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# A STORY ABOUT SCHEMES

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# Introduction

The purpose of these notes is to give a short exposition of the necessary theory required to state the definition of a scheme. We shall begin with a discussion of some "classical" ideas in algebraic geometry. Beginning with affine space and culminating with the Nullstellensatz<sup>1</sup>. Following this we shall discuss some basic point set topology and define the Zariski topology on affine space. Next we will define sheaves and give some examples in order to help the reader ingest this "tricky" subject. Then we shall discuss localisation of commutative rings.

<sup>1</sup> [https://en.wikipedia.org/wiki/Hilbert%27s\\_Nullstellensatz](https://en.wikipedia.org/wiki/Hilbert%27s_Nullstellensatz)

The following chapters will draw on all of the preceding ideas in order to define affine schemes, schemes and locally ringed spaces. We shall prove that affine schemes are dual to commutative rings. We shall also prove that  $\text{Spec} \mathbb{Z}$  is terminal in the category of schemes, showing the form of a standard argument.

Knowledge of category theory shall be assumed.

## Notation

$\langle S \rangle$	The ideal generated by elements of the subset $S$ .
$\trianglelefteq$	Indicates an ideal of a ring.
$1_X$	The identity morphism of an object $X$ in its appropriate category.
$\mathcal{C}(X, Y)$	The collection of morphisms from an object $X$ to an object $Y$ in the category $\mathcal{C}$ .
<b>Set</b>	The category of sets and functions.
<b>Top</b>	The category of Topological spaces and continuous maps.
$S^\delta$	The set $S$ regarded as a topological space with the discrete topology.
<b>CRing</b>	The category of commutative unital rings and unit preserving ring homomorphisms.
<b>Ab</b>	The category of abelian groups and group homomorphisms.
$*$	The one point set.

# Classical Algebraic Geometry

Let  $k$  be an algebraically closed field<sup>2</sup>. We shall consider the ring  $k[x_1, \dots, x_n]$  which is the polynomial ring in  $n$  commuting variables  $x_1, \dots, x_n$ . Each  $f \in k[x_1, \dots, x_n]$  induces a function  $k^n \rightarrow k$  by substituting an  $n$ -tuple  $(\alpha_1, \dots, \alpha_n)$  into the variables in  $f$ . This space  $k^n$  we call  $\mathbb{A}_k^n$  or  **$n$ -dimensional affine space over  $k$** . For a polynomial  $f \in k[x_1, \dots, x_n]$  we define its **vanishing set**  $\mathbb{V}(f)$ . That is points in  $\mathbb{A}_k^n$  (which we shall now only call  $\mathbb{A}^n$ ) at which  $f$  evaluates to 0. Formally:

$$\mathbb{V}(f) := \{\alpha \in \mathbb{A}^n \mid f(\alpha) = 0\}.$$

Of course any polynomial divisible by  $f$  will also vanish on  $\mathbb{V}(f)$  and thus  $\mathbb{V}(f) = \mathbb{V}(\langle f \rangle)$ . We shall see soon that it is "more natural" to think of the vanishing set of an ideal in  $k[x_1, \dots, x_n]$  than just a single polynomial.

We define an **algebraic subset** of  $\mathbb{A}^n$  as a subset  $\Lambda \subseteq \mathbb{A}^n$  such that  $\Lambda = \mathbb{V}(\mathfrak{a})$  for some ideal  $\mathfrak{a} \trianglelefteq k[x_1, \dots, x_n]$ . Here we note some properties of  $V$  that shall be important later:

- (a) For ideals  $\mathfrak{a}, \mathfrak{b} \trianglelefteq k[x_1, \dots, x_n]$  we have:  $\mathbb{V}(\mathfrak{a}) \cup \mathbb{V}(\mathfrak{b}) = \mathbb{V}(\mathfrak{a}\mathfrak{b})$ .

That is: "the finite unions of algebraic subsets is an algebraic subset".

- (b) For any set of ideals  $\{\mathfrak{a}_i\}$  of  $k[x_1, \dots, x_n]$  we have

$$\bigcap \mathbb{V}(\mathfrak{a}_i) = \mathbb{V}(\sum \mathfrak{a}_i).$$

That is: "the arbitrary intersection of algebraic subsets is an algebraic subset".

- (c)  $\mathbb{V}(0) = \mathbb{A}^n$  and  $\mathbb{V}(k[x_1, \dots, x_n]) = \emptyset$ , that is: "all of affine space as well as the empty set is an algebraic subset".<sup>3</sup>

A few things about commutative rings must be said before we continue. An ideal  $\mathfrak{a} \trianglelefteq R$  is **radical**<sup>4</sup> if and only if

$$f^n \in \mathfrak{a} \implies f \in \mathfrak{a} \quad \forall f \in R, \text{ for } n \in \mathbb{N}.$$

For some ideal  $\mathfrak{a} \trianglelefteq R$  we define **the radical ideal completion of  $\mathfrak{a}$** :

$$\sqrt{\mathfrak{a}} := \bigcap_{\text{radical ideals } I \supseteq \mathfrak{a}} I.$$

Next we say a ring is **reduced** it has no nilpotent elements<sup>5</sup>.

<sup>2</sup> The reader is welcome to consider  $\mathbb{C}$ .

<sup>3</sup> Do (a), (b), and (c) remind you of anything?

<sup>4</sup> I must apologise to non-commutative ring theorists but I am afraid there are only so many words.

<sup>5</sup> So if the ring is artinian this is equivalent to saying it has trivial **Jacobson radical**.

We take a moment to discuss another property of  $\mathbb{V}$ . For ideals  $\mathfrak{a}, \mathfrak{b} \trianglelefteq k[x_1, \dots, x_n]$  it holds that  $\mathbb{V}(\mathfrak{a}) \subseteq \mathbb{V}(\mathfrak{b}) \iff \sqrt{\mathfrak{a}} \supseteq \sqrt{\mathfrak{b}}$ . Intuitively this should make sense since if we want more polynomials to vanish clearly this will be satisfied by fewer points<sup>6</sup>.

For an algebraic subset  $\Lambda \subseteq \mathbb{A}^n$  we define the **vanishing ideal of  $\Lambda$**  as:

$$\mathbb{I}(\Lambda) := \{f \in k[x_1, \dots, x_n] \mid f(\lambda) = 0 \forall \lambda \in \Lambda\}.$$

For an algebraic subset  $\Lambda \subseteq \mathbb{A}^n$  one may wonder what  $\mathbb{V}(\mathbb{I}(\Lambda))$  is. We note  $\Lambda \subseteq \mathbb{V}(\mathbb{I}(\Lambda))$  but it is not obvious what this is. One may also wonder  $\mathbb{I}(\mathbb{V}(\mathfrak{a}))$  is for  $\mathfrak{a} \trianglelefteq k[x_1, \dots, x_n]$ . We observe that  $\sqrt{\mathfrak{a}} \subseteq \mathbb{I}(\mathbb{V}(\mathfrak{a}))$  since  $k[x_1, \dots, x_n]$  is reduced. Hilbert's **Nullstellensatz** says that in an algebraically closed field that  $\sqrt{\mathfrak{a}} = \mathbb{I}(\mathbb{V}(\mathfrak{a}))$ <sup>7</sup>. This yields a 1-1 correspondence between radical ideals of  $k[x_1, \dots, x_n]$  and algebraic subsets of  $\mathbb{A}^n$ .

