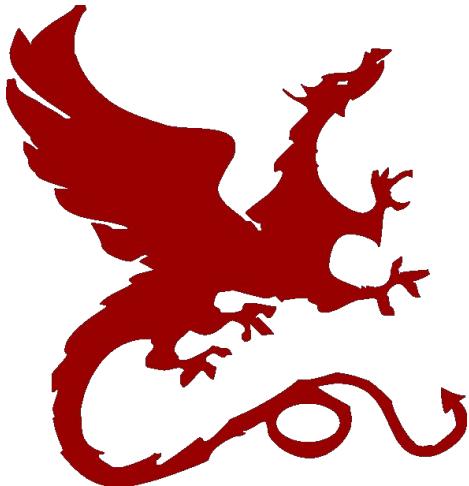


# Algorithms for NLP



## Neural Machine Translation

Yulia Tsvetkov – CMU

Slides: Chris Dyer – DeepMind



Text

Documents

DETECT LANGUAGE

RUSSIAN

ENGLISH

SPANISH



ENGLISH

RUSSIAN

SPANISH

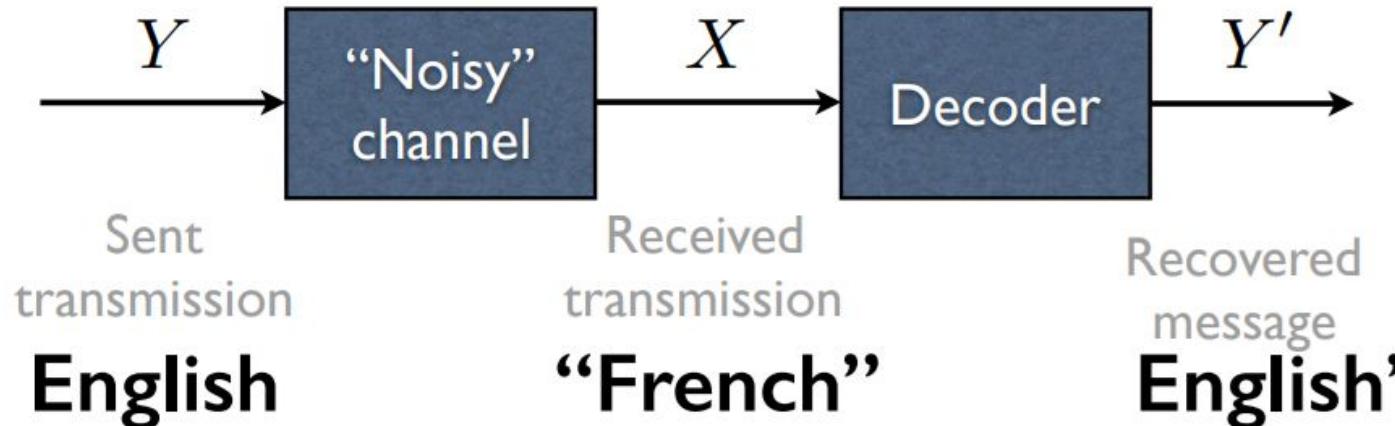


Search languages

|             |           |            |                   |              |            |
|-------------|-----------|------------|-------------------|--------------|------------|
| Afrikaans   | Czech     | Hebrew     | Latin             | Portuguese   | Tajik      |
| Albanian    | Danish    | Hindi      | Latvian           | Punjabi      | Tamil      |
| Amharic     | Dutch     | Hmong      | Lithuanian        | Romanian     | Telugu     |
| Arabic      | English   | Hungarian  | Luxembourgish     | Russian      | Thai       |
| Armenian    | Esperanto | Icelandic  | Macedonian        | Samoan       | Turkish    |
| Azerbaijani | Estonian  | Igbo       | Malagasy          | Scots Gaelic | Ukrainian  |
| Basque      | Filipino  | Indonesian | Malay             | Serbian      | Urdu       |
| Belarusian  | Finnish   | Irish      | Malayalam         | Sesotho      | Uzbek      |
| Bengali     | French    | Italian    | Maltese           | Shona        | Vietnamese |
| Bosnian     | Frisian   | Japanese   | Maori             | Sindhi       | Welsh      |
| Bulgarian   | Galician  | Javanese   | Marathi           | Sinhala      | Xhosa      |
| Catalan     | Georgian  | Kannada    | Mongolian         | Slovak       | Yiddish    |
| Cebuano     | German    | Kazakh     | Myanmar (Burmese) | Slovenian    | Yoruba     |
| Chichewa    | Greek     | Khmer      | Nepali            | Somali       | Zulu       |



# Noisy Channel Model



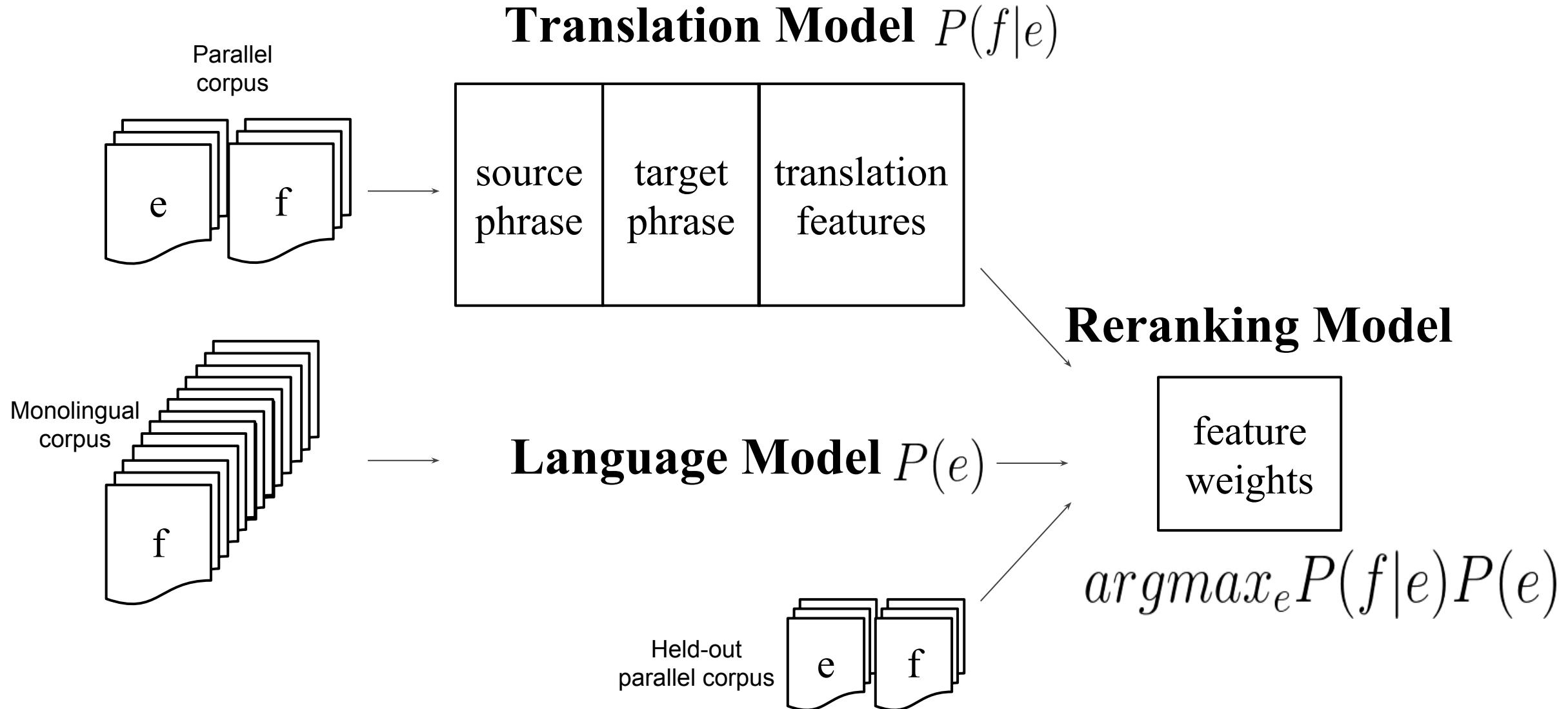
$$\hat{e} = \arg \max_e p_{\varphi}(e) \times p_{\theta}(f | e)$$

language model

translation model



# Phrase-Based MT





# Two Views of MT

---

- **Code breaking** (aka the noisy channel, Bayes rule)

- I know the target language
  - I have example translations texts (example enciphered data)

$$\hat{e} = \arg \max_e p_{\varphi}(e) \times p_{\theta}(f | e)$$

- **Direct modeling** (aka pattern matching)

- I have **really good learning algorithms** and a bunch of **example inputs** (source language sentences) and **outputs** (target language translations)

$$\hat{e} = \arg \max_e p_{\lambda}(e | f)$$



# Two Views of MT

---

- **Code breaking** (aka the noisy channel, Bayes rule)
    - I know the target language
    - I have example translations texts (example enciphered data)
- ➡ Statistical Machine Translation (SMT)
- 
- **Direct modeling** (aka pattern matching)
    - I have **really good learning algorithms** and a bunch of **example inputs** (source language sentences) and **outputs** (target language translations)
- ➡ Neural Machine Translation (NMT)



# MT as Direct Modeling

---

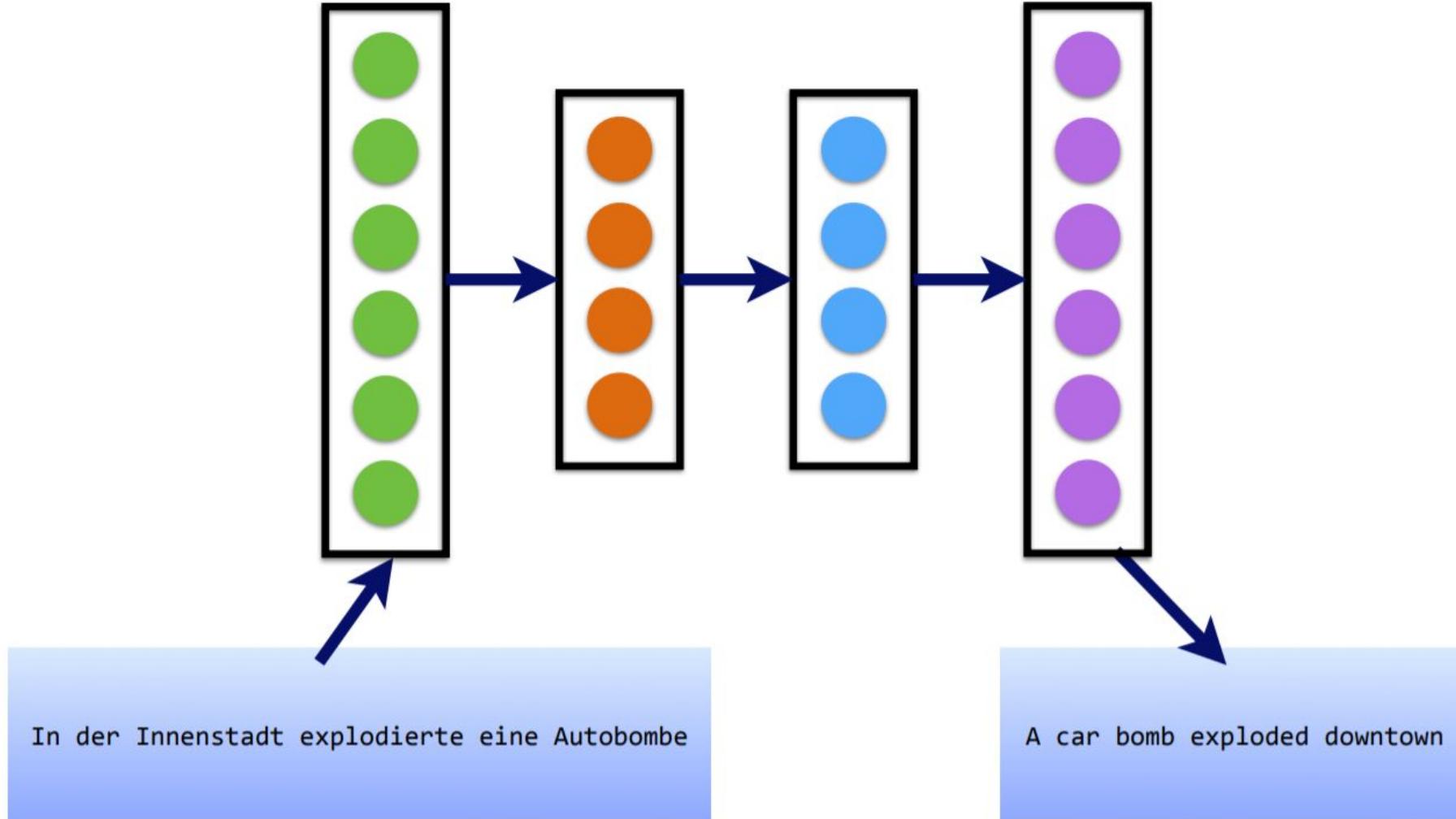
$$\hat{e} = \arg \max_e p_{\lambda}(e | f)$$

The diagram consists of a mathematical equation  $\hat{e} = \arg \max_e p_{\lambda}(e | f)$ . Above the equation, there are two arrows pointing upwards from the words "target" and "source" to the variable "e". A blue arrow points from "target" to the "e" in  $\arg \max_e$ , and an orange arrow points from "source" to the "e" in  $p_{\lambda}(e | f)$ .

