



Persistent Homology

the basics

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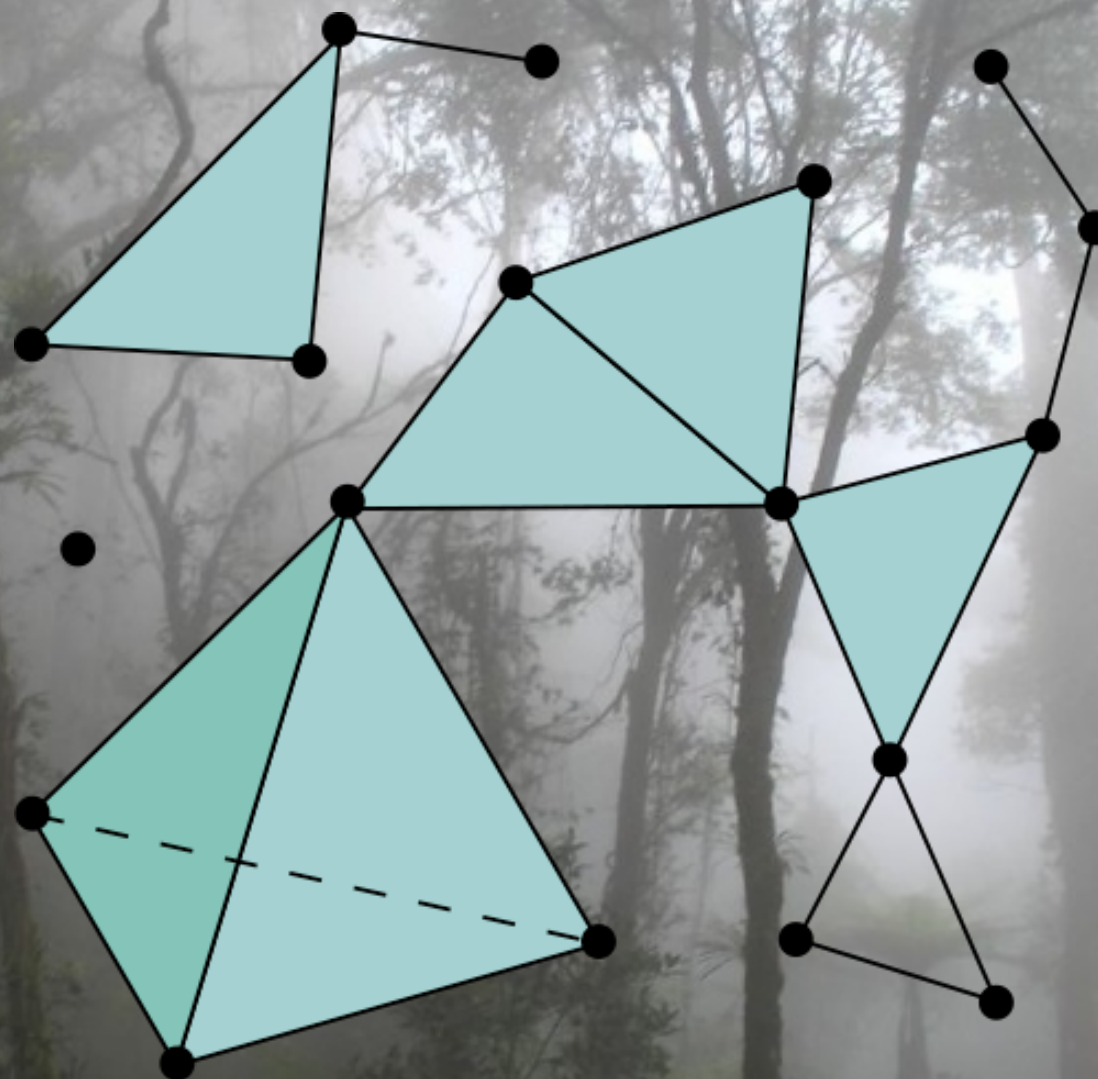
problem: what's the topology of a point cloud?



