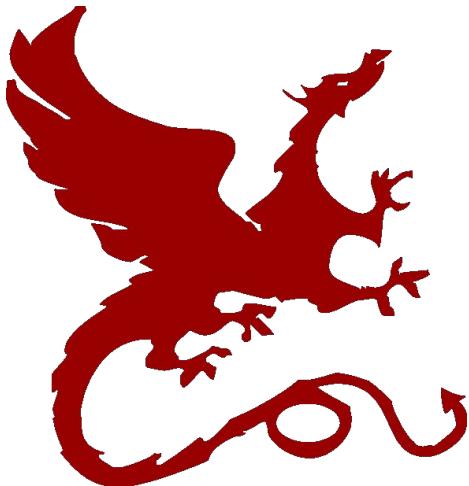


# Algorithms for NLP



## Machine Translation III

Yulia Tsvetkov – CMU

Slides: Philipp Koehn – JHU; Chris Dyer – DeepMind  
Taylor Berg-Kirkpatrick – CMU, Dan Klein – UC Berkeley



# Centauri-Arcturan Parallel Text

---

1a. ok-voon ororok sprok .

1b. at-voon bichat dat .

---

2a. ok-drubel ok-voon anok plok sprok .

2b. at-drubel at-voon pippat rrat dat .

---

3a. erok sprok izok hihok ghirok .

3b. totat dat arrat vat hilat .

---

4a. ok-voon anok drok brok jok .

4b. at-voon krat pippat sat lat .

---

5a. wiwok farok izok stok .

5b. totat jjat quat cat .

---

6a. lalok sprok izok jok stok .

6b. wat dat krat quat cat .

---

7a. lalok farok ororok lalok sprok izok enemok .

7b. wat jjat bichat wat dat vat eneat .

---

8a. lalok brok anok plok nok .

8b. iat lat pippat rrat nnat .

---

9a. wiwok nok izok kantok ok-yurp .

9b. totat nnat quat oloat at-yurp .

---

10a. lalok mok nok yorok ghirok clok .

10b. wat nnat gat mat bat hilat .

---

11a. lalok nok crrrok hihok yorok zanzanok .

11b. wat nnat arrat mat zanzanat .

---

12a. lalok rarok nok izok hihok mok .

12b. wat nnat forat arrat vat gat .

---

Translation challenge: **farok crrrok hihok yorok clok kantok ok-yurp**

(from Knight (1997): Automating Knowledge Acquisition for Machine Translation)



# Lexical Translation

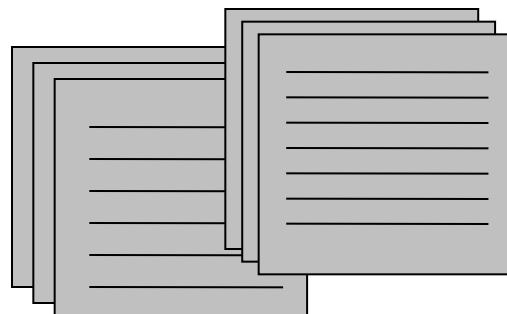
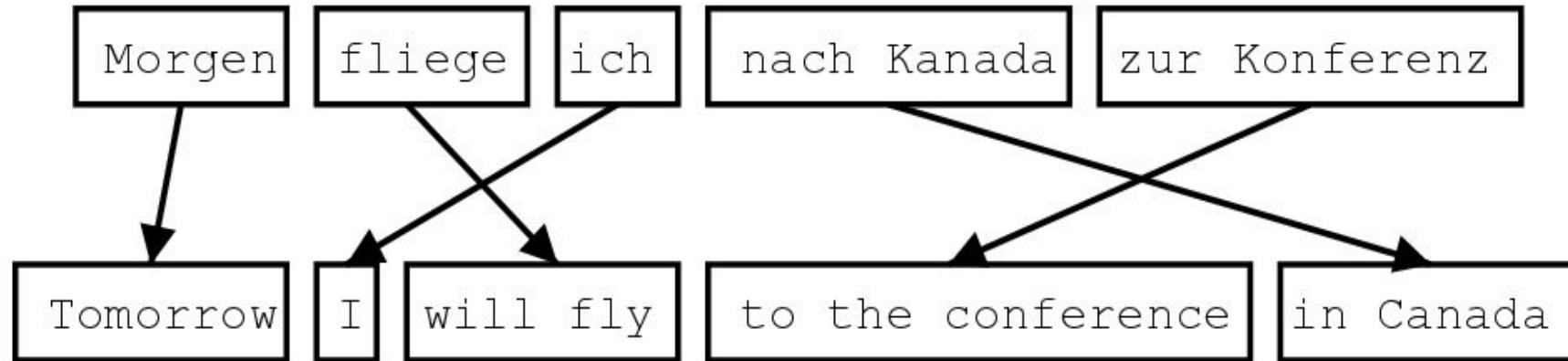
в этом смысле подобные действия частично дискредитируют систему американской демократии

in this sense such actions some discredit system american democracy  
the that meaning similar action partially a system u.s. democracies

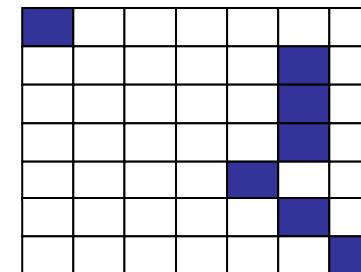
IBM Model 1	lexical translation
IBM Model 2	adds absolute reordering model
IBM Model 3	adds fertility model
IBM Model 4	relative reordering model
IBM Model 5	fixes deficiency



# Phrase-Based System Overview



Sentence-aligned  
corpus

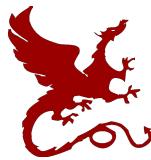


Word alignments



cat     chat     0.9
the cat     le chat     0.8
dog     chien     0.8
house     maison     0.6
my house     ma maison     0.9
language     langue     0.9
...

Phrase table  
(translation model)



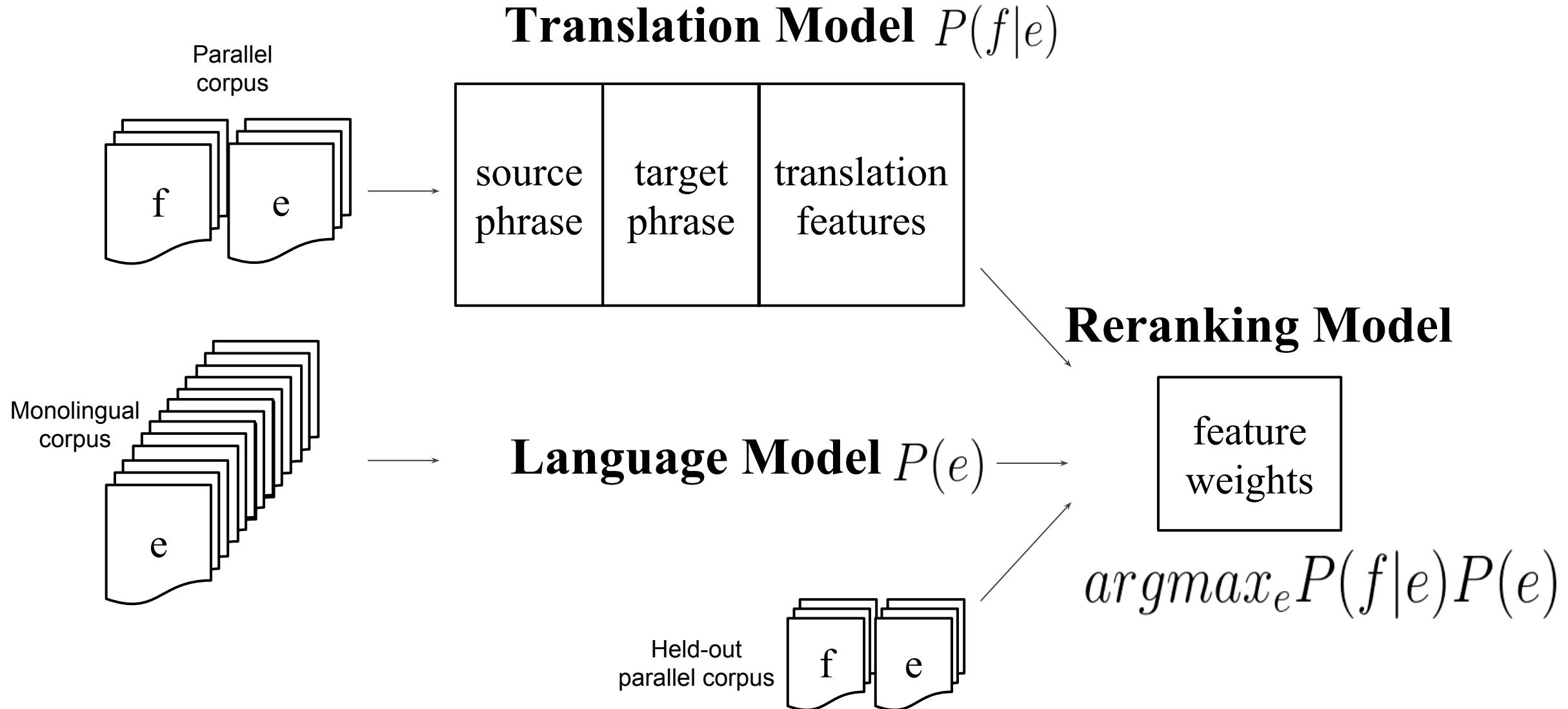
# Phrase-Based Translation

в этом смысле подобные действия частично дискредитируют систему американской демократии

in this sense such actions some discredit system american democracy  
the that meaning similar action partially a system u.s. democracies  
a the terms these the part systems us democratic  
at it way this acts in part which america of democracy  
here sense , like steps partly network america's  
this these actions american democracy  
in this sense america's democracy  
in that sense us democracy  
in this respect



# Noisy Channel Model : Phrase-Based MT





# Estimate Translation Probabilities

---

If we have alignments: Maximum Likelihood Estimation

$$\hat{p}_{\text{MLE}}(e \mid \text{Haus}) = \begin{cases} 0.8 & \text{if } e = \text{house}, \\ 0.16 & \text{if } e = \text{building}, \\ 0.02 & \text{if } e = \text{home}, \\ 0.015 & \text{if } e = \text{household}, \\ 0.005 & \text{if } e = \text{shell}. \end{cases}$$



# Estimate Alignments

---

If we have translation probabilities:

das	
e	$t(e f)$
the	0.7
that	0.15
which	0.075
who	0.05
this	0.025

Haus	
e	$t(e f)$
house	0.8
building	0.16
home	0.02
household	0.015
shell	0.005

ist	
e	$t(e f)$
is	0.8
's	0.16
exists	0.02
has	0.015
are	0.005

klein	
e	$t(e f)$
small	0.4
little	0.4
short	0.1
minor	0.06
petty	0.04

We can estimate Viterbi alignment

$$\mathbf{a}^* = \arg \max_{\mathbf{a} \in [0,1,\dots,n]^m} p(\mathbf{a} \mid \mathbf{e}, \mathbf{f})$$



# Finding the Viterbi Alignment

---

$$\begin{aligned}\mathbf{a}^* &= \arg \max_{\mathbf{a} \in [0,1,\dots,n]^m} p(\mathbf{a} \mid \mathbf{e}, \mathbf{f}) \\ &= \arg \max_{\mathbf{a} \in [0,1,\dots,n]^m} \frac{p(\mathbf{e}, \mathbf{a} \mid \mathbf{f})}{\sum_{\mathbf{a}'} p(\mathbf{e}, \mathbf{a}' \mid \mathbf{f})} \\ &= \arg \max_{\mathbf{a} \in [0,1,\dots,n]^m} p(\mathbf{e}, \mathbf{a} \mid \mathbf{f})\end{aligned}$$

In model 1:

$$\begin{aligned}a_i^* &= \arg \max_{a_i=0}^n \frac{1}{1+n} p(e_i \mid f_{a_i}) \\ &= \arg \max_{a_i=0}^n p(e_i \mid f_{a_i})\end{aligned}$$