- one model does everything
- trained to reproduce a corpus of translations



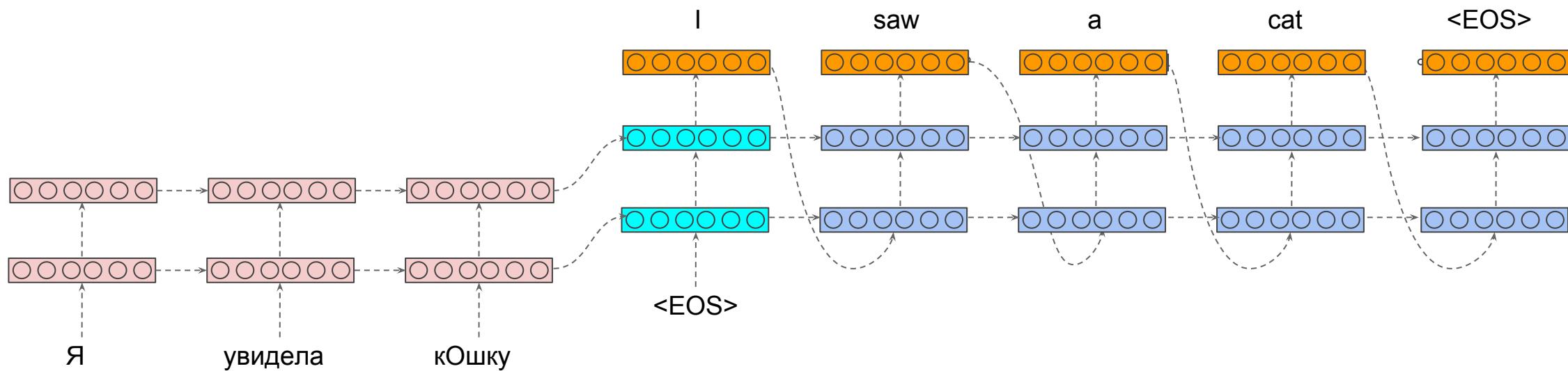
# NMT





# Sequence-to-Sequence Models for NMT

Ilya Sutskever, Oriol Vinyals, Quoc V. Le. 2014. Sequence to Sequence Learning with Neural Networks. *Proc. NIPS*

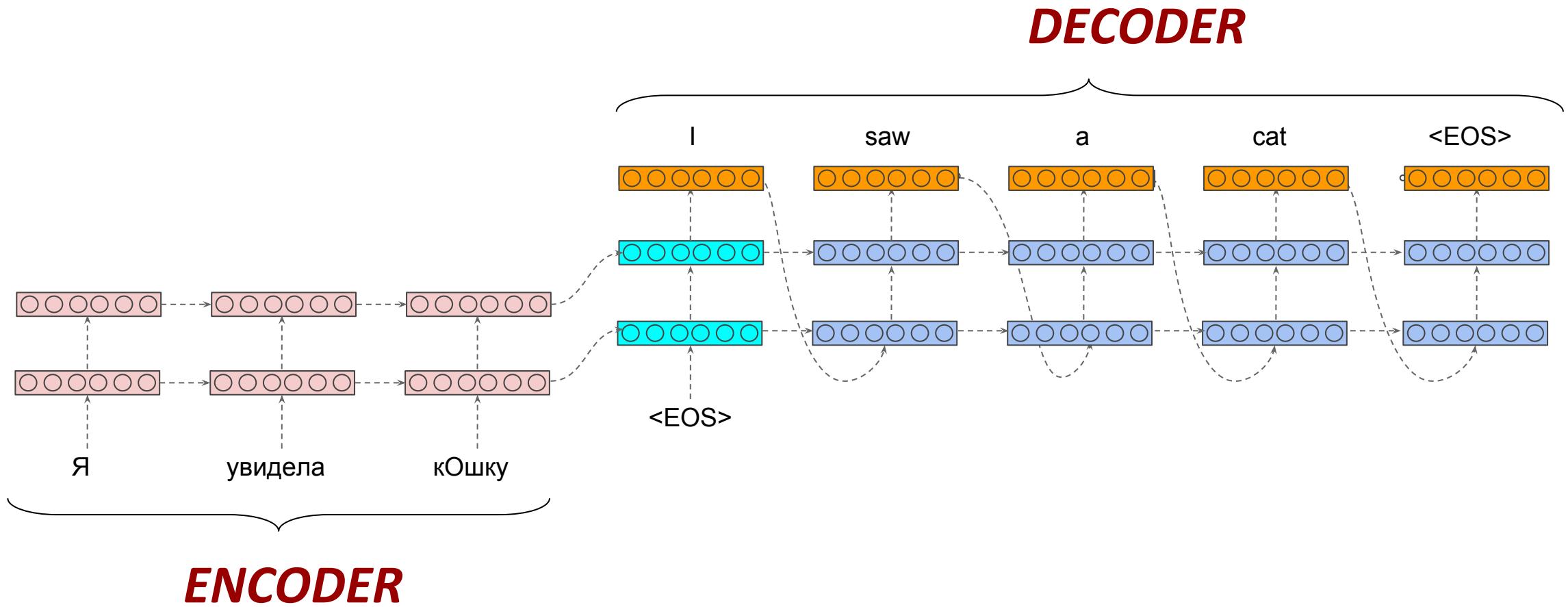




# Sequence-to-Sequence Models for NMT

Ilya Sutskever, Oriol Vinyals, Quoc V. Le. 2014. Sequence to Sequence Learning with Neural Networks. *Proc. NIPS*

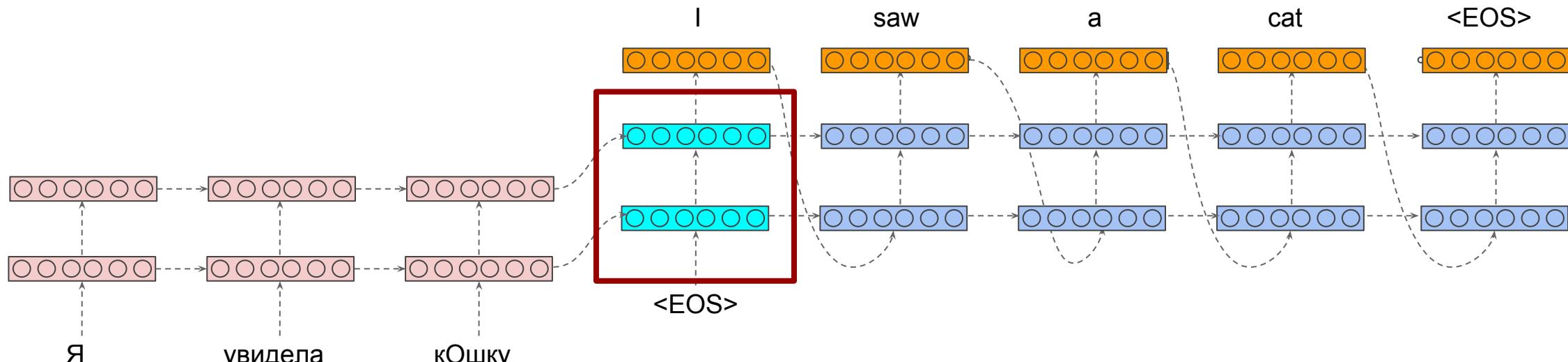
Kyunghyun Cho, Bart van Merriënboer, Dzmitry Bahdanau, Yoshua Bengio. 2014. On the Properties of Neural Machine Translation: Encoder-Decoder Approaches. *Proc. SSST*





# Sequence-to-Sequence Models for NMT

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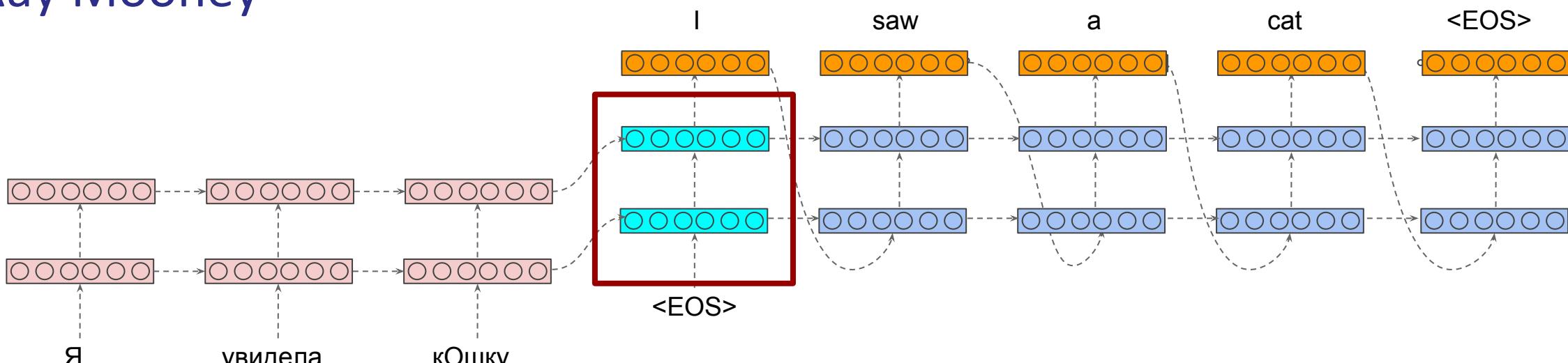
*sentence  
representation*



# Problem With Vector Sentence Encoding

“You can’t cram the meaning of a whole %&!\$ing sentence into a single \$&!\*ing vector!”

— Ray Mooney

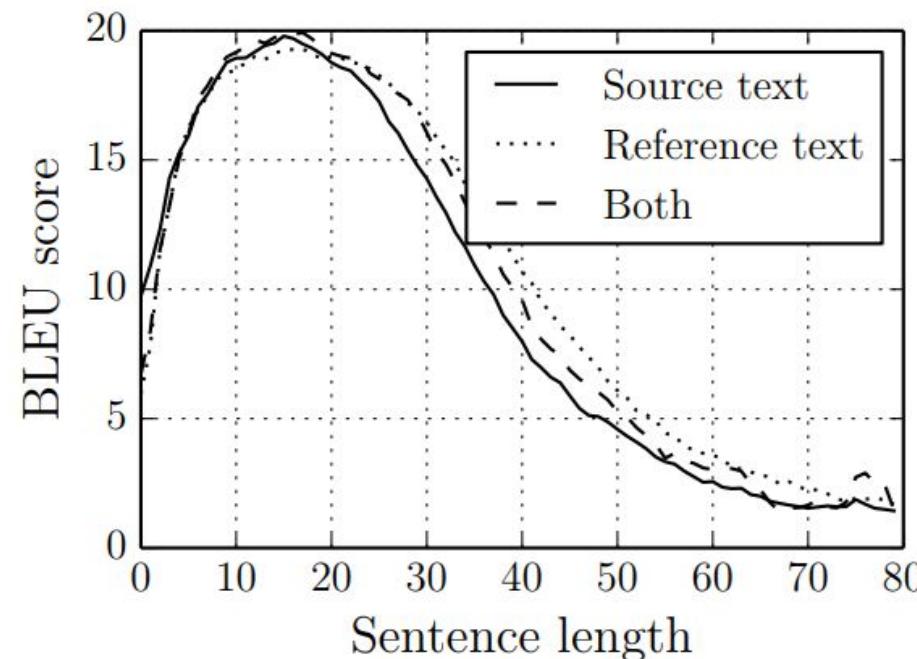


*sentence  
representation*



# Problem With Vector Sentence Encoding

- Fixed sized representation degrades as sentence length increases
  - Reversing source brings some improvement (Sutskever et al., 2014)

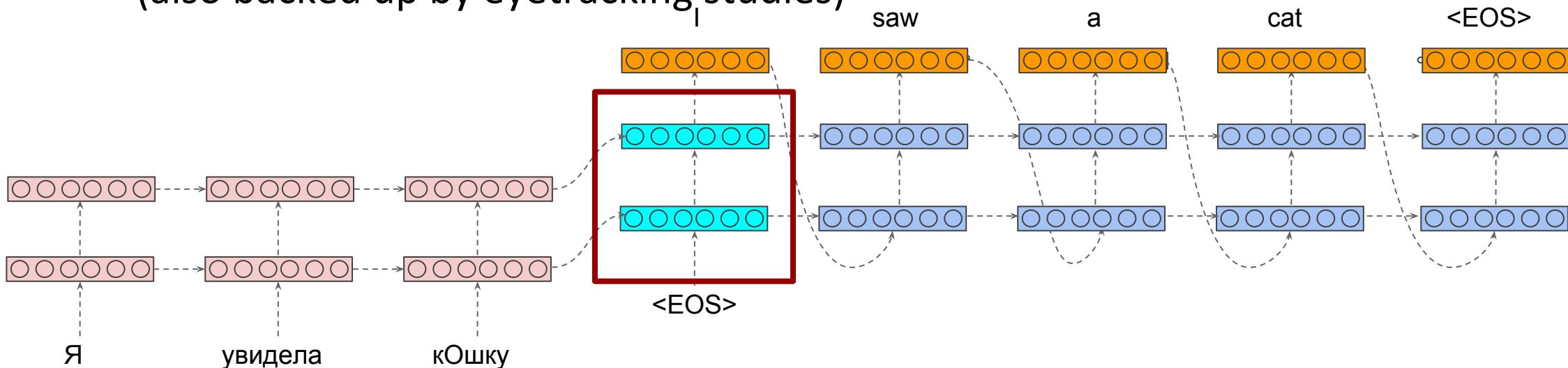


Kyunghyun Cho, Bart van Merriënboer, Dzmitry Bahdanau, Yoshua Bengio. 2014. On the Properties of Neural Machine Translation: Encoder-Decoder Approaches. *Proc. SSST*



# Problem With Vector Sentence Encoding

- Cho's question: does a translator read and memorize the input sentence/document and then generate the output?
    - Compressing the entire input sentence into a vector basically says "memorize the sentence"
    - Common sense experience says translators refer back and forth to the input. (also backed up by eyetracking studies)



# *sentence representation*



# Sequence-to-Sequence Models for NMT

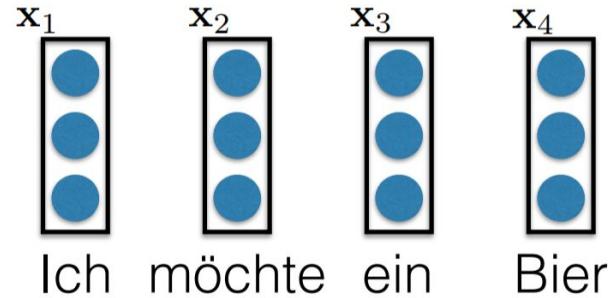
---

- By far the most widely used architecture is **Bidirectional RNN with Attention** due to Bahdanau et al (2015)
  - Dzmitry Bahdanau, Kyunghyun Cho, Yoshua Bengio. 2015. Neural Machine Translation by Jointly Learning to Align and Translate. Proc. ICLR
- One column per word
- Each column (word) has two halves concatenated together:
  - a “forward representation”, i.e., a word and its left context
  - a “reverse representation”, i.e., a word and its right context
- Implementation: bidirectional RNNs (GRUs or LSTMs) to read  $f$  from left to right and right to left, concatenate representations



