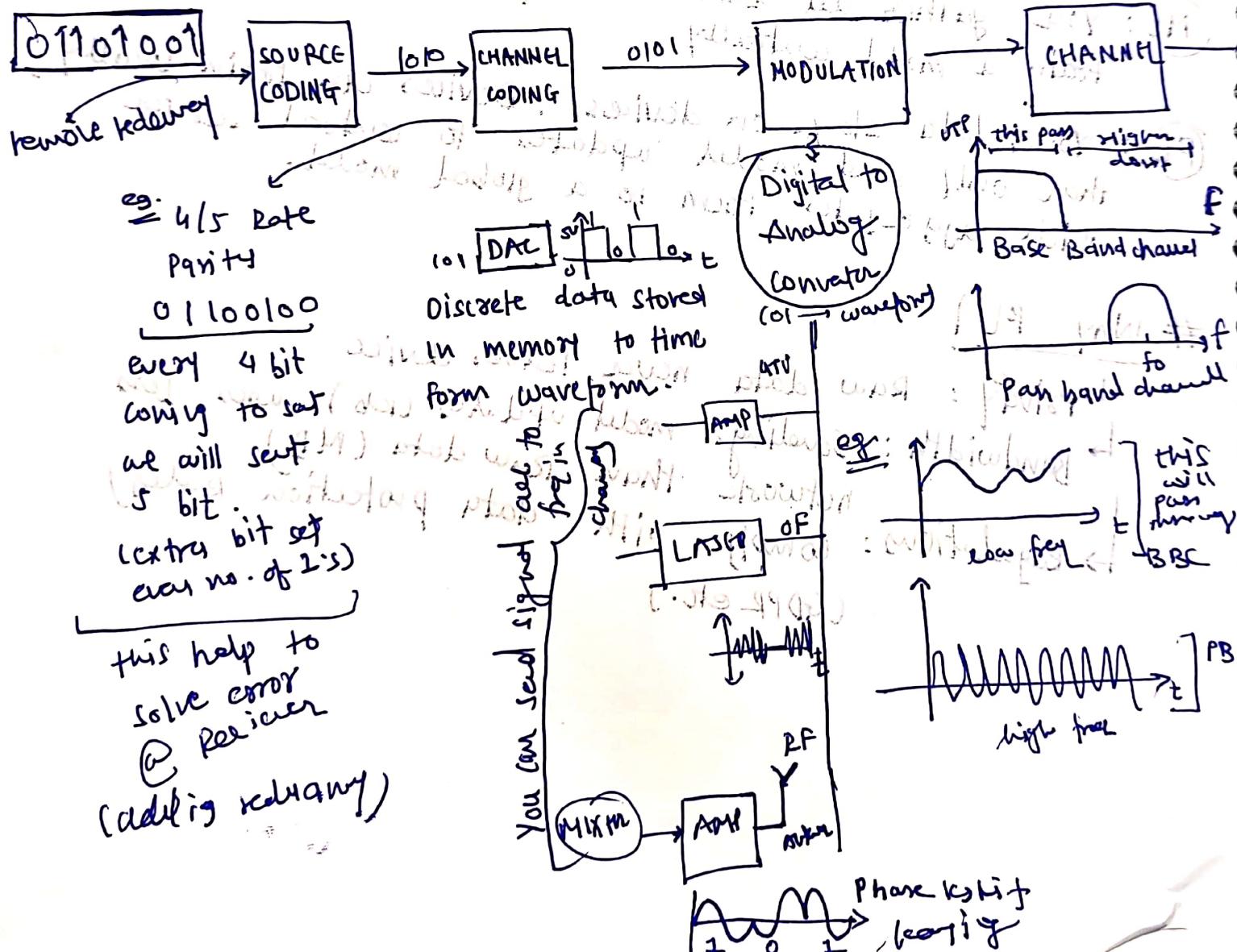


- OFDMA: Orthogonal freq. Division Multiple Access
- A technology in WiFi-6, improves wireless network performance by establishing independently modulating subcarriers within frequencies.
  - This approach allows simultaneous transmissions to and from multiple clients.
  - In dense deployments, OFDMA enables multiple users to transmit data simultaneously by dividing freq. spectrum into smaller subchannels & assigning them to different users. (Resource Units (RU))

## How is Data Sent? An Overview of Digital Communications



## NODULATION:

- The process of varying one or more props (Amp., phase or freq.) of a periodic carrier waveform in order to encode & transmit information. the information is a sequence of bits & each distinct bit pattern is mapped to a specific change in the carrier's parameter(s).

## Discrete (Digital) Modulation:

- Any modulation scheme in which carrier's parameters take on a finite set of distinct val, each representing a symbol (a grp of bits).

## ④ Federated Learning:



ML: you gather all data in one place (a server) then train a model centrally.



FL: your data stays on devices. Devices can train locally then only send model updates to central server which aggregates them to a global model.

### # Why FL?

→ Privacy: Raw data never leaves device

→ Bandwidth: Sending model updates (ICB) uses less bandwidth than raw data (NB)

→ Regulations: Comply with data protection rules (GDPR etc.)

# \* FedAvg Algorithm:

## 1. Server initialization:

server picks initial model parameters  $\omega^0_m$  for training A ->  $\omega^0_m$  is a starting point for all clients.

## 2. for each global round $T=1, 2, \dots$ :

a. server  $\rightarrow$  clients: Broadcast  $\omega^{T-1}$  to all selected clients.

b. local Training: (each client m):

$$\omega_m^i = \omega^{T-1}$$

For each  $i=1 \rightarrow A$  (Local epochs):

$$\omega_m^i = \omega_m^{i-1} - \eta \nabla F_m(\omega_m^{i-1})$$

Result:  $\omega_m^A$

c. clients  $\rightarrow$  server: Each client sends update

$$\Delta_m = \omega_m^A - \omega^{T-1}$$

d. Aggregation: server computes weighted average:

$$\omega^T = \omega^{T-1} + \frac{1}{M} \sum_{m=1}^M \frac{|D_m|}{\sum_m |D_m|} \Delta_m$$

$F_m(\omega)$ : loss func. of m

$|D_m|$ : No. of data samples on client m: weight according to dataset size

$\eta$ : Learning Rate

$$3 - \frac{1}{3} \omega^{T-1} = \omega^0, 3$$

# Differential Privacy (DP) in FL

- A randomized mechanism  $M$  (e.g. the noise adding step) is  $(\epsilon, \delta)$ -DP if any two adjacent datasets  $D$  &  $D'$  and for any measurable output set  $S$ :

$$\Pr[M(D) \in S] \leq e^\epsilon \Pr[N(D') \in S] + \delta$$

$\epsilon$ : privacy loss  $\Rightarrow$  smaller: strong privacy

$\delta$ : small prob. of failure

# Gaussian Mechanism For model of FL updates:

For each client's model update  $\Delta_m$ , we add noise:

$$\tilde{\Delta}_m = \Delta_m + \mathcal{N}(0, \sigma^2 I)$$

$\sigma$  is calibrated by sensitivity ...  $\Delta_s$  of  $\Delta_m$ :

