

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Lighthizer, Robert Emmet

United States Trade Representative, Office of the U.S. Trade Representative

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- **Committee on Finance**
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Lighthizer, Robert Emmet [electronically signed on 01/09/2017 by Lighthizer, Robert Emmet in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kaye, Janice A, Certifying Official [electronically signed on 01/31/2017 by Kaye, Janice A in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Shaub, Walter M, Certifying Official [electronically signed on 01/31/2017 by Shaub, Walter M in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Skadden, Arps, Slate, Meagher & Flom LLP	See Endnote	Washington, District of Columbia	Law Firm	Partner	6/1985	Present
2	Family Irrevocable Trust		Washington, District of Columbia	Trust	Co-trustee	12/2012	Present
3	Executor of spouse's estate		Washington, District of Columbia	estate	Executor	6/2014	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Skadden, Arps, Slate, Meagher & Flom LLP (law firm)	N/A		partnership share	\$1,838,400
2	Skadden, Arps, Slate, Meagher & Flom LLP, anticipated partnership share	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
3	Skadden, Arps, Slate, Meagher & Flom LLP, capital account	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4	Skadden, Arps, Slate, Meagher & Flom LLP, defined benefit plan, anticipated lump sum payment	N/A	\$100,001 - \$250,000		None (or less than \$201)
5	IRA Vanguard Total Stock Market ETF (VTI)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	Skadden, Arps, Slate, Meagher & Flom LLP 401(k) Savings Plan	No			
6.1	Vanguard Federal Money Market Fund (VMFXX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.2	Vanguard Short-Term Federal Fund (VSGDX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
6.3	Vanguard Wellington Fund (VWENX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
6.4	Dodge & Cox Stock Fund (DODGX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
6.5	T Rowe Price Growth Stock Fund (PRGFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.6	Vanguard Institutional Index Fund (VIIIX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
6.7	American Funds EuroPacifi Growth Fund (RERGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.8	Vanguard FTSE All-World ex US Index Fund (VFWAX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
7	Skadden, Arps, Slate, Meagher & Flom LLP, cash benefit plan, anticipated lump sum payment	N/A	\$50,001 - \$100,000		None (or less than \$201)
8	Skadden, Arps, Slate, Meagher & Flom LLP Investment Partnership	No			
8.1	SK 98- Series C1	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.2	SK 98- Series C2	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
8.3	Project Capital 2004	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.4	Project Capital 2006	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.5	Project Capital 2008	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	The London Company Large Cap Value Account	No	\$1,000,001 - \$5,000,000	Dividends Capital Gains	\$15,001 - \$50,000
9.1	cash account	N/A	\$50,001 - \$100,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.2	Alleghany Corp stock (Y)	N/A	\$15,001 - \$50,000		
