

Transformers and Large Language Models

COMP 4630 | Winter 2025

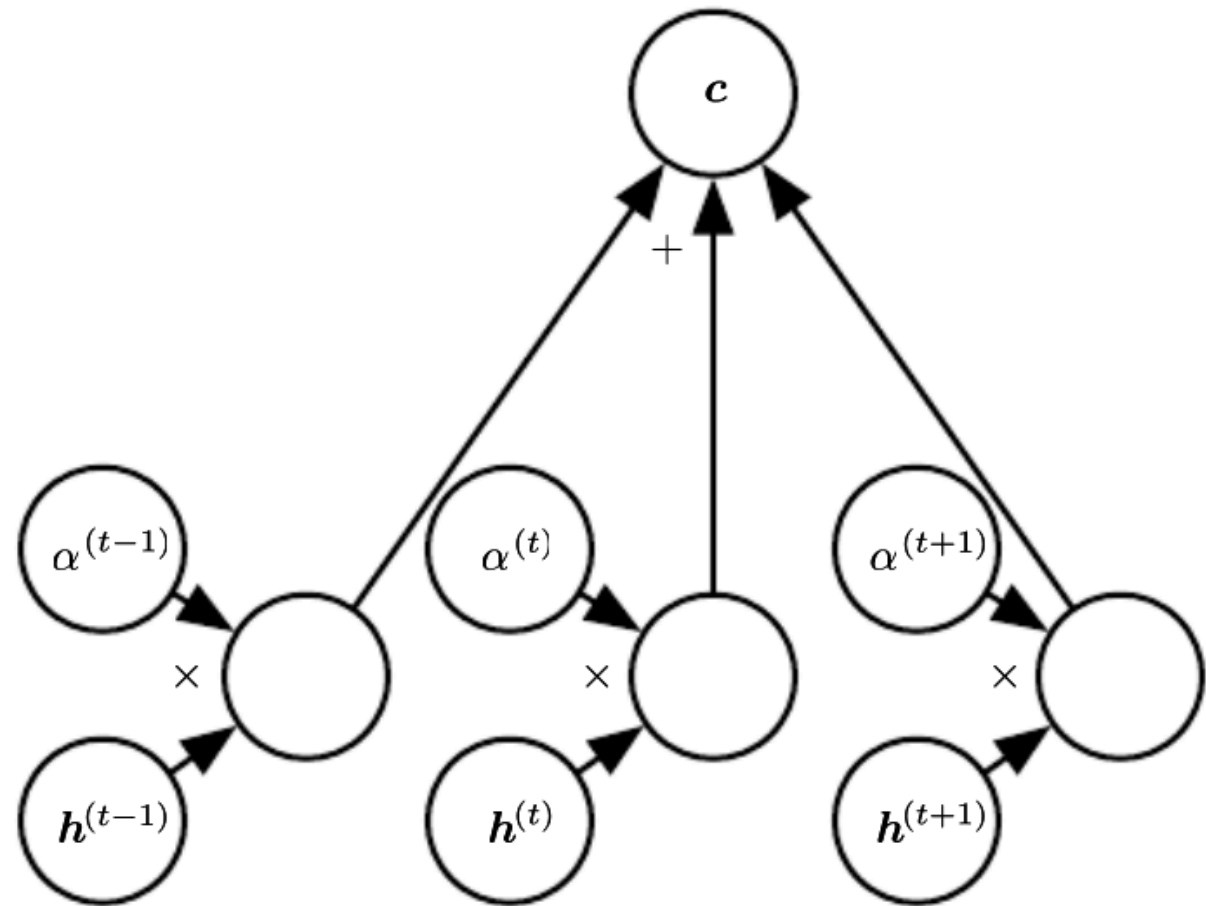
Charlotte Curtis

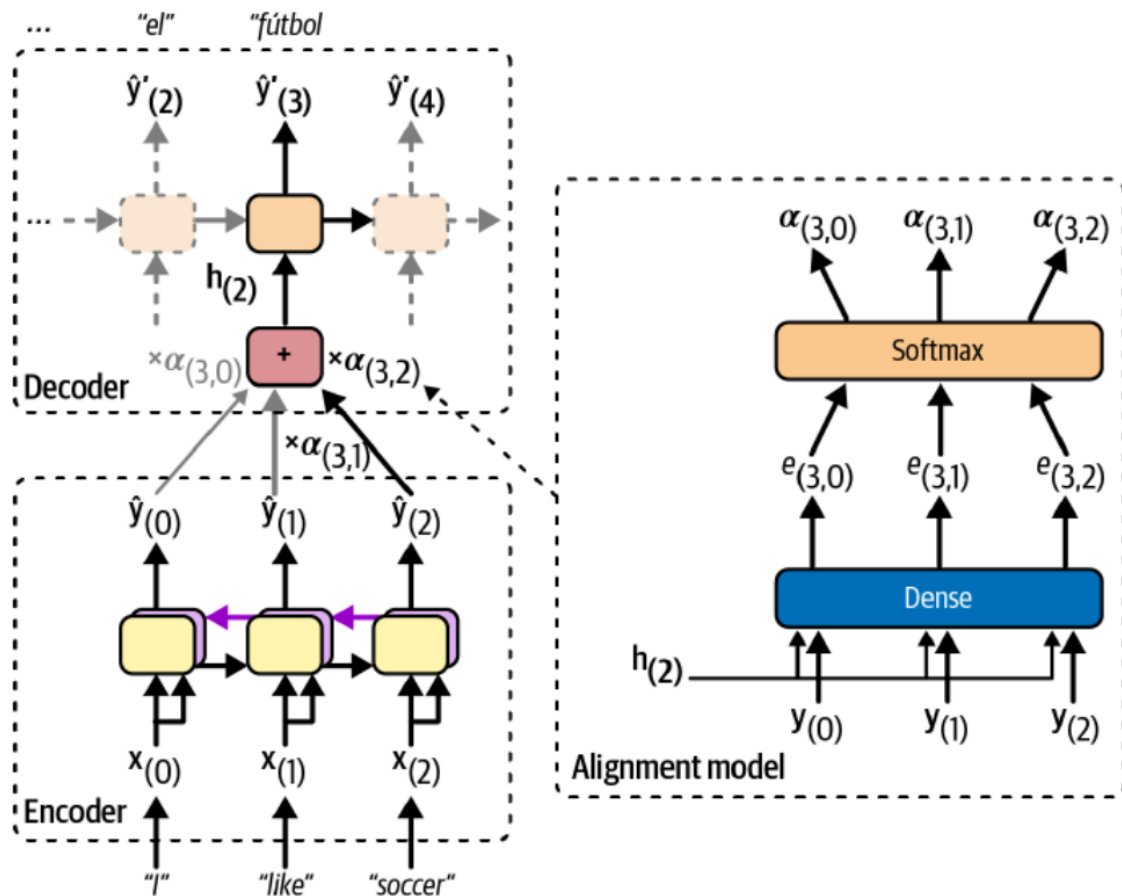
Overview

- Attention mechanisms
- Transformers and large language models
 - Multi-head attention, positional encoding, and magic
 - BERT, GPT, Llama, etc.
- References and suggested readings:
 - [Scikit-learn book](#): Chapter 16
 - [d2l.ai](#): Chapter 11

Attention Overview

- Basically a weighted average of the encoder states, called the **context**
- Weights α usually come from a softmax layer
- ? what does the use of softmax tell us about the weights?





