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# Digital Communications

## « Linear » Carrier Modulations

« The complex envelop associated to the transmitted signal linearly depends on the message »

- 1) One or two dimensional modulations
- 2) Complex envelop
- 3) Equivalent lowpass channel
- 4) Performance

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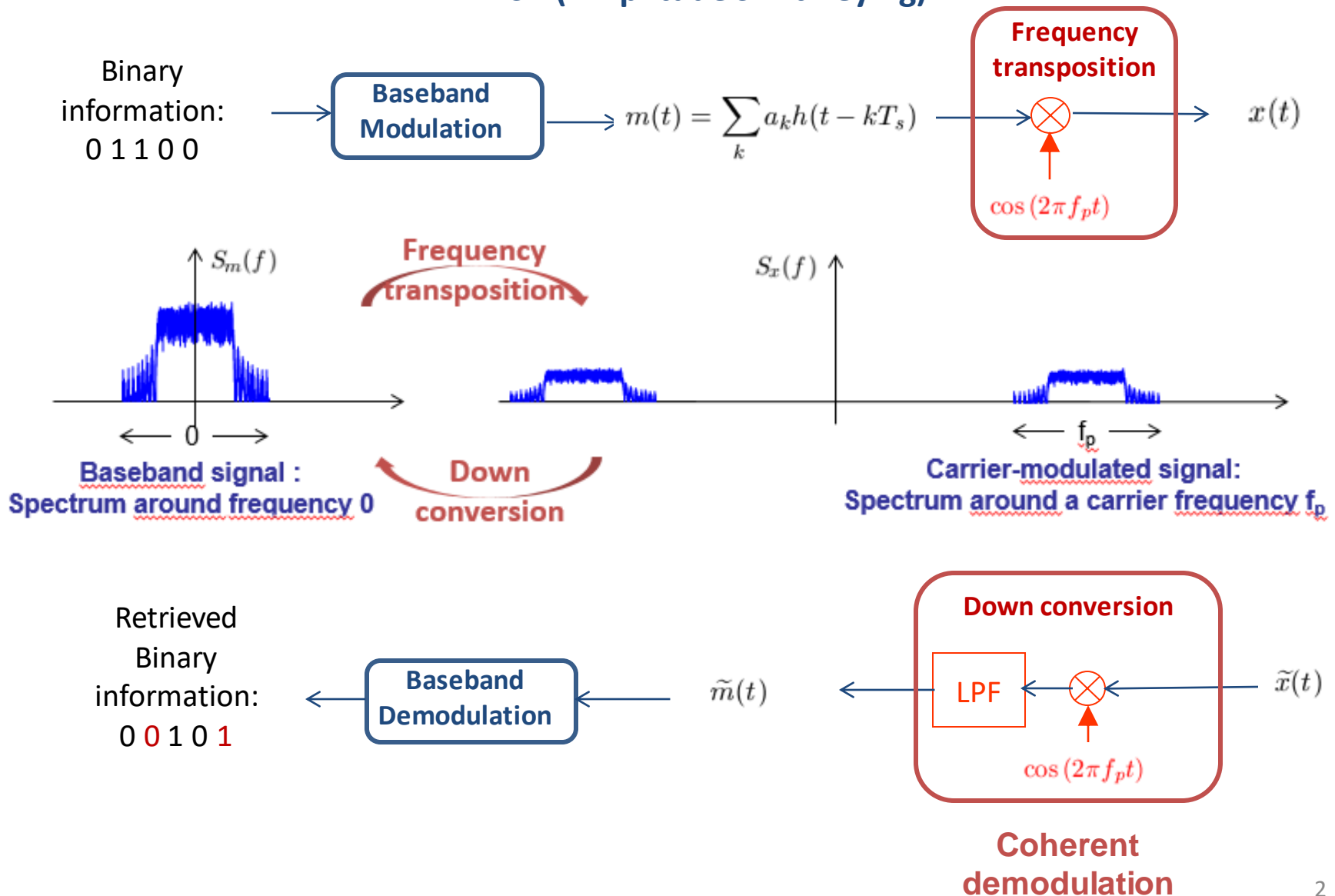
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**IRIT/ENSEEIH**T  
**Nathalie.Thomas@enseeiht.fr**

# Linear Carrier Modulation

## One-dimensionnal

### M-ASK (Amplitude Shift Keying)



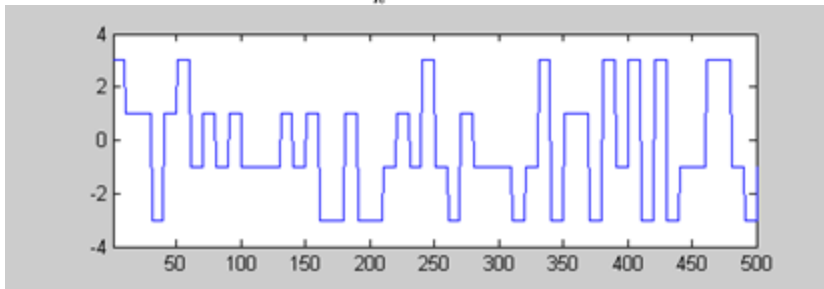
# Linear Carrier Modulation

## One-dimensionnal

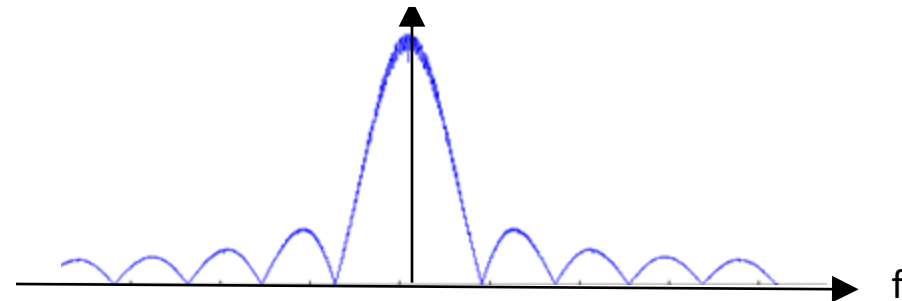
### M-ASK (Amplitude Shift Keying)

Example : 4-ASK, rectangular shaping

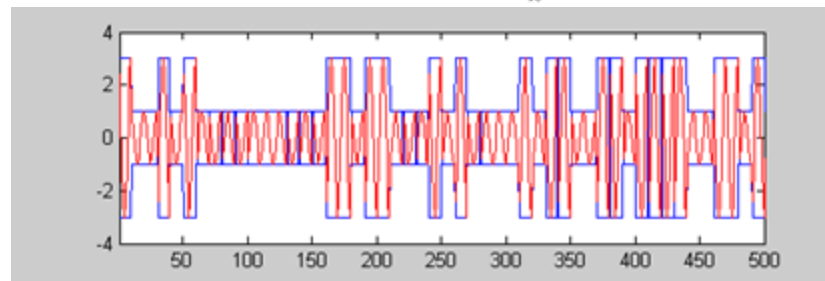
$$m(t) = \sum_k a_k h(t - kT_s)$$



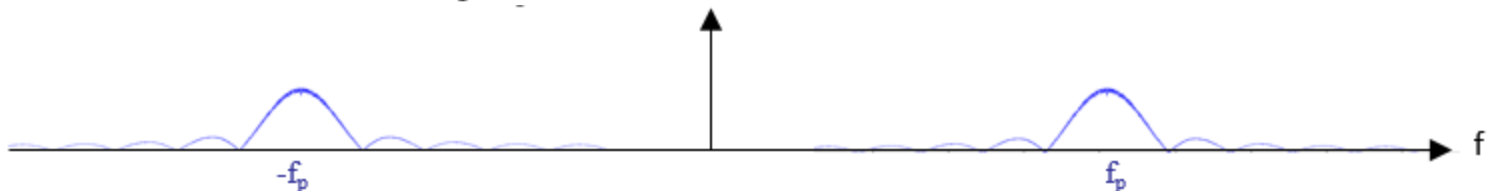
$$S_m(f) = 5T_s \text{sinc}^2(\pi f T_s)$$



Signal modulated on  $f_p$  :  $x(t) = \sum_k a_k h(t - kT_s) \cos(2\pi f_p t)$

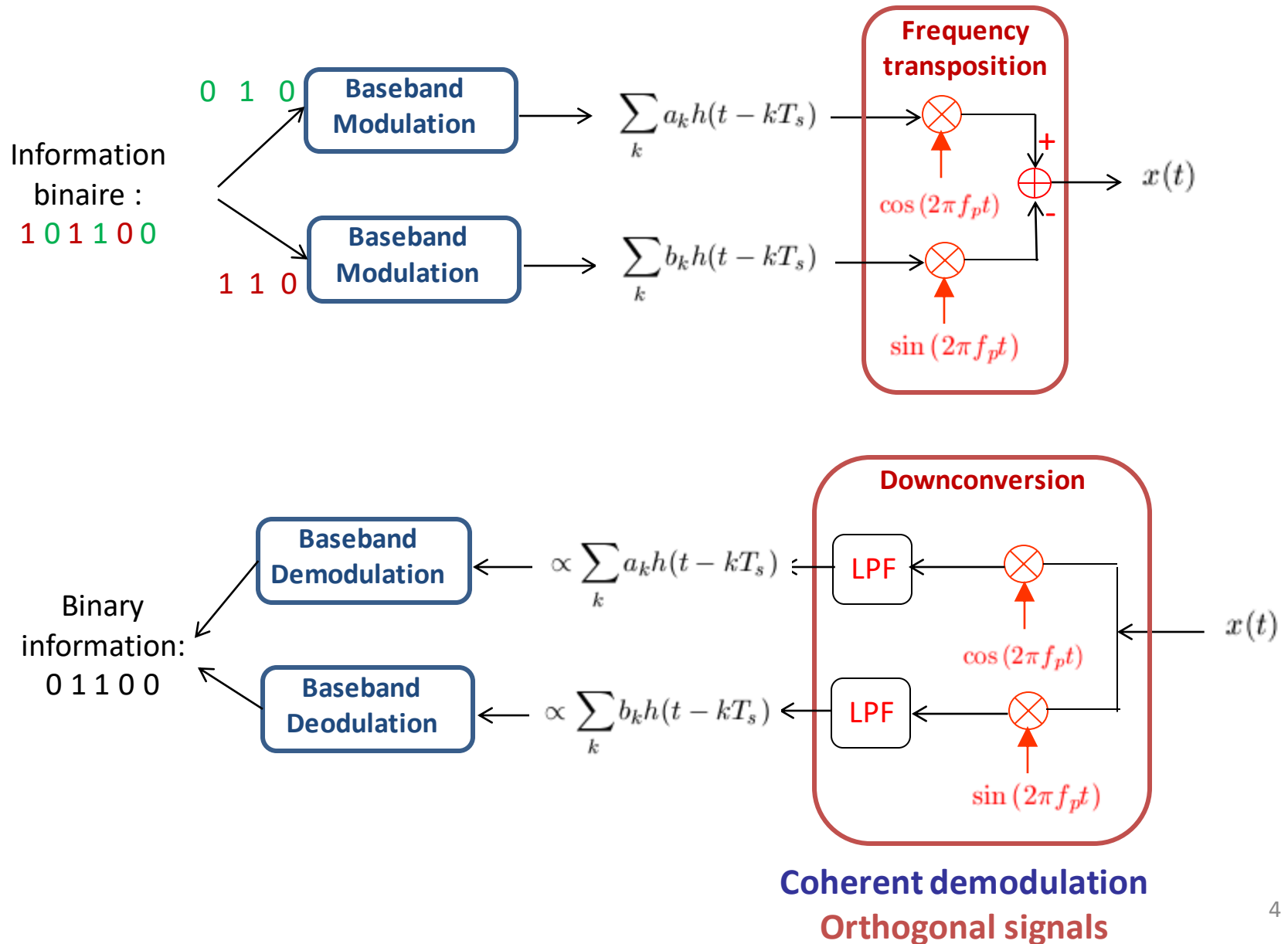


$$S_x(f) = \frac{5T_s}{4} \{ \text{sinc}^2(\pi(f - f_p)T_s) + \text{sinc}^2(\pi(f + f_p)T_s) \}$$



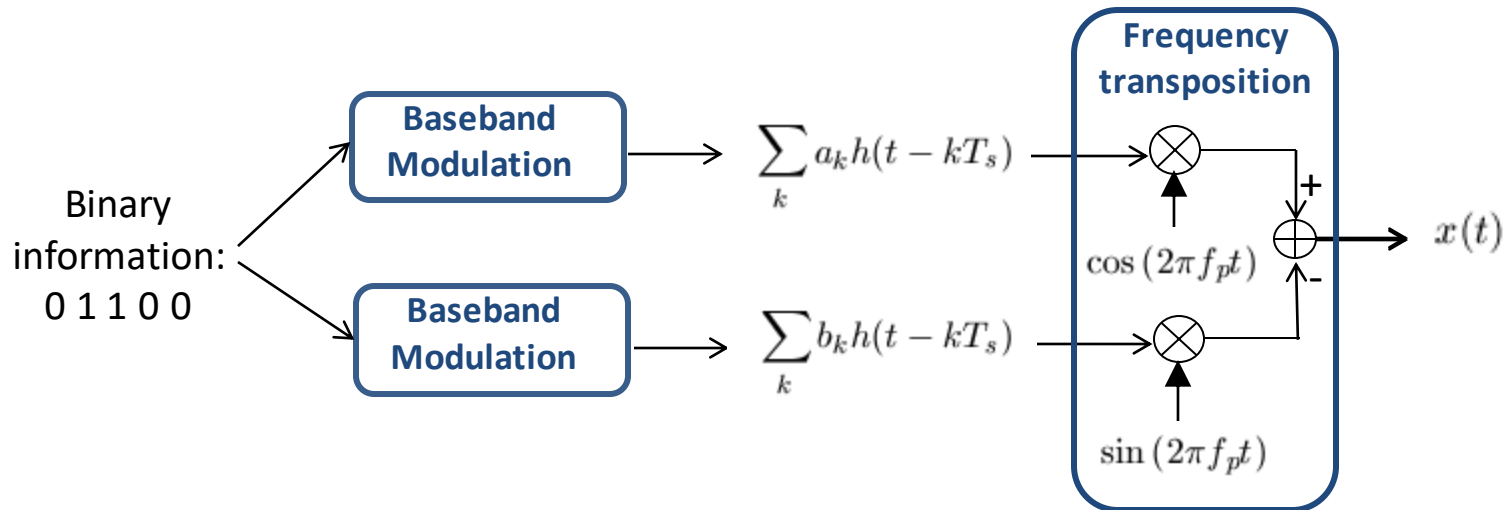
# Linear Carrier Modulation

## Two-dimensional



# Linear Carrier Modulation

## Complex envelop



$$x(t) = \underbrace{\sum_k a_k h(t - kT_s)}_{I(t)} \cos(2\pi f_p t) - \underbrace{\sum_k b_k h(t - kT_s)}_{Q(t)} \sin(2\pi f_p t)$$