9.3	Altria Group Inc stock (MO)	N/A	\$50,001 - \$100,000		
9.4	Apple Inc stock (AAPL)	N/A	\$50,001 - \$100,000		
9.5	Bank of America Corp stock (BAC)	N/A	\$15,001 - \$50,000		
9.6	Berkshire Hathaway Inc stock (BRK)	N/A	\$50,001 - \$100,000		
9.7	BlackRock Inc stock (BLK)	N/A	\$50,001 - \$100,000		
9.8	Bristol Myers Squibb Co stock (BMY)	N/A	\$15,001 - \$50,000		
9.9	CarMax Inc stock (KMX)	N/A	\$50,001 - \$100,000		
9.10	Carnival Corp stock (CCL)	N/A	\$50,001 - \$100,000		
9.11	Chevron Corp stock (CVX)	N/A	\$15,001 - \$50,000		
9.12	Cisco Systems Inc stock (CSCO)	N/A	\$15,001 - \$50,000		
9.13	Coca Cola Co stock (KO)	N/A	\$15,001 - \$50,000		
9.14	Deere & Co stock (DE)	N/A	\$15,001 - \$50,000		
9.15	Dollar Tree Inc stock (DLTR)	N/A	\$50,001 - \$100,000		
9.16	Edgewell Personal Care Co stock (EPC)	N/A	\$15,001 - \$50,000		
9.17	FedEx Corp stock (FDX)	N/A	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.18	General Dynamics Corp stock (GD)	N/A	\$50,001 - \$100,000		
9.19	General Electric Co stock (GE)	N/A	\$15,001 - \$50,000		
9.20	Eli Lilly & Co stock (LLY)	N/A	\$50,001 - \$100,000		
9.21	Lowes Cos Inc stock (LOW)	N/A	\$15,001 - \$50,000		
9.22	Mosaic Co stock (MOS)	N/A	\$1,001 - \$15,000		
9.23	NewMarket Corp stock (NEU)	N/A	\$50,001 - \$100,000		
9.24	Nike Inc stock (NKE)	N/A	\$15,001 - \$50,000		
9.25	Norfolk Southern Corp stock (NSC)	N/A	\$15,001 - \$50,000		
9.26	O'Reilly Automotive Inc stock (ORLY)	N/A	\$15,001 - \$50,000		
9.27	Progressive Corp stock (PGR)	N/A	\$15,001 - \$50,000		
9.28	Verizon Communications Inc stock (VZ)	N/A	\$15,001 - \$50,000		
9.29	Visa Inc stock (V)	N/A	\$50,001 - \$100,000		
9.30	Wells Fargo & Co stock (WFC)	N/A	\$15,001 - \$50,000		
10	Cornerstone Concentrated 30 Investment Account	No	\$100,001 - \$250,000	Dividends Capital Gains	\$1,001 - \$2,500
10.1	Apple Inc stock (APPL)	N/A	\$1,001 - \$15,000		
10.2	American Express Co stock (AXP)	N/A	\$1,001 - \$15,000		
10.3	Boeing Co stock (BA)	N/A	\$1,001 - \$15,000		
10.4	BorgWarner Inc (BWA)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.5	Citigroup Inc stock (C)	N/A	\$1,001 - \$15,000		
10.6	Chubb Limited stock (CB)	N/A	\$1,001 - \$15,000		
10.7	Capital One Financial Corp stock (COF)	N/A	\$1,001 - \$15,000		
10.8	Cisco Systems Inc stock (CSCO)	N/A	\$1,001 - \$15,000		
10.9	Chevron Corp stock (CVX)	N/A	\$1,001 - \$15,000		
10.10	Ensco plc stock (ESV)	N/A	\$1,001 - \$15,000		
10.11	Ford Motor Co stock (F)	N/A	\$1,001 - \$15,000		
10.12	FedEx Corp stock (FDX)	N/A	\$1,001 - \$15,000		
10.13	Alphabet Inc stock (GOOGL)	N/A	\$1,001 - \$15,000		
10.14	Honeywell International Inc stock (HON)	N/A	\$1,001 - \$15,000		
10.15	McKesson Corp stock (MCK)	N/A	\$1,001 - \$15,000		
10.16	Johnson & Johnson stock (JNJ)	N/A	\$1,001 - \$15,000		
10.17	JPMorgan Chase & Co stock (JPM)	N/A	\$1,001 - \$15,000		
10.18	Lincoln National Corp stock (LNC)	N/A	\$1,001 - \$15,000		
10.19	Magna International Inc stock (MGA)	N/A	\$1,001 - \$15,000		
10.20	Microsoft Corporation stock (MSFT)	N/A	\$1,001 - \$15,000		
10.21	Norfolk Southern Corp stock (NSC)	N/A	\$1,001 - \$15,000		
10.22	Oracle Corporation stock (ORCL)	N/A	\$1,001 - \$15,000		
10.23	Parker-Hannifin Corp. stock (PH)	N/A	\$1,001 - \$15,000		
