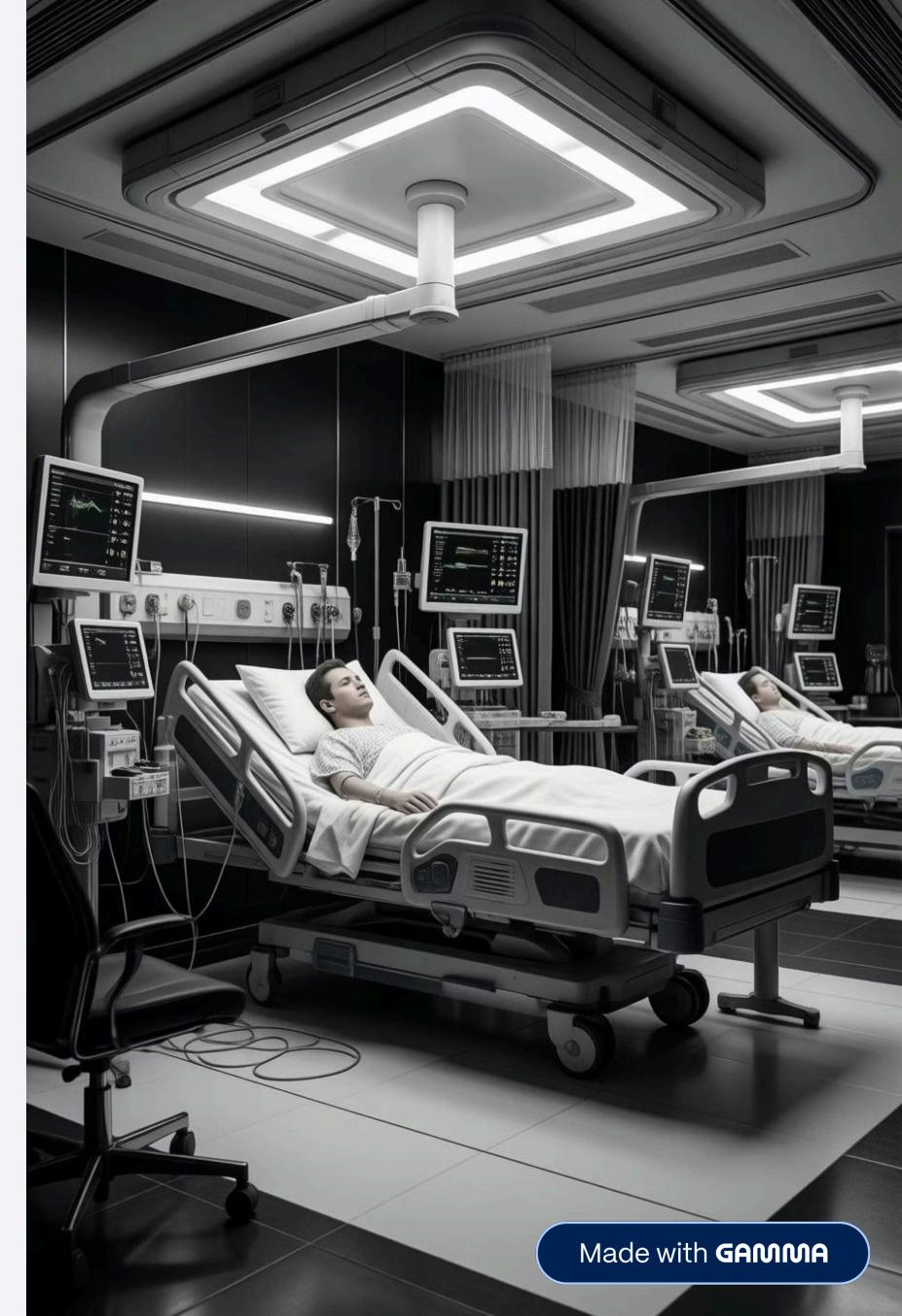


# Machine Learning-Based Prediction of ICU Mortality

A retrospective cohort study using MIMIC-III electronic health record data to develop and validate predictive models for in-hospital mortality in adult intensive care patients

 CRITICAL CARE RESEARCH

 PREDICTIVE ANALYTICS



## INTRODUCTION

# The Critical Need for Mortality Prediction

## Clinical Challenge

Early identification of high-risk ICU patients remains essential for optimal resource allocation, timely interventions, and informed decision-making. Traditional severity scoring systems like APACHE and SOFA have limitations in capturing patient complexity and require manual calculations.

