Nominee Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (March 2014)

Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Carson, Benjamin S

Secretary of HUD, Department of Housing and Urban Development

Other Federal Government Positions Held During the Preceding 12 Months:
None

Names of Congressional Committees Considering Nomination:

- **Committee on Banking, Housing, and Urban Affairs**

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Carson, Benjamin S [electronically signed on 01/04/2017 by Carson, Benjamin S in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ CRUCIANI, LINDA M, Certifying Official [electronically signed on 01/10/2017 by CRUCIANI, LINDA M in Integrity.gov]

Other review conducted by
/s/ Allen, Lindsey, Ethics Official [electronically signed on 01/10/2017 by Allen, Lindsey in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Shaub, Walter M, Certifying Official [electronically signed on 01/10/2017 by Shaub, Walter M in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Carson Scholars Fund, Inc.	See Endnote	Towson, Maryland	Non-Profit	Co-Founder, President	5/1994	Present
2	Kellogg Company		Battle Creek, Michigan	Corporation	Member, Board of Directors	8/1997	5/2015
3	Costco Wholesale Corp.		Issaquah, Washington	Corporation	Member, Board of Directors	5/1999	5/2015
4	Academy of Achievement	See Endnote	Washington, District of Columbia	Non-Profit	Member, Board of Directors	1/2003	Present
5	BenCan, LLC		Pittsburgh, Pennsylvania	Corporation	Manager and Member	1/2007	Present
6	American Business Collaborative, LLC		West Palm Beach, Florida	Corporation	Manager and Member	6/2013	Present
7	Berg, LLC	See Endnote	Framingham, Massachusetts	Corporation	Member, Advisory Board	1/2013	5/2015
8	Vaccinogen, Inc.		Baltimore, Maryland	Corporation	Chairman, Board of Directors	8/2014	5/2015
9	HomeCentris Healthcare, LLC		Baltimore, Maryland	Corporation	Member, Advisory Board	3/2015	5/2015