An algebraic subset  $\Lambda \subseteq \mathbb{A}^n$  is **irreducible** if it is not the union of two distinct algebraic subsets - that is to say it is the vanishing set of a prime ideal. The Nullstellensatz further yields a 1-1 correspondence<sup>8</sup> between prime ideals of  $k[x_1, \dots, x_n]$  and irreducible algebraic subsets of  $\mathbb{A}^n$ .

Let  $X \subseteq \mathbb{A}^n$  be an algebraic subset - we may wish to understand all polynomial functions  $X \rightarrow k$ . An immediate issue is that unequal polynomials  $p, q \in k[x_1, \dots, x_n]$  may induce the same function  $X \rightarrow k$ . For example suppose  $f \in k[x_1, \dots, x_n]$  and  $0 \neq g \in \mathbb{I}(X)$ , then  $f : X \rightarrow k$  and  $(f + g) : X \rightarrow k$  are the same function (as they agree on all inputs). To avoid this we define the **coordinate ring**<sup>9</sup> of  $X$ :

$$k[X] := k[x_1, \dots, x_n] / \mathbb{I}(X).$$

This ring can be thought of as "equivalence classes of polynomial maps  $X \rightarrow k$ ". Thus we can assign to each algebraic subset  $X$  a ring<sup>10</sup>  $k[X]$ . The Nullstellensatz yields a 1-1 correspondence between radical ideals of  $k[x_1, \dots, x_n]$  and finitely generated reduced  $k$ -algebras. It also yields a 1-1 correspondence between prime ideals of  $k[x_1, \dots, x_n]$  and finitely generated  $k$ -algebras which are integral domains.

We now take a moment to look back at the above story. We considered a polynomial ring in finitely many variables over an **algebraically closed** field. The fact that such rings are **noetherian** and poses **no zero-divisors** made the theory particularly pleasant however we have relatively few examples. In what is to come we shall generalise this story to arbitrary commutative rings.

<sup>6</sup> This relation may lead one to conclude that  $\mathbb{V}$  is a contravariant functor from the category of ideals of  $k[x_1, \dots, x_n]$  and the category of algebraic subsets of  $\mathbb{A}^n$ . This is true.

<sup>7</sup> This can be interpreted as saying that  $\mathbb{I}$  and  $\mathbb{V}$  give an adjunction between the category of ideals of  $k[x_1, \dots, x_n]$  and the category of algebraic subsets of  $\mathbb{A}^n$ .

<sup>8</sup> Both of these so called "1-1 correspondences" are in fact equivalences of categories.

<sup>9</sup> These have the following nice property. Two algebraic subsets  $X, Y$  are isomorphic (whatever that may mean) if and only if  $k[X] \cong k[Y]$ .

<sup>10</sup> In fact a  $k$ -algebra.

## Some Point Set Topology

We define a **topological space** as a set equipped with a **topology**. A topology on a set  $X$  is a subset  $\text{Top}(X) \subseteq \mathbb{P}(X)$  such that:

- (a) For a finite subset  $\{\mathcal{U}_i\} \subseteq \text{Top}(X)$  the intersection

$$\bigcap \mathcal{U}_i \in \text{Top}(X).$$

That is to say "the intersection of finitely many open sets is open".

- (b) For an arbitrary subset<sup>11</sup>  $\{\mathcal{U}_i\}_{i \in I} \subseteq \text{Top}(X)$  the union

$$\bigcup \mathcal{U}_i \in \text{Top}(X).$$

That is to say "the arbitrary union of open sets is open".

- (c) Both  $X$  and  $\emptyset$  are in  $\text{Top}(X)$ .

For a topological space we call an element  $\mathcal{U} \in \text{Top}(X)$  an **open** set. A subset  $\mathcal{C} \subseteq X$  is **closed** if its the complement  $X \setminus \mathcal{C}$  is open. Hence we can dualise the axioms for open sets to obtain axioms for closed sets. A subset  $\text{Pot}(X) \subset \mathbb{P}(X)$  is the set of closed sets of a topological space if and only if:

- (a') For a finite subset  $\{\mathcal{C}_i\} \subseteq \text{Pot}(X)$  the union

$$\bigcup \mathcal{C}_i \in \text{Pot}(X).$$

That is to say "the union of finitely many closed sets is closed".

- (b') For an arbitrary subset  $\{\mathcal{C}_i\}_{i \in I} \subseteq \text{Pot}(X)$  the intersection

$$\bigcap \mathcal{C}_i \in \text{Pot}(X).$$

That is to say "the arbitrary intersection of closed sets is closed".<sup>12</sup>

- (c') Both  $\emptyset$  and  $X$  are in  $\text{Pot}(X)$ .

Suppose  $X$  is a set and  $\text{Pot}(X) \subseteq \mathbb{P}(X)$  satisfies (a'), (b') and (c') then  $\text{Pot}(X)$  uniquely determines a topology

$$\text{Top}(X) = \{X \setminus \mathcal{C} \mid \mathcal{C} \in \text{Pot}(X)\}$$

on  $X$ .

We return for a moment to affine space. Recall that the algebraic subsets of  $\mathbb{A}^n$  satisfy (a'), (b') and (c'). The topology that

<sup>11</sup> Where  $I$  is some index set.

<sup>12</sup> We obtained (a') and (b') by applying De Morgan's laws.

arises from treating the algebraic subsets as closed sets is called the **Zariski Topology**.

Let  $X$  be a topological space, then for a subset  $S \subseteq X$  we define its **closure** to be:

$$\bar{S} := \bigcap_{\text{closed sets } \mathcal{C} \supseteq S} \mathcal{C}.$$

Topology is in some sense an axiomatisation of "closeness". We say a point  $x \in X$  is "close" to a subset  $S \subseteq X$  if and only if  $x \in \bar{S}$ . What are the natural morphisms that would preserve this structure?

Continuous maps!

Let  $X, Y$  be topological spaces. The following are equivalent for a set map  $f : X \rightarrow Y$ :

- i The map  $f$  is **continuous**.
- ii For any subset  $T \subseteq Y$  one has  $\overline{f^{-1}(T)} \subseteq f^{-1}(\bar{T})$ .<sup>13</sup>
- iii For any closed subset  $\mathcal{C} \subseteq Y$ , the preimage  $f^{-1}(\mathcal{C})$  is closed.
- iv For any open set  $\mathcal{U} \subseteq Y$ , the preimage  $f^{-1}(\mathcal{U})$  is open.<sup>14</sup>

<sup>13</sup> Where the closure of  $T$  is taken in  $Y$  and the closure of  $f^{-1}(T)$  is taken in  $X$ .

<sup>14</sup> This characterisation is most often used.

We observe that (ii) implies that continuous maps take "close points" to "close points". That is suppose  $S \subseteq X$  and  $x \in \bar{S}$  then  $f(x) \in \overline{f(S)}$ .

For each topological space  $X$  we can endow  $\text{Top}(X)$  with the structure of a category as follows. For  $\mathcal{U}, \mathcal{V} \in \text{Top}(X)$  we define:

$$\text{Hom}(\mathcal{U}, \mathcal{V}) := \begin{cases} \{i_{\mathcal{U}}^{\mathcal{V}}\}, & \text{if } \mathcal{U} \subseteq \mathcal{V}, \\ \emptyset, & \text{otherwise.} \end{cases}$$

That is to say if  $\mathcal{U} \subseteq \mathcal{V}$  then  $\text{Hom}(\mathcal{U}, \mathcal{V})$  is the inclusion map  $\mathcal{U} \hookrightarrow \mathcal{V}$  and  $\text{Hom}(\mathcal{U}, \mathcal{V})$  is empty otherwise. Since  $\forall \mathcal{U} \in \text{Top}(X)$  it holds that  $\mathcal{U} \subseteq \mathcal{U}$  there are identity arrows. Composition inherits its associativity from the normal composition of continuous functions<sup>15</sup>.