# Finding the Viterbi Alignment

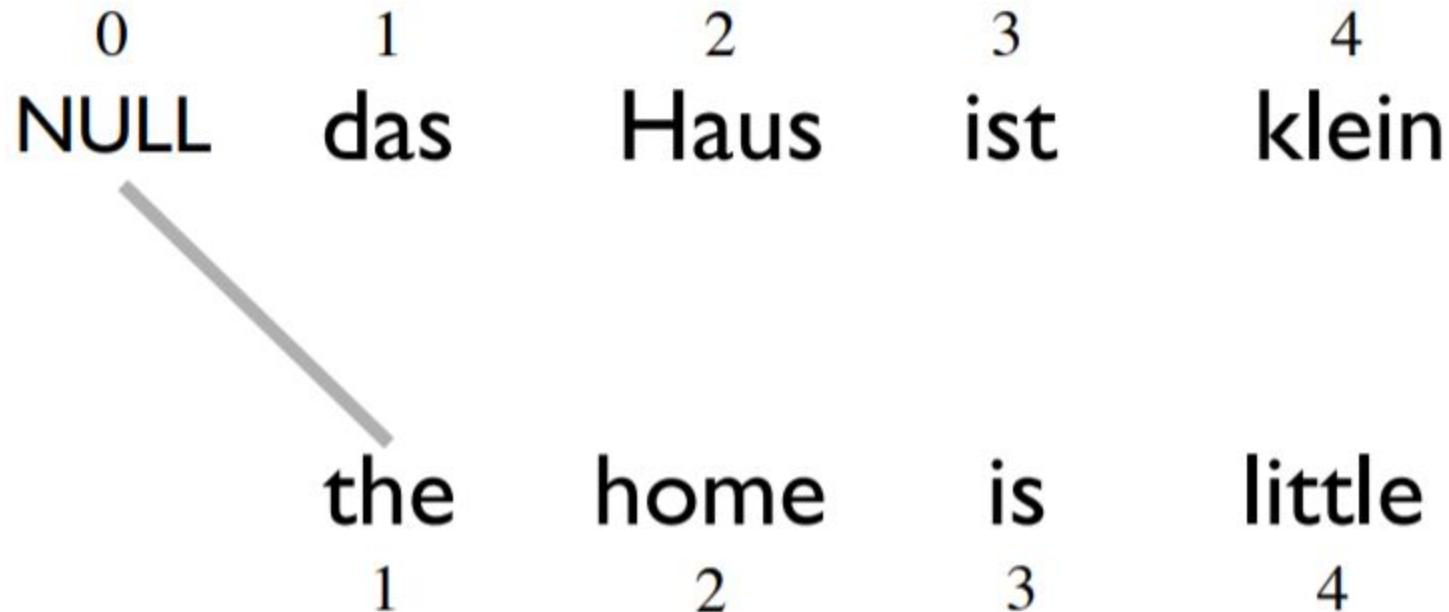
---

0	1	2	3	4
NULL	das	Haus	ist	klein

the	home	is	little
1	2	3	4

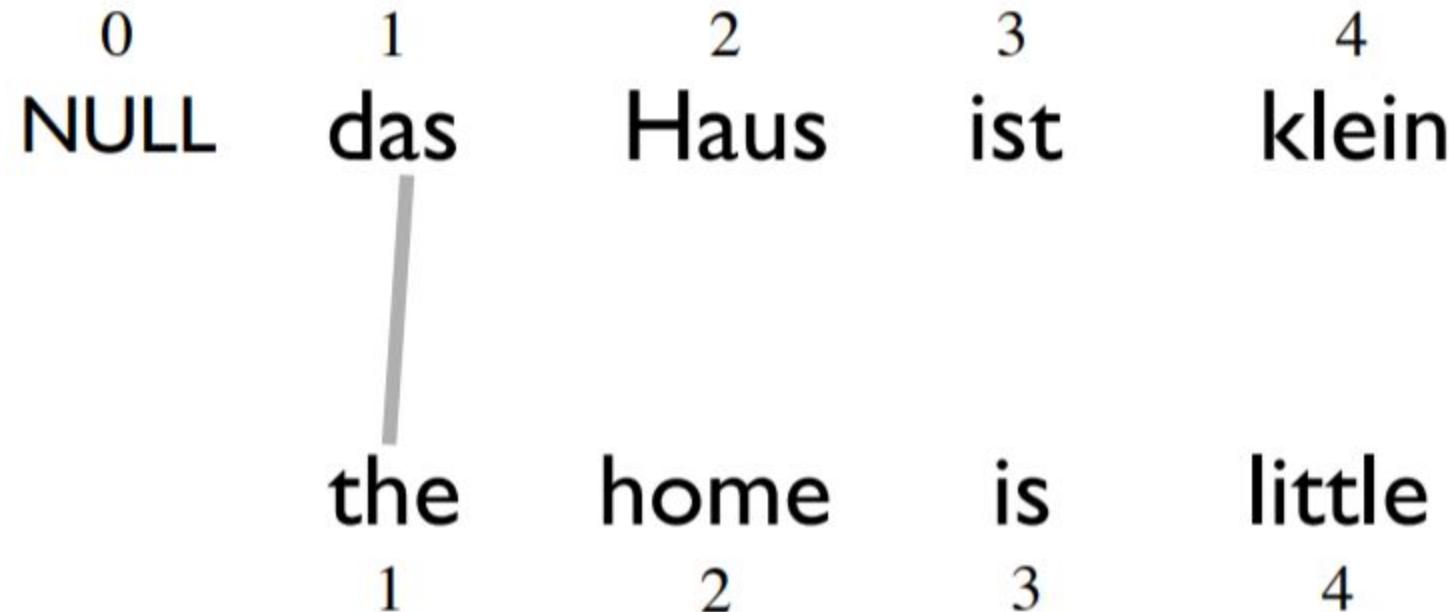


# Finding the Viterbi Alignment



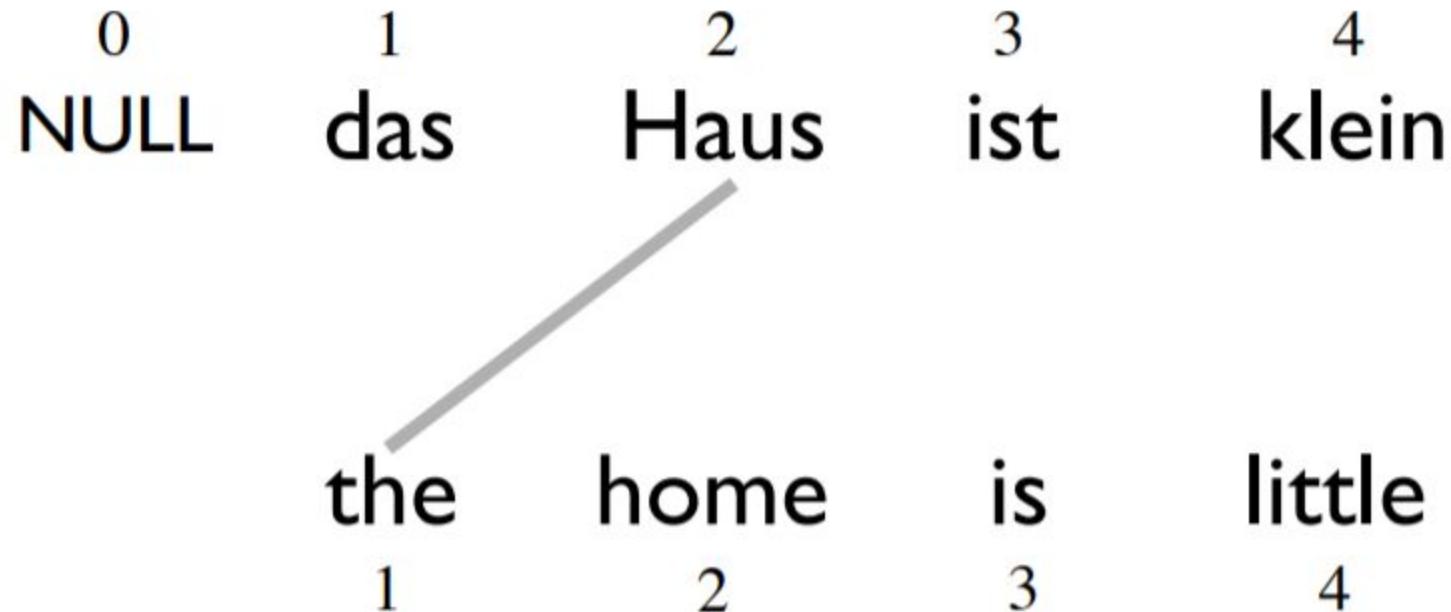


# Finding the Viterbi Alignment





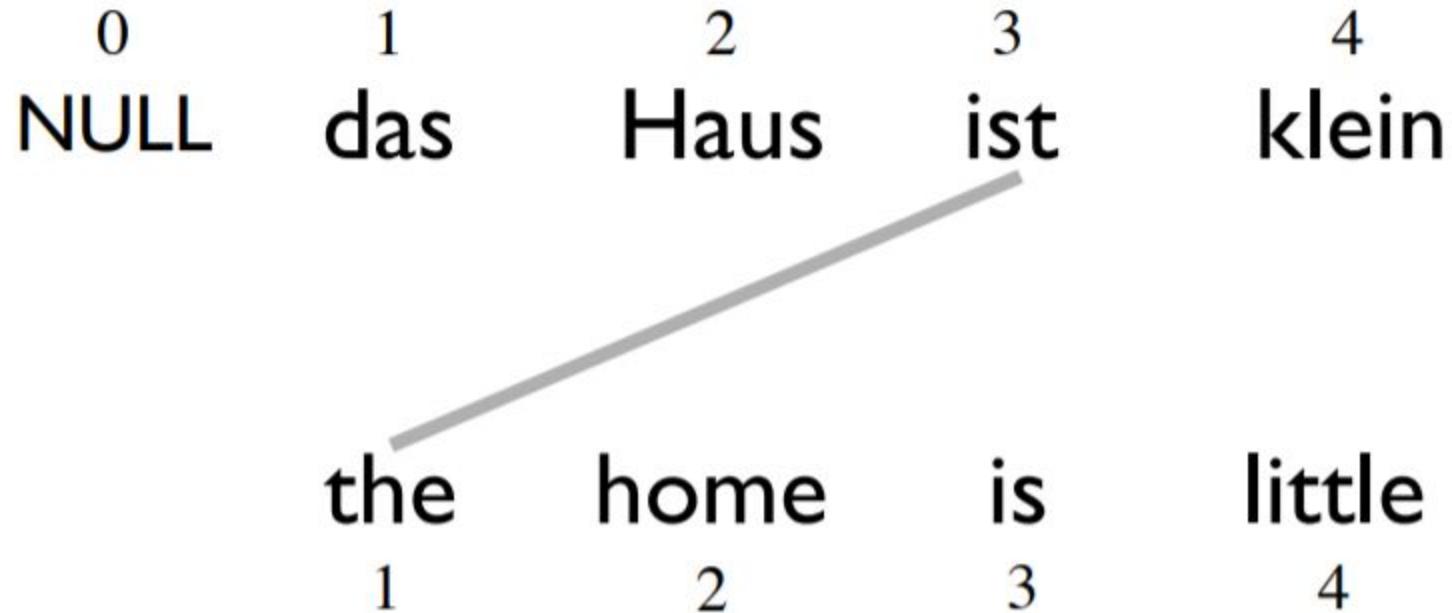
# Finding the Viterbi Alignment





# Finding the Viterbi Alignment

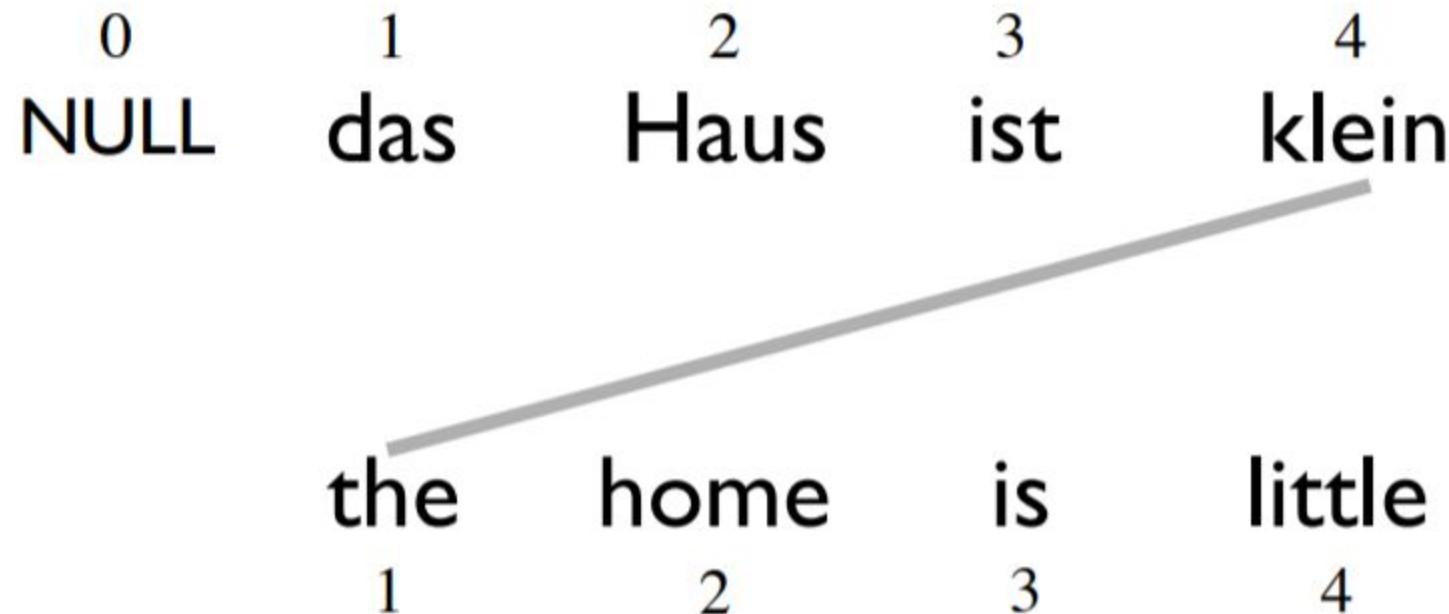
---





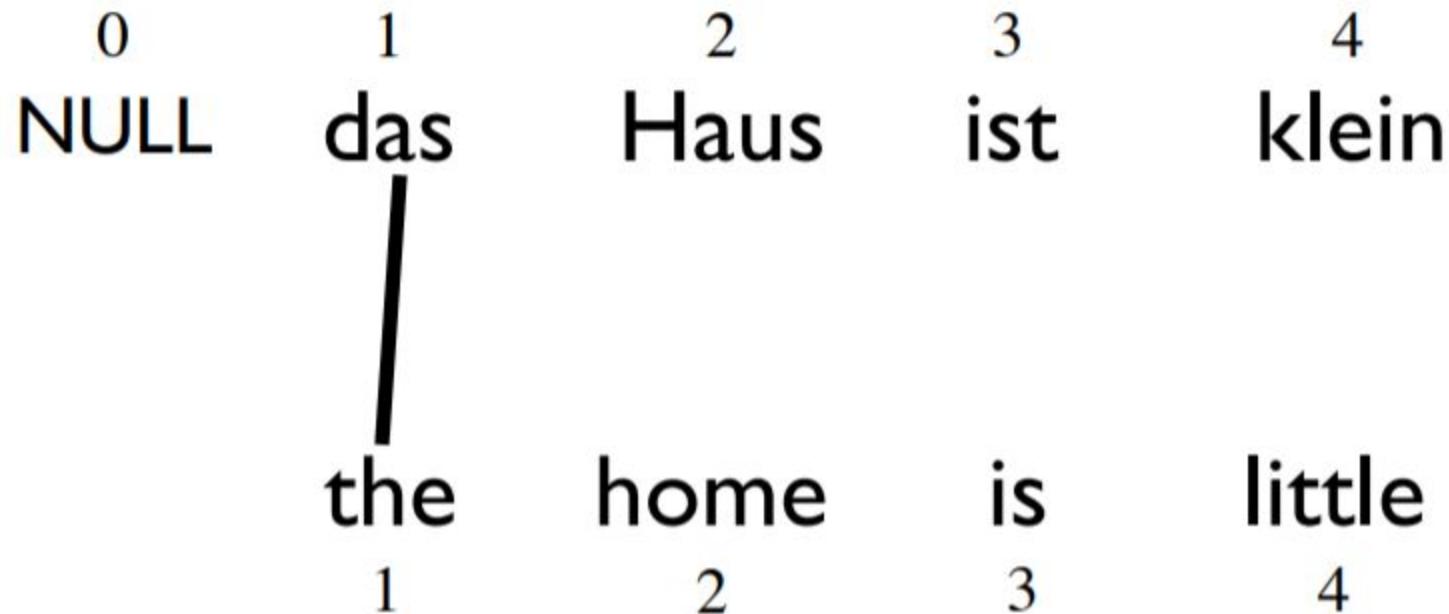
# Finding the Viterbi Alignment

---



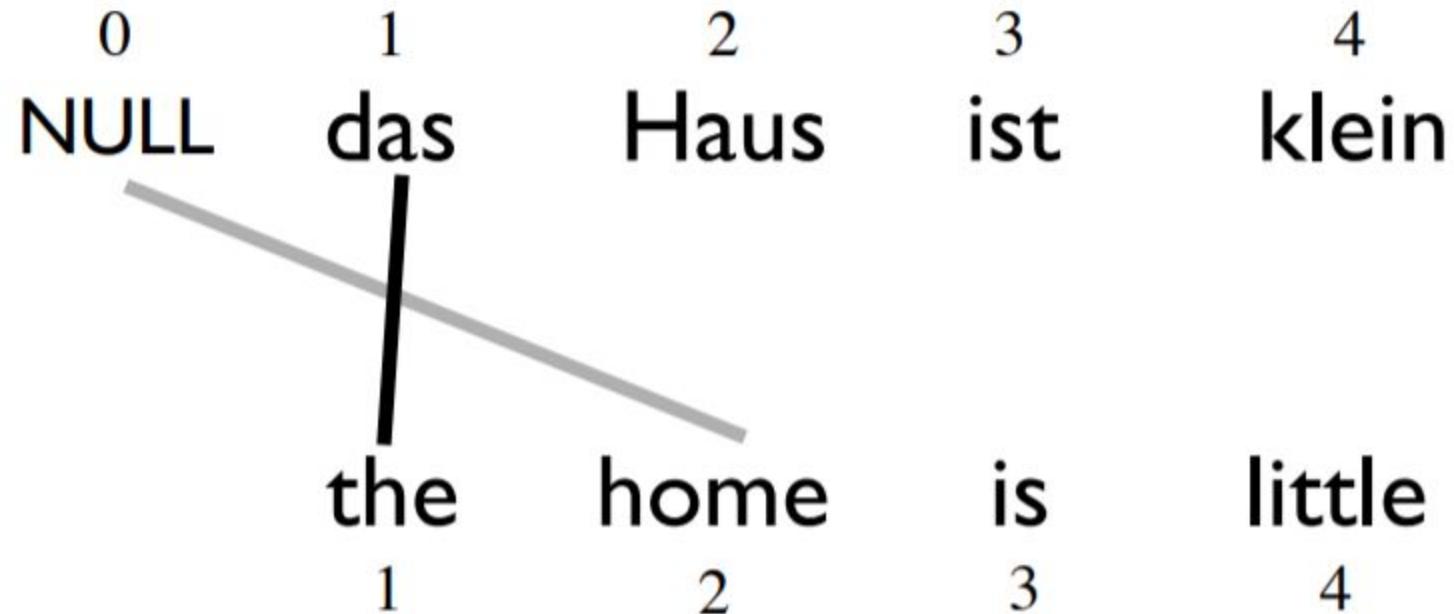


# Finding the Viterbi Alignment



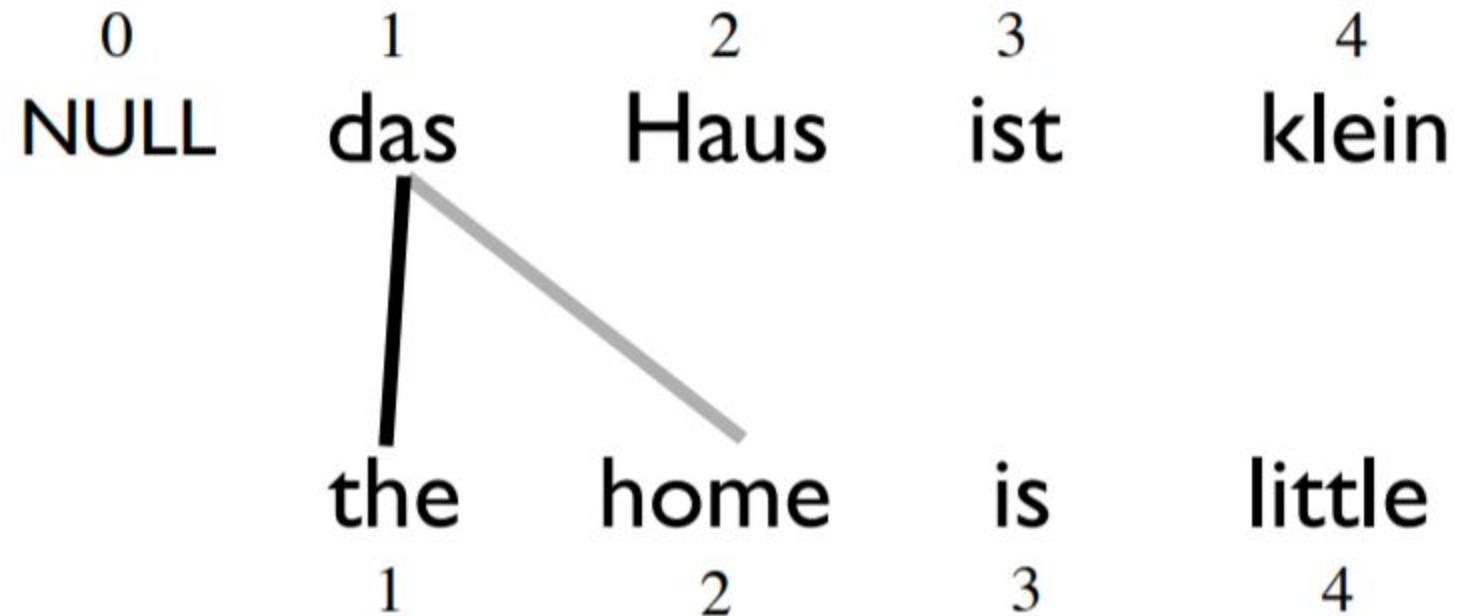


# Finding the Viterbi Alignment



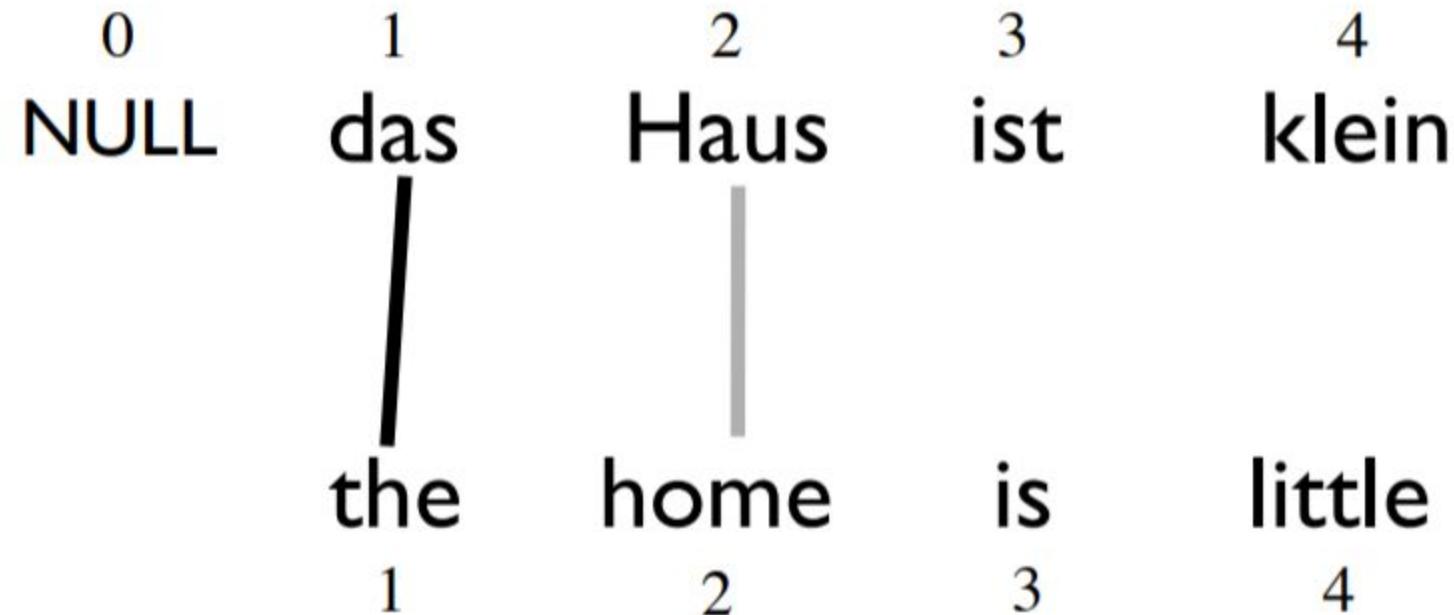


# Finding the Viterbi Alignment



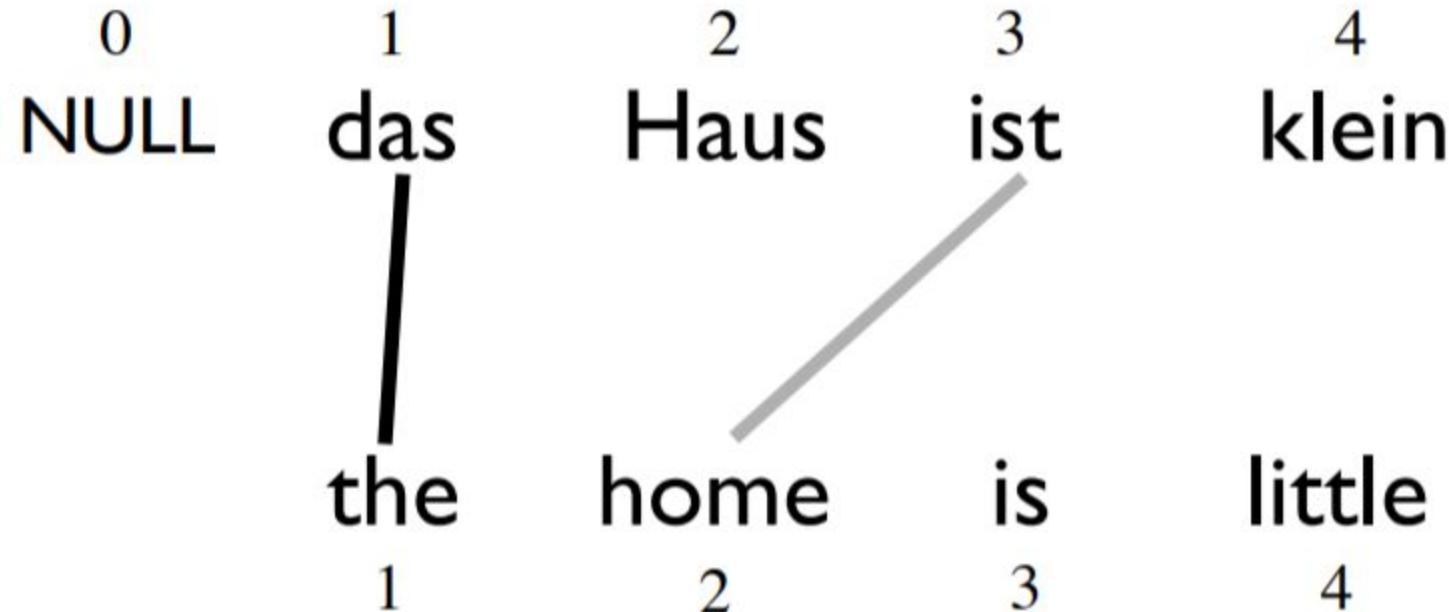


# Finding the Viterbi Alignment



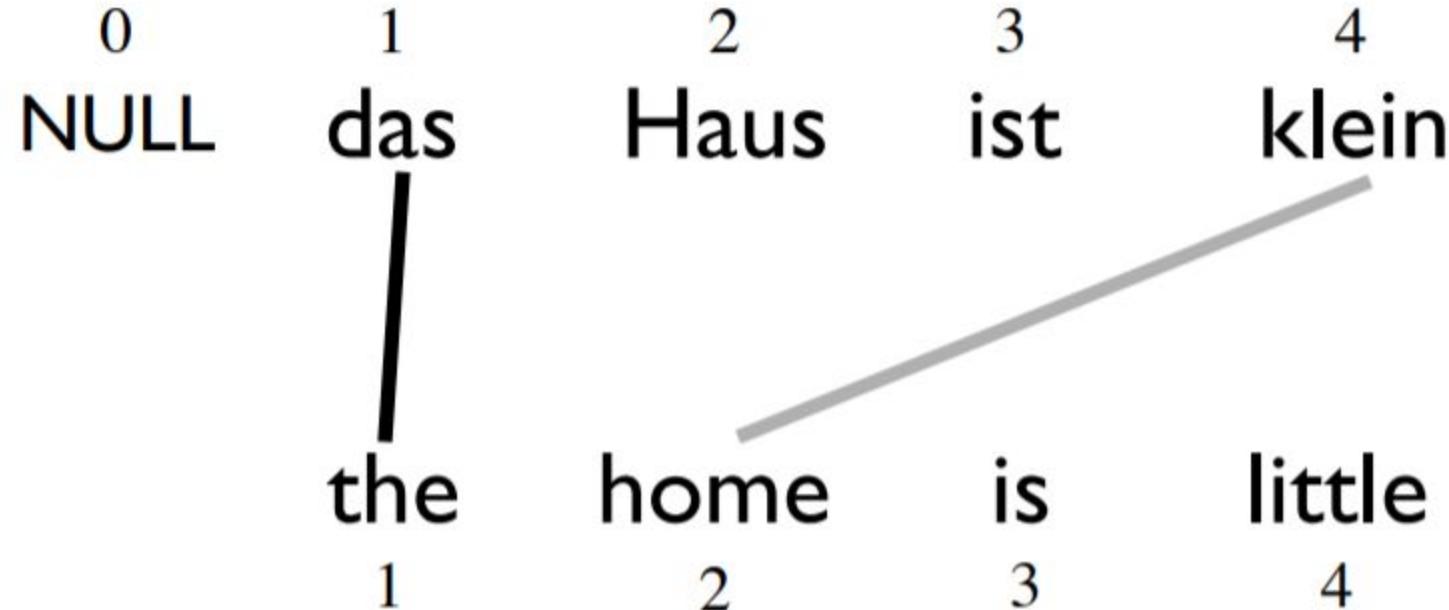


# Finding the Viterbi Alignment





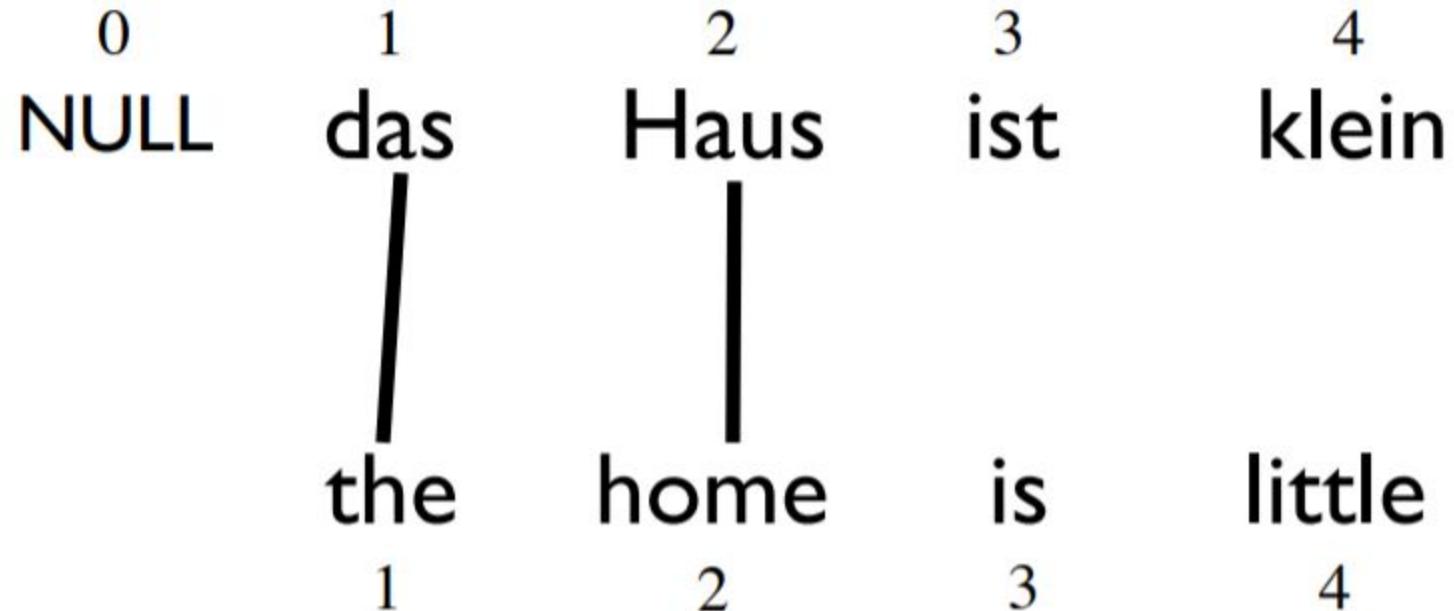
# Finding the Viterbi Alignment





# Finding the Viterbi Alignment

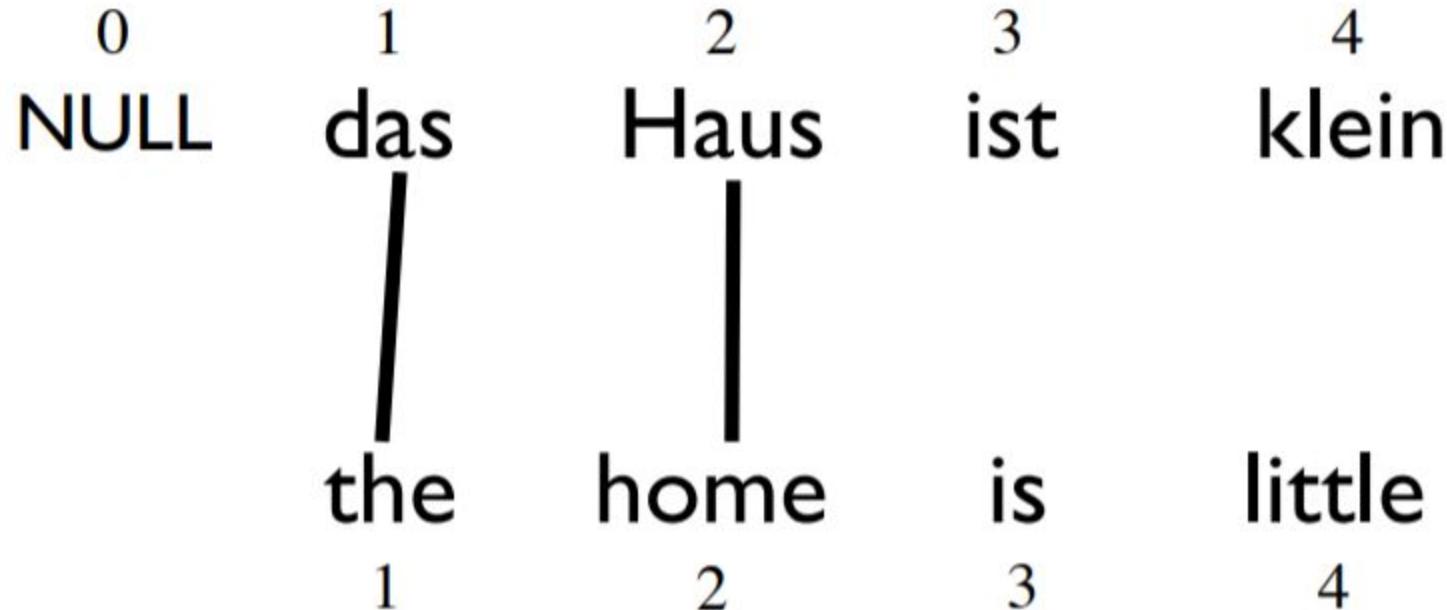
---





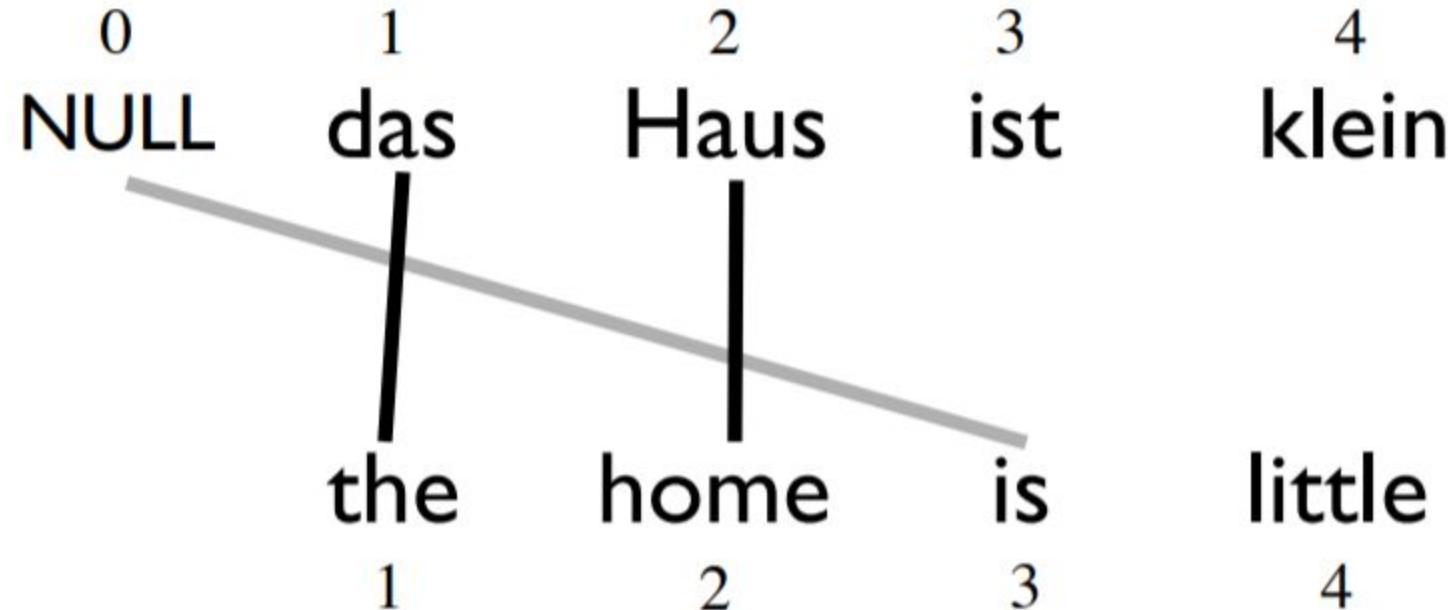
# Finding the Viterbi Alignment

---



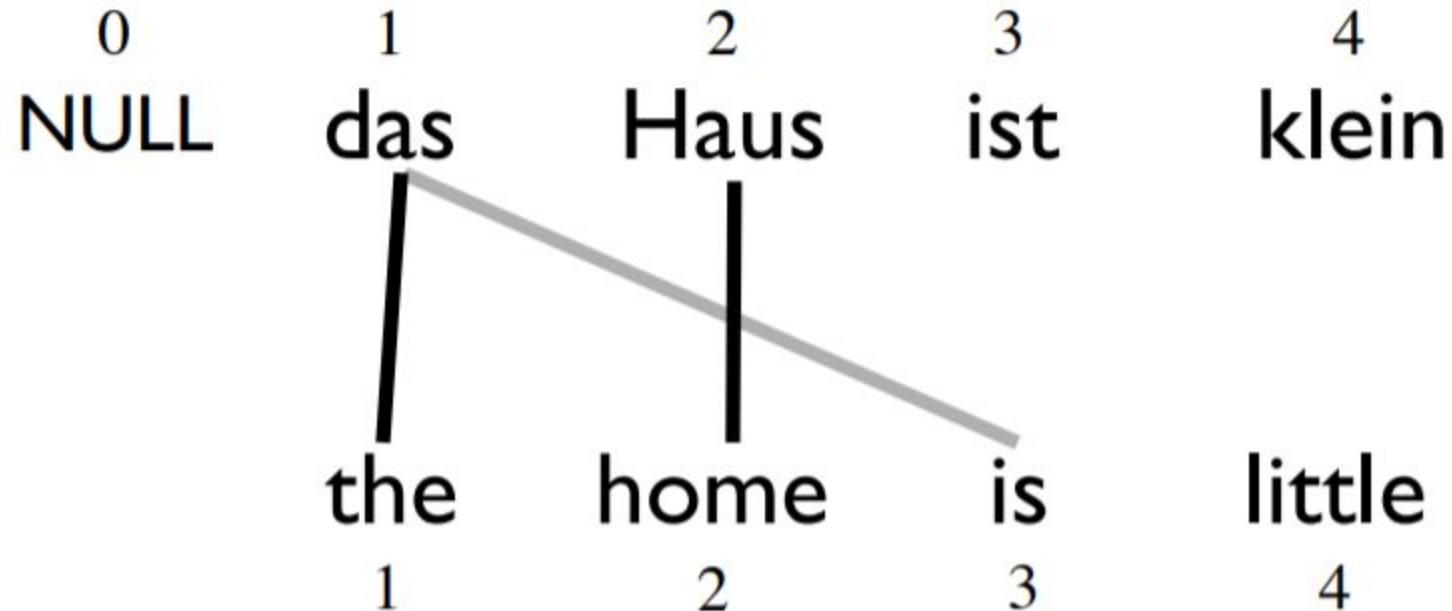


# Finding the Viterbi Alignment



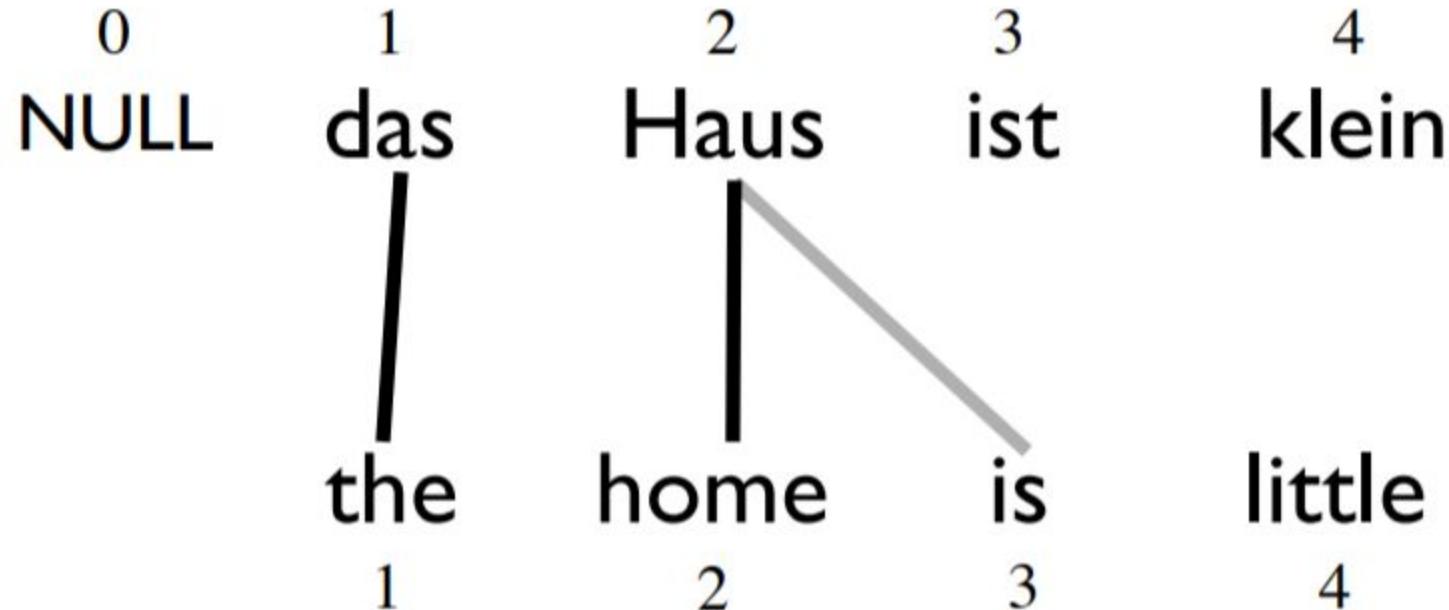


# Finding the Viterbi Alignment



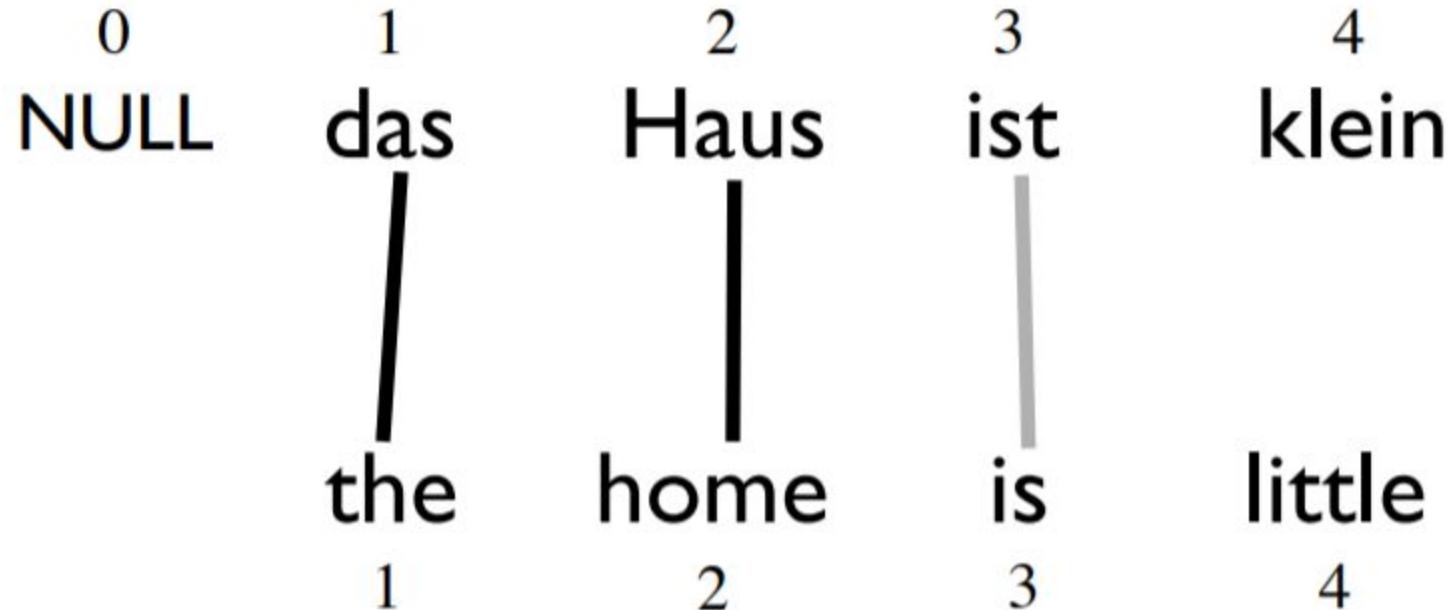


# Finding the Viterbi Alignment



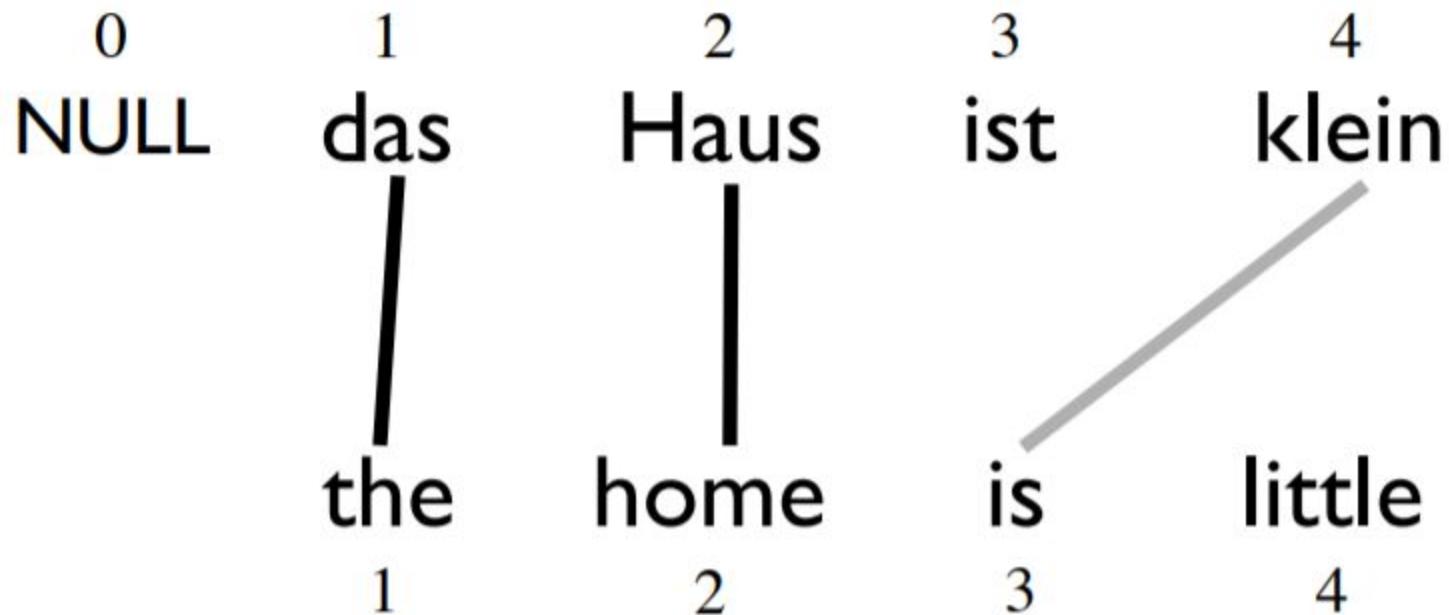


# Finding the Viterbi Alignment



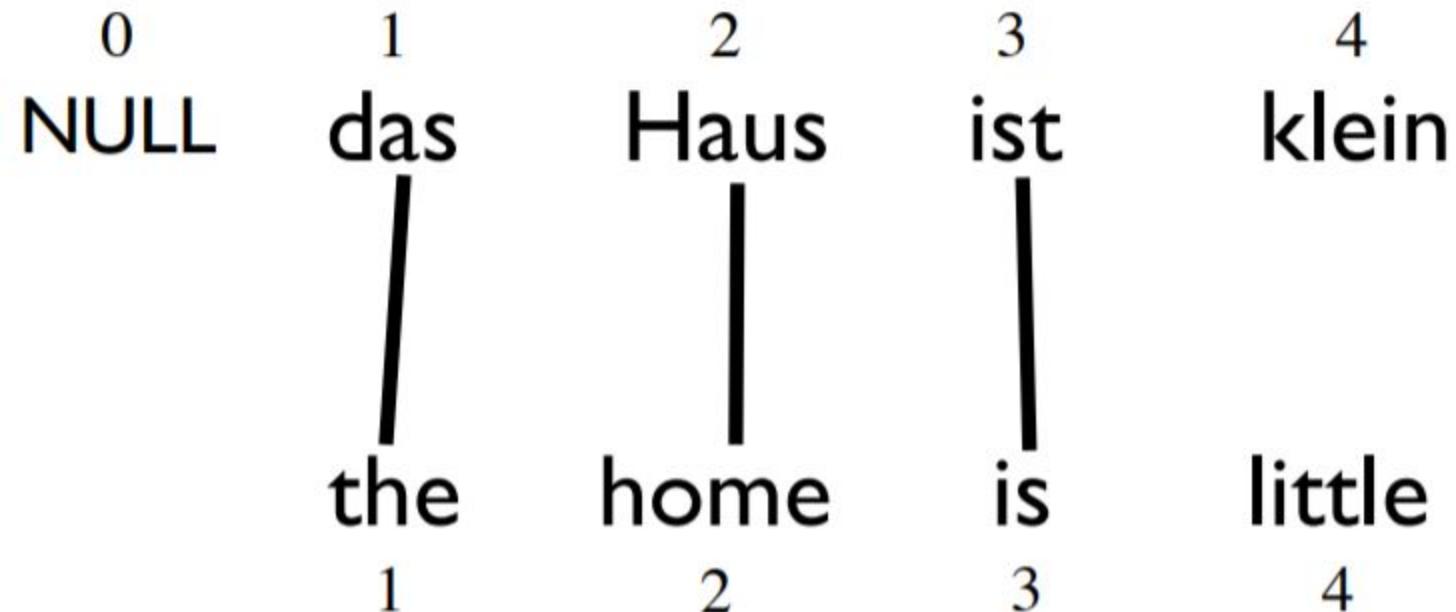


# Finding the Viterbi Alignment



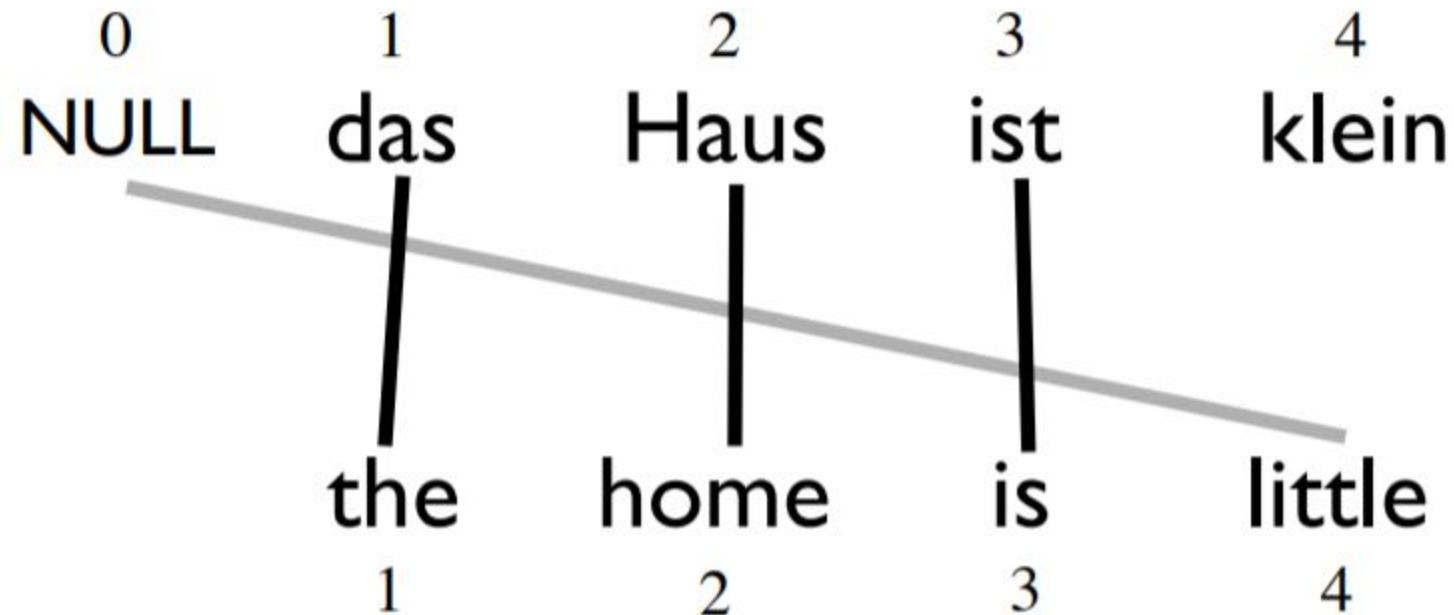


# Finding the Viterbi Alignment



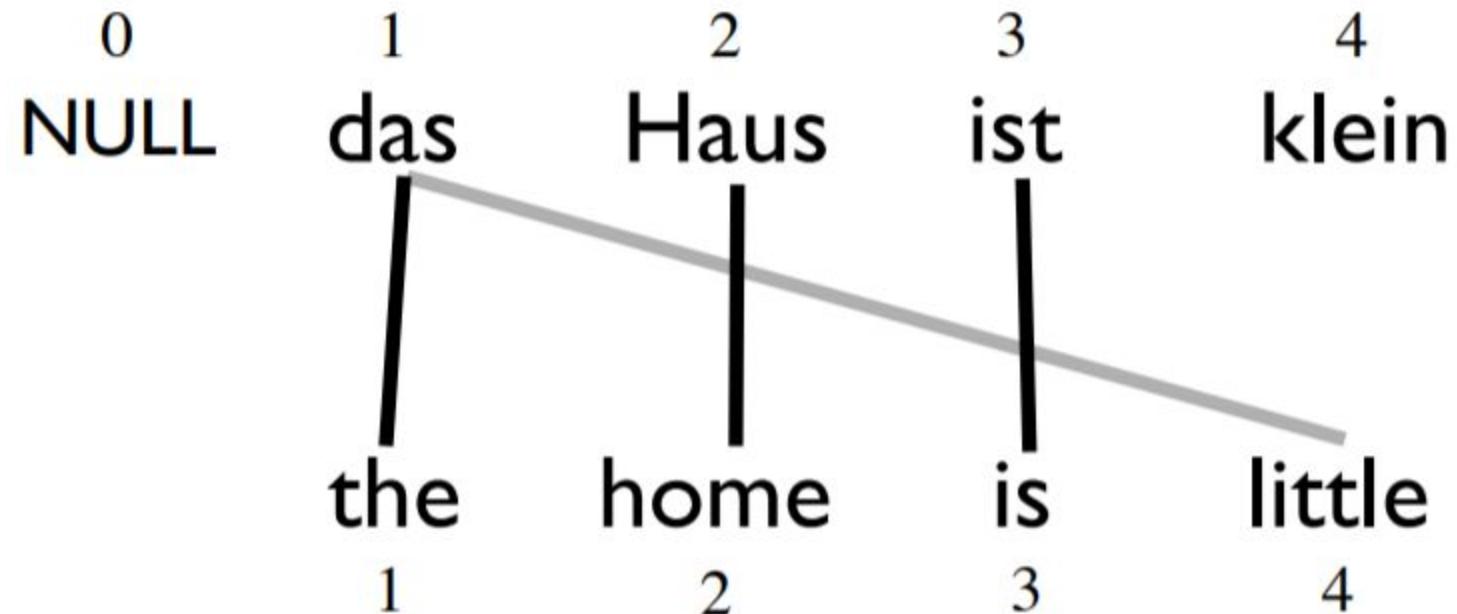


# Finding the Viterbi Alignment



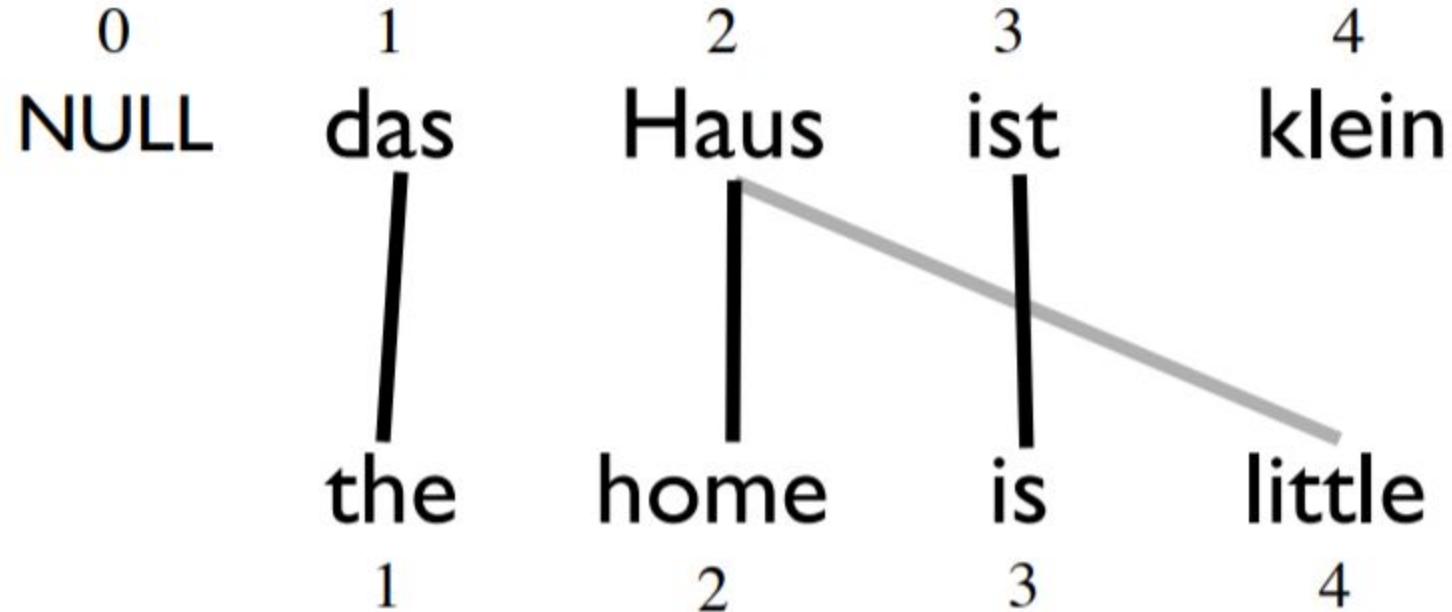


# Finding the Viterbi Alignment



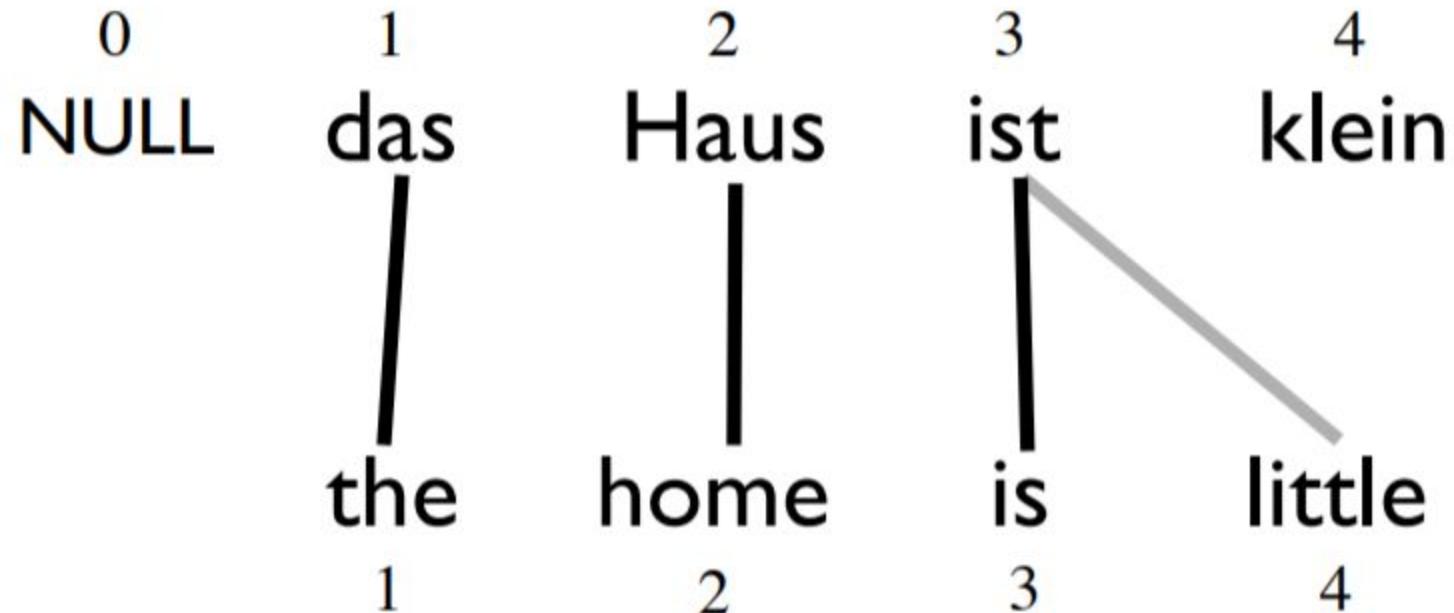


# Finding the Viterbi Alignment



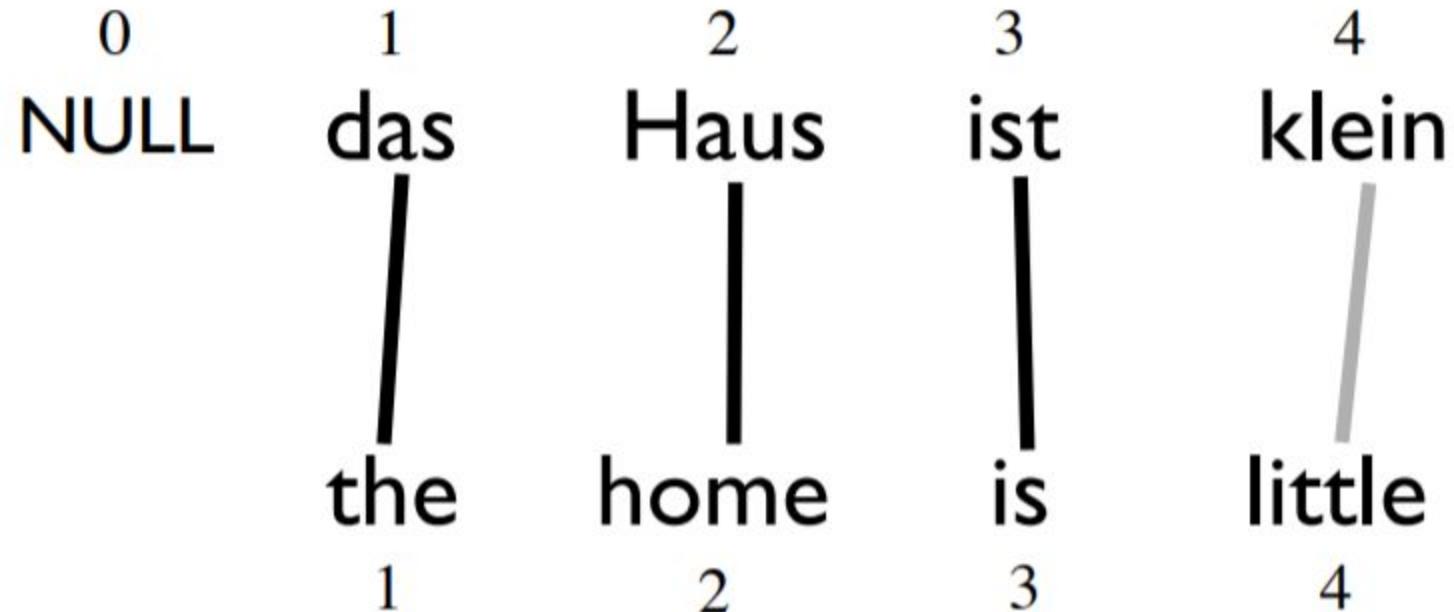


# Finding the Viterbi Alignment





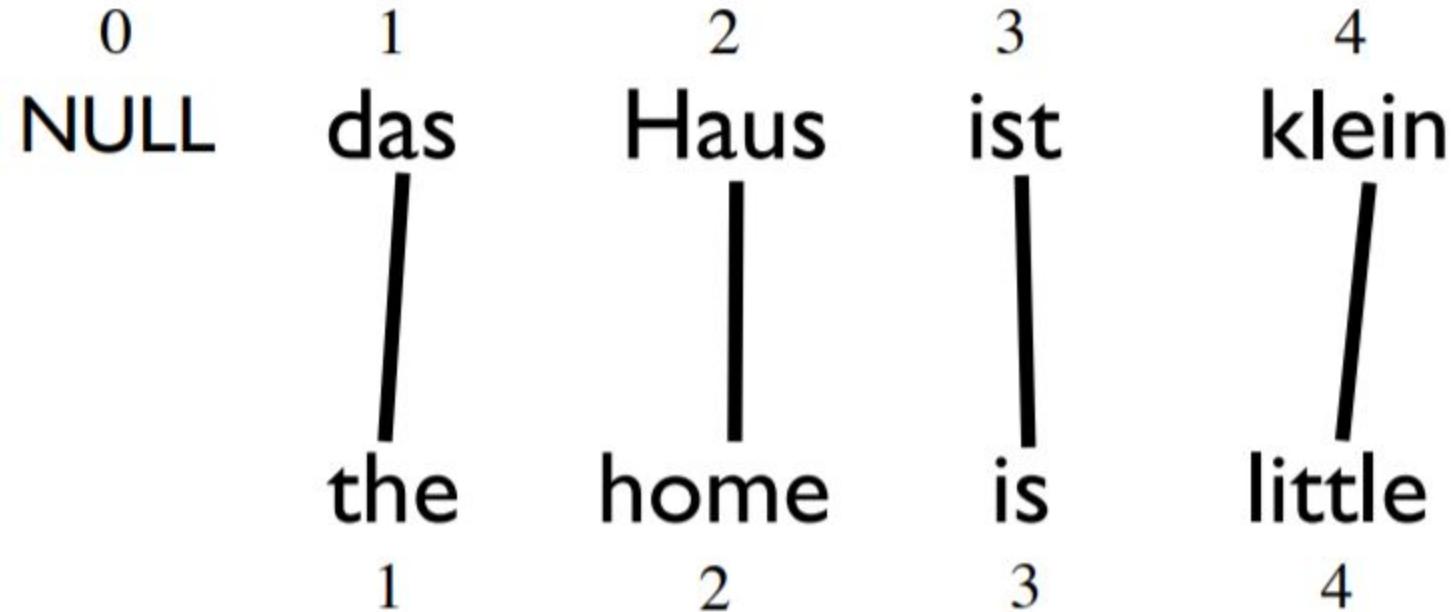
# Finding the Viterbi Alignment





# Finding the Viterbi Alignment

---

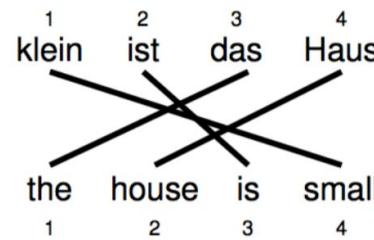




# Learning Lexical Translation Models

We would like to estimate the lexical translation probabilities  $t(e|f)$  from a parallel corpus but we do not have the alignments

- Chicken and egg problem
  - if we had the **alignments**,  
→ we could estimate the **parameters** of our generative model (MLE)
  - if we had the **parameters**,  
→ we could estimate the **alignments**



klein	
<i>e</i>	$t(e f)$
small	0.4
little	0.4
short	0.1
minor	0.06
petty	0.04





# EM Algorithm

---

- Incomplete data
  - if we had **complete data**, would could estimate the model
  - if we had the **model**, we could fill in the gaps in the data
- Expectation Maximization (EM) in a nutshell
  1. initialize model parameters (e.g. uniform, random)