10.24	PNC Financial Services stock (PNC)	N/A	\$1,001 - \$15,000		
10.25	Royal Dutch Shell plc stock (RDSA)	N/A	\$1,001 - \$15,000		
10.26	State Street Corp stock (STT)	N/A	\$1,001 - \$15,000		
10.27	Taiwan Semiconductor stock (TSM)	N/A	\$1,001 - \$15,000		
10.28	U.S. Bancorp stock (USB)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.29	V.F. Corporation stock (VFC)	N/A	\$1,001 - \$15,000		
10.30	Western Digital Corp stock (WDC)	N/A	\$1,001 - \$15,000		
10.31	cash account	N/A	\$15,001 - \$50,000		
11	GW&K Municipal Bond Account	No	\$250,001 - \$500,000	Interest	\$15,001 - \$50,000
11.1	New York Triborough Bridge and Tunnel Authority bond	N/A	\$1,001 - \$15,000		
11.2	California General Obligation bond	N/A	\$1,001 - \$15,000		
11.3	New York State Urban Development Corporation bond	N/A	\$1,001 - \$15,000		
11.4	New York Dormitory Authority (NYU) bond	N/A	\$1,001 - \$15,000		
11.5	Nebraska Public Power bond	N/A	\$1,001 - \$15,000		
11.6	Lower Colorado River Authority Texas bond	N/A	\$1,001 - \$15,000		
11.7	New York State Environmental Facilities Corporation bond	N/A	\$1,001 - \$15,000		
11.8	New York Dormitory Authority (Columbia) bond	N/A	\$15,001 - \$50,000		
11.9	New York Dormitory Authority (NYU) bond	N/A	\$1,001 - \$15,000		
11.10	New York Dormitory Authority (Columbia) bond	N/A	\$1,001 - \$15,000		
11.11	New York Dormitory Authority (SUNY) bond	N/A	\$1,001 - \$15,000		
11.12	New York Dormitory Authority Personal Income Tax bond	N/A	\$1,001 - \$15,000		
11.13	New York City General Obligation bond	N/A	\$1,001 - \$15,000		
11.14	North Texas Tollway Authority bond	N/A	\$1,001 - \$15,000		
11.15	New York Dormitory Authority Sales Tax bond	N/A	\$1,001 - \$15,000		
11.16	New York City General Obligation bond	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.17	California General Obligation bond	N/A	\$1,001 - \$15,000		
11.18	Nassau County NY Sewer bond	N/A	\$1,001 - \$15,000		
11.19	New York Dormitory Authority bond	N/A	\$1,001 - \$15,000		
11.20	New York Dormitory Authority (Sloan-Kettering) bond	N/A	\$1,001 - \$15,000		
11.21	District of Columbia General Obligation bond	N/A	\$1,001 - \$15,000		
11.22	Reedy Creek FL Utilities bond	N/A	\$1,001 - \$15,000		
11.23	New York Metropolitan Transportation Authority bond	N/A	\$1,001 - \$15,000		
11.24	Colorado Childrens Hospital bond	N/A	\$1,001 - \$15,000		
11.25	Port Authority of NY & NJ bond	N/A	\$1,001 - \$15,000		
11.26	King County WA Sewer bond	N/A	\$1,001 - \$15,000		
11.27	Mississippi Development Bank bond	N/A	\$1,001 - \$15,000		
11.28	North Carolina State bond	N/A	\$1,001 - \$15,000		
11.29	New York City Water bond	N/A	\$1,001 - \$15,000		
11.30	Florida Department of Transportation General Obligation bond	N/A	\$1,001 - \$15,000		
11.31	Monroe County NY Industrial Development Corporation bond	N/A	\$1,001 - \$15,000		
11.32	North Texas Tollway Authority bond	N/A	\$1,001 - \$15,000		
11.33	San Antonio TX Water bond	N/A	\$1,001 - \$15,000		
11.34	New York Metropolitan Transportation Authority bond	N/A	\$1,001 - \$15,000		
11.35	New York City Transitional Future Tax bond	N/A	\$1,001 - \$15,000		

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	I will continue to participate in the firm's 401(k) Savings Plan, which is a defined contribution plan. The firm will not make further contributions after my retirement.	6/1985