In Phase Component                      Quadrature Component

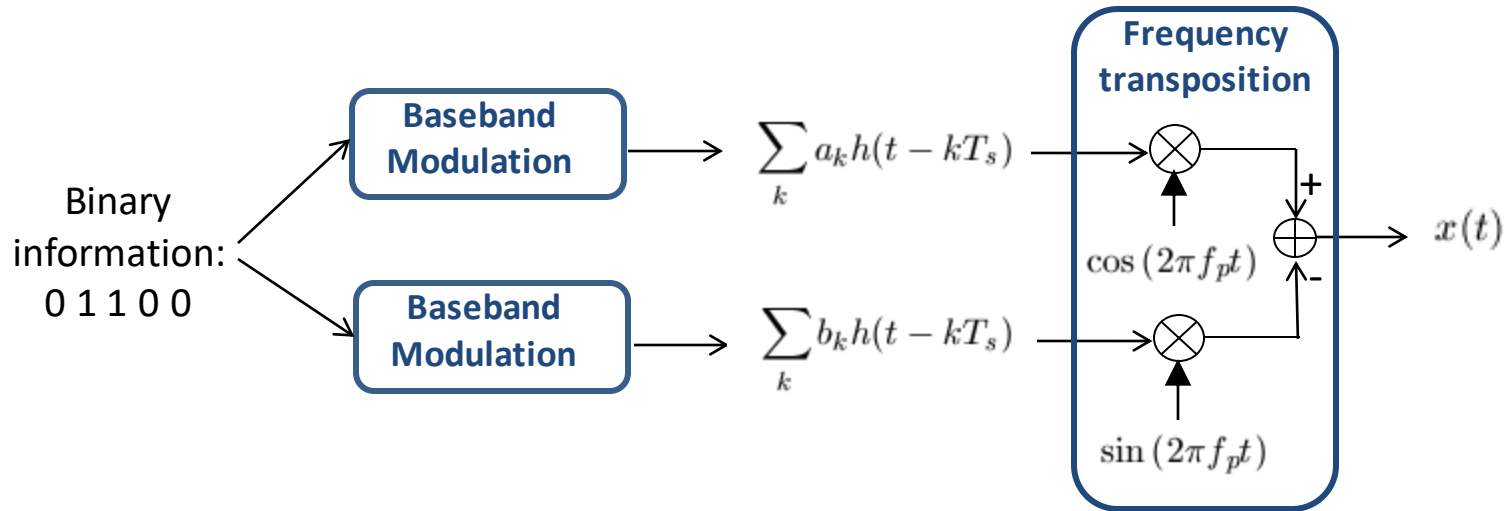
$$x(t) = \Re \left[ \underbrace{(I(t) + jQ(t))}_{\text{Complex Envelope}} e^{j2\pi f_p t} \right]$$

$$x_e(t) = I(t) + jQ(t) = \sum_k d_k h(t - kT_s)$$

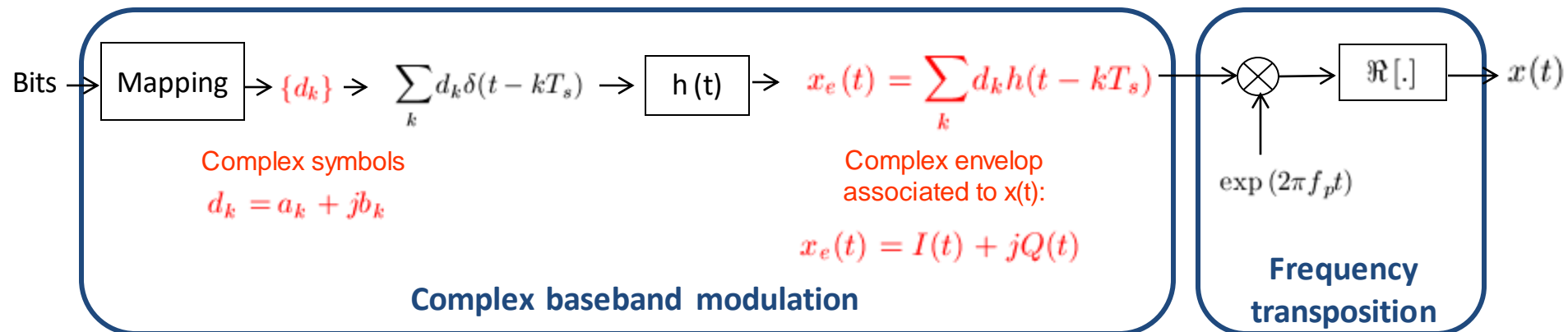
**Complex envelop associated to  $x(t)$**

# Linear Carrier Modulation

## Complex envelop



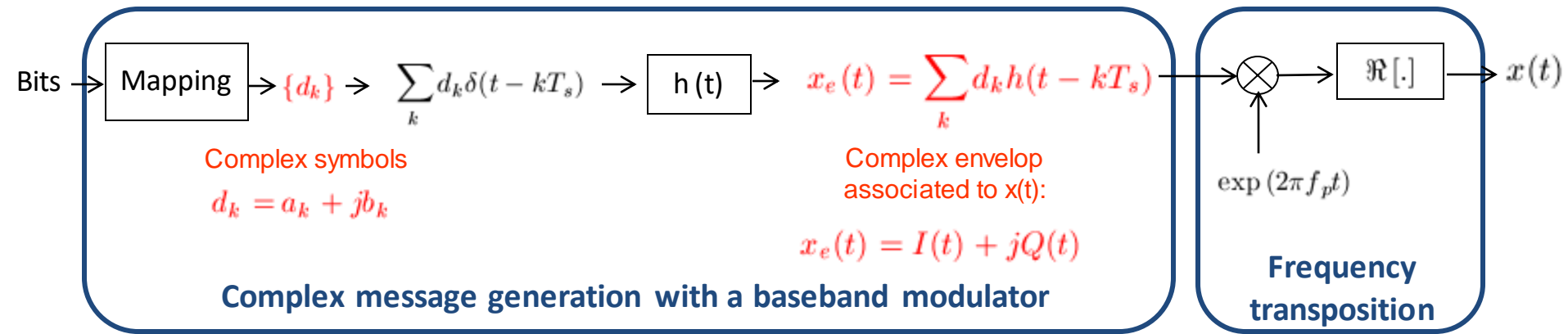
$$x(t) = \sum_k a_k h(t - kT_s) \cos(2\pi f_p t) - \sum_k b_k h(t - kT_s) \sin(2\pi f_p t)$$



$$x(t) = \Re \left[ x_e(t) e^{j2\pi f_p t} \right]$$

# Linear Carrier Modulation

## Complex envelop



→ The PSD of the carrier-modulated signal:

$$x(t) = \Re \left[ x_e(t) e^{j2\pi f_p t} \right] \longrightarrow R_x(\tau) = \frac{1}{2} \Re \left[ R_{x_e}(\tau) e^{j2\pi f_p \tau} \right] \longrightarrow S_x(f) = \frac{1}{4} (S_{x_e}(f - f_p) + S_{x_e}(-f - f_p))$$

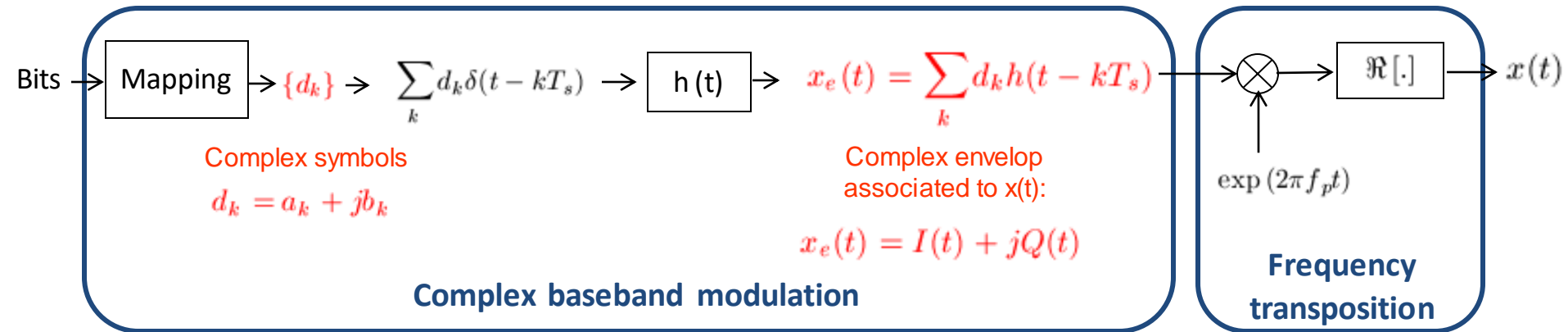
is obtained from the PSD of its associated complex envelop (known baseband spectrum):

$$S_{x_e}(f) = \frac{\sigma_d^2}{T_s} |H(f)|^2 + 2 \frac{\sigma_d^2}{T_s} |H(f)|^2 \sum_{k=1}^{\infty} \Re \left[ R_d(k) e^{j2\pi f k T_s} \right] + \frac{|m_d|^2}{T_s^2} \sum_k \left| H \left( \frac{k}{T_s} \right) \right|^2 \delta \left( f - \frac{k}{T_s} \right)$$

**Re-use the results obtained for  
baseband modulations**

# Linear Carrier Modulation

## Two main classes of two-dimensionnal modulations



$\rightarrow \{a_k\}$  and  $\{b_k\}$  M-ary independent symbols  $\in \{\pm 1, \pm 3, \dots, \pm(\sqrt{M} - 1)\}$

### square M-QAM (Quadrature Amplitude Modulation)

$\rightarrow d_k \in \{e^{j(\frac{2\pi}{M}l + \frac{\pi}{M})}\}, l = 0, \dots, M - 1$

### M-PSK (Phase Shift Keying)



## QUESTION

Let's assume that the symbols are independent, equally likely and with a zero mean. For a given bit rate  $R_b$ , a transmission using a 8-PSK modulation and a rectangular shaping filter will be more spectrally efficient than:

- ☐ A a transmission using a QPSK modulation with the same rectangular shaping filter.
- ☐ B a transmission using a 8-PSK modulation with a square root raised cosine shaping filter.
- ☐ C a transmission using a 16-QAM modulation with the same rectangular shaping filter.