$$\Delta_s = \max_{D, D'} \|\Delta_m(D) - \Delta_m(D')\|_2$$

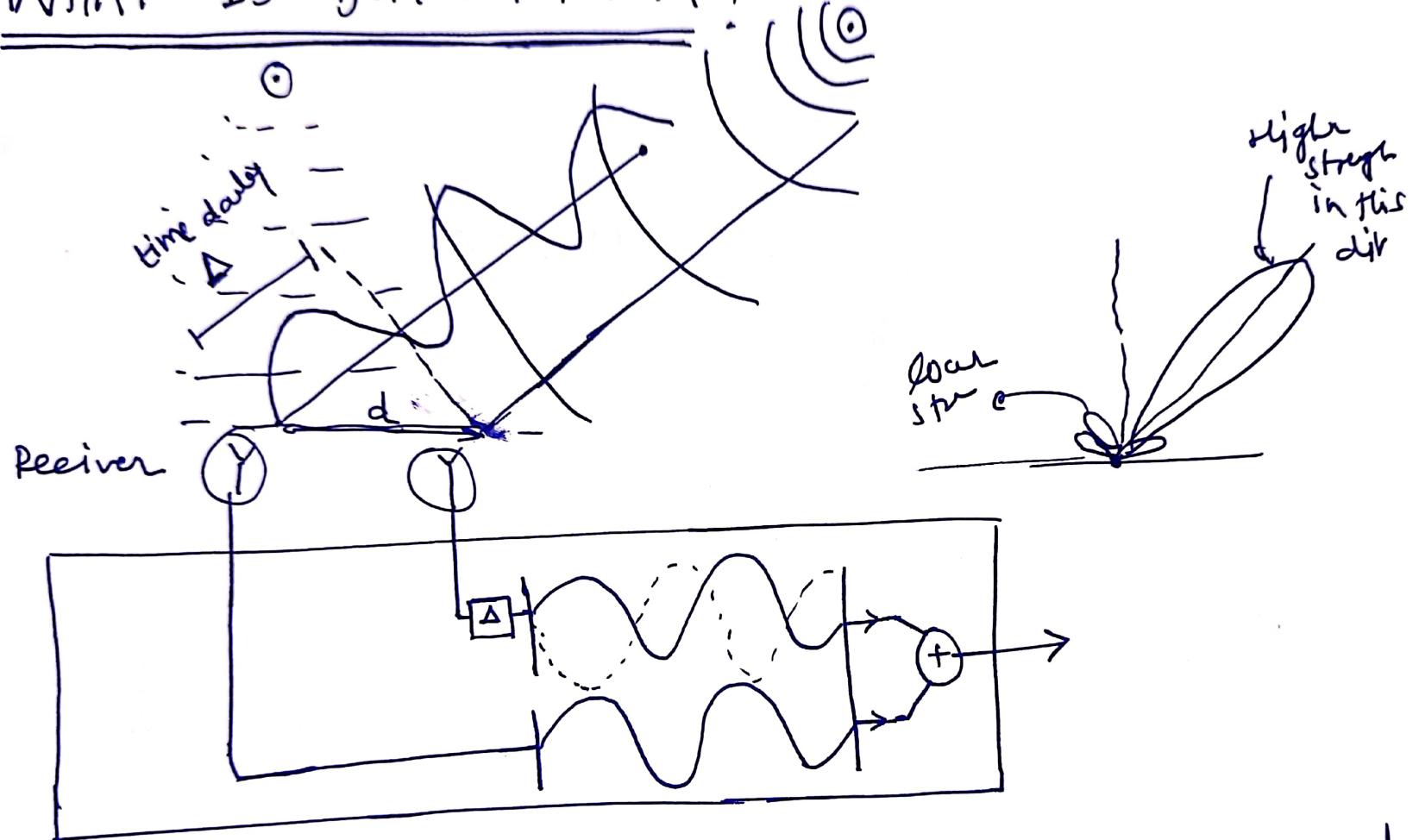
then  $\sigma = \frac{\Delta_s}{\epsilon} \sqrt{2 \ln \frac{1.25}{\delta}}$

for G Round:

$$\epsilon_{\text{total}} = \sqrt{2G \ln \frac{1.25}{\delta_{\text{total}}}}$$

(approx. via adv. comp)

# WHAT IS BEAM-FORMING?

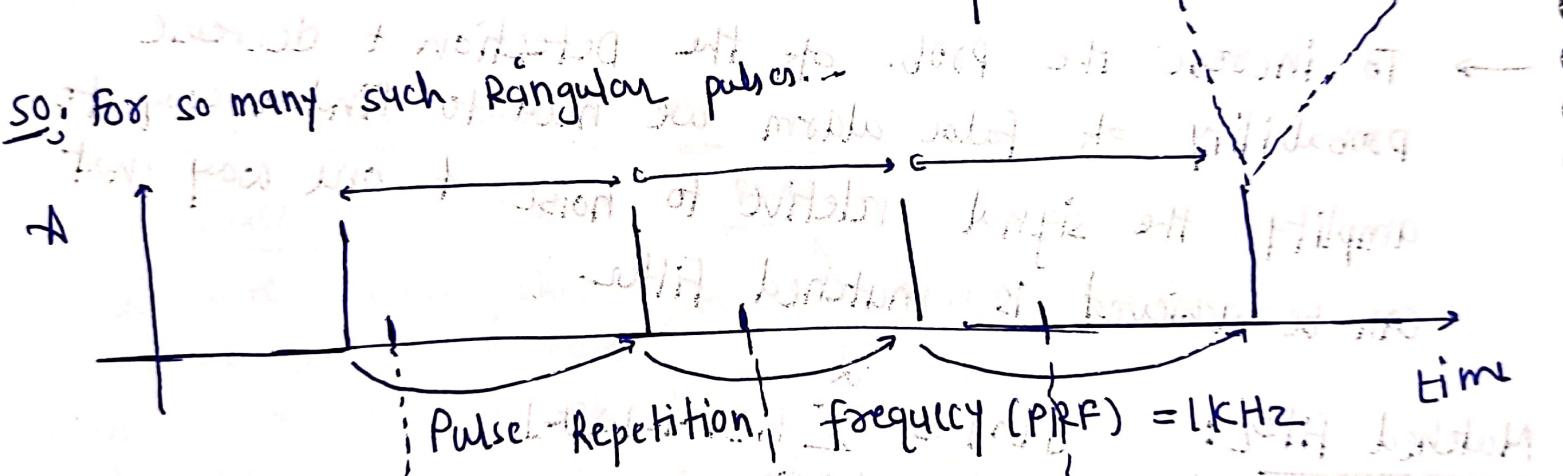
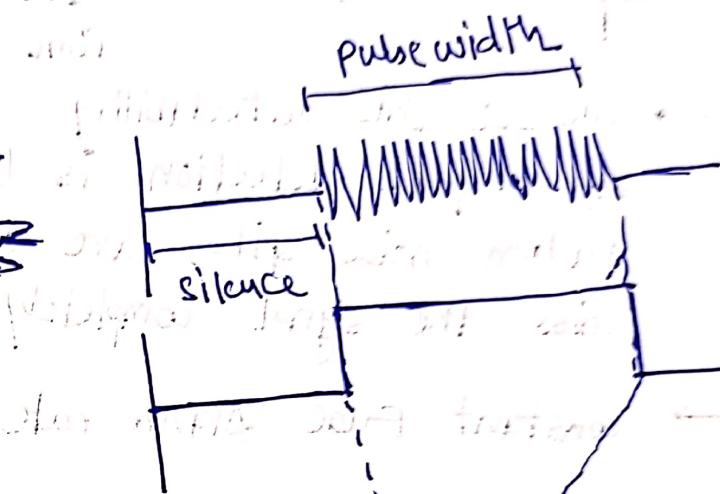
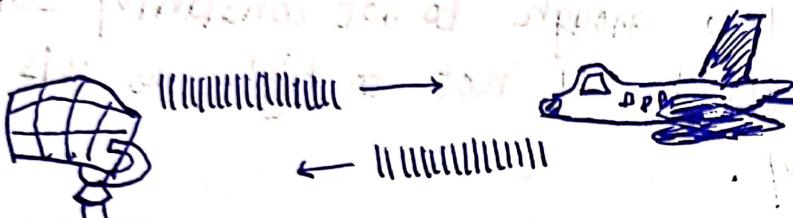


→ A technique that ~~discrete~~ directs wireless signals towards specific receiving devices rather than broadcasting in all directions  
Enhance signal strength

