CIVE 546 Structural Design Optimization

(3 units)

KKT Conditions w/o Slack
Convexity
GRAND

Instructor: Prof. Yi Shao

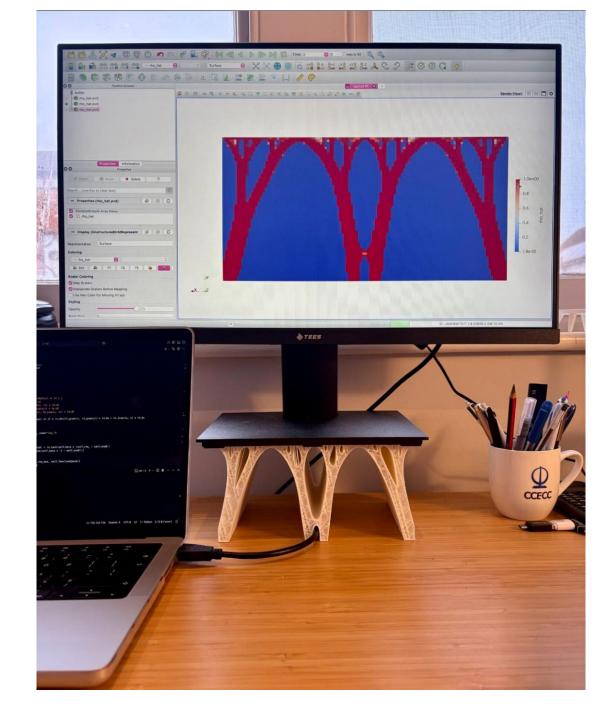
Administrative announcement

Reminder:

- Path I should be completed individually
- Path 2&3 needs preapproval by Feb 10

Homework:

Read GRAND paper and play with the software



General form of an optimization problemon

Objective function

 $\min f(\underline{x})$

Subject to:

Inequality constraints

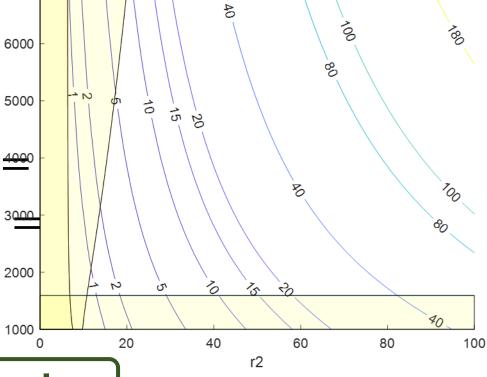
Equality constraints

Box constraints

$$g_j(\underline{x}) \leq 0$$

$$h_k(\underline{x}) = 0$$

$$x_i^L \le x_i \le x_i^U$$



Optimization Method

Optimality Condition Method

Search Method

Karush-Kuhn-Tucker (KKT) optimality conditions

Problem: minimize $f(\mathbf{x})$, where the design variable vector $\mathbf{x} = (x_1, \dots, x_n)$, subjected to (s. t.) $h_i(\mathbf{x}) = 0, i = 1 \dots m; g_i(\mathbf{x}) \le 0, j = 1 \dots p.$

Let x^* be a <u>regular point</u> of the feasible set that is a local min for f(x), subjected to the above constraints. Then there exist LMs λ^* (m + p vector) such that the Lagrangian function is stationary wrt x_i , λ_i and s_i at the point x^* .

KKT 1) Lagrangian function for the problem written in standard form

$$L(\mathbf{x}, \boldsymbol{\lambda}, \mathbf{s}) = f(\mathbf{x}) + \sum_{i=1}^{m} \lambda_i h_i(\mathbf{x}) + \sum_{j=1}^{p} \lambda_j (g_j(\mathbf{x}) + s_j^2)$$
$$= f(\mathbf{x}) + \boldsymbol{\lambda}_E^T h(\mathbf{x}) + \boldsymbol{\lambda}_I^T (g(\mathbf{x}) + \mathbf{s}^2)$$

KKT 2) Gradient conditions

$$\frac{\partial L}{\partial x_k} = \frac{\partial f}{\partial x_k} + \sum_{i=1}^m \lambda_i^* \frac{\partial h_i}{\partial x_k} + \sum_{j=1}^p \lambda_j^* \frac{\partial g_j}{\partial x_k} = 0, k = 1 \cdots n.$$

$$\frac{\partial L}{\partial \lambda_i} = 0 \implies h_i(\mathbf{x}^*) = 0; i = 1 \cdots m.$$

$$\frac{\partial L}{\partial \lambda_i} = 0 \implies (g_j(\mathbf{x}^*) + s_j^2) = 0; j = 1 \cdots p.$$

Karush-Kuhn-Tucker (KKT) optimality conditions

KKT 3) Feasibility check for inequalities

$$s_j^2 \ge 0$$
; or equivalently $g_j \le 0$; $j = 1 \cdots p$.

KKT 4) Switching conditions

$$\frac{\partial L}{\partial s_j} = 0 \implies \lambda_j^* s_j = 0; j = 1 \cdots p.$$

KKT 5) Non-negativity of LMs for inequalities

$$\lambda_j^* \geq 0$$
; $j = 1 \cdots p$.

KKT 6) Regularity check

Gradients of active constraints must be linearly independent. In such case, the LMs for the constraints are unique.

Karush-Kuhn-Tucker (KKT) optimality conditions Remarks

For a given problem, the KKT conditions can be used to find candidate minimum points. Several cases defined by the switching conditions must be considered and solved. Each case can provide multiple solutions.

