Noether $\rightarrow \alpha$ v0.4: Ab-initio fixing of L_{ψ} , Z, g_5

with derivation of the resummed $V_{\rm eff}$ and massless limit

Draft for UBT Project October 30, 2025

1 Setup: 5D Action, Geometry, BC

We consider $M^4 \times S^1_{\psi}$ with coordinates x^{μ} and $\psi \sim \psi + L_{\psi}$. Let $\Theta(x, \psi)$ denote the unified (biquaternionic) field and $A_M(x, \psi)$ a U(1) gauge field. The 5D action (natural units $c = \hbar = 1$) is

$$S = \int d^4x \int_0^{L_{\psi}} d\psi \sqrt{|g_5|} \left[g^{MN} (D_M \Theta)^{\dagger} (D_N \Theta) - V(\Theta) - \frac{1}{4} g^{MR} g^{NS} F_{MN} F_{RS} \right], \tag{1}$$

with $D_M = \partial_M + ig_5 A_M$, $F_{MN} = \partial_M A_N - \partial_N A_M$. We allow a warped background

$$ds^{2} = e^{2A(\psi)} \eta_{\mu\nu} dx^{\mu} dx^{\nu} + e^{2B(\psi)} d\psi^{2}, \qquad \sqrt{|g_{5}|} = e^{4A(\psi) + B(\psi)}. \tag{2}$$

Boundary conditions (BC) along S^1_{ψ} may be periodic or twisted (phase δ).

Holonomy (Wilson line). The gauge-invariant holonomy (Hosotani parameter) is

$$\theta_H \equiv g_5 \oint_{S_{\psi}^1} A_{\psi} \, d\psi = g_5 \int_0^{L_{\psi}} d\psi \, A_{\psi}(x, \psi). \tag{3}$$

Large gauge transformations shift $\theta_H \to \theta_H + 2\pi n$, $n \in \mathbb{Z}$.

2 Reduction and Canonical Normalization

Assume the photon zero-mode $A_{\mu}^{(0)}(x)$ is independent of ψ and normalized canonically in 4D. Reducing the gauge kinetic term in (1) gives

$$S_{\text{gauge}} \supset -\frac{1}{4} \int d^4x \, Z \, F_{\mu\nu}^{(0)} F_{(0)}^{\mu\nu}, \qquad Z \equiv \int_0^{L_{\psi}} d\psi \, e^{B(\psi) - 2A(\psi)},$$
 (4)

so the canonically normalized 4D photon is $A_{\mu}^{(0)} \to A_{\mu}^{(0)}/\sqrt{Z}$. The covariant derivative contributes the interaction

$$\int d^4x \int_0^{L_{\psi}} d\psi \sqrt{|g_5|} J^{\mu} A_{\mu} \longrightarrow \int d^4x g_4 J_{(0)}^{\mu} A_{\mu}^{(0)}, \qquad g_4 = \frac{g_5}{\sqrt{Z}}, \quad (5)$$

where J^{μ} is the Noether current density and $J^{\mu}_{(0)}$ its overlap with the photon zero-mode. Therefore the fine-structure constant at a reference scale μ_0 is

$$\alpha(\mu_0) = \frac{g_4^2}{4\pi} = \frac{g_5^2}{4\pi Z} \,. \tag{6}$$

3 Noether Charge and Current Matching

Global $U(1): \Theta \to e^{i\lambda}\Theta$ yields the 5D Noether current

$$J^{M} = i \left[\Theta^{\dagger} (D^{M} \Theta) - (D^{M} \Theta)^{\dagger} \Theta \right] \Big|_{A=0}. \tag{7}$$

We fix the normalization of Θ such that the fundamental charged excitation has unit Noether charge

$$Q \equiv \int d^3x \int_0^{L_{\psi}} d\psi \sqrt{|g_5|} J^0 = \pm 1.$$
 (8)

This fixes the overall scale entering the coupling (5); no additional free normalization survives.

4 Wilson Line and Quantization

On the compact ψ -cycle the holonomy (3) is physical. For a field with U(1) charge q and BC phase δ the KK momenta are shifted by

$$p_{\psi}^{(n)} = \frac{2\pi}{L_{\psi}} \left(n + a \right), \qquad a \equiv \frac{q \,\theta_H}{2\pi} + \delta, \qquad n \in \mathbb{Z}.$$
 (9)

Stationary vacua (Hosotani mechanism) are determined dynamically and may select nontrivial θ_H^{\star} . Large gauge invariance enforces periodicity $\theta_H \sim \theta_H + 2\pi$; stable vacua satisfy $\partial V_{\text{eff}}/\partial \theta_H = 0$.