solution: persisistent homology



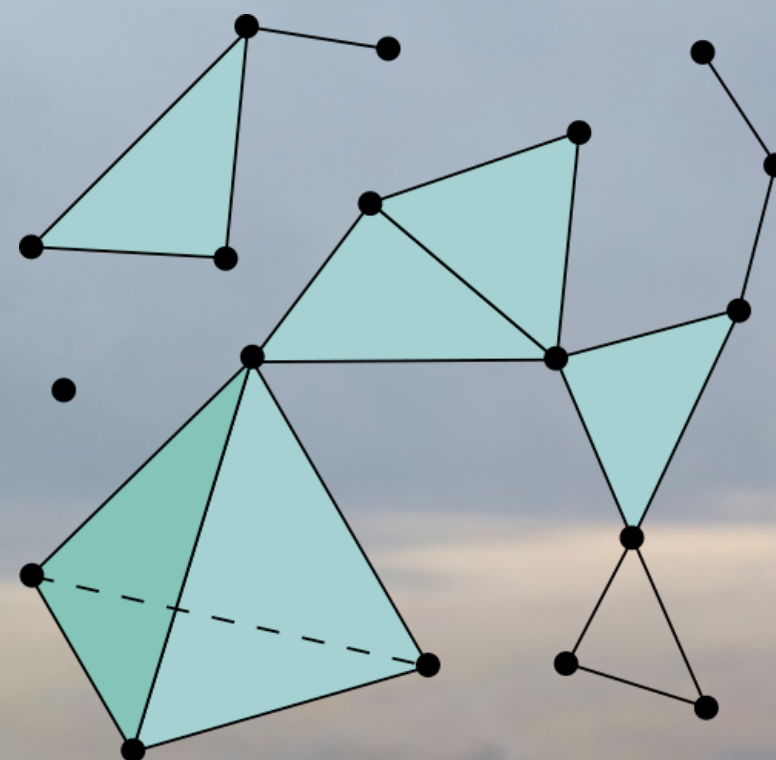
simplicial complex



Simplicial Complex - A set K of simplices such that:

- Any face of a simplex in K is also in K .
- The intersection of distinct simplices in K is a face of both

simplicial homology



p-chain - A formal sum of p -simplices $c = \sum_i \gamma_i \sigma_i$ with $\gamma_i \in \mathbb{F}$ a field.

$C_p(K; \mathbb{F})$ - Vector space of all p -simplices in K over \mathbb{F}

p-cycles - Elements in $C_p(K; \mathbb{F})$ in the kernel of ∂ , denoted $Z_p(K; \mathbb{F})$

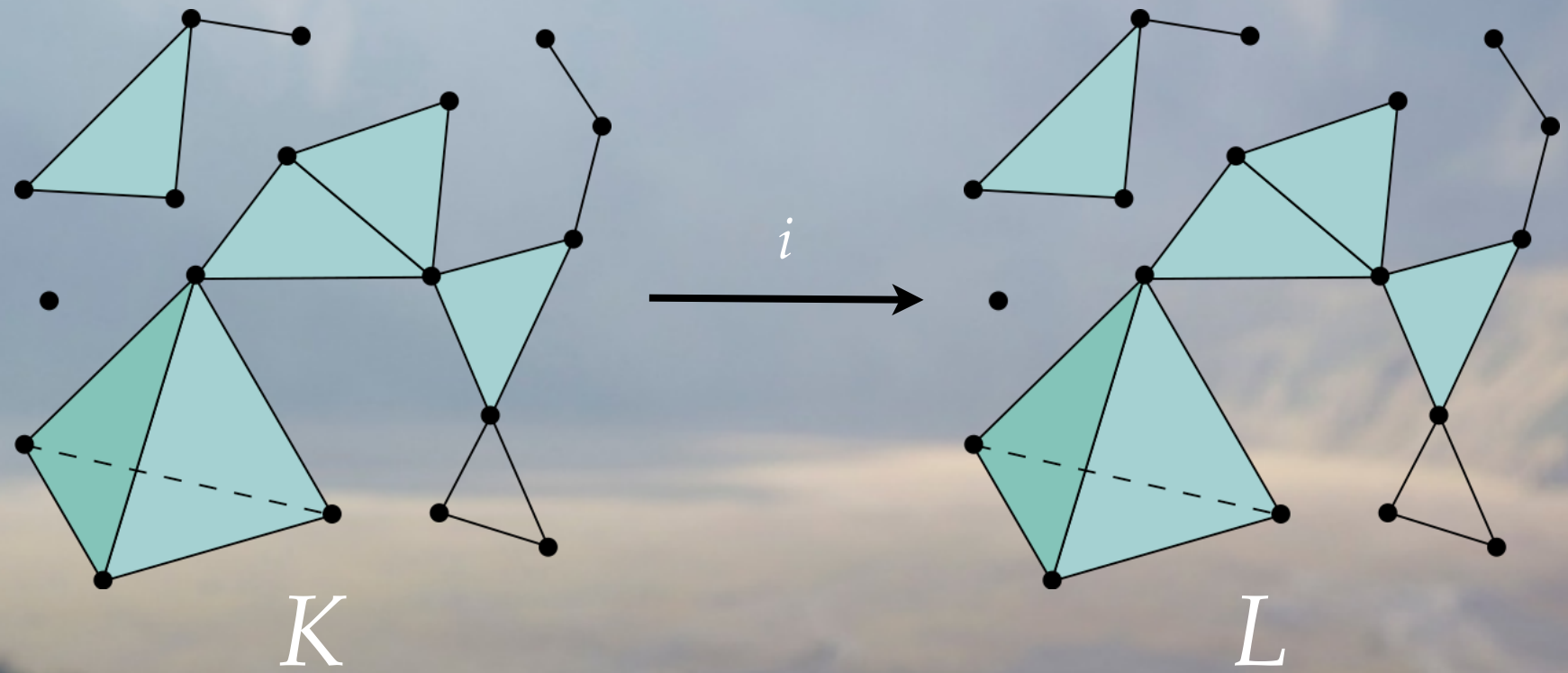
p-boundaries - Elements in $C_p(K; \mathbb{F})$ in the image of ∂ , denoted $B_p(K; \mathbb{F})$