For each solution, remember to

- i. Check all inequality constraints for feasibility
- ii. Calculate all the Lagrange Multipliers
- iii. Ensure that the Lagrange multipliers for all the inequality constraints are nonnegative

Karush-Kuhn-Tucker (KKT) optimality conditions without slack

Rewrite KKT conditions and remove slack variables

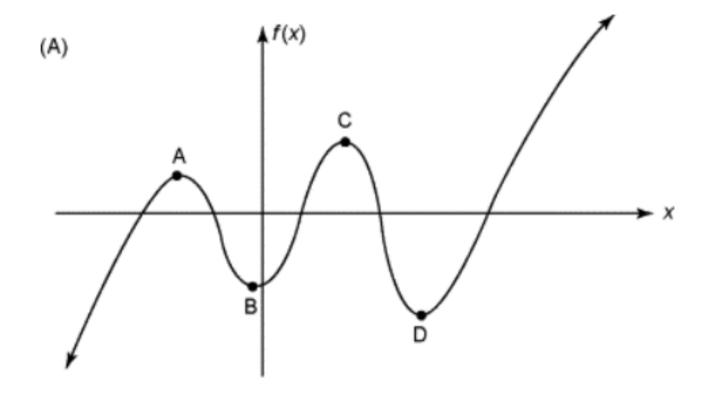
Karush-Kuhn-Tucker (KKT) optimality conditions without slack Example

min
$$f(x, y) = (x - 10)^2 + (y - 8)^2$$

Subject to:
 $g_1(x, y) = x + y - 12 \le 0$
 $g_2(x, y) = x - 8 \le 0$

Convexity Goal

How can we make sure that the solution is a global minimum?

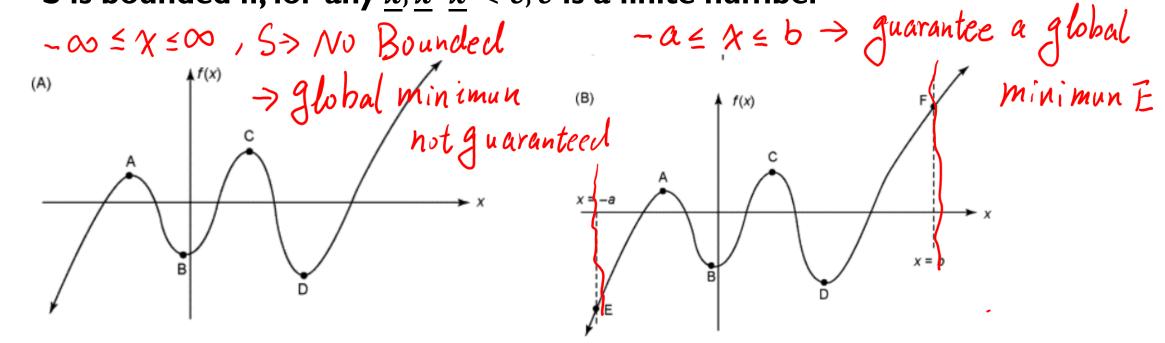


Weierstrass Existence Theorem: Does a global minimum exist?

If $f(\underline{x})$ is continuous on a non-empty feasible set S that is closed and bounded, $f(\underline{x})$ has a global minimum in S

| Costraint | Not INCLUDED

- S is closed if it includes all of its boundary points and every sequence of points have a subsequence that converges to a point in the set
- S is bounded if, for any \underline{x} , $\underline{x}^T\underline{x} < c$, c is a finite number



Weierstrass Existence Theorem: Example

Check a function f(x) = -1/x defined on the set $S = \{x \mid 0 < x \le 1\}$, check the existence of a global minimum of the function.

Closedx -> closs NUT

guarantee

Check a function f(x) = -1/x defined on the set $S = \{x \mid 0 \le x \le 1\}$, check the existence of a global minimum of the function.

f(x) is Noi detinied @O, ⇒ NOI Continuu

→ NoI guarantee

Weierstrass Existence Theorem: Remarks

- If Weierstrass existence theorem is satisfied >> global opt is guaranteed
- If Weierstrass existence theorem is NOT satisfied → global optimum may exist (but can't be guaranteed)

Examples?

$$\frac{f(x) = x^2 \quad on \quad -\infty \leq x \leq \infty}{(0.0)^{6}}$$

• If the numerical process is not converging to a solution, perhaps some conditions of this theorem are violated and the problem formulation needs to be re-examined.

Convexity Global Optimality

If Weierstrass existence theorem is satisfied > global opt is guaranteed

 If the optimization problem can be shown to be convex, any local minimum is also global minimum.

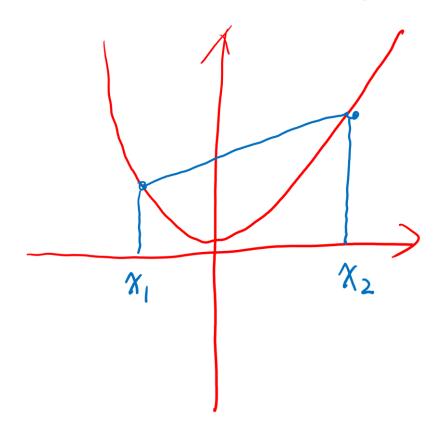
obj > Convex function

S -> Convex set

Convex function: Definition

$$f(\alpha x_1 + \beta x_2) \le \alpha f(x_1) + \beta f(x_2)$$

for $\alpha + \beta = 1, \alpha \ge 0, \beta \ge 0$



Convex function: Generalization to n dimension

12:45

A function of f(x) defined on a convex set S is convex if For any two points $x_1, x_2 \in S$

$$f\left(\alpha \underline{x_1} + \beta \underline{x_2}\right) \le \alpha f\left(\underline{x_1}\right) + \beta f\left(\underline{x_2}\right)$$

for $\alpha + \beta = 1$, $\alpha \ge 0$, $\beta \ge 0$

Rule: sum of convex function is convex.