2	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	I will receive a lump sum payout of the defined benefit plan on or about May 1, 2017.	6/1985
3	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	I will receive a lump sum payout of the cash benefit plan that will be rolled into IRA on or about April 1, 2017.	10/2016
4	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	Pursuant to firm policy, I will retain health insurance coverage for life.	6/1985
5	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	Pursuant to the partnership agreement, following my withdrawal from the firm, I will receive my final partnership share distribution in a lump sum payment. This payment will be calculated as of the date of my withdrawal.	6/1985
6	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	Pursuant to the partnership agreement, following my withdrawal from the firm, I will receive two equal lump sum payments of my capital account on the first and second anniversaries of my retirement. This payment will be calculated as of the date of my withdrawal.	6/1985
7	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	I will continue to participate in the firm's investment partnership. Neither I nor the firm will make any further contributions after my retirement.	6/1985

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	United States Steel Corporation	Pittsburgh, Pennsylvania	legal representation (client of Skadden, Arps, Slate, Meagher & Flom LLC)

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
2	Skadden, Arps, Slate, Meagher & Flom LLP	Washington, District of Columbia	law firm partner

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Todd Large Cap Intrinsic Value Fund	No	\$500,001 - \$1,000,000	Dividends Capital Gains	\$5,001 - \$15,000
1.1	Apple Inc stock (AAPL)	N/A	\$1,001 - \$15,000		
1.2	American International Group Inc stock (AIG)	N/A	\$1,001 - \$15,000		
1.3	Ameriprise Financial Inc stock (AMP)	N/A	\$1,001 - \$15,000		
1.4	Amgen Inc stock (AMGN)	N/A	\$1,001 - \$15,000		
1.5	Anthem Inc stock (ANTM)	N/A	\$1,001 - \$15,000		
1.6	Aon plc stock (AON)	N/A	\$1,001 - \$15,000		
1.7	BlackRock Inc stock (BLK)	N/A	\$1,001 - \$15,000		
1.8	CBS Corp stock (CBS)	N/A	\$1,001 - \$15,000		
1.9	Carnival Corp stock (CCL)	N/A	\$1,001 - \$15,000		
1.10	Citizens Financial Group Inc stock (CFG)	N/A	\$1,001 - \$15,000		
1.11	Cisco Systems Inc stock (CSCO)	N/A	\$1,001 - \$15,000		
1.12	CVS Health Corp stock (CVS)	N/A	\$1,001 - \$15,000		
1.13	Delta Air Lines Inc stock (DAL)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.14	Discover Financial Services stock (DFS)	N/A	\$1,001 - \$15,000		
1.15	Delphi Automotive PLC stock (DUPH)	N/A	\$1,001 - \$15,000		
1.16	Dow Chemical Co stock (DOW)	N/A	\$1,001 - \$15,000		
1.17	FedEx Corp stock (FDX)	N/A	\$1,001 - \$15,000		
1.18	F5 Networks Inc stock (FFCV)	N/A	\$1,001 - \$15,000		
1.19	Foot Locker Inc stock (FL)	N/A	\$1,001 - \$15,000		
1.20	Alphabet Inc stock (GOOGL)	N/A	\$1,001 - \$15,000		
1.21	Goldman Sachs Group Inc stock (GS)	N/A	\$1,001 - \$15,000		
1.22	HCA Holdings Inc stock (HCA)	N/A	\$1,001 - \$15,000		
1.23	Home Depot Inc stock (HD)	N/A	\$1,001 - \$15,000		
1.24	Intel Corp stock (INTL)	N/A	\$1,001 - \$15,000		