## QUESTION

A QPSK modulation is:

A 4-state phase modulation:

- ☐ A TRUE
- ☐ B FALSE

A 4-state QAM modulation:

- ☐ A TRUE
- ☐ B FALSE

Less spectrally efficient than a BPSK modulation using the same shaping filter

- ☐ A TRUE
- ☐ B FALSE

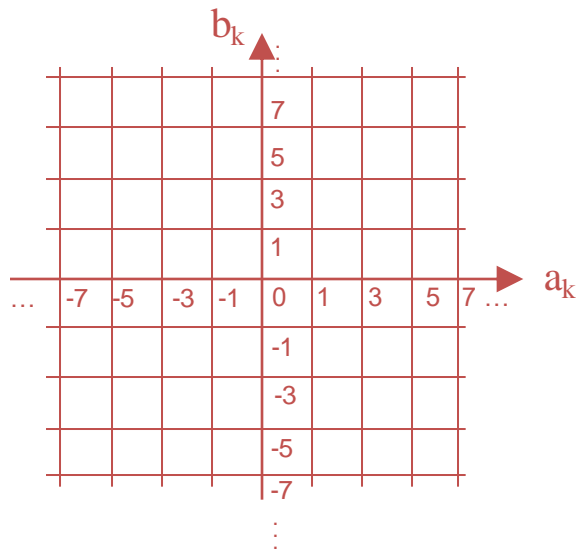
More robust, for the same level of noise and the same transmitted power, than a BPSK modulation:

- ☐ A TRUE
- ☐ B FALSE

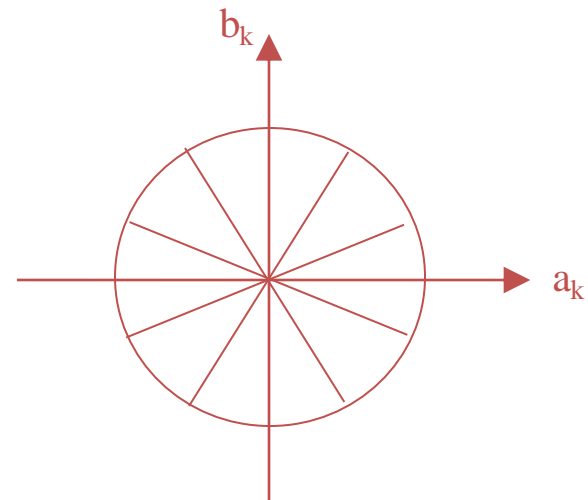
# Linear Carrier Modulation

## Constellation

Representation of possible  $d_k$  in the  $(a_k, b_k)$  plane = « constellation » of the modulation



**QAM Constellations**  
Power efficient  
(DVB-C, DVB-T, xDSL)



**PSK Constellations**  
Robust to non linearities  
(DVB-S)

**Hybrid modulations: APSK**  
(DVB-S2, DVB-S2X)

# Linear Carrier Modulation

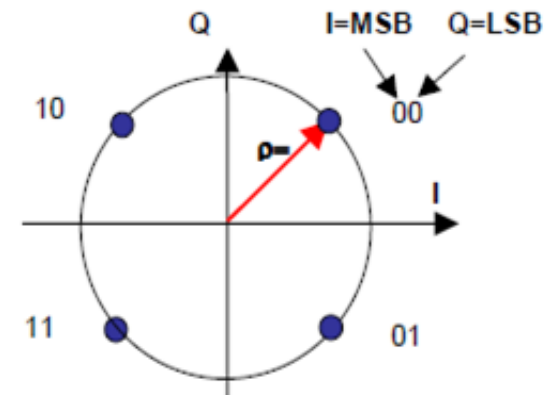
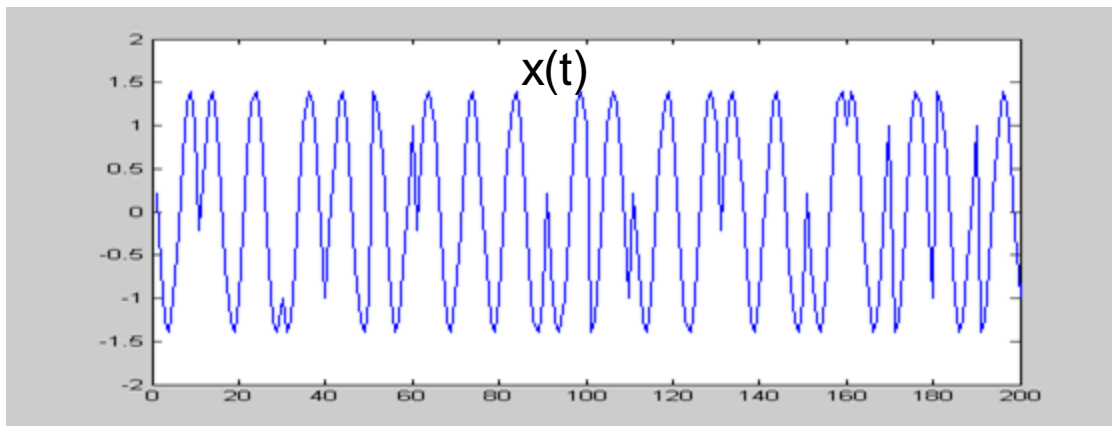
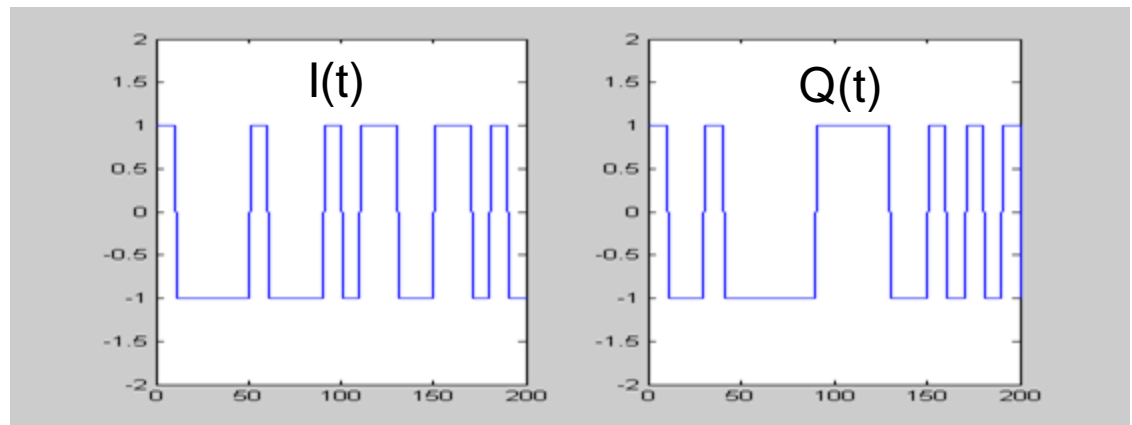
## Examples

→ Two-dimensionnal linear modulations: M-QAM

Independent  $\{a_k\}$  and  $\{b_k\}$

Example : 4-QAM or QPSK (DVB-S)

bits	$a_k$	$b_k$	$d_k$
00	-1	-1	$-1-j$
01	-1	+1	$-1+j$
10	+1	-1	$1-j$
11	+1	+1	$1+j$



# Linear Carrier Modulation

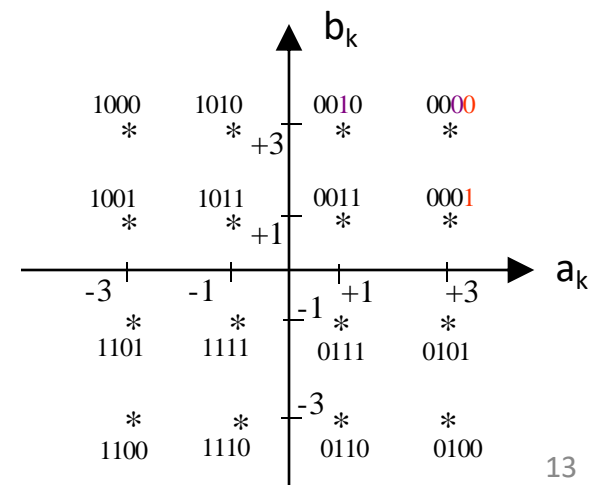
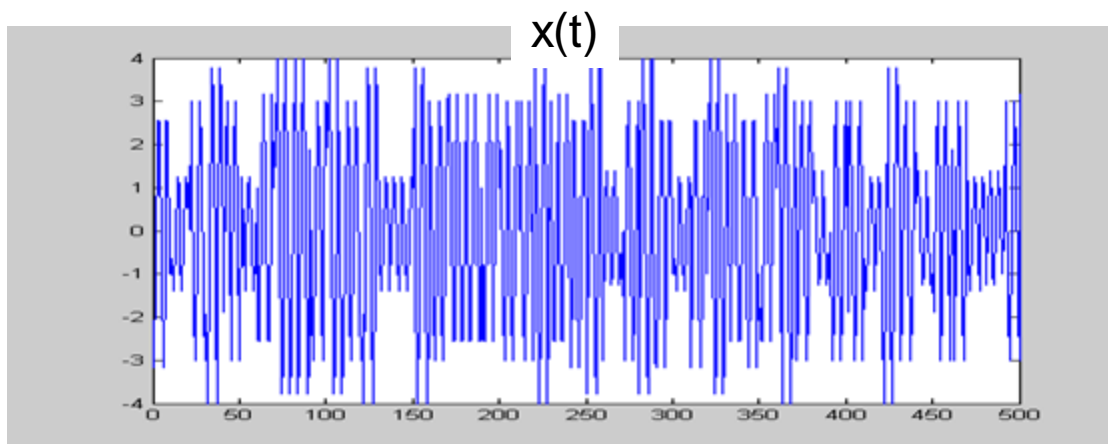
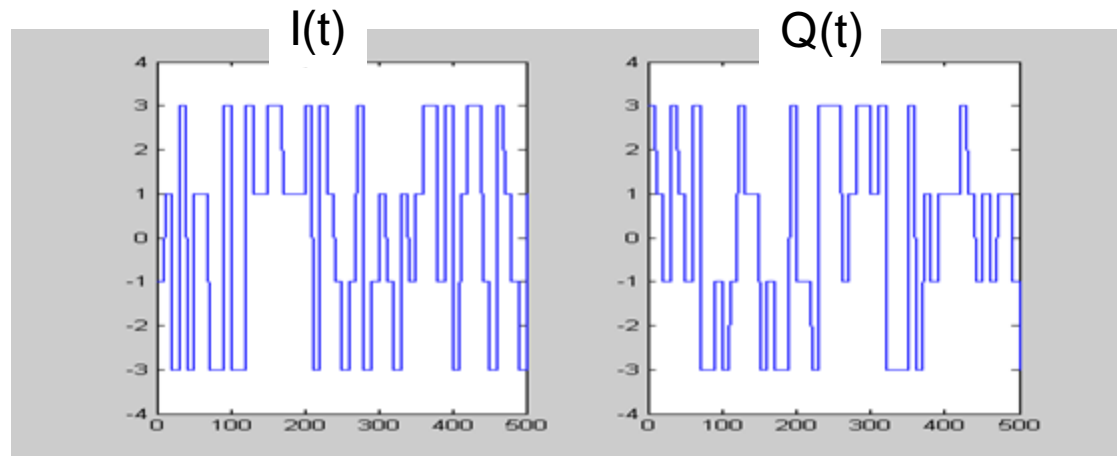
## Examples

→ Two-dimensionnal linear modulations: M-QAM

Example : 16-QAM (DVB-C)

Independent  $\{a_k\}$  and  $\{b_k\}$

Bits	0000	0001	...	1110	1111
$a_k$	+3	+3		-1	-1
$b_k$	+3	+1		-3	-1
$d_k$	$3+3j$	$3+j$		$-1-3j$	$-1-j$



# Linear Carrier Modulation

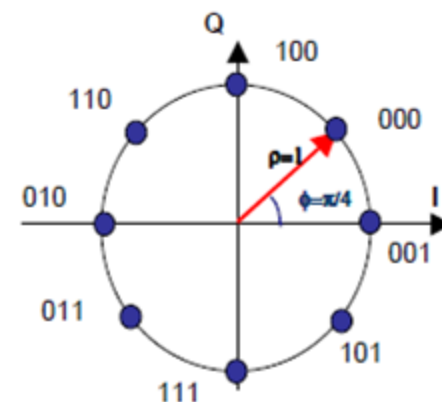
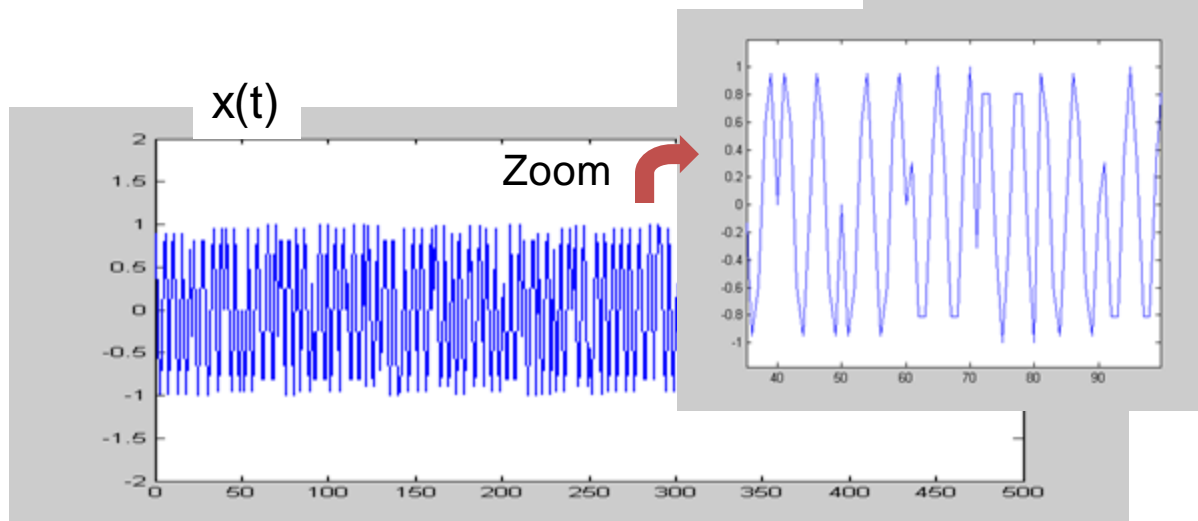
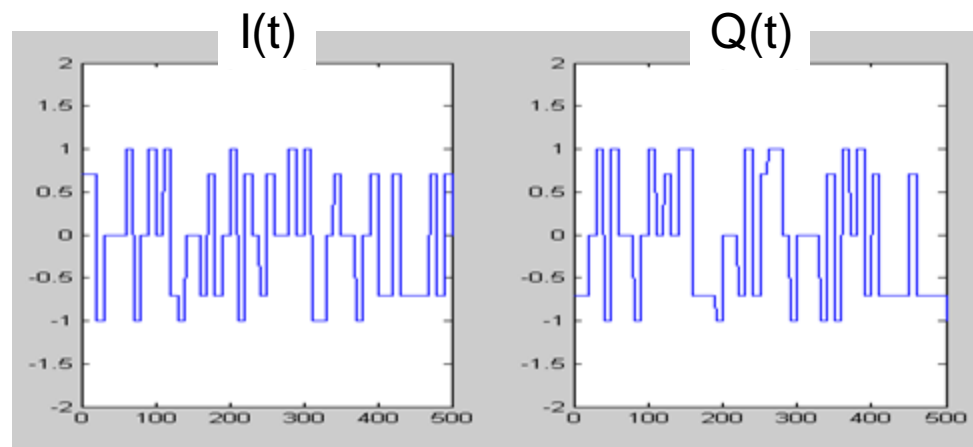
## Examples