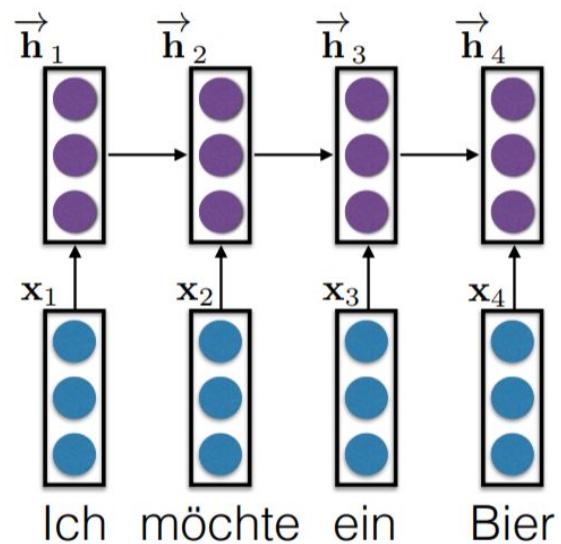
# Encoder: Bidirectional RNN

---



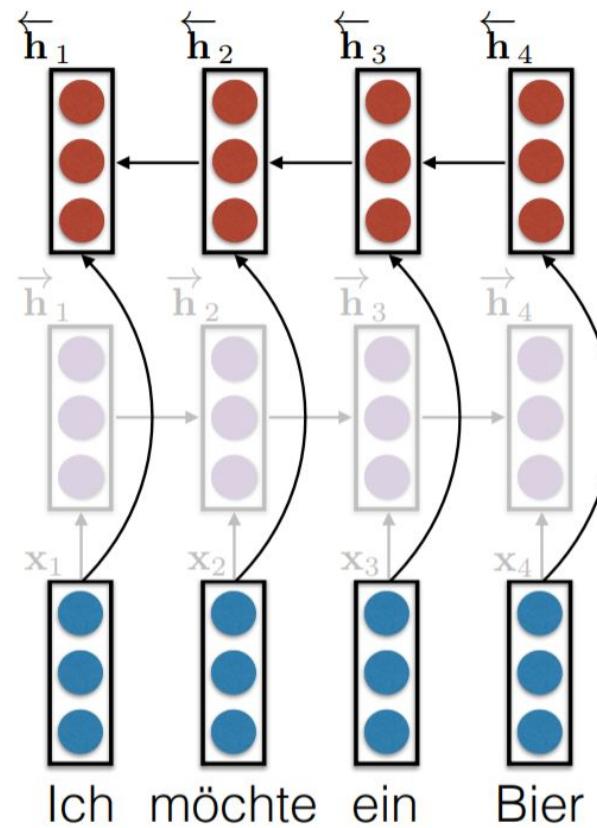


# Encoder: Bidirectional RNN





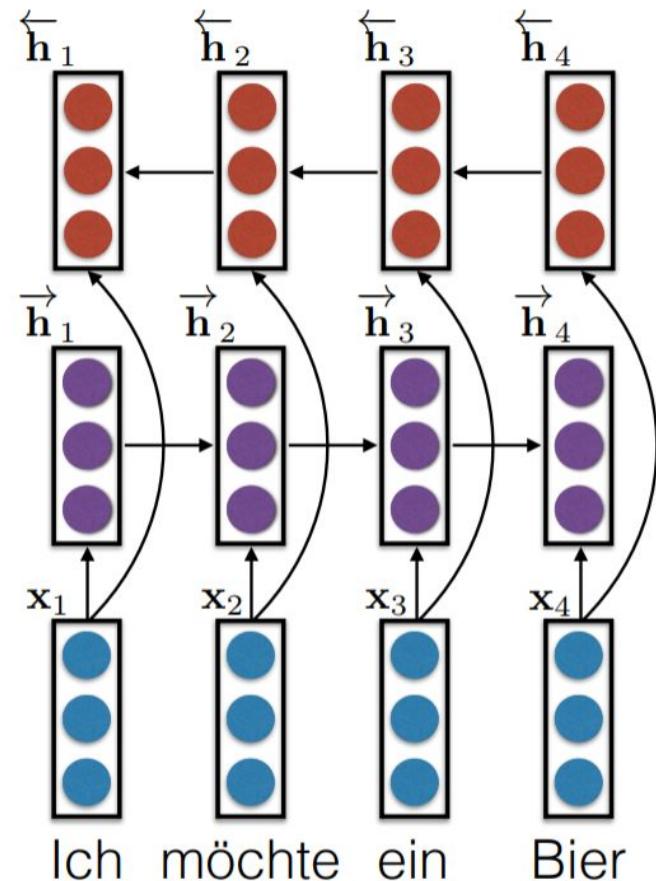
# Encoder: Bidirectional RNN





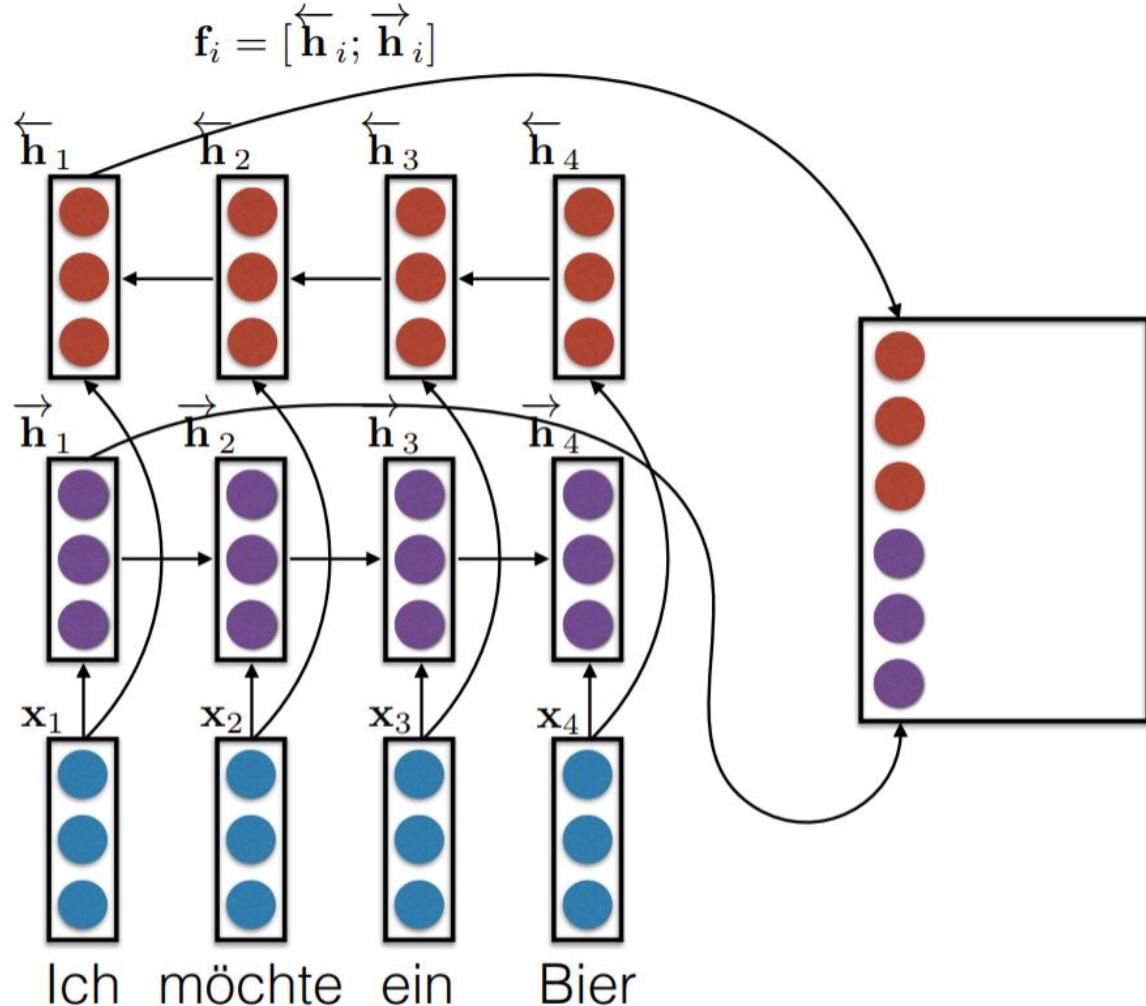
# Encoder: Bidirectional RNN

$$\mathbf{f}_i = [\overleftarrow{\mathbf{h}}_i; \overrightarrow{\mathbf{h}}_i]$$



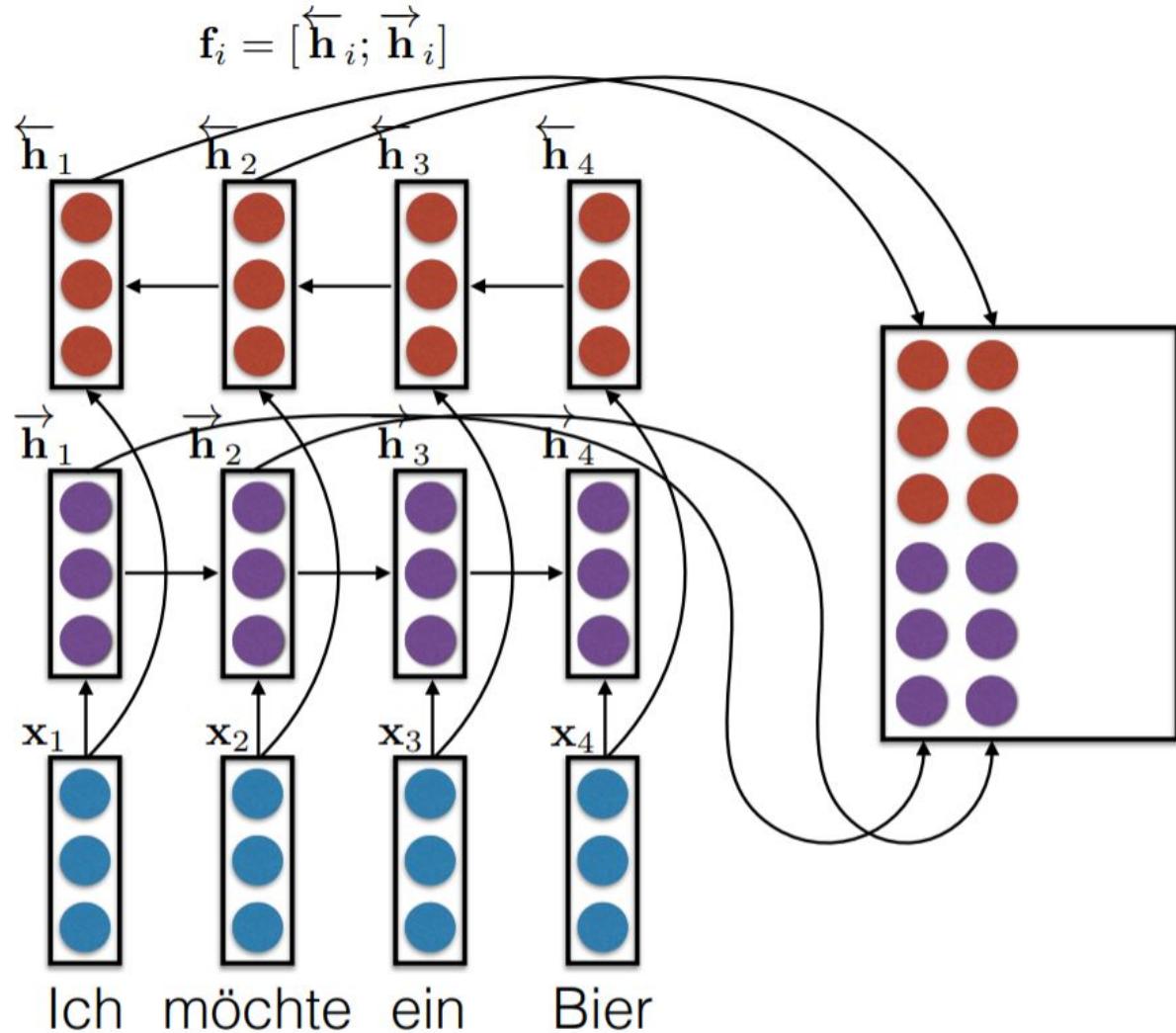


# Encoder: Bidirectional RNN



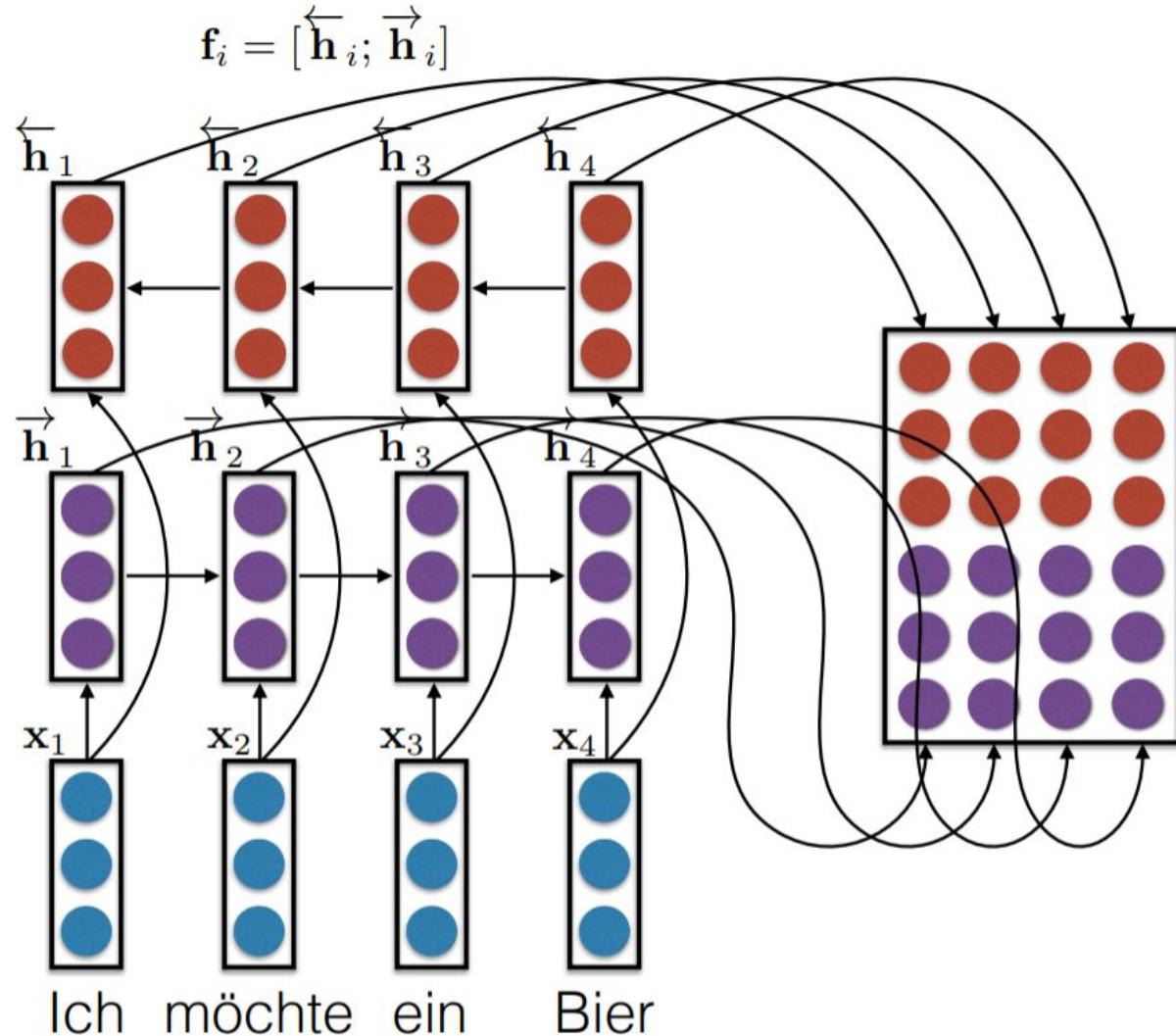


# Encoder: Bidirectional RNN



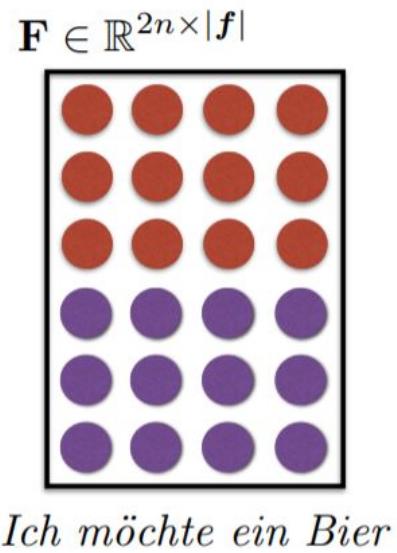