1.25	IPG Photonics Corp stock (IPGP)	N/A	\$1,001 - \$15,000		
1.26	Illinois Tool Works Inc stock (ITW)	N/A	\$15,001 - \$50,000		
1.27	Invesco Ltd stock (IVZ)	N/A	\$1,001 - \$15,000		
1.28	JP Morgan Chase & Co stock (JPM)	N/A	\$15,001 - \$50,000		
1.29	Lincoln National Corp stock (LNC)	N/A	\$1,001 - \$15,000		
1.30	Southwest Airlines Co stock (LUV)	N/A	\$1,001 - \$15,000		
1.31	Altria Group Inc stock (MO)	N/A	\$1,001 - \$15,000		
1.32	Marathon Petroleum Corp.stock (MPC)	N/A	\$1,001 - \$15,000		
1.33	Nvidia Corp stock (NVDA)	N/A	\$15,001 - \$50,000		
1.34	NVR Inc stock (NVR)	N/A	\$1,001 - \$15,000		
1.35	ON Semiconductor Corp stock (ON)	N/A	\$1,001 - \$15,000		
1.36	Oshkosh Corp stock (OSK)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.37	Priceline Group Inc stock (PCLN)	N/A	\$1,001 - \$15,000		
1.38	Public Service Enterprise Group Inc stock (PEG)	N/A	\$1,001 - \$15,000		
1.39	Pfizer Inc stock (PFE)	N/A	\$1,001 - \$15,000		
1.40	PNC Financial Services Group stock (PNC)	N/A	\$1,001 - \$15,000		
1.41	Phillips 66 stock (PSX)	N/A	\$1,001 - \$15,000		
1.42	Quintiles IMS Holdings Inc stock (Q)	N/A	\$1,001 - \$15,000		
1.43	QUALCOMM Inc stock (QCOM)	N/A	\$1,001 - \$15,000		
1.44	Qorvo Inc stock (QRVO)	N/A	\$1,001 - \$15,000		
1.45	State Street Corp stock (STT)	N/A	\$1,001 - \$15,000		
1.46	Stryker Corp stock (SYK)	N/A	\$1,001 - \$15,000		
1.47	AT&T Inc stock (T)	N/A	\$1,001 - \$15,000		
1.48	TE Connectivity Ltd stock (TEL)	N/A	\$1,001 - \$15,000		
1.49	Total SA stock (TOT)	N/A	\$1,001 - \$15,000		
1.50	Time Warner Inc stock (TWX)	N/A	\$1,001 - \$15,000		
1.51	Unitedhealth Group Inc stock (UNH)	N/A	\$15,001 - \$50,000		
1.52	Union Pacific Corp stock (UNP)	N/A	\$1,001 - \$15,000		
1.53	United Rentals Inc stock (URI)	N/A	\$1,001 - \$15,000		
1.54	United Technologies Corp stock (UTX)	N/A	\$1,001 - \$15,000		
1.55	Verizon Communications Inc stock (VZ)	N/A	\$1,001 - \$15,000		
1.56	Whirlpool Corp stock (WHR)	N/A	\$1,001 - \$15,000		
1.57	Wyndham Worldwide Corp stock (WYN)	N/A	\$1,001 - \$15,000		
1.58	Omega Healthcare Investors Inc stock (OHI)	N/A	\$1,001 - \$15,000		
1.59	Bank Deposit Sweep Program (cash)	Yes	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Granite Small Core Equity Fund	No	\$250,001 - \$500,000	Dividends Capital Gains	\$2,501 - \$5,000
2.1	Bank Deposit Sweep Program (cash)	Yes	\$1,001 - \$15,000		
2.2	Acxiom Corp stock (ACXM)	N/A	\$1,001 - \$15,000		
2.3	Adtran Inc stock (ADTN)	N/A	\$1,001 - \$15,000		
2.4	Albany International Corp stock (AIN)	N/A	\$1,001 - \$15,000		
2.5	AMERISAFE Inc stock (AMSF)	N/A	\$1,001 - \$15,000		
2.6	Apogee Enterprises Inc stock (APOG)	N/A	\$1,001 - \$15,000		
2.7	AeroVironment Inc stock (AVOV)	N/A	\$1,001 - \$15,000		
2.8	American States Water Co stock (AWR)	N/A	\$1,001 - \$15,000		
2.9	BancFirst Corp stock (BANF)	N/A	\$1,001 - \$15,000		
2.10	TopBuild Corp stock (BLD)	N/A	\$1,001 - \$15,000		
2.11	Boston Private Financial Holdings Inc stock (BPFH)	N/A	\$1,001 - \$15,000		
2.12	Callidus Software Inc stock (CALD)	N/A	\$1,001 - \$15,000		
2.13	Cambrex Corp stock (CBM)	N/A	\$1,001 - \$15,000		
2.14	Columbia Banking System Inc stock (CLB)	N/A	\$1,001 - \$15,000		
2.15	Core-Mark Holding Company Inc stock (CORE)	N/A	\$1,001 - \$15,000		