Simplicial Homology Group - $H_p(K; \mathbb{F}) := Z_p(K; \mathbb{F}) / B_p(K; \mathbb{F})$

Betti Numbers - The numbers $\beta_p(K; \mathbb{F}) := \dim(H_p(K; \mathbb{F}))$

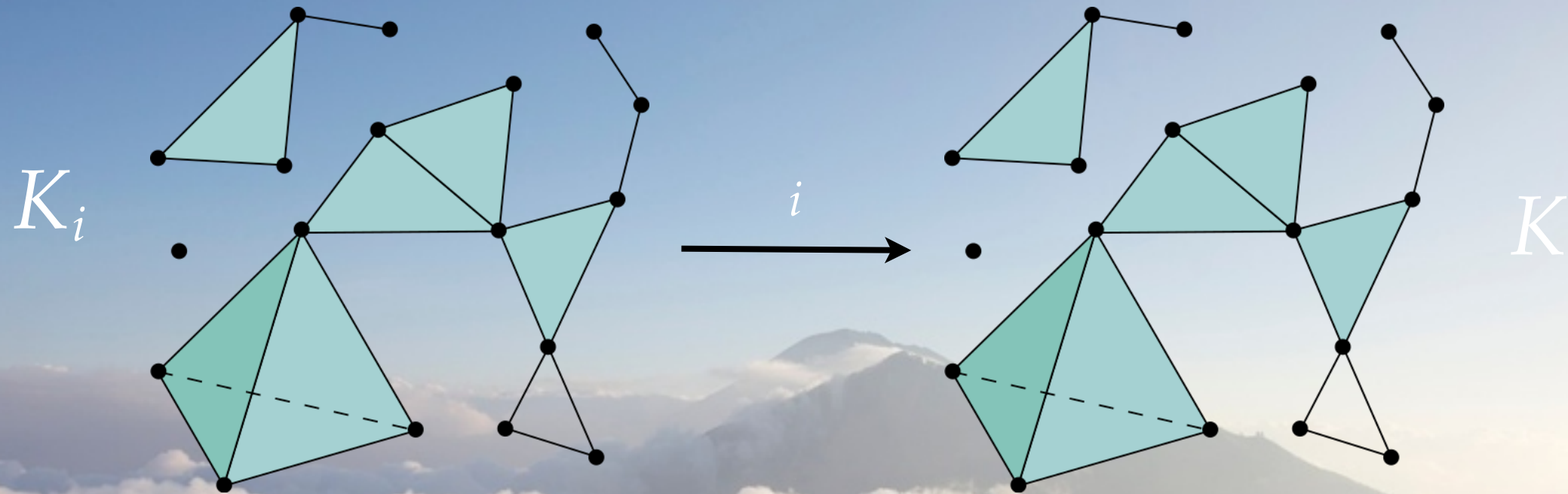
Note: We will always work over \mathbb{Z}_2

simplicial homology



Theorem. If K and L are simplicial complexes and there exists an inclusion $i : K \hookrightarrow L$, the inclusion generates a homomorphism $i^* : H_p(K, \mathbb{F}) \rightarrow H_p(L, \mathbb{F})$.