→ Two-dimensionnal linear modulations: M-PSK

$\{a_k\}$  and  $\{b_k\}$  are linked

Example : 8-PSK (DVB-S2)

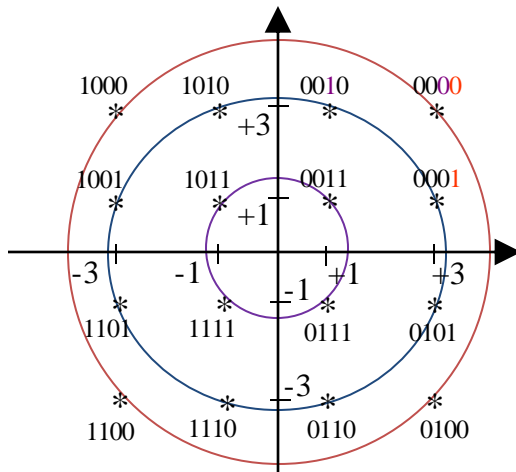
$$d_k \in \left\{ e^{j\left(\frac{2\pi}{8}l + \frac{\pi}{8}\right)} \right\}, l = 0, \dots, 7$$



# Linear Carrier Modulation

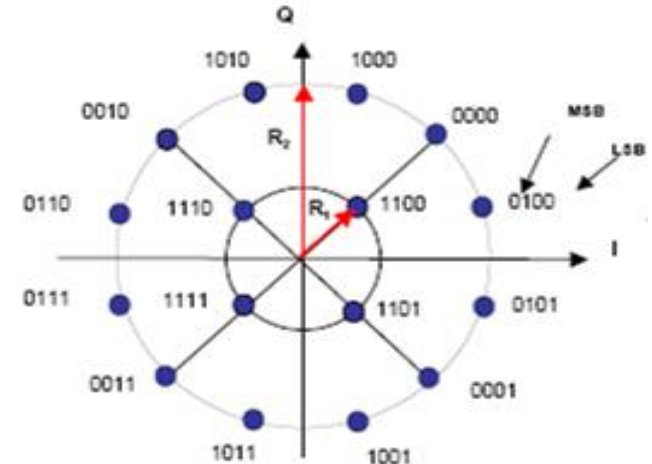
## Examples

→ Hybrid modulations: M-APSK (DVB-S2)



16-QAM

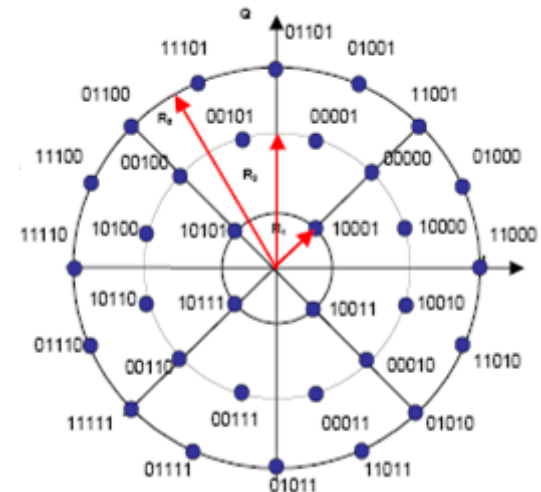
M-APSK



16-APSK (4-12 APSK)

$$d_k \in \begin{cases} R_1 e^{j\left(\frac{2\pi}{n_1}i + \theta_1\right)}, & i = 0, \dots, n_1 - 1 \\ R_2 e^{j\left(\frac{2\pi}{n_2}i + \theta_2\right)}, & i = 0, \dots, n_2 - 1 \\ \dots \\ R_R e^{j\left(\frac{2\pi}{n_R}i + \theta_R\right)}, & i = 0, \dots, n_R - 1 \end{cases}$$

$$M = n_1 + n_2 + \dots + n_R$$



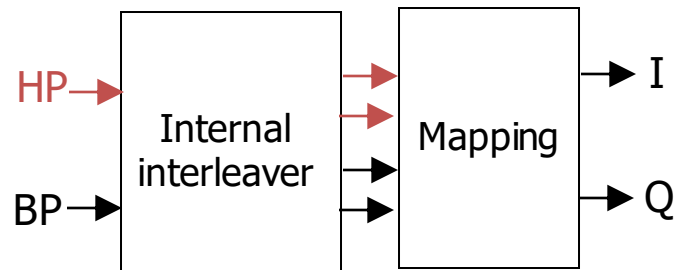
32-APSK (4-12-16 APSK)

# Linear Carrier Modulation

## Examples

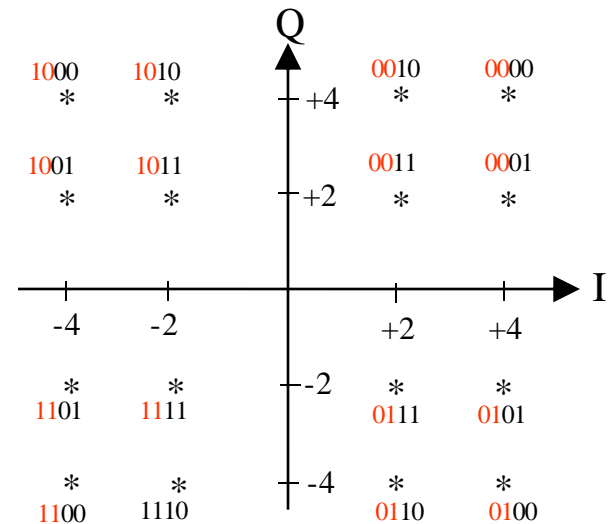
→ Hierarchical modulations: DVB-T and T2, DVB-H, DVB-S2

Example 1 : hierarchical 16-QAM (DVB-T or H)

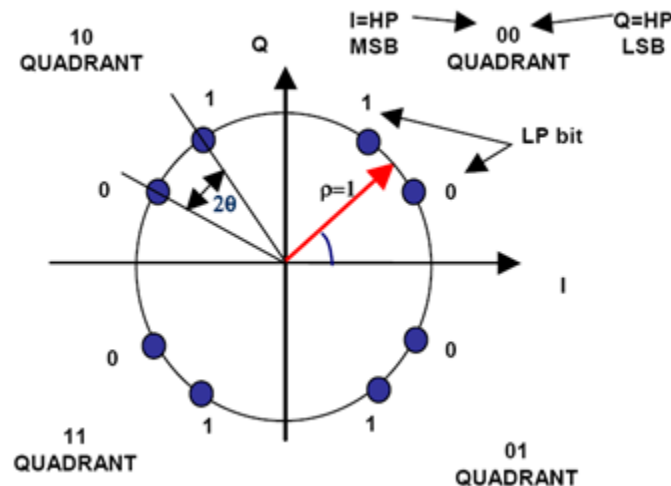


$$\alpha = \frac{2 \text{ pts HP min distance}}{2 \text{ pts min distance}}$$

$\alpha = 2$



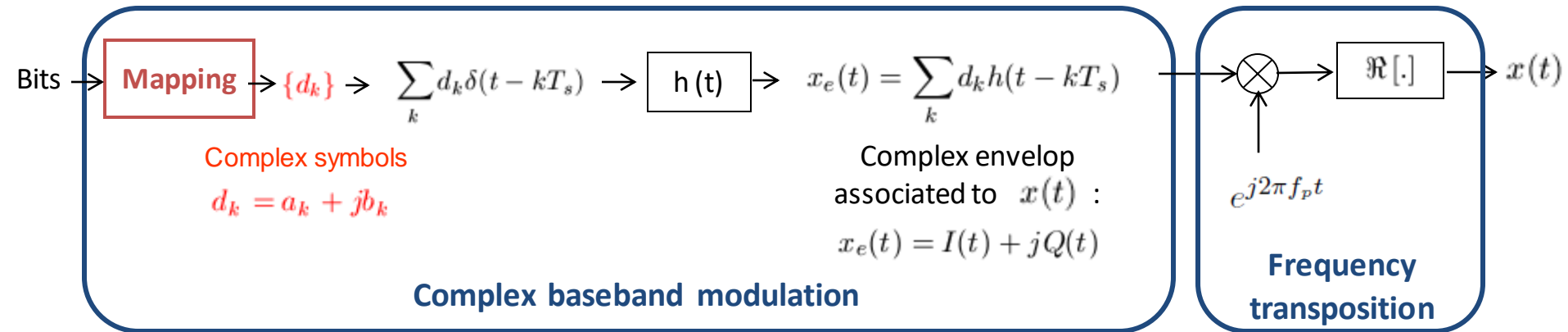
Example 2 : hierarchical 8-PSK (DVB-S2)





# Linear Carrier Modulation

## Transmitter



$\rightarrow$  M-ASK:

$$d_k = a_k \in \{\pm 1, \dots, \pm(M-1)\}$$

$$x_e(t) = I(t)$$

$$x(t) = I(t) \cos(2\pi f_p t)$$

$\rightarrow$  M-QAM:

$$d_k = a_k + jb_k \text{ avec } a_k \text{ et } b_k \in \{\pm 1, \dots, \pm(\sqrt{M}-1)\}$$

$\rightarrow$  M-PSK:

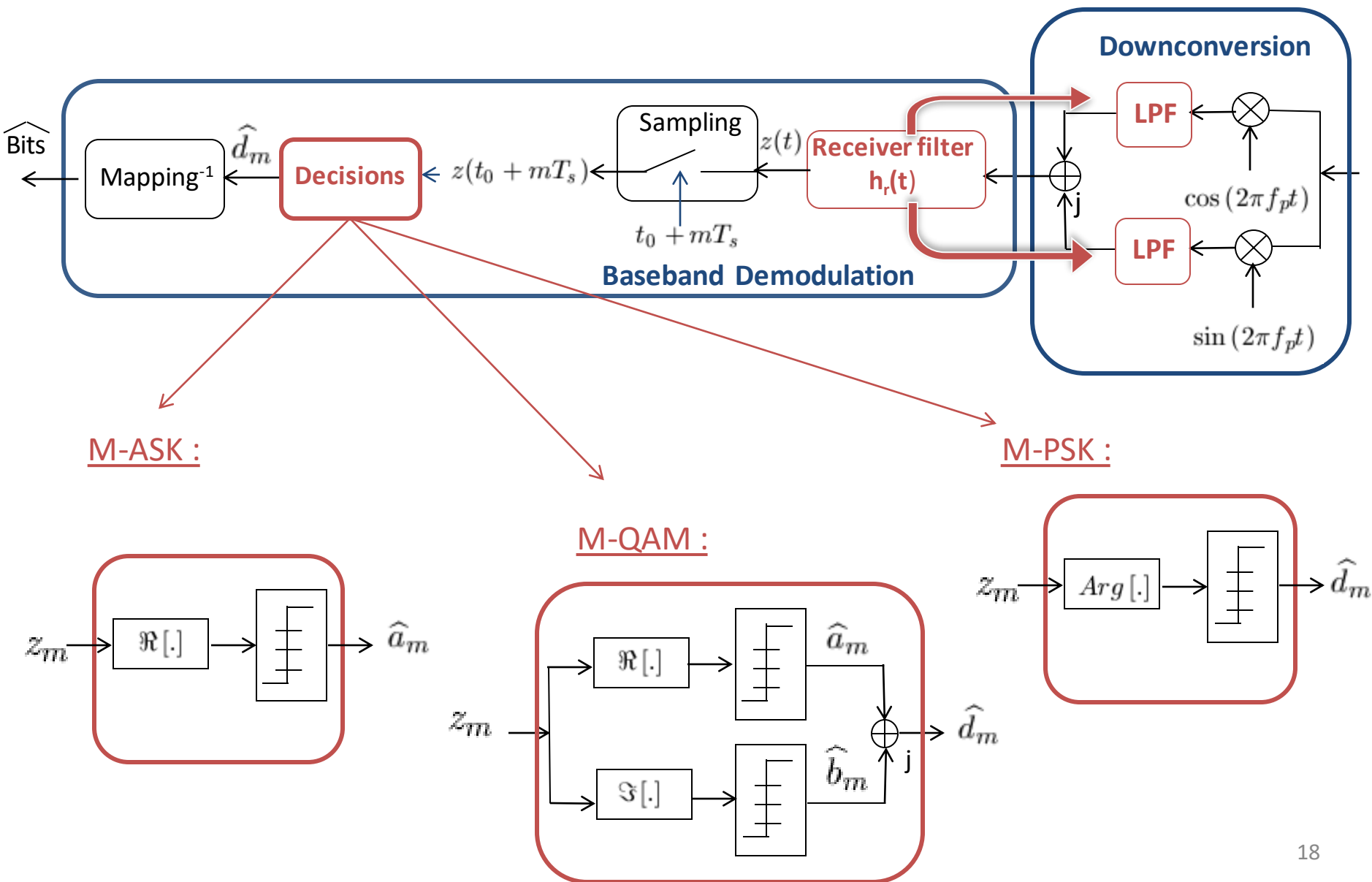
$$d_k \in \{e^{j(\frac{2\pi}{M}l + \frac{\pi}{M})}\}, l = 0, \dots, M-1$$