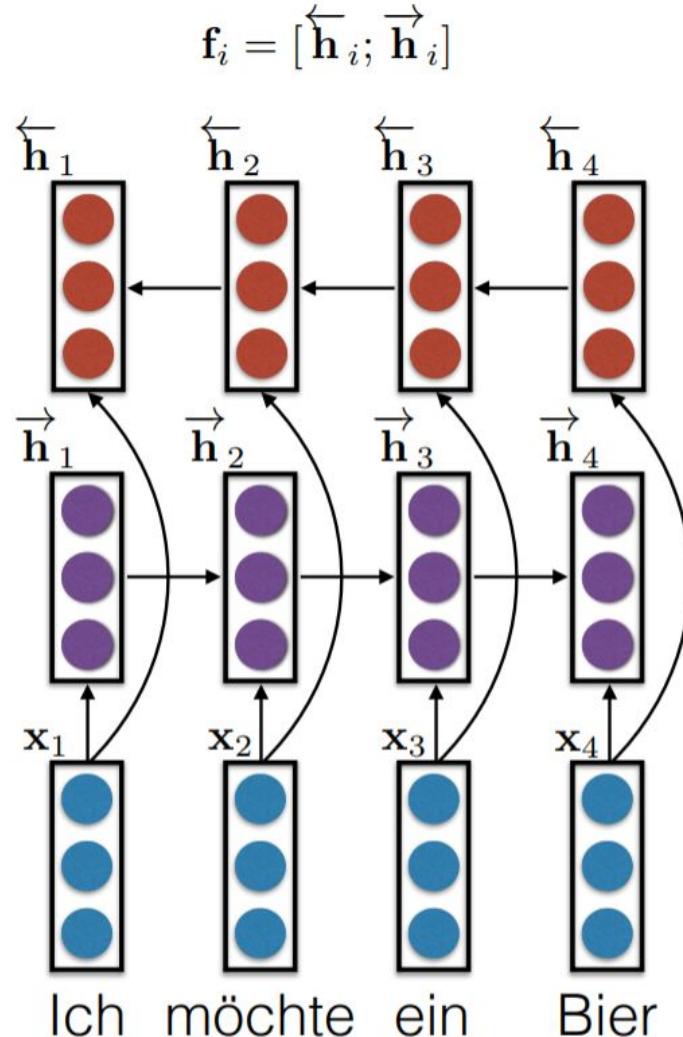


# Encoder: Bidirectional RNN





# Matrix Sentence Encoding

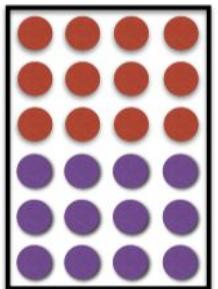
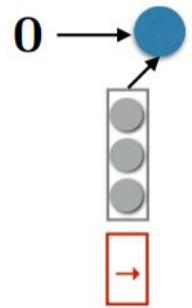


- matrix-encoded sentence

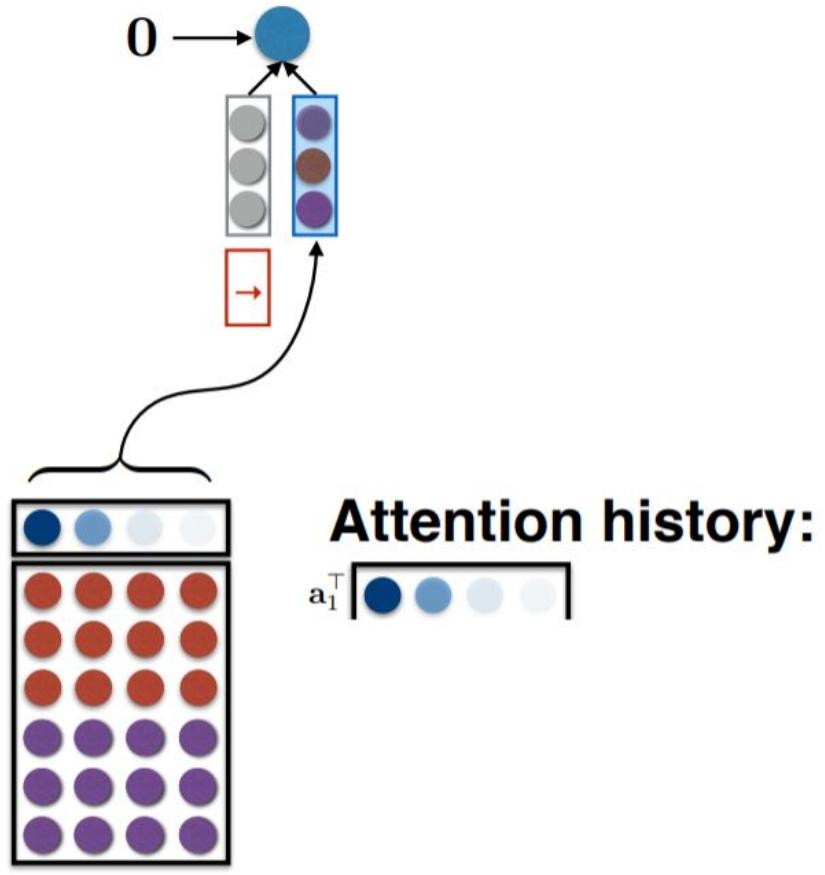


# Decoder: RNN + Attention

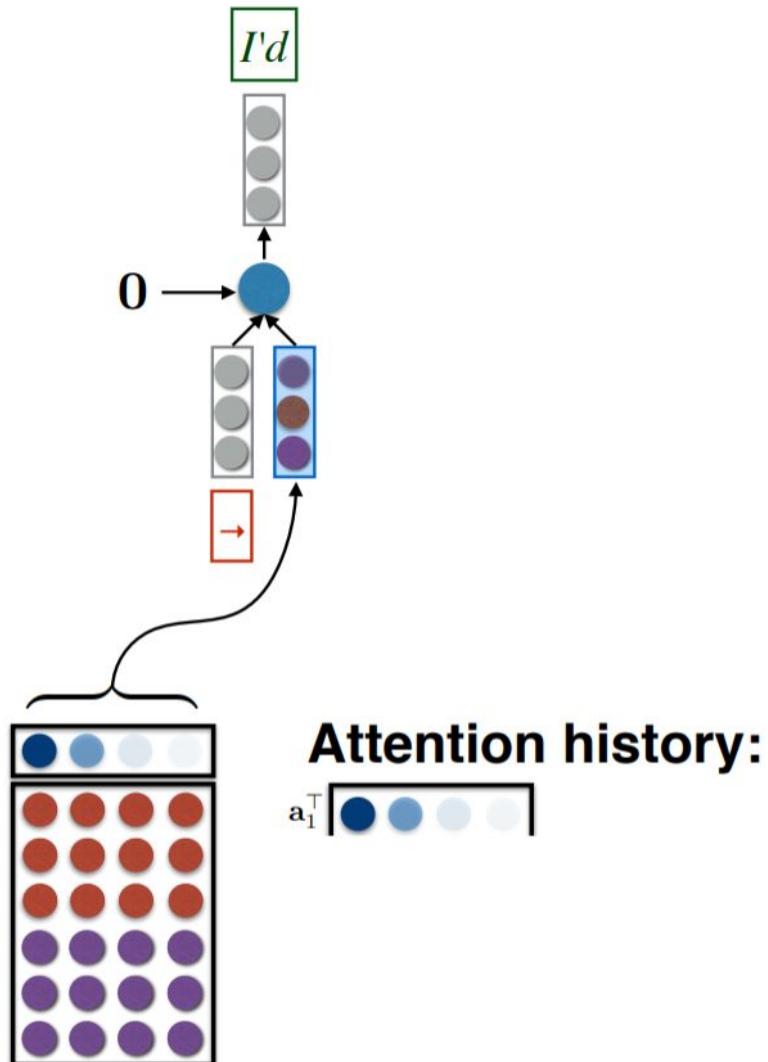
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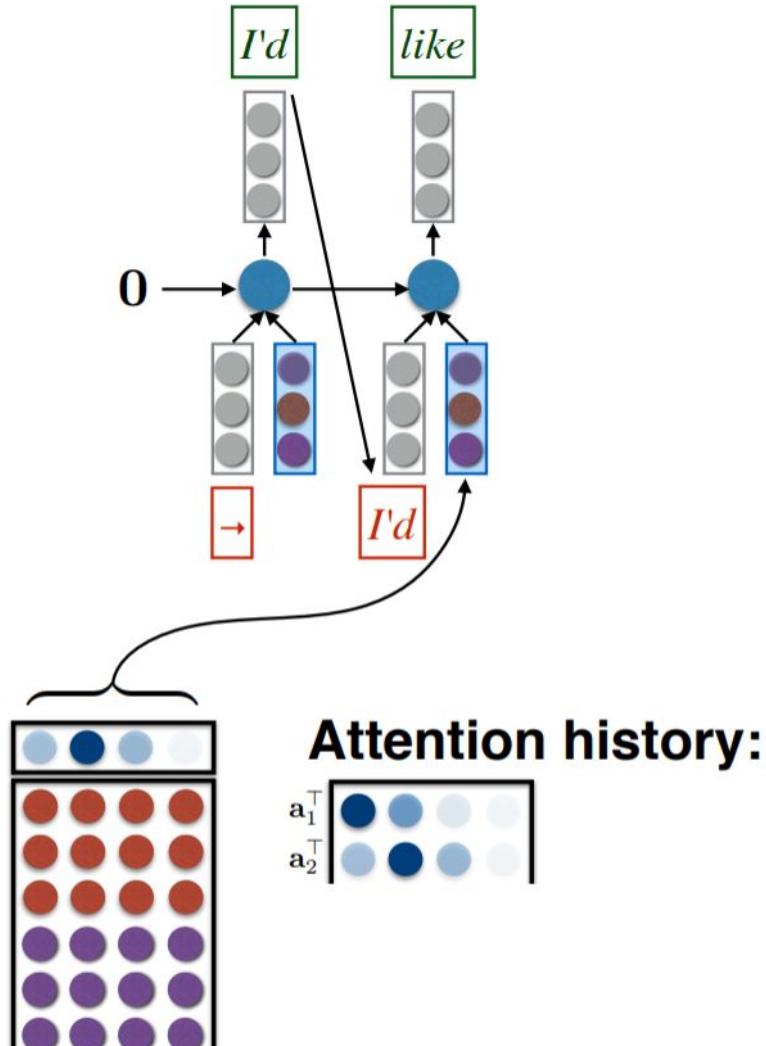
*Ich möchte ein Bier*



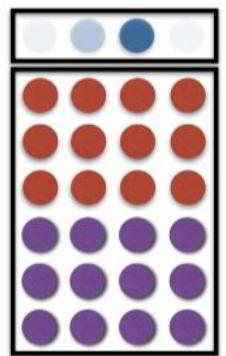
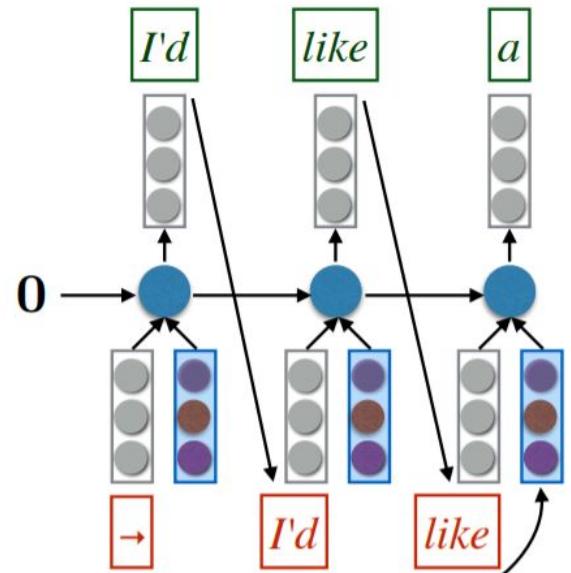
*Ich möchte ein Bier*



