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Supervisor's statement

Hereby I confirm that the presented thesis was prepared under my supervision and that it fulfils the requirements for the degree of Master of Computer Science.

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Hereby I declare that the presented thesis was prepared by me and none of its contents was obtained by means that are against the law.

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Abstract

W pracy przedstawiono prototypową implementację blabalizatora różnicowego bazującą na teorii fetorów σ-ρ profesora Fifaka. Wykorzystanie teorii Fifaka daje wreszcie możliwość efektywnego wykonania blabalizy numerycznej. Fakt ten stanowi przełom technologiczny, którego konsekwencje trudno z góry przewidzieć.

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Tytuł pracy w języku polskim

Tytuł po polsku

Contents

Introduction

Blabalizator różnicowy jest podstawowym narzędziem blabalii fetorycznej. Dlatego naukowcy z całego świata prześcigają się w próbach efektywnej implementacji. Opracowana przez prof. Fifaka teoria fetorów σ-ρ otwiera w tej dziedzinie nowe możliwości. Wykorzystujemy je w niniejszej pracy.

Chapter 1

Preliminaries

1.1. Structures

A simple graph G is a pair (V, E) where V denotes a set of vertices and E denotes a set of undirected edges. Let $deg_G(v)$ denote a degree of vertex v in graph G which is the number of adjacent vertices. Let $G \setminus v$ be the abbreviation for $G' = (V(G) \setminus \{v\}, E(G) \setminus \{(u, v) : u \in V(G)\})$. For a set $X \subseteq V(G)$ we define by $G[X]$ the graph induced by vertices from X. A graph P_k is a path of length k. A *tree* T is a connected graph which has exactly $|V(T)| - 1$ edges. A *spanning tree* T of a graph G is a tree which includes all of the vertices of G, with the minimum possible number of edges. A *star* S is a tree of size at least 2 for which at most one vertex has a degree greater than 1. A vertex in a *star* that has the greatest degree is called a *center* while the others are called *rays*. A *star* of size 2 has two *candidates* to become a *center*.

1.2. Parameterized complexity

Definition 1.1. *A* parameterized problem *is a language* $L \subseteq \Sigma^* \times \mathbf{N}$*, where* Σ *is a fixed, finite alphabet. For an instance* $(x, k) \in L$*,* k *is called the parameter.*

Definition 1.2. *For a parameterized problem* Q*, an* FPT algorithm *is an algorithm* $\mathcal{A}$ *which, for any input* (x, k)*, decides whether* $(x, k) \in Q$ *in time* $f(k) \cdot n^c$ *where* c *is a constant, independent of* n, k*, and* f *is a computable function.*

Definition 1.3. *A* kernel *for a parameterized problem* Q *is an algorithm* $\mathcal{A}$ *that, given an instance* $(x, k) \in Q$*, works in polynomial time and returns an equivalent instance* $(x', k') \in Q$ *such that* $|x'| + k' \leq g(k)$ *for a computable function* g*, called the* size *of the kernel.*

1.3. The W-hierarchy

As opposed to NP-complete problems, which are equivalent with respect to polynomial-time reductions, it is not clear whether or not the rule applies for hard parameterized problem. As an example, it is proven that there exists a parameterized reduction from Independent Set to Dominating Set. However, nobody has proven that the problems are interreducible. The W-hierarchy, proposed by Downey and Fellows, is an attempt to classify hard parameterized problems.

Definition 1.4. *A* B*oolean circuit is a directed acyclic graph where the nodes are labeled in the following way:*

- *every node of indegree* 0 *is an input node.*
- *every node of indegree* 1 *is an negation node.*
- *every node of indegree* ≥ 2 *is either an and-node or or-node.*

Additionally, exactly one of the nodes with outdegree 0 is labeled as the output node. The depth of the circuit is the maximum length of a path from an input node to the output node.

Assigning 0-1 values to the input nodes determines the value of every node in the obvious way. In particular, if the value of the output gate is 1 in an assignment to the input nodes, then we say that the assignment satisfies the circuit.

In Weighted Circuit Satisfiability problem, we are given a circuit C and an integer k, the task is to decide if C has a satisfying assignment of weight exactly k.