$$x_e(t) = I(t) + jQ(t)$$

$$x(t) = I(t) \cos(2\pi f_p t) - Q(t) \sin(2\pi f_p t)$$

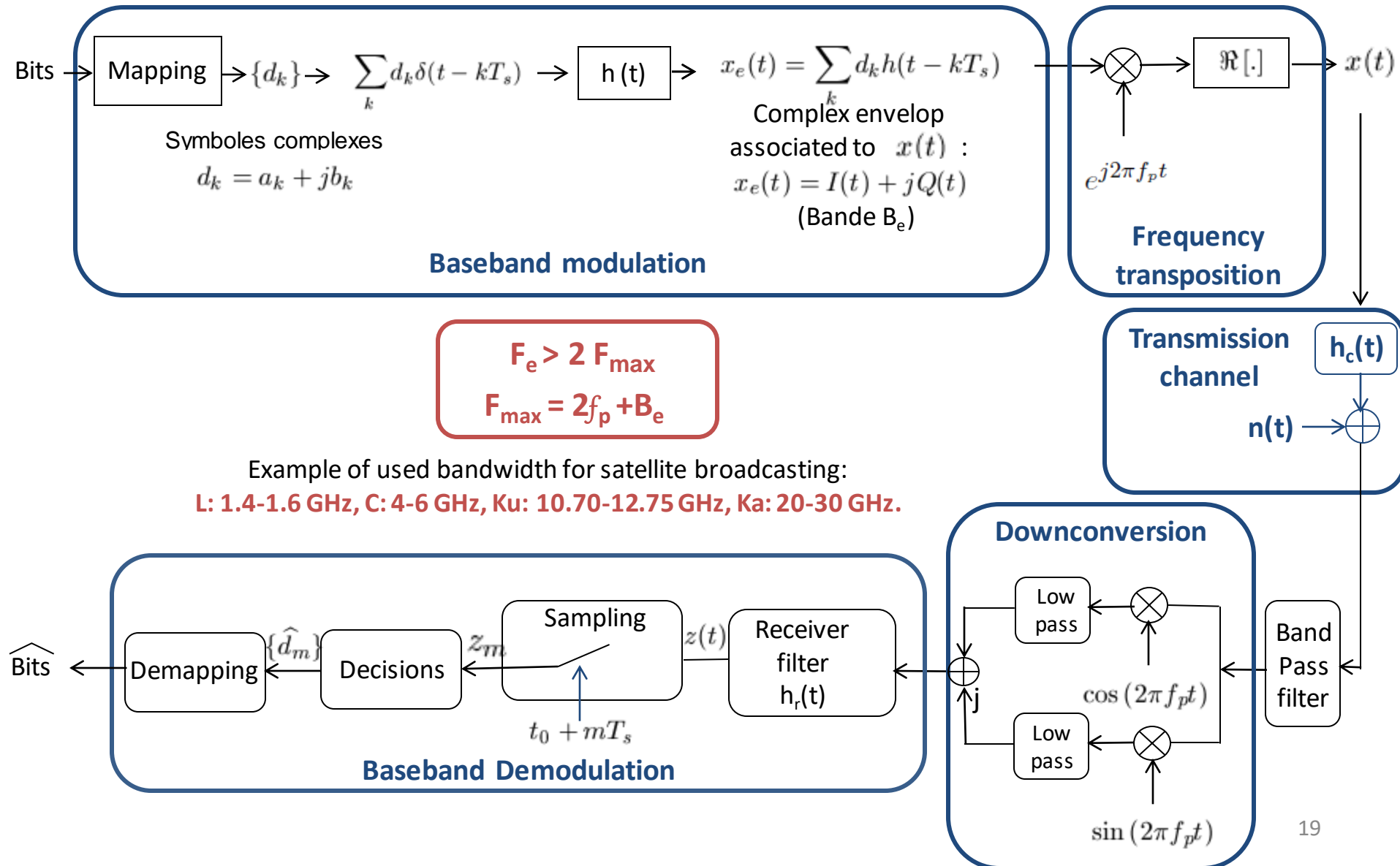
# Linear Carrier Modulation

## Receiver



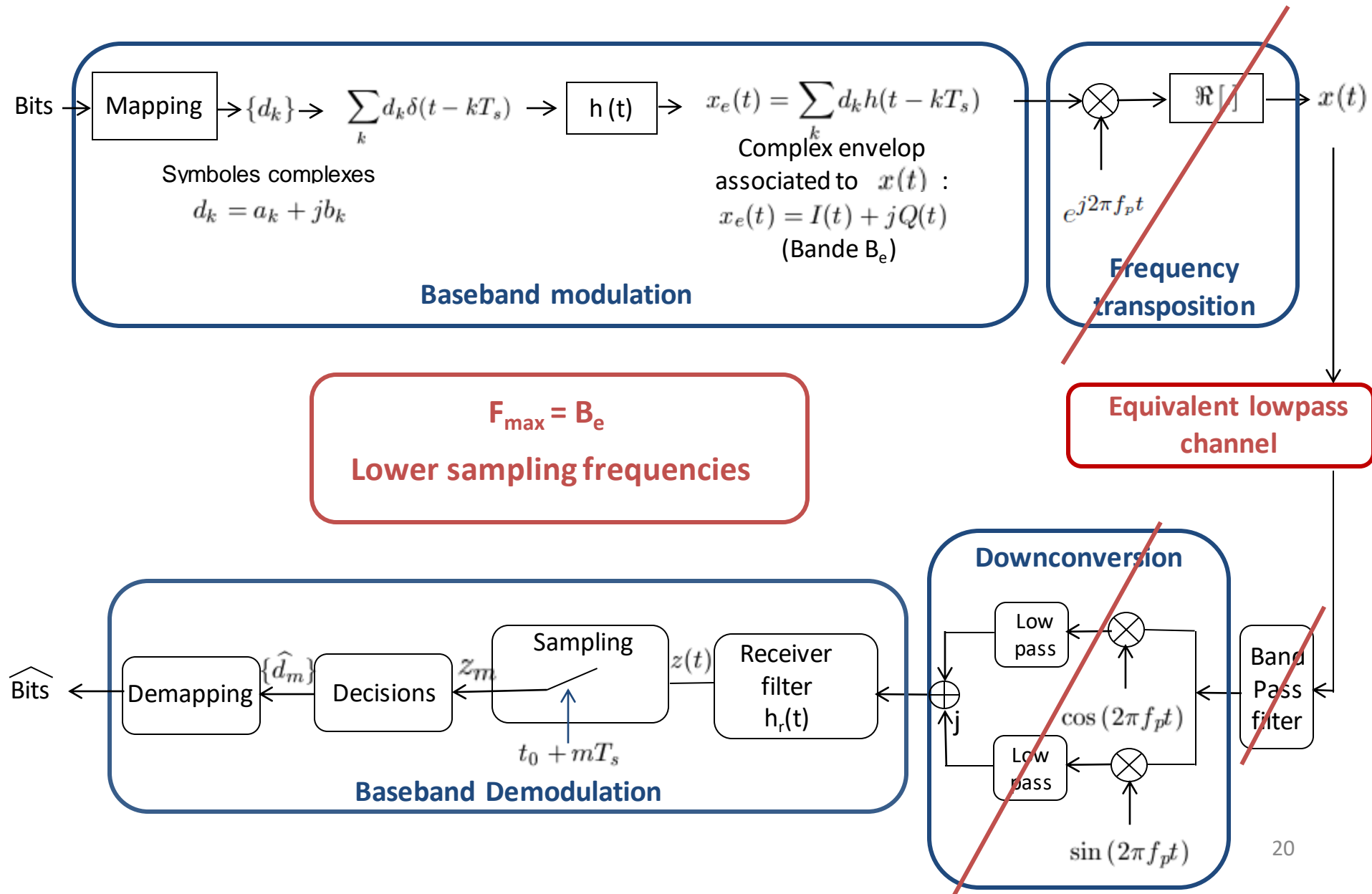
# Linear Carrier Modulation

Equivalent lowpass channel to reduce the processing time for digital implementations



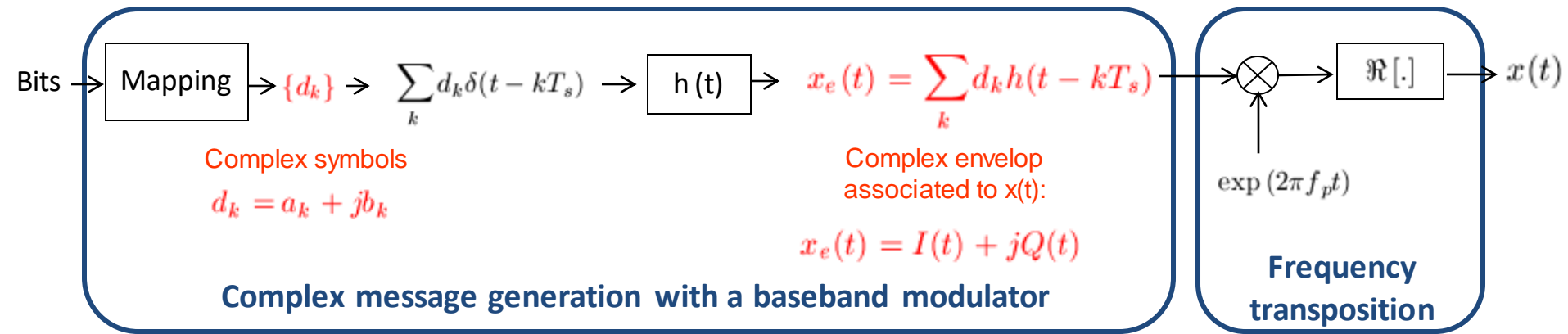
# Linear Carrier Modulation

Equivalent lowpass channel to reduce the processing time for digital implementations

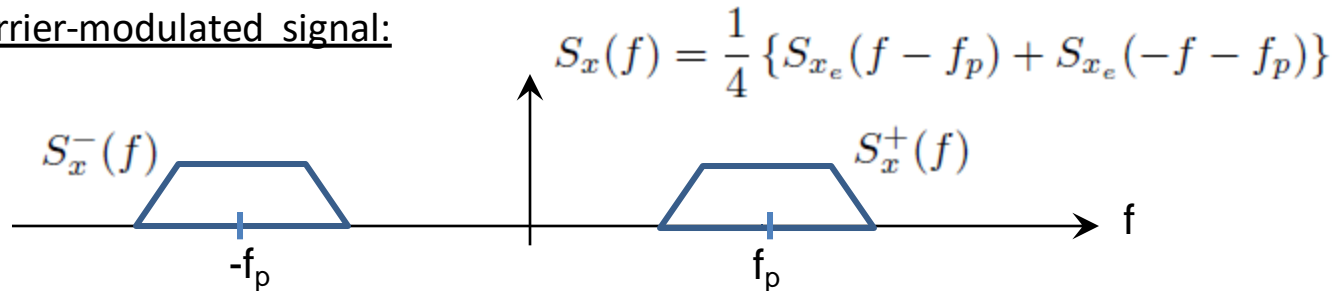


# Linear Carrier Modulation

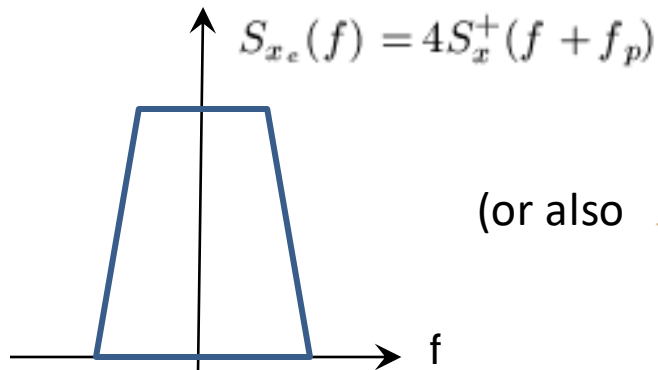
## Complex envelop



$\rightarrow$  PSD of the carrier-modulated signal:



$\rightarrow$  PSD of the corresponding complex envelop:



(or also  $X_e(f) = 2X_e^+(f + f_p)$  for deterministic signals)

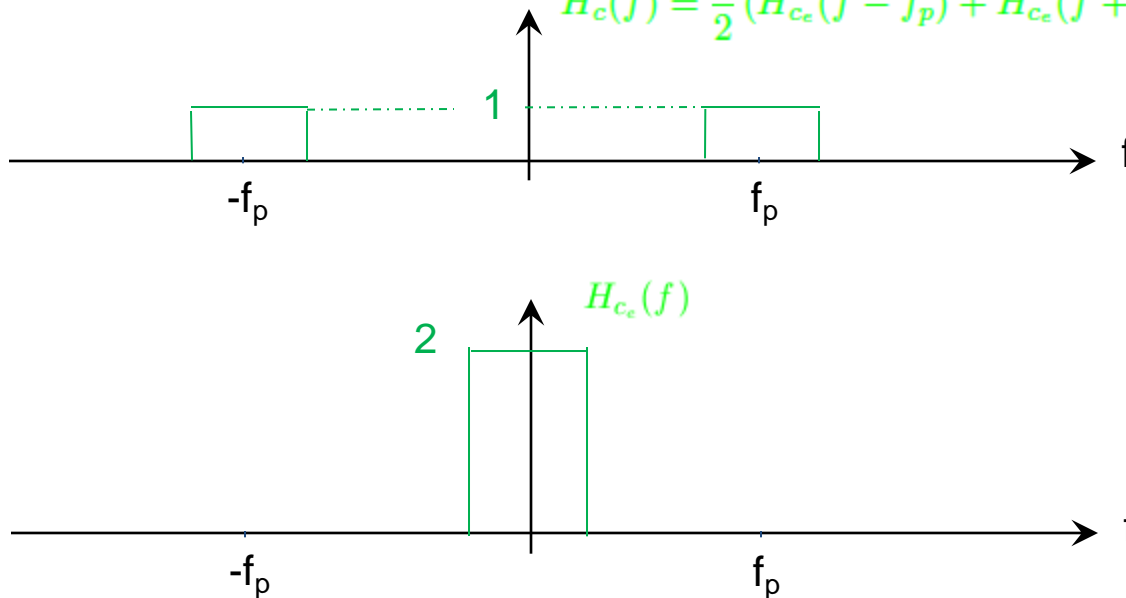
# Linear Carrier Modulation

## Equivalent lowpass channel: construction

→ Complex envelop associated to the bandpass channel:

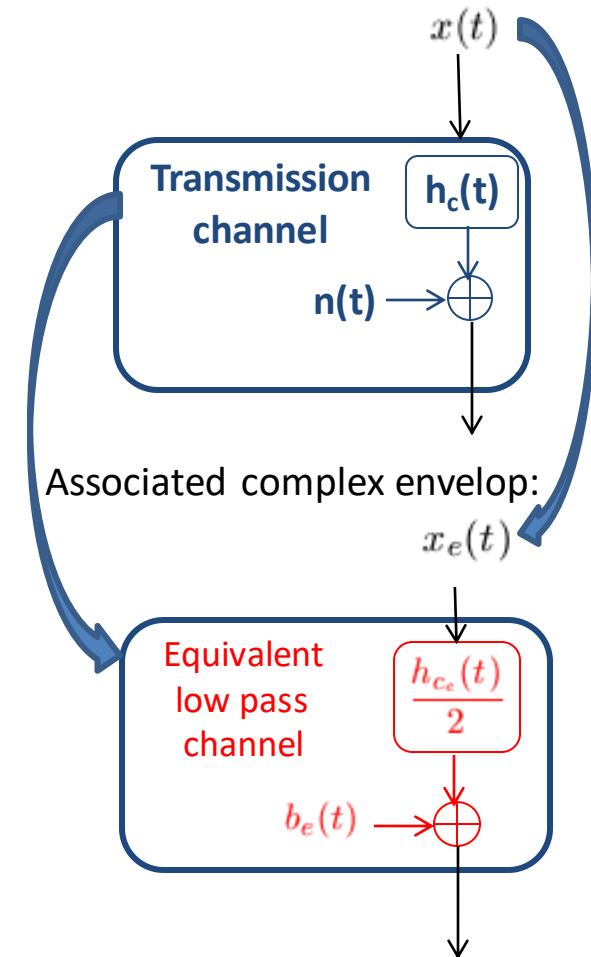
$$h_{c_e}(t) = I_{c_e}(t) + jQ_{c_e}(t)$$

$$H_c(f) = \frac{1}{2} (H_{c_e}(f - f_p) + H_{c_e}(f + f_p))$$



(remark: the channel is assumed to be ideal in the figure)

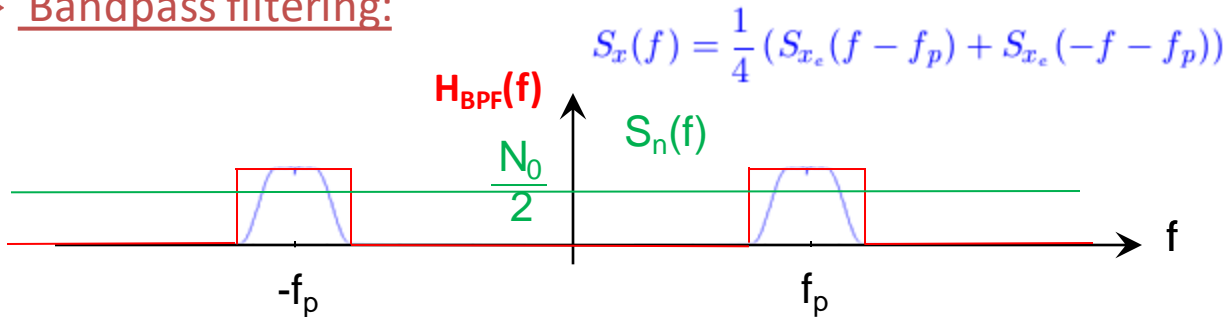
Carrier modulated signal:



# Linear Carrier Modulation

## Equivalent lowpass channel: construction

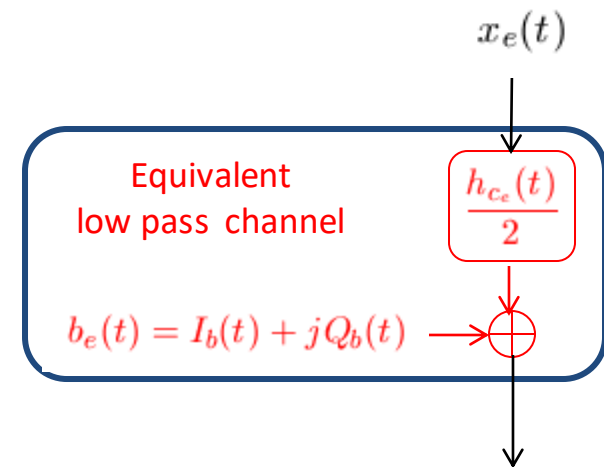
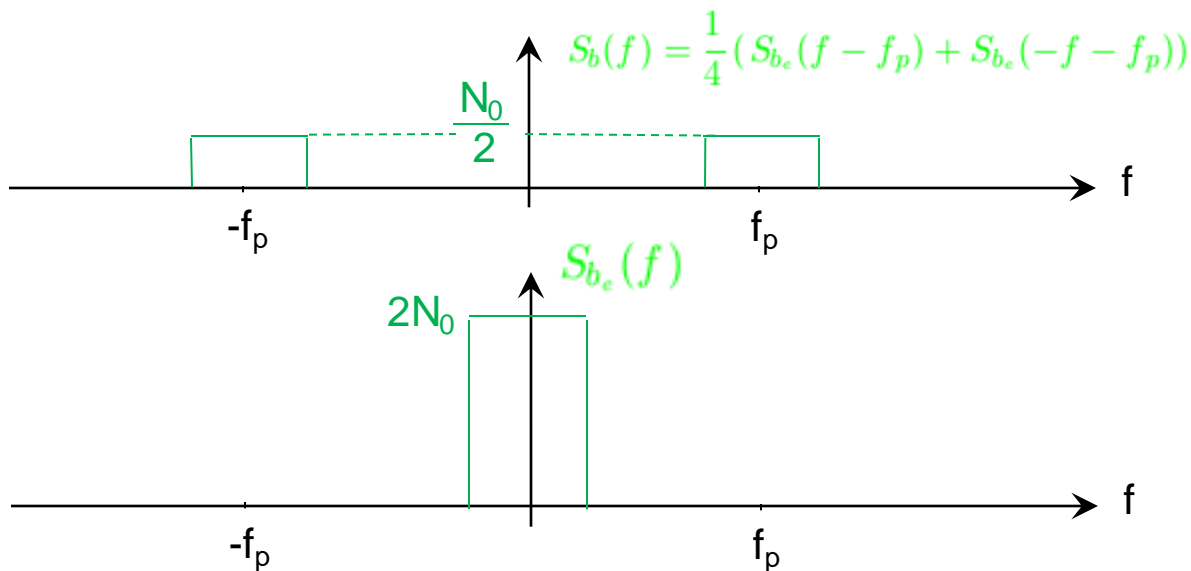
→ Bandpass filtering:



→ Complex envelop associated to the filtered noise:

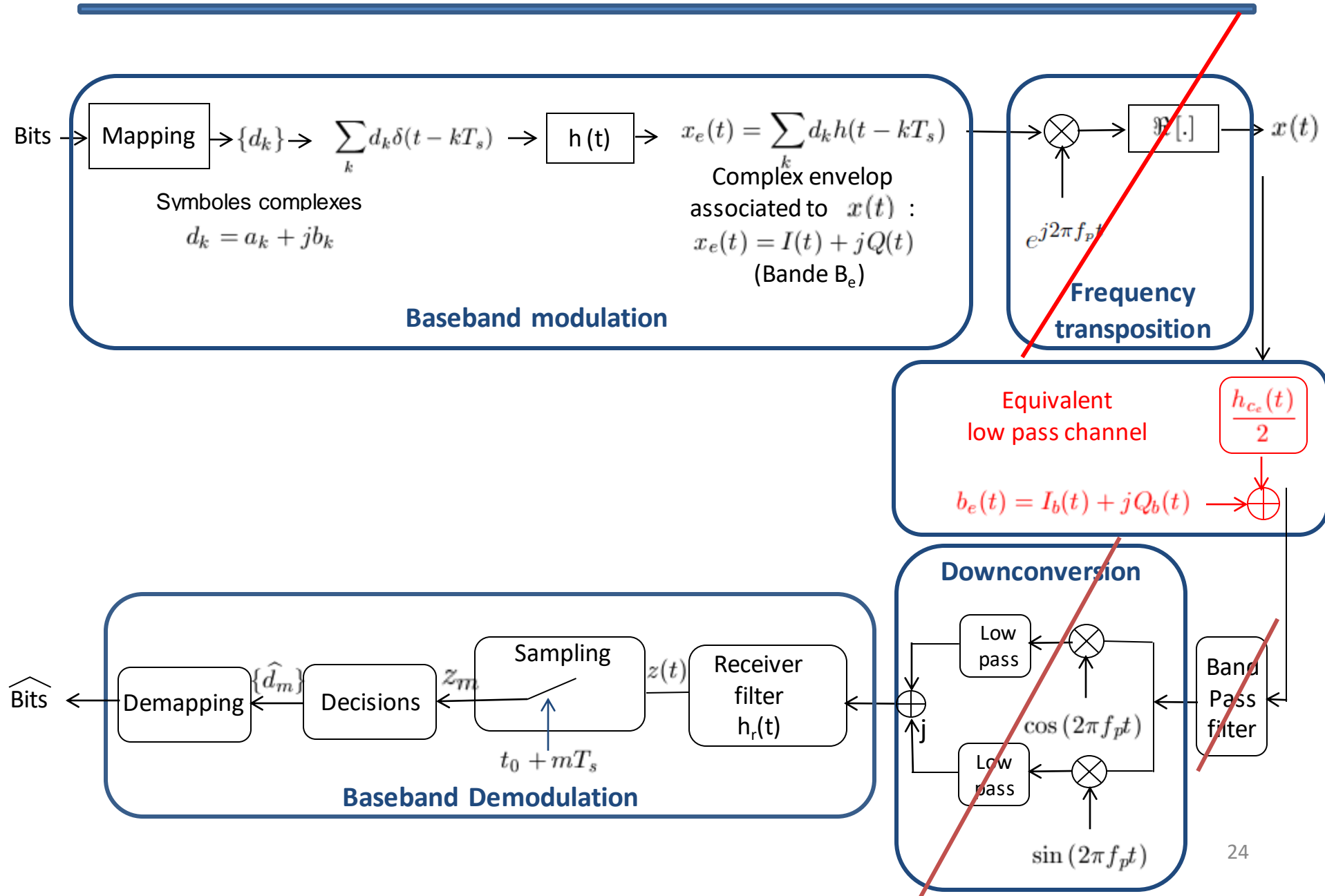
$$b_e(t) = I_b(t) + jQ_b(t)$$

$$S_{I_b}(f) = S_{Q_b}(f) = S_{b_e}^+(f - f_p) + S_{b_e}^-(f + f_p) = N_0$$



# Linear Carrier Modulation

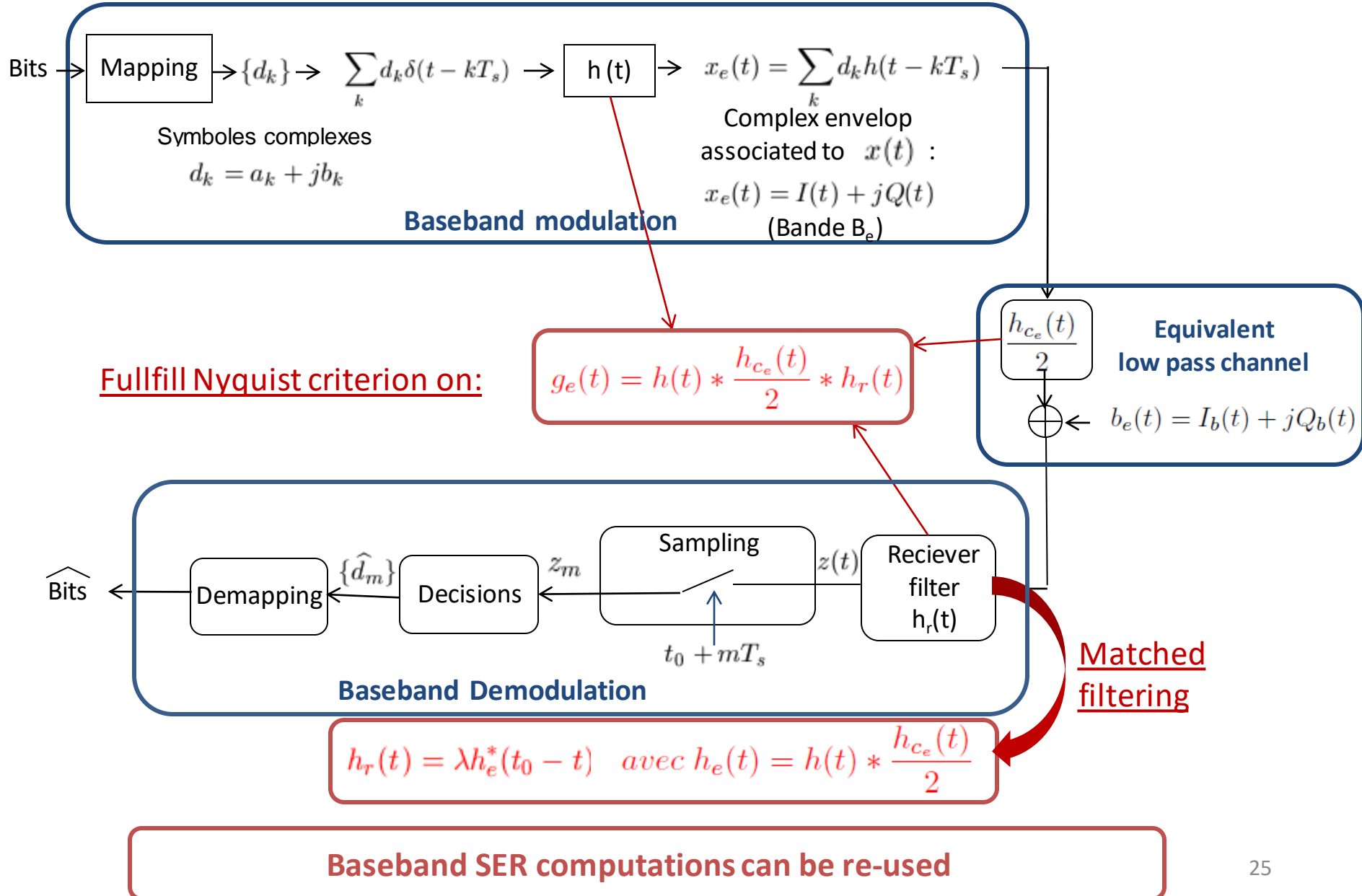
## Equivalent lowpass channel: construction