*Ich möchte ein Bier*



*Ich möchte ein Bier*

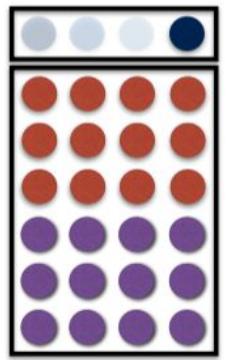
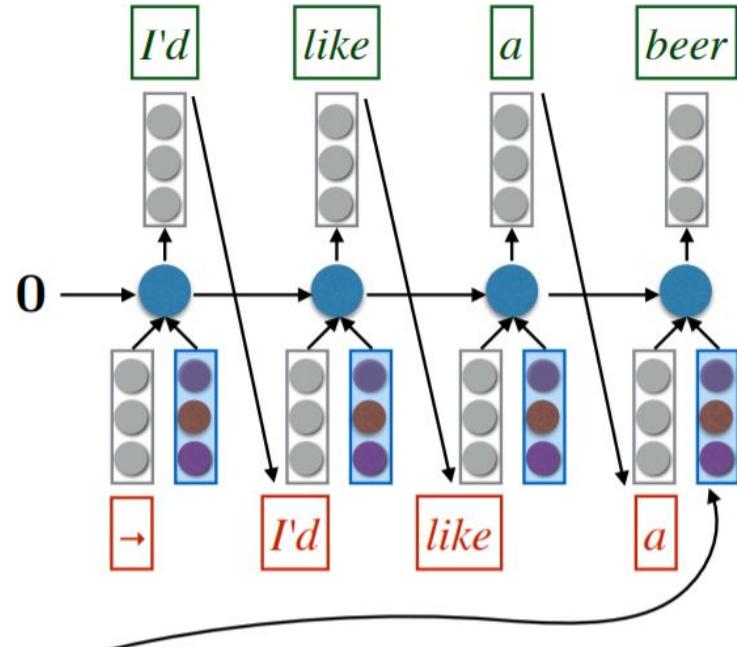


**Attention history:**

$$\begin{matrix} \mathbf{a}_1^\top \\ \mathbf{a}_2^\top \\ \mathbf{a}_3^\top \end{matrix}$$

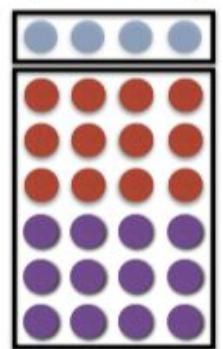
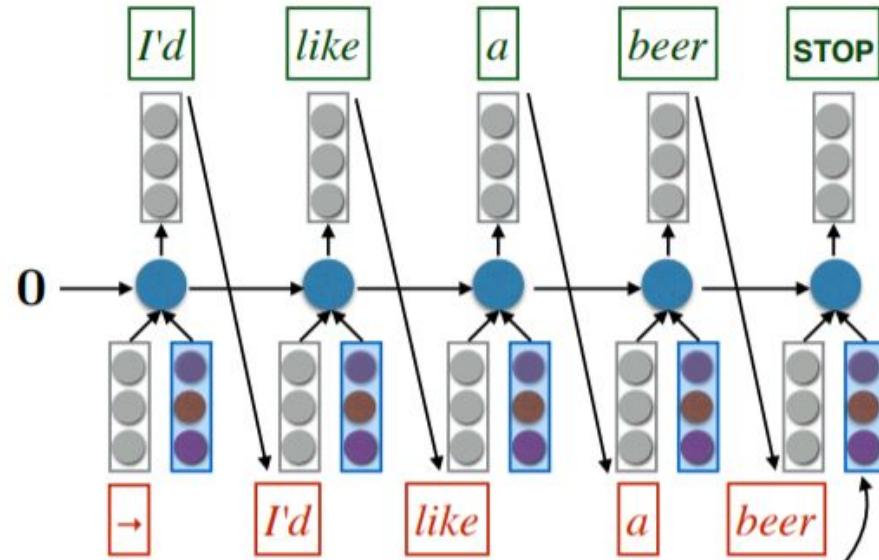
Three 4x1 column vectors labeled  $\mathbf{a}_1^\top$ ,  $\mathbf{a}_2^\top$ , and  $\mathbf{a}_3^\top$ , each containing four colored circles (blue, blue, light blue, light blue).

*Ich möchte ein Bier*

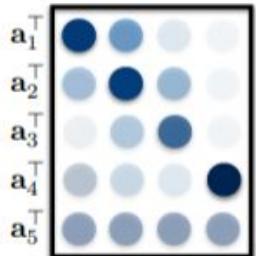


**Attention history:**

*Ich möchte ein Bier*



**Attention history:**



*Ich möchte ein Bier*



- 
- Bahdanau et al. (2015) were the first to propose using **attention** for translating from matrix-encoded sentences
  - High-level idea
    - Generate the output sentence word by word using an RNN
    - At each output position  $t$ , the RNN receives **two** inputs (in addition to any recurrent inputs)
      - a fixed-size vector embedding of the previously generated output symbol  $e_{t-1}$
      - a fixed-size vector encoding a “view” of the input matrix
    - How do we get a fixed-size vector from a matrix that changes over time?
      - Bahdanau et al: do a weighted sum of the columns of input words based on how important they are at the *current time step*.
      - The weighting of the input columns at each time-step is called attention



# Attention

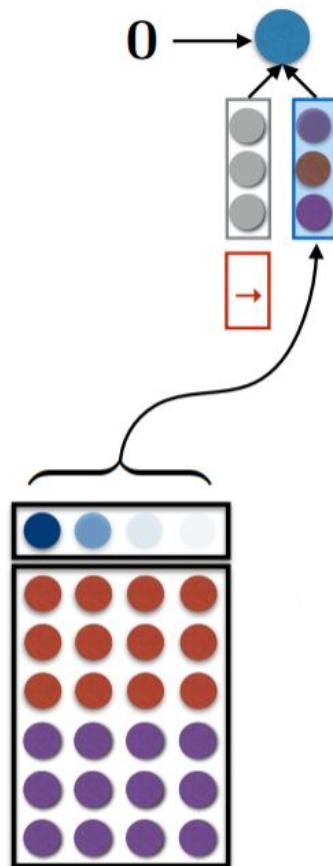
---

- How do we know what to attend to at each timestep?



# Attention

Minh-Thang Luong, Hieu Pham, Christopher D. Manning. 2015. Effective Approaches to Attention-based Neural Machine Translation. *Proc. EMNLP*



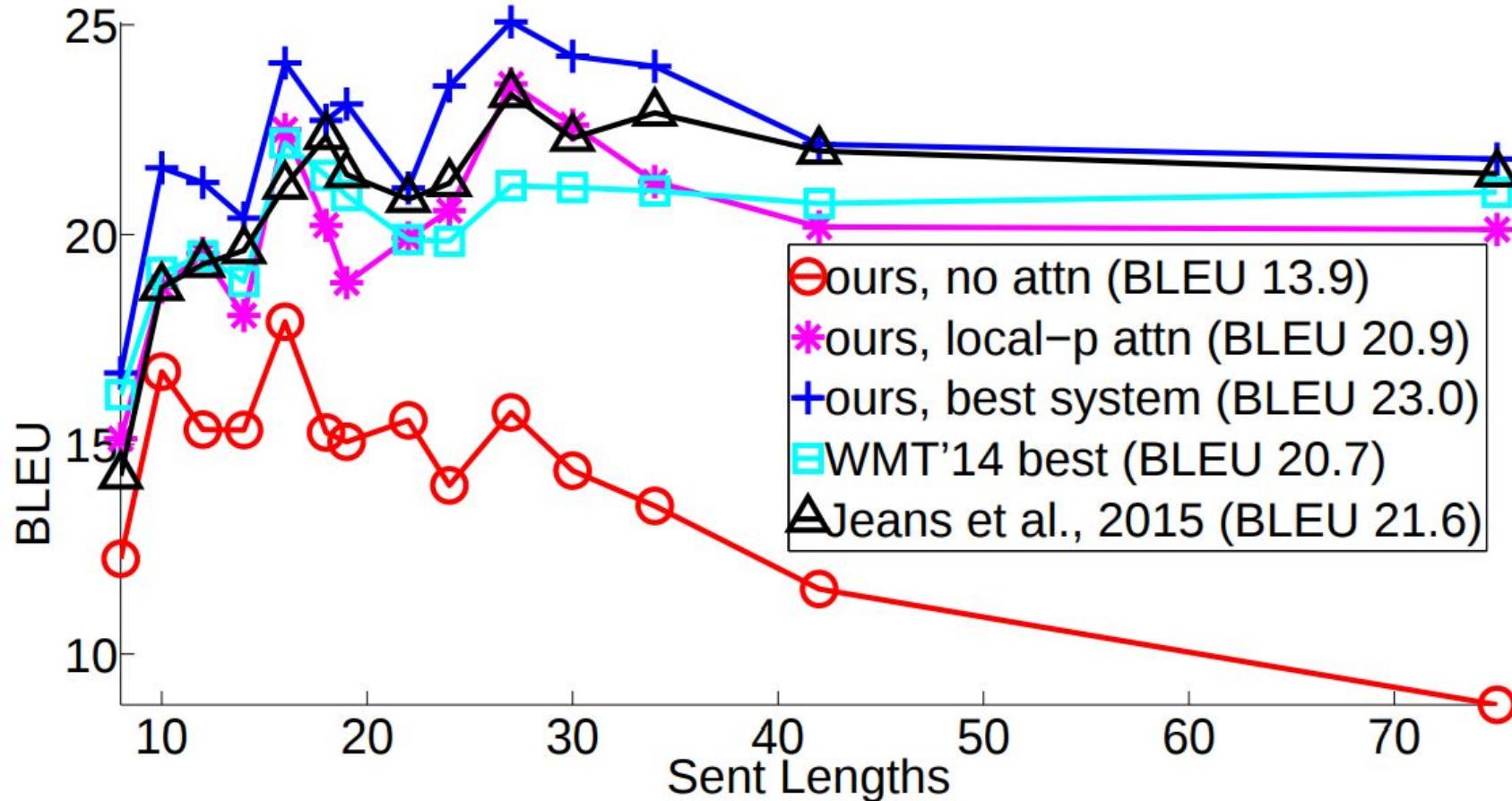
$$\text{score}(\mathbf{h}_t, \bar{\mathbf{h}}_s) = \begin{cases} \mathbf{h}_t^\top \bar{\mathbf{h}}_s \\ \mathbf{h}_t^\top \mathbf{W}_a \bar{\mathbf{h}}_s \\ \mathbf{v}_a^\top \tanh(\mathbf{W}_a[\mathbf{h}_t; \bar{\mathbf{h}}_s]) \end{cases}$$

(Luong et al. '15) (Bahdanau et al'15)

*Ich möchte ein Bier*



# Attention

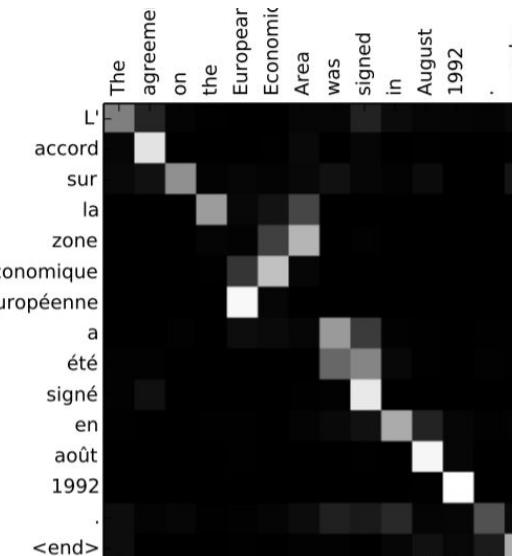
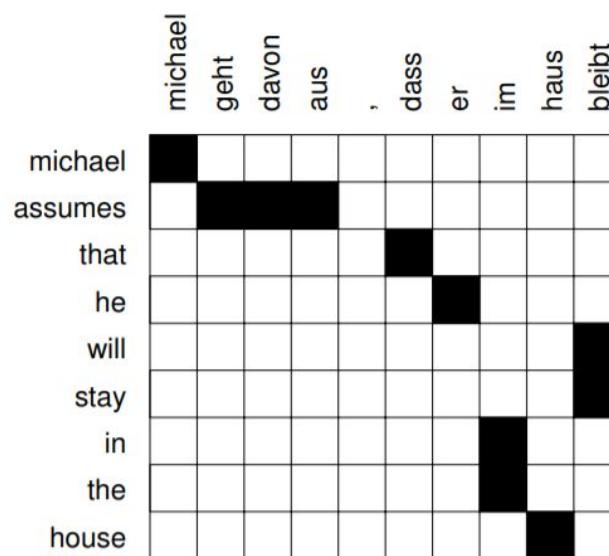


Minh-Thang Luong, Hieu Pham, Christopher D. Manning. 2015. Effective Approaches to Attention-based Neural Machine Translation. *Proc. EMNLP*



# Attention vs Alignment

- Attention is similar to alignment, but there are important differences

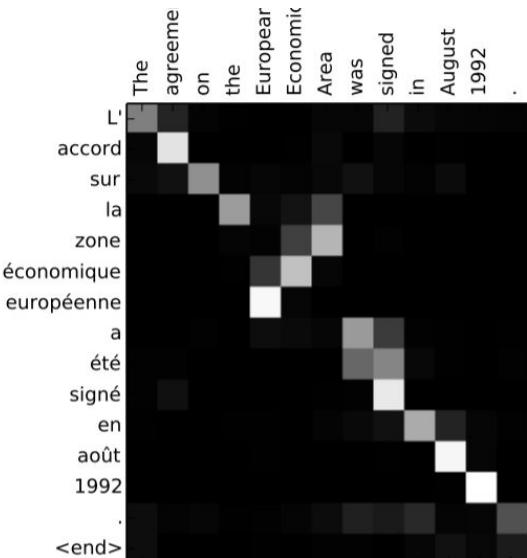
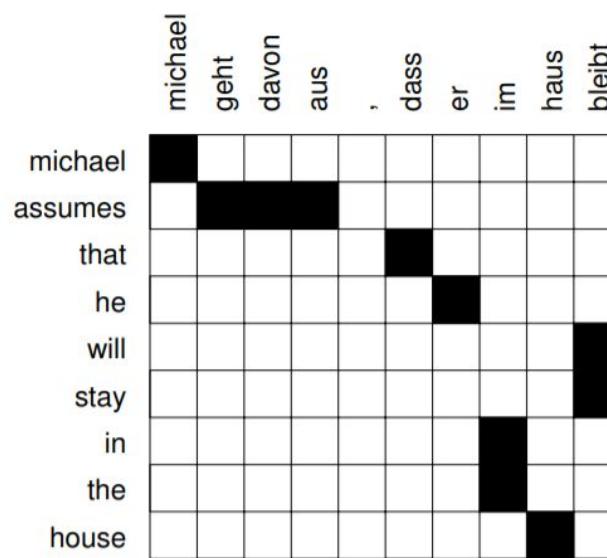