1.4. Tree decomposition

Formally, a tree decomposition of a graph G is a pair $\mathcal{T} = (T, \{X_t\}_{t \in V(T)})$ where $\mathcal{T}$ is a tree whose every node t is assigned a vertex subset $X_t \subseteq V(G)$, called a bag, such that the following three conditions hold:

(T1) $\bigcup_{t \in V(T)} X_t = V(G)$.

(T2) For every $(v, u) \in E(G)$ there exists a bag t of $\mathcal{T}$ such that $v, u \in X_t$.

(T3) For every $v \in V(G)$ the set $T_v = \{t \in V(T) : v \in X_t\}$ induces a connected subtree of T.

The width of a tree decomposition $\mathcal{T} = (T, \{X_t\}_{t \in V(T)})$, denoted as $w(\mathcal{T})$, is equal to $\max_{t \in V(T)} |X_t| - 1$. The treewidth of a graph G, denoted as $tw(G)$, is the minimal width over all tree decompositions of G.

A nice tree decomposition of a graph G is a tree decomposition $(T, \{X_t\}_{t \in V(T)})$ such that

- $X_i = \emptyset$ if i is either root or leaf.
- Every non-leaf node is of one of the three following types:
  - **Introduce node**: a node t with exactly one child t' such that $X_t = X_{t'} \cup \{v\}$ for some vertex $v \notin X_{t'}$.
  - **Forget node**: a node t with exactly one child t' such that $X_t = X_{t'} \setminus \{w\}$ for some vertex $w \in X_{t'}$
  - **Join node**: a node t with exactly two children t_1, t_2 such that $X_t = X_{t_1} = X_{t_2}$.

1.4.1. Path decomposition

A path decomposition $\mathcal{P} = (P, \{X_p\}_{p \in V(P)})$ of a graph G is a specific case of a tree decomposition. Namely, P forms a path. In addition, the pathwidth of a graph G, denoted as $pw(G)$, is the minimal width over all path decompositions of G. A nice path decomposition of a graph G is a path decomposition $(P, \{X_p\}_{p \in V(P)})$ such that there exists a root, one leaf and all the inner nodes are either an introduce node or forget node.

Chapter 2

Spanning Star Forest

In this chapter we show three different variants of the Spanning Star Forest problem. We start with its decision version. Then, we propose an algorithm working in linear time that finds an arbitrary spanning star forest. In the last section, we constrain the number of stars that a solution can have. The problem formulated in such a way turns out to be NP-complete.

2.1. Decision Spanning Star Forest problem

For a given graph G, we say that S is a spanning star forest if S is a subgraph of G that contains all vertices of G and every connected component of S is a star. In decision version Spanning Star Forest problem given a graph G, the objective is to determine whether there exists a spanning star forest.

It turns out that the problem formulated in such a way is relatively simple. Although, various variants described in this paper make it more complex. The following lemma easily clarifies all the concerns about its hardness.

Lemma 2.1. *A graph G has a spanning star forest if and only if it does not contain any isolated vertices.*

Proof. If G has a spanning star forest S, then trivially for all $v \in V(G)$ $1 \leq deg_S(v) \leq deg_G(v)$. Thus, none of the vertices is isolated.

For the opposite direction, we prove the lemma by induction on $|V(G)|$. Assume $|V(G)| = 2$. The statement trivially holds because a graph consisting of one edge and two vertices is a correct spanning star forest. Let $|V(G)| > 2$. Suppose that for every vertex v, graph $G \setminus v$ has an isolated vertex. Then, it holds that for all $v \in V(G)$ $deg_G(v) = 1$. Thus, G consists of a set of disjoint edges which is a correct spanning star forest. Now, suppose contrary, that there exists a vertex v such that $G \setminus v$ has no isolated vertices. From the inductive assumption, let S be a spanning star forest of a graph $G \setminus v$, u be a vertex such that $(u, v) \in E(G)$ and $w \in N_S[u]$. Consider the two following cases:

1. Suppose u is a ray. It implies that w is a center and $deg_S(w) \geq 2$. Then, $S' = \big(V(S) \cup \{v\}, (E(S) \cup \{(u, v)\}) \setminus \{(u, w)\}\big)$ is a correct solution for graph G.

