

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Knight, Shahira**

Special Assistant to the President, White House

Date of Appointment: 01/20/2017

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Knight, Shahira [electronically signed on 01/15/2017 by Knight, Shahira in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Schultz, James D, Certifying Official [electronically signed on 03/30/2017 by Schultz, James D in Integrity.gov]**

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Other review conducted by

**/s/ Schultz, James D, Ethics Official [electronically signed on 03/30/2017 by Schultz, James D in Integrity.gov]**

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U.S. Office of Government Ethics Certification

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Data Revised 03/08/2017

Data Revised 03/06/2017

Data Revised 02/22/2017

Data Revised 02/21/2017

Data Revised 02/18/2017

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Fidelity Investments	Washington, District of Columbia	Corporation	Vice President	9/2009	1/2017
2	Fidelity Charitable	Cary, North Carolina	Non-Profit	None, but I performed some lobbying activity for them through my position at Fidelity Investments	9/2009	1/2017

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fidelity Investments, Phantom Shares See Endnote	N/A		Cash Payment	\$641,500
2	Fidelity Investments, Phantom Short-Term Shares	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Fidelity Investments (Mutual Fund company)	N/A		Salary/Bonus	\$310,000
4	FDIKX - Fidelity Diversified International	Yes	\$50,001 - \$100,000		\$201 - \$1,000
5	FEIKX - Fidelity Equity Income	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6	FCNKX - Fidelity Contrafund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
7	SPHIX - Fidelity High Income	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
8	FSMVX - Fidelity Mid Cap Value	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9	FKMCX - Fidelity Mid Cap Stock	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10	FRESX - Fidelity Real Estate Investment	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11	FISMX - Fidelity International Small Cap	Yes	\$15,001 - \$50,000		\$201 - \$1,000
12	FCPVX - Fidelity Small Cap Value	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13	FCPGX - Fidelity Small Cap Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	FSHBX - Fidelity Short Term Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15	FKEMX - Fidelity Emerging Markets	Yes	\$15,001 - \$50,000		None (or less than \$201)
16	FNARX - Fidelity Select Natural Resources	Yes	\$15,001 - \$50,000		None (or less than \$201)
17	FBKWX - FA Total Bond Z	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18	FSPHX - Fidelity Select Healthcare	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	FDIC Insured Deposit at Wells Fargo	N/A	\$15,001 - \$50,000		None (or less than \$201)
20	IDPR Insured Deposit Program	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	POGRX - Primecap Odyssey Growth	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
22	Diamond Hill Large Cap	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
23	Victory RS Mid Cap growth Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
24	Victory Sycamore Established Value Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25	T. Rowe Price US Small Cap Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
26	Fidelity Advisor Small Cap Value	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
27	T. Rowe Price Institutional International Concentrated	Yes	\$15,001 - \$50,000		\$201 - \$1,000
28	Harding Loevenier International Equity	Yes	\$15,001 - \$50,000		None (or less than \$201)
29	Wasatch International Ops	Yes	\$15,001 - \$50,000		None (or less than \$201)
30	Grandeur Peak International Stalwarts	Yes	\$1,001 - \$15,000		None (or less than \$201)
31	Oppenheimer Developing Markets	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	Hartford World Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
33	Templeton Global Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
34	FPA New Income	Yes	\$15,001 - \$50,000		\$201 - \$1,000
35	Allianz RCM Short Duration High Income	Yes	\$15,001 - \$50,000		\$201 - \$1,000
36	ARBNX Arbitrage I Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
37	Touchstone Arbitrage	Yes	\$1,001 - \$15,000		\$201 - \$1,000
38	Energy Select SPDR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
39	Vanguard Market Neutral Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
40	JPMorgan Market Expansion Enhanced Index	Yes	\$1,001 - \$15,000		\$201 - \$1,000
41	First Eagle Global Bond	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42	Fidelity Investments, Phantom Long-Term Shares	No	\$500,001 - \$1,000,000		None (or less than \$201)
43	Fidelity Retiree Health Reimbursement Plan	No	\$15,001 - \$50,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Fidelity Investments	Washington, District of Columbia	I have 2 types of phantom stock. I forfeited all unvested shares. I have two tranches of vested shares that will be paid in 2017: (1) Short-term shares are awarded based on job performance and pay out annually. The shares which vested on 12/31/2016 (reflecting work performed for Fidelity prior to 12/31/2016) will be paid to me in March 2017. The value of these shares was finalized on 12/31/2016. (2) Long-term shares are awarded based on job performance and are paid out over time according to a vesting schedule. I have outstanding shares which vested on 12/31/2016 (reflecting work performed for Fidelity prior to 12/31/2016). The value of these shares is based on Fidelity's earnings as of 12/31/2016. However, under the long-term share award plan, Fidelity normally reserves the right to apply a "look-forward event clause" to reduce the value of these shares if Fidelity's 2017 Q1 NAV experiences a dramatic downturn. The final value of this payment would typically not be calculated until after the end of Q1 on 3/31/2017. To decrease the possibility of a conflict of interest, Fidelity has agreed to accelerate the timing of these payments at a discounted amount that reflects a reduction based on the waiver of the look-forward clause.	12/2009
2	Fidelity Investments	Boston, Massachusetts	I will continue to participate in this defined contribution plan. Neither I nor my former employer will continue to contribute to the plan after my separation.	9/2009