  2. assign probabilities to the missing data
  3. estimate model parameters from complete data
  4. iterate steps 2–3 until convergence



# EM for Model 1

---

- initialize model parameters, e.g. uniform:

$e$	$f$	initial
the	das	0.25
book	das	0.25
house	das	0.25
the	buch	0.25
book	buch	0.25
a	buch	0.25
book	ein	0.25
a	ein	0.25
the	haus	0.25
house	haus	0.25



# EM for Model 1

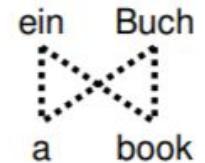
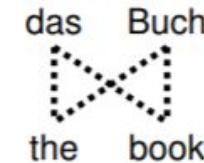
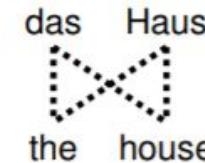
- initialize model parameters, e.g. uniform:
- repeat until convergence:
  - compute “expected” alignments

$$p(\mathbf{a}|\mathbf{e}, \mathbf{f}) = p(\mathbf{e}, \mathbf{a}|\mathbf{f})/p(\mathbf{e}|\mathbf{f})$$

$$= \frac{\frac{\epsilon}{(l_f+1)^{l_e}} \prod_{j=1}^{l_e} t(e_j|f_{a(j)})}{\frac{\epsilon}{(l_f+1)^{l_e}} \prod_{j=1}^{l_e} \sum_{i=0}^{l_f} t(e_j|f_i)}$$

$$= \prod_{j=1}^{l_e} \frac{t(e_j|f_{a(j)})}{\sum_{i=0}^{l_f} t(e_j|f_i)}$$

e	f	initial
the	das	0.25
book	das	0.25
house	das	0.25
the	buch	0.25



see simplification trick in the previous lecture

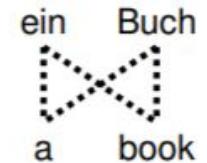
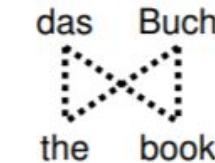
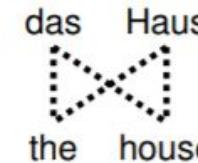


# EM for Model 1

- initialize model parameters, e.g. uniform:
- repeat until convergence:
  - compute “expected” alignments

$$p(a|\mathbf{e}, \mathbf{f})$$

e	f	initial
the	das	0.25
book	das	0.25
house	das	0.25
the	buch	0.25



- keep track of the expected number of times  $f$  translates into  $e$  throughout the whole corpus

$$c(e|f; \mathbf{e}, \mathbf{f}) = \sum_a p(a|\mathbf{e}, \mathbf{f}) \sum_{j=1}^{l_e} \delta(e, e_j) \delta(f, f_{a(j)})$$

Initialize  $c(e|f) = 0$  for all  $e, f$  in vocab  
for every sentence pair  $\mathbf{e}, \mathbf{f}$ :

```
    for every alignment  $a$  do
        for  $j = 1..len(\mathbf{e})$  do
             $c(e_j | f_{a(j)}) += p(a|\mathbf{e}, \mathbf{f})$ 
```

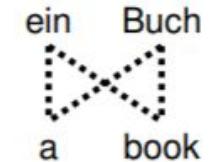
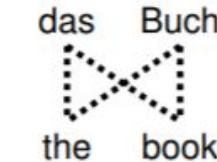
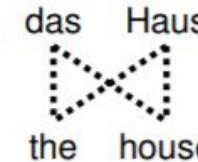


# EM for Model 1

- initialize model parameters, e.g. uniform:
- repeat until convergence:
  - compute “expected” alignments

$$p(a|\mathbf{e}, \mathbf{f})$$

e	f	initial
the	das	0.25
book	das	0.25
house	das	0.25
the	buch	0.25



- keep track of the expected number of times  $f$  translates into  $e$  throughout the whole corpus

$$c(e|f; \mathbf{e}, \mathbf{f}) = \sum_a p(a|\mathbf{e}, \mathbf{f}) \sum_{j=1}^{l_e} \delta(e, e_j) \delta(f, f_{a(j)})$$

- apply MLE to estimate new model parameters

$$t(e|f; \mathbf{e}, \mathbf{f}) = \frac{\sum_{(\mathbf{e}, \mathbf{f})} c(e|f; \mathbf{e}, \mathbf{f}))}{\sum_e \sum_{(\mathbf{e}, \mathbf{f})} c(e|f; \mathbf{e}, \mathbf{f}))}$$

e	f	initial	1st it.
the	das	0.25	0.5
book	das	0.25	0.25
house	das	0.25	0.25
the	buch	0.25	0.25