2. Otherwise, u is either a candidate or a center. Then, $S' = \big(V(S) \cup \{v\}, E(S) \cup \{(u, v)\}\big)$ is a correct solution for graph G.

□

Application of Lemma 2.1 yields the following result for Decision Spanning Star Forest problem.

Theorem 2.1. Decision Spanning Star Forest *problem can be solved in linear time.*

Proof. Given a graph $G = (V, E)$ the answer is YES if for all $v \in V(G)$ $deg_G(v) \neq 0$ and NO otherwise. □

2.2. Spanning Star Forest Problem

In this section we focus on obtaining an arbitrary solution for a given instance of Spanning Star Forest problem. Firstly, let us introduce two claims which help to normalize an instance and make the algorithm more clear to the reader.

Claim 2.1. *Family of disjoint spanning star forest is a spanning star forest.*

Claim 2.2. *G has a spanning star forest if and only if its spanning tree T has.*

The first claim can be trivially proven by the definition of a spanning star forest while the second one follows directly from Lemma 2.1. Equipped with this information, all that is left to do, is to design an algorithm which solves the problem for trees.

Data: Connected graph G
Result: spanning star forest of G
$T \leftarrow SpanningTree(G)$;
$S \leftarrow \emptyset$;
for *v: postorder(T) and v is not a root* **do**
  if $v \notin V(S)$ **then**
    $S \leftarrow S \cup \{(u, v)\}$ where $u = parent(v)$;
  end
end
$v \leftarrow root(T)$;
if $v \notin V(S)$ **then**
  $S \leftarrow S \cup \{(u, v)\}$ where u is a child of v;
end
return S;

Algorithm 1: Obtaining a spanning star forest from a connected graph.

Firstly, the algorithm creates a spanning tree T. Then, it does a simple bottom-up traversal. If the current node v has not been added to the solution yet, the algorithm adds it together with its parent. If the root has not been added to the solution during the for loop, we add an arbitrary edge to the solution which finishes the algorithm. If the input graph is not connected, we run the algorithm separately on each component and then merge results based on claim 2.1.

There is one non-trivial operation that the algorithm does. Specifically, if the root has not been added during the for loop, we connect the root to any existing star without checking whether it remains a correct star.

Proposition 2.1. *If $(u_1, u_2), (u_2, u_3) \in E(S)$, $u_2 = parent(u_1)$ and $u_3 = parent(u_2)$, then u_3 is the root.*

Proof. Suppose contrary, that u_3 is not the root. Then, both (u_1, u_2) and (u_2, u_3) have been added during the for loop. Contradiction because u_2 has been added to the solution during u_1's iteration and the algorithm should not have added the edge (u_2, u_3). Thus, u_3 is the root. □

From the Proposition 2.1 we infer that no two consecutive parents are added to the solution unless the last node is the root. It means that every root's child is either a center or a candidate. Thus, adding an arbitrary root's edge is a correct step in the algorithm. Moreover, there are no paths of length greater than 2. If there was one, it would mean that the root is connected to two different vertices which is false.

Lemma 2.2. *Algorithm 1 is correct.*

Proof. Clearly, S is a forest. So, it suffices to check that there are no isolated vertices and the solution does not induce a path of length 3. The first claim is true because we enumerate over all vertices and pair them up with its parent if a vertex has not been added to the solution in previous iteration. So is the second claim based on the information inferred from Proposition 2.1. □

Having proven the correctness of Algorithm 1 we proceed to the complexity analysis.

Theorem 2.2. *A solution for* Spanning Star Forest *problem can be found in linear time.*

Proof. An arbitrary spanning tree of any graph can be found in linear time. The main loop has $n-1$ iterations (every vertex is processed once), each of which takes constant time. Thus, the total runtime is linear. □

The problem stated without any constraints is simple. Both decision and normal version of the problem can be solved in linear time. The next variations investigated in this paper yield more complex results.

2.3. Maximal Spanning Star Forest problem

In Maximal Spanning Star Forest problem, given a graph G and a natural number k, the objective is to determine whether there exists a spanning star forest S such that the number of connected components is at most k.