simplicial filtrations

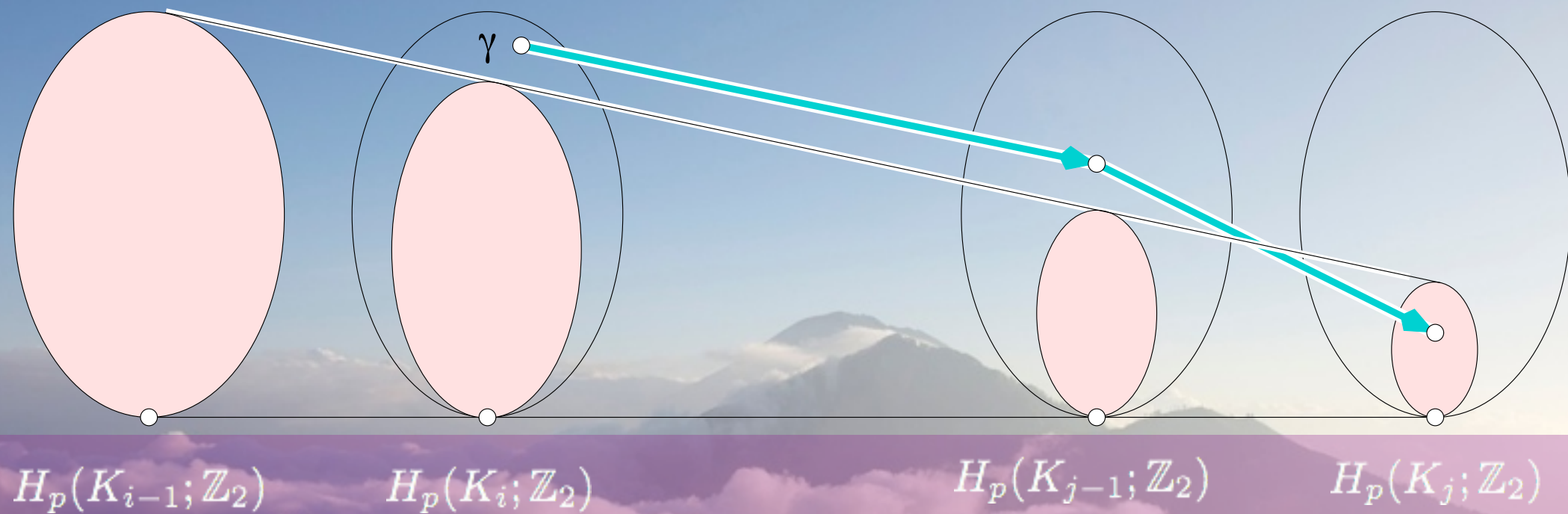


Definition. *Subcomplex* - A subcomplex K_i of a simplicial complex K is a simplicial complex such that $K_i \subset K$

Definition. *Filtration* - A nested sequence of subcomplexes $\{K_i\}$ that starts with \emptyset and ends with K

$$\emptyset = K_0 \hookrightarrow K_1 \hookrightarrow K_2 \cdots \hookrightarrow K_{m-1} \hookrightarrow K_m = K$$