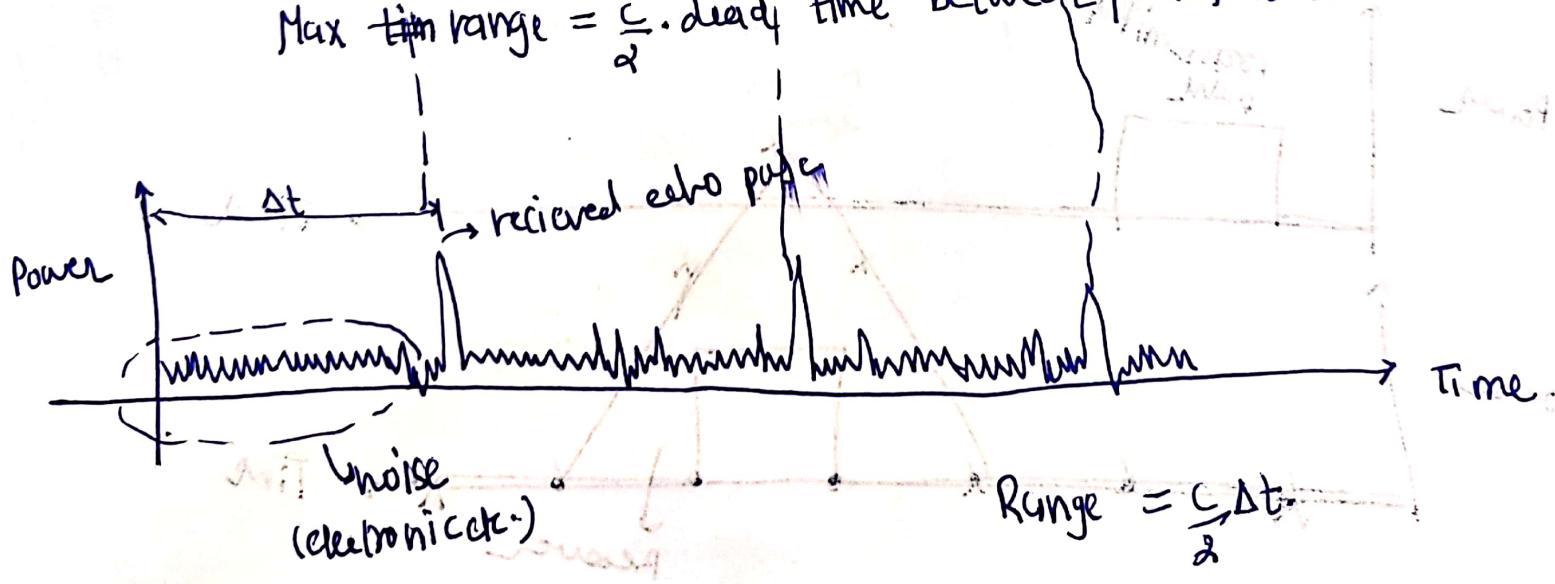
# Pulse-Doppler Radar | Understanding Radar Principles:

→ pulse radar sends out short bursts or pulses of high energy waves followed by long periods of silence where receiver is listening for reflected signal.

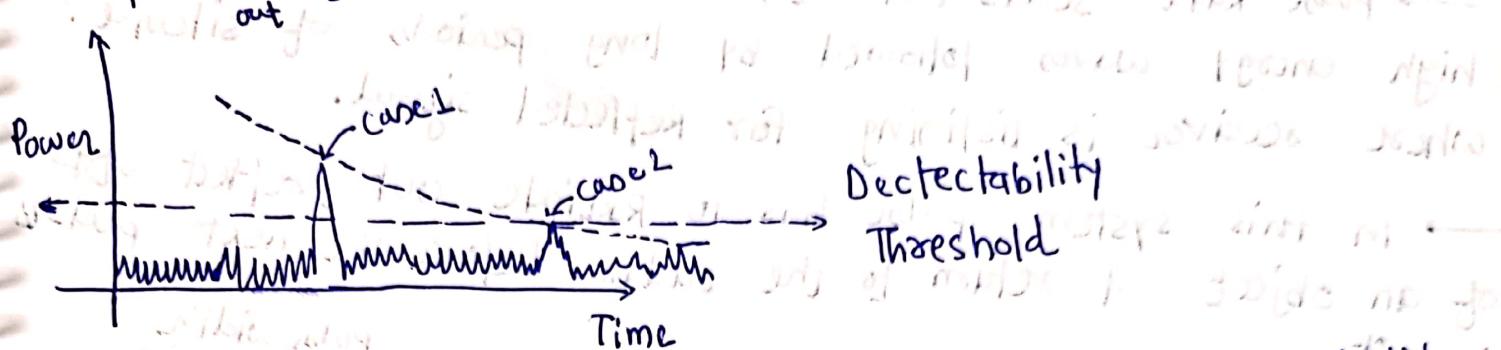
→ In this system, pulse has to radiate out, reflect off of an object & return to the radar before the next pulse is sent.



$$\text{Max range} = \frac{c}{2} \cdot \text{dead time between pulses} \approx 150 \text{ km}$$



→ signal power drops by the distance to the object raised to the power 4. As the object further away; it becomes difficult to pull it out of the noise.

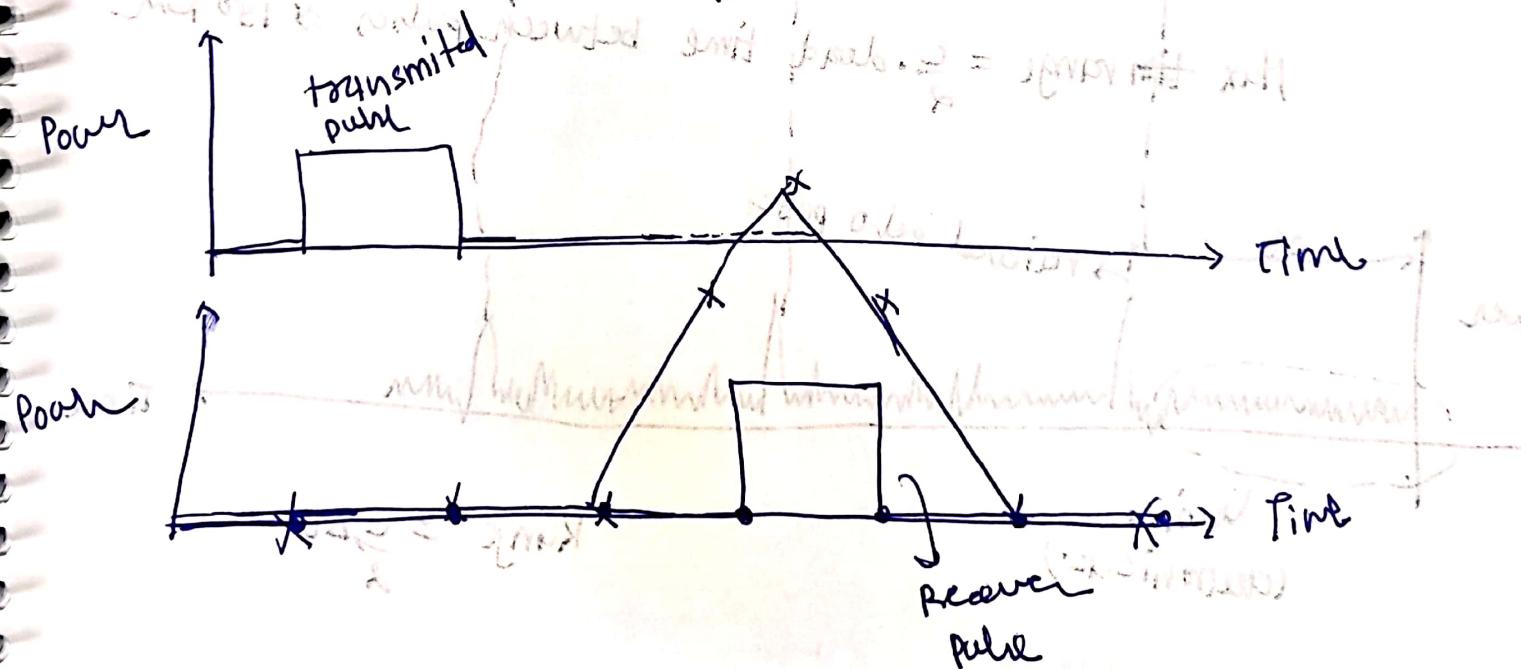


→ we set this detectability threshold such that Probability of a false detection is low enough to not constantly claim random noise spikes are objects, but not so high as this miss the signal completely.

→ Constant False Alarm rate / cfar = Algo to set threshold.

→ To increase the Prob. of detection & decrease probability of false alarm we need to find a way to amplify the signal relative to noise & one way that can be achieved is matched filter.