Proof?
$$f(x) = g_1(x) + g_2(x)$$
, g_1 , g_2 both Convex $f(\alpha x_1 + \beta x_2) = g_1(\alpha x_1 + \beta x_2) + g_2(\alpha x_1 + \beta x_2)$

Convex function: Theorem

A function of f(x) defined on a convex set S is convex iff (if an only if) its hessian matrix H is positive semi-definite or positive definite at all points in the set S

Example: Check the convexity of
$$f(x_1, x_2) = x_1^2 + x_2^2 - 1$$

$$\nabla f = \begin{bmatrix} \frac{\partial f}{\partial x_1} \\ \frac{\partial f}{\partial x_2} \end{bmatrix} = \begin{bmatrix} 2x_1 \\ 2x_2 \end{bmatrix} \quad \nabla^2 f = \begin{bmatrix} 2 \\ 0 \\ 2x_2 \end{bmatrix} \quad \Rightarrow P.D.$$

Convex function: Application

A function of $f(\underline{x})$ defined on a convex set S is convex iff (if an only if) its hessian matrix \underline{H} is positive semi-definite or positive definite at all points in the set S

Quadrative function $\underline{x}^T \underline{Q} \underline{x}$ is convex iff Q is $\gamma.\varsigma.D$ or $\gamma.D$.

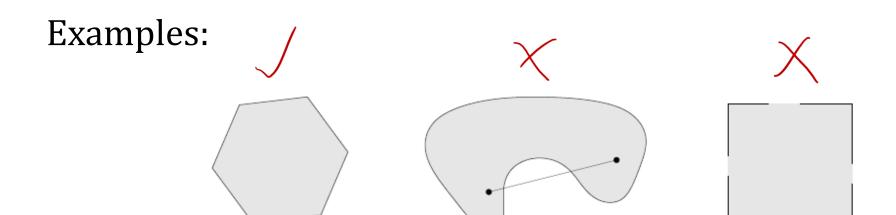
Linear function $\underline{C}^T \underline{x}$ is Convex & Concave

Linear function $\underline{C}^T \underline{x}$ is also called affine function

Convex set: Definition

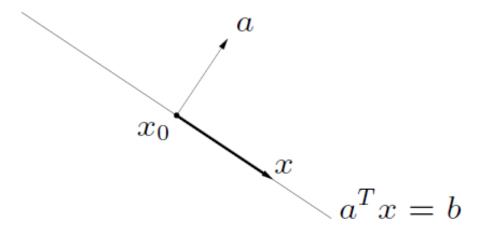
Convex set: contain line segments between any two points in the set

$$x_1, x_2 \in C, \quad 0 \le \theta \le 1 \implies \theta x_1 + (1 - \theta)x_2 \in C$$

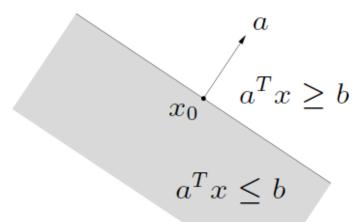


Convex set: More Examples

Hyperplane:
$$\{\underline{x} | \underline{a}^T \underline{x} = \underline{b}\}$$
, $\underline{a} \neq 0$



Halfspace: set of the form $\{\underline{x} | \underline{a}^T \underline{x} \leq \underline{b}\}\$, $\underline{a} \neq 0$



Convex set: Operation that preserves convexity

Rule: The intersection of (any number of) convex sets is convex

Application:

Let the feasible domain *S* be defined by the constraints of the general optimization problem defined in the standard form

$$S = \{\underline{x} | h_i(\underline{x}) = 0, i = 1, , m; g_j(\underline{x}) \le 0, j = 1, , p\}$$

S is a convex set if $g_i(\underline{x})$ are convex AND $h_i(\underline{x})$ are linear

Convex set: Convex feasible domain

Let the feasible domain *S* be defined by the constraints of the general optimization problem defined in the standard form

$$S = \{\underline{x} | h_i(\underline{x}) = 0, i = 1, , m; g_j(\underline{x}) \le 0, j = 1, , p\}$$

S is a convex set if $g_j(\underline{x})$ are convex AND $h_i(\underline{x})$ are linear

Remark:

- Feasible domain defined by ANY nonlinear equality constraints is always non-convex
- Feasible domain defined by linear equality or inequality constrains is always convex

GRAND — GRound structure ANalysis and Design

Zegard, T., & Paulino, G. H. (2014). GRAND—Ground structure based topology optimization for arbitrary 2D domains using MATLAB. *Structural and Multidisciplinary Optimization*, *50*, 861-882.