# Linear Carrier Modulation

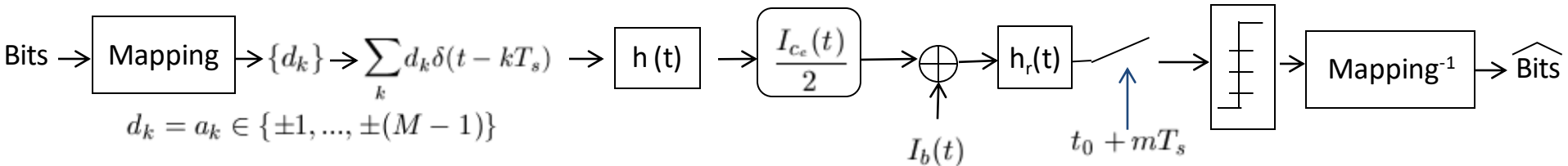
## Equivalent lowpass channel



# Linear Carrier Modulation

## Performance (Hypothesis : Nyquist + Matched filtering)

### → M-ASK



$$SER = SER_I = 2 \left(1 - \frac{1}{M}\right) Q \left( \sqrt{\frac{6 \log_2(M) E_b}{M^2 - 1 N_0}} \right)$$

### → Squared M-QAM

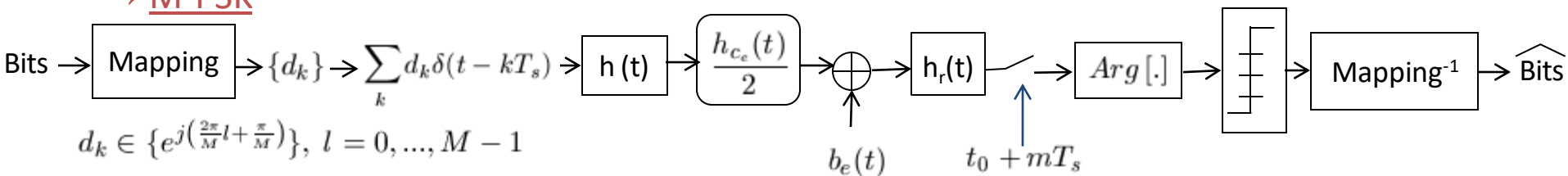
$$d_k = a_k + jb_k \text{ with } a_k \text{ and } b_k \in \{\pm 1, \dots, \pm(\sqrt{M} - 1)\}$$

⇔ two independent  $\sqrt{M}$  -PAM transmissions

**But !!  $E_s$  = physical parameter = average symbol energy at the receiver input (M symbols  $d_k$ ) !!**

$$SER \simeq 2SER_I = 4 \left(1 - \frac{1}{\sqrt{M}}\right) Q \left( \sqrt{\frac{3 E_s}{M - 1 N_0}} \right) = 4 \left(1 - \frac{1}{\sqrt{M}}\right) Q \left( \sqrt{\frac{3 \log_2(M) E_b}{M - 1 N_0}} \right)$$

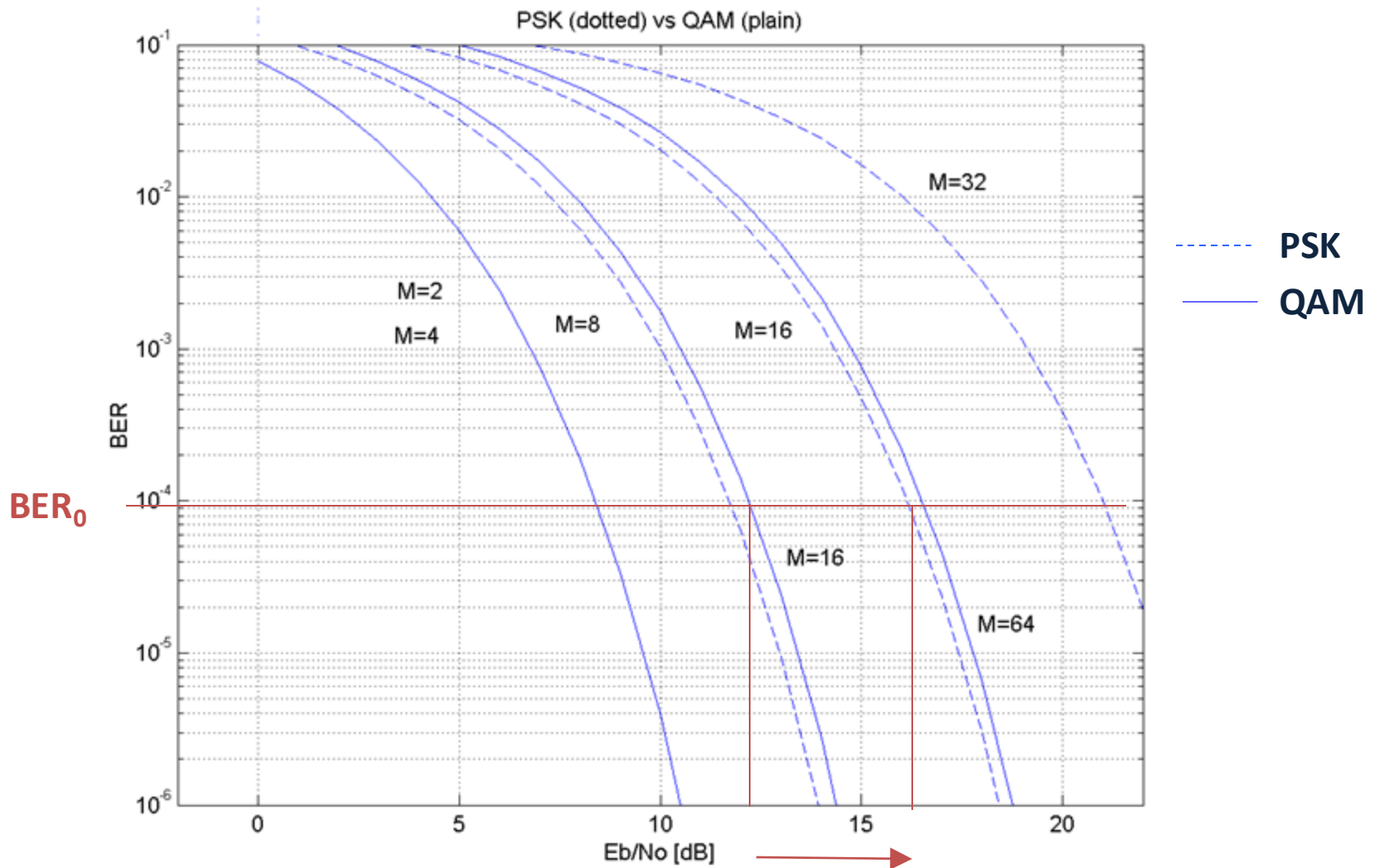
### → M-PSK



$$SER = 2Q \left( \sqrt{\frac{2E_s}{N_0}} \sin \left( \frac{\pi}{M} \right) \right)$$

# Linear Carrier Modulation

## BER comparison for M-QAM and M-PSK



➤ Power efficiency for PSK  
Same spectral efficiency

## QUESTION

Assuming, for each case, that the shaping filter is the same and that the transmission channel is optimized (Gray Mapping, Nyquist, Matched filtering, optimal sampling and thresholds), a modulation 16-QAM will be:

More power efficient than a 16-PSK modulation:

- ☒ A TRUE
- ☐ B FALSE

More spectrally efficient than a 16-PSK modulation:

- ☒ A TRUE
- ☐ B FALSE

More power efficient than a QPSK modulation:

- ☒ A TRUE
- ☐ B FALSE

More spectrally efficient than a QPSK modulation:

- ☒ A TRUE
- ☐ B FALSE

## QUESTION

Assuming, for each case, that transmission channel is optimized (Gray Mapping, Nyquist, Matched filtering, optimal sampling and thresholds), using a 16-QAM modulation with a rectangular shaping filter is:

More power efficient than using a 16-QAM modulation with a square root raised cosine filter:

- ☐ A TRUE
- ☐ B FALSE

More spectrally efficient than using a 16-QAM modulation with a square root raised cosine filter :

- ☐ A TRUE
- ☐ B FALSE

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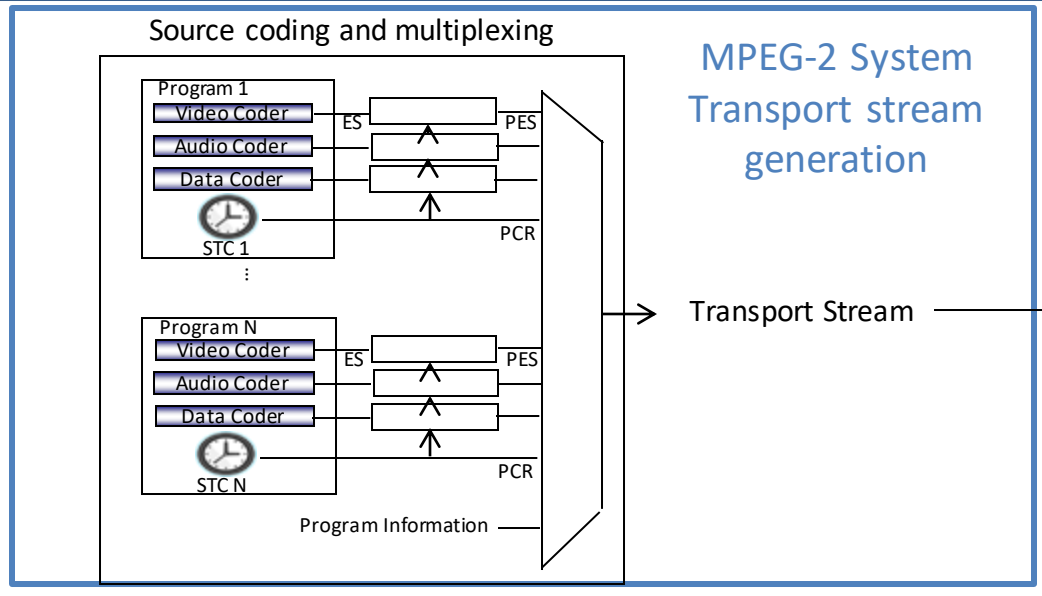
## Example of physical layer on an AWGN channel:

**Satellite Digital Video Broadcasting : DVB-S (1994)**

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# Satellite Digital Video Broadcasting : DVB-S