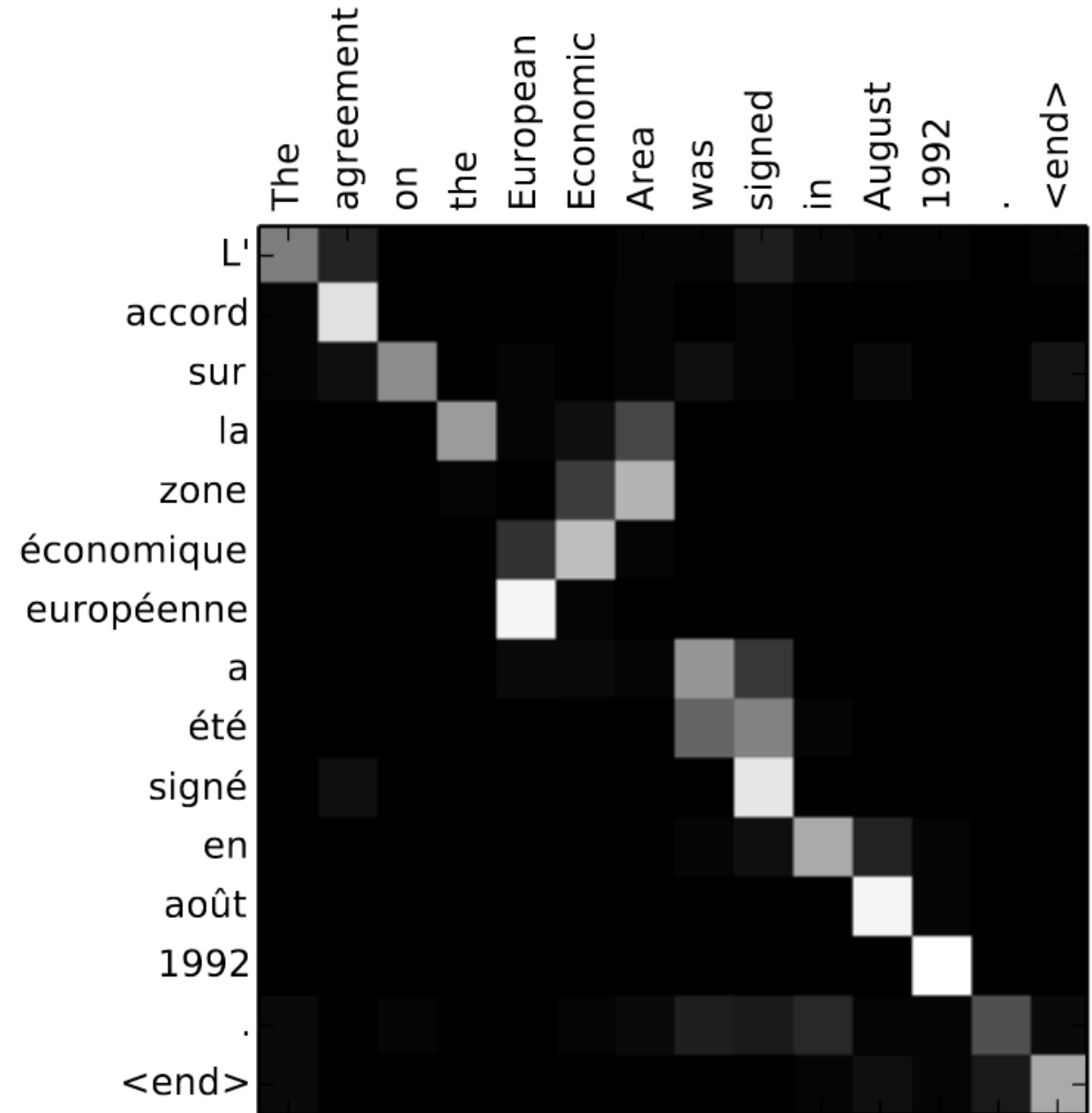
Encoder-Decoder with Attention

- The **alignment model** is used to calculate attention weights
- The context vector changes at each time step!

Figure 16-7. Neural machine translation using an encoder–decoder network with an attention model

Attention Example

- Attention weights can be visualized as a heatmap
- ? What can you infer from this heatmap?



The math version

The context vector \mathbf{c}_t at each step t is computed from the alignment weights as:

$$\mathbf{c}_t = \sum_{i=1}^n \alpha_{ti} \mathbf{y}_i$$

where \mathbf{y}_i is the encoder output at step i and α_{ti} is computed as:

$$\alpha_{ti} = \frac{\exp(e_{ti})}{\sum_{k=1}^n \exp(e_{tk})},$$

where e_{ti} is the **alignment score** or **energy** between the decoder hidden state at step t and the encoder output at step i .

Different kinds of attention

- The original [Bahdanau](#) attention (2014) model:

$$e_{ij} = \mathbf{v}_a^T \tanh(\mathbf{W}_a \mathbf{h}_{i-1} + \mathbf{U}_a \mathbf{y}_j)$$

where \mathbf{v}_a , \mathbf{W}_a , and \mathbf{U}_a are learned parameters

- [Luong](#) attention (2015), where the encoder outputs are **multiplied** by the decoder hidden state (dot product) at the **current step**

$$e_{ti} = \mathbf{h}_t^T \mathbf{y}_i$$

- **?** What might be a benefit of dot-product attention?