It is natural to ask whether one can find a solution that minimizes the number of connected components. The problem formulated in that way looks slightly different than the previous one. From the other hand, the problem resembles Dominating Set Problem, which is defined as follows:

Definition 2.1. Dominating Set Problem*: Given a graph G and a positive integer k find a set D such that $|D| \leq k$ and every vertex from the graph is adjacent to one of the vertices from D.*

At first glance, one can say that a center is related to a dominating vertex whereas ray is related to dominated vertex. Candidates might be represented by either a dominating or dominated vertex. However, we cannot finish our research at this point because in Dominating Set Problem isolated dominating vertices are allowed and some vertices are dominated by multiple neighbors.

To give a systematic parameterized reduction between these two problems, we need to get a better understanding of Dominating Set Problem.

Definition 2.2. *Given an instance (G, k) of Dominating Set Problem that does not contain any isolated vertices and a solution D, a* domination mapping *is a function $m : V(G) \setminus D \to D$ such that satisfies $(x, m(x)) \in E(G)$ for all $x \in Dom(m)$.*

Lemma 2.3. *Let G be a graph without isolated vertices and let D be a dominating set of minimal size. Then, there exists a domination mapping m such that m is surjective.*

Proof. Let m be a dominating mapping that maximizes $|im(m)|$. If m is surjective, then the proof is finished. Otherwise, there exists a vertex v such that $v \notin im(m)$. Consider the following cases::

1. Suppose $N_G(v) = \emptyset$. Contradiction, G has no isolated vertices.
2. Suppose $u \in N_G(v) \cap D$. Contradiction, D was said to be a solution of minimal size whereas $D \setminus \{u\}$ is a valid, smaller solution.
3. Suppose $u \in N_G(v) \setminus D$ and $w = m(u)$. If $|m^{-1}(w)| = 1$, then $((D \setminus \{v, w\}) \cup u)$ is a valid, smaller solution for a graph G. Contradiction.
4. Suppose $u \in N_G(v) \setminus D$ and $w = m(u)$. If $|m^{-1}(w)| > 1$ then a mapping:

$$m'(x) = \begin{cases} v, & \text{if } x = u \\ m(x), & \text{otherwise} \end{cases}$$

   is a valid mapping that satisfies $im(m) \subsetneq im(m')$. Thus, one can create a new mapping m'' inductively such that m'' is surjective. Contradiction, we assumed that no such mapping exists.

Since all the first three cases led to a contradiction and the last one shows how to inductively expand the image of a domination mapping, we may conclude that there exists a domination mapping m such that m is surjective. □

Armed with the lemma, we are ready to prove the main theorem of the section.

Theorem 2.3. Maximal Spanning Star Forest *problem is NP-Complete.*

Proof. Membership in NP: The witness is a spanning star forest S. The verifier checks if all the vertices from input graph are included in S, there are no isolated vertices and S does not induce a P_3.

We show hardness by a reduction from Dominating Set Problem that completes the proof. Let (G, k) be an instance of it. We create a graph G' as follows: for every isolated vertex $v \in V(G)$ introduce a vertex v' and an edge (v, v'). Now, we claim that (G, k) is a YES-instance for Dominating Set Problem if and only if $(G'.k)$ is a YES-instance for *Spanning Star Forest Problem* parameterized by the number of stars.

The backward implication is simple. Suppose S is a solution for (G', k). We claim that a set D representing centers of stars is a correct *Dominating Set*. Obviously $|D| \leq k$ because there are at most k connected components. Every vertex from G' is adjacent to one of the centers. If there exists a vertex $v' \in D$ such that $v' \notin V(G)$ we transform the solution as follows: $D := (D \setminus \{v'\}) \cup \{v\}$.

To prove the forward implication, let D be a solution of minimal size for (G, k). Obviously, D is also a minimal solution for a graph G'. Thus, by lemma 2.3. there exists a mapping m that is surjective. Now, we claim that a graph $S = (V(G'), \{(x, m(x)) : x \in Dom(m)\})$ is a correct solution for Spanning Star Forest problem. Trivially, there are no isolated

vertices in S. Moreover, there is no path of length 4 because S consists of edges (v, u) such that $v \in D$, $u \notin D$ and for all $u \in V(S) \setminus D$ $deg_S(u) = 1$.

