGBo	KNN	0.2046*	0.3069**	-0.3189	0.1436	0.1297	-0.3123	-0.2696	-0.1486	-0.1639
MLJ	SVC	0.1061	0.0945	-0.1743	0.1270	-0.0558	-0.0776	0.0161	0.0541	0.0997
Baseline	RF	0.0416	0.3126**	-0.1799	0.2379*	0.2588*	-0.2196	0.0573	0.2087*	-0.3861
Random	XGBoost	0.0835	0.2839**	-0.2254	0.1895	0.3613**	-0.1368	-0.0965	-0.2473	-0.1788
Probabilistic	MLP	0.1986	0.1981	-0.3661	0.1302	0.3687**	-0.1213	-0.0608	-0.3048	-0.3838
Detective	Baselines	None	SDT-AT	AA+MF	AA	PA+MF	PA	NA+MF	NA	MF
	Random	0.0010	Original	0.1750	0.2409*	0.1539	0.1912	0.1865	-0.0105	0.1824
	Probability	-0.0017	PLM-wv	0.4569****	0.4195***	0.4402***	0.4635****	0.3167**	0.1703	0.4276***
	Detective	0.0394	PLM-tf	0.4375***	0.4173***	0.4545****	0.4739****	0.3528**	0.2636*	0.3578**

'AA' for all affect,
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 'MF' for mixed feelings
 'Pre' for pre-study baseline
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(b) Evaluation results on the second stage.

RESULTS OF THE COMPUTATIONAL MODELLING

Comparisons on the outer loop cross-validation of nested-LOOCV with baselines

(a) Evaluation results on the first stage.

Baselines		AA	AA _{pre}	AA _{post}	PA	PA _{pre}	PA _{post}	NA	NA _{pre}	NA _{post}	
MLI											
KNN											
SVC	Baselines	AA	AA _{pre}	AA _{post}	PA	PA _{pre}	PA _{post}	NA	NA _{pre}	NA _{post}	
RF											
XGBo	ML										
	KN										
MLJ	SV	Baselines	AA	AA _{pre}	AA _{post}	PA	PA _{pre}	PA _{post}	NA	NA _{pre}	NA _{post}
	RF										
Random	XGBoost	MLR	0.2154*	0.3482**	0.2852*	0.0593	-0.0535	0.0076	0.3994***	0.3294**	0.3954***
Probabilistic		KNN	0.1782	0.4317***	0.2630*	0.0885	0.1510	0.1899	0.3998***	0.4161***	0.3301**
Detective	ML	SVC	0.1425	0.3438**	0.2218*	-0.0157	-0.0608	0.1165	0.1932	0.1456	0.3215**
	Baseline	RF	0.1180	0.3615**	0.0360	0.0654	0.1642	0.0294	0.3397**	0.2815*	0.3244**
	Rand	XGBoost	0.2186*	0.3625**	0.1942	0.0674	0.1525	0.1175	0.3339**	0.4016***	0.2987**
	Probabil	MLP	0.1302	0.2144*	0.2740*	0.0347	0.0722	0.2187*	0.3674**	0.3126**	0.2512*
Detective	Baselines	None	SDT-AT	AA+MF	AA	PA+MF	PA	NA+MF	NA	MF	
	Random	0.0001	Original	0.1490	0.2019	0.1978	-0.0258	0.4037***	0.4245***	0.1104	
	Probability	-0.0021	PLM-wv	0.4861****	0.4556***	0.4624***	0.4322***	0.4419***	0.4256***	0.5615****	
	Detective	0.3168**	PLM-tf	0.4807****	0.4974****	0.4654****	0.4570***	0.4769****	0.4429***	0.5422****	

(b) Evaluation results on the second stage.

(c) Evaluation results on the third stage.

'AA' for all affect,
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'MF' for mixed feelings
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and 'post' for post-stage

RESULTS OF THE COMPUTATIONAL MODELLING

Comparisons on the outer loop cross-validation of nested-LOOCV with baselines

(a) Evaluation results on the first stage.