Attention is all you need

- Some Googlers wanted to ditch RNNs
- If they can eliminate the sequential nature of the model, they can **parallelize** training on their massive GPU (TPU) clusters
- Problem: context matters!
- **?** How can we preserve order, but also parallelize training?



Transformers

- Still encoder-decoder and sequence-to-sequence
- N encoder/decoder layers
- New stuff:
 - Multi-head attention
 - Positional encoding
 - Skip (residual) connections and layer normalization

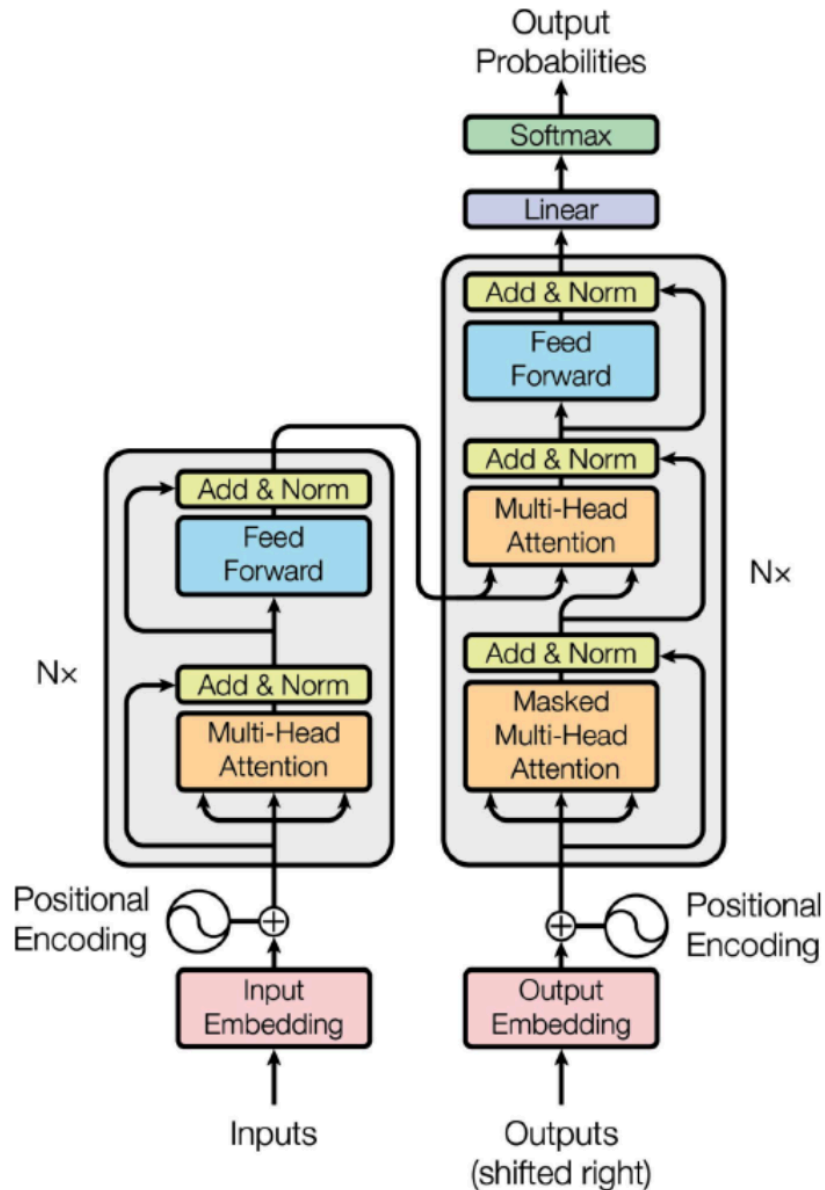
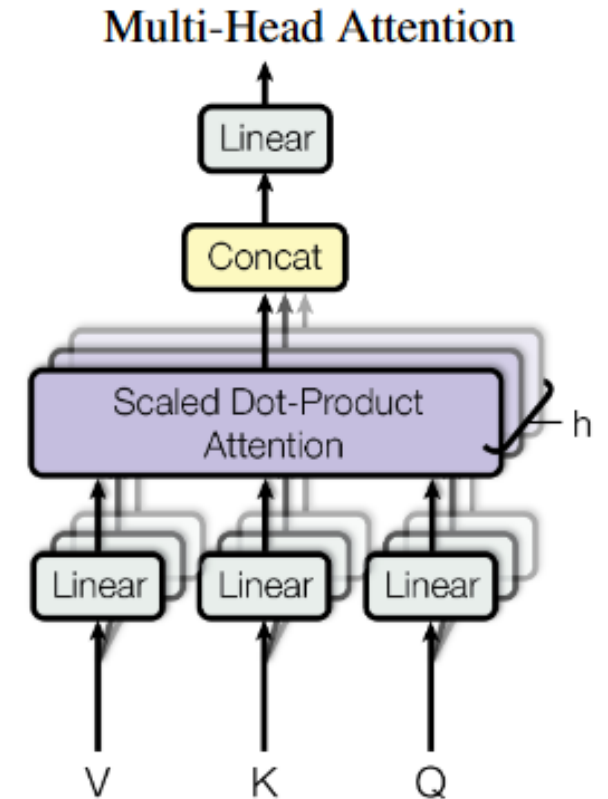


