11-711 Recitation

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Initializing HMM Parameters - $\alpha \beta$

- ullet Forward-backward scores lpha and eta
 - α ≔¯\ ("") /¯
 - β ≔¯\ ("") /¯

$$\alpha_{j}^{i} = \theta_{\mathbf{f}_{j}, \mathbf{e}_{i}}^{(t)} \cdot \sum_{i'=0}^{I-1} \alpha_{j-1}^{i'} \cdot p\left(\mathbf{a}_{j} = i \middle| \mathbf{a}_{j-1} = i', \mathbf{e}; \theta^{(t)}, \psi^{(t)}\right)$$

$$\beta_{j}^{i} = \sum_{i'=0}^{I-1} \theta_{\mathbf{f}_{j}, \mathbf{e}_{i'}}^{(t)} \cdot p\left(\mathbf{a}_{j+1} = i' \middle| \mathbf{a}_{j} = i, \mathbf{e}; \theta^{(t)}, \psi^{(t)}\right) \cdot \beta_{j+1}^{i'}$$

$$\beta_j^i = \sum_{i'=0}^{I-1} \theta_{\mathbf{f}_j, \mathbf{e}_{i'}}^{(t)} \cdot p\left(\mathbf{a}_{j+1} = i' \middle| \mathbf{a}_j = i, \mathbf{e}; \theta^{(t)}, \psi^{(t)}\right) \cdot \beta_{j+1}^{i'}$$

Initializing HMM Parameters - ψ

- Fixed transition scores
 - $\psi_k \propto \exp\{-\lambda(k-1)\}$
- Symmetrical distribution

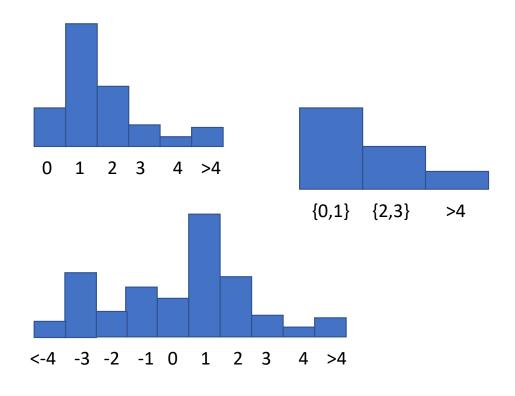
•
$$\psi_{|i-i'|} = P(a_j = i | a_{j-1} = i')$$

- Initialize heavy on 1
- Asymmetrical distribution

•
$$\psi_{i-i'} = P(a_j = i | a_{j-1} = i')$$

Prior on first alignment

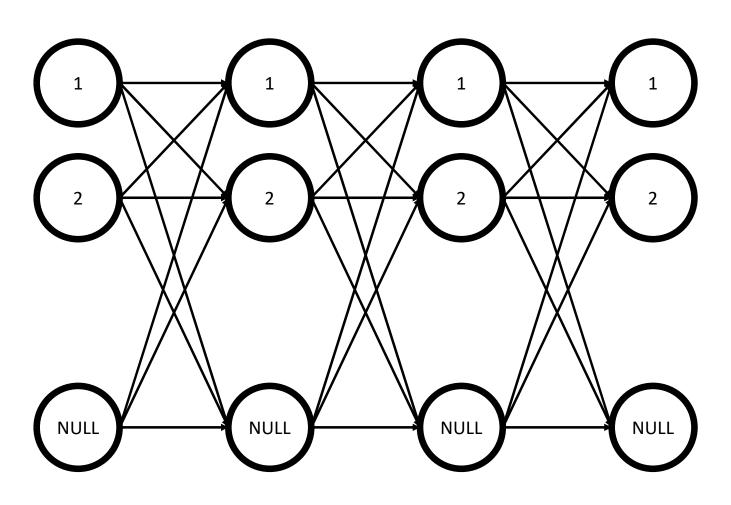
$$p(a_0|\mathbf{e}) \stackrel{\text{def}}{=} \begin{cases} \varepsilon & \text{if } a_0 \text{ is null} \\ \frac{(1-\varepsilon) \cdot \psi_{a_0}}{\sum_{i=0}^{I-1} \psi_i} & \text{otherwise} \end{cases}$$



Initializing Translation Parameters - heta

- Uniform over entire vocabulary
- Uniform over co-occurrences
- Normalized co-occurrence counts
- Pre-training via other models

Null Transitions



Parametrizing Null

Fixed probability of entering null

$$p(a_j = \text{null}|a_{j-1} = i') = \epsilon$$

 $p(a_j = i|a_{j-1} = i') = (1 - \epsilon)\psi_{|i-i'|}$

Uniform probability of leaving null

$$p(a_j = i | a_{j-1} = \text{null}) = \frac{1 - \epsilon}{I}$$

Splitting Null State

- Each null corresponds to a location in the target sentence
 - e_{i+I} is the null equivalent of e_i
- Transition scores involving null are proportional to distance

$$p(i+I \mid i',I) = p_0 \cdot \delta(i,i')$$

$$p(i+I \mid i'+I,I) = p_0 \cdot \delta(i,i')$$

$$p(i \mid i'+I,I) = p(i \mid i',I)$$

[Och & Ney 2003]