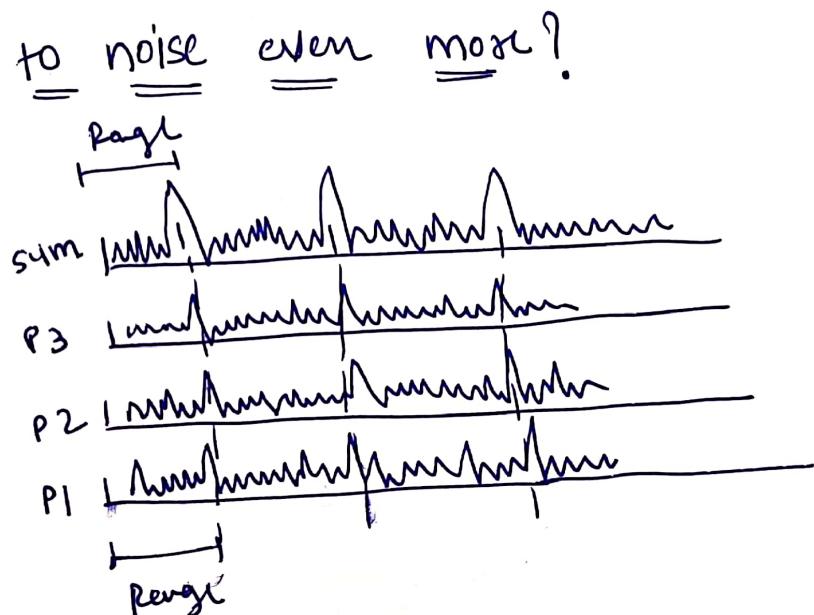
$$\text{Matched filter: } y[n] = \sum_{k=-\infty}^{\infty} h[n-k] x[k]$$



- we know the rectangular shape of transmitted pulse will have same shape when received. ( $x$  in eq) ( $h$  in eq.)
- By multiplying  $x$  by  $h$  that has been reversed in time & then summing up the result we are amplifying areas of strong correlation between  $h$  &  $x$ .
- The effect of amplifying is the signal & making signal more narrow by turning wide rectangle pulse into a single peaked triangle & that's why matched filter is called "compression pulse".
- This type of filter will amplify the signal more than that will amplify the ~~signal~~ noise since there is a perfect correlation between pulse & received signal.

How about boost signal to noise even more?

- By pulse integration.
- Integrate several pulses together in a row & sum up all of energy in each of them until the signal rises above detectability threshold.



## INTRODUCTION TO FL :- (H:I)

→ FL is an approach to ML in which training data is not managed centrally.

→ Data is retained by data parties that participate in FL processes & isn't shared with any other entity.

→ Bringing data together in centralized repo is problematic.  
**IN ML**  
 ↳ Problems :  
 → privacy issues.

→ The application of ML depends on availability of high quality training data.

→ sometimes, privacy considerations prevent training data to be brought to central repository to be processed for ML.

« Federated learning is an approach to train ML models on training data in disparate locations, not requiring the collection of data.»

# Regulations → [on use of consumer data] → GDPR, HIPAA, CCPA  
 → Poor communication connections and the sheer amount of data collected by sensors or in telecommunications devices make central data collection infeasible.

use, → FL also enables different companies to work together creating models for mutual benefit without revealing their trade secrets.

### \* How Does FL Works?

(In FL Approach) → (a set of distinct parties) → (who controls their respective training data)  
 ↓  
 without sharing their training data with other PARTIES.

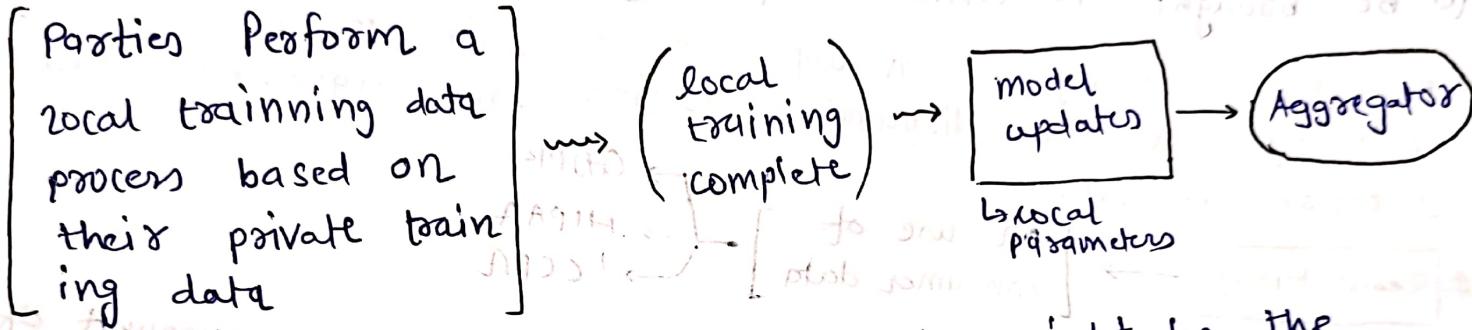
← (colaborate to train a ML model)

- Parties = Clients = Devices
- consumer devices such as smart phones or cars
  - cloud services of different providers
  - data centers processing enterprise data in different countries
  - Application silos within company

→ Embedded system such as automotive plant, robots, etc.

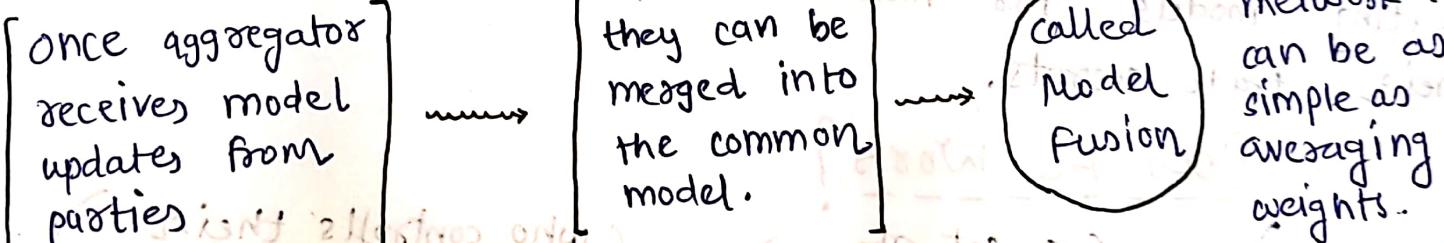
AGGREGATOR = "SERVER" = "COORDINATOR"

→ do collaborations



# eg. for neural network, model parameter might be the weight of network; i.e. so 12802432 pd. b322100 etc.

"MODEL FUSION"



→ the resulting merged model is then distributed again to parties as model updates to form the basis of next "ROUND" of training.

eg. In neural network the model can be as simple as averaging weights.

## \* \* Distributed Learning v/s FL:-

### (i) Distributive Learning :-

- ↳ uses clusters (groups) of computers (nodes) to share + speedup ML tasks.
- ↳ managed centrally where data is split + given out to nodes.
- ↳ often uses "parameter = server" to gather + aggregate results from each node.

### # Key Differences :-

#### 1] Data Distribution & Privacy :-

- ↳ data is managed centrally
- ↳ All nodes receive controlled + unknown data splits

#### 2] Federated Learning :-

- ↳ data remains local
- ↳ The system can't assume that each device has data ~~that~~ that is both independent + identically distributed (non-IID)
- ↳ some parties have much more data than others causing imbalance.

### + Implications of Training :-

- ↳ FL algorithms must be designed to handle data inbalance + the lack of central control over statistical props. of data.

## # CONCEPT OF FEDERATED LEARNING:

"Federated learning is a distributive machine learning approach where a global model  $M$  (representing a predictive function  $f$ ) is collaboratively trained using data partitioned across multiple parties. Each party  $P_k$  holds its own private data  $D_k$  & no party (including aggregator) have direct access to another's data. This paradigm preserves data privacy while still allowing the training of global model."

# Vanilla FedAvg Mathematical Model:

Phase: i

## Overview Fed learning:

### INTRODUCTION

FL is a decentralized ML paradigm in which multiple clients collaboratively train a shared global model under the coordination of a central server, while keeping their data locally.

Instead of sending raw data to the server, clients compute local updates based on their private datasets & send only model updates [e.g. gradients or parameters] to server.

This design promotes data privacy autonomy, low communication cost, and scalability across distributed systems.

## Typical FL ROUND:

### 1) BROADCAST:

server sends current global model to clients.

### 2) LOCAL TRAINING:

each client performs local optimization using its private data.

### 3) AGGREGATION:

clients send updated models to server, which averages them to update global model.