<sup>15</sup> Which in turn inherits *its* associativity from the normal composition of set maps.

# Some Sheaf Theory

As is customary in this subject we begin with presheaves. Let  $X$  be a topological space, then a **presheaf taking values in a category  $\mathcal{C}$** <sup>16</sup> is a contravariant functor from  $\text{Top}(X)$  to  $\mathcal{C}$ . Suppose  $\mathcal{F}$  is a presheaf on  $X$ , then its signature is

$$\mathcal{F} : \text{Top}(X)^{\text{op}} \rightarrow \mathcal{C}.$$

We shall unpack this definition, the functoriality of  $\mathcal{F}$  implies the following. Suppose  $\mathcal{U} \subseteq \mathcal{V} \subseteq \mathcal{W}$  are open sets in  $X$ , then there exist "restriction maps"  $\rho_{\mathcal{U}}^{\mathcal{V}} : \mathcal{F}(\mathcal{V}) \rightarrow \mathcal{F}(\mathcal{U})$ ,  $\rho_{\mathcal{V}}^{\mathcal{W}} : \mathcal{F}(\mathcal{W}) \rightarrow \mathcal{F}(\mathcal{V})$ , and  $\rho_{\mathcal{U}}^{\mathcal{W}} : \mathcal{F}(\mathcal{W}) \rightarrow \mathcal{F}(\mathcal{U})$  such that  $\rho_{\mathcal{U}}^{\mathcal{V}} \circ \rho_{\mathcal{V}}^{\mathcal{W}} = \rho_{\mathcal{U}}^{\mathcal{W}}$ . Furthermore for any  $\mathcal{U} \in \text{Top}(X)$  we have  $\rho_{\mathcal{U}}^{\mathcal{U}} = 1_{\mathcal{U}}$ .

**Example 1.** Let  $X = \mathbb{R}$ , then we shall define a presheaf of sets as follows.

We write:  $\mathcal{F} : \text{Top}(X)^{\text{op}} \rightarrow \mathbf{Set}$

$$\mathcal{U} \mapsto \{\text{bounded functions } \mathcal{U} \rightarrow \mathbb{R}\},$$

and the restriction maps are the usual restriction of functions<sup>17</sup>. We notice for each open set  $\mathcal{U}$  and each  $\sigma \in \mathcal{F}(\mathcal{U})$  that  $\rho_{\mathcal{U}}^{\mathcal{U}}(\sigma) = \sigma$ . Suppose  $\mathcal{U} \subseteq \mathcal{V} \subseteq \mathcal{W}$  are open sets in  $X$ . From the definition of  $\mathcal{F}$  we note that:

$$\begin{aligned} \rho_{\mathcal{U}}^{\mathcal{W}} : \mathcal{F}(\mathcal{W}) &\rightarrow \mathcal{F}(\mathcal{U}) \\ \sigma &\mapsto \sigma|_{\mathcal{U}}. \end{aligned}$$

Then for any  $\sigma \in \mathcal{F}(\mathcal{W})$  we have that  $\sigma|_{\mathcal{V}}|_{\mathcal{U}} = \sigma|_{\mathcal{U}}$  and hence  $\rho_{\mathcal{U}}^{\mathcal{V}} \circ \rho_{\mathcal{V}}^{\mathcal{W}} = \rho_{\mathcal{U}}^{\mathcal{W}}$ .

Soon we shall see that sheaves allow us to aggregate "local information" in order to obtain "global information". For this we need the notion of an open cover. Let  $X$  be a topological space, then a family of open sets  $\{\mathcal{U}_i\}$  is an **open cover** of a subset  $\mathcal{U}$  if and only if:

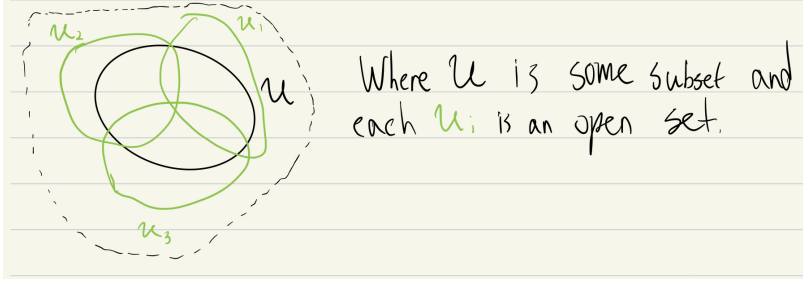
$$\mathcal{U} \subseteq \bigcup \mathcal{U}_i.$$

For the purpose of working with sheaves we will want it to be the case that  $\mathcal{U} = \bigcup \mathcal{U}_i$  but this is not a problem. From any open cover  $\{\mathcal{U}_i\}$  we can extract an "exact" open cover by defining  $\mathcal{U}'_i := \mathcal{U}_i \cap \mathcal{U}$  and using the set  $\{\mathcal{U}'_i\}$ . The picture is:

<sup>16</sup> There are some requirements of the category  $\mathcal{C}$  - for example it must have a terminal object. In these notes we shall only consider sheaves of sets, abelian groups, modules or rings.

<sup>17</sup> Hence this example motivates the term "restriction map".





We are now ready to define a sheaf! A contravariant functor  $\mathcal{F} : \text{Top}(X)^{\text{op}} \rightarrow \mathcal{C}$  is a **sheaf** if and only if:

- (a) Suppose  $\{\mathcal{U}_i\}_{i \in I}$  is an open cover of some open set  $\mathcal{U} \subseteq X$ . Further suppose we have sections<sup>18</sup>  $\sigma_i \in \mathcal{F}(\mathcal{U}_i)$  such that:

$$\sigma_i|_{\mathcal{U}_i \cap \mathcal{U}_j} = \sigma_j|_{\mathcal{U}_i \cap \mathcal{U}_j} \quad \forall i, j \in I,$$

then  $\exists \sigma \in \mathcal{F}(\mathcal{U}) : \sigma|_{\mathcal{U}_i} = \sigma_i \quad \forall i \in I$ .

- (b) Suppose  $\{\mathcal{U}_i\}_{i \in I}$  is an open cover of some open set  $\mathcal{U} \subseteq X$ ;  $\sigma, \tau \in \mathcal{F}(\mathcal{U})$  such that  $\sigma|_{\mathcal{U}_i} = \tau|_{\mathcal{U}_i} \quad \forall i \in I$  then  $\sigma = \tau$ .

More concisely we can say  $\mathcal{F}$  satisfies the following condition.

- (a') Suppose  $\{\mathcal{U}_i\}_{i \in I}$  is an open cover of some open set  $\mathcal{U} \subseteq X$ . Further suppose we have sections  $\sigma_i \in \mathcal{F}(\mathcal{U}_i)$  such that:

$$\sigma_i|_{\mathcal{U}_i \cap \mathcal{U}_j} = \sigma_j|_{\mathcal{U}_i \cap \mathcal{U}_j} \quad \forall i, j \in I,$$

then  $\exists! \sigma \in \mathcal{F}(\mathcal{U}) : \sigma|_{\mathcal{U}_i} = \sigma_i \quad \forall i \in I$ .