□

The theorem implies that *Spanning Star Forest Problem* parameterized by the number of stars is as hard as Dominating Set Problem. Thus, we can immediately obtain the following corollary.

Corollary 2.1. Spanning Star Forest Problem *parameterized by the number of stars is* W[2]-complete.

The problems look so similar that one could ask whether the reverse reduction is true. Indeed, with a small twist to the previous idea one can prove the reverse reduction instantly.

Theorem 2.4. *There exists a reduction from* Spanning Star Forest Problem *parameterized by the number of stars to* Dominating Set Problem.

Proof. Let (G, k) be an instance of Spanning Star Forest problem. We create an instance (G', k') for *Dominating Set* as follows: let $G' = G$ and if G contains an isolated vertex, then $k' = 0$. Otherwise, the value remains the same. Now, we claim that (G, k) is a YES-instance for Spanning Star Forest problem if and only if (G', k') is a YES-instance for *Dominating Set*.

To prove the following reduction one can use the method which was described in Theorem 2.4 with a little remark: if an instance (G, k) contains an isolated vertex, then obviously it is a NO-instance for Spanning Star Forest problem and so is (G', k') for *Dominating Set* because G' is not an empty graph. □

One can observe now the immediate corollary of the theorem 2.3 and theorem 2.4.

Corollary 2.2. *Every theorem is true for* Dominating Set Problem *if and only if it is true for a* Spanning Star Forest Problem *parameterized by the number of stars.*

As an example, the following theorem described in can be transfered to *Spanning Star Forest Problem* parameterized by the number of stars.

Theorem 2.5. *Unless* CNF-SAT *can be solved in time* $\mathcal{O}^*((2 - \epsilon')^n)$ *for some* $\epsilon' > 0$ *there do not exist constant* $\epsilon > 0$, $k \geq 3$ *and an algorithm solving* Dominating Set Problem *parameterized by the number of stars in time* $\mathcal{O}^*(N^{k-\epsilon})$, *where* N *is the number of vertices of the input graph.*

Chapter 3

Spanning Star Forest Extension Problem

In this chapter, we significantly change the problem. Let G be a graph and $F \subseteq E(G)$. In the *Spanning Star Forest Extension Problem* the question that we want to answer now is that whether there exists a spanning star forest S such that $F \subseteq E(S)$. Hardness of the problem lays in deciding which end of every isolated edge is a center and which one is a ray. We used three different parameters: number of isolated edges, number of non-isolated edges and treewidth.

3.1. General overview

3.1.1. Instance normalization

Observe that a star is a primitive structure. The star's maximal radius is equal to 3. It means that we can look at the problem rather locally than globally. Notice that this time we do not have any limit on the number of connected components. As it was said before, the hardness of the problem lays in choosing which of the endings of an isolated edge is a center. Thus, it might be possible to normalize instances i.e. try to remove vertices that are "far enough" from isolated vertices.

Let (G, F) be an arbitrary instance of *Spanning Star Forest Extension Problem*. Firstly, consider trivial cases.

Reduction 1 If graph G contains an isolated vertex, it is a NO-instance.

Reduction 2 If in graph G there exists a path of size at least 3 made from isolated edges, it is a NO-instance.

Suppose that isolated edges form a star of size at least 3. Then, the center is already set. Thus, we can remove from the instance all the vertices that are adjacent to the pre-created centers.

Reduction 3 $C = \{v : |N_G(v) \cap V(F)| > 1\}$. Update $G = G \setminus (N_G(C) \cup C)$.

Now, let $V_P = \{v : (v, u) \in (E(G) \setminus F)$ and $v \notin V(F)\}$ and $V_{NP} = V(G) \setminus V_P$. Finally, $G_{NP} = G[V_{NP}]$ and $G_P = G[V_P]$. Notice an immediate consequence of the partitioning of graph G.