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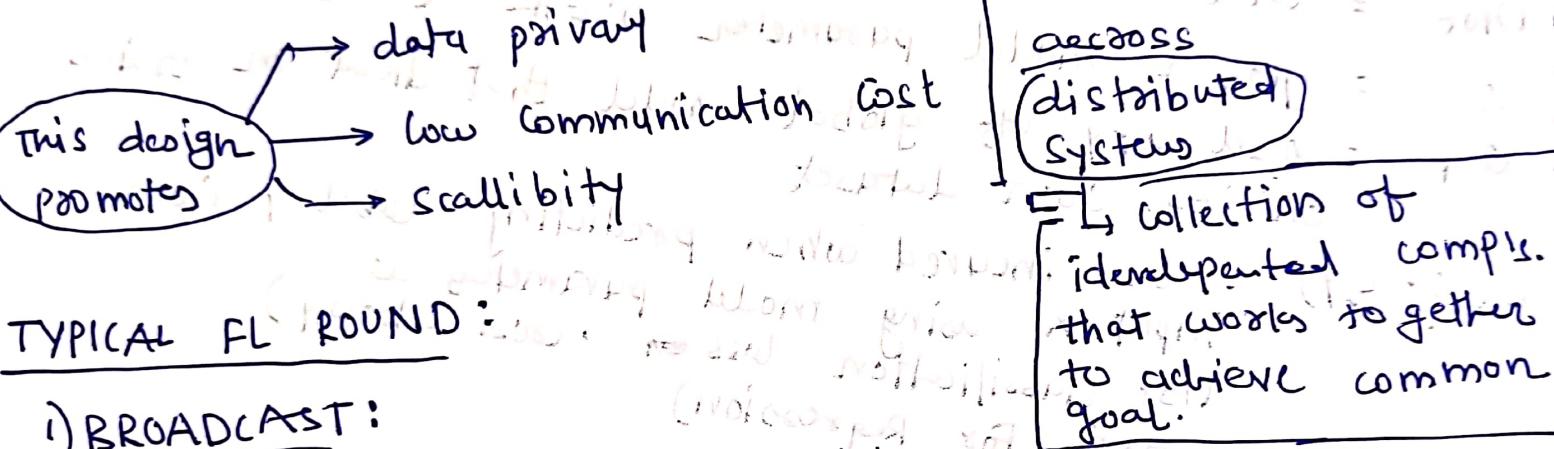
# Vanilla FedAvg Mathematical Model:

Phase : 1

## Overview\_Fed\_Learning: INTRODUCTION

FL is a decentralized ML paradigm in which multiple clients collaboratively train a shared global model under the coordination of a central server, while keeping their data locally.

Instead of sending raw data to the server, clients compute local updates based on their private datasets & send only model updates [e.g. gradients or parameters] to server.



## TYPICAL FL ROUND:

### 1) BROADCAST:

server sends current global model to clients.

### 2) LOCAL TRAINING:

each client performs local optimisation using its private data.

### 3) AGGREGATION:

clients send updated models to server, which averages them to update global model.

## Key Notations:

$M$  = no. of clients

$m$  = index of clients

$K$  = no. of classes

(e.g. 10 for MNIST)

$d$  = dimension of Input Vectors

$D$  = Global dataset  $D \subset \mathbb{R}^{d \times 1, \dots, K}$

$D_m$  = local dataset @ client  $m$  s.t.

$$D = \bigcup_{m=1}^M D_m$$

$|D_m|$  = size of local dataset @ client  $m$

$D_{\text{tot}}$  = total no. of samples across all clients i.e.

$$D_{\text{tot}} = \sum_{m=1}^M |D_m|$$

$\omega$  = global model parameter  $\omega \in \mathbb{R}^n$  ( $n$  = total parameters)

$\omega_m$  = local copy of global model that client  $m$  trains

on its own dataset

$l(\omega; x, y)$  = loss incurred when predicting label  $y$  from input  $x$  using model parameter  $\omega$

(for classification loss  $\rightarrow$  cross entropy)  
(MSE for regression)

$F_m(\omega)$  = local empirical loss @ client  $m$

L avg. loss over client  $m$ 's local dataset

$$F_m(\omega) = \frac{1}{|D_m|} \sum_{(x, y) \in D_m} l(\omega; x, y)$$

$F(\omega)$  = global loss function

L weighted avg. of local losses (weighted by dataset size)

$$F(\omega) = \sum_{m=1}^M \frac{|D_m|}{D_{\text{tot}}} F_m(\omega)$$

$|D_m| = \text{weight}$   
 $D_{\text{tot}} = \text{total weight of clients}$

L approx. the loss over entire dataset  $D$  while not requiring access to full data locally.

## #Assumptions on Data Distributions:

For vanilla FedAvg setup:

- Global Dataset  $D$  is IID (independently + identically distributed) i.e. each sample  $(x, y)$  in  $D$  is drawn from the underlying distribution.
- Data partitioned uniformly at random across clients.  
 $D_1, D_2, \dots, D_M$  are IID subsets of  $D$  with equal-sized partitions.
- Each client hold approx. equal-sized partitions.

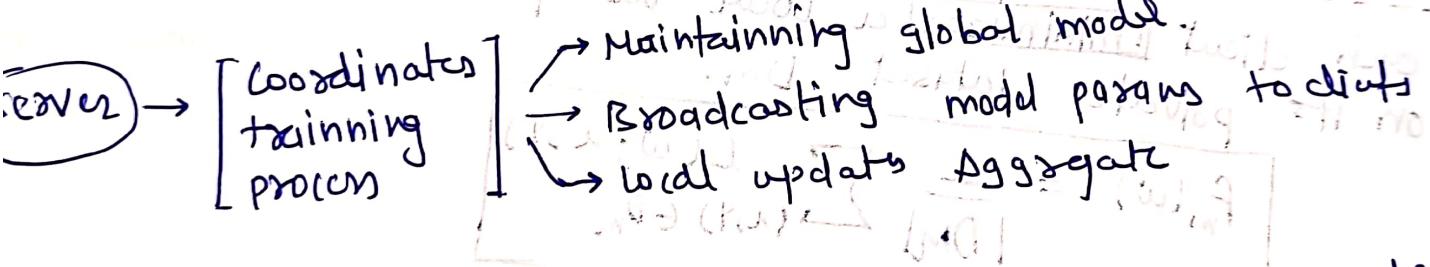
$$|D_m| \approx \frac{|D_{\text{tot}}|}{M}$$

for each  $m = 1, \dots, M$

## System Model

clients + server:

system consists of a [central server] with [ $M$  clients] (e.g. mobile devices)



clients → performs local training based on their private datasets & return updated model parameters to server.

at Partitionning:

$D \subset \mathbb{R}^d \times \{1, \dots, k\}$  ] each  $(x, y)$  in  $D$  consist of input feature vector  $x \in \mathbb{R}^d$  & label  $y \in \{1, \dots, k\}$   
is partitioned into  $M$  disjoint local subsets.

$$D = \bigcup_{m=1}^M D_m ; \text{ for } i \neq j \quad D_i \cap D_j = \emptyset \quad (\text{IID partition})$$

& each client  $m$  have  $|D_m|$  size data.

- # Model Architecture:
- shared model is parameterized by a vector  $\vec{w} \in \mathbb{R}^n$   
(e.g. the weights + biases for neural network)
  - Simple Multiplier Perception (MIP):
    - ↳ one hidden layer of size 32
    - ↳ ReLU activation
    - ↳ softmax output for K-classification (e.g. MNIST)
  - Each client maintains a local copy of the global model denoted by  $\vec{w}_m$  which updates during local training.

### Local Objective & Global Objective :

- # Local objective on client m:
- each client minimizes a local loss function  $f_m(\vec{w})$  based on its private dataset  $D_m$ .
- $$f_m(\vec{w}) = \frac{1}{|D_m|} \sum_{(x,y) \in D_m} l(\vec{w}; x, y)$$
- $l(\vec{w}; x, y)$  = loss incurred on a single training example  $(x, y)$  with parameters  $\vec{w}$ .
- ↳ for classification typical choice is cross-entropy-loss.