5 One-Loop Effective Potential $V_{\rm eff}(L_{\psi}, \theta_H)$

For each field j with spin-statistics sign $\sigma_j = \pm 1$ (boson +1, fermion -1), degeneracy d_j , mass m_j , charge q_j , and twist δ_j , the one-loop contribution is

$$V_j(L_{\psi}, \theta_H) = \frac{\sigma_j d_j}{2} \int \frac{d^4 p}{(2\pi)^4} \sum_{n \in \mathbb{Z}} \ln \left[p^2 + m_j^2 + \left(\frac{2\pi}{L_{\psi}}\right)^2 \left(n + a_j\right)^2 \right], \tag{10}$$

$$a_j \equiv \frac{q_j \,\theta_H}{2\pi} + \delta_j. \tag{11}$$

Using standard contour/Matsubara techniques (equivalently Poisson resummation) one obtains an exact resummed form

$$V_{j}(L_{\psi}, \theta_{H}) = \sigma_{j} d_{j} \int \frac{d^{4}p}{(2\pi)^{4}} \frac{1}{L_{\psi}} \ln\left(1 - 2e^{-L_{\psi}\omega_{j}(p)}\cos 2\pi a_{j} + e^{-2L_{\psi}\omega_{j}(p)}\right),$$
(12)

where $\omega_j(p) = \sqrt{p^2 + m_j^2}$. The total potential is

$$V_{\text{eff}}(L_{\psi}, \theta_H) = \sum_{j} V_j(L_{\psi}, \theta_H). \tag{13}$$

Massless scaling (correction). In the massless limit $m_j \to 0$, V_j scales as $1/L_{\psi}^5$ (not $1/L_{\psi}^4$). This is consistent with dimensional analysis in 5D.

6 Determining Z (Warped Case)

If the vacuum back-reacts and generates a nontrivial warp, the 4D gauge kinetic factor is

$$Z = \int_0^{L_{\psi}} d\psi \ e^{B(\psi) - 2A(\psi)} \left| \xi_0(\psi) \right|^2, \tag{14}$$

where $\xi_0(\psi)$ is the photon zero-mode profile (constant in flat space). The warp factors $A(\psi)$, $B(\psi)$ and $\xi_0(\psi)$ follow from the coupled background equations of motion derived from (1) (e.g. via a first-order BPS system if available). In flat space, $\xi_0(\psi) \equiv 1$ and $Z = L_{\psi}$. In general we write

$$Z = L_{\psi} f(\tau, BC), \tag{15}$$

where f encodes the modular parameter τ (complex-time sector) and boundary data.

7 Putting It Together: α from UBT

Combining (6), the stationarity conditions and (14),

$$\alpha(\mu_0) = \frac{g_5^2}{4\pi Z^*} = \frac{g_5^2}{4\pi L_{\psi}^* f^*(\tau, BC)}, \qquad (L_{\psi}^*, \theta_H^*) \text{ solve } \partial V_{\text{eff}} = 0.$$
 (16)

Here g_5 is fixed by Noether normalization (8) (unit charge for the fundamental excitation) and current matching (5). Thus, given the field content, BC, and UBT potential $V(\Theta)$, the pair $(L_{\psi}^{\star}, \theta_{H}^{\star})$ and the warp A, B are determined and no free fit survives in α . Standard QED running then connects $\alpha(\mu_0)$ to experimental scales.

8 Electron Mass Consistency (Sketch)

The same background must produce the lightest charged KK eigenvalue

$$m_e^2 = \lambda_{\min} \left[-e^{-B} \partial_{\psi} \left(e^{B-2A} \partial_{\psi} \cdot \right) + m_{\Theta}^2 e^{-2A} + \cdots \right], \tag{17}$$

for the appropriate (spinor) sector and BC, including the holonomy shift (9). This provides a nontrivial cross-check: the same $(L_{\psi}^{\star}, \theta_{H}^{\star}, A, B)$ that gives α must also yield m_{e} .