(Cho et al. 2015)



# Attention vs Alignment

- Attention is similar to alignment, but there are important differences
  - alignment makes stochastic but hard decisions: the model picks one word or phrase at a time
  - attention is “soft” (you add together all the words)
  - there is no guarantee that attention corresponds to alignment since information can also flow along recurrent connections

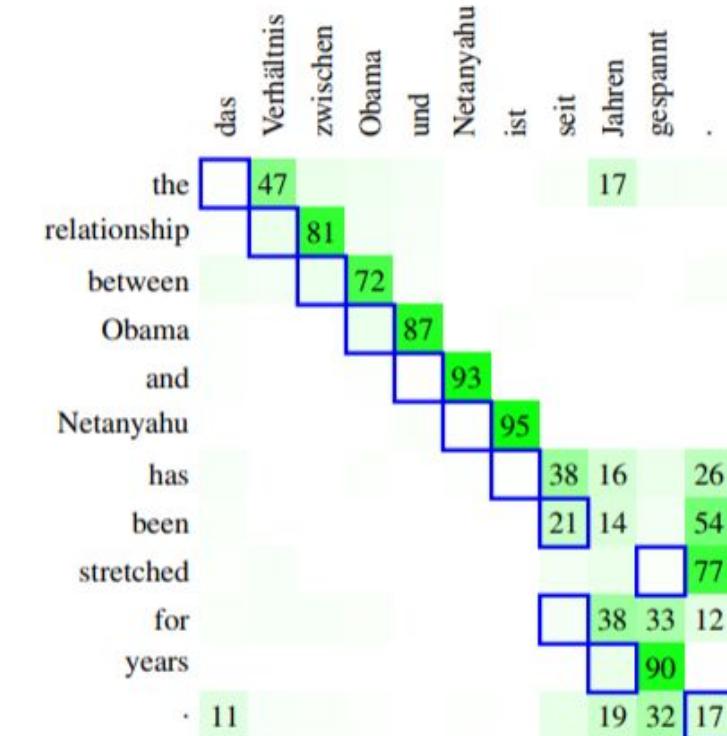
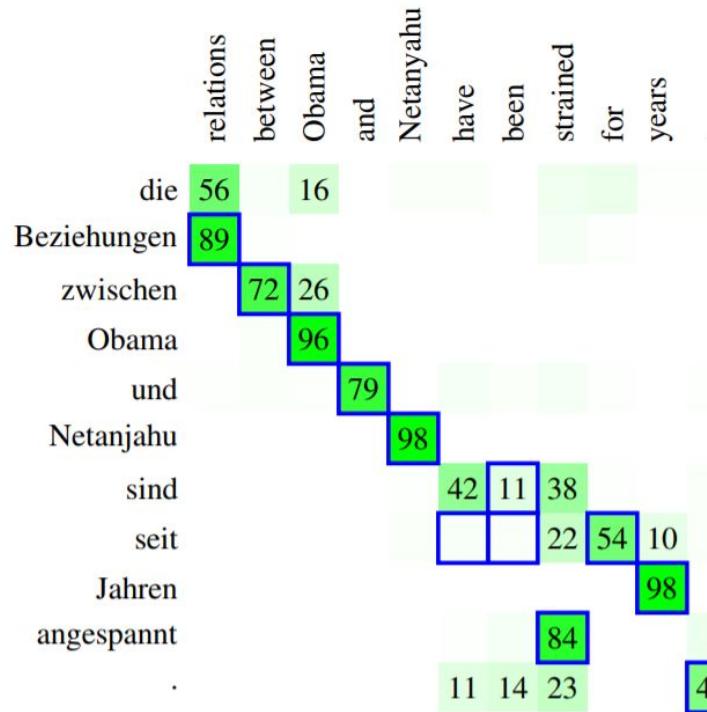


(Cho et al. 2015)



# Attention is not Alignment!

Philipp Koehn, Rebecca Knowles. 2017. Six Challenges for Neural Machine Translation. *Proc. WMT*



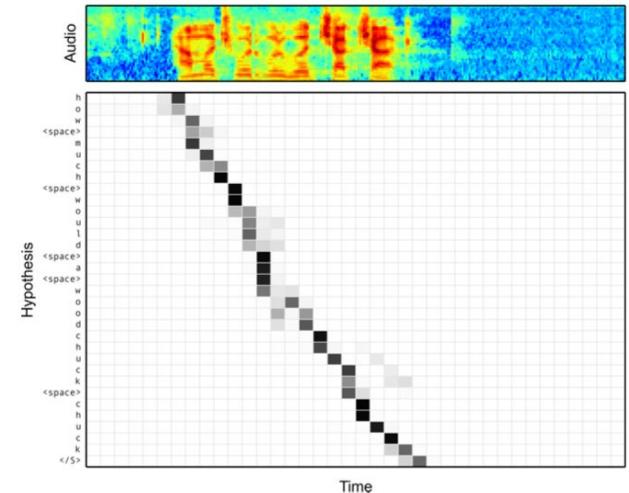
  attention

  alignment



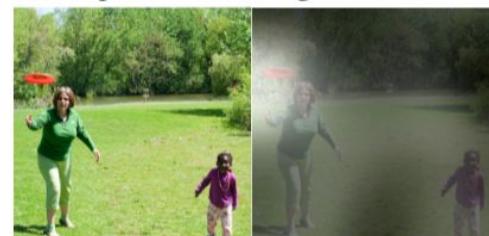
# Conditional Language Models

- Speech recognition
- Vision
  - Image captioning
- NLP
  - NMT
  - Summarization
  - QA
  - Dialogue



(Chan et al. 2015)

. Examples of attending to the correct object (*white* indicates the attended regions, *underlines* indicated the corresponding word)



A woman is throwing a frisbee in a park.



A dog is standing on a hardwood floor.



A stop sign is on a road with a mountain in the background.

Show, Attend and Tell: Neural Image Caption Generation with Visual Attention  
(Xu et al. 2016)



# Decoding

---

- Exact search

- generate every possible sentence  $T$  in target language
- compute score  $p(T|S)$  for each
- pick best one



# Decoding

---

- Exact search
    - generate every possible sentence  $T$  in target language
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    - pick best one
- intractable:  $|\text{vocab}|^N$  translations for output length  $N$



# Decoding

---

- Exact search
  - generate every possible sentence  $T$  in target language
  - compute score  $p(T|S)$  for each
  - pick best one

→ intractable:  $|\text{vocab}|^N$  translations for output length  $N$
- Greedy search
  - at each time stamp pick the most likely word  
 $\text{argmax } \log p(y_i|S, y_{<i})$
  - until  $\langle \text{EOS} \rangle$



# Decoding

---

- Exact search
  - generate every possible sentence  $T$  in target language
  - compute score  $p(T|S)$  for each
  - pick best one

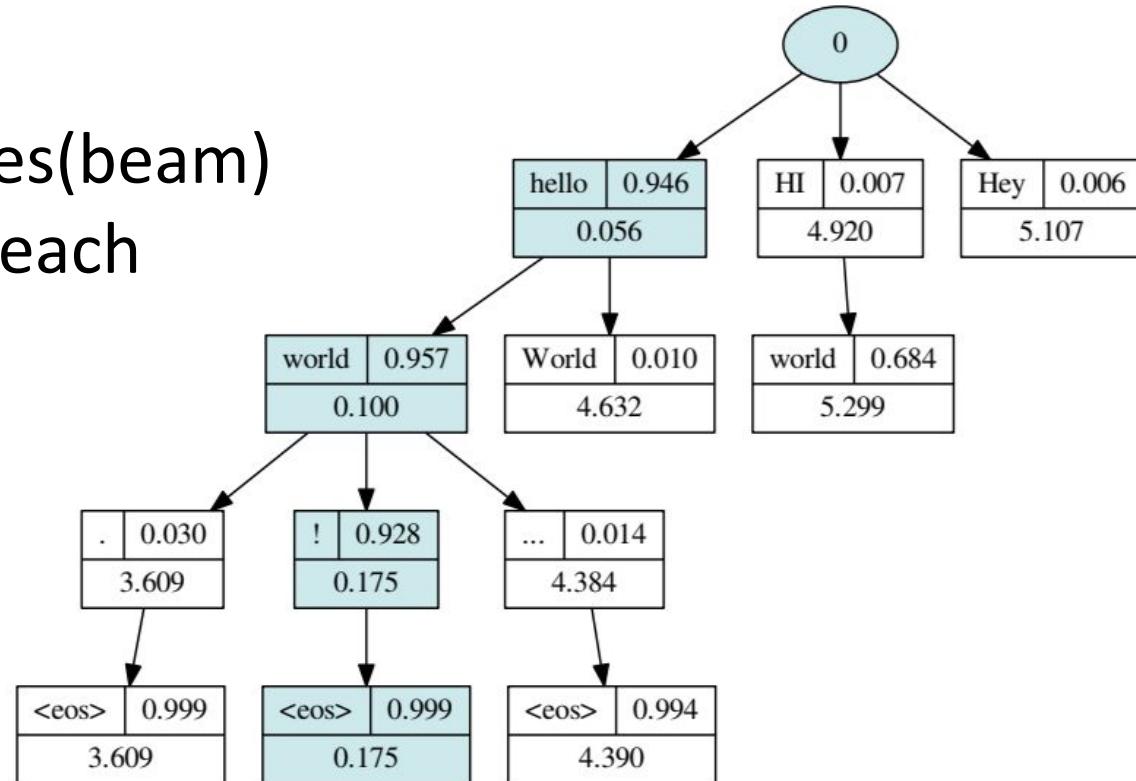
→ intractable:  $|\text{vocab}|^N$  translations for output length  $N$
- Greedy search
  - at each time stamp pick the most likely word  
 $\text{argmax } \log p(y_i|S, y_{<i})$
  - until  $\langle \text{EOS} \rangle$

→ efficient, but heavily suboptimal



# Decoding

- Beam search
  - maintain list of K hypotheses (beam)
  - at each time step, expand each hypothesis
  - select hypotheses with highest total probability



$$K = 3$$

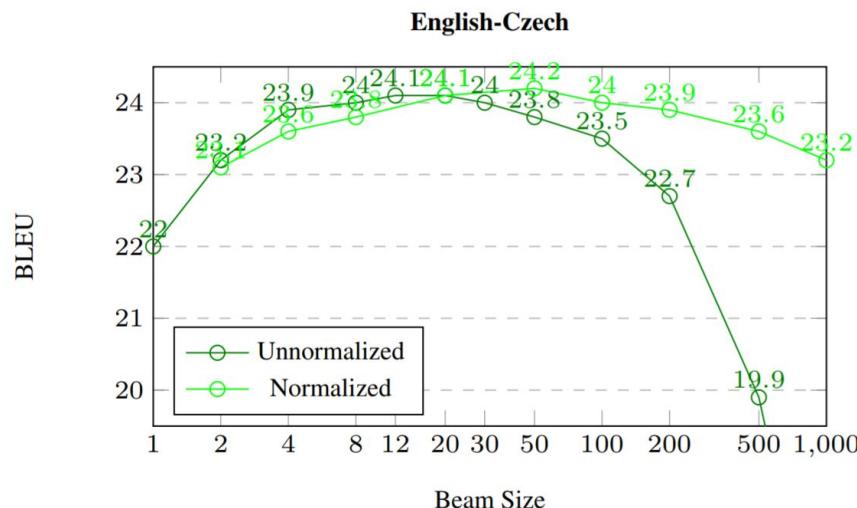
Image thanks to Rico Sennrich



# Decoding with Beam Search

| Strategy           | # Chains | Valid Set |       | Test Set |       |
|--------------------|----------|-----------|-------|----------|-------|
|                    |          | NLL       | BLEU  | NLL      | BLEU  |
| Ancestral Sampling | 50       | 22.98     | 15.64 | 26.25    | 16.76 |
| Greedy Decoding    | -        | 27.88     | 15.50 | 26.49    | 16.66 |
| Beamsearch         | 5        | 20.18     | 17.03 | 22.81    | 18.56 |
| Beamsearch         | 10       | 19.92     | 17.13 | 22.44    | 18.59 |

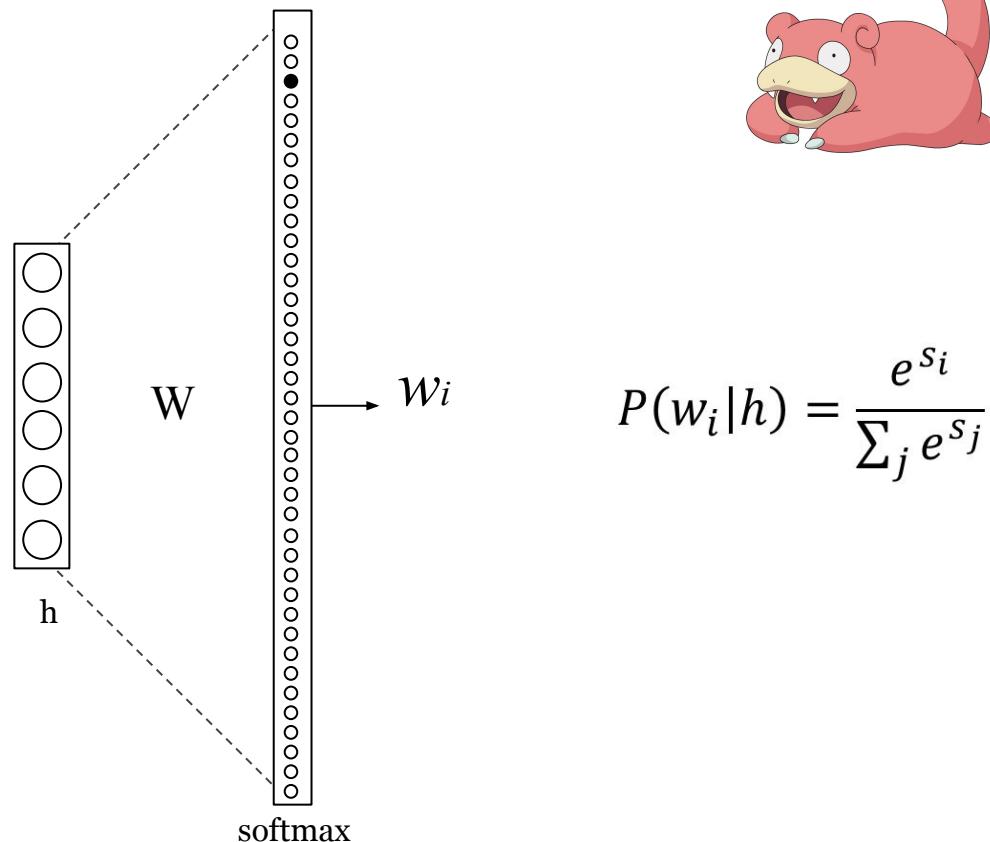
(Cho 2016)



(Koehn & Knowles 2017)



# Dealing with Very Large Vocabulary



This is a <unk> sentence with very <unk> <unk> and <unk>.