## # Global (Aggregated) Objective:

- server seeks to minimize global loss func., defined by weighted avg. of local losses.

$$\therefore F_m(\omega) = \left[ \sum_{(x,y) \in D_m} l(\omega; x, y) \right] \frac{1}{|D_m|}$$

$$\therefore F(\omega) = \sum_{m=1}^M \frac{|D_m|}{\sum_{j=1}^M |D_j|} F_m(\omega)$$

global  
loss  
function

$$\therefore F(\omega) = \sum_{m=1}^M \frac{|D_m|}{\sum_{j=1}^M |D_j|} \cdot \left[ \sum_{(x,y) \in D_m} l(\omega; x, y) \right] \frac{1}{|D_m|}$$

## # Optimality Target:

the global goal of training is to find an optimal model

$\omega^*$  that minimizes the global objective:

$$\therefore \omega^* = \arg \min_{\omega \in \mathbb{R}^n} F_m(\omega) = \arg \min_{\omega \in \mathbb{R}^n} \sum_{m=1}^M \frac{|D_m|}{|D_{\text{tot}}|} F_m(\omega)$$

$$\therefore \omega^* = \arg \min_{\omega \in \mathbb{R}^n} \left[ \sum_{m=1}^M \frac{|D_m|}{\sum_{j=1}^M |D_j|} \cdot \left[ \sum_{(x,y) \in D_m} l(\omega; x, y) \right] \right] \frac{1}{|D_m|}$$

Now ; Here comes Interesting thing :

As; Global loss:

$$\therefore F(\omega) = \sum_{m=1}^M \frac{1}{D_m} f_m(\omega) \quad \text{here, } D_{\text{total}} = \sum_{j=1}^M D_j$$

$$\therefore F(\omega) = \sum_{m=1}^M \frac{D_m}{D_T} \left( \frac{1}{D_m} \sum_{(x,y) \in D_m} l(\omega; x, y) \right)$$

$$\therefore F(\omega) = \sum_{m=1}^M \frac{1}{D_T} \sum_{(x,y) \in D_m} l(\omega; x, y)$$

$$\therefore F(\omega) = \frac{1}{D_{\text{total}}} \sum_{m=1}^M \sum_{(x,y) \in D_m} l(\omega; x, y)$$

$$\boxed{\therefore F(\omega) = \frac{1}{D_{\text{total}}} \sum_{(x,y) \in D} l(\omega; x, y)}$$

- just avg of entire dataset

- What's role of  $D_m$  ?

Why do we still weight clients by  $D_m$  ?

- we don't send gradients or losses to the server. we send parameter updates, which are influenced by how many data points the clients used to compute them.

when  
server aggregates  
updates

$$\boxed{\omega_{t+1} = \sum_{m=1}^M \frac{D_m}{D_{\text{total}}} \omega_m}$$

- it's doing to ensure that each client's contribution is proportional to the size of its dataset - not because  $f_m(\omega)$  numerically includes  $D_m$ , but because the update  $\omega_m$  was trained on  $D_m$  samples.

If we don't weight by  $D_m$ , then with 10 samples could have same influence as one with 10,000 which would be unfair & degrade convergence.

## Convergence Analysis: ???

### # Goal of optimisation:

- In FL (or in any iterative process), we repeatedly update our model parameter  $w$  so as to (hopefully) drive the loss  $F(w)$  down toward its minimum  $F(w^*)$ .
- Convergence analysis quantifies "how fast" & "under what conditions" these updates will actually get you close to minimum.

### # Guarantees v/s. Practice:

In practice you run FedAvg for some fixed no. of G & pick hyper-parameters (learning rate  $\eta$ , local epochs & etc.) by trial & error.

- Convergence analysis gives you guidance:
- ↳ How should  $\eta$  scale with  $A$ ;  $G$  &  $n$  (problem's smoothness)
  - ↳ How does data Heterogeneity (clients seeing diff. data) slow you down?
  - ↳ Will you even converge if  $\eta$  is too large or too small?

- # Comparing Methods:
- By deriving upper bound on  $F(w_G) - F(w^*)$ , you can compare FedAvg to other algorithms under same assumptions.

SSP: Depthless comparison

## When Do We Do It?

- Designing a new algorithm:  
e.g. adding compression, noise  
you need to show that it still converges.
- Tuning hyper-parameters:  
The theory often prescribes safe regions.
- Understanding trade-offs:  
more local work (A) reduces communication but may degrade convergence if data is heterogeneous.

## Convergence Analysis for FedAvg (Convex Case):

- classic (convex) analysis of vanilla synchronous FedAvg without any DP noise. We'll see the bound depends on three key factors:
  - ↳ Number of Global Rounds G
  - ↳ Number of Local steps A
  - ↳ Data heterogeneity

# Intuitive Anatomy of the One Round Bound:

After one round of FedAvg (each client doing  $\eta A$  local steps of size  $\eta$ ), we can roughly show:

$$\mathbb{E}[F(\omega_{t+1})] \leq F(\omega_t) - \underbrace{\eta A \|\nabla F(\omega_t)\|^2}_{\text{Expected global loss before this round}} + \underbrace{\frac{\eta^2 LA}{2M} \sum_m \|\nabla F_m(\omega_t) - \nabla F(\omega_t)\|^2}_{\text{steepness of loss function}} + \underbrace{\eta^2 AL\sigma^2}_{\text{heterogeneity penalty}}$$

Round AP =  $\eta A \cdot \eta = \eta^2 A$

①  $F(\omega_t) \rightarrow \mathbb{E}[F(\omega_{t+1})]$

- When you start round loss =  $F(\omega_t)$
- when you end up next round, expected loss =  $\mathbb{E}[F(\omega_{t+1})]$

②  $\eta A \|\nabla F(\omega_t)\|^2$

- $\|\nabla F(\omega_t)\|^2$ : how steep the loss landscape is @  $\omega_t$
- $\eta A$ : Total "step-size budget" per round
- The bigger the gradient norm & more local steps you take, the more you expect to decrease the loss that round.

③ Heterogeneity penalty

- $\sum_m \|\nabla F_m - \nabla F\|^2$ : If client sees diff data, their local gradients points in slight diff dir. so you don't make as pure a descent step. The penalty quantifies that misalignment.

- ④ Variance Penalty is  $\frac{1}{n} \sum_{i=1}^n \|g_i\|^2$  (mini batch)  $\rightarrow$  ~~you're only updating~~  
-  $\alpha/\sigma^2$ : variance of stochastic gradients  
- Noise can undo your progress

So If data is IID (no heterogeneity) + You use full gradients ( $\sigma^2=0$ ) ...

$$\text{min}_w E[F(w_{t+1})] \leq F(w_t) - \eta A \| \nabla F(w_t) \|^2$$

but when data is heterogeneous ; the extra terms reduce your net gain in each round.

### #Inferences:

- 1] More Local Steps  $A$
- 2] Larger Learning Rate  $\eta$  (but both penalty will scale like  $\eta^2$  so too large  $\eta$  will actually increase loss)
- 3] Data Homogeneity Helps
- 4] Larger Batches  $\rightarrow$  smaller  $\sigma^2 \rightarrow$  less Variance penalty

Homogeneous

Homogeneous

Heterogeneous

Homogeneous

Heterogeneous

Homogeneous

Heterogeneous

Homogeneous

## # Big Picture:

Inequality is a tuner for AvgFest.

- Balance  $\eta A$  so descent dominates the penalties
- Mitigate penalties by increasing batch size or reducing heterogeneity.

$$\therefore \underbrace{\text{Gain}_t}_{\eta A \|\nabla F\|^2} > \underbrace{\text{Cost}_{\text{net}}}_{\propto n^2 A} + \underbrace{\text{Cost}_{\text{van}}}_{\propto n^2 A}.$$

## Synchronous FedAvg Algorithm:

Params :  $G, A, \eta$

### Initialization:

server sets  $w^0$  (random/pretrained) :

or Each Global Round  $t = 0 \rightarrow G-1$  :

a. Broadcast  $w^t$  to all clients

b. On each client  $m$ :

$$1) w_m^{t,0} = w^t$$

2) For  $j = 1, \dots, A$  :

$$w_m^{t,j} = w_m^{t,j-1} - \eta \nabla F_m(w_m^{t,j-1})$$

c. Client  $m$  returning  $w_m^{t,A}$

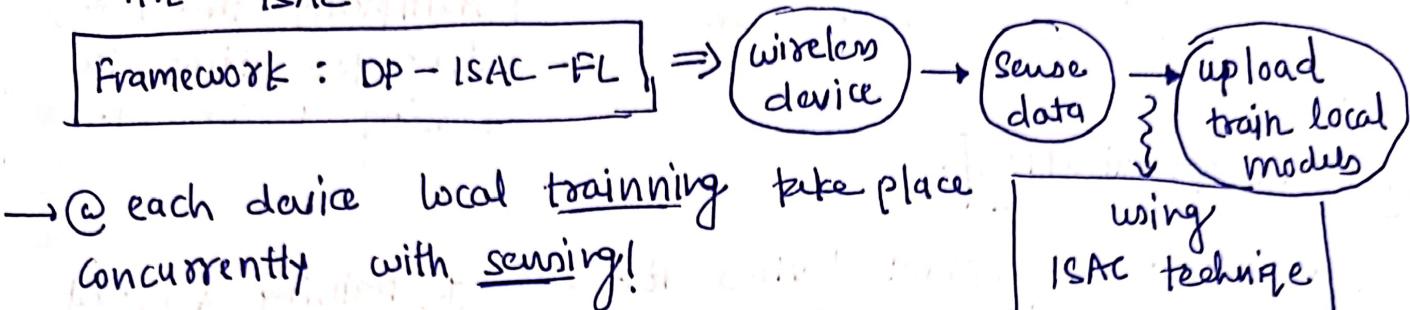
d. server Aggregates :

$$w^{t+1} = \sum_{m=1}^M \frac{D_m}{D_{\text{tot}}} w_m^{t,A}$$

Output  $w^G$  :

# Differentially Private Wireless Federated Learning With Integrated Sensing & Communication :-

↳ novel framework for Differentially private (DP) wireless FL with ISAC.