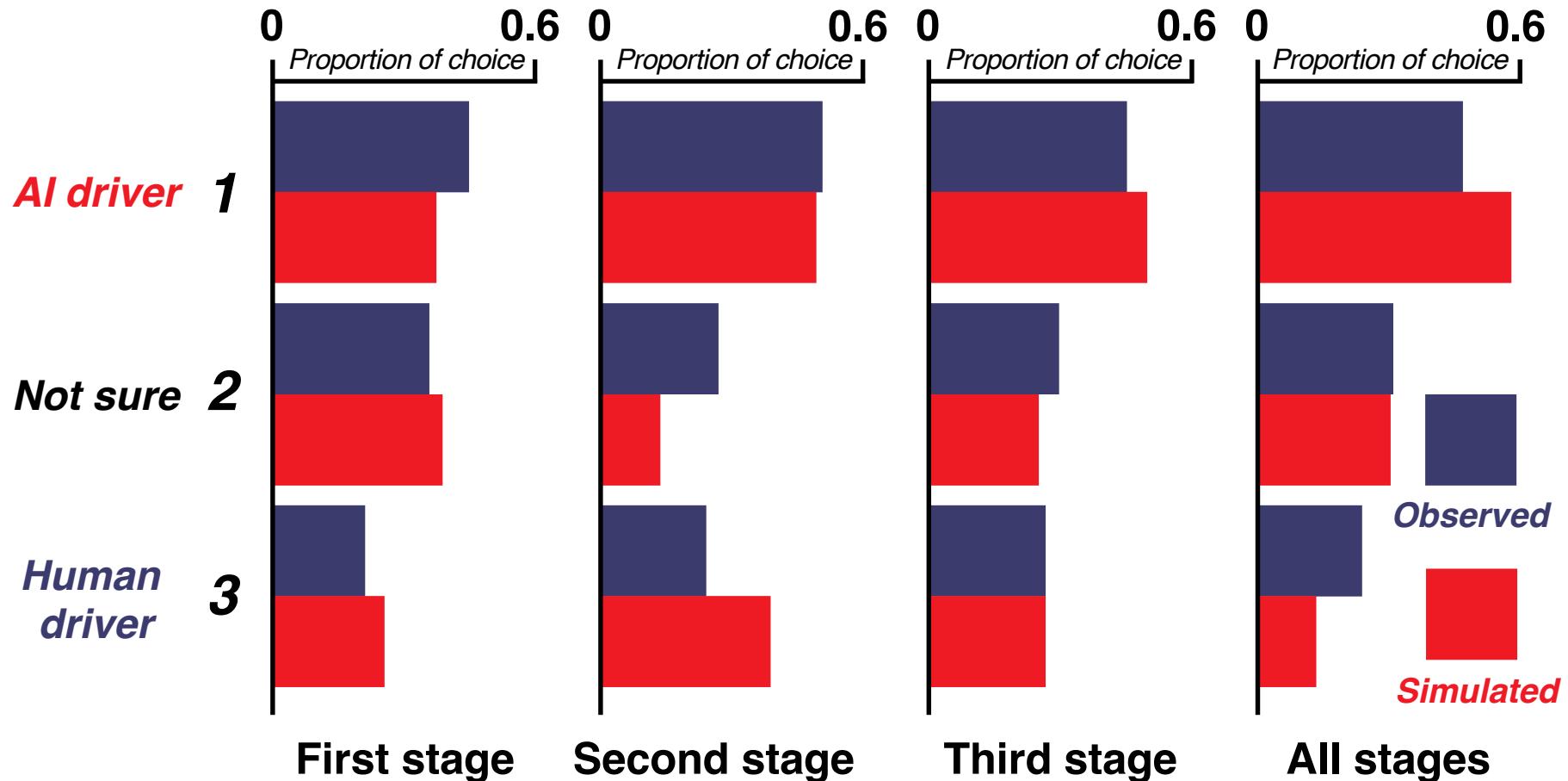
Baselines		AA	AA _{pre}	AA _{post}	PA	PA _{pre}	PA _{post}	NA	NA _{pre}	NA _{post}	
MLI											
KNN											
SVC	Baselines	AA	AA _{pre}	AA _{post}	PA	PA _{pre}	PA _{post}	NA	NA _{pre}	NA _{post}	
RF											
XGBo	ML										
	KN										
MLJ											
SV	Baselines	AA	AA _{pre}	AA _{post}	PA	PA _{pre}	PA _{post}	NA	NA _{pre}	NA _{post}	
RF											
XGBc	ML										
	KN										
MLJ											
SV	Baselines	AA	AA _{pre}	AA _{post}	PA	PA _{pre}	PA _{post}	NA	NA _{pre}	NA _{post}	
RF											
Random	XGBoost	ML									
Probabilistic	XGBoost	KN									
Probabilistic	ML										
Detective	ML										
Baselines	SV	Baselines	AA	AA _{pre}	AA _{post}	PA	PA _{pre}	PA _{post}	NA	NA _{pre}	NA _{post}
Baselines	RF	MLR	0.0573	0.1516*	0.0749	0.0543	0.1264*	0.0988	0.0931	0.1160	0.0520
Rand	XGBoost	KNN	0.0992	0.1521*	0.1198*	0.0419	0.0144	0.1216*	0.1116	0.1422*	-0.0497
Probabilistic	ML	SVC	0.0854	0.0755	0.1457*	0.0414	0.0991	0.0688	0.0467	0.0676	0.0038
Detective	Baselines	RF	0.0505	0.1308*	0.0292	0.1491*	0.0457	-0.0001	0.0117	0.0500	0.1426*
Rand	XGBoost		0.1411*	0.2586***	0.0198	0.1254*	0.1157	0.0044	0.2176**	0.1969**	0.1357*
Probabilistic	MLP		0.0952	0.1949**	0.0701	0.1349*	0.0540	0.0830	0.2037**	0.2078**	0.0842
Detective	Baselines	None	SDT-AT	AA+MF	AA	PA+MF	PA	NA+MF	NA	MF	
	Random	0.0013	Original	0.1850**	0.1816**	0.0326	0.1416*	-0.1204	0.1685**	0.0570	
	Probability	-0.0006	PLM-wv	0.2704***	0.2452***	0.2447***	0.2331***	0.2866****	0.1871**	0.5093****	
	Detective	0.1764**	PLM-tf	0.2837****	0.2879****	0.2734****	0.2878****	0.4178****	0.2004**	0.4641****	