# Dealing with Very Large Vocabulary

---

- Sampling-based approximations
  - Importance Sampling: evaluate the denominator over a subset
  - Noise Contrastive Estimation: convert to a proxy binary classification problem
- Structure-based approximations
  - Class-based Softmax: divide the vocabulary to multiple classes; first predict a class, then predict a word of the class
  - Hierarchical Softmax: binary tree with words as leaves
- Self normalization (Devlin et al. '2014, Andreas et al. 2015)
- Subword Units
  - Byte Pair Encoding (BPE) (Sennrich et al. '2016)  
→ current standard



# Byte pair encoding for word segmentation

- Repeatedly replace most frequent symbol pair ('A','B') with 'AB'

| system                     | sentence                             |
|----------------------------|--------------------------------------|
| source                     | health research institutes           |
| reference                  | Gesundheitsforschungsinstitute       |
| word-level (with back-off) | Forschungsinstitute                  |
| character bigrams          | Fo rs ch un gs in st it ut io ne n   |
| BPE                        | Gesundheits forsch ungs in stit ute  |
| source                     | rakfisk                              |
| reference                  | ракфиска (rakfiska)                  |
| word-level (with back-off) | rakfisk → UNK → rakfisk              |
| character bigrams          | ra kf is k → па кф ис к (ra kf is k) |
| BPE                        | rak f isk → рак ф иска (rak f iska)  |

Image thanks to Rico Sennrich



# Alternative to Softmax

|                         | Sampling<br>Based | Structure<br>Based | Subword<br>Units |
|-------------------------|-------------------|--------------------|------------------|
| Training Time           | 😊                 | 😊                  | 😊                |
| Test Time               | 😐                 | 😐                  | 😊                |
| Accuracy                | 😢                 | 😢                  | 😊                |
| Memory                  | 😐                 | 😢                  | 😊                |
| Handle Very Large Vocab | 😐                 | 😐                  | 😊                |

■ Similar ■ Worse ■ Better ■ Much Better (>2X)



# SMT vs NMT

---



# SMT vs NMT

---

## Pros of NMT

- simpler end-to-end pipeline
- output conditioned on full source text and target history
- continuous word representations better exploit similarities
- smaller model
- more fluent outputs

## Cons of NMT

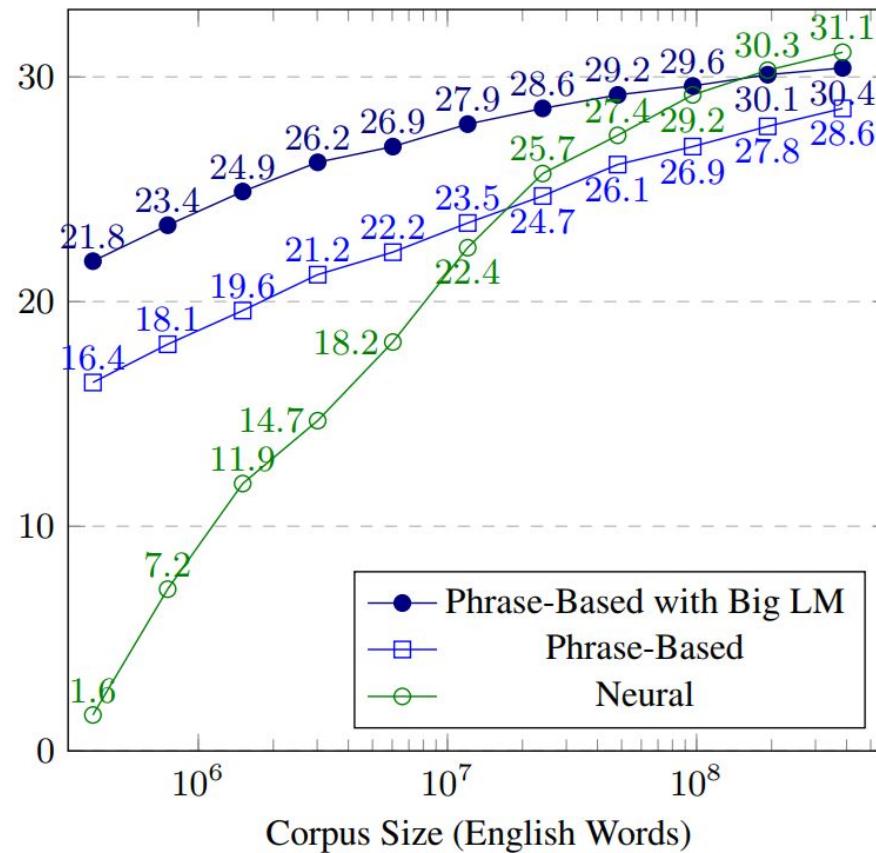
- poor interpretability
- hard to integrate knowledge
- data hungry, underperform in low-resource settings



# PBMT vs NMT

Philipp Koehn, Rebecca Knowles. 2017. Six Challenges for Neural Machine Translation. *Proc. WMT*

**BLEU Scores with Varying Amounts of Training Data**





# PBMT vs NMT

Philipp Koehn, Rebecca Knowles. 2017. Six Challenges for Neural Machine Translation. *Proc. WNMT*

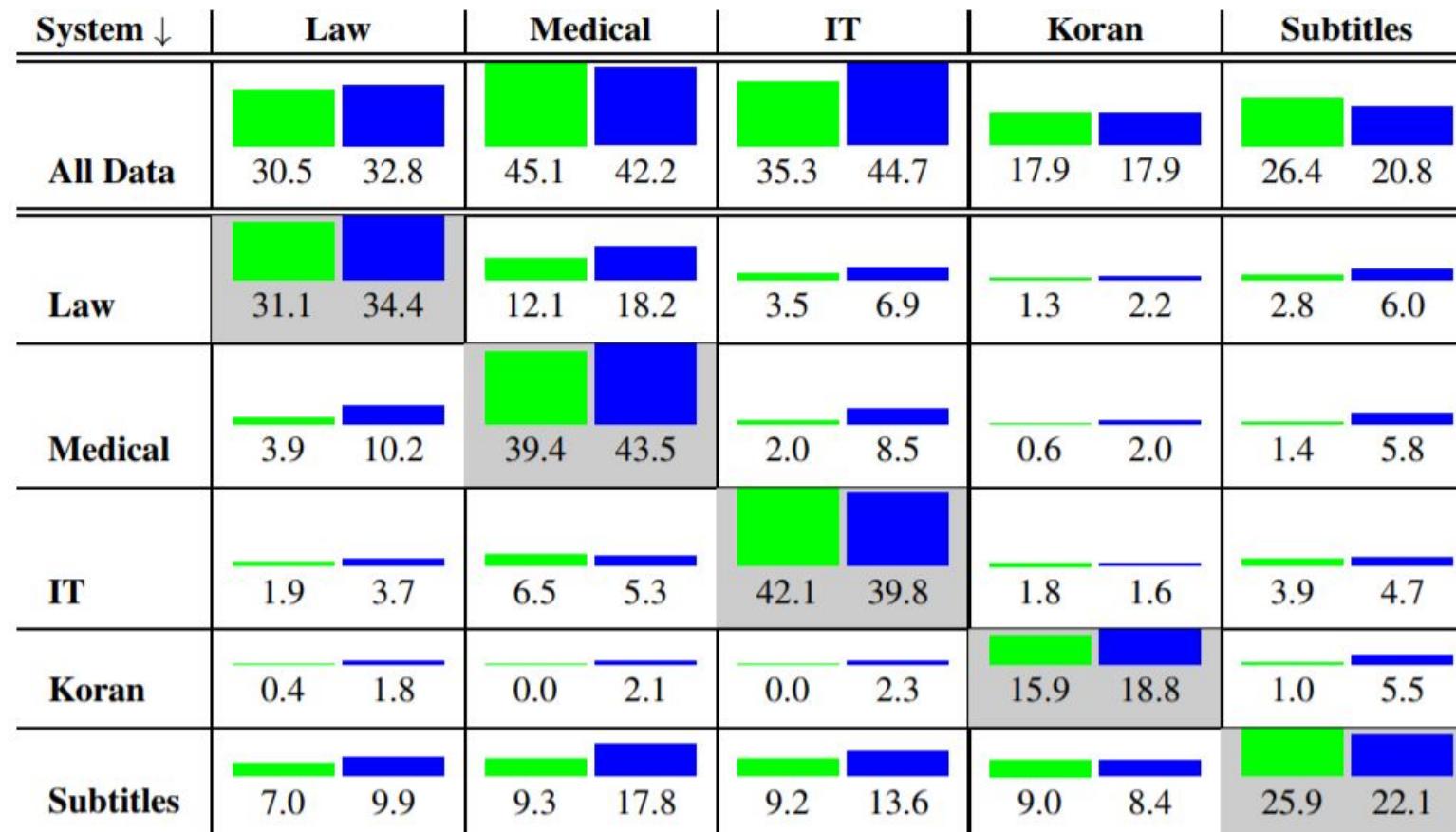


Figure 1: Quality of systems (BLEU), when trained on one domain (rows) and tested on another domain (columns). Comparably, NMT systems (left bars) show more degraded performance out of domain.



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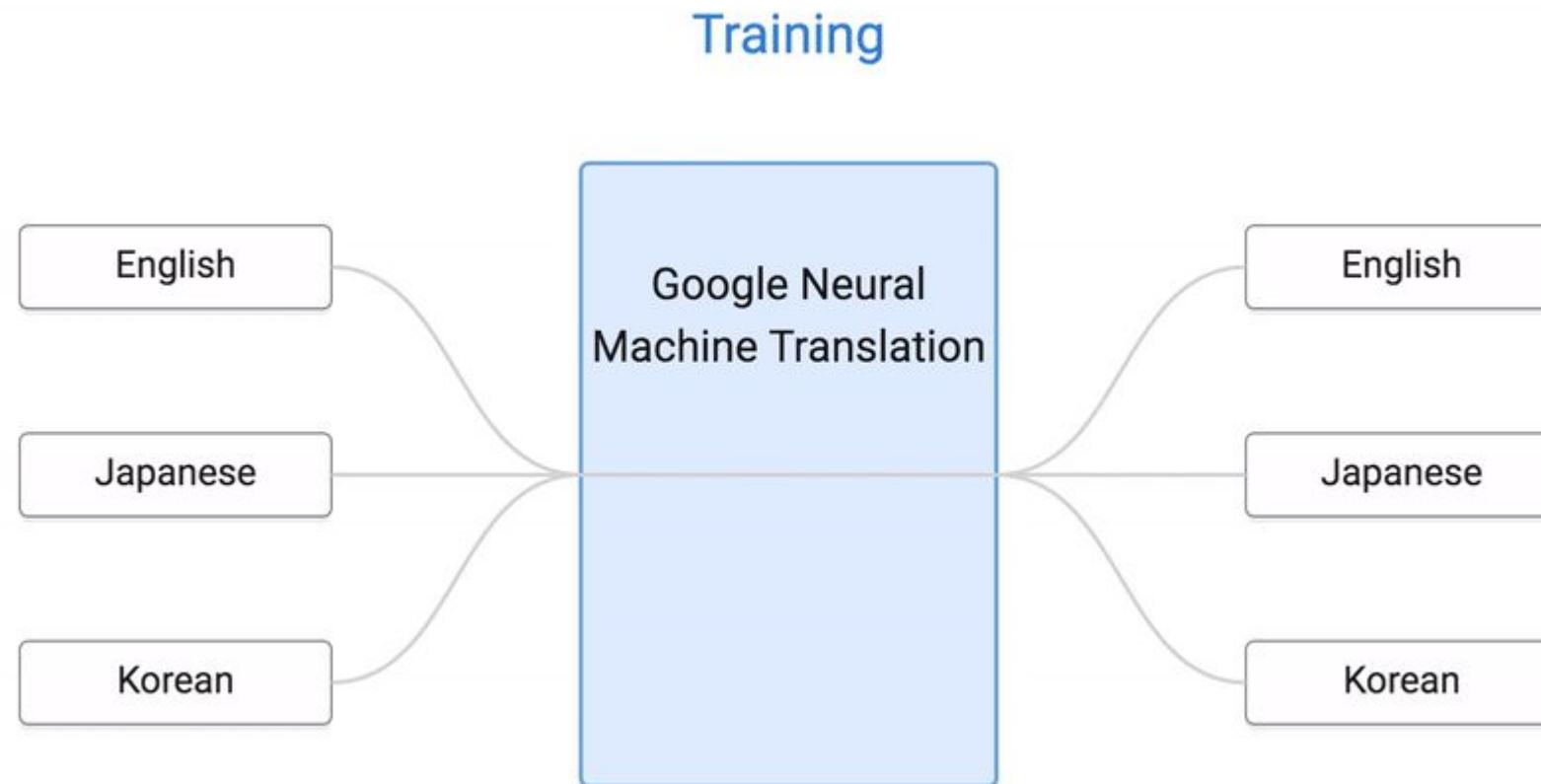
# Case Studies