## [INTRODUCTION] :

- IN wireless world, devices (phones, cars) can do something with radio waves. They send out radio "ping" and listen for the echo. That tells them where the objects are. This is called "Echo" [sensing].
- devices use those same radio waves to send data like msg, pictures or model updates to server or to each other. This is called "communication".

Most of them have two separate devices

- ↳ one for sensing (radar like)
- ↳ one for communication (wifi, cell phone)

If we could make a single device that both do sensing & comm. @ same time

## [ISAC - FL]

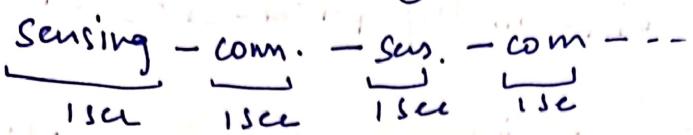
- ↳ Senses (sense env data)
- ↳ Trains (trains own model on data)
- ↳ Communicate (Model exchange)
- ↳ Repeats

all using same device

(same radio circuitry & spectrum)

## Time-Division Multiplexing:

- ↳ as both are happening through same device, both can't happen @ same instant.



This is Time Division Multiplexing that you share your time btw sensing + commu.

## ISAC-FL optimisation:

- ↳ selection of smart devices for sensing, training & model updating
- ↳ time allocation (train, sense, update, share)
- ↳ beam forming designs for radar swing
- ↳ allocation of freq channels & transmit power for devices selected to deliver their local models.

## Differential Privacy (DP):

You are doing sensing (like radar) & training a model on that radar data to identify things...

But] Radar data can reveal your location:

eg- car radar sees object 5m ahead

= That radar pattern basically shows what car is.

↳ here your sensing data leaks location info.

## What DP does?

↳ adds random noise to model before uploading

↳ This makes mathematically hard to infer things.

But adding = losing noise accuracy

global model will train a bit slower

∴ Effect On Converg

∴ If your dataset is big → even if you add noise → not much effect on accuracy

So Here: [Balance] [Privacy  
(more noise)] + [Performance  
(less noise)]

IN GIVEN PAPER: DP-ISAC-FL framework

DP-ISAC-FL: FL with DP using ISAC

OFDMA for sensing

- Uplink communications (model uploads) uses "Orthogonal Frequency Division Multiple Access"; so multiple device can upload simultaneously on separate frequency slots (subchannels).

Discrete Modulations

- Devices can't use "any" single modulation - they pick from real-world sets like QPSK, 16-QAM. That reflects practical hardware limits.

What is analyzed?

↳ CONVERGENCE UPPER LIMIT of FL process.

- i.e. "given all delays, noise, limits in radio & computing what is best accuracy the global model can hope to reach." which devices are selected
- ↳ limits
  - How time is split between sensing + comm.
  - which subchannels or modulation types are assigned
  - how much power each device use

What is optimized?

↳ "Built a new algorithm that minimize that convergence upper limit."

- i.e. It helps system learn faster while keeping privacy and resource limits in check.

## Algo Jointly Decides:

- ↳ sensing beamforming : = which dir. + sta. to use for radar sensing.
- ↳ Time allocation = How much time to spent on sensing + uploading
- ↳ subchannel assignment = Which device get which freq. band for uploading
- ↳ Modulation choice = What data rate/modulation scheme each device should use
- ↳ Power control = How much transmission power each device should use for effective, low error uploads.

→ This paper builds & analyzes a smart, private FL system where car/devices share sensing and comms hardware & it designs a joint scheduling algo. to make them learn as fast as possible - despite real world limits like noisy channels, fixed modulations, limited power & privacy rules.

### [+] Architecture of DP-ISAC-FL

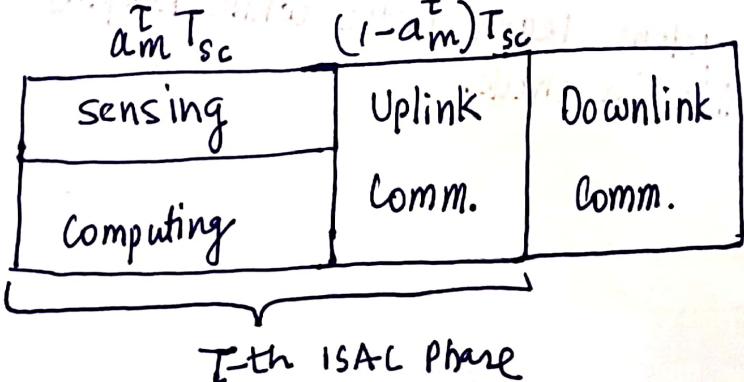
#### A. System Model

Proposed DP-ISAC-FL has OFDMA interface. → where FL server serves M clients via K subchannels → Server has  $M_s$  antennas.

→ trains global model relying on individual datasets of M clients by periodically aggregating the local models trained at clients.

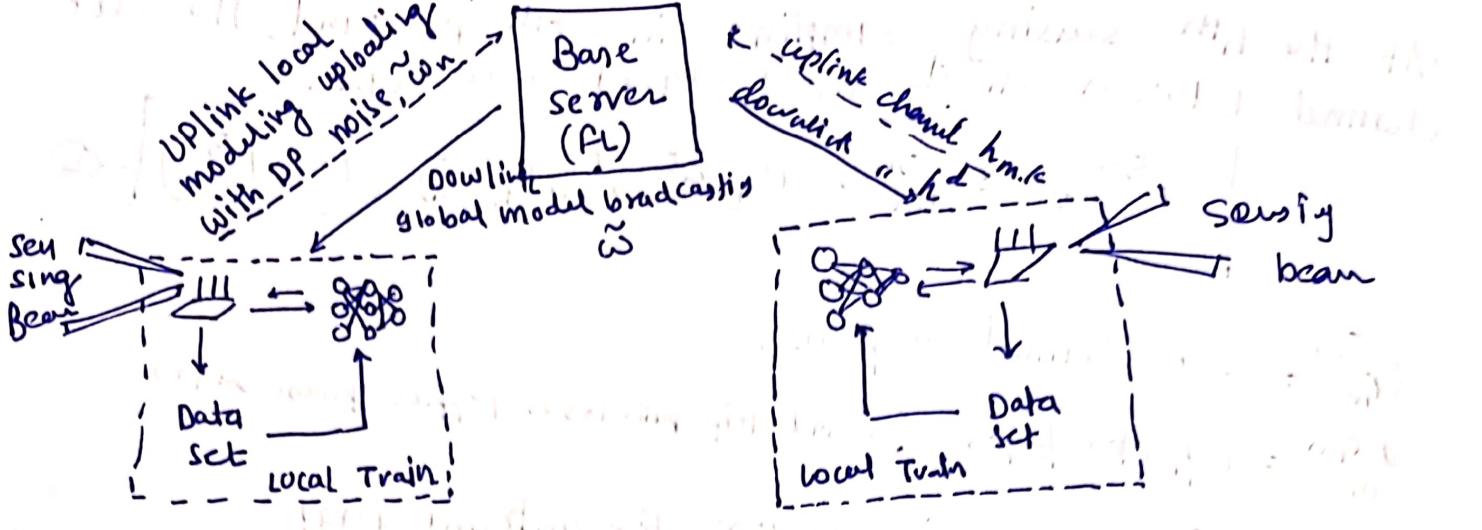
→ Each client  $m \in \mathcal{M} = \{1, \dots, M\}$  has  $M_a$  antennas & can perform sensing and communication in a "time division multiplexing (TDM)" manner in each FL round.