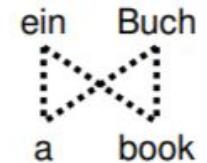
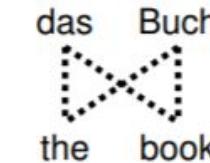
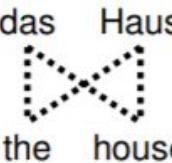
# EM for Model 1

- initialize model parameters, e.g. uniform:
- repeat until convergence:

**E-step**

- compute “expected” alignments

$$p(a|\mathbf{e}, \mathbf{f})$$



**t-table**

e	f	initial
the	das	0.25
book	das	0.25
house	das	0.25
the	buch	0.25

**M-step**

- keep track of the expected number of times  $f$  translates into  $e$  throughout the whole corpus

$$c(e|f; \mathbf{e}, \mathbf{f}) = \sum_a p(a|\mathbf{e}, \mathbf{f}) \sum_{j=1}^{l_e} \delta(e, e_j) \delta(f, f_{a(j)})$$

- apply MLE to estimate new model parameters

$$t(e|f; \mathbf{e}, \mathbf{f}) = \frac{\sum_{(\mathbf{e}, \mathbf{f})} c(e|f; \mathbf{e}, \mathbf{f}))}{\sum_e \sum_{(\mathbf{e}, \mathbf{f})} c(e|f; \mathbf{e}, \mathbf{f}))}$$

e	f	initial	1st it.
the	das	0.25	0.5
book	das	0.25	0.25
house	das	0.25	0.25
the	buch	0.25	0.25



# IBM Model 1 and EM

---

## t-table Probabilities

$$\begin{array}{ll} p(\text{the}|\text{la}) = 0.7 & p(\text{house}|\text{la}) = 0.05 \\ p(\text{the}|\text{maison}) = 0.1 & p(\text{house}|\text{maison}) = 0.8 \end{array}$$



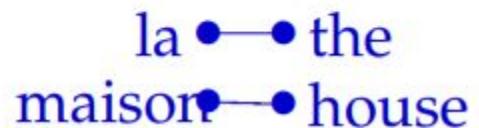
# IBM Model 1 and EM

---

## t-table Probabilities

$$\begin{array}{ll} p(\text{the}|\text{la}) = 0.7 & p(\text{house}|\text{la}) = 0.05 \\ p(\text{the}|\text{maison}) = 0.1 & p(\text{house}|\text{maison}) = 0.8 \end{array}$$

## Alignments



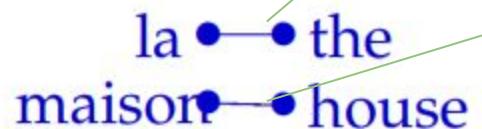


# IBM Model 1 and EM

## t-table Probabilities

$$p(\text{the}|\text{la}) = 0.7 \quad p(\text{house}|\text{la}) = 0.05$$
$$p(\text{the}|\text{maison}) = 0.1 \quad p(\text{house}|\text{maison}) = 0.8$$

## Alignments



$$p(\mathbf{e}, a|\mathbf{f}) = 0.56$$

$$p(\mathbf{e}, a|\mathbf{f}) = 0.035$$

$$p(\mathbf{e}, a|\mathbf{f}) = 0.08$$

$$p(\mathbf{e}, a|\mathbf{f}) = 0.005$$

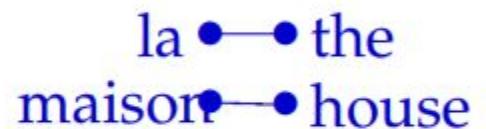


# IBM Model 1 and EM

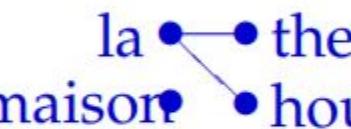
## t-table Probabilities

$$\begin{array}{ll} p(\text{the}|\text{la}) = 0.7 & p(\text{house}|\text{la}) = 0.05 \\ p(\text{the}|\text{maison}) = 0.1 & p(\text{house}|\text{maison}) = 0.8 \end{array}$$

## Alignments



$$p(\mathbf{e}, a|\mathbf{f}) = 0.56$$



$$p(\mathbf{e}, a|\mathbf{f}) = 0.035$$



$$p(\mathbf{e}, a|\mathbf{f}) = 0.08$$



$$p(\mathbf{e}, a|\mathbf{f}) = 0.005$$

Applying the chain rule:

$$p(a|\mathbf{e}, \mathbf{f}) = \frac{p(\mathbf{e}, a|\mathbf{f})}{p(\mathbf{e}|\mathbf{f})}$$

$$p(e, a) = p(e)p(a|e)$$

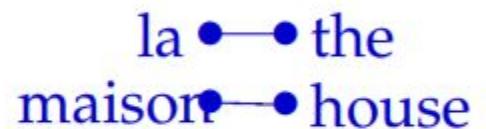


# IBM Model 1 and EM: Expectation Step

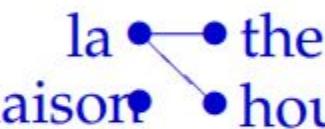
t-table **Probabilities**

$$\begin{array}{ll} p(\text{the}|\text{la}) = 0.7 & p(\text{house}|\text{la}) = 0.05 \\ p(\text{the}|\text{maison}) = 0.1 & p(\text{house}|\text{maison}) = 0.8 \end{array}$$