The point here is that when we have a bunch of sections  $\{\sigma_i\}$  that agree on overlaps then we can "glue" them together to produce a unique section  $\sigma$  over  $\mathcal{U}$ . In this way we are able to assign algebraic information to topological spaces in a way that respects their structure. Now we shall discuss some examples.

**Example 2** (Sheaf of continuous functions). Let  $X = \mathbb{C}$ , then<sup>19</sup> we define:

$$\begin{aligned} \mathcal{F} : \text{Top}(X)^{\text{op}} &\rightarrow \mathbf{CRing} \\ \mathcal{U} &\mapsto \{\text{continuous functions } \mathcal{U} \rightarrow \mathbb{R}\}^{20} \end{aligned}$$

We shall prove this is a sheaf. Let  $\mathcal{U} \in \text{Top}(X)$  and  $\{\mathcal{U}_i\}_{i \in I}$  be an open cover of  $\mathcal{U}$ . Further suppose:

$$\exists \sigma_i \in \mathcal{F}(\mathcal{U}_i) : \sigma_i|_{\mathcal{U}_i \cap \mathcal{U}_j} = \sigma_j|_{\mathcal{U}_i \cap \mathcal{U}_j} \quad \forall i, j \in I.$$

We must prove  $\exists! \sigma \in \mathcal{F}(\mathcal{U}) : \sigma|_{\mathcal{U}_i} = \sigma_i \quad \forall i \in I$ .

Existence of gluing: We define:

$$\begin{aligned} \bar{\sigma} : \mathcal{U} &\rightarrow \mathbb{R} \\ u &\mapsto \sigma_i(u) \text{ for } u \in \mathcal{U}_i. \end{aligned}$$

<sup>18</sup> The elements of  $\mathcal{F}(\mathcal{U})$  for some open set  $\mathcal{U}$  are referred to as "sections of  $\mathcal{F}$  over  $\mathcal{U}$ ". This nomenclature shall be justified in an example below.

<sup>19</sup> We could use any topological space for this example.

<sup>20</sup> We could also write this as  $\mathcal{U} \mapsto \mathbf{Top}(\mathcal{U}, \mathbb{R})$ .

First we note this map is well defined precisely because the sections  $\{\sigma_i\}$  agree on intersections. Next we note that that  $\bar{\sigma}$  is continuous since each  $\sigma_i$  is continuous. Thus  $\bar{\sigma} \in \mathcal{F}(\mathcal{U})$  and satisfies

$$\bar{\sigma}|_{\mathcal{U}_i} = \sigma_i \forall i \in I.$$

Uniqueness of gluing: Suppose  $\exists \tau \in \mathcal{F}(\mathcal{U})$  such that  $\tau|_{\mathcal{U}_i} = \sigma_i \forall i \in I$ . Then for each  $u \in \mathcal{U}$  we observe:

$$\bar{\sigma}(u) = \sigma_i(u) = \tau(u)$$

for an appropriate  $i$  and thus we conclude  $\tau = \bar{\sigma}$ .

**Example 3** (Sheaf of continuous sections). Let  $X = \mathbb{R}$ ,  $Z = \{1, 2, 3\}$ , and

$$\begin{aligned} p : X \times Z^\delta &\rightarrow X \\ (x, z) &\mapsto x. \end{aligned}$$

For a subset  $S \subseteq X$  a "section of  $p$  over  $\mathcal{U}$ " is a continuous map  $\sigma : S \rightarrow X \times Z^\delta$  such that  $p \circ \sigma = 1_S$ . We define:

$$\begin{aligned} \mathcal{F} : \text{Top}(X)^{\text{op}} &\rightarrow \mathbf{Set} \\ \mathcal{U} &\mapsto \{\text{sections of } p \text{ over } \mathcal{U}\}, \end{aligned}$$

we shall prove this is a sheaf. It is a presheaf since the restriction of a function to its domain is itself and that the composition of iterated restrictions to smaller subsets of the domain is the same as just restricting to the smallest subset. Let  $\mathcal{U} \in \text{Top}(X)$  and  $\{\mathcal{U}_i\}_{i \in I}$  be an open cover of  $\mathcal{U}$ . Further suppose:

$$\exists \sigma_i \in \mathcal{F}(\mathcal{U}_i) : \sigma_i|_{\mathcal{U}_i \cap \mathcal{U}_j} = \sigma_j|_{\mathcal{U}_i \cap \mathcal{U}_j} \forall i, j \in I.$$

We must prove  $\exists! \sigma \in \mathcal{F}(\mathcal{U}) : \sigma|_{\mathcal{U}_i} = \sigma_i \forall i \in I$ .

Existence of gluing: We define:

$$\begin{aligned} \bar{\sigma} : \mathcal{U} &\rightarrow \mathbb{R} \\ u &\mapsto \sigma_i(u) \text{ for } u \in \mathcal{U}_i. \end{aligned}$$

First we note this map is well defined precisely because the sections  $\{\sigma_i\}$  agree on intersections. Next we note that that  $\bar{\sigma}$  is a section of  $p$  over  $\mathcal{U}$  since each  $\sigma_i$  is.

Uniqueness of gluing: Suppose  $\exists \tau \in \mathcal{F}(\mathcal{U})$  such that  $\tau|_{\mathcal{U}_i} = \sigma_i \forall i \in I$ . Then for each  $u \in \mathcal{U}$  we observe:

$$\bar{\sigma}(u) = \sigma_i(u) = \tau(u)$$

for an appropriate  $i$  and thus we conclude  $\tau = \bar{\sigma}$ .

Let  $\mathcal{U} := (-1, 1)$  then  $\mathcal{F}(\mathcal{U}) = \{\sigma_1, \sigma_2, \sigma_3\}$  where:

$$\begin{aligned} \sigma_i : \mathcal{U} &\rightarrow X \times Z^\delta \\ u &\mapsto (u, i) \text{ for } i \in Z. \end{aligned}$$

However if we consider  $(-1, 1) \setminus \{0\} =: \mathcal{V}$ . Then  $|\mathcal{F}(\mathcal{V})| = 9$  where all sections are of the form:

$$\sigma_{i,j} : \mathcal{V} \rightarrow X \times Z^\delta$$

$$v \mapsto \begin{cases} \sigma_i(v), & v \in (0, 1) \\ \sigma_j(v), & v \in (-1, 0) \end{cases} \text{ for } i, j \in \mathbb{Z}.$$

It is notable that we were able to "detect" that  $\mathcal{V}$  is not connected by simply counting the sections of  $\mathcal{F}$  over it.

There are many similar examples. For any continuous map  $f : X \rightarrow Y$  we can always define the sheaf<sup>21</sup> of continuous sections of  $f$  on  $\text{Top}(Y)$ .

<sup>21</sup> The sheaf of sections of a covering space  $p : \tilde{X} \rightarrow X$  is often interesting.