2.16	Central Pacific Financial Corp stock (CPF)	N/A	\$1,001 - \$15,000		
2.17	Cubic Corp stock (CUB)	N/A	\$1,001 - \$15,000		
2.18	Descartes Systems Group Inc stock (DSGX)	N/A	\$1,001 - \$15,000		
2.19	Envestnet Inc stock (ENV)	N/A	\$1,001 - \$15,000		
2.20	Farmer Brothers Co stock (FARM)	N/A	\$1,001 - \$15,000		
2.21	Forum Energy Technologies Inc stock (FET)	N/A	\$1,001 - \$15,000		
2.22	Federal Signal Corp stock (FSS)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.23	Green Plains Inc stock (GPRE)	N/A	\$1,001 - \$15,000		
2.24	Ferroglobe PLC stock (GSM)	N/A	\$1,001 - \$15,000		
2.25	Chart Industries Inc stock (GTLS)	N/A	\$1,001 - \$15,000		
2.26	H&E Equipment Services Inc stock (HEES)	N/A	\$1,001 - \$15,000		
2.27	HealthEquity Inc stock (HQY)	N/A	\$1,001 - \$15,000		
2.28	Hercules Capital Inc stock (HTGC)	N/A	\$1,001 - \$15,000		
2.29	Infinera Corporation stock (INFN)	N/A	\$1,001 - \$15,000		
2.30	Inter Parfums Inc stock (IPAR)	N/A	\$1,001 - \$15,000		
2.31	Infinity Property and Casualty Corp stock (IPCC)	N/A	\$1,001 - \$15,000		
2.32	Kaiser Aluminum Corp stock (KALU)	N/A	\$1,001 - \$15,000		
2.33	Ligand Pharmaceuticals Inc stock (LGND)	N/A	\$1,001 - \$15,000		
2.34	Monro Muffler Brake Inc stock (MNRO)	N/A	\$1,001 - \$15,000		
2.35	MRC Global Inc stock (MRC)	N/A	\$1,001 - \$15,000		
2.36	Mercury Systems Inc stock (MRCY)	N/A	\$1,001 - \$15,000		
2.37	Marten Transport Ltd stock (MRTN)	N/A	\$1,001 - \$15,000		
2.38	ClubCorp Holdings Inc stock (MYCC)	N/A	\$1,001 - \$15,000		
2.39	NeoGenomics, Inc stock (NEO)	N/A	\$1,001 - \$15,000		
2.40	New Relic Inc stock (NEWR)	N/A	\$1,001 - \$15,000		
2.41	NutriSystem Inc stock (NTRI)	N/A	\$1,001 - \$15,000		
2.42	ORBCOMM Inc stock (ORBC)	N/A	\$1,001 - \$15,000		
2.43	Paycom Software Inc (PAYC)	N/A	\$1,001 - \$15,000		
2.44	Popeyes Louisiana Kitchen Inc stock (PLKI)	N/A	\$1,001 - \$15,000		
2.45	Quotient Technology Inc stock (QUOT)	N/A	\$1,001 - \$15,000		
2.46	Raven Industries Inc stock (RAVN)	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.47	Repligen Corp stock (RGEN)	N/A	\$1,001 - \$15,000		
2.48	Re/Max Holdings Inc stock (RMAX)	N/A	\$1,001 - \$15,000		
2.49	Rush Enterprises Inc stock (RUSHA)	N/A	\$1,001 - \$15,000		
2.50	Smart & Final Stores Inc stock (SFS)	N/A	\$1,001 - \$15,000		
2.51	SiteOne Landscape Supply Inc stock (SITE)	N/A	\$1,001 - \$15,000		
2.52	Standard Motor Products Inc stock (SMP)	N/A	\$1,001 - \$15,000		
2.53	Sun Hydraulics Corp stock (SNHY)	N/A	\$1,001 - \$15,000		
2.54	Supernus Pharmaceuticals Inc stock (SUPN)	N/A	\$1,001 - \$15,000		
2.55	Time Inc stock (TIME)	N/A	\$1,001 - \$15,000		
2.56	Universal Electronics Inc stock (UEIC)	N/A	\$1,001 - \$15,000		
2.57	U S Concrete Inc stock (USCR)	N/A	\$1,001 - \$15,000		
2.58	WisdomTree Investments Inc stock (WETF)	N/A	\$1,001 - \$15,000		
2.59	Encore Wire Corp stock (WIRE)	N/A	\$1,001 - \$15,000		
2.60	Wix.com Ltd stock (WIX)	N/A	\$1,001 - \$15,000		
2.61	Wright Medical Group Inc stock (WMGI)	N/A	\$1,001 - \$15,000		
3	Vanguard Dividend Growth Fund (VDIGX)	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
4	Vanguard Intermediate Term Tax Exempt Fund (VWIUX)	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
5	Vanguard Tax Exempt Money Market Fund (VMSSX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Vanguard Total International Stock Index Fund (VTIAX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