Based on Lewin's equation, our proposed SDT-AT models provided superior within- (Table a-c) and cross-stage performance (Table d) than all other baselines, demonstrating the overall effectiveness of these models.

'AA' for all affect,
'PA' for positive affect,
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RESULTS OF THE COMPUTATIONAL MODELLING

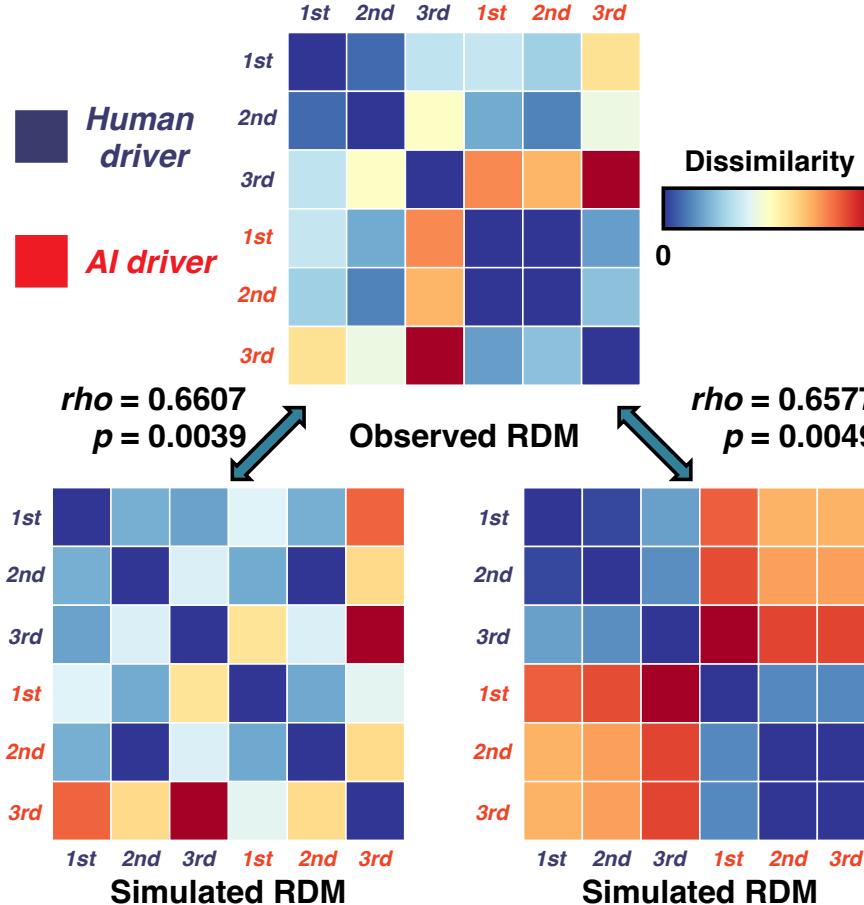
Comparisons of the proportion of humanness rating scores between empirical observations and model simulations



Our computational model accurately captured the passenger's humanness rating behaviour patterns.