**Example 4** (Skyscraper sheaf). Let  $X$  be a topological space,  $S$  a set and  $x$  be a point in  $X$ . We define:

$$\mathcal{F} : \text{Top}(X)^{\text{op}} \rightarrow \mathbf{Set}$$

$$\mathcal{U} \mapsto \begin{cases} S, & x \in \mathcal{U} \\ *, & \text{otherwise.} \end{cases}$$

In order to ease the proof that this is a sheaf we shall give it an alternate description. Let  $s$  be a fixed element of  $S$ , then we write:

$$\mathcal{F} : \text{Top}(X)^{\text{op}} \rightarrow \mathbf{Set}$$

$$\mathcal{U} \mapsto \{\text{set maps } \mathcal{U} \rightarrow S \text{ sending everything in } \mathcal{U} \setminus \{x\} \text{ to } s\}.$$
<sup>22</sup>

A moment of reflection will cause one to conclude that  $|\mathcal{F}(\mathcal{U})| = |S|$  if  $x \in \mathcal{U}$  and  $|\mathcal{F}(\mathcal{U})| = 1$  otherwise. Thus our new definition is "isomorphic"<sup>23</sup> to the old one. Let  $\mathcal{U} \in \text{Top}(X)$  and  $\{\mathcal{U}_i\}_{i \in I}$  be an open cover of  $\mathcal{U}$ . Further suppose:

$$\exists \sigma_i \in \mathcal{F}(\mathcal{U}_i) : \sigma_i|_{\mathcal{U}_i \cap \mathcal{U}_j} = \sigma_j|_{\mathcal{U}_i \cap \mathcal{U}_j} \quad \forall i, j \in I.$$

We must prove  $\exists! \sigma \in \mathcal{F}(\mathcal{U}) : \sigma|_{\mathcal{U}_i} = \sigma_i \quad \forall i \in I$ .

Existence of gluing: We define:

$$\bar{\sigma} : \mathcal{U} \rightarrow S$$

$$u \mapsto \sigma_i(u) \text{ for } u \in \mathcal{U}_i.$$

First we note this map is well defined precisely because the sections  $\{\sigma_i\}$  agree on intersections. Next we note that  $\bar{\sigma} \in \mathcal{F}(\mathcal{U})$  since each  $\sigma_i$  sends everything in  $\mathcal{U}_i \setminus \{x\}$  to  $s$ .

Uniqueness of gluing: Suppose  $\exists \tau \in \mathcal{F}(\mathcal{U})$  such that  $\tau|_{\mathcal{U}_i} = \sigma_i \quad \forall i \in I$ . Then for each  $u \in \mathcal{U}$  we observe:

$$\bar{\sigma}(u) = \sigma_i(u) = \tau(u)$$

for an appropriate  $i$  and thus we conclude  $\tau = \bar{\sigma}$ .

<sup>22</sup> It is often easier to work with sheaves of functions than any alternative. Thus it could be worth exercising some creativity to define one's sheaves to be sheaves of functions.

<sup>23</sup> We shall give a precise definition of a morphism of sheaves soon.

We shall now explain why **Example 1** fails to be a sheaf. Let  $\{\mathcal{U}_i\}_{i \in I}$  be any open cover of  $\mathbb{R}$  by bounded subsets. For each  $i$  we consider the section

$$\begin{aligned}\sigma_i : \mathcal{U}_i &\rightarrow \mathbb{R} \\ u &\mapsto u.\end{aligned}$$

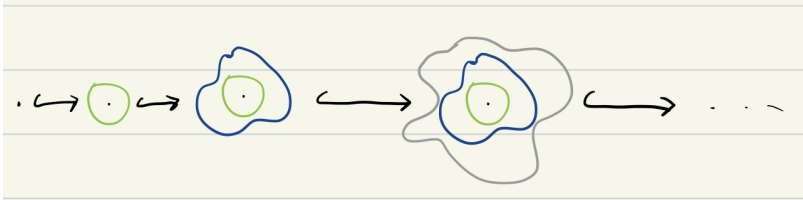
These sections agree on intersections and if this construction was a sheaf we would be entitled to glue them. However the identity map on  $\mathbb{R}$  is not a section over  $\mathbb{R}$  since it is not bounded, thus it fails the existence axiom.

Since sheaves and presheaves are functors their morphisms are nothing but natural transformations. Let  $X$  be a topological space and suppose  $\mathcal{F}, \mathcal{G} : \text{Top}(X)^{\text{op}} \rightarrow \mathcal{C}$  are (pre)sheaves. Then a **morphism of (pre)sheaves**  $\eta : \mathcal{F} \rightarrow \mathcal{G}$  is a family of morphisms  $\eta_{\mathcal{U}} : \mathcal{F}(\mathcal{U}) \rightarrow \mathcal{G}(\mathcal{U})$  in  $\mathcal{C}$  indexed by the open sets of  $X$  such that the following square commutes for any open sets  $\mathcal{U} \subseteq \mathcal{V}$ .

$$\begin{array}{ccc}\mathcal{F}(\mathcal{V}) & \xrightarrow{\eta_{\mathcal{V}}} & \mathcal{G}(\mathcal{V}) \\ \rho_{\mathcal{U}}^{\mathcal{V}} \downarrow & & \downarrow \varrho_{\mathcal{U}}^{\mathcal{V}} \\ \mathcal{F}(\mathcal{U}) & \xrightarrow{\eta_{\mathcal{U}}} & \mathcal{G}(\mathcal{U})\end{array}$$

Suppose further that  $\mathcal{F}, \mathcal{G}$  are sheaves of abelian groups. Then one may be interested<sup>24</sup> the kernel, cokernel and image of  $\eta$ . That is presheaves  $\mathcal{U} \mapsto \ker(\eta_{\mathcal{U}})$ ,  $\mathcal{U} \mapsto \text{coker}(\eta_{\mathcal{U}})$ , and  $\mathcal{U} \mapsto \text{im}(\eta_{\mathcal{U}})$ . While it is always the case that  $\ker(\eta)$  is always a sheaf, this need not be the case for  $\text{coker}(\eta)$  and  $\text{im}(\eta)$  - in general they are only presheaves. We shall now describe a method to canonically assign a sheaf (that is unique up to isomorphism) to any presheaf.

**Construction 1** (Stalk). Suppose that  $\mathcal{F}$  is a (pre)sheaf on a topological space  $X$  and  $x$  is a point in  $X$ . Further suppose we would like to evaluate  $\mathcal{F}$  at  $x$ . In general this is not possible since points need not be open. Another consideration that doesn't work would be to evaluate  $\mathcal{F}$  on  $\bigcap_{\text{open sets } \mathcal{U} \ni x} \mathcal{U}$  but this also need not be open. Luckily for us category theory provides an answer. We consider the diagram of all the open sets containing  $x$ . In Euclidean space (namely  $\mathbb{R}^2$ ) this looks like<sup>25</sup>:



We define the **stalk of  $\mathcal{F}$  at  $x$**  to be the colimit<sup>26</sup>:

$$\mathcal{F}_x := \varinjlim_{\mathcal{U} \in \text{Top}(X) \mid \mathcal{U} \ni x} \mathcal{F}(\mathcal{U}).$$

This can be characterised as:

$$\mathcal{F}_x = \{(\mathcal{U}, \sigma) \mid x \in \mathcal{U} \in \text{Top}(X), \sigma \in \mathcal{F}(\mathcal{U})\} / \sim$$

<sup>24</sup> For example when making use of techniques from homological algebra.

<sup>25</sup> This is merely a representative drawing, in reality this would look more like a big tree.