$\alpha_m^T$  = Time allocation btw sensing + comm. at each client in  $T$ -th FL round



$T_{ISAC}$  = Duration of an ISAC phase

Time allocation in DP ISAC-PL aggregation round



sensing + training - parallelly + uploading afterwards (communication)

### [B] Radar Sensing Model:

$a_m^{\tau}$  = fraction of time client m spends on sensing in round  $\tau$  (0,1)

$c_s$  = Sampling interval  $\Rightarrow 1/c_s$  sampling rate (Hz)

$D_m^{\tau}$  = Total no. of Data samples  $\omega t$  end of round  $\tau$

$T_{sc}$  = Total duration of ISAE round

$\theta_m^{\tau}$  = client m detects a target  $\theta_m^{\tau}$  relative to clients position.

∴ local Dataset :  
@ end of  $\tau$  Round  
of client m.

$$D_m^{\tau} = D_m^{\tau-1} + \frac{a_m^{\tau} T_{sc}}{c_s} \quad \text{--- (1)}$$

$$a_m^{\tau} = c_s \left( \frac{D_m^{\tau} - D_m^{\tau-1}}{T_{sc}} \right) \quad \text{--- (1); } \forall m; \tau$$

→ measure or adjust sensing time based on dataset growth and Sampling speed.

At the  $n$ th sensing sampling in  $T$ th PI round, the echo channel between client  $m$  & target given by...

$$G_m^{T,n}(t) = g_m^{T,n} \alpha(M_a, \theta_m^T) \alpha^T(M_a, \theta_m^T) \delta(t - d_m^{T,n}) \quad (1)$$

$G_m^{T,n}$  = echo channel response

$g_m^{T,n}$  = reflector factor (round trip path loss, Doppler phase shift)

$\alpha(M_a, \theta_m^T)$  = steering vector for antenna array.

$$\alpha(M_a, \theta_m^T) = [1, e^{j2\pi d \sin \theta_m^T / \lambda}, \dots, e^{j2\pi d \sin \theta_m^T / \lambda}]^T$$

↳ how to focus its beams in direction  $\theta_m^T$   
(directional vector)

$\delta(t - d_m^{T,n})$  = direct delta function = ping @ time  $d_m^{T,n}$

↳ how far target is

↳ how long the echo took to return

$$G_m^{T,n}(t) = \text{echo } \cancel{\text{reflecting}} \times \text{beamforming } \times \text{delayed } \times \text{impulse } \times \text{return}$$

for clutter (unwanted echoes)

$$C_m^{T,q}(t) = \sum_{q=1}^Q C_m^{T,q} \alpha(M_a, \psi_m^{T,q}) \alpha^T(M_a, \psi_m^{T,q}) \delta(t - d_m^{T,q}) \quad (2)$$

Clutter  
Signal

total clutter  
reflection factor  
DOD  
AUA

- Client M sends a radar sensing often an FM(CW (Freq.-Modulated Continuous Wave) pulse.
- The signal is beamformed using  $W_m^T$  - a vector that focuses the radar wave in direction  $\theta_m^T$ .

The Received signal @ client M....

i: Received echo is...

$$y_m^{T,n}(t) = [(G_m^{T,n}(t) + C_m^T(t)) * W_m^T] * S_m^{T,n}(t)$$

$$\therefore y_m^{T,n}(t) = \left[ g_m^{T,n} G_m^T W_m^T + \sum_{q=1}^Q C_m^{T,q} \alpha(M_q, \Psi_m^{T,q}) \alpha^T(M_q, \phi_m^{T,q}) W_m^T \right]$$

$$x S_m^{T,n}(t - d_m^{T,n}) + n_m,$$

$$\hat{G}_m^T \triangleq \alpha(M_q, \Psi_m^T) \alpha^T(M_q, \theta_m^T)$$

\* = convolution op.

$n_m \sim CN(0, \sigma^2 I_m)$  = additive white Gaussian noise (AWGN)

Signal-to-Interference-plus-Noise Ratio (SINR):

SINR tells us how strong the useful echo is compared to junk + noise.

$$\text{SINR}_m^T = \frac{\|g_m^T \hat{G}_m^T W_m^T\|^2}{\|C_m^T W_m^T\|^2 + \sigma^2}$$

↑ signal power from desired target  
↓ noise + clutter power

High SINR = Better sensing quality

$$T_{min} \leq \text{SINR}_m^T \rightarrow \text{threshold for effective sensing}$$

$$\|W_m^T\| \leq P_{max}$$

emission power of each client must not exceed the max. transmit power.



### [C]. FL Model

#  $T = \text{total iterations of local updates}$

\* Every  $A$  iteration you do one global aggregation.

$$\therefore G = T/A$$

→ we want to collaboratively train a single model  $w$  that minimizes the weighted sum of all clients losses:

$$F(w) = \sum_{T=1}^{G} \sum_{m=1}^M \frac{|D_m^T|}{\sum_{m'} |D_{m'}^T|} F_m(w)$$

⑥

$F_m(w)$  = client  $m$ 's local loss function on that clients data.

The optimal model  $w^*$  solves...

$$w^* = \arg \min_w F(w)$$

⑦

#### @ Local Model Training -

- At each local iteration  $i$ , client  $m$  updates its local parameter  $w_m^i$  by one step of gradient descent:

$$w_m^{i+1} = w_m^i - \eta \nabla F_m(w_m^i)$$

⑧

$\eta$  = learning rate

$\nabla F_m(w_m^i)$  = the gradient loss on client  $m$  at  $w_m^i$

= Each client does such A local update before the next global aggregation.

Once client  $m$  finishes its local updates in round  $T$ , it has a local model  $\tilde{\omega}_m^T$ . To protect privacy, it adds Gaussian noise:

Gaussian noise:

$$\tilde{\omega}_m^T = \omega_m^T + n_m^T; \quad n_m^T \sim N(0, (\sigma_{\text{DP}}^T)^2 I)$$

↓      — (8)      ↘

noisy  
model which  
will be sent to  
server      random noise vector

Global Aggregation:

At the end of each round  $T$ , server has received  $\tilde{\omega}_m^T$  from each selected client  $m$ . It forms the new global model  $\tilde{\omega}^{T+1}$  by weighted average:

$$\tilde{\omega}^{T+1} = \tilde{\omega}^T + \sum_{m=1}^M \frac{|D_m^T|}{\sum_{m=1}^M |D_m^T|} \cdot (\tilde{\omega}^{T+1} - \tilde{\omega}_m^T) \quad -(9a)$$

since each clients local update  $\tilde{\omega}_m^{T+1} - \tilde{\omega}_m^T$  is roughly  $-\eta \sum_{j=1}^A \nabla F_m(\tilde{\omega}_m^{T+j})$

$$\therefore \tilde{\omega}^{T+1} = \tilde{\omega}^T - \sum_{m=1}^M \frac{|D_m^T|}{\sum_{m=1}^M |D_m^T|} \left( n \sum_{j=1}^A \nabla F_m(\tilde{\omega}_m^{T+j}) \right) \quad -(9b)$$

Each new global model is the old model minus a weighted sum of all clients gradients.

$\tilde{\omega}^{T+1}$  is used to initialize the  $(T+1)$  FL round. Where weighted averaging helps capture the local data sizes @ clients & guarantee unbiased aggregation.

[Model]: The FL server informs all clients of  $\tilde{\omega}^{T+1}$  them, clients resume model training.

### [D] Computation Model

computing power of a client = number of FLOPs (floating point ops).

let  $U = \text{no. of FLOPs req. to train mini batch of data.}$

$\therefore$  for client  $m$ :

$$U_m = UABm$$

$A = \text{no. of local training iterations between two systematic aggregation}$

$B_m = \text{size of mini-Batch}$

$\therefore$  The local training delay of client  $m$  is ...

$$T_{m,C} = \frac{U_m}{f_m B_m} = \frac{UABm}{f_m B_m} \quad \text{--- (10)}$$

$f_m = \text{CPU freq.}$ ;  $B_m = \text{no. of FLOPs that a CPU cycle can execute at a client.}$

Since, training is performed within sensing period of time ...

$$T_{m,C} \leq C_1 T_{sL}$$

$$\therefore \frac{UABm}{f_m B_m} \leq C_1 (D_m^T - D_m^{T-1}) \quad \text{--- (11)}$$