**Alignments**



$$p(\mathbf{e}, a|\mathbf{f}) = 0.56$$



$$p(\mathbf{e}, a|\mathbf{f}) = 0.035$$



$$p(\mathbf{e}, a|\mathbf{f}) = 0.08$$



$$p(\mathbf{e}, a|\mathbf{f}) = 0.005$$

E-step  $p(a|\mathbf{e}, \mathbf{f}) = 0.824$     $p(a|\mathbf{e}, \mathbf{f}) = 0.052$     $p(a|\mathbf{e}, \mathbf{f}) = 0.118$     $p(a|\mathbf{e}, \mathbf{f}) = 0.007$

$$p(a|\mathbf{e}, \mathbf{f}) = \frac{p(\mathbf{e}, a|\mathbf{f})}{p(\mathbf{e}|\mathbf{f})}$$

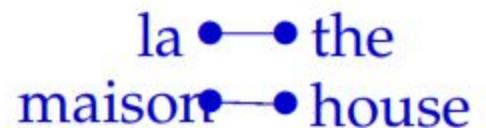


# IBM Model 1 and EM: Maximization Step

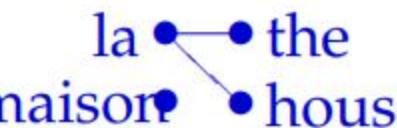
t-table **Probabilities**

$$\begin{array}{ll} p(\text{the}|\text{la}) = 0.7 & p(\text{house}|\text{la}) = 0.05 \\ p(\text{the}|\text{maison}) = 0.1 & p(\text{house}|\text{maison}) = 0.8 \end{array}$$

**Alignments**



$$p(\mathbf{e}, a|\mathbf{f}) = 0.56$$



$$p(\mathbf{e}, a|\mathbf{f}) = 0.035$$



$$p(\mathbf{e}, a|\mathbf{f}) = 0.08$$



$$p(\mathbf{e}, a|\mathbf{f}) = 0.005$$

E-step  $p(a|\mathbf{e}, \mathbf{f}) = 0.824$     $p(a|\mathbf{e}, \mathbf{f}) = 0.052$     $p(a|\mathbf{e}, \mathbf{f}) = 0.118$     $p(a|\mathbf{e}, \mathbf{f}) = 0.007$

M-step **Counts**

$$c(\text{the}|\text{la}) = 0.824 + 0.052$$

$$c(\text{the}|\text{maison}) = 0.118 + 0.007$$

$$c(\text{house}|\text{la}) = 0.052 + 0.007$$

$$c(\text{house}|\text{maison}) = 0.824 + 0.118$$



# IBM Model 1 and EM: Maximization Step

---

t-table

**Probabilities**

$$\begin{aligned} p(\text{the}|\text{la}) &= 0.7 & p(\text{house}|\text{la}) &= 0.05 \\ p(\text{the}|\text{maison}) &= 0.1 & p(\text{house}|\text{maison}) &= 0.8 \end{aligned}$$

E-step

**Alignments**

$$p(a|\mathbf{e}, \mathbf{f}) = 0.824 \quad p(a|\mathbf{e}, \mathbf{f}) = 0.052 \quad p(a|\mathbf{e}, \mathbf{f}) = 0.118 \quad p(a|\mathbf{e}, \mathbf{f}) = 0.007$$

M-step

**Counts**

$$\begin{aligned} c(\text{the}|\text{la}) &= 0.824 + 0.052 & c(\text{house}|\text{la}) &= 0.052 + 0.007 \\ c(\text{the}|\text{maison}) &= 0.118 + 0.007 & c(\text{house}|\text{maison}) &= 0.824 + 0.118 \end{aligned}$$

Update t-table:

$$p(\text{the}|\text{la}) = c(\text{the}|\text{la})/c(\text{la})$$



# IBM Model 1 and EM: Pseudocode

**Input:** set of sentence pairs (**e, f**)

**Output:** translation prob.  $t(e|f)$

```
1: initialize  $t(e|f)$  uniformly
2: while not converged do
3:   // initialize
4:   count( $e|f$ ) = 0 for all  $e, f$ 
5:   total( $f$ ) = 0 for all  $f$ 
6:   for all sentence pairs (e,f) do
7:     // compute normalization
8:     for all words  $e$  in e do
9:       s-total( $e$ ) = 0
10:      for all words  $f$  in f do
11:        s-total( $e$ ) +=  $t(e|f)$ 
12:      end for
13:    end for
```

```
14:   // collect counts
15:   for all words  $e$  in e do
16:     for all words  $f$  in f do
17:       count( $e|f$ ) +=  $\frac{t(e|f)}{\text{s-total}(e)}$ 
18:       total( $f$ ) +=  $\frac{t(e|f)}{\text{s-total}(e)}$ 
19:     end for
20:   end for
21: end for
22:   // estimate probabilities
23:   for all foreign words  $f$  do
24:     for all English words  $e$  do
25:        $t(e|f) = \frac{\text{count}(e|f)}{\text{total}(f)}$ 
26:     end for
27:   end for
28: end while
```

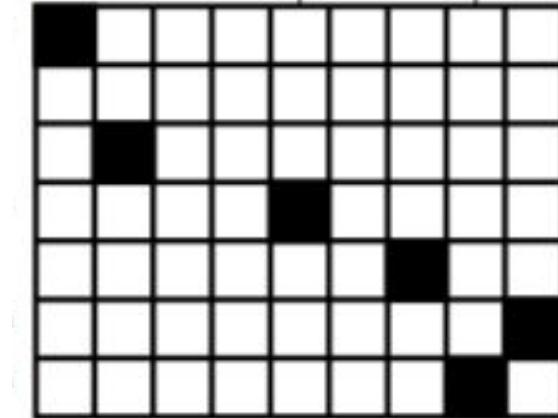
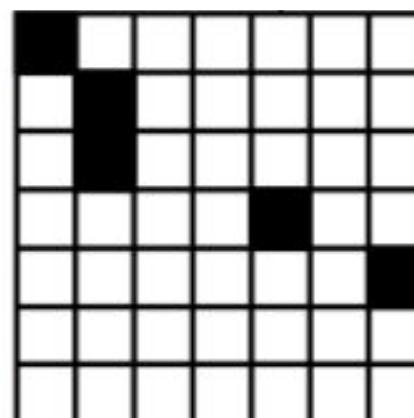
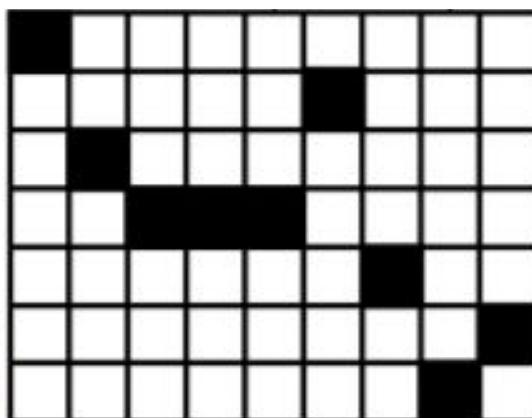
- implementation of IBM Model 1 after applying the simplification trick (in previous lecture)



# IBM Model 2

$$p(\mathbf{e}, a | \mathbf{f}) = \epsilon \prod_{j=1}^{l_e} t(e_j | f_{a(j)}) align\_prob(a(j) | j, l_e, l_f)$$

how many alignment parameters?





# EM for Model 2

- Now we have two sets of parameters:
  - initialize t-table parameters uniformly or **carry over from trained Model 1**
  - initialize alignment probabilities uniformly

```
for each  $l_e$  do:  
    for each  $l_f$  do:  
        for  $i = 0 \dots l_f$  do  
            for  $j = 1 \dots l_e$  do  
                align_prob( $i|j, l_e, l_f$ ) =  $1 / (l_f + 1)$ 
```

$e$	$f$	initial
the	das	0.25
book	das	0.25
house	das	0.25
the	buch	0.25
book	buch	0.25
a	buch	0.25
book	ein	0.25
a	ein	0.25
the	haus	0.25
house	haus	0.25



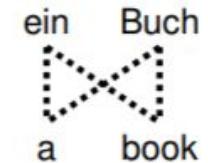
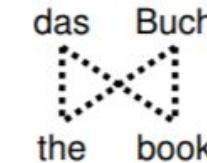
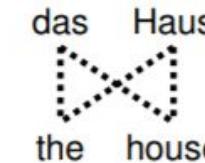
# EM for Model 2

- initialize model parameters:
- repeat until convergence:
  - compute “expected” alignments

$$p(\mathbf{a}|\mathbf{e}, \mathbf{f}) = p(\mathbf{e}, \mathbf{a}|\mathbf{f})/p(\mathbf{e}|\mathbf{f})$$

e	f	initial
the	das	0.25
book	das	0.25
house	das	0.25
the	buch	0.25

```
for each  $l_e$  do:  
  for each  $l_f$  do:  
    for  $i = 0 \dots l_f$  do  
      for  $j = 1 \dots l_e$  do  
         $a(i|j, l_e, l_f) = 1/(l_f+1)$ 
```



$$p(\mathbf{e}, \mathbf{a}|\mathbf{f}) = \epsilon \prod_{j=1}^{l_e} t(e_j | f_{a(j)}) align\_prob(a(j)|j, l_e, l_f)$$

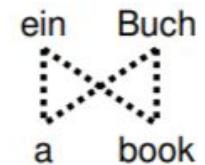
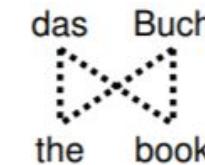
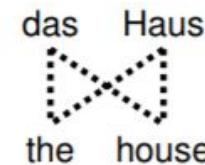
$$p(\mathbf{e}|\mathbf{f}) = \epsilon \prod_{j=1}^{l_e} \sum_{i=0}^{l_f} t(e_j | f_i) align\_prob(i|j, l_e, l_f)$$



# EM for Model 2

- initialize model parameters, e.g. uniform:
- repeat until convergence:
  - compute “expected” alignments

$$p(a|\mathbf{e}, \mathbf{f})$$



- keep track of the expected number of times  $f$  translates into  $e$  throughout the whole corpus

$$c(e|f; \mathbf{e}, \mathbf{f}) = \sum_a p(a|\mathbf{e}, \mathbf{f}) \sum_{j=1}^{l_e} \delta(e, e_j) \delta(f, f_{a(j)})$$

Initialize  $c(e|f) = 0$  for all  $e, f$  in vocab  
for every sentence pair  $\mathbf{e}, \mathbf{f}$ :

```
    for every alignment  $a$  do
        for  $j = 1..len(\mathbf{e})$  do
             $c(e_j | f_{a(j)}) += p(a|\mathbf{e}, \mathbf{f})$ 
```

same as in Model 1



# EM for Model 2

- initialize model parameters, e.g. uniform:
- repeat until convergence:
  - compute “expected” alignments

$$p(a|\mathbf{e}, \mathbf{f})$$

- keep track of the expected number of times  $f$  translates into  $e$  throughout the whole corpus

$$c(e|f; \mathbf{e}, \mathbf{f}) = \sum_a p(a|\mathbf{e}, \mathbf{f}) \sum_{j=1}^{l_e} \delta(e, e_j) \delta(f, f_{a(j)})$$

- apply MLE to estimate new model parameters

same as in Model 1  
for t-table  
parameters

$$t(e|f; \mathbf{e}, \mathbf{f}) = \frac{\sum_{(\mathbf{e}, \mathbf{f})} c(e|f; \mathbf{e}, \mathbf{f}))}{\sum_e \sum_{(\mathbf{e}, \mathbf{f})} c(e|f; \mathbf{e}, \mathbf{f}))}$$

$e$	$f$	initial	1st it.
the	das	0.25	0.5
book	das	0.25	0.25
house	das	0.25	0.25
the	buch	0.25	0.25



# EM for Model 2

- keep track of the expected number of times  $f$  translates into  $e$  throughout the whole corpus

$$c(e|f; \mathbf{e}, \mathbf{f}) = \sum_a p(a|\mathbf{e}, \mathbf{f}) \sum_{j=1}^{l_e} \delta(e, e_j) \delta(f, f_{a(j)})$$

- apply MLE to estimate new model parameters

same as in Model 1  
for t-table  
parameters

$$t(e|f; \mathbf{e}, \mathbf{f}) = \frac{\sum_{(\mathbf{e}, \mathbf{f})} c(e|f; \mathbf{e}, \mathbf{f}))}{\sum_e \sum_{(\mathbf{e}, \mathbf{f})} c(e|f; \mathbf{e}, \mathbf{f}))}$$

$e$	$f$	initial	1st it.
the	das	0.25	0.5
book	das	0.25	0.25
house	das	0.25	0.25
the	buch	0.25	0.25

$$c(i|j, l_e, l_f; \mathbf{e}, \mathbf{f}) = \frac{t(e_j|f_i) align\_prob(a(j)|j, l_e, l_f)}{\sum_{i'=0}^{l_f} t(e_j|f_{i'}) align\_prob(a(i')|j, l_e, l_f)}$$



# IBM Model 2 and EM

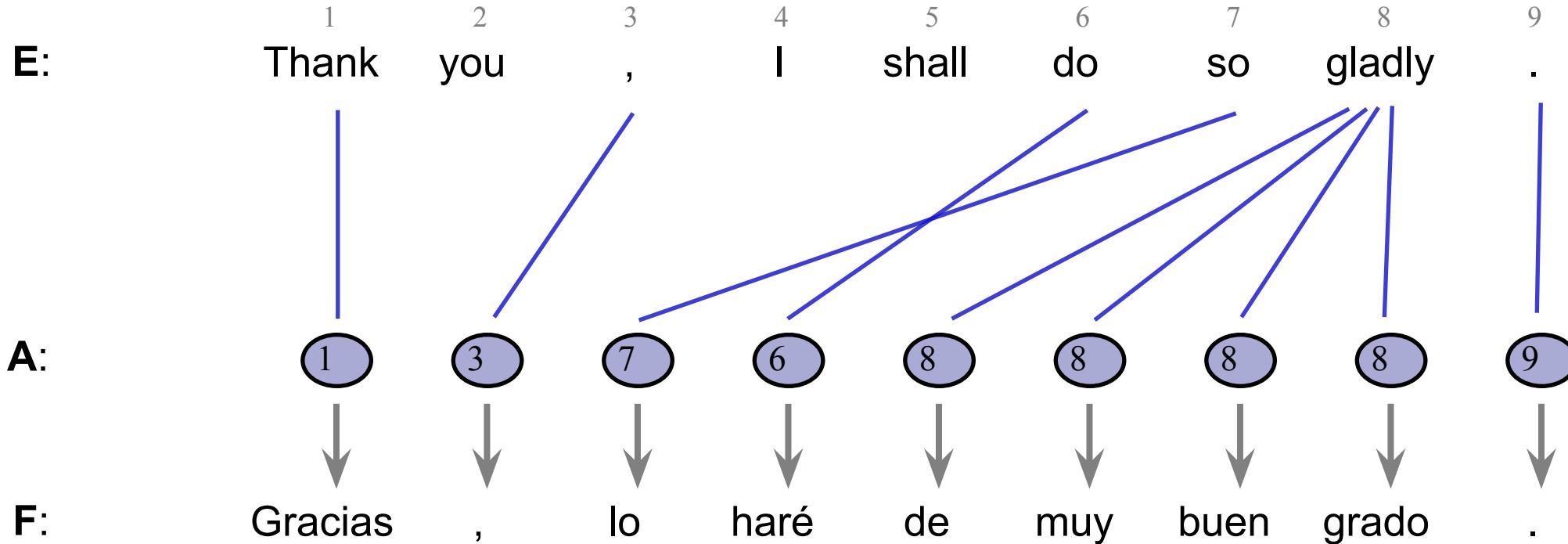
```
Input: set of sentence pairs (e,f)
Output: probability distributions t (lexical translation)
        and a (alignment)
1: carry over  $t(e|f)$  from Model 1
2: initialize  $a(i|j, l_e, l_f) = 1/(l_f+1)$  for all  $i, j, l_e, l_f$ 
3: while not converged do
4:   // initialize
5:   count( $e|f$ ) = 0 for all  $e, f$ 
6:   total( $f$ ) = 0 for all  $f$ 
7:   counta( $i|j, l_e, l_f$ ) = 0 for all  $i, j, l_e, l_f$ 
8:   totala( $j, l_e, l_f$ ) = 0 for all  $j, l_e, l_f$ 
9:   for all sentence pairs (e,f) do
10:     $l_e$  = length( $e$ ),  $l_f$  = length( $f$ )
11:    // compute normalization
12:    for  $j = 1 \dots l_e$  do // all word positions in  $e$ 
13:      s-total( $e_j$ ) = 0
14:      for  $i = 0 \dots l_f$  do // all word positions in  $f$ 
15:        s-total( $e_j$ ) +=  $t(e_j|f_i) * a(i|j, l_e, l_f)$ 
16:    end for
17:  end for
```

```
18:  // collect counts
19:  for  $j = 1 \dots l_e$  do // all word positions in  $e$ 
20:    for  $i = 0 \dots l_f$  do // all word positions in  $f$ 
21:       $c = t(e_j|f_i) * a(i|j, l_e, l_f) / s\text{-total}(e_j)$ 
22:      count( $e_j|f_i$ ) +=  $c$ 
23:      total( $f_i$ ) +=  $c$ 
24:      counta( $i|j, l_e, l_f$ ) +=  $c$ 
25:      totala( $j, l_e, l_f$ ) +=  $c$ 
26:    end for
27:  end for
28: end for
29: // estimate probabilities
30:  $t(e|f) = 0$  for all  $e, f$ 
31:  $a(i|j, l_e, l_f) = 0$  for all  $i, j, l_e, l_f$ 
32: for all  $e, f$  do
33:    $t(e|f) = \text{count}(e|f) / \text{total}(f)$ 
34: end for
35: for all  $i, j, l_e, l_f$  do
36:    $a(i|j, l_e, l_f) = \text{count}_a(i|j, l_e, l_f) / \text{total}_a(j, l_e, l_f)$ 
37: end for
38: end while
```

- implementation of IBM Model 2 after applying the simplification trick (in previous lecture)



# IBM Models 1/2



## Model Parameters

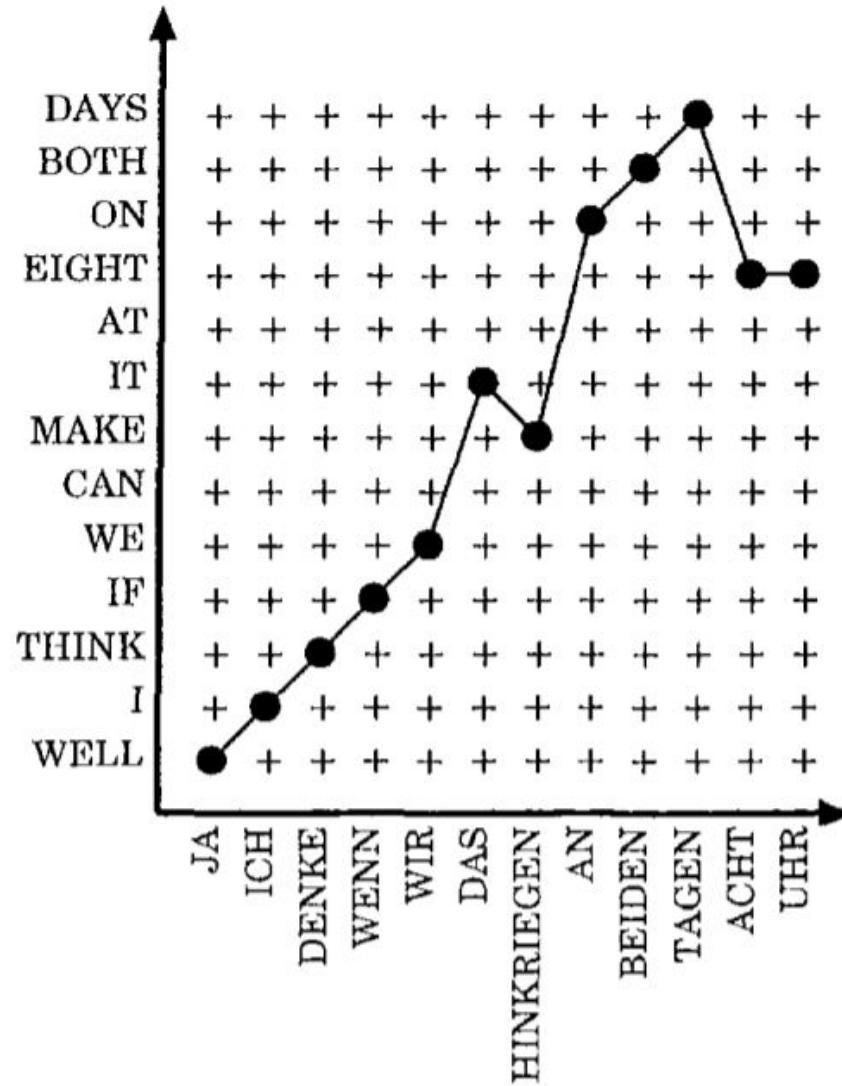
*Emissions:*  $P( F_1 = \text{Gracias} | E_{A1} = \text{Thank} )$

*Transitions:*  $P( A_2 = 3 )$



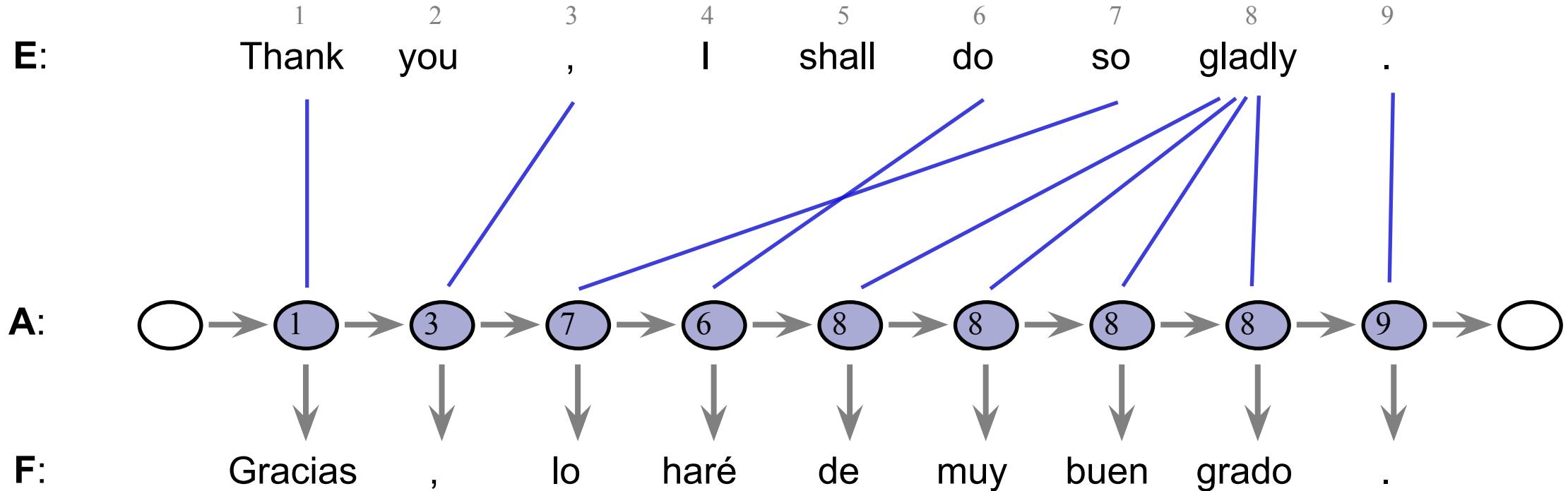
# Localization effect in aligning the words