Figure 16-8. The original 2017 transformer architecture²²

Multi-head attention

- Each input is linearly projected h times with different learned projections
- The projections are aligned with independent attention mechanisms
- Outputs are concatenated and linearly projected back to the original dimension
- Concept: each layer can learn different relationships between tokens



What are V, K, and Q?

- Attention is described as **querying** a set of **key-value** pairs
- Kind of like a fuzzy dictionary lookup

$$\text{Attention}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \text{softmax} \left(\frac{\mathbf{Q}\mathbf{K}^T}{\sqrt{d_k}} \right) \mathbf{V}$$

- \mathbf{Q} is an $n_{\text{queries}} \times d_{\text{keys}}$ matrix, where d_{keys} is the dimension of the keys
- \mathbf{K} is an $n_{\text{keys}} \times d_{\text{keys}}$ matrix
- \mathbf{V} is an $n_{\text{keys}} \times d_{\text{values}}$ matrix
- The $\mathbf{Q}\mathbf{K}^T$ product is $n_{\text{queries}} \times n_{\text{keys}}$, representing the **alignment score** between queries and keys (dot product attention)

The various (multi) attention heads

- Encoder **self-attention** (query, key, value are all from the same sequence)
 - Learns relationships between input tokens (e.g. English words)
- Decoder **masked self-attention**:
 - Like the encoder, but only looks at previously generated tokens
 - Prevents the decoder from "cheating" by looking at future tokens
- Decoder **cross-attention**:
 - Decodes the output sequence by "attending" to the input sequence
 - Queries come from the previous decoder layer, keys and values come from the encoder (like prior work)
- **?** What does stacking these modules N times do?

Positional encoding

- By getting rid of RNNs, we're down to a bag of words
- **Positional encoding** re-introduces the concept of order
- Simple approach for word position p and dimension i :

$$\text{PE}(p, 2i) = \sin \left(\frac{p}{10000^{2i/d}} \right)$$
$$\text{PE}(p, 2i + 1) = \cos \left(\frac{p}{10000^{2i/d}} \right)$$

- resulting vector is **added** to the input embeddings
- **?** Why sinusoids? What other approaches might work?

Interpretability

- The [arXiv version](#) of the paper has some fun visualizations
- This is Figure 5, showing learned attention from two different heads of the encoder self-attention layer



A smattering of Large language models

- [GPT \(2018\)](#): Train to predict the next word on a lot of text, then **fine-tune**
 - Used only masked self-attention layers (the decoder part)
- [BERT \(2018\)](#): Bidirectional Encoder Representations from Transformers
 - Train to predict missing words in a sentence, then **fine-tune**
 - Used unmasked self-attention layers only (the encoder part)
- [GPT-2 \(2019\)](#): Bigger, better, and capable even without fine-tuning
- [Llama \(2023\)](#): Accessible and open source language model
- [DeepSeek \(2024\)](#): Significantly more efficient, but accused of being a distillation of OpenAI's models

Hugging Face

- [Hugging Face](#) provides a whole ecosystem for working with transformers
- Easiest way is with the `pipeline` interface for inference:

```
from transformers import pipeline  
nlp = pipeline("sentiment-analysis") # for example  
nlp("Cats are fickle creatures")
```

- Hugging Face models can be fine-tuned on your own data, but for that you'll need to use the `transformers` library

**And now for something completely different:
Reinforcement learning**