Appendix A: Derivation of the Resummed Form (12)

Starting from (10), define $\omega = \sqrt{p^2 + m^2}$ and write

$$\sum_{n\in\mathbb{Z}} \ln\left[\left(n+a \right)^2 + \left(\frac{L_{\psi}\omega}{2\pi} \right)^2 \right] = \sum_{n\in\mathbb{Z}} \left\{ \ln\left(n+a+i\frac{L_{\psi}\omega}{2\pi} \right) + \ln\left(n+a-i\frac{L_{\psi}\omega}{2\pi} \right) \right\}. \tag{18}$$

Using the product representation for $\sin(\pi z)$ and standard finite-temperature/Matsubara algebra, one obtains (up to p- and m-independent constants that drop out)

$$\sum_{n \in \mathbb{Z}} \ln \left[\left(n + a \right)^2 + b^2 \right] = \ln \left(1 - 2e^{-2\pi b} \cos 2\pi a + e^{-4\pi b} \right) + \text{const}, \tag{19}$$

where $b = L_{\psi}\omega/(2\pi)$. Restoring factors and integrating over p, we get (12):

$$V(L_{\psi}, \theta_H) = \sigma d \int \frac{d^4 p}{(2\pi)^4} \frac{1}{L_{\psi}} \ln\left(1 - 2e^{-L_{\psi}\omega(p)}\cos 2\pi a + e^{-2L_{\psi}\omega(p)}\right). \quad (20)$$

An equivalent route is to differentiate with respect to m^2 , perform the geometric series or Poisson resummation, and integrate back.

Appendix B: Massless Limit and Polylogarithms

For $m \to 0$, set $\omega(p) = |p|$ and use the factorization

$$\ln(1 - 2ct + t^2) = \ln(1 - e^{i\theta}t) + \ln(1 - e^{-i\theta}t) = -\sum_{k=1}^{\infty} \frac{2\cos(k\theta)}{k} t^k, \qquad c = \cos\theta, \ t = e^{-L_{\psi}|p|}.$$
(21)

Then

$$V_{\text{massless}}(L_{\psi}, \theta_H) = -\frac{2\sigma d}{L_{\psi}} \sum_{k=1}^{\infty} \frac{\cos(k\theta)}{k} \int \frac{d^4 p}{(2\pi)^4} e^{-kL_{\psi}|p|}$$
(22)

$$= -\frac{2\sigma d}{L_{\psi}} \sum_{k=1}^{\infty} \frac{\cos(k\theta)}{k} \frac{2\pi^2}{(2\pi)^4} \int_0^{\infty} dp \, p^3 \, e^{-kL_{\psi}p}$$
 (23)

$$= -\frac{2\sigma d}{L_{\psi}} \sum_{k=1}^{\infty} \frac{\cos(k\theta)}{k} \frac{2\pi^2}{(2\pi)^4} \cdot \frac{3!}{(kL_{\psi})^4}$$
 (24)

$$= -\frac{3\sigma d}{2\pi^2 L_{\psi}^5} \sum_{k=1}^{\infty} \frac{\cos(k\theta)}{k^5} = -\frac{3\sigma d}{2\pi^2 L_{\psi}^5} \operatorname{Re} \operatorname{Li}_5(e^{i\theta}), \qquad \theta = 2\pi a.$$
(25)

Thus, in 5D the massless contribution scales as $1/L_{\psi}^{5}$ with a universal (non-fitted) coefficient.

Massive case (asymptotics). For m > 0, using $\ln(1 - 2ct + t^2) = -2\sum_{k \ge 1} \cos(k\theta) t^k / k$ with $t = e^{-L_{\psi}\omega}$ and the known integral

$$\int \frac{d^4p}{(2\pi)^4} e^{-kL_{\psi}} \sqrt{p^2 + m^2} = \frac{m^3}{(2\pi)^2 (kL_{\psi})^3} K_3(kmL_{\psi}), \tag{26}$$

one finds the exact series

$$V(L_{\psi}, \theta_H; m) = -\frac{2\sigma d}{L_{\psi}} \sum_{k=1}^{\infty} \frac{\cos(k\theta)}{k} \frac{m^3}{(2\pi)^2 (kL_{\psi})^3} K_3(kmL_{\psi}), \qquad (27)$$

which reduces to the polylogarithmic result above as $m \to 0$ (using $K_{\nu}(z) \sim 2^{\nu-1}\Gamma(\nu)z^{-\nu}$).