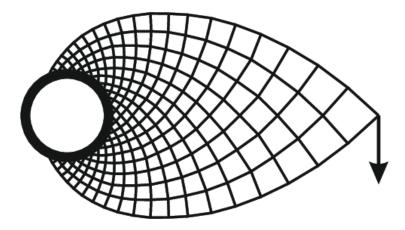
Ground Structure

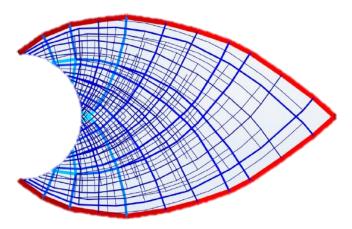
There is no fully automated method to obtain (optimal) Michell trusses

- Michell trusses are infinitely dense
- The GS method provides a close enough approximation using finite number of members

Michell structure

Optimized Ground Structure (GS)





Michell, A. G. M. (1904) The limits of economy of material in frame-structures, Philosophical Magazine, Vol. 8(47), p. 589-597.

Ground Structure

- Optimal GS (redundant truss): Minimum Volume
- I. Structure includes all load points
- 2. Structure is rigid (no mechanisms)
- 3. Structure is safe (stress limits)

Note: GS method does not guarantee stability

- Alternative (easier) conditions
- 3e. The structure is such that the elastic solution nowhere exceeds the allowable stress
- 3p. The structure is such that a statically admissible stress distribution can be found
- Structure satisfies 1, 2 and 3e ⇒ elastically admissible structure
- Structure satisfies 1, 2 and 3p ⇒ plastically admissible structure

Elastic Formulation

D.V.s:
$$\underline{a}$$
: cross-sectional areas $\min V = \underline{a}^T \underline{l}$ $\sum ubject to$: $\underline{Ku} = \underline{f}$ $\sigma_c \leq \underline{\sigma} \leq \sigma_T$ if $a_i > 0$ $\underline{a} \geq 0$

Plastic Formulation

D.V.s:
$$\underline{a}$$
: cross-sectional areas $\min V = \underline{a}^T \underline{l}$ $\sum ubject to$: $\underline{Bn} = \underline{f}$ $\sigma_c \leq \underline{\sigma} \leq \sigma_T$ if $a_i > 0$ $\underline{a} \geq 0$

Compatibility is NOT enforced!

Elastic versus plastic formulation

- Elastic formulation has to comply with
- √ Statics

$$\underline{Bn} = \underline{f}$$

√ Kinematics

$$\underline{\delta} = \underline{B}^T \underline{u}$$

✓ Flexibility (stiffness inverse)

$$\underline{\delta} = \underline{D} \, \underline{n}$$

Where
$$\underline{D} = \frac{L}{AE}$$

Plastic formulation complies only with statics

Elastic versus plastic formulation

Elastic Formulation

- •Pros
- -Multiple load cases
- -Material non-linearity
- -Geometric non-linearity

Plastic Formulation

- Pros
- -Linear Programming
- -Optimal is global
- -No stress discontinuity
- -Case $\sigma_T \neq \sigma_C$ is easy
- -Useful dual problem

- Cons
- -Nonlinear optimization
- -Vanishing constraints

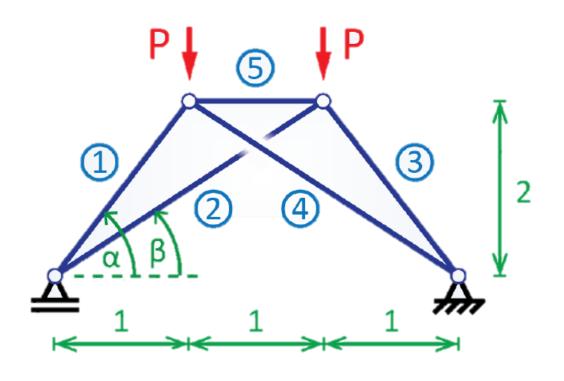
- Cons
- -Single static load case
- -Linear analysis

Plastic Formulation

D.V.s:
$$\underline{a}$$
: cross-sectional areas $\min V = \underline{a}^T \underline{l}$ $\sum ubject to$: $\underline{Bn} = \underline{f}$ $\sigma_c \leq \underline{\sigma} \leq \sigma_T$ if $a_i > 0$ $\underline{a} \geq 0$

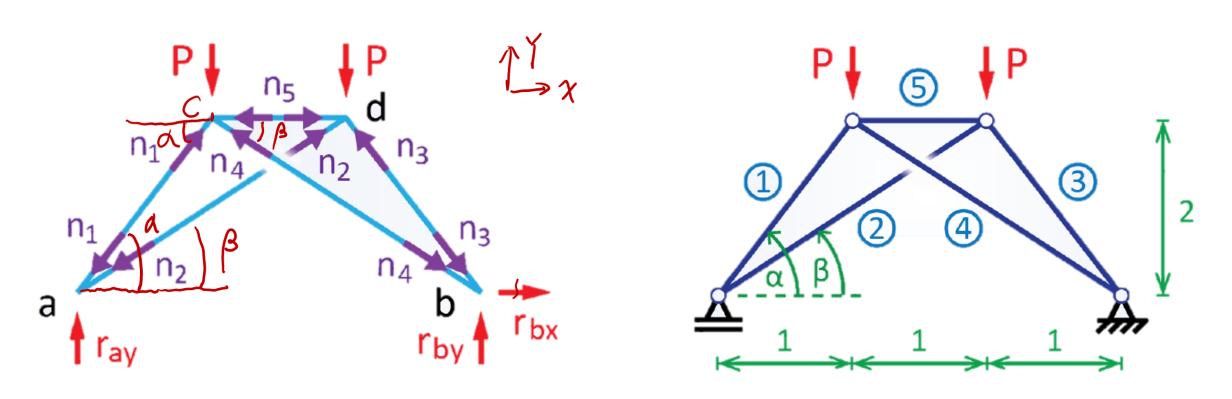
Compatibility is NOT enforced!