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Kellogg Company, stock options	See Endnote	N/A	$100,001 - $250,000		None (or less than $201)
2	Kellogg Company		N/A	$1,000,001 - $5,000,000	Dividends	$100,001 - $1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Costco Wholesale Corp.	N/A	$1,000,001 - $5,000,000	Dividends	$15,001 - $50,000
4	Vaccinogen, Inc.	N/A	$1,001 - $15,000		None (or less than $201)
5	American Business Collaborative, LLC	N/A		Salary	$600,000
6	IRA #1	No			
6.1	Schwab Advisor Cash Reserves Fund - Premier Sweep Share	Yes	$1,001 - $15,000		None (or less than $201)
6.2	First Eagle Overseas Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
6.3	GS Fin Corp Medium Term Note	N/A	$100,001 - $250,000		None (or less than $201)
6.4	Harbor International Fund	Yes	$50,001 - $100,000		$1,001 - $2,500
6.5	Invesco Convertible Securities Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
6.6	PIMCO Commodity Real Return Strategy Fund	Yes	$15,001 - $50,000		$201 - $1,000
6.7	Oppenheimer Developing Markets Fund	Yes	$50,001 - $100,000		$201 - $1,000
6.8	PIMCO Income Fund	Yes	$100,001 - $250,000		$5,001 - $15,000
6.9	Stone Ridge High Yield Reinsurance Risk Premium Fund	Yes	$100,001 - $250,000		$5,001 - $15,000
6.10	USAA Short Term Bond Fund	Yes	$50,001 - $100,000		$1,001 - $2,500
6.11	AIP Absolute Return Fund STS	Yes	$250,001 - $500,000		None (or less than $201)
7	American Business Collaborative, LLC (self employed passthrough entity for public speaking and book royalties)				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1	American Business Collaborative, LLC, cash balance pension plan	No			
7.1.1	Allianz GI NFJ Small Cap Value Fund	Yes	$50,001 - $100,000		$2,501 - $5,000
7.1.2	American Century Mid Cap Value Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
7.1.3	Baron Growth Fund	Yes	$50,001 - $100,000		$5,001 - $15,000
7.1.4	Deutsche Global Real Estate Securities Fund	Yes	$50,001 - $100,000		$2,501 - $5,000
7.1.5	First Eagle Overseas Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
7.1.6	GS Fin Corp Medium Term Note	N/A	$100,001 - $250,000		None (or less than $201)
7.1.7	Harbor International Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
7.1.8	Invesco Balanced Risk Allocation Fund	Yes	$50,001 - $100,000		$5,001 - $15,000
7.1.9	Invesco Convertible Securities Fund	Yes	$15,001 - $50,000		$201 - $1,000
7.1.10	U.S. bank Certificates of Deposit #1	N/A	$100,001 - $250,000		None (or less than $201)
7.1.11	JP Morgan Strategic Income Opportunities Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
7.1.12	Metropolitan West High Yield Bond Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
7.1.13	Oppenheimer Developing Markets Fund	Yes	$15,001 - $50,000		None (or less than $201)
7.1.14	PIMCO Commodity Real Return Strategy Fund	Yes	$50,001 - $100,000		$201 - $1,000
7.1.15	Stone Ridge All Asset VRP Fund	Yes	$250,001 - $500,000		$15,001 - $50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.1.16	Stone Ridge Reinsurance Risk Premium Fund	Yes	$250,001 - $500,000		$5,001 - $15,000
7.1.17	Undiscovered Managers Behavorial Value Fund	Yes	$100,001 - $250,000		None (or less than $201)
7.1.18	USAA Short Term Bond Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
7.1.19	WFC Holdings Corp Note	N/A	$250,001 - $500,000		None (or less than $201)
7.2	TMA Direct managed subscription-based web forum (no longer active)	N/A		Rent or Royalties Interest	$50,001 - $100,000
7.3	Review & Herald Publishing Association - TITLE: Gifted Hands: The Ben Carson Story (Value not readily ascertainable)	N/A		Rent or Royalties	$15,001 - $50,000
7.4	Penguin Group USA - TITLE: One Nation: What We Can All Do to Save America's Future (Value not readily ascertainable)	N/A		Rent or Royalties	$100,001 - $1,000,000
7.5	Penguin Group USA - TITLE: A More Perfect Union: What We the People Can Do to Reclaim Our Constitutional Liberties (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than $201)
7.6	Global Evangelism Inc - 10/1/16	N/A		Honorarium	$25,000
7.7	John Sanders - Revive US - 10/18/16	N/A		Honorarium	$5,000
7.8	Washington Speakers Bureau - Grove City College - 5/14/16	N/A		Honorarium	$33,000
7.9	Washington Speakers Bureau - Council of Insurance Agents and Brokers - 6/1/16	N/A		Honorarium	$41,000
7.10	Washington Speakers Bureau - The Siegfried Group, LLP - 7/23/16	N/A		Honorarium	$33,000
7.11	Washington Speakers Bureau - University of Texas - 9/27/16	N/A		Honorarium	$45,000
7.12	Washington Speakers Bureau - Law office of George Martin - 10/8/16	N/A		Honorarium	$40,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.13	Washington Speakers Bureau - Cooperatieve Rabobank UA - 10/20/16	N/A		Honorarium	$41,000
7.14	Washington Speakers Bureau - Teen Challenge International - 10/24/16	N/A		Honorarium	$29,000
7.15	Washington Speakers Bureau - The Women's Board of Wolfson Children's Hospital - 10/25/16	N/A		Honorarium	$35,700
7.16	Washington Speakers Bureau - Lutheran Church Extension Fund - 11/18/16	N/A		Honorarium	$29,000
7.17	American Business Collaborative, LLC 401k Plan	No			
7.17.1	Undiscovered Managers Behavioral Value Fund	Yes	$15,001 - $50,000		$1,001 - $2,500
7.17.2	Schwab Advisor Cash Reserves Fund - Premier Sweep Share	Yes	$1,001 - $15,000		None (or less than $201)
7.17.3	Invesco Convertible Securities Fund	Yes	$100,001 - $250,000		$2,501 - $5,000
7.17.4	PIMCO Commodity Real Return Strategy Fund	Yes	$15,001 - $50,000		$201 - $1,000
7.18	Schwab Advisor Cash Reserves Fund	Yes	$250,001 - $500,000		$201 - $1,000
7.19	HarperCollins Christian Publishing - TITLE: Think Big: Unleashing Your Potential for Excellence (Value not readily ascertainable)	N/A		Rent or Royalties	$2,501 - $5,000
7.20	HarperCollins Christian Publishing - TITLE: The Big Picture (Value not readily ascertainable)	N/A		Rent or Royalties	$5,001 - $15,000
7.21	HarperCollins Christian Publishing: TITLE: Take the Risk: Learning to Identify, Choose, and Live with Acceptable Risk (Value not readily ascertainable)	N/A		Rent or Royalties	$5,001 - $15,000
7.22	HarperCollins Christian Publishing - TITLE: America The Beautiful: Rediscovering What Made This Nation Great (Value not readily ascertainable)	N/A		Rent or Royalties	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.23	HarperCollins Christian Publishing - TITLE: You Have a Brain: A Teen's Guide to T.H.I.N.K. B.I.G. (Value not readily ascertainable)	N/A		Rent or Royalties	$15,001 - $50,000
7.24	Tyndale House Publishers, Inc. - TITLE: One Vote: Make Your Voice Heard (Value not readily ascertainable)	N/A		Rent or Royalties	None (or less than $201)
7.25	Southeastern University - 4/29/16	N/A		Honorarium	$5,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	American Business Collaborative, LLC 401k Plan	West Palm Beach, Florida	I will continue to participate in this defined contribution plan. Neither I nor the plan sponsor will make contributions after I am confirmed.	6/2013
2	American Business Collaborative, LLC Cash Balance Pension Plan	West Palm Beach , Florida	The plan terminated as of Dec. 31, 2016. I will receive a lump sum distribution of my cash balance pension plan.	6/2013
3	Kellogg Company	Battle Creek, Michigan	Options expire as originally scheduled (2017 and 2018). FIler exercsied options Jan. 5, 2017.	1/2007
4	Carson Scholars Fund, Inc.	Towson, Maryland	Currently on leave of absence and will resign upon confirmation.	5/2015