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
3	Fidelity Investments	Washington, District of Columbia	The Retiree Health Reimbursement plan is not an investment account and there are no assets in the account. The value represents notional credits that accumulate with service toward retiree health benefits. I did not meet the service requirement to receive any benefits. The plan will be closed and all notional credits in the account will be forfeited.	12/2009

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Fidelity Investments	Washington, District of Columbia	Vice President

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Retail Concepts, Inc. (Retail)	N/A		salary	\$118,800
2	JPMorgan US Equity A	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Nuveen Mid Cap Growth Opp	Yes	\$15,001 - \$50,000		\$201 - \$1,000
4	Virtus Mid-Cap Value	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Allianz NFJ Small Cap Value	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
6	Thornburg International Value	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7	Oppenheimer Global	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	PIMCO Total Return	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	Invesco Real Estate Fund	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
10	Invesco Stable Asset	Yes	\$1,001 - \$15,000		None (or less than \$201)
11	JM Morgan Market Expansion Enhanced Index	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
12	Wasatch International Opps	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	First Eagle Global	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14	Primecap Odyssey Growth	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15	Diamond Hill Large Cap	Yes	\$1,001 - \$15,000		\$201 - \$1,000
16	Victory RS Mid Cap Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
17	Victory Sycamore Estblished Value	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	T. Rowe Price US Small Cap Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	Fidelity Advisor Small Cap Value	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20	T. Rowe Price Institutional International Concentrated	Yes	\$1,001 - \$15,000		None (or less than \$201)
21	Harding Loevner International Equity	Yes	\$1,001 - \$15,000		None (or less than \$201)
22	Grandeur Peak International Stalwarts	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	Oppenheimer Developing Markets	Yes	\$1,001 - \$15,000		None (or less than \$201)
24	Hartford World Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25	Templeton Global Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	FPA New Income	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	Allianz RCM Short Duration High Income	Yes	\$1,001 - \$15,000		\$201 - \$1,000
28	ARBFX Arbitrage I	Yes	\$1,001 - \$15,000		None (or less than \$201)
29	Touchstone Arbitrage Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
30	Energy Select Sector SPDR	Yes	\$1,001 - \$15,000		None (or less than \$201)
31	Vanguard Market Neutral Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	Invesco Comstock A	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	AIG FDIC Insured Money Fund	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	Diamond Hill Large Cap	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3	Primecap Odyssey	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4	Victory RS Mid Cap Growth Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	Victory Sycamore Established Value	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	T. Rowe Price US Small Cap Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Homestead Small Company	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8	T. Rowe Price Institutional International Concentrated	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9	Harding Loevner Inter	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10	Wasatch International Opps Inst.	Yes	\$15,001 - \$50,000		None (or less than \$201)
11	Grandeur Peak International Stalwarts	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	Oppenheimer Developing Markets	Yes	\$15,001 - \$50,000		None (or less than \$201)
13	Hartford World Bond	Yes	\$15,001 - \$50,000		None (or less than \$201)
14	Templeton Global Bond	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15	FPA New Income	Yes	\$15,001 - \$50,000		\$201 - \$1,000
16	Allianz RCM Short Duration High Income	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
17	ARBNX Arbitrage I Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
18	Touchstone Arbitrage	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19	Energy Select Sector SPDR	Yes	\$15,001 - \$50,000		\$201 - \$1,000
20	Vanguard Market Neutral Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
21	US Bank Account #1	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22	US Bank Account #2	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

PART	#	ENDNOTE
2.	1	Includes: 1. Vested phantom short-term shares paid in 2016 2. Vested phantom long-term shares paid in 2016

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

## **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

## **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$375 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$150 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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