RESULTS OF THE COMPUTATIONAL MODELLING

Representational similarity between empirically observed humanness rating scores and model simulations averaged over all trials

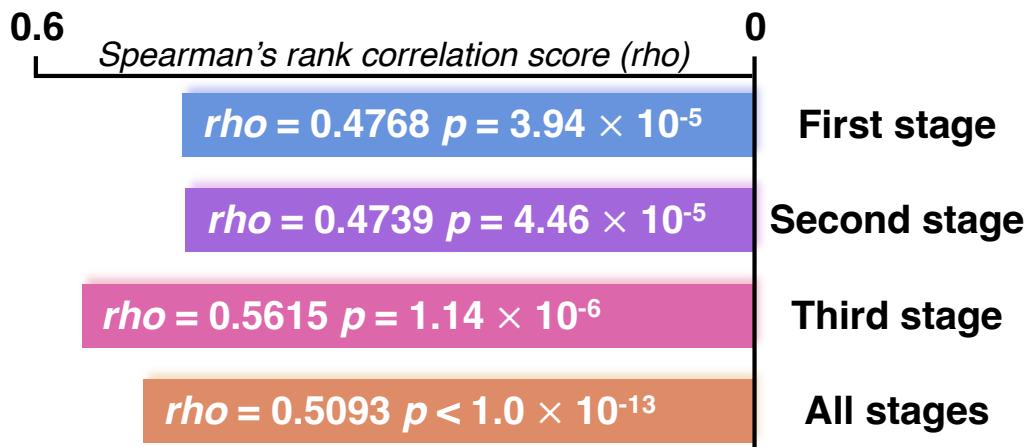


Our model exhibited the same humanness rating behaviour pattern as passengers did.

ANALYSIS

Affective transition, serving as a hypothetical essential part (i.e., P) of passengers' subjective ride experience in our model, may play a crucial role in their ascription of humanness.

Spearman's rank correlation scores between the humanness rating and the magnitude of affective transition