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Kellogg Company	Battle Creek, Michigan	Member, Board of Directors
2	Costco Wholesale Corp.	Issaquah, Washington	Member, Board of Directors
3	Vaccinogen, Inc.	Baltimore, Maryland	Member, Board of Directors

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
4	American Business Collaborative, LLC	West Palm Beach, Florida	Public Speaker and Author
5	Spencer Stuart	Washington, District of Columbia	Public Speaker
6	Texas Hospital Association	Austin, Texas	Public Speaker
7	Louisiana Association of Business and Industry	Baton Rouge, Louisiana	Public Speaker
8	Palmer Home for Children	Columbus, Mississippi	Public Speaker
9	Oklahoma Christian Home Educators Consociation	Oklahoma City, Oklahoma	Public Speaker
10	Boyle County of Kentucky Republican Party	Danville, Kentucky	Public Speaker
11	Great Homeschool Conventions	Maineville, Ohio	Public Speaker
12	Parkgate Pregnancy Clinic	Tupelo, Mississippi	Public Speaker
13	Gwinnett Medical Center Foundation	Lawrenceville, Georgia	Public Speaker
14	Hoover Institution	Washington, District of Columbia	Public Speaker
15	University of the Southwest	Hobbs, New Mexico	Public Speaker
16	American Farm Bureau Federation	Washington, District of Columbia	Public Speaker
17	International Cooperating Ministries	Hampton, Virginia	Public Speaker
18	National Association for Developmental Education	Kinnelon, New Jersey	Public Speaker
19	National Association of Realtors	Washington, District of Columbia	Public Speaker