Claim 3.1. *G_P always has a solution.*

To prove the claim we can apply lemma 2.1. G_P does not have any isolated edges nor isolated vertices. All that is left to do is to prove that edges between G_P and G_{NP}, that were lost during partitioning, does not have any effect on the solution. The following theorem proves the intuition.

Lemma 3.1. *An instance (G, F) has a solution if and only if (G_{NP}, F) has one.*

Proof. The backward implication is trivial. Suppose S is a solution for an instance (G_{NP}, F). We can partition G into G_P and G_{NP} and find a solution, say S', for a graph G_P. Then, $S \cup S'$ is a correct solution for G.

Now, consider the forward implication. Let S be a solution for an instance (G, F). Assume contrary that there exists a vertex $v \in V(G_{NP})$ does not belong to any star. Trivially, vertices from $V(F)$ are covered. Thus, $v \in V(G_{NP}) \setminus V(F)$. If $v \in V(G_{NP}) \setminus V(F)$ it follows that for all $(v, u) \in E(G)$ $(v, u) \in E(G_{NP}))$. If it was not true, the vertex v would have been place in the graph G_P. Thus, there exists an edge $(v, u) \in E(S)$ such that $(v, u) \in E(G_{NP})$. Contradiction.

□

Ultimately, we can state the last rule.

Reduction 4 Update $G = G \setminus G_P$.

3.1.2. NP-completeness

After exhaustive application of rules, any graph has a simple structure. Let (G, F) be an instance of *Spanning Star Forest Extension Problem* after normalization. Then, G consists of vertices of two types: ones that have only edges to vertices from $V(F)$ and ones that have exactly one isolated edge (and potentially many non-isolated). Such a representation substantially simplifies further investigations. Indeed, we can prove that the problem parameterized by the number of isolated edges is NP-complete.

Theorem 3.1. Spanning Star Forest Extension Problem *is NP-complete.*

Proof. Membership in NP: Given a solution S for instance (G, F) check whether $F \subseteq S$ and whether S is a correct spanning star forest.

To prove hardness, we show a reduction from *3-SAT*. Let ϕ be an arbitrary instance. We create a graph G as follows: for every variable x_i we introduce vertices $x_i, \neg x_i$ and an isolated edge $(x_i, \neg x_i)$. For every clause c_i we introduce a vertex c_i. For every x_k (symmetrically $\neg x_k$) we introduce an edge (x_k, c_p) if and only if x_k is present in p'th clause. Now, we claim that ϕ is satisfiable if and only if (G, F) has a spanning star forest.
Backward implication: Let S be a solution for (G, F). Then, a set of centers is a correct evaluation that satisfies the formula ϕ because every clause S has a witness.
To prove the forward implication, assume there exists an evaluation σ of variables that satisfies the formula. Let $C = \{l_i : \sigma(l_i) = 1\}$. Note that C contains either x_i or $\neg x_i$. Now, let us construct a solution S. Firstly, include all the isolated edges. Then, for every vertex representing a clause c_i take a random l_j such that $l_j \in N(c_i) \cap C$ and include edge (c_i, l_j) into the solution. The operation is safe. All sets $N(c_i) \cap C$ are nonempty because there exists a witness l_k that satisfies the clause and there exists an edge between a literal and a clause. □

Surprisingly, *3-SAT* is trivially encoded in *Spanning Star Forest Extension Problem*.

Lemma 3.2. *Any CNF formula can be represented as a formula where each clause has size at most 3*

Lemma 3.3. *There exists a reduction from* Spanning Star Forest Extension Problem *to* 3-SAT.

Proof. Let (G, F) be an arbitrary normalized instance of *Spanning Star Forest Extension Problem*. We create a formula ϕ as follows: for every isolated edge (u, v) we introduce literals x and $\neg x$. For every vertex $v \in V(G) \setminus V(F)$ we introduce a clause c_v. Literal l is present in a clause c_j if and only if there exists an edge between vertices corresponding to c_i and l. Application of lemma 3.2 to ϕ produces a formula ϕ' that is a correct instance of *3-SAT*.

Proof of correctness was previously described in the theorem. We omit it for the sake of clarity. □

3.2. Parametrization by the number of isolated edges

3.3. Parametrization by the number of non-isolated edges

3.4. Parametrization by treewidth

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