# Multilingual NMT

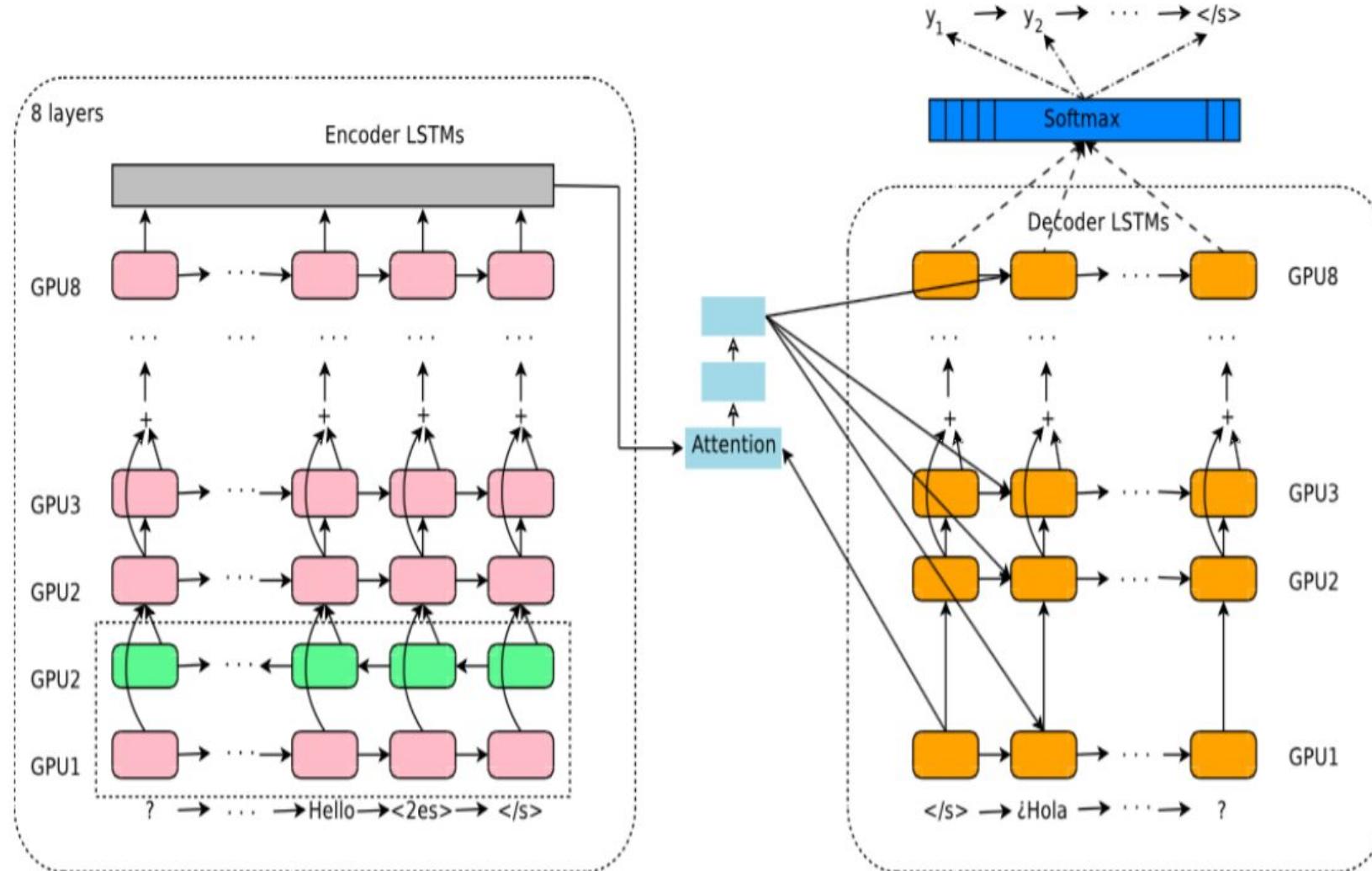
## Google's Multilingual Neural Machine Translation System: Enabling Zero-Shot Translation

[Melvin Johnson](#), [Mike Schuster](#), [Quoc V. Le](#), [Maxim Krikun](#), [Yonghui Wu](#), [Zhifeng Chen](#), [Nikhil Thorat](#), [Fernanda Viégas](#), [Martin Wattenberg](#), [Greg Corrado](#), [Macduff Hughes](#), [Jeffrey Dean](#)





# Multilingual NMT





# Multilingual NMT

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Artificial token in the beginning of the input sentence to indicate the target language:

<2es> Hello, how are you? -> ¿Hola como estás?



# Multilingual NMT

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Table 5: Portuguese→Spanish BLEU scores using various models.

|     | Model                          | Zero-shot | BLEU  |
|-----|--------------------------------|-----------|-------|
| (a) | PBMT bridged                   | no        | 28.99 |
| (b) | NMT bridged                    | no        | 30.91 |
| (c) | NMT Pt→Es                      | no        | 31.50 |
| (d) | Model 1 (Pt→En, En→Es)         | yes       | 21.62 |
| (e) | Model 2 (En↔{Es, Pt})          | yes       | 24.75 |
| (f) | Model 2 + incremental training | no        | 31.77 |



# Transformers

## Attention Is All You Need

[Ashish Vaswani](#), [Noam Shazeer](#), [Niki Parmar](#), [Jakob Uszkoreit](#), [Llion Jones](#), [Aidan N. Gomez](#), [Łukasz Kaiser](#), [Illia Polosukhin](#)

- SOTA results on WMT datasets
- Fast: only matrix multiplications
- stack of  $N$  self-attention layers
- self-attention in decoder is masked
- decoder also attends to encoder states
- RNN can learn to count raw text
- Transformer needs positional encoding

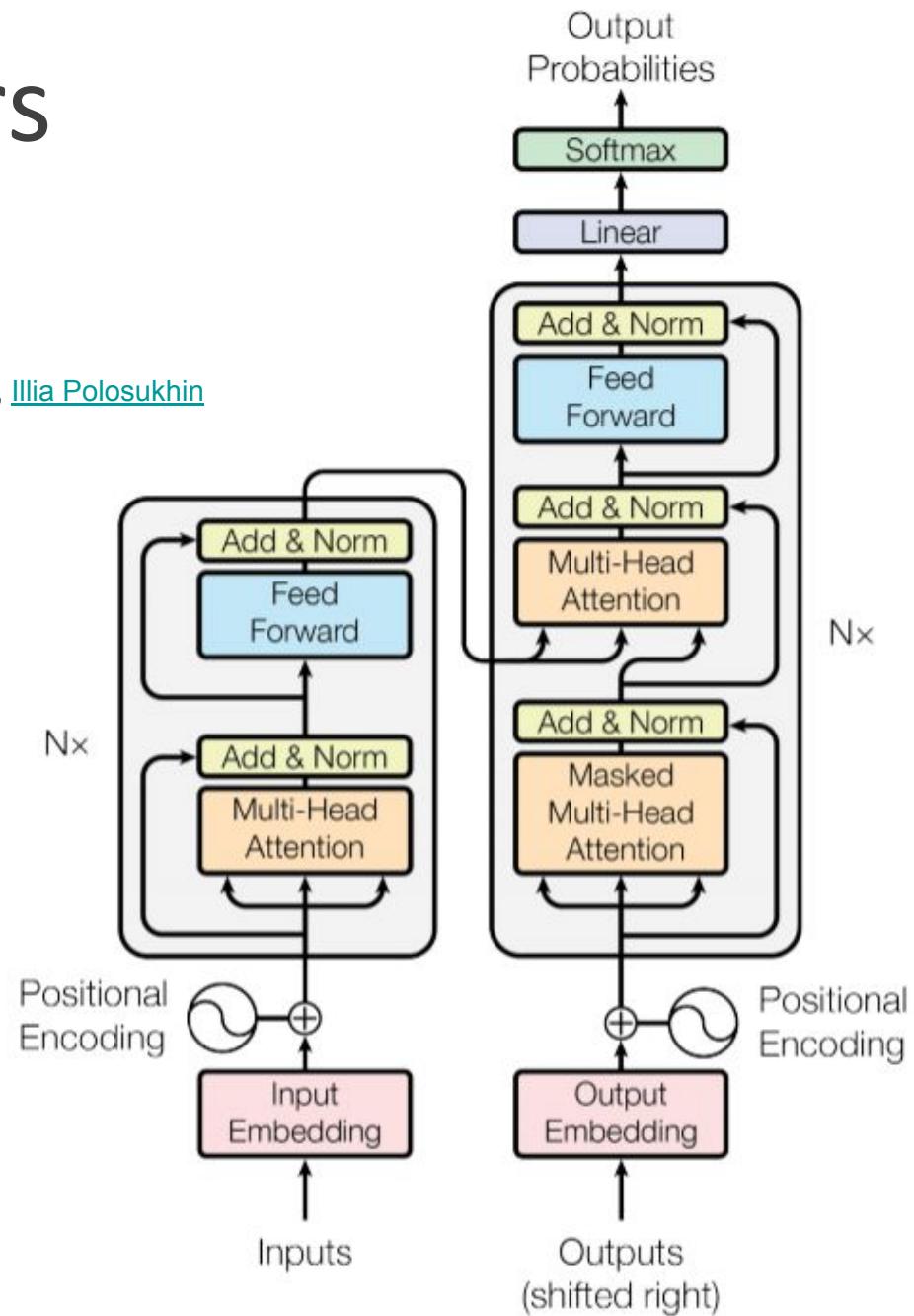


Figure 1: The Transformer - model architecture.



# Multi-Head Attention

It  
is  
in  
this  
spirit  
that  
a  
majority  
of  
American  
governments  
have  
passed  
new  
laws  
since  
2009  
making  
the  
registration  
or  
voting  
process  
more  
difficult  
.

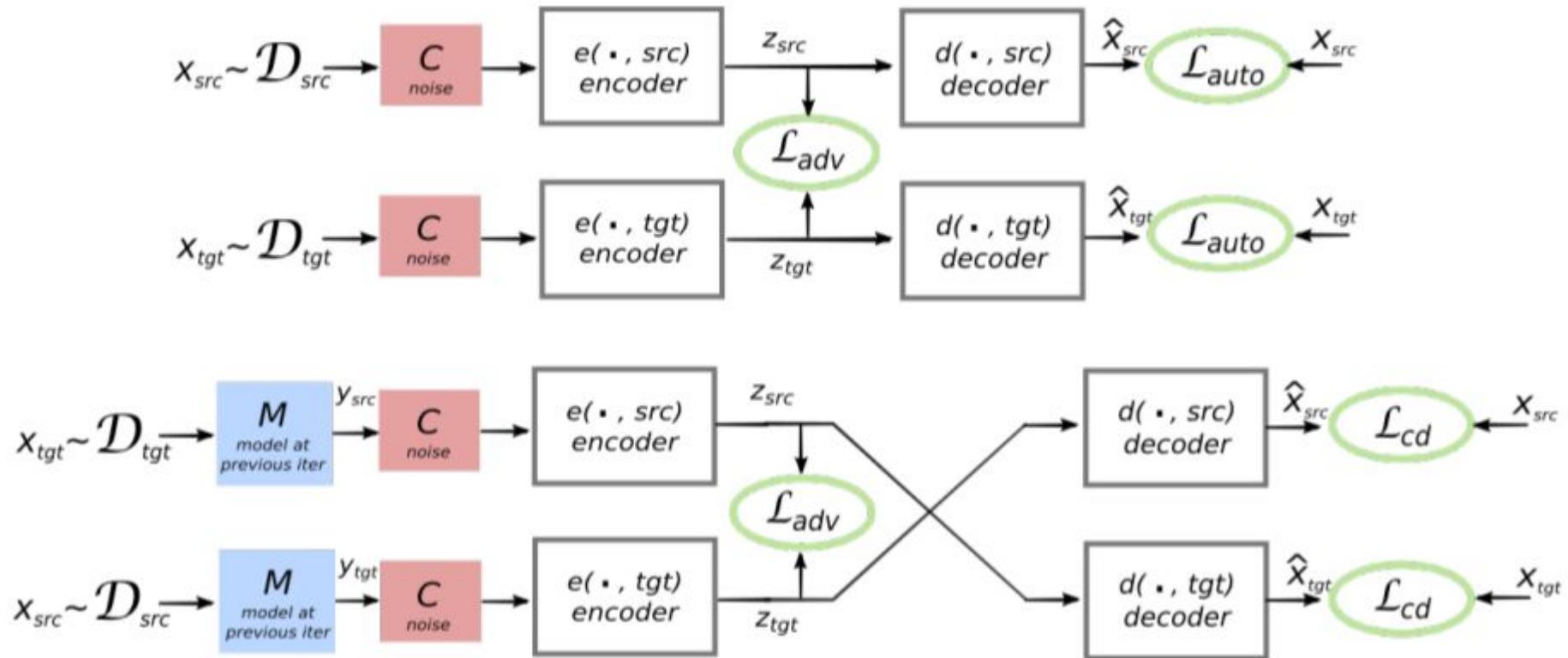
<EOS>



# Unsupervised NMT

## Unsupervised Machine Translation Using Monolingual Corpora Only

[Guillaume Lample](#), [Alexis Conneau](#), [Ludovic Denoyer](#), [Marc'Aurelio Ranzato](#)





# WMT competitions

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<http://www.statmt.org/wmt18/>

THIRD CONFERENCE ON  
MACHINE TRANSLATION (WMT18)

October 31 — November 1, 2018  
Brussels, Belgium

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OTHER TASKS: [[AUTOMATIC POST-EDITING](#)] [[PARALLEL CORPUS FILTERING](#)]

This conference builds on a series of annual workshops and conferences on statistical machine translation, going back to 2006:

- the [NAACL-2006 Workshop on Statistical Machine Translation](#),
- the [ACL-2007 Workshop on Statistical Machine Translation](#),
- the [ACL-2008 Workshop on Statistical Machine Translation](#),
- the [EACL-2009 Workshop on Statistical Machine Translation](#),
- the [ACL-2010 Workshop on Statistical Machine Translation](#)
- the [EMNLP-2011 Workshop on Statistical Machine Translation](#),
- the [NAACL-2012 Workshop on Statistical Machine Translation](#),
- the [ACL-2013 Workshop on Statistical Machine Translation](#),
- the [ACL-2014 Workshop on Statistical Machine Translation](#),
- the [EMNLP-2015 Workshop on Statistical Machine Translation](#),
- the [First Conference on Machine Translation \(at ACL-2016\)](#).
- the [Second Conference on Machine Translation \(at EMNLP-2017\)](#).



# WMT competitions

<http://matrix.statmt.org/>