## Machine Learning Advantage

- Identifies complex non-linear relationships between predictors
- Provides automatic feature importance ranking
- Enables real-time, scalable predictions
- Continuously improves with new data

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**Study Objective:** Develop and validate machine learning models for predicting in-hospital mortality using routinely collected EHR data from 25,324 ICU admissions.



## METHODS

# Data Source and Study Design

### Database

MIMIC-III v1.4: 53,423 hospital admissions from Beth Israel Deaconess Medical Center (2001-2012)

### Final Cohort

25,324 adult ICU admissions meeting inclusion criteria: age 18-85, ICU stay  $\geq 24$  hours, complete data

### Outcome

In-hospital mortality: 2,497 deaths (9.86%) vs 22,827 survivors (90.14%)

- Data integration involved joining 7 MIMIC-III tables including patient demographics, ICU stays, diagnoses, procedures, and outcomes. Rigorous data leakage prevention excluded post-admission variables.

# Feature Engineering Strategy

## 12 Predictor Variables

- **Demographics**

Age, gender, ethnicity (5 groups)

- **Comorbidities**

Diabetes, hypertension, CKD, CHF, COPD, cancer

- **Clinical Features**

Diagnosis group (12 categories), respiratory procedures, comorbidity count

## Comorbidity Detection

Binary flags created using ICD-9 diagnosis code pattern matching on standardized clinical terminology:

Condition	Matching Keywords
Diabetes	"diabetes"
Hypertension	"hypertension", "high blood pressure"
CKD	"chronic kidney", "renal failure"
CHF	"heart failure", "congestive"
COPD	"copd", "emphysema", "chronic bronchitis"
Cancer	"malignant", "cancer", "carcinoma", "neoplasm"

# Three Complementary Algorithms



## Random Forest

**500 trees, max depth 10**

- Ensemble method robust to overfitting
- Handles non-linear relationships
- Balanced class weights
- Provides feature importance



## XGBoost

**200 estimators, learning rate 0.1**

- State-of-the-art gradient boosting
- Scale\_pos\_weight: 9.14 for imbalance
- Early stopping to prevent overfitting
- Excellent predictive performance