Automatic assembly of **B**: a powerful example



$$\cos \alpha = \frac{1}{\sqrt{5}}$$
 , $\sin \alpha = \frac{2}{\sqrt{5}}$
 $\cos \beta = \frac{1}{\sqrt{2}}$, $\sin \beta = \frac{1}{\sqrt{2}}$

Automatic assembly of **B**: a powerful example



Take node a&c for example

Automatic assembly of **B**: a powerful example

$$\begin{cases}
\mathbf{B} & \mathbf{B}_{nr} \\
\mathbf{B}_{rn} & \mathbf{B}_{rr}
\end{cases}^{\mathsf{T}} \begin{bmatrix} \mathbf{n} \\ \mathbf{r} \end{bmatrix} = \begin{bmatrix} \mathbf{f} \\ \mathbf{0} \end{bmatrix}$$

$$\begin{bmatrix} C_{\alpha} & C_{\beta} & 0 & 0 & 0 & 0 & 0 & 0 \\ -S_{\alpha} & -S_{\beta} & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & C_{\alpha} & C_{\beta} & 0 & 0 & 1 & 0 \\ 0 & 0 & -S_{\alpha} & -S_{\beta} & 0 & 0 & 0 & 1 \\ C_{\alpha} & 0 & 0 & -C_{\beta} & -1 & 0 & 0 & 0 \\ S_{\alpha} & 0 & 0 & S_{\beta} & 0 & 0 & 0 & 0 \\ 0 & S_{\beta} & S_{\alpha} & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} n_{1} \\ n_{2} \\ n_{3} \\ n_{4} \\ n_{5} \\ r_{ay} \\ r_{bx} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ -P \\ 0 \\ -P \end{bmatrix}$$

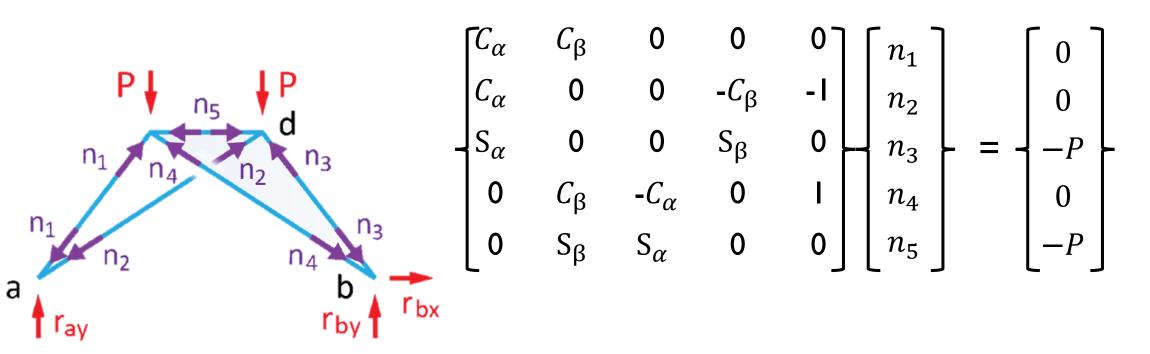
Automatic assembly of **B**: a powerful example

We only need **B**^T**n**=**f**

$$\begin{bmatrix} C_{\alpha} & C_{\beta} & 0 & 0 & 0 & 0 & 0 & 0 \\ -S_{\alpha} & -S_{\beta} & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & C_{\alpha} & C_{\beta} & 0 & 0 & 1 & 0 \\ 0 & 0 & -S_{\alpha} & -S_{\beta} & 0 & 0 & 0 & 1 \\ C_{\alpha} & 0 & 0 & -C_{\beta} & -1 & 0 & 0 & 0 \\ S_{\alpha} & 0 & 0 & S_{\beta} & 0 & 0 & 0 & 0 \\ 0 & S_{\beta} & S_{\alpha} & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} n_{1} \\ n_{2} \\ n_{3} \\ n_{4} \\ n_{5} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ -P \\ 0 \\ -P \end{bmatrix}$$

Automatic assembly of **B**: a powerful example

We only need **B**^T**n**=**f**



GRAND Plastic Formulation

D.V.s:
$$\underline{a}$$
: cross-sectional areas
$$\min V = \underline{a}^T \underline{l}$$

$$Subject \ to:$$

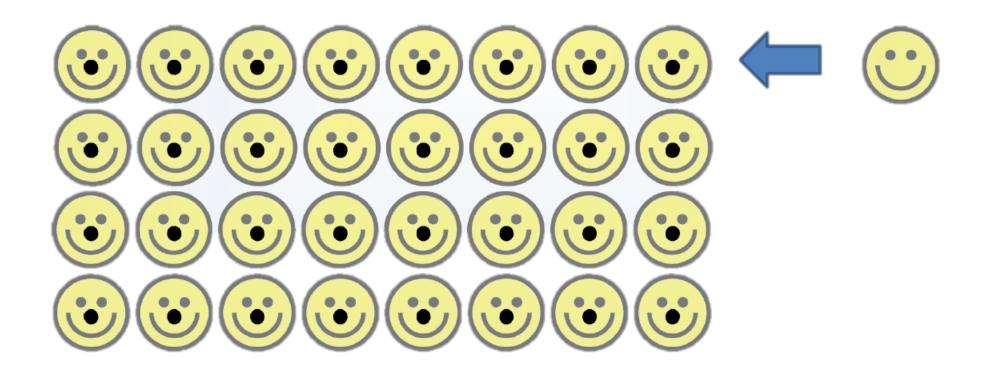
$$\underline{B}^T \underline{n} = \underline{f}$$

