Natural Language Processing

COMP 4630 | Winter 2025

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Overview

- Text to tokens
- Tokens to embeddings
- Embeddings to predictions
- References and suggested reading:
 - Scikit-learn book: Chapter 16
 - Deep Learning Book: Chapter 12

Tokenization

- Consider the sentence:
 - "The cat sat on the mat."
- This can be split up into individual words or **tokens**:

```
["The", "cat", "sat", "on", "the", "mat", "."]
```

- ? what other ways could we tokenize this sentence?
- ? what about punctuation, capitalization, etc.?

RNN + tokens: predict the next character

- Just like predicting the next day's weather or stock price, we can predict the next character in a sentence using an RNN
- Input tokens: ['T', 'h', 'e', ' ', 'c', 'a', 't', ' ', 's', 'a', 't', ' ', 'o', 'n', ' ', 'h', 'e', ' ', 'm', 'a', 't', '.']
- Numeric representation: [20, 8, 5, 0, 3, 1, 20, 0, 19, 1, 20, 0, 15, 14, 0, 20, 8, 5, 0, 13, 1, 20, 2]
- We could train an RNN model to predict the next character
- For more info check out Andrej Karpathy's blog post, one of the sources for the Scikit-learn chapter

Repeatedly predicting the next character

- To predict whole sentences from a starting point, we can predict the next character and append it to the input, then predict again
- In practice this tends to get stuck in loops:

```
Input: "to be or not"
Output: "to be or not to be or not to be or not..."
```

- ? how might we avoid this?
- ? could we just predict the next whole word instead?

In the beginning, there were n-grams

- A simple way to represent text is as a bag of n-grams
- unigram: single words (aka "Bag of Words"):

```
["the", "cat", "sat", "on", "the", "mat"]
```

• bigram: pairs of words:

```
["the cat", "cat sat", "sat on", "on the", "the mat"]
```

• trigram: triples of words:

```
["the cat sat", "cat sat on", "sat on the", "on the mat"]
```

Predictive text with n-grams

• Given a sequence of tokens, we can predict the probability of the nth token given the previous n-1 tokens:

$$P(x_1,\ldots,x_{ au}) = P(x_1,\ldots,x_{ au-1}) \prod_{t=n}^{r} P(x_t|x_{t-n+1},\ldots,x_{t-1})$$

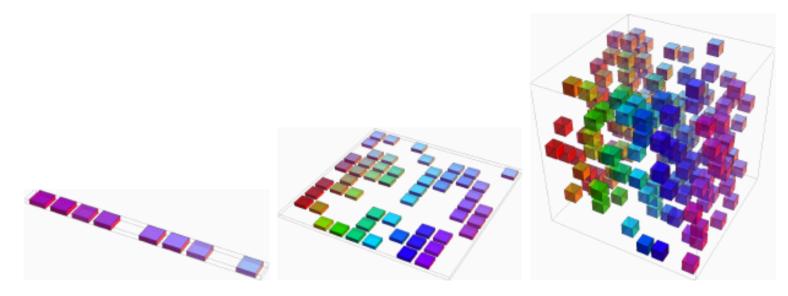
- ullet Each of these conditional probabilities can be estimated from the frequency of the $n\text{-}\mathrm{grams}$ in a $oldsymbol{\mathrm{corpus}}$
- The most likely next word is the one with the highest probability
- ? What are some limitations of this approach?

n-grams challenges

- *n*-grams lose the meaning of words:
 - "The cat sat on the mat"
 - "The dog sat on the rug"
- Also subject to the curse of dimensionality
 - \circ Vocabulary $\mathbb V$ with size $|\mathbb V|$ leads to $|\mathbb V|^n$ possible n-grams
 - \circ Most n-grams will not be present in the corpus!
- Language models need to be able to generalize
- ? How can we represent words in a way that captures their meaning?

Side note: The curse of dimensionality

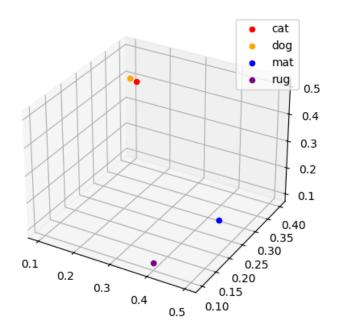
- ullet Data is often represented as n samples with p features each
- ullet As p increases, the number of samples required to cover the space increases exponentially
- Also called $p\gg n$ problem



Word embeddings

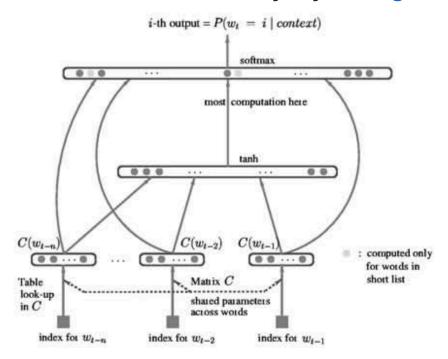
- Solution: represent individual words as vectors, or **embeddings**
- ? How are these embeddings defined?

Word	Embedding		
cat	[0.2,	0.3,	0.5]
dog	[0.1,	0.4,	0.4]
mat	[0.5,	0.2,	0.2]
rug	[0.4,	0.1,	0.1]



Learning word embeddings

- Wednesday we'll discuss the influential Word2Vec paper
- The concept was first presented successfully by Bengio in 2001



To be continued...