<sup>26</sup> This has a pleasant implication. Suppose  $\mathcal{F}$  is a sheaf on a topological space  $X$  and  $\mathcal{U}$  is open in  $X$ . Then for a point  $x \in \mathcal{U}$  the stalk of  $\mathcal{F}$  at  $x$  is the same as the stalk of  $\mathcal{F}|_{\mathcal{U}}$  at  $x$ . In this sense the stalk is a purely local construction.

where:

$$(\mathcal{U}, \sigma) \sim (\mathcal{V}, \tau) \iff \exists \text{ open } \mathcal{W} \subseteq \mathcal{U} \cap \mathcal{V} \text{ with } x \in \mathcal{W} : \sigma|_{\mathcal{W}} = \tau|_{\mathcal{W}}.$$

Let  $\mathcal{F}, \mathcal{G}$  be sheaves on a topological space  $X$  and suppose  $\eta : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of sheaves. Then  $\eta$  induces morphisms on the stalks in the following way:

$$\begin{aligned} \eta_x : \mathcal{F}_x &\rightarrow \mathcal{G}_x \\ [(\mathcal{U}, \sigma)] &\mapsto [(\mathcal{U}, \eta_{\mathcal{U}}(\sigma))]. \end{aligned}$$

**Example 5.** We shall solve a simple problem<sup>27</sup> involving stalks in order to expose some of their properties. We let  $X = \mathbb{R}$  and consider the sheaf of continuous functions  $\mathcal{F}$  on  $X$ . We define the evaluation map:

$$\begin{aligned} e : \mathcal{F}_x &\rightarrow \mathbb{R} \\ [(\mathcal{U}, \sigma)] &\mapsto \sigma(x). \end{aligned}$$

The exercise is to prove this is not a bijection. First we observe that  $e$  is a surjection since for any  $x \in \mathbb{R}$ , open set  $\mathcal{U}$  containing  $x$  and any  $r \in \mathbb{R}$  the map

$$\begin{aligned} \mathcal{U} &\rightarrow \mathbb{R} \\ u &\mapsto r \end{aligned}$$

is in  $\mathcal{F}_x$ . We shall explain how  $e$  fails to be an injection. Let  $x \in \mathbb{R}$  then as described above the constant map to 0 is in  $\mathcal{F}_x$ . Let  $\mathcal{U}$  be an open set containing  $x$ , we define the map

$$\begin{aligned} \sigma : \mathcal{U} &\rightarrow \mathbb{R} \\ u &\mapsto u - x \end{aligned}$$

and we claim  $[(\mathcal{U}, 0)] \neq [(\mathcal{U}, \sigma)]$  in  $\mathcal{F}_x$ . We observe that

$$\{r \in \mathbb{R} \mid 0(r) = \sigma(r)\} = \{x\}$$

which is not open. Thus we conclude  $e$  is not injective.

We shall now define sheafification. Let  $\mathcal{F}$  be a presheaf on a topological space  $X$ . We define the **étale space of  $\mathcal{F}$**  to be the set

$$\text{Ét}(\mathcal{F}) := \coprod_{x \in X} \mathcal{F}_x$$

endowed with the final topology such that

$$\begin{aligned} p : \text{Ét}(\mathcal{F}) &\rightarrow X \\ e &\mapsto x \text{ for } e \in \mathcal{F}_x \end{aligned}$$

is continuous. We define the **sheafification of  $\mathcal{F}$**  to be the sheaf of sections of  $p$ , which is denoted  $\mathcal{F}^+$ . For any sheaf  $\mathcal{G}$  we have that  $\mathcal{G}^+ \cong \mathcal{G}$ .

From this we observe that a sheaf is uniquely determined by its stalks, we record this in the following result.

<sup>27</sup> This is a variant of an exercise given to me Clark Barwick in a course he and Jeff Hicks taught about sheaf theory in Edinburgh.

**Proposition 1.** Let  $\mathcal{F}, \mathcal{G}$  be sheaves on a topological space  $X$  and suppose  $\eta : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of sheaves. Then  $\eta$  is an isomorphism if and only if each induced map  $\eta_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is an isomorphism.

We shall conclude this section with two important constructions.

**Construction 2** (Pushforward Sheaf). Let  $\mathcal{F}$  be a sheaf taking values in a category  $\mathcal{C}$  on a topological space  $X$  and let  $f : X \rightarrow Y$  be a continuous map of topological spaces. Then we can define the presheaf:

$$\begin{aligned} f_*\mathcal{F} : \text{Top}(Y)^{\text{op}} &\rightarrow \mathcal{C} \\ \mathcal{U} &\mapsto \mathcal{F}(f^{-1}(\mathcal{U})). \end{aligned}$$

We shall prove this is a sheaf. Let  $\mathcal{U} \in \text{Top}(Y)$  and  $\{\mathcal{U}_i\}_{i \in I}$  be an open cover of  $\mathcal{U}$ . Further suppose:

$$\exists \sigma_i \in f_*\mathcal{F}(\mathcal{U}_i) : \sigma_i|_{\mathcal{U}_i \cap \mathcal{U}_j} = \sigma_j|_{\mathcal{U}_i \cap \mathcal{U}_j} \quad \forall i, j \in I. \quad (\star)$$

We write  $\mathcal{V} := f^{-1}(\mathcal{U})$  and  $\mathcal{V}_i := f^{-1}(\mathcal{U}_i)$  and observe that  $\{\mathcal{V}_i\}_{i \in I}$  is an open cover of  $\mathcal{V}$  since the inverse image respects unions. Then we notice  $(\star)$  is equivalent to the existence of sections

$$\tau_i \in \mathcal{F}(\mathcal{V}_i) : \tau_i|_{\mathcal{V}_i \cap \mathcal{V}_j} = \tau_j|_{\mathcal{V}_i \cap \mathcal{V}_j} \quad \forall i, j \in I,$$

and thus  $\exists! \tau \in \mathcal{F}(\mathcal{V}) : \tau|_{\mathcal{V}_i} = \tau_i \quad \forall i \in I$  since  $\mathcal{F}$  is a sheaf. Hence there exists a unique section  $\sigma \in f_*\mathcal{F}(\mathcal{U}) : \sigma|_{\mathcal{U}_i} = \sigma_i \quad \forall i \in I$ .

**Construction 3** (Pullback sheaf). Let  $\mathcal{G}$  be a sheaf taking values in a category  $\mathcal{C}$  on a topological space  $Y$  and let  $f : X \rightarrow Y$  be a continuous map of topological spaces. We consider the space  $\tilde{X} := \coprod_{x \in X} \mathcal{G}_{f_x}$  endowed with the final topology such that

$$\begin{aligned} p : \tilde{X} &\rightarrow X \\ e &\mapsto x \text{ for } e \in \mathcal{G}_{f_x} \end{aligned}$$

is continuous. Then we say the sheaf  $f^{-1}\mathcal{G}$  is the sheaf of sections of  $p$ .

# Localisation for Commutative Rings

Let  $R \in \mathbf{CRing}$ , then a subset  $S \subseteq R$  is a **multiplicative set** if and only if:

$$x, y \in S \implies xy \in S.$$

Let  $f \in R$  then we observe that  $\{f^n \mid n \in \mathbb{N}\}$  is a multiplicative set.

Recall that a proper ideal  $\mathfrak{p} \triangleleft R$  is **prime** if and only if:

$$ab \in \mathfrak{p} \implies (a \in \mathfrak{p}) \cup (b \in \mathfrak{p}).$$

Let  $\mathfrak{p} \triangleleft R$  be a prime ideal, then observe  $R \setminus \mathfrak{p}$  is a multiplicative set.