$$-\sigma_c a_i \le n_i \le \sigma_t a_i \quad \forall a_i \ge 0$$

Fast ground structure generation

The idea is to "stamp" a pattern in all nodes of a grid

—This pattern has no overlapping bars

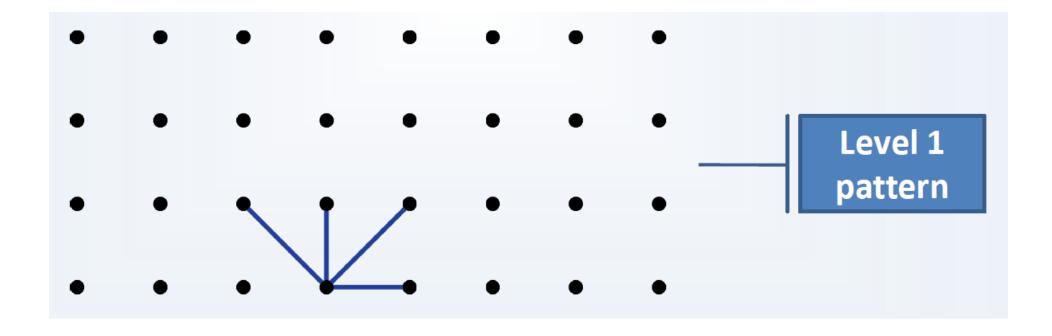


Fast ground structure generation

Pattern is created with a user-defined level

• Structure is more redundant with higher levels

Looking at the pattern for a single node

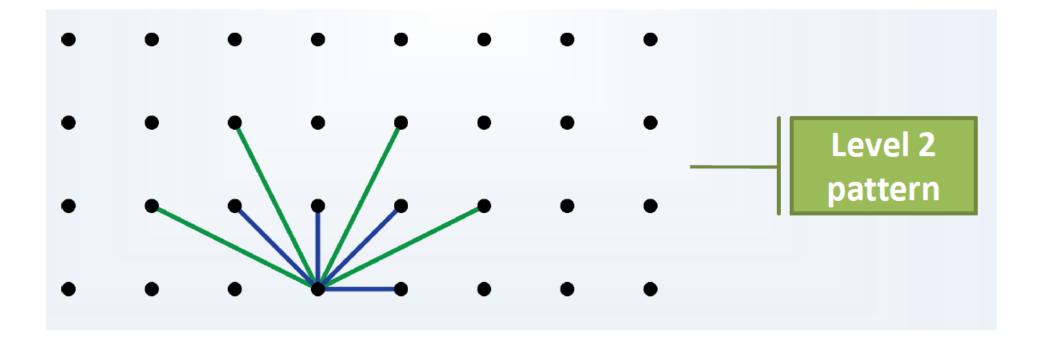


Fast ground structure generation

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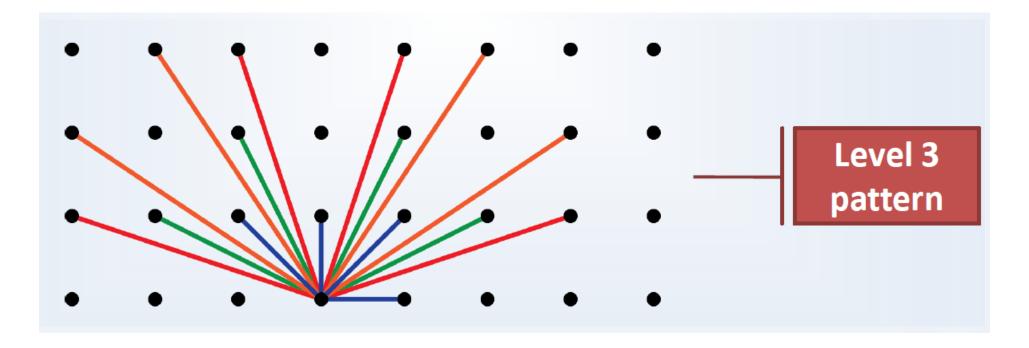


Fast ground structure generation

Pattern is created with a user-defined level

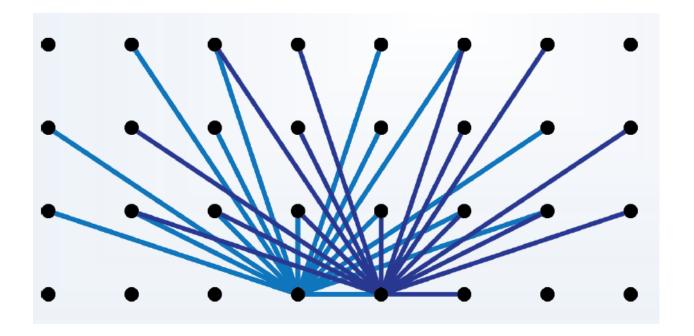
• Structure is more redundant with higher levels

