

Math 239 - Lecture #4

Generating SeriesExample:How many subsets of $\{1, 2, 3\}$ have size k ?Let S be the set of all subsets of $\{1, 2, 3\}