**Construction 4.** Let  $S \subseteq R$  be a multiplicative subset of an integral domain<sup>28</sup>  $R$ . Then we consider the polynomial ring in  $|S|$  variables over  $R$ . We can write this as

$$R[S] := \bigotimes_{s \in S} R[x_s].$$

We wish to enforce the relation that  $s x_s = 1$  and this is achieved by quotienting by the ideal  $\langle \{s x_s - 1 \mid s \in S\} \rangle$ . We define

$$S^{-1}R := R[S] / \langle \{s x_s - 1 \mid s \in S\} \rangle.$$

The relations that generate the ideal force each  $x_s$  to behave as a multiplicative inverse for  $s$ . This ring has the universal property that any ring homomorphism  $\phi : R \rightarrow L$  that carries each  $s \in S$  to an invertible element factors through  $S^{-1}R$ . That is to say:

$$\begin{array}{ccc} R & \xhookrightarrow{\iota} & S^{-1}R \\ & \searrow \phi & \downarrow \exists! \psi \\ & & L \end{array}$$

commutes, where

$$\begin{aligned} \iota : R &\hookrightarrow S^{-1}R \\ r &\mapsto \frac{r}{1}. \end{aligned}$$

**Example 6.** Let  $R = \mathbb{Z}/_{10}\mathbb{Z}$  and consider the multiplicative set  $S = \{2, 4, 8, 6\}$ . Then if we follow **Construction 4** we obtain the ring

$$S^{-1}R = \left\{ \frac{r}{2^n} \mid r \in R, n \in \mathbb{N} \right\}$$

where

$$\frac{a}{2^n} \frac{b}{2^m} = \frac{ab}{2^{mn \pmod{10}}}.$$

<sup>28</sup> In this "nice" case we can give a concrete construction.

The case where  $R$  has zero divisors requires a more fastidious treatment. Suppose  $\exists f, g \in R : fg = 0$ . Further suppose  $S$  is a multiplicative set that contains  $f$ , then in  $S^{-1}R$  we observe

$$\frac{g}{1} = \frac{fg}{f} = \frac{0}{f} = 0.$$

Hence we must require the map  $R \rightarrow S^{-1}R$  to carry  $g$  to 0. We shall now introduce the more robust construction.

**Construction 5.** Let  $S \subseteq R$  be a multiplicative subset, we consider  $R \times S$ . We define an equivalence relation:

$$(r_1, s_1) \sim (r_2, s_2) \iff \exists t \in S : t(r_1s_2 - r_2s_1) = 0.$$

Then we define<sup>29</sup>

$$S^{-1}R := (R \times S) / \sim$$

and the equivalence class of  $(r, s)$  is denoted  $\frac{r}{s}$ . It is notable that the map  $j : R \rightarrow S^{-1}R$  is an injection if and only if  $S$  contains no zero-divisors.

**Construction 6** (Functoriality of Localisation). Let  $S \subseteq R$  but a multiplicative set, and  $\phi : R \rightarrow T$  be a ring map. By properties of ring homomorphisms  $\phi(S) \subseteq T$  is a multiplicative subset. Thus we can define:

$$\begin{aligned} \bar{\phi} : S^{-1}R &\rightarrow \phi(S)^{-1}T \\ \frac{r}{s} &\mapsto \frac{\phi(r)}{\phi(s)}. \end{aligned}$$

We say that  $\bar{\phi}$  is the localisation of  $\phi$ .

We shall conclude this section with two particular localisations that shall be used later.

Let  $f \in R$  then we shall fix the notation

$$R_f := \{f^n \mid n \in \mathbb{N}\}^{-1}R,$$

the ring where  $f$  is invertible.

Suppose  $\mathfrak{p} \subseteq R$  is a prime ideal, then we shall also fix

$$R_{\mathfrak{p}} := (R \setminus \mathfrak{p})^{-1}R.$$

This ring has a unique maximal ideal  $\mathfrak{p}$  and hence is **local**.

<sup>29</sup> This approach agrees with **Construction 4** when  $R$  is an integral domain.



# The Spectrum of a Ring

The **spectrum** of a commutative ring  $R$  is defined to be the set of prime ideals of  $R$ , that is:

$$\text{Spec}R := \{\mathfrak{p} \triangleleft R \mid \mathfrak{p} \text{ is prime}\}.$$

We shall endow this space with a topology, emulating the Zariski topology on  $\mathbb{A}_k^n$ . For  $f \in R$  we define<sup>30</sup> the **vanishing set of  $f$**  as the set of prime ideals containing  $f$ , that is:

$$\mathbb{V}(f) := \{\mathfrak{p} \in \text{Spec}R \mid f \in \mathfrak{p}\}.$$

We can extend this definition to ideals of  $R$  since  $\mathbb{V}(f) = \mathbb{V}(\langle f \rangle)$ . It holds that:

- (a) For ideals  $\mathfrak{a}, \mathfrak{b} \trianglelefteq R$  we have  $\mathbb{V}(\mathfrak{a}) \cup \mathbb{V}(\mathfrak{b}) = \mathbb{V}(\mathfrak{a}\mathfrak{b})$ .
- (b) For any set of ideals  $\{\mathfrak{a}_i\}$  we have  $\bigcap \mathbb{V}(\mathfrak{a}_i) = \mathbb{V}(\sum \mathfrak{a}_i)$ .
- (c)  $\mathbb{V}(0) = \text{Spec}R$  and  $\mathbb{V}(R) = \emptyset$ .

Thus we can endow  $\text{Spec}R$  with the topology where the closed sets are exactly  $\mathbb{V}(\mathfrak{a})$  for some  $\mathfrak{a} \trianglelefteq R$ . This specifies open sets of the form

$$D(f) := \{\mathfrak{p} \in \text{Spec}R \mid f \notin \mathfrak{p}\}$$

for  $f \in R$ . These are referred to as the **distinguished open sets**.

We shall now define a sheaf of rings, resulting in a familiar construction. For a ring  $R$  we define its **structure sheaf** as the sheaf with stalk  $R_{\mathfrak{p}}$  at each point  $\mathfrak{p} \in \text{Spec}R$ . We denote the structure sheaf associated to a ring  $R$  as  $\mathcal{O}_{\text{Spec}R}$ . Consider the set  $\coprod_{\mathfrak{p} \in \text{Spec}R} R_{\mathfrak{p}}$  endowed with the final topology such that the map

$$p : \coprod_{\mathfrak{p} \in \text{Spec}R} R_{\mathfrak{p}} \rightarrow \text{Spec}R$$

$$f \mapsto \mathfrak{p} \text{ for } f \in R_{\mathfrak{p}}.$$

Then  $\mathcal{O}_{\text{Spec}R}$  is nothing but the sheaf of sections of the map  $p$ .

It is easy to characterise the sections over a distinguished open set

$$\mathcal{O}_{\text{Spec}R}(D(f)) = R_f$$

for  $f \in R$ . Furthermore  $\mathcal{O}_{\text{Spec}R}(\text{Spec}R) = R$ , thus we can always recover a ring from its spectrum.

Next we shall describe the category that the spectrums call home.

<sup>30</sup> It may be unclear how this is a "vanishing set". Many ideas in algebraic geometry require one to consider the field  $R_{\mathfrak{p}}/\mathfrak{p}$ . Then we observe  $f$  is in the kernel of  $R_{\mathfrak{p}} \rightarrow R_{\mathfrak{p}}/\mathfrak{p}$  if and only if  $\mathfrak{p} \in \mathbb{V}(f)$ .