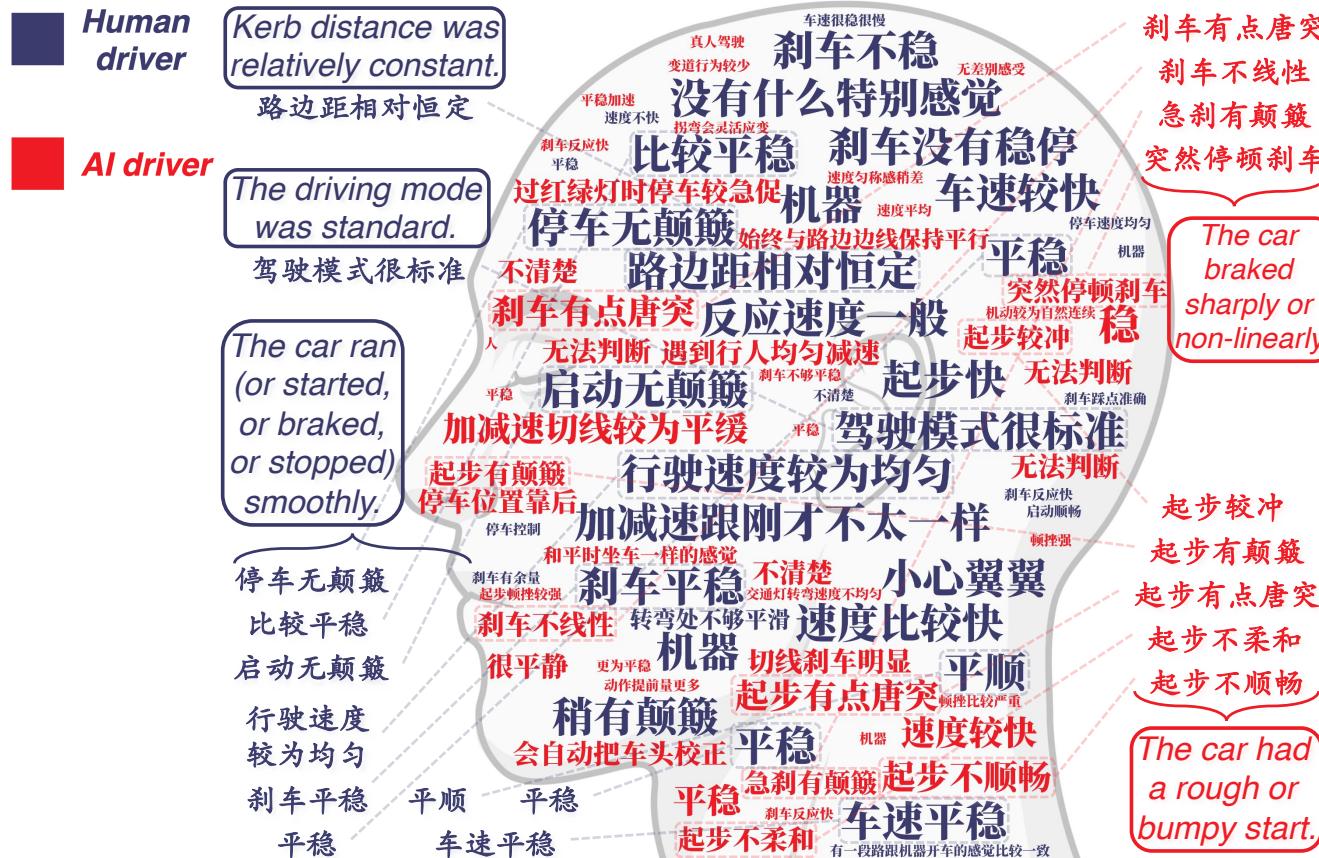
Mean changes in positive affect during the first and second stages

Conditions	ΔM	SD	z	p
<i>First stage</i>				
Human driver	0.742	2.627	1.68	0.046
AI driver	-0.622	2.803	-0.78	0.218
<i>Second stage</i>				
Human driver	0.500	1.396	1.51	0.065
AI driver	-0.375	2.983	-0.76	0.223

Enhancing positive affect may be the essence of the human-like ride experience during the starting two stages.

ANALYSIS

Word cloud displaying mixed feelings (MF) from all stages, i.e., the difference in the passenger's subjective ride experience between the two conditions



The size of each MF item is proportional (positively for the human driver condition, negatively for the AI driver condition) to the related z-scored transition from cross-stage model simulations.

The figure illustrates details of what needs to be improved for current automated driving to offer a human-like ride experience for the passenger.

DISCUSSION

- The present study examined whether the current SAE Level 4 AC could create a human-like ride experience for passengers in a real-road scenario **for the first time**. The AI driver failed to pass our test because passengers detected the AI driver above chance.
- Our proposed computational model could adequately predict passengers' humanness rating behaviour. The practical success of basing the computational modelling on Lewin's seemingly abstract and theoretical field theory speaks directly to his famous maxim that '**there is nothing as practical as a good theory**'³.
- We offer the first insights into what renders passengers' subjective ride experience truly human-like for future automated driving: **the passengers' ascription of humanness would increase with the greater affective transition**.
- Our results demonstrate the possibility and feasibility of using NLP techniques (e.g., pre-trained language models) as **adjuncts** to the interaction between social cognition and artificial intelligence to guide theorising and the generation of conceptual insights.
- Our further analysis of affective transition provided more concrete suggestions for the self-driving algorithm to offer a human-like ride experience for the passenger, e.g., improving passengers' positive affect during the starting stage and ensuring smoother starting and braking.
- We conjecture that the lack of a certain level of **mentalising ability** in the current self-driving algorithm may underlie its failure to pass our non-verbal variation of the Turing test. In this regard, our study calls for a spotlight on the importance of ensuring ACs (or **artificial social intelligence**, more broadly speaking) have at least some mentalising ability.

3. K. Lewin, "Psychology and the process of group living," *J. Soc. Psychol.*, vol. 17, no. 1, pp. 113–131, 1943.¹⁵

ACKNOWLEDGEMENT & CONTACT



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