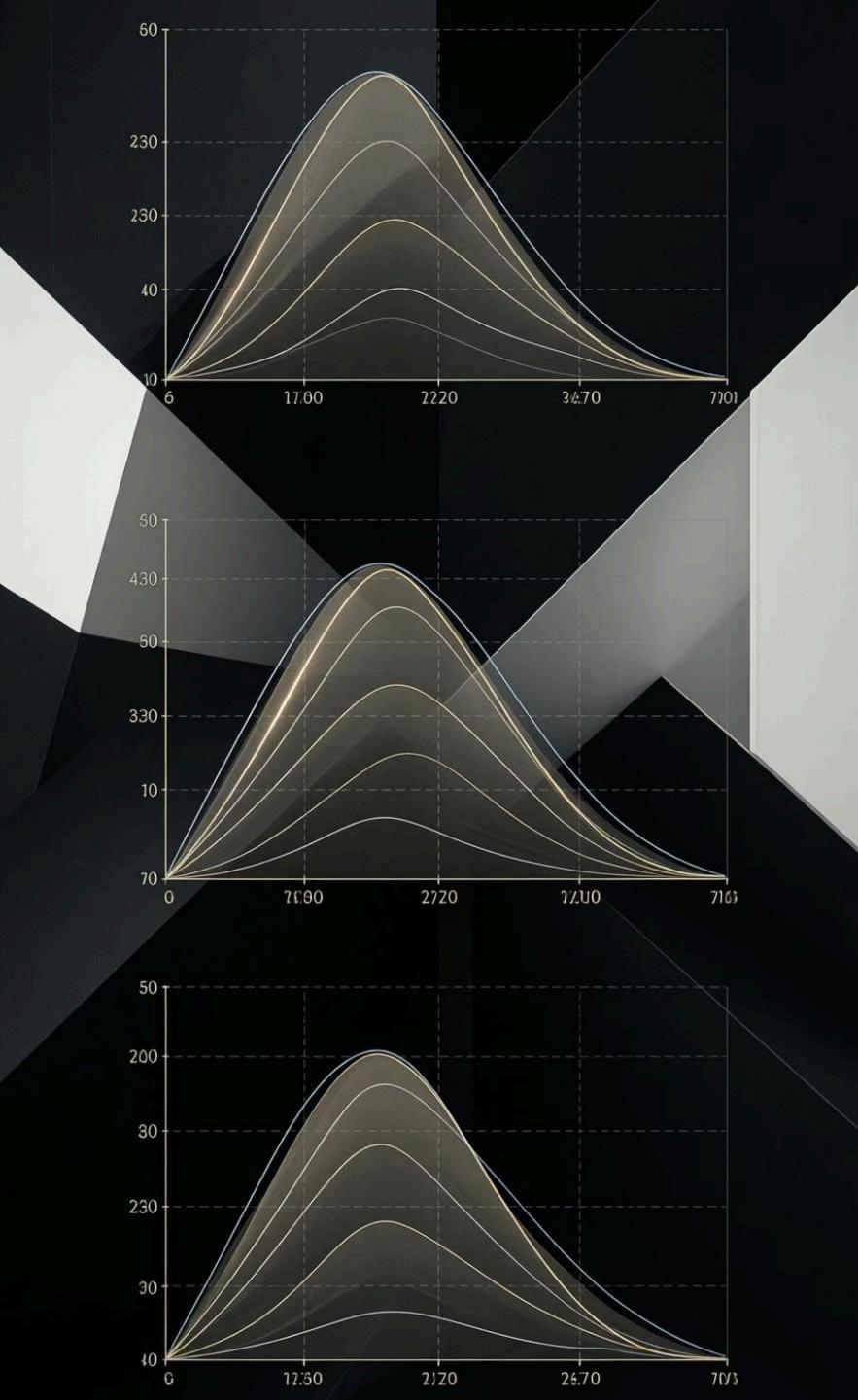


## Logistic Regression

**Standardized features, balanced weights**

- Interpretable linear coefficients
- Z-score normalized features
- Baseline comparison model
- Maximum transparency

**Training Strategy:** 80/20 train-test split with stratified sampling to preserve mortality rate distribution. Class imbalance addressed through inverse frequency weighting.