---





# The HMM Model



## Model Parameters

*Emissions:*  $P( F_1 = \text{Gracias} | E_{A1} = \text{Thank} )$

*Transitions:*  $P( A_2 = 3 | A_1 = 1 )$

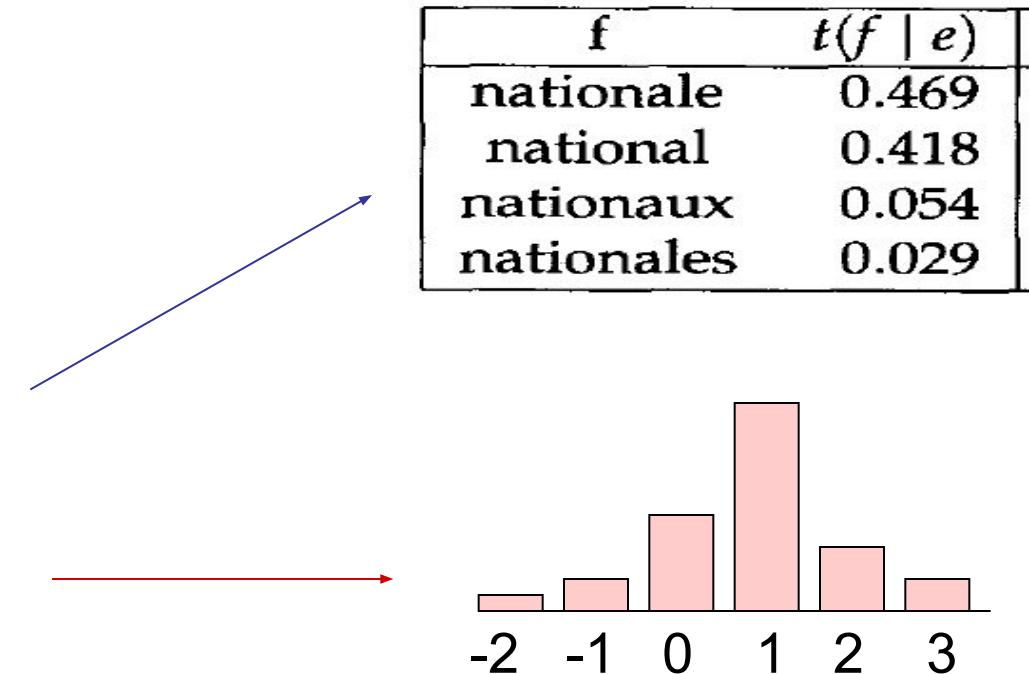


# The HMM Model

- Model 2 preferred global monotonicity
- We want local monotonicity:
  - Most jumps are small
- HMM model (Vogel 96)

$$P(f, a|e) = \prod_j P(a_j|a_{j-1}) P(f_j|e_i)$$
$$P(a_j - a_{j-1})$$

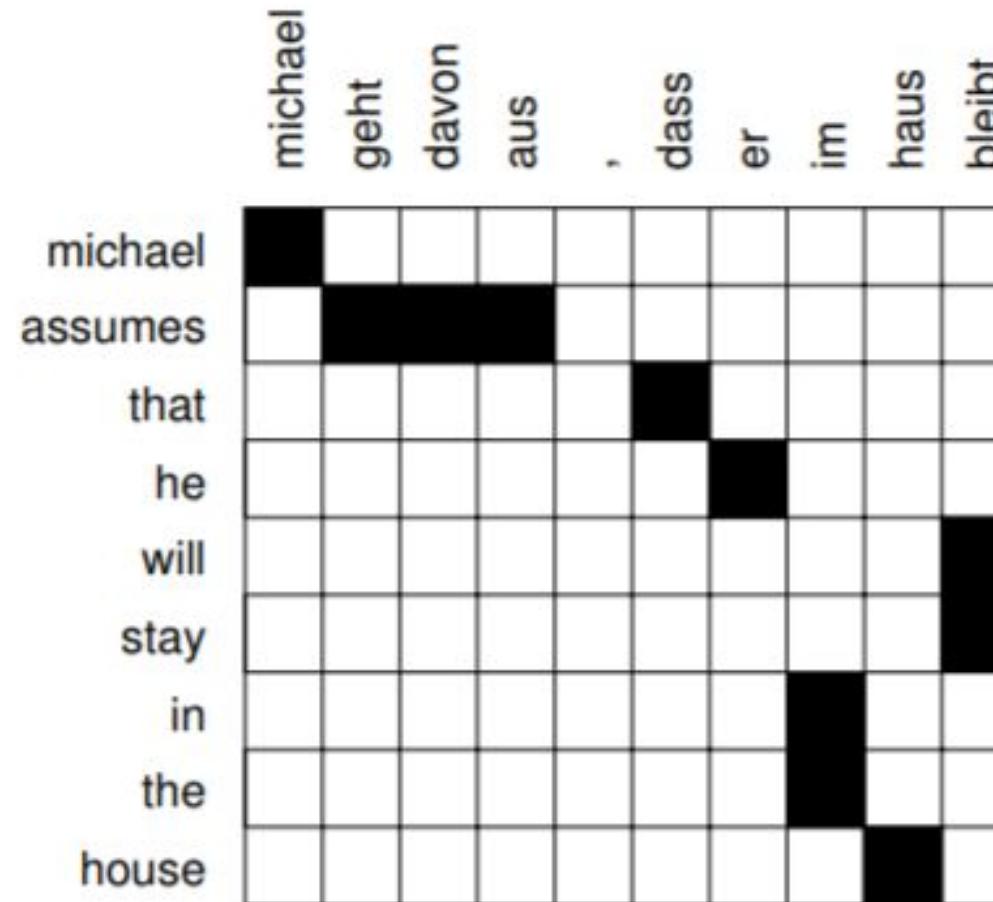
- Re-estimate using the forward-backward algorithm
- Handling nulls requires some care





# Word Alignment

Given a sentence pair, which words correspond to each other?





# Word Alignment?

	john	wohnt	hier	nicht
john	██████	██	██	██
does	██	██?	██	██?
not	██	██	██	██████
live	██	██████	██	██
here	██	██	██████	██

Is the English word **does** aligned to  
the German **wohnt** (verb) or **nicht** (negation) or neither?



# Word Alignment?

	john	biss	ins	grass
john	██████			
kicked		████		
the			████	
bucket				████

How do the idioms **kicked the bucket** and **biss ins grass** match up?  
Outside this exceptional context, **bucket** is never a good translation for **grass**



# Word Alignment and IBM Models

---

- IBM Models create a **many-to-one** mapping
  - words are aligned using an alignment function
  - a function may return the same value for different input (one-to-many mapping)
  - a function can not return multiple values for one input (no many-to-one mapping)
- Real word alignments have **many-to-many** mappings



# Symmetrization

english to spanish

Maria	bofetada	a	la	bruja	verde
Mary					
did					
not					
slap					
the					
green					
witch					

spanish to english

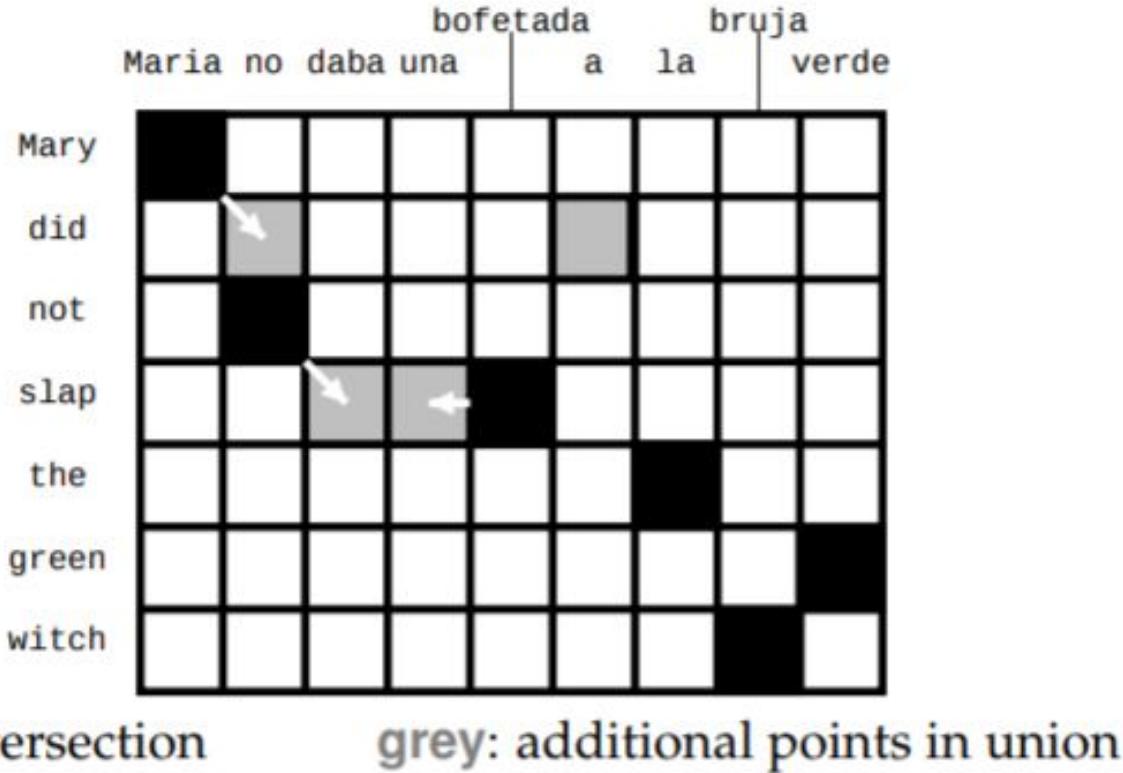
Maria	bofetada	a	la	bruja	verde
Mary					
did					
not					
slap					
the					
green					
witch					

intersection

Maria	bofetada	a	la	bruja	verde
Mary					
did					
not					
slap					
the					
green					
witch					



# Growing Heuristics

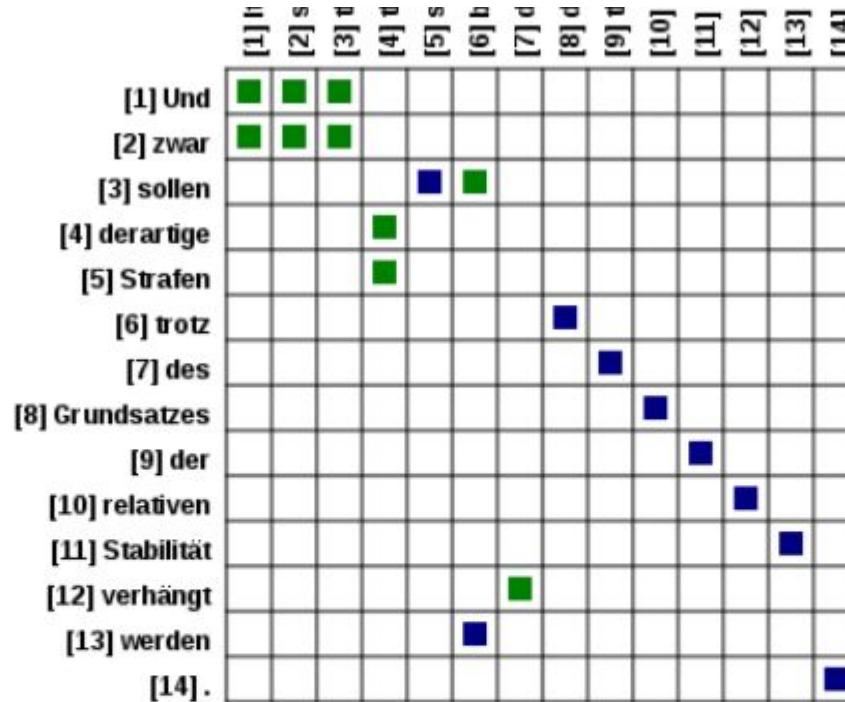


- Add alignment points from union based on heuristics
- Popular method: grow-diag-final-and



# Alignment Error Rate

Possible links  
 $P$



Sure links  
 $S$

$$\text{Precision}(A, P) = \frac{|P \cap A|}{|A|} \qquad \text{Recall}(A, S) = \frac{|S \cap A|}{|S|}$$

$$\text{AER}(A, P, S) = 1 - \frac{|S \cap A| + |P \cap A|}{|S| + |A|}$$



# AER for HMMs

---

Model	AER
Model 1 INT	19.5
HMM E→F	11.4
HMM F→E	10.8
HMM AND	7.1
HMM INT	4.7
GIZA M4 AND	6.9

# Phrase-Based MT



# Phrase-Based Translation Overview

**Input:**

lo haré | **rápidamente** |.

*The decoder...*

**Translations:**

I'll do it | **quickly** |.

*tries different segmentations,*

**quickly** | I'll do it |.

*translates phrase by phrase,*

*and considers reorderings.*

**Objective:**

$$\arg \max_{\mathbf{e}} [P(\mathbf{f}|\mathbf{e}) \cdot P(\mathbf{e})]$$

$$\arg \max_{\mathbf{e}} \left[ \prod_{\langle \bar{e}, \bar{f} \rangle} P(\bar{f}|\bar{e}) \cdot \prod_{i=1}^{|\mathbf{e}|} P(e_i|e_{i-1}, e_{i-2}) \right]$$



# Phrase Translation Example

---

- Phrase translations for **den Vorschlag** learned from the Europarl corpus:

English	$\phi(\bar{e} f)$	English	$\phi(\bar{e} f)$
the proposal	0.6227	the suggestions	0.0114
's proposal	0.1068	the proposed	0.0114
a proposal	0.0341	the motion	0.0091
the idea	0.0250	the idea of	0.0091
this proposal	0.0227	the proposal ,	0.0068
proposal	0.0205	its proposal	0.0068
of the proposal	0.0159	it	0.0068
the proposals	0.0159	...	...

- lexical variation (**proposal** vs **suggestions**)
- morphological variation (**proposal** vs **proposals**)
- included function words (**the**, **a**, ...)
- noise (**it**)



# Linguistic Phrases?

---

- Model is not limited to linguistic phrases (noun phrases, verb phrases, prepositional phrases, ...)
- Example non-linguistic phrase pair

spass am → fun with the

- Prior noun often helps with translation of preposition
- Experiments show that limitation to linguistic phrases hurts quality



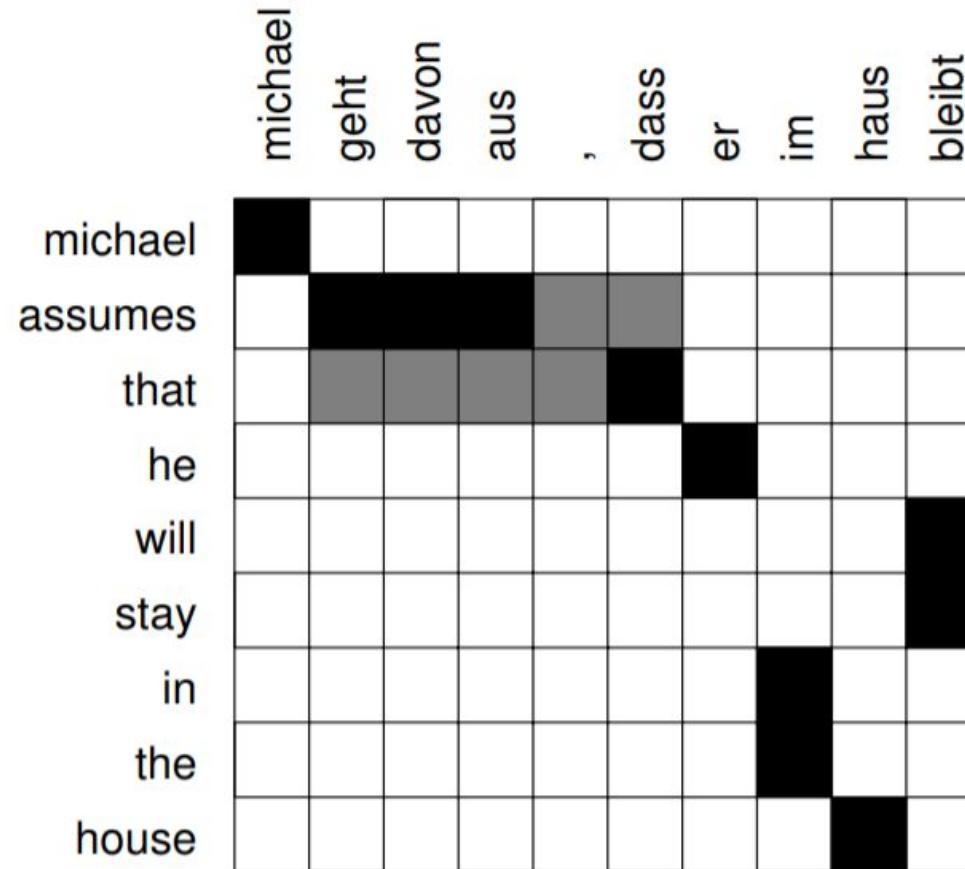
# Another Example

这 7人 中包括 来自 法国 和 俄罗斯 的 宇航 员 .

the	7 people	including	by some	and	the russian	the	the astronauts	,
it	7 people included		by france	and the	the russian		international astronautical	of rapporteur .
this	7 out	including the	from	the french	and the russian	the fifth		.
these	7 among	including from		the french and	of the russian	of	space	members .
that	7 persons	including from the		of france	and to	russian	of the	aerospace members .
	7 include	from the		of france and		russian	astronauts	. the
	7 numbers include	from france			and russian	of astronauts who		."
	7 populations include	those from france			and russian		astronauts .	
	7 deportees included	come from	france	and russia	in	astronautical	personnel	;
	7 philtum	including those from	france and	russia	a space		member	
	including representatives from	france and the	russia		astronaut			
	include	came from	france and russia		by cosmonauts			
	include representatives from	french	and russia		cosmonauts			
	include	came from france	and russia 's		cosmonauts .			
	includes	coming from	french and	russia 's	cosmonaut			
			french and russian	's	astronavigation	member .		
			french	and russia	astronauts			
			and russia 's			special rapporteur		
			, and	russia		rapporteur		
			, and russia			rapporteur .		
			, and russia					
			or	russia 's				



# Extracting Phrase Pairs



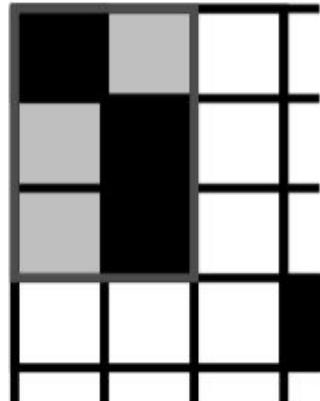
extract phrase pair consistent with word alignment:

assumes that / geht davon aus , dass



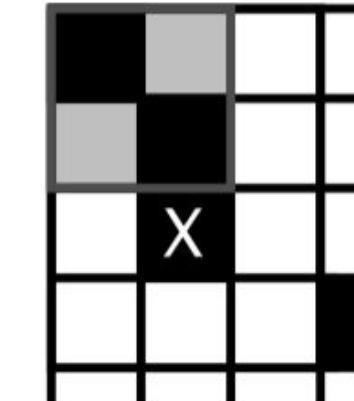
# Consistent

---



consistent

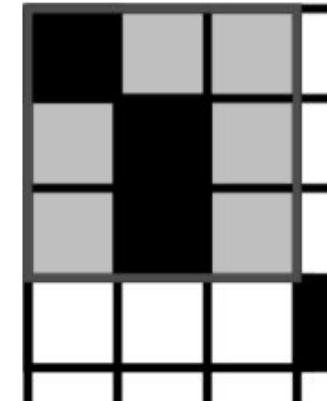
**ok**



inconsistent

**violated**

one  
alignment  
point outside



consistent

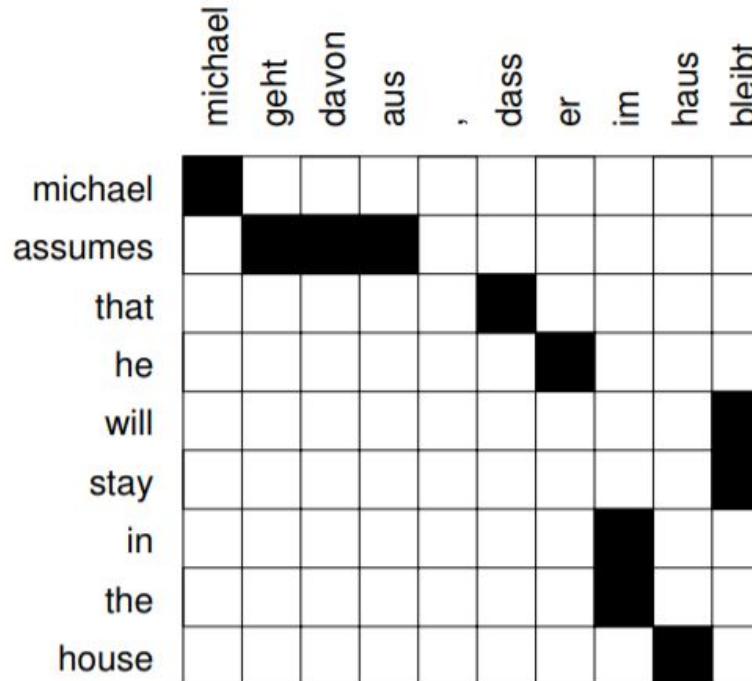
**ok**

unaligned  
word is fine

All words of the phrase pair have to align to each other.