birth, death, and taxes



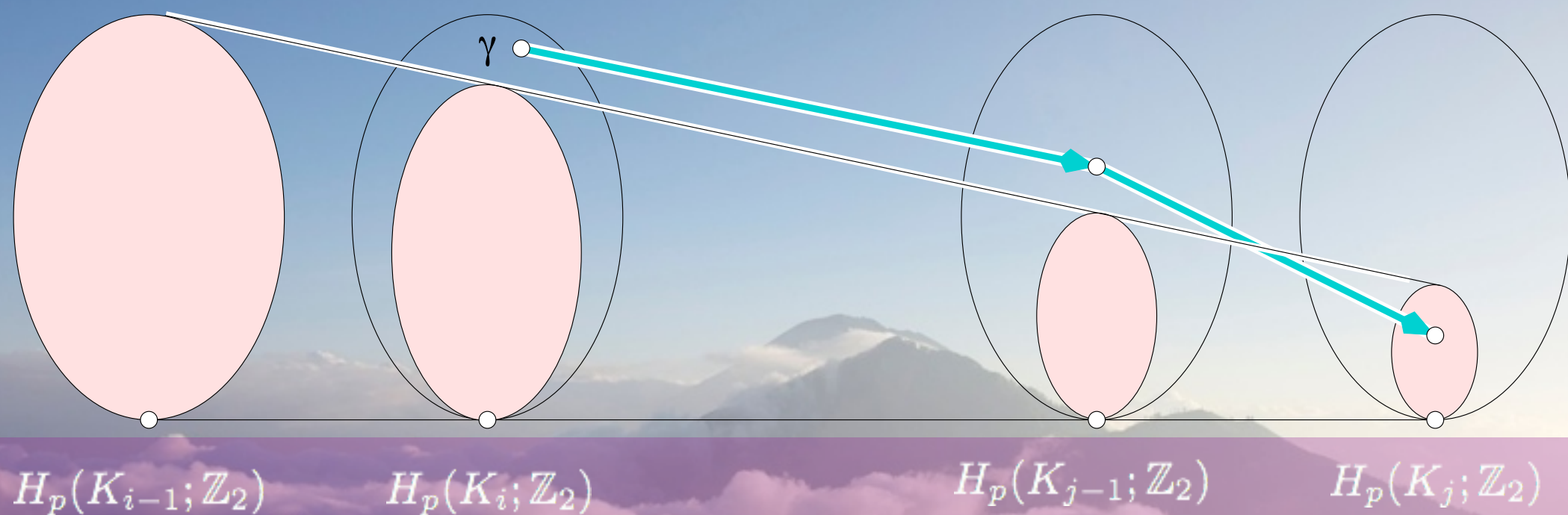
Remark. A filtration $\{K_i\}$ generates a sequence of homomorphisms

$$H_p(K_0; \mathbb{Z}_2) \rightarrow H_p(K_1; \mathbb{Z}_2) \rightarrow H_p(K_2; \mathbb{Z}_2) \cdots \rightarrow H_p(K_m; \mathbb{Z}_2)$$

Definition. *Born* - A homology class γ is born at K_i if it is not in the image of the homomorphism induced by $K_{i-1} \hookrightarrow K_i$

Definition. *Dies* - A homology class γ dies at K_j if the image of the homomorphism induced by $K_{i-1} \hookrightarrow K_{j-1}$ does not contain the image of γ , but the image of the homomorphism induced by $K_{i-1} \hookrightarrow K_j$ does