# *The Category of Locally Ringed Spaces*

We recall from the last chapter that the spectrum of a ring is a **topological space** with a **sheaf of rings** such that **each stalk is a local ring**.

For two local rings  $(R, \mathfrak{m}), (S, \mathfrak{n})$  then a **local ring homomorphism** is a ring map  $\phi : R \rightarrow S$  such that  $\phi(\mathfrak{m}) \subseteq \mathfrak{n}$ .

We define the category of locally ringed spaces.

- Its objects are topological spaces equipped with sheaves of rings such that each stalk is local,
- a morphism from  $(X, \mathcal{F}_X)$  to  $(Y, \mathcal{F}_Y)$  consists of a pair  $(\pi, \pi^\#)$  where  $\pi : X \rightarrow Y$  is a continuous map of topological spaces and  $\pi^\# : \mathcal{F}_Y \rightarrow \pi_* \mathcal{F}_X$  is a morphism of sheaves such that each induced map on the stalks is a local ring homomorphism.

# The Category of Affine Schemes

An **affine scheme** is a locally ringed space that is isomorphic to  $(\text{Spec}R, \mathcal{O}_{\text{Spec}R})$  for some ring  $R$ . The category of affine schemes, denoted **ASch**, is a full subcategory of locally ringed spaces.

Suppose that  $\phi : R \rightarrow T$  is a map of rings. Then for each  $\mathfrak{p} \in \text{Spec}T$  we have  $\phi^{-1}(\mathfrak{p}) \in \text{Spec}R$ . That is to say<sup>31</sup> the preimage of a prime ideal under a ring homomorphism is a prime ideal.

We define a map of topological spaces

$$\begin{aligned} \pi : \text{Spec}T &\rightarrow \text{Spec}R \\ \mathfrak{p} &\mapsto \phi^{-1}(\mathfrak{p}) \end{aligned}$$

Consider a closed set  $V(\mathfrak{a}) \subseteq \text{Spec}R$ , its preimage in  $\text{Spec}T$  is  $V(\phi(\mathfrak{a}))$  since the direct image of a ring map respects containment of ideals. Since the preimage of a closed set is closed we conclude  $\pi$  is continuous.

Furthermore our map  $\phi$  induces maps  $\mathcal{O}_{\text{Spec}T}(\mathcal{U}) \rightarrow \pi_*\mathcal{O}_{\text{Spec}R}(\mathcal{U})$  for each open set  $\mathcal{U} \in \text{Spec}T$  since the sections of the structure sheaf over an open set are simply localisations of the ring  $T$ . Thus  $\phi$  induces a morphism of a sheaves  $\pi^\# : \mathcal{O}_{\text{Spec}T} \rightarrow \pi_*\mathcal{O}_{\text{Spec}R}$ .

All morphisms of affine schemes  $\text{Spec}T \rightarrow \text{Spec}R$  are pairs  $\pi, \pi^\#$  induced by some ring map  $R \rightarrow T$ .

Suppose we had a morphism  $\pi : \text{Spec}T \rightarrow \text{Spec}R$  then we can recover the ring map since it is exactly the map

$$\mathcal{O}_{\text{Spec}R}(\text{Spec}R) \rightarrow \pi_*\mathcal{O}_{\text{Spec}T}(\text{Spec}T).$$

That is to say that for two commutative rings  $R, T$  we have

$$\mathbf{CRing}(R, T) \cong \mathbf{ASch}(\text{Spec}T, \text{Spec}R).$$

Furthermore, for a ring  $R$  we have that  $\mathcal{O}_{\text{Spec}R}(\text{Spec}R) \cong R$  and for an affine scheme  $\text{Spec}R$  that  $\text{Spec}(\mathcal{O}_{\text{Spec}R}(\text{Spec}R)) \cong \text{Spec}R$ . We conclude that the category of affine schemes is equivalent to the opposite category of commutative rings.

<sup>31</sup> This is why the spectrum is defined to be the prime ideals and not the maximal ideals, the preimage of a maximal ideal need not be maximal.

# Schemes

A **scheme** is a locally ringed space  $X, \mathcal{O}_X$  such that for each point  $x \in X$  there exists an open neighbourhood  $x \in \mathcal{U} \in \text{Top}(X)$  such that  $(\mathcal{U}, \mathcal{O}_X|_{\mathcal{U}}) \cong (\text{Spec} R, \mathcal{O}_{\text{Spec} R})$  for some  $R \in \mathbf{CRing}$ . This is equivalent to saying that  $X, \mathcal{O}_X$  admits an open cover by affine schemes. A useful result regarding general schemes is that their topology admits a base consisting of affine schemes. The category of schemes, denoted **Sch**, is a full subcategory of locally ringed spaces.

The general philosophy when working with schemes is as follows. Suppose we wanted to prove a scheme has a such-and-such property. Commutative ring theory will be used to show that an affine open cover have such and such property. Then the affine schemes will be glued together in such a way that the property is preserved, hence proving that the entire scheme has the property.

**Proposition 2.** Let  $X, \mathcal{O}_X$  be a schemes and suppose  $\{\mathcal{U}_i\}$  is an affine open cover of  $X$ . Further suppose that  $\{\pi_i : \mathcal{U}_i \rightarrow Y\}$  is a family of morphisms of schemes that agree on intersections. Then there exists a unique morphism of schemes  $\pi : X \rightarrow Y$  obtained by gluing.

We shall conclude by using **Proposition 2** to prove something in the style of the general philosophy stated above.

**Proposition 3.** The scheme  $\text{Spec} \mathbb{Z}$  is terminal in **Sch**.

*Proof.* We first note that since  $\mathbb{Z}$  is initial in **CRing** and **ASch** is equivalent to the opposite of **CRing**, that  $\text{Spec} \mathbb{Z}$  is terminal in **ASch**.

Let  $X, \mathcal{O}_X$  be a scheme. We must prove there exists a unique morphism  $X \rightarrow \text{Spec} \mathbb{Z}$ .

Let  $\{\mathcal{U}_i\}$  be an affine open cover of  $X$ . Then there exist unique morphisms  $\{\pi_i : \mathcal{U}_i \rightarrow \text{Spec} \mathbb{Z}\}$ . We must prove these agree on intersections. For  $i \neq j$  we consider  $\mathcal{U}_i \cap \mathcal{U}_j$  which is open and thus  $\mathcal{U}_i \cap \mathcal{U}_j = \bigcup \mathcal{V}_l$  for affine schemes  $\mathcal{V}_l$ . For each  $\mathcal{V}_l$  we have  $\pi_i|_{\mathcal{V}_l} = \pi_j|_{\mathcal{V}_l}$  since there is only one map  $\mathcal{V}_l \rightarrow \text{Spec} \mathbb{Z}$ . Thus  $\pi_i|_{\mathcal{U}_i \cap \mathcal{U}_j} = \pi_j|_{\mathcal{U}_i \cap \mathcal{U}_j}$ . Hence the family of maps  $\{\pi_i : \mathcal{U}_i \rightarrow \text{Spec} \mathbb{Z}\}$  glue to a map  $\pi : X \rightarrow \text{Spec} \mathbb{Z}$ . Suppose  $\psi$  is a map  $X \rightarrow \text{Spec} \mathbb{Z}$ , then

$$\psi|_{\mathcal{U}_i} = \pi|_{\mathcal{U}_i} \forall i,$$

and thus  $\psi = \pi$ . □