# Phrase Pair Extraction



Smallest phrase pairs:

michael — michael

assumes — geht davon aus / geht davon aus ,

that — dass / , dass

he — er

will stay — bleibt

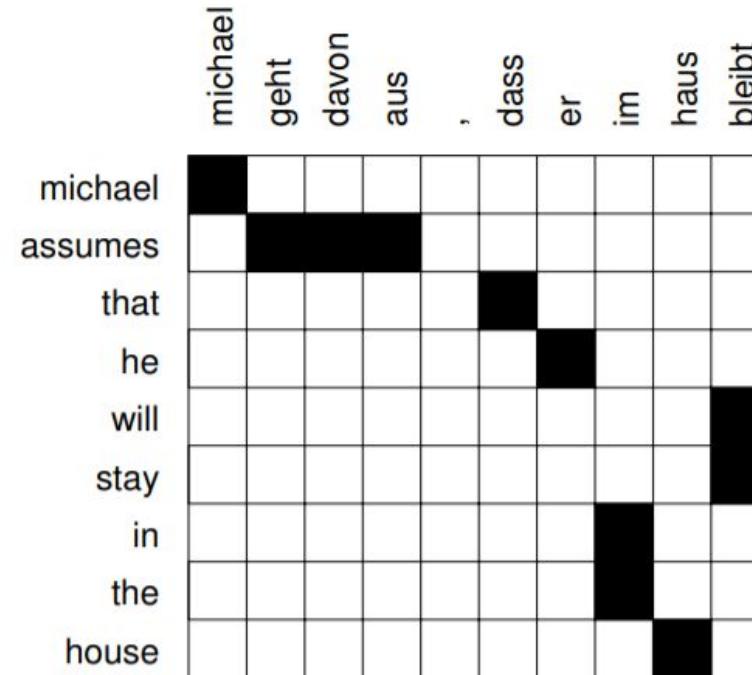
in the — im

house — haus

unaligned words (here: German comma) lead to multiple translations



# Larger Phrase Pairs



michael assumes — michael geht davon aus / michael geht davon aus ,  
 assumes that — geht davon aus , dass ; assumes that he — geht davon aus , dass er  
 that he — dass er / , dass er ; in the house — im haus

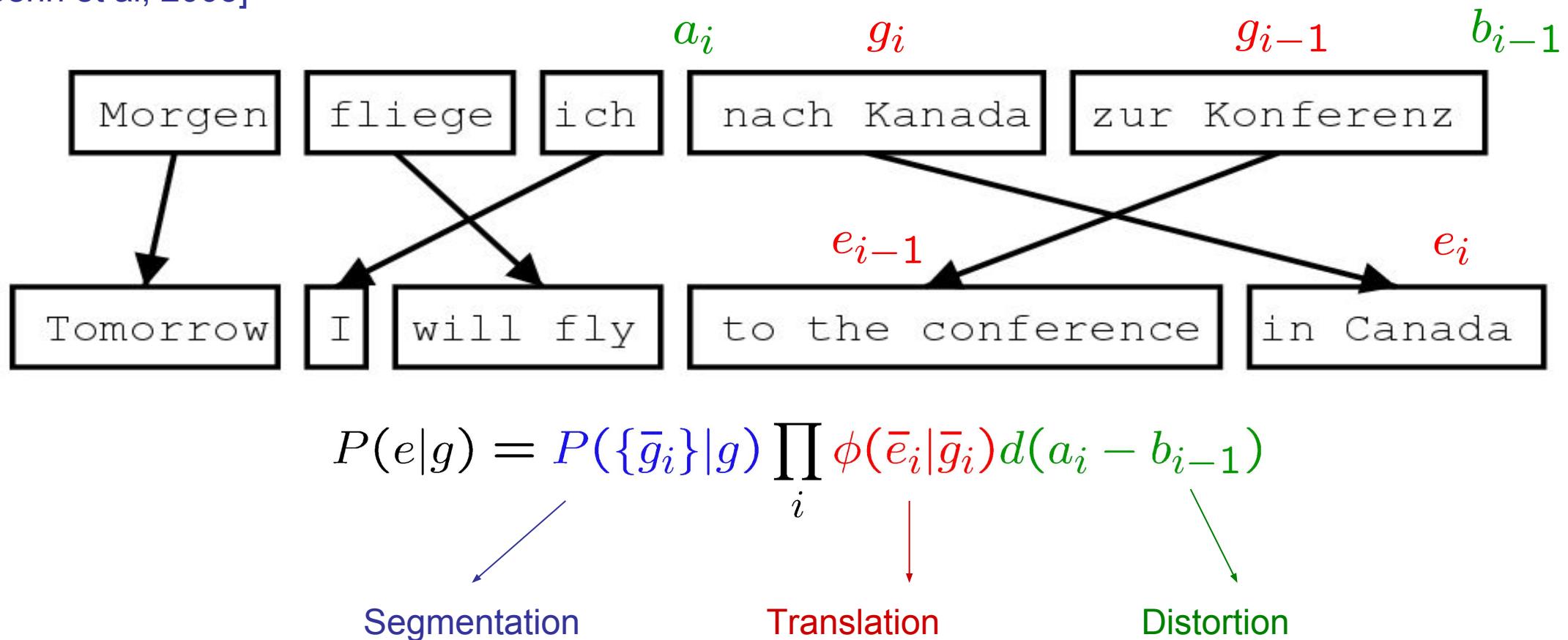
michael assumes that — michael geht davon aus , dass  
 michael assumes that he — michael geht davon aus , dass er

michael assumes that he will stay in the house — michael geht davon aus , dass er im haus bleibt  
 assumes that he will stay in the house — geht davon aus , dass er im haus bleibt  
 that he will stay in the house — dass er im haus bleibt ; dass er im haus bleibt ,  
 he will stay in the house — er im haus bleibt ; will stay in the house — im haus bleibt



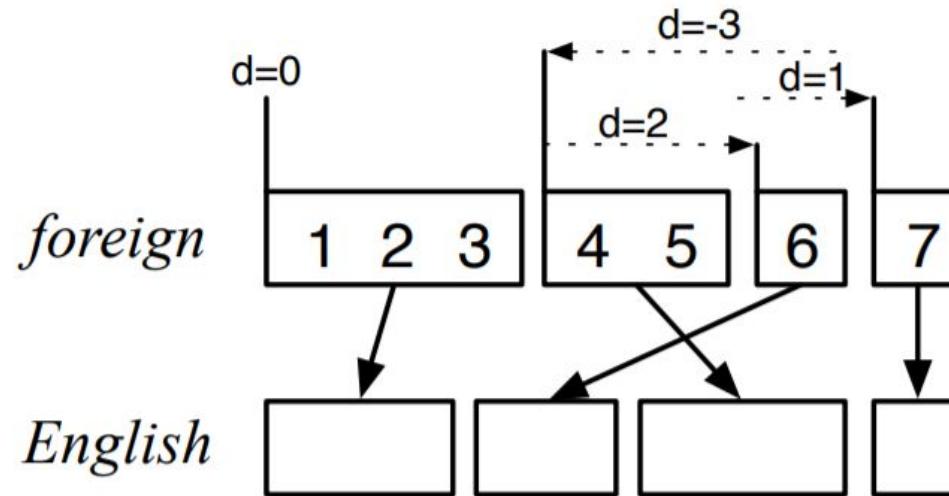
# The Pharaoh “Model”

[Koehn et al, 2003]





# Distance-Based Reordering



phrase	translates	movement	distance
1	1–3	start at beginning	0
2	6	skip over 4–5	+2
3	4–5	move back over 4–6	-3
4	7	skip over 6	+1

Scoring function:  $d(x) = \alpha^{|x|}$  — exponential with distance



# The Pharaoh “Model”

---

$$P(f|e) = P(\{\bar{e}_i\}|e) \prod_i \phi(\bar{f}_i|\bar{e}_i) d(a_i - b_{i-1})$$
$$\frac{1}{K} \quad \frac{\text{count}(\bar{f}_i, \bar{e}_i)}{\text{count}(\bar{e}_i)} \quad \alpha^{|a_i - b_{i-1}|}$$

Where do we get these counts?



# Phrase Weights

How the MT community estimates  $P(\bar{f}|\bar{e})$

*Parallel training sentences*

*provide phrase pair counts.*

Gracias , lo haré de muy buen grado .  
Thank you , I shall do so gladly .

lo haré  $\leftrightarrow$  I shall do so  
44 times in the corpus

*All phrase pairs are counted,*

*and counts are normalized.*

Gracias lo haré de muy buen grado .  
Thank you I shall do so gladly .

$$P(\bar{f}|\bar{e}) = \frac{\text{count}(\bar{f}, \bar{e})}{\text{count}(\bar{e})}$$

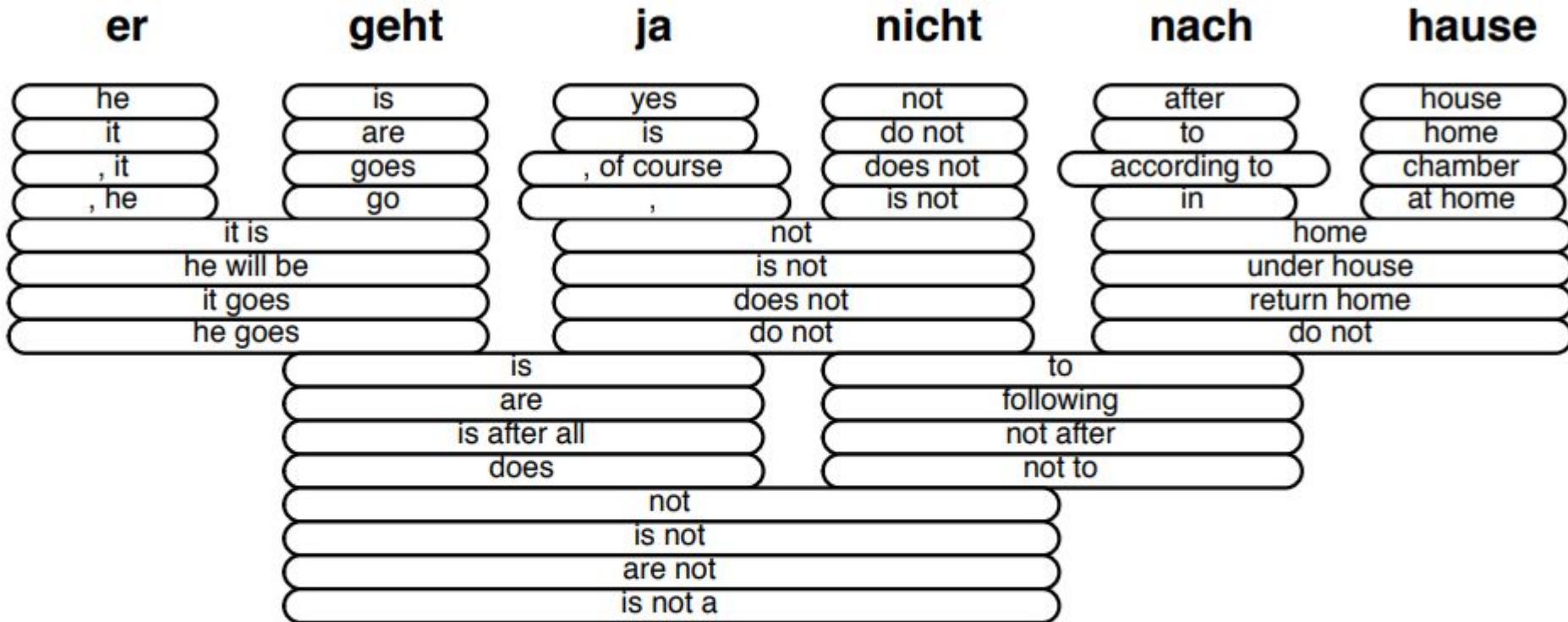


# Phrase-Based Decoding

Maria	no	dio	una	bofetada	a	la	bruja	verde
<u>Mary</u>	<u>not</u>	<u>give</u>	<u>a</u>	<u>slap</u>	<u>to</u>	<u>the</u>	<u>witch</u>	<u>green</u>
	<u>did not</u>		<u>a slap</u>		<u>by</u>		<u>green</u>	<u>witch</u>
	<u>no</u>		<u>slap</u>		<u>to the</u>			
	<u>did not give</u>				<u>to</u>			
					<u>the</u>			
				<u>slap</u>		<u>the witch</u>		



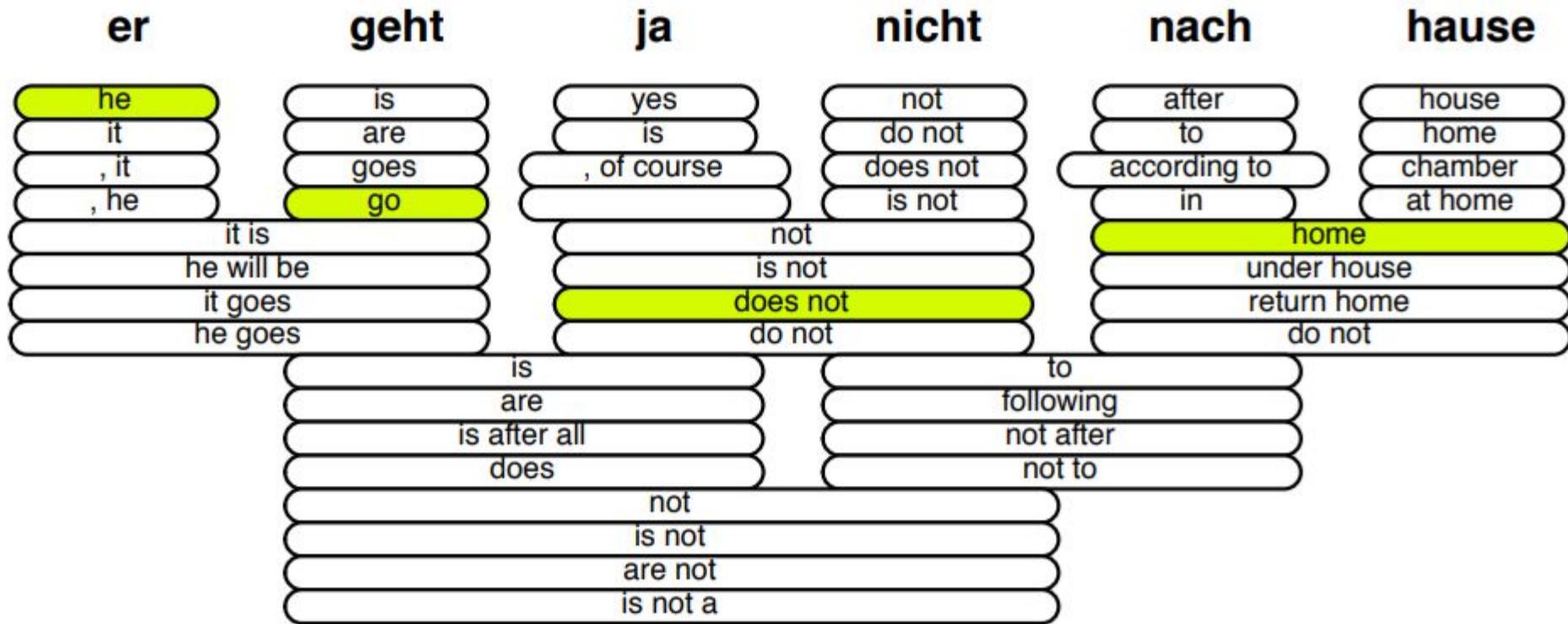
# Translation Options



- Many translation options to choose from
  - in Europarl phrase table: 2727 matching phrase pairs for this sentence
  - by pruning to the top 20 per phrase, 202 translation options remain



# Translation Options

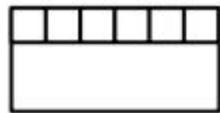
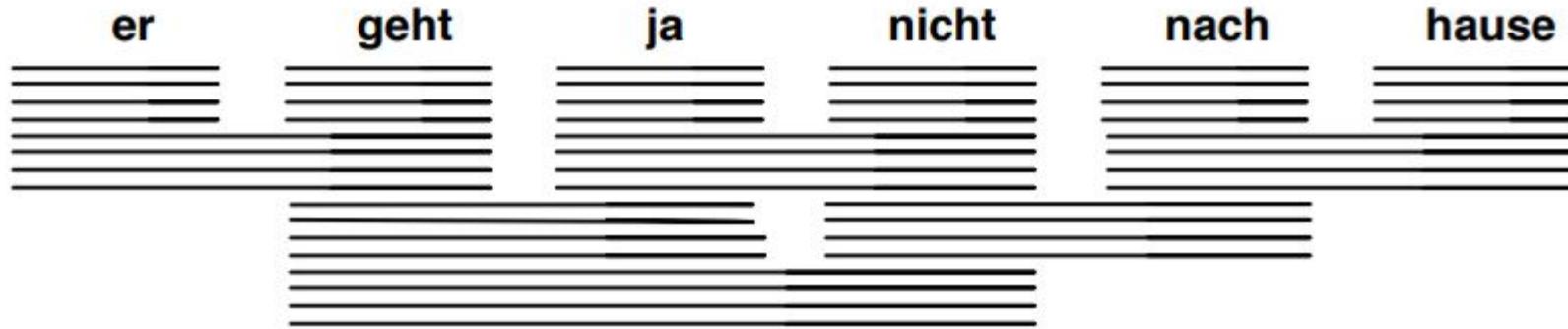


- The machine translation decoder does not know the right answer
    - picking the right translation options
    - arranging them in the right order
- Search problem solved by heuristic beam search



# Decoding: Start with Initial Hypothesis

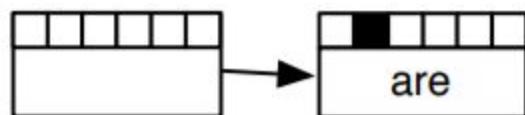
---



initial hypothesis: no input words covered, no output produced



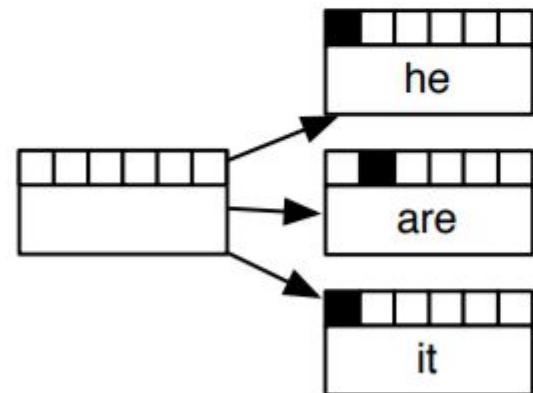
# Decoding: Hypothesis Expansion



pick any translation option, create new hypothesis



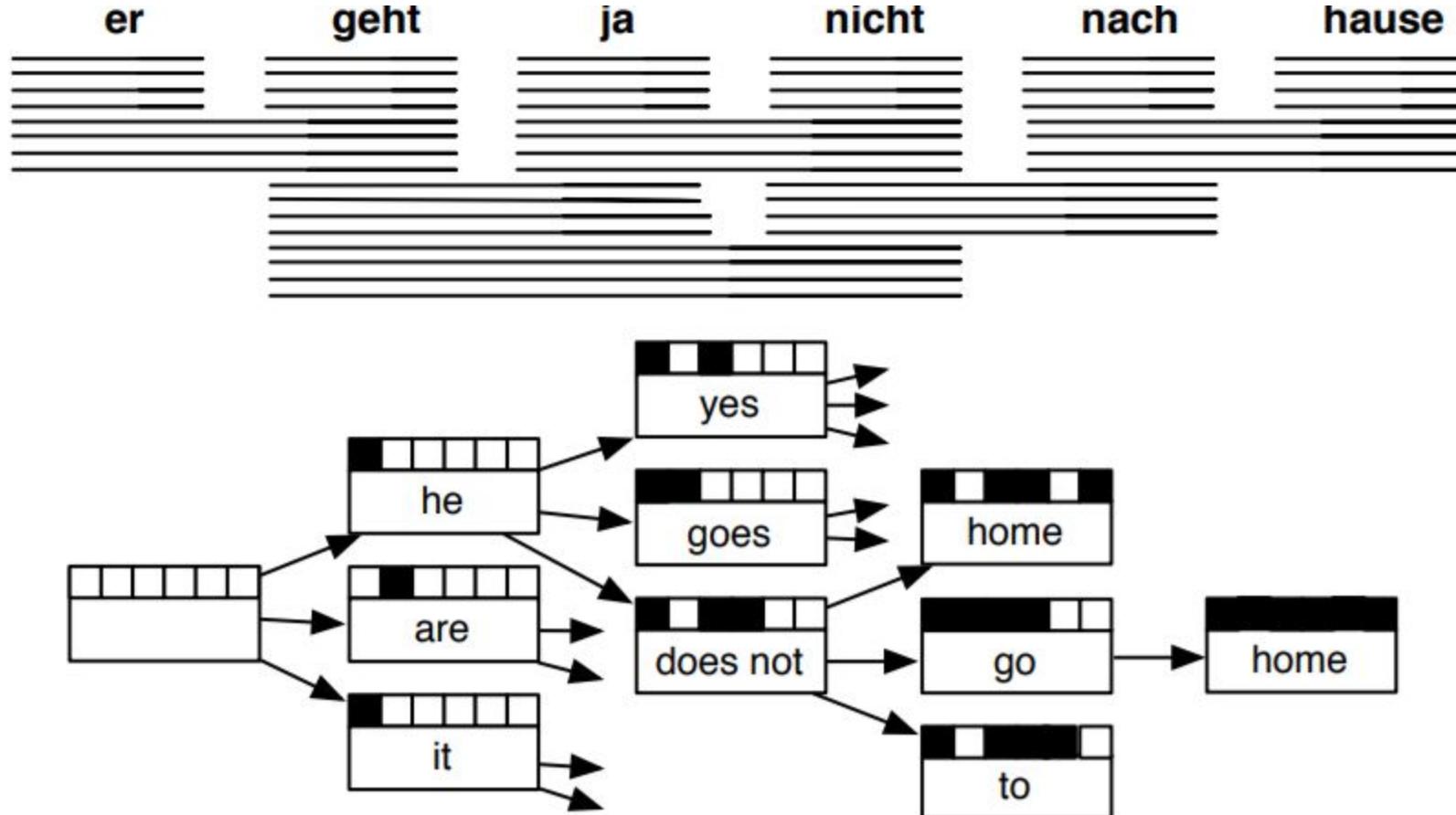
# Decoding: Hypothesis Expansion



create hypotheses for all other translation options



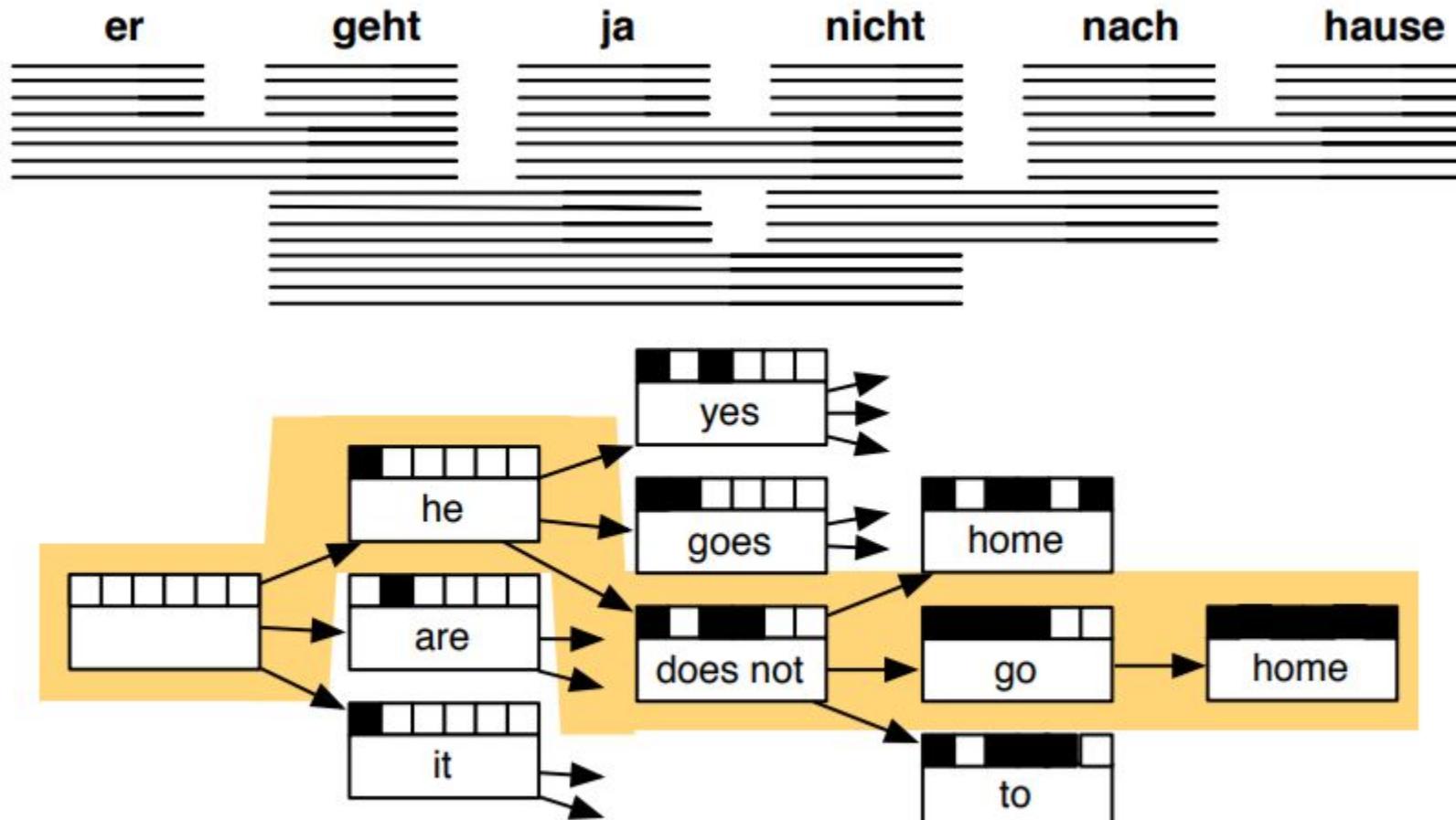
# Decoding: Hypothesis Expansion



also create hypotheses from created partial hypothesis



# Decoding: Find Best Path



backtrack from highest scoring complete hypothesis



# Computational Complexity

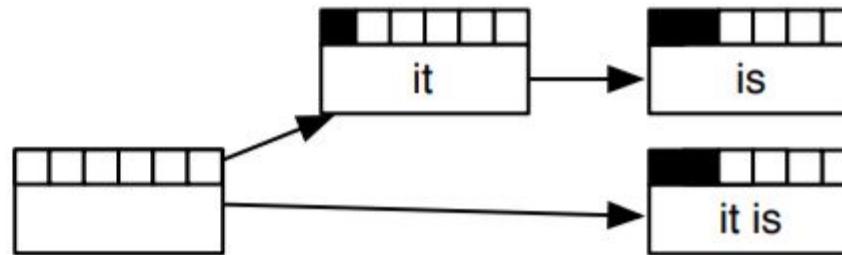
---

- The suggested process creates exponential number of hypothesis
- Machine translation decoding is NP-complete
- Reduction of search space:
  - recombination (risk-free)
  - pruning (risky)