persistence



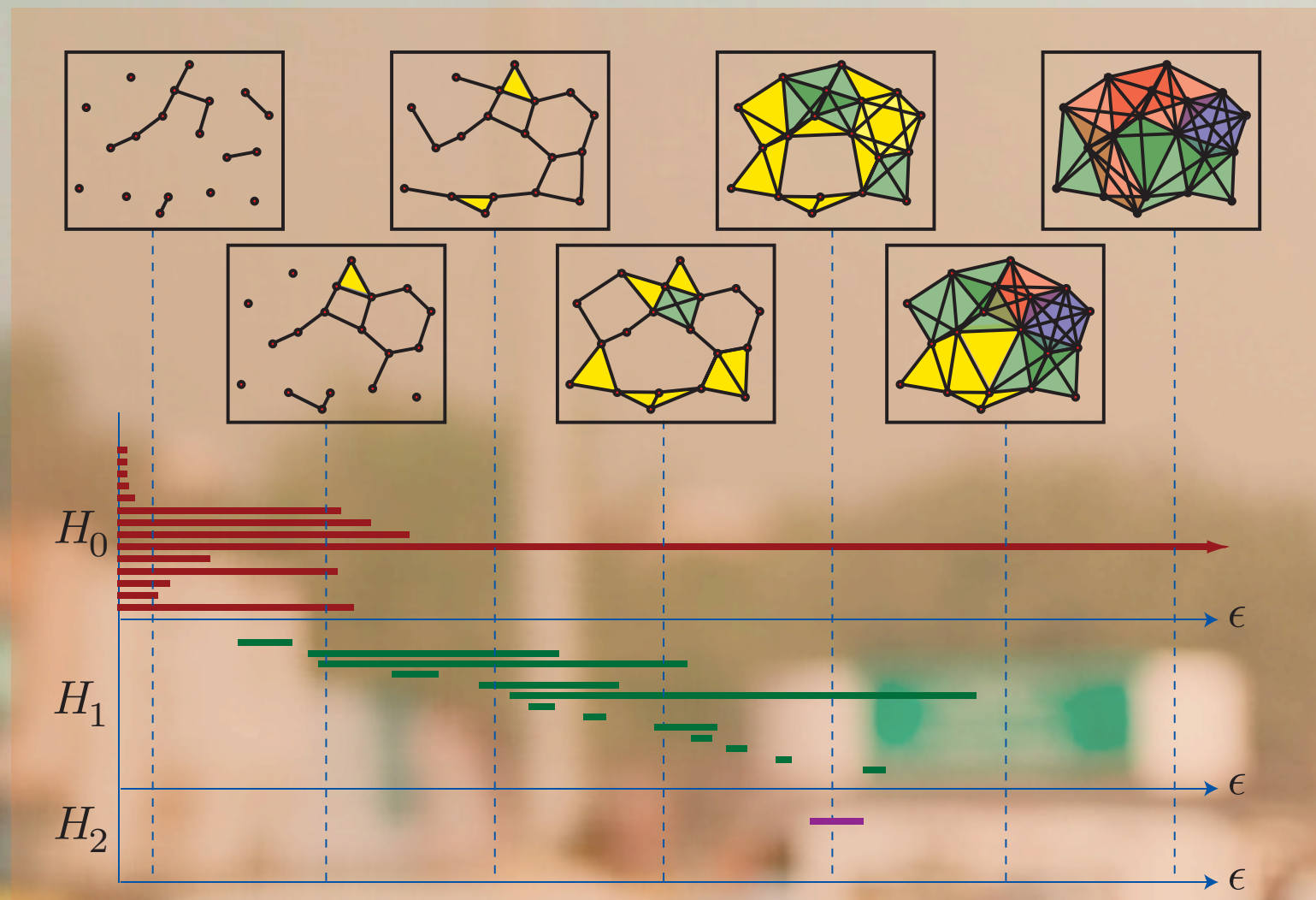
Definition. Persistence - The persistence of a homology element γ born at K_i and dying at K_j is $j - i$

Remark. What does this have to do with our original question?

Remark. How do we compute the Betti numbers $\beta_p(K; \mathbb{Z}_2)$?

Remark. How do we compute persistence?

so what!



calm before the algorithm

Definition. *Compatible Total Ordering* - A compatible total ordering on a simplicial complex K with filtration $\{K_i\}$ is a total ordering on the simplices $\sigma_i \in K$ such that:

- Simplices in each K_i precede those in $K - K_i$
- Faces of a simplex precede the simplex

Definition. *Boundary Matrix* - Matrix D_{ij} with rows and columns corresponding to simplices of K in a compatible total ordering such that $D_{ij} = 1$ if σ_i is a codimension 1 face of σ_j and $D_{ij} = 0$ otherwise.

Definition. $low(j)$ - The map $low(j)$ is defined to be the row number in D_{ij} of the lowest non-zero entry in column j . If column j contains only 0's, then $low(j) = 0$

the algorithm: betti numbers

```
for  $j = 1$  to  $|K|$  do  
  while  $\exists j' < j$  with  $low(j') = low(j) \neq 0$  do  
    add column  $j'$  of  $D_{ik}$  to column  $j$  of  $D_{ik}$   
  end while  
end for
```

Definition. $\#Zero_p(R)$ - Number of zero columns in R that correspond to p -simplices.

Definition. $\#Low_p(R)$ - Number of lowest ones in rows that correspond to p -simplices

Lemma. $B_p(K; \mathbb{Z}_2) = \#Zero_p(R) - \#Low_p(R)$

the algorithm: persistence

```
for  $j = 1$  to  $|K|$  do  
  while  $\exists j' < j$  with  $low(j') = low(j) \neq 0$  do  
    add column  $j'$  of  $D_{ik}$  to column  $j$  of  $D_{ik}$   
  end while  
end for
```

Remark. *The persistence of the simplices can be calculated as follows:*

- *If $low(j) = i > 0$, then the persistence of σ_i is $j - i$.*
- *If $low(j) = 0$ and there exists no k with $low(k) = j$, then σ_j essential, i.e. it doesn't die.*



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implementations:

phat - c++ with c++ api

dionysus - c++ with python api

plex - java with java api