## Physical layer



Digital TV transmission must be « Quasi Error Free » (QEF)  $TEB < 10^{-10}$

## Physical layer

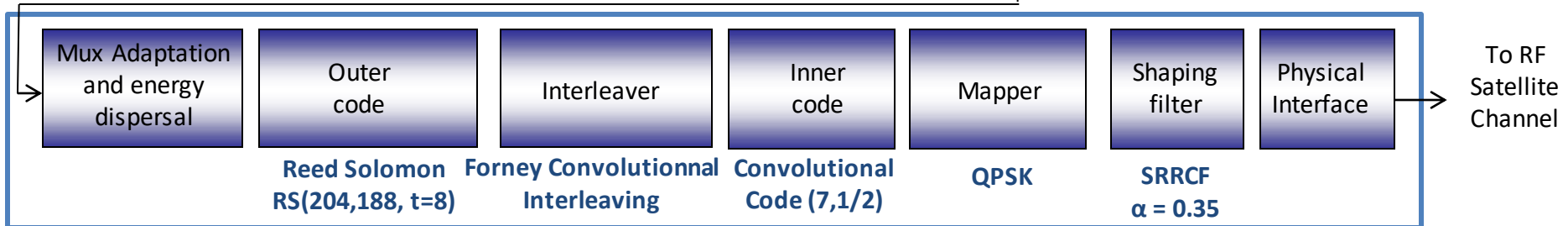


Table D.1: Example of System performance over 33 MHz transponder

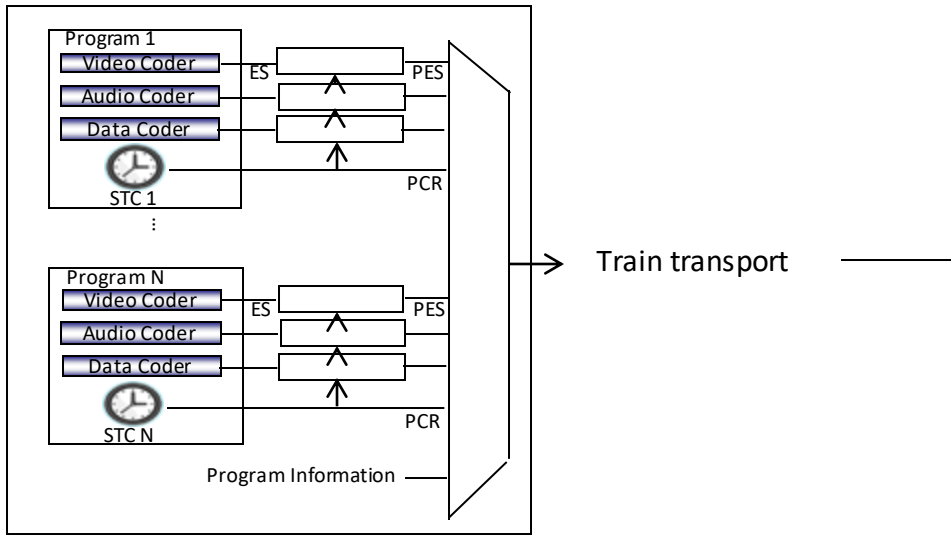
Bit Rate $R_u$ (after MUX) [Mbit/s]	Bit Rate $R'_u$ (after RS) [Mbit/s]	Symbol Rate [Mbaud]	Convolut. Inner Code Rate	RS Outer Code Rate	C/N (33 MHz) [dB]
23,754	25,776	25,776	1/2	188/204	4,1
31,672	34,368	25,776	2/3	188/204	5,8
35,631	38,664	25,776	3/4	188/204	6,8
39,590	42,960	25,776	5/6	188/204	7,8
41,570	45,108	25,776	7/8	188/204	8,4

Bit  
rates

# Satellite Digital Video Broadcasting : DVB-S

## Physical layer

### Codage source et multiplexage



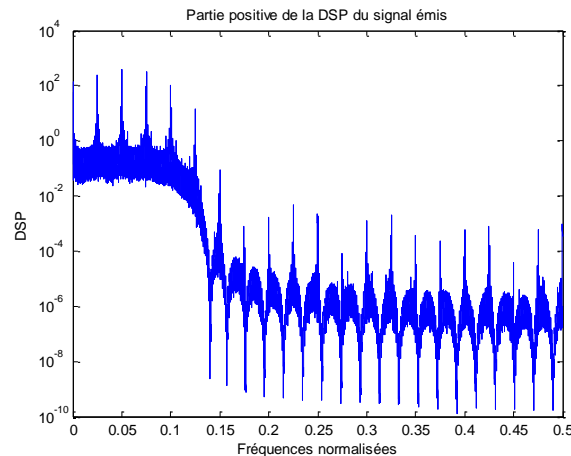
### Example on an image



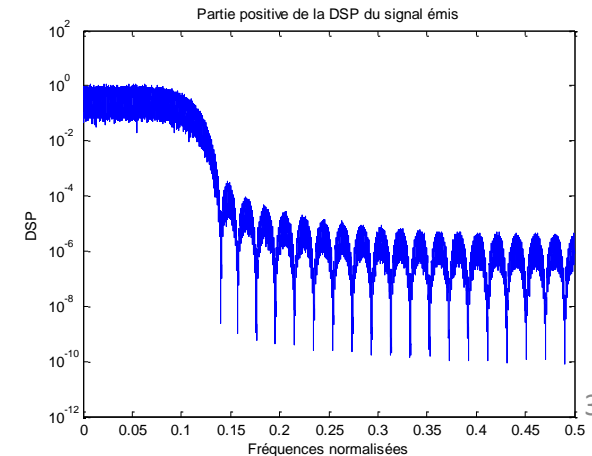
Mux Adaptation  
and energy  
dispersal

**Scrambling**

PSD of the  
unscramble signal :



PSD of the  
scramble signal :

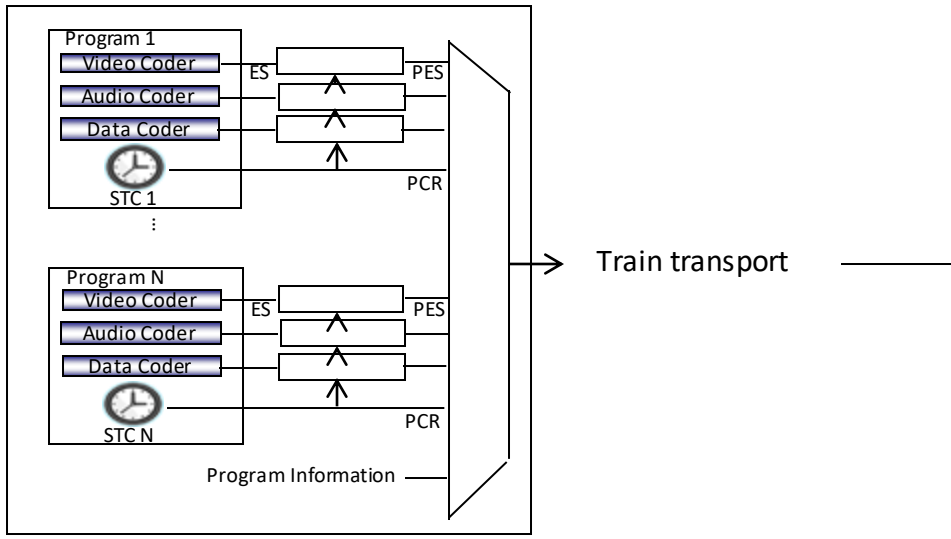




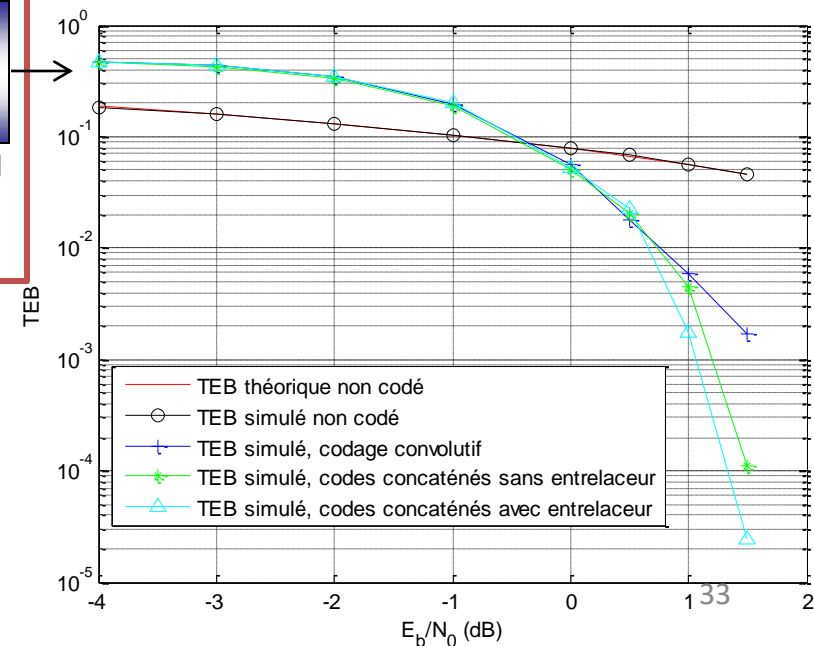
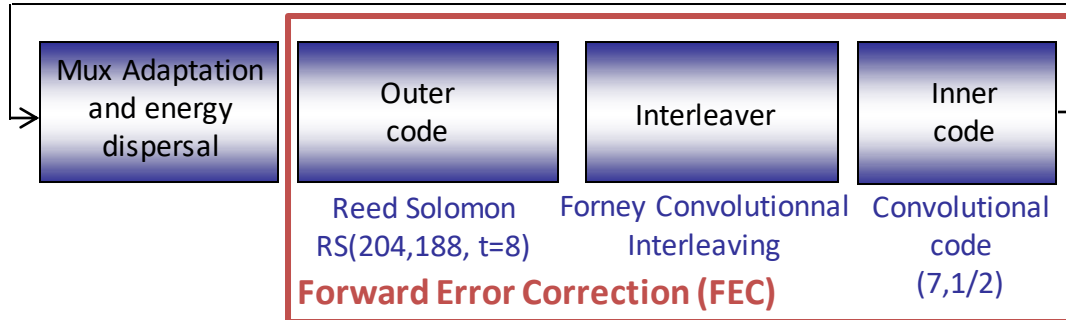
# Satellite Digital Video Broadcasting : DVB-S

## Physical layer

### Codage source et multiplexage



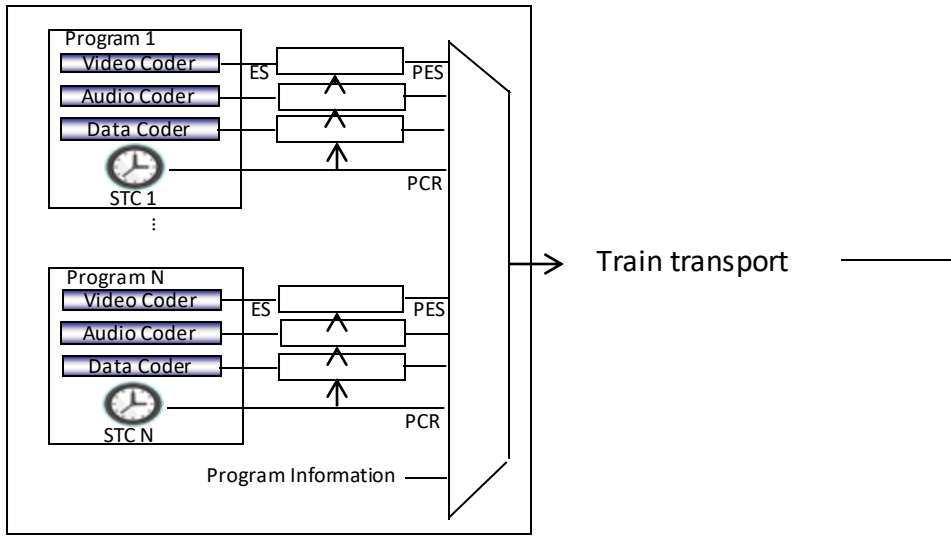
**A digital TV transmission must be « Quasi Error Free » (QEF) :**  
 $TEB < 10^{-10}$



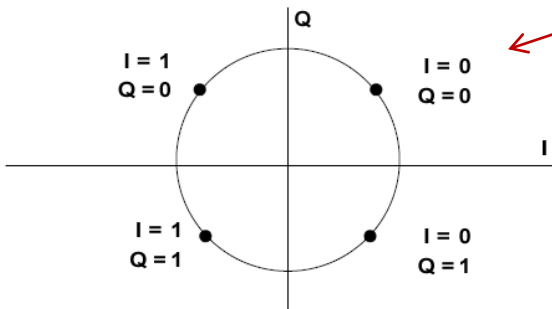
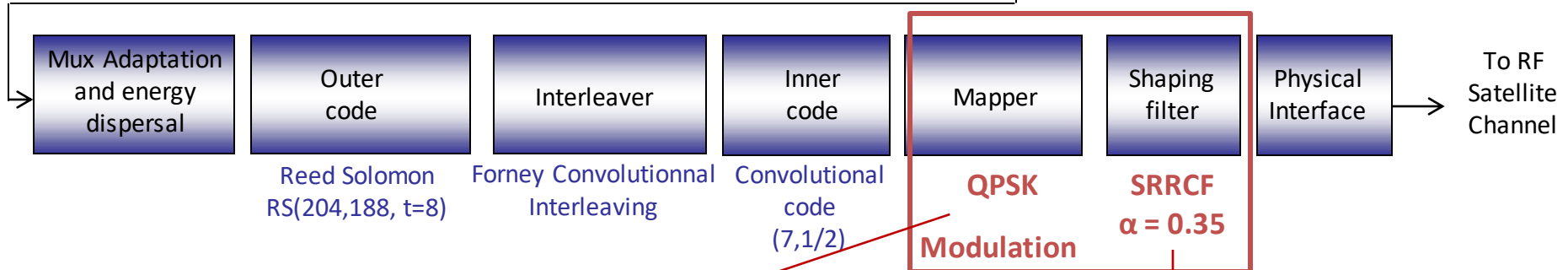
# Satellite Digital Video Broadcasting : DVB-S

## Physical layer

### Codage source et multiplexage



**AWGN channel  
with non linearities**



$$H(f) = \begin{cases} 1 & \text{pour } |f| < f_N(1-\alpha) \\ \frac{1}{2} + \frac{1}{2} \sin\left(\frac{\pi}{2f_N} \left[ \frac{f_N - |f|}{\alpha} \right]\right)^{1/2} & \text{pour } f_N(1-\alpha) \leq |f| \leq f_N(1+\alpha) \\ 0 & \text{pour } |f| > f_N(1+\alpha) \end{cases}$$

# References

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- Digital Video Broadcasting (DVB): Framing structure, channel coding and modulation for 11/12 GHz satellite services, norme ETSI EN 300 421.
- Digital Video Broadcasting (DVB): User guidelines for the second generation system for broadcasting, interactive services, news gathering and other broadband satellite applications (DVB-S2), norme ETSI EN 102 376.