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
20	H.I.S. BridgeBuilders	Dallas, Texas	Public Speaker
21	Embry-Riddle Aeronautical University	Daytona Beach, Florida	Public Speaker
22	World Leaders Group, Inc.	Palm Beach Gardens, Florida	Public Speaker
23	Matson Money	Scottsdale, Arizona	Public Speaker
24	Luther F. Carson Four Rivers Center	Paudcah, Kentucky	Public Speaker
25	Lifehouse Maternity Center	Louisville, Kentucky	Public Speaker
26	New Hope Academy	Hyattsville, Maryland	Public Speaker
27	Community Pregnancy Clinics	Naples, Florida	Public Speaker
28	Covenant Health System	Lubbock, Texas	Public Speaker
29	Conestoga Christian School	Morgantown, Pennsylvania	Public Speaker
30	CSP Business Media	Phoenix, Arizona	Public Speaker
31	American Academy of Orthopaedic Surgeons	Washington, District of Columbia	Public Speaker
32	John W. Pope Civitas Institute	Raleigh, North Carolina	Public Speaker
33	Operation HOPE	Washington, District of Columbia	Public Speaker
34	American Bakers Association	Washington, District of Columbia	Public Speaker
35	Alma College	Alma, Michigan	Public Speaker
36	Scholarship Foundation of Indian River County	Vero Beach, Florida	Public Speaker

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
37	Sunbird Conservatives	Fresno, California	Public Speaker
38	Right to Life of Southwest Indiana	Evansville, Indiana	Public Speaker
39	Texas Energy Museum	Beaumont, Texas	Public Speaker
40	Michael W. Haley Foundation	Greensboro, North Carolina	Public Speaker
41	Taylors Free Medical Clinic	Taylors, South Carolina	Public Speaker
42	Hays County Republican Party	San Marcos, Texas	Public Speaker
43	Cornell University	Ithaca, New York	Public Speaker
44	Summit Educational Association	Milwaukee, Wisconsin	Public Speaker
45	Fort Worth Christian School	North Richland Hills, Texas	Public Speaker
46	Mason Classical Academy	Naples, Florida	Public Speaker
47	University of Mobile	Mobile, Alabama	Public Speaker
48	Second Baptist Church	Houston, Texas	Public Speaker
49	Save Our Youth	Denver, Colorado	Public Speaker
50	Meals on Wheels Plus	Abilene, Texas	Public Speaker
51	Phil Waldrep Ministries	Decatur, Alabama	Public Speaker
52	Dayton Right to Life	Dayton, Ohio	Public Speaker
53	YMCA of the Suncoast	Clearwater, Florida	Public Speaker
54	YPO Cincinnati	Cincinnati, Ohio	Public Speaker

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
55	Global Evangelism	San Antonio, Texas	Public Speaker
56	Grove City College	Grove City, Pennsylvania	Public Speaker
57	Council of Insurance Agents and Brokers	Washington, District of Columbia	Public Speaker
58	The Siegfried Group, LLP	Wilmington, Delaware	Public Speaker
59	University of Texas	Tyler, Texas	Public Speaker
60	Law Office of George Martin	Bakersfield, California	Public Speaker
61	Cooperatieve Rabobank UA	Utrecht, Netherlands	Public Speaker
62	Teen Challenge International	Milwaukee, Wisconsin	Public Speaker
63	The Women's Board of Wolfson Children's Hospital	Jacksonville, Florida	Public Speaker
64	Lutheran Church Extension Fund	St. Louis, Missouri	Public Speaker
65	The Washington Times	Washington, District of Columbia	columnist
66	TMA Direct	Reston, Virginia	produced content for subscription-based web forum