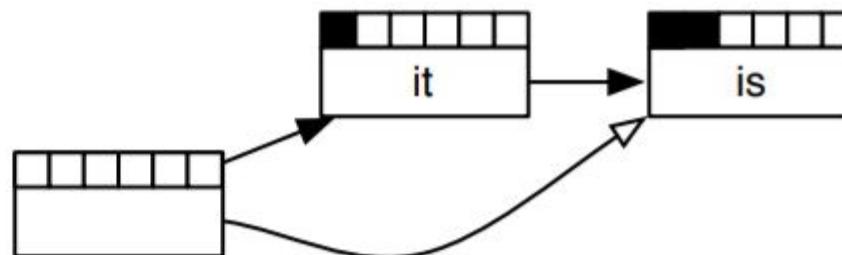


# Recombination

- Two hypothesis paths lead to two matching hypotheses
  - same foreign words translated
  - same English words in the output



- Worse hypothesis is dropped

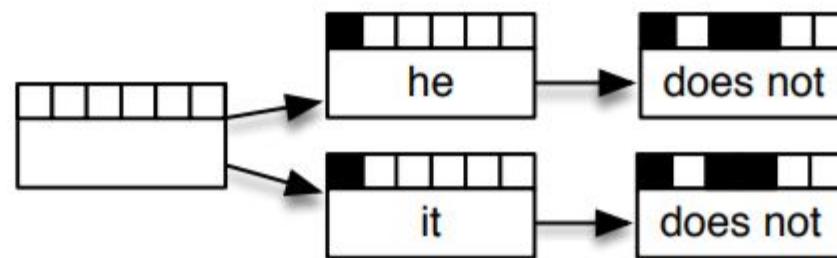




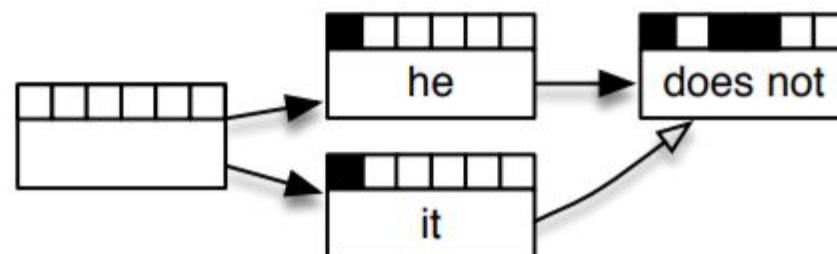
# Recombination

---

- Two hypothesis paths lead to hypotheses indistinguishable in subsequent search
  - same foreign words translated
  - same last two English words in output (assuming trigram language model)
  - same last foreign word translated



- Worse hypothesis is dropped





# Pruning: Beams + Forward Costs

Maria no dio una bofetada a la bruja verde

\_\_\_\_\_



e: Mary did not  
f: \*-----  
p: 0.154

better  
partial  
translation

\_\_\_\_\_



e: the  
f: -----\*\*--  
p: 0.354

covers  
easier part  
--> lower cost

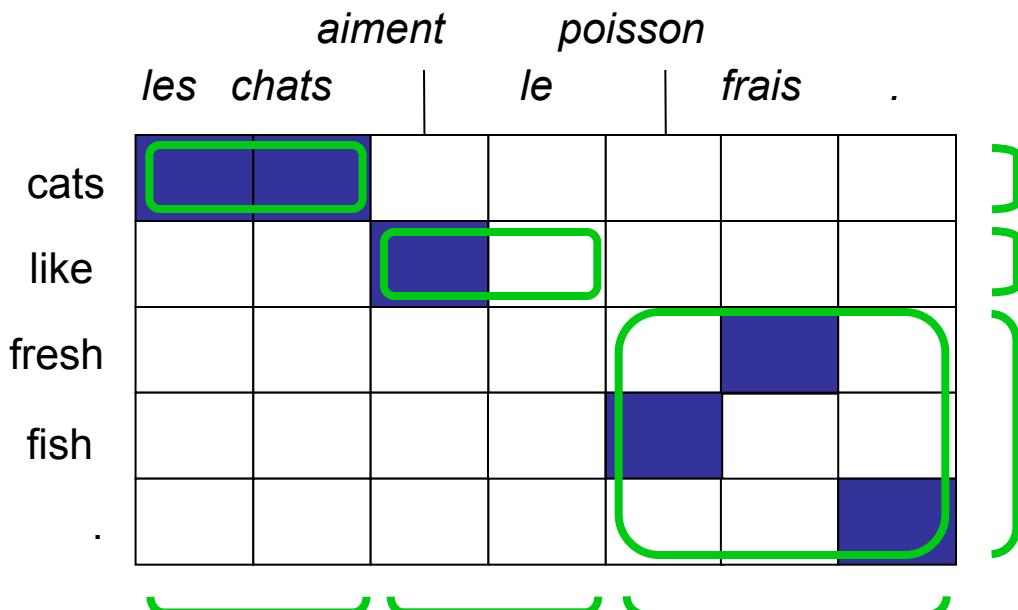
- Problem: easy partial analyses are cheaper
  - Solution 1: use beams per foreign subset
  - Solution 2: estimate forward costs (A\*-like)

# Parameter Tuning



# Phrase Scoring

$$\phi_{new}(\bar{e}_j | \bar{f}_i) = \frac{c(\bar{f}_i, \bar{e}_j)}{c(\bar{f}_i)}$$

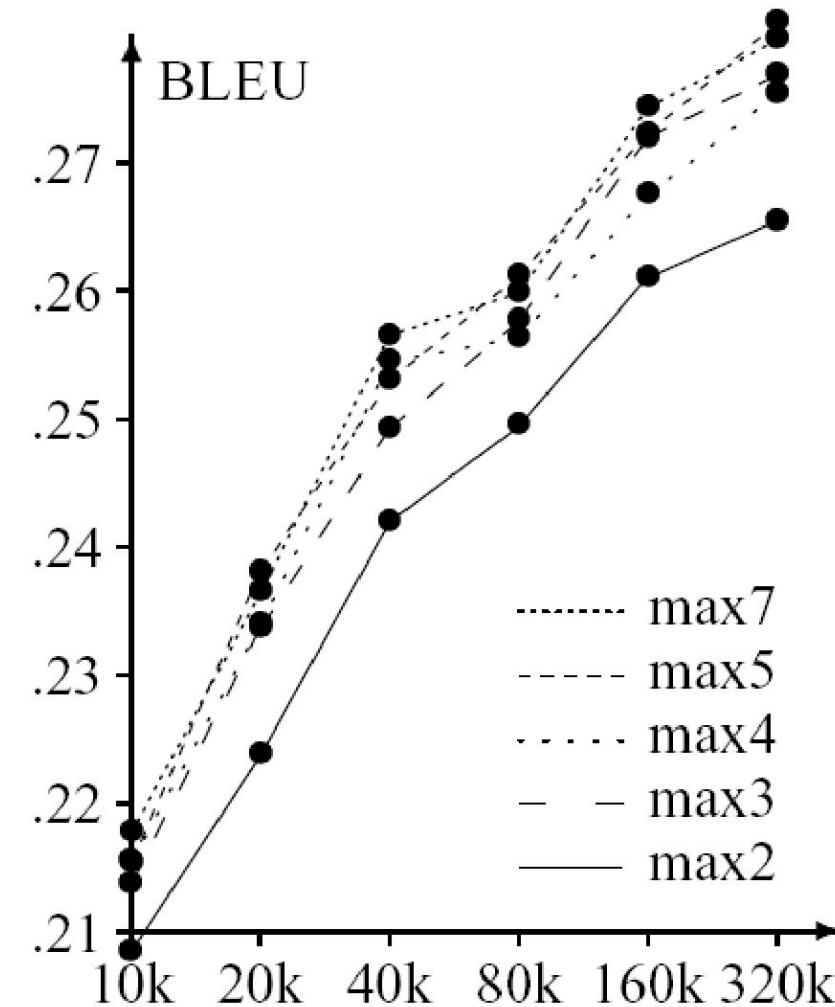
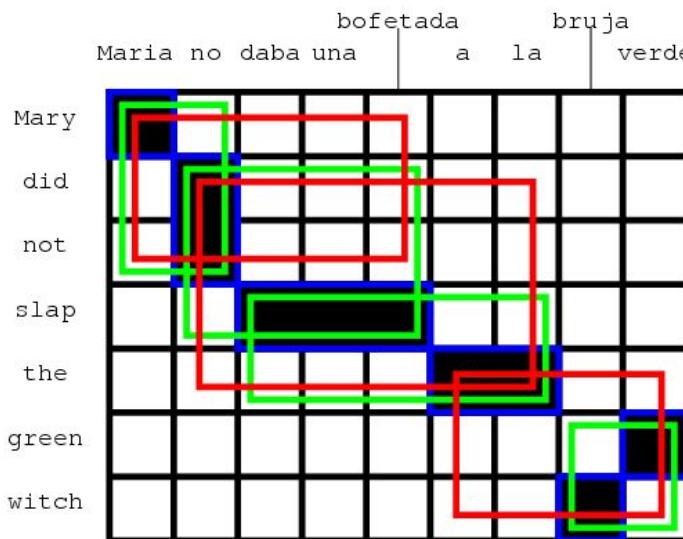


- Learning weights has been tried, several times:
  - [Marcu and Wong, 02]
  - [DeNero et al, 06]
  - ... and others
- Seems not to work well, for a variety of partially understood reasons
- Main issue: big chunks get all the weight, obvious priors don't help
  - Though, [DeNero et al 08]



# Phrase Size

- Phrases do help
  - But they don't need to be long
  - Why should this be?



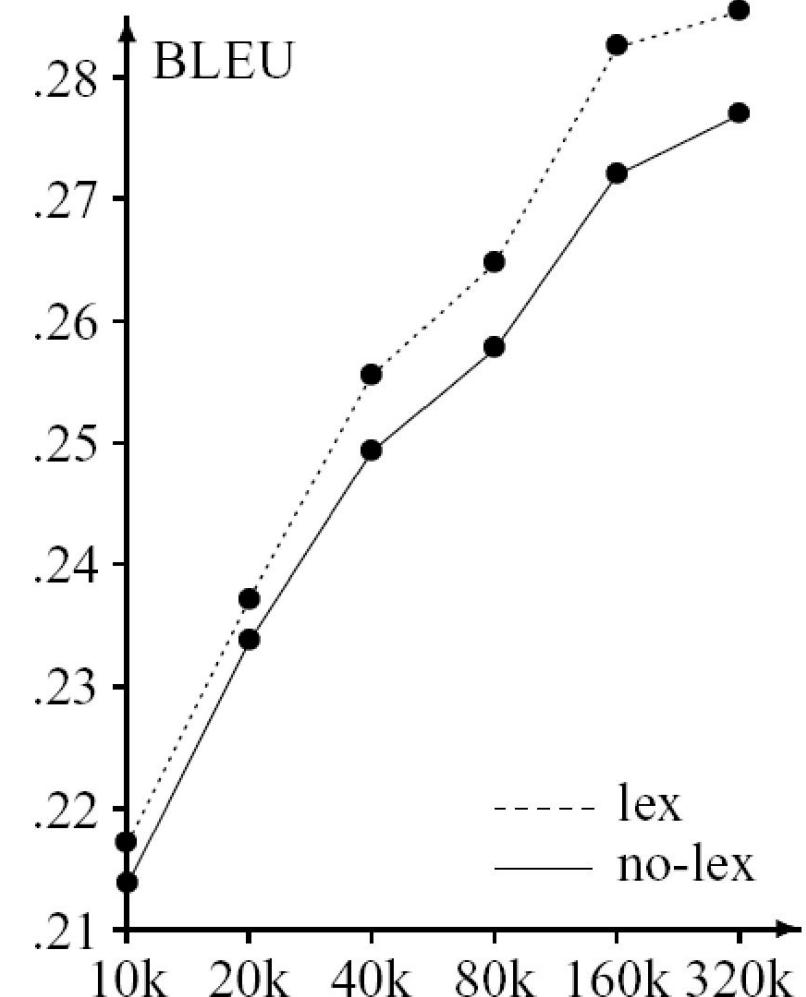


# Lexical Weighting

$$\phi(\bar{f}_i|\bar{e}_i) = \frac{\text{count}(\bar{f}_i, \bar{e}_i)}{\text{count}(\bar{e}_i)} p_w(\bar{f}_i|\bar{e}_i)$$

	f1	f2	f3
NULL	--	--	##
e1	##	--	--
e2	--	##	--
e3	--	##	--

$$\begin{aligned} p_w(\bar{f}|\bar{e}, a) &= p_w(f_1 f_2 f_3 | e_1 e_2 e_3, a) \\ &= w(f_1|e_1) \\ &\quad \times \frac{1}{2}(w(f_2|e_2) + w(f_2|e_3)) \\ &\quad \times w(f_3|\text{NULL}) \end{aligned}$$





# Tuning for MT

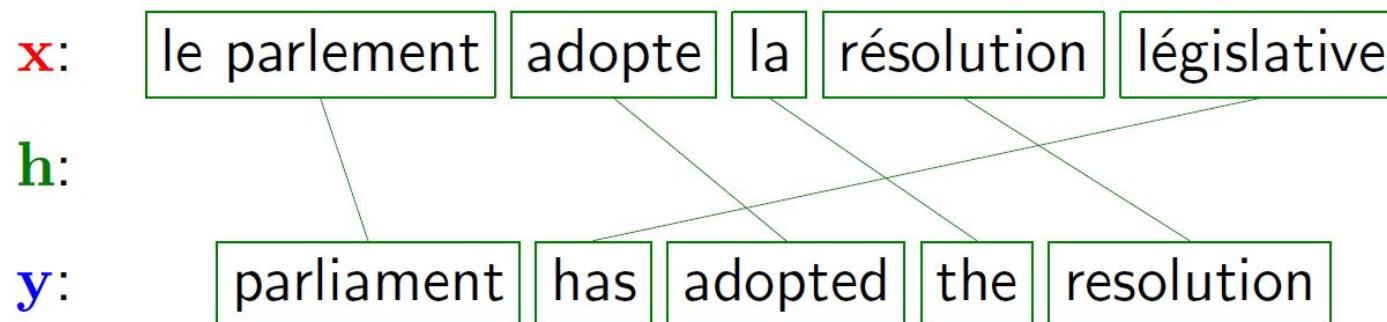
---

- Features encapsulate lots of information
  - Basic MT systems have around 6 features
  - $P(e|f)$ ,  $P(f|e)$ , lexical weighting, language model
- How to tune feature weights?
- Idea 1: Use your favorite classifier



# Why Tuning is Hard

- Problem 1: There are latent variables
  - Alignments and segmentations





# Why Tuning is Hard

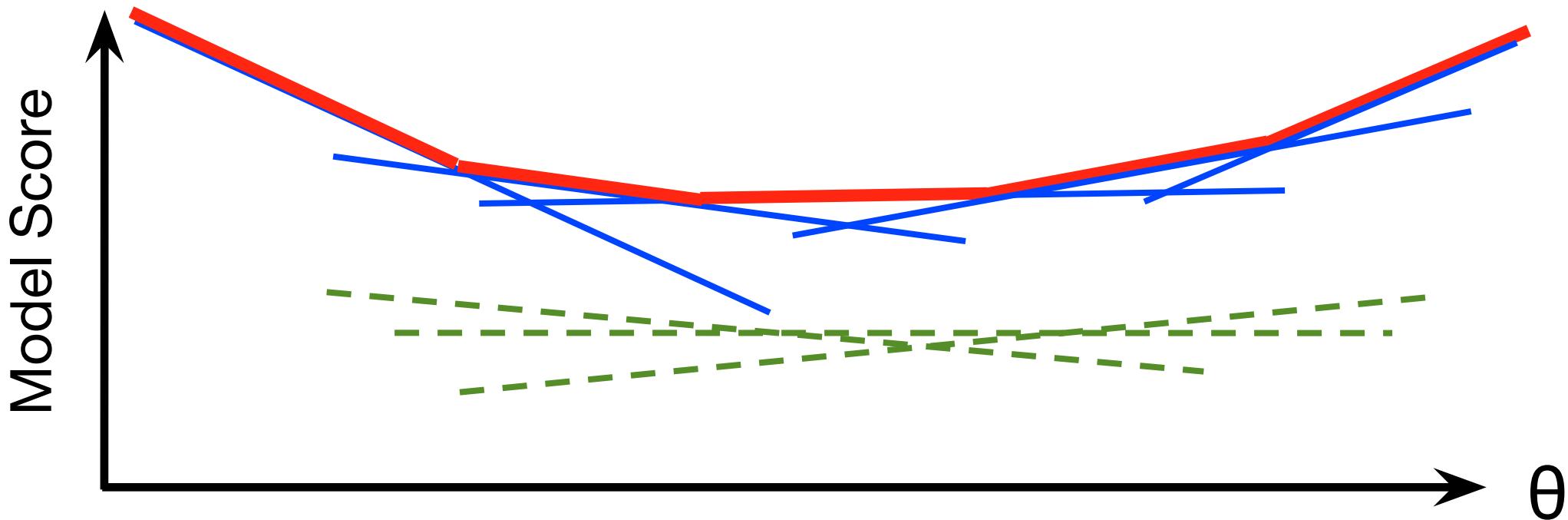
---

- Problem 3: Computational constraints
  - Discriminative training involves repeated decoding
  - Very slow! So people tune on sets much smaller than those used to build phrase tables



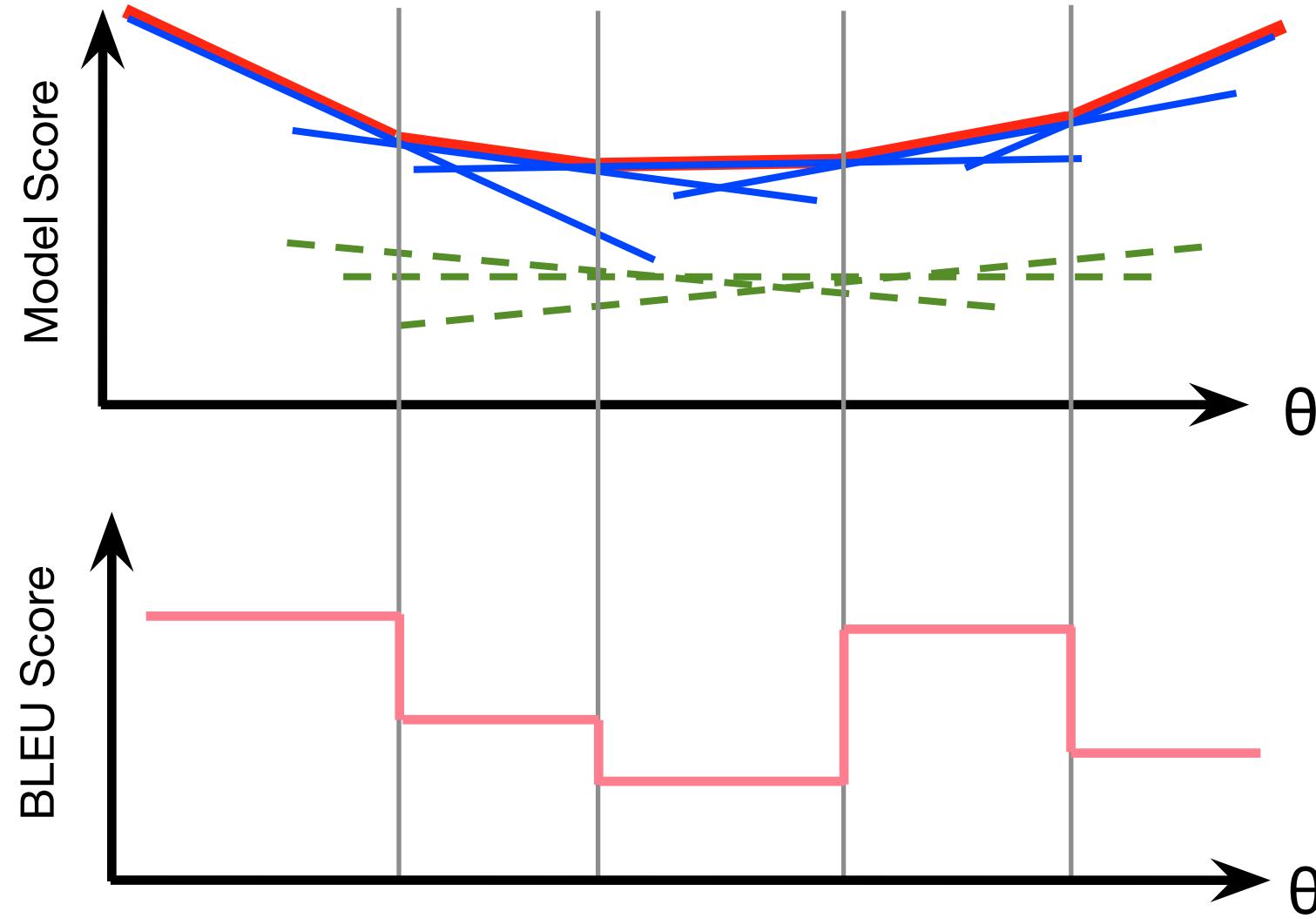
# Minimum Error Rate Training

- Standard method: minimize BLEU directly (Och 03)
  - MERT is a discontinuous objective
  - Only works for max ~10 features, but works very well then
  - Here: k-best lists, but forest methods exist (Machery et al 08)
  - Recently, lots of alternatives being explored for more features





# MERT





# MERT

---