7	Vanguard Total Stock Market Index Fund (VTSAX)	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
8	Vanguard Treasury Money Market Fund (VUSXX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	Fidelity Total Market Index (FSTVX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
10	Fidelity Trend Fund (FTRNX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
11	DF Dent Small Cap Growth Fund (DFDSX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
12	Artisan International Fund (ARTIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
13	DFA Emerging Markets Value Fund (DFEVX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
14	MetWest Total Return Bond Fund (MWTRX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
15	Sentinel Capital Partners III LP (cash)	N/A	\$250,001 - \$500,000		None (or less than \$201)
16	US bank account (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500
17	Lighthouse Diversified Fund (QP) II LP Series A	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
18	ML Bank Deposit Program (cash)	Yes	\$500,001 - \$1,000,000		\$1,001 - \$2,500
19	Ishares Core Russell US Value ETF (IUSV)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
20	iShares iBoxx \$ High Yield Corporate Bond Fund (HYG)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
21	iShares Russell Mid Cap Value Fund (IWS)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
22	iShares Russell 3000 Fund (IWK)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
23	iShares TIPS Bond ETF (TIP)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
24	Vanguard Mid-Cap ETF (VO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25	Vanguard Small Cap Value ETF (VBR)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
26	Vanguard Total Stock Market ETF (VTI)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
27	Vanguard Value ETF (VTV)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
28	US Treasury Inflation Bond 1.750% 01/15/2009	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
29	US Treasury Inflation NTE 1.375% 01/15/2020	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
30	US Treasury Inflation NTE 1.125% 01/15/2021	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
31	District of Columbia bond 4.750% 06/01/2031	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
32	New York NY bond 4.70% 04/01/2029	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
33	District of Columbia bond 4.500% 10/01/2027	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
34	New York State Local Government Assistance Corp bond 4.0% 04/01/2021	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
35	Frantz Medical Venture Fund I LP	Yes	\$50,001 - \$100,000		None (or less than \$201)
36	Personal loan to family friend (Ms. Seuter)	N/A	\$15,001 - \$50,000		None (or less than \$201)
37	NV 529 College Savings Plan	No			
37.1	Vanguard Aggressive Growth Portfolio	N/A	\$15,001 - \$50,000		None (or less than \$201)
37.2	Vanguard 500 Index Platform	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	1	Retiring January 31, 2017

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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