Looking at the pattern for a single node



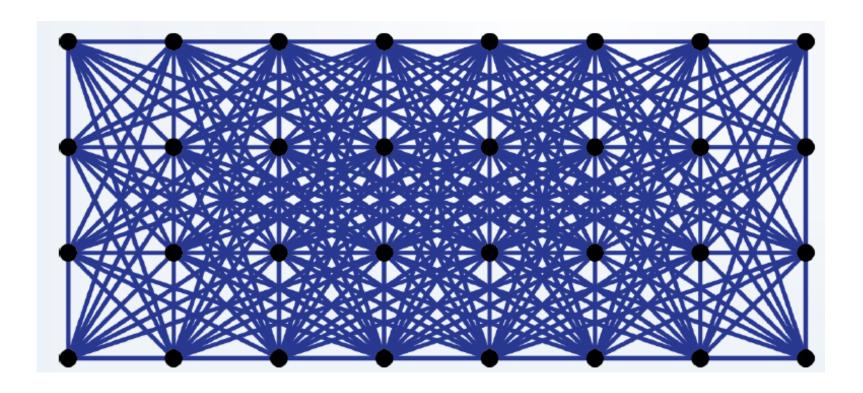
Fast ground structure generation

Stamping the pattern in other nodes

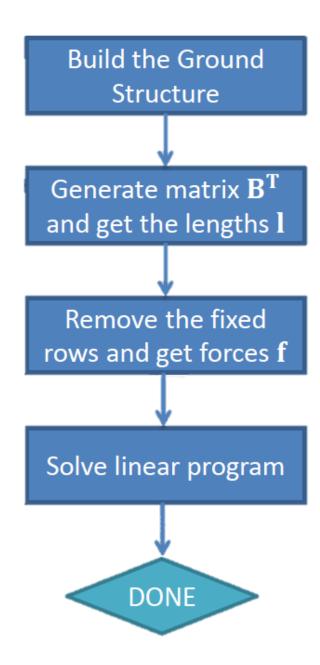


Fast ground structure generation

Repeat for all nodes



GRAND Flow chart



GRAND *MATLAB*

Define domain size and the number of grids

Define level of Ground Structure

Generate Ground Structure

Obtain equilibrium matrix and force vector

Call LP optimizer

```
EDITOR
                PUBLISH
        %GRAND - Ground Structure Analysis and Design Code.
        % Tomas Zegard, Glaucio H Paulino
        %% === MESH GENERATION LOADS/BCS
        kappa = 1.0; ColTol = 0.999999;
       Cutoff = 0.002; Ng = 50; % Plot: Member Cutoff & Number of plot groups
        % --- OPTION 1: POLYMESHER MESH GENERATION -----
        % addpath('./PolyMesher')
        % [NODE, ELEM, SUPP, LOAD] = PolyMesher(@MichellDomain, 600, 30);
        % Lvl = 5; RestrictDomain = @RestrictMichell;
10
        % rmpath('./PolyMesher')
11
        * --- OPTION 2: STRUCTURED-ORTHOGONAL MESH GENERATION
12
        [NODE, ELEM, SUPP, LOAD] = StructDomain(30,10,3,1,'Cantilever');
13
14 -
       Lvl = 10; RestrictDomain = []; % No restriction for box domain
15
        % --- OPTION 3: LOAD EXTERNALLY GENERATED MESH
16
        % load MeshHook
17
       % Lvl = 10; RestrictDomain = @RestrictHook;
18
        % load MeshSerpentine
19
       % Lvl = 5; RestrictDomain = @RestrictSerpentine;
20
        % load MeshMichell
21
        % Lvl = 4; RestrictDomain = @RestrictMichell;
22
        % load MeshFlower
       % Lvl = 4: RestrictDomain = @RestrictFlower:
24
       %% === GROUND STRUCTURE METHOD ====
25 -
       PlotPolyMesh (NODE, ELEM, SUPP, LOAD) % Plot the base mesh
26 -
        [BARS] = GenerateGS(NODE, ELEM, Lvl, RestrictDomain, ColTol); % Generate the GS
27 -
       Nn = size(NODE, 1); Ne = length(ELEM); Nb = size(BARS, 1);
28 -
        [BC] = GetSupports(SUPP);
29 -
       [BT,L] = GetMatrixBT(NODE, BARS, BC, Nn, Nb); % Get equilibrium matrix
30 -
        [F] = GetVectorF(LOAD, BC, Nn);
                                                 % Get nodal force vector
31 -
        fprintf('Mesh: Elements %d, Nodes %d, Bars %d, Level %d\n', Ne, Nn, Nb, Lvl)
32 -
       BTBT = [BT -BT]; LL = [L; kappa*L]; sizeBTBT = whos('BTBT'); clear BT L
33 -
        fprintf('Matrix [BT -BT]: %d x %d in %gMB (%gGB full)\n',...
34
               length(F), length(LL), sizeBTBT.bytes/2^20,16*(2*Nn)*Nb/2^30)
35
36 -
       tic, [S,vol,exitflag] = linprog(LL,[],[],BTBT,F,zeros(2*Nb,1));
37 -
       fprintf('Objective V = %f\nlinprog CPU time = %g s\n',vol,toc);
38
39 -
       S = reshape(S, numel(S)/2,2); % Separate slack variables
40 -
       A = S(:,1) + kappa*S(:,2); % Get cross-sectional areas
       N = S(:,1) - S(:,2);
                                     % Get member forces
42
       %% === PLOTTING =====
43 -
       PlotGroundStructure (NODE, BARS, A, Cutoff, Ng)
                                                                             46
       PlotBoundary (ELEM, NODE)
                                                                        Ln 13 Col 1
```

GRAND *MATLAB*

```
function [NODE, ELEM, SUPP, LOAD] = StructDomain(Nx, Ny, Lx, Ly, ProblemID)
% Generate structured-orthogonal domains
[X,Y] = meshgrid(linspace(0,Lx,Nx+1),linspace(0,Ly,Ny+1));
NODE = [reshape(X,numel(X),1) reshape(Y,numel(Y),1)];
k = 0; ELEM = cell(Nx*Ny,1);
for j=1:Ny, for i=1:Nx
        k = k+1;
        n1 = (i-1)*(Ny+1)+j; n2 = i*(Ny+1)+j;
        ELEM\{k\} = [n1 \ n2 \ n2+1 \ n1+1];
end, end
if (nargin==4 | isempty(ProblemID)), ProblemID = 1; end
switch ProblemID
    case {'Cantilever','cantilever',1}
        SUPP = [(1:Ny+1)' ones(Ny+1,2)];
        LOAD = [Nx*(Ny+1)+round((Ny+1)/2) 0 -1];
    case {'MBB','Mbb','mbb',2}
        SUPP = [Nx*(Nv+1)+1 NaN 1;
                (1:Ny+1)' ones(Ny+1,1) nan(Ny+1,1);
```

GRAND *MATLAB*

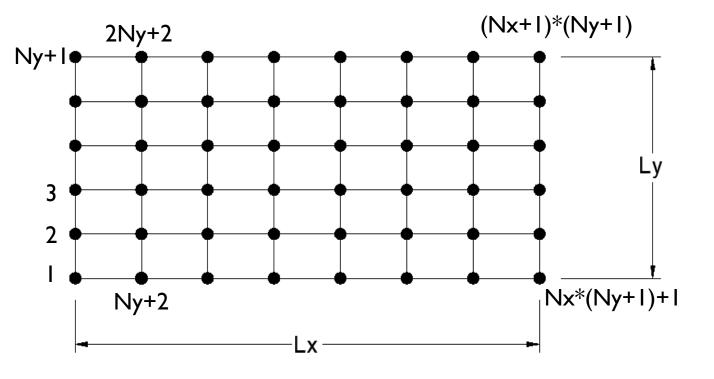


 Table 1
 Domain definition (base mesh) input variables

Variable Name	Type & Size	Description
NODE	array	Each row p has the nodal coordinates
	$N_n \times 2$	x and y for node p .
ELEM	cell	Every element in the list is a row vector
	$N_e \times 1$	containing the node numbers for a
		particular element.
SUPP	array	Each row consists of a node number,
	$N_f \times 3$	fixity x and fixity in y . Any value
		other than NaN specifies fixity. The
		total number of specified fixities is N_{sup} .
LOAD	array	Each row consists of a node number,
	$N_l \times 3$	load in x and load in y . A zero or NaN
		specify no force in that direction.

MATLAB-predefined cases

Domain	Base mesh definition	Restriction zone	Comments
? P) Ly	StructDomain(Nx,Ny,Lx,Ly,'Cantilever') or PolyMesher with @CantileverDomain	1	Horizontal and Vertical lengths Lx and Ly, using Nx and Ny elements in each direction. By default the load is $P=1$
? L _x	StructDomain(Nx,Ny,Lx,Ly,'MBB') or PolyMesher with @MbbDomain	_	Horizontal and Vertical lengths Lx and Ly, using Nx and Ny elements in each direction. By default the load is $P/2=0.5$
P 1 ? Ly	StructDomain(Nx,Ny,Lx,Ly,'Bridge') or PolyMesher with @BridgeDomain	_	Dimensions Lx and Ly, with Nx and Ny elements in each direction, with a default load $P=1$. The analytical solution for this problem (if $L_y \geq \sqrt{2}L_x/4$) is: $V_{opt} = P\left(\frac{L_x}{2}\right) \left(\frac{1}{2} + \frac{\pi}{4}\right) \left[\frac{1}{\sigma_T} + \frac{1}{\sigma_C}\right] = 1.2854L_x$
27 ? PA	PolyMesher with @MichellDomain or load MeshMichell	@RestrictMichell	The default parameters are $r=1$, $R=5$, $H=4$ and $P=1$. The analytical solution for this problem is: $V_{opt} = PR \log \left(\frac{R}{r}\right) \left[\frac{1}{\sigma_T} + \frac{1}{\sigma_C}\right] = 16.0944$
? ? ?	PolyMesher with @HalfcircleDomain	_	The default load is $P = 1$. The analytical solution for this problem is: $V_{opt} = Pr\left(\frac{1}{2} + \frac{\pi}{4}\right)\left[\frac{1}{\sigma_T} + \frac{1}{\sigma_C}\right] = 2.5708$
R=1 OZr=0.5	PolyMesher with @FlowerDomain or load MeshFlower	@RestrictFlower	The default load is $P=1$. The analytical solution for this problem is: $V_{opt}=5PR\log\left(\frac{R}{r}\right)\left[\frac{1}{\sigma_T}+\frac{1}{\sigma_C}\right]=13.8629$

GRAND Play!

Try at least two predefined cases (e.g., Cantilever and MBB).

- Different tension to compression ratio (kappa)
- Different domain
- Different mesh size
- Different level of connection
- Compare their load and support matrix
- Try modify the load and support matrix