## RESULTS

# Excellent Discriminatory Performance

**0.84**

**Random Forest AUC**

Best overall balance:  
77.5% accuracy, 75.2%  
sensitivity

**0.84**

**XGBoost AUC**

Highest sensitivity:  
81.0% correctly  
identified deaths

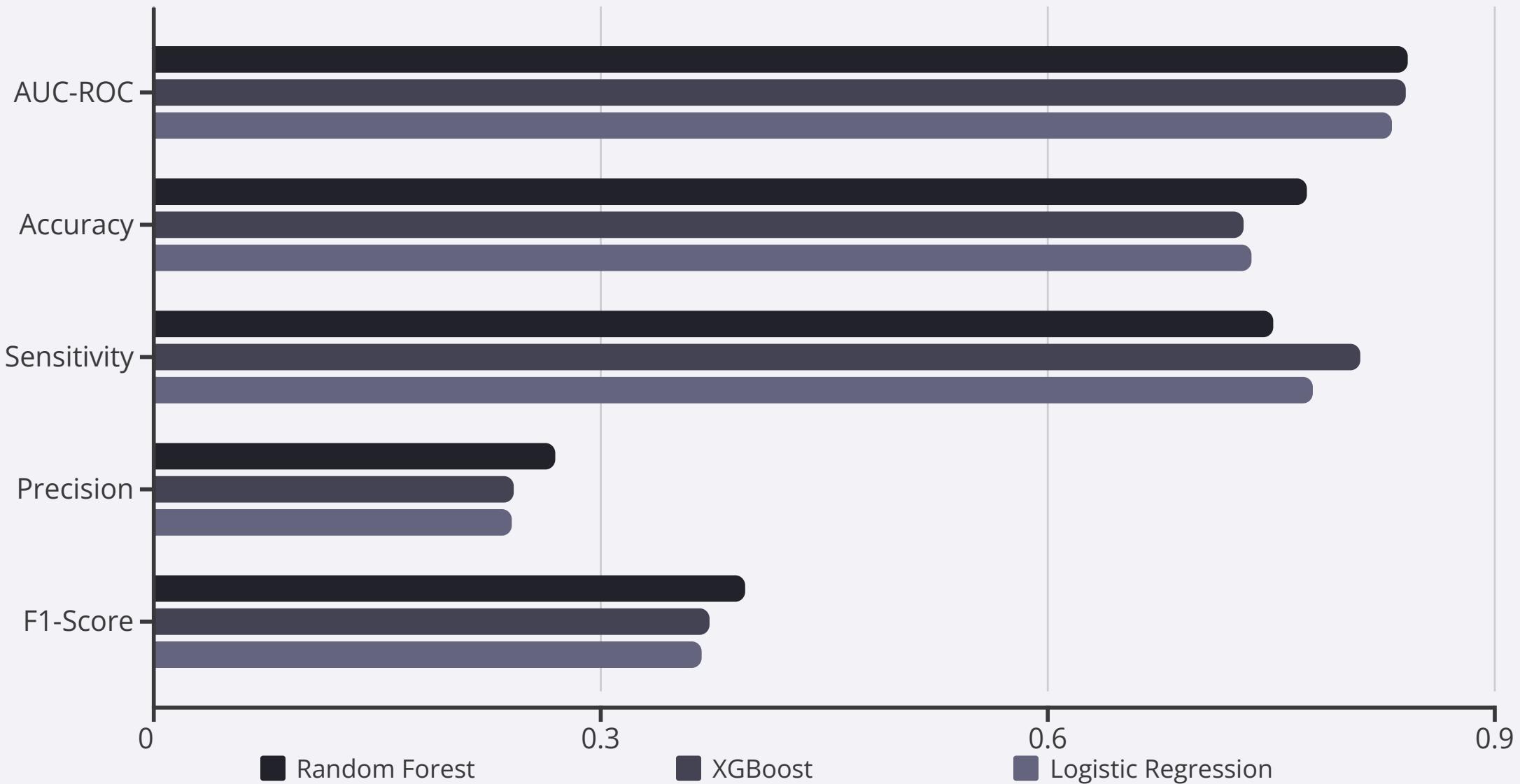
**0.83**

**Logistic Regression AUC**

Competitive  
performance with  
maximum  
interpretability

- **Clinical Interpretation:** An AUC of 0.84 means these models correctly rank a randomly selected deceased patient as higher risk than a randomly selected survivor 84% of the time—substantially better than traditional scoring systems.

# Model Performance Metrics Comparison



## Random Forest: Best Balance

Correctly identified 375 of 499 deaths (75.2%) while maintaining 77.7% specificity. Optimal for balanced decision-making.

## XGBoost: Maximum Sensitivity

Missed only 95 deaths (19.0% false negative rate)—ideal for screening high-risk patients when missing deaths is costly.

# Respiratory Failure Dominates Mortality Risk

## Respiratory Procedures



**40-98% importance across models**

Mechanical ventilation, intubation, and tracheostomy emerged as the overwhelming predictor of mortality, reflecting severe respiratory failure and multi-organ dysfunction.

## Chronic Kidney Disease



**11-50% importance**

CKD complicates ICU recovery through fluid overload, electrolyte imbalances, and uremic complications.

## Patient Age



**1-45% importance**

Non-linear relationship with mortality reflecting decreased physiological reserve in older adults.

## Active Malignancy



**2-20% importance**

Cancer diagnosis associated with advanced disease, immunosuppression, and treatment complications.

## Comorbidity Burden



**1-10% importance**

Cumulative effect of multiple chronic conditions capturing overall patient frailty.

# Translating Predictions into Practice



## Risk Stratification at Admission

Identify high-risk patients immediately upon ICU admission to enable early mobilization of critical care resources and support triage decisions during resource scarcity.



## Clinical Decision Support

Real-time mortality predictions guide treatment intensity, facilitate informed family discussions regarding prognosis, and support advance care planning conversations.



## Quality Improvement

Benchmark ICU performance using risk-adjusted mortality, identify patients for protocol-driven interventions, and monitor temporal trends in outcomes.



## Respiratory Management Focus

Emphasize respiratory failure prevention, implement early intervention for at-risk patients, and consider non-invasive ventilation strategies to avoid intubation.



## Multidisciplinary Coordination

CKD patients require nephrology involvement, age-adjusted protocols for geriatric patients, and palliative care consultation for cancer patients.

# Machine Learning Enhances ICU Mortality Prediction



## Excellent Performance

All three models achieved AUC >0.83, demonstrating superior discriminatory ability compared to traditional scoring systems while requiring fewer physiological measurements.



## Random Forest Optimal

Best balance with 77.5% accuracy and 75.2% sensitivity, correctly identifying 3 out of 4 deaths at ICU admission using routine EHR data.



## Respiratory Focus

Need for invasive respiratory support emerged as the dominant predictor (40-98% importance), highlighting critical pathway to mortality.



"These models offer potential for real-time implementation as clinical decision support tools to identify high-risk patients, guide resource allocation, and improve ICU outcomes."

**Study Cohort:** 25,324 ICU admissions | **Mortality Rate:** 9.86% | **Code Available:** Complete methodology documented and reproducible

## Next Steps

01

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External validation on independent datasets (eICU, MIMIC-IV)

02

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Prospective clinical trial of ML-guided decision support

03

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Incorporate time-series physiological data and clinical notes

04

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Implement explainable AI with SHAP values for transparency