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	American Business Collaborative, LLC			Salary	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	HarperCollins Christian Publishing: Title: America The Beautiful: Rediscovering What Made This Nation Great (value not readily ascertainable)	N/A		Rent or Royalties	None (or less than $201)
1.2	American Business Collaborative, LLC 401k Plan				
1.2.1	Harbor International Fund	Yes	$50,001 - $100,000		$1,001 - $2,500
1.2.2	Invesco Convertible Securities Fund	Yes	$15,001 - $50,000		$1,001 - $2,500
1.2.3	Schwab Advisor Cash Reserves Fund - Premier Sweep Share	Yes	$1,001 - $15,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	BenCan, LLC - investment holding company				
1.1	Deutsche Bank Note Due 09/19/19	N/A	$250,001 - $500,000		None (or less than $201)
1.2	U.S. bank Certificates of Deposit #2	N/A	$50,001 - $100,000		None (or less than $201)
1.3	Thornburg Ltd Term Muni	Yes	$100,001 - $250,000		$2,501 - $5,000
1.4	Schwab Advisor Cash Reserves Fund - Premier Sweep Share	Yes	$15,001 - $50,000		None (or less than $201)
1.5	Commerical Rental Property, Pittsburgh, PA	N/A	None (or less than $1,001)	Rent or Royalties	$100,001 - $1,000,000
1.6	Receivable from 1721 Partners, LLC	N/A	$1,001 - $15,000		None (or less than $201)
2	INBS, LLC - investment holding company				

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1	Schwab Advisor Cash Reserves Fund - Premier Sweep Share		Yes	$500,001 - $1,000,000		$201 - $1,000
2.2	Commercial Rental Property, Pittsburgh, PA		N/A	None (or less than $1,001)	Rent or Royalties	$100,001 - $1,000,000
2.3	Receivable from 1721 Partners, LLC		N/A	$1,001 - $15,000		None (or less than $201)
3	Pruco Life Insurance Co. Variable Annuity		No			
3.1	AST Advanced Strategies Portfolio		Yes	$500,001 - $1,000,000		None (or less than $201)
4	U.S. bank account #1 (cash)		N/A	$100,001 - $250,000		None (or less than $201)
5	U.S. bank account #2 (cash)		N/A	$1,001 - $15,000		None (or less than $201)
6	Vanguard VII-A LP		No	$1,001 - $15,000	Partnership Distribution	$58,023
6.1	Receivable from Dfine, Inc.		N/A			
6.2	Receivable from Vormetric		N/A			
7	Schwab Advisor Cash Reserves Fund - Premier Sweep Share		Yes	$500,001 - $1,000,000		$201 - $1,000
8	U.S. bank Certificates of Deposit #3		N/A	$100,001 - $250,000		None (or less than $201)
9	Stone Ridge US Variance Risk Premium Master Fund		Yes	$250,001 - $500,000		$5,001 - $15,000
10	Schwab Advisor Cash Reserves Fund - Premier Sweep Share		Yes	$250,001 - $500,000		$201 - $1,000
11	Quiet Valley Farm (Upperco, MD)	See Endnote	N/A	$100,001 - $250,000	Rent or Royalties	$2,501 - $5,000
12	American Funds New Perspective Fund (529 Plan)		Yes	$1,001 - $15,000		None (or less than $201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	S&T Bank	Mortgage on Personal Residence	$250,001 - $500,000	2013	3.125	30
2	Flagstar Bank	Mortgage on Personal Residence	$250,001 - $500,000	2011	4.0	10

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	1	I have been on a leave of absence since May 2015.
1.	4	The listed start date is approximate and is based on a good-faith estimate.
1.	7	The listed start date is approximate and is based on a good-faith estimate.
2.	1	Filer exercised options on Jan. 5, 2017.
6.	11	Rental income received from granting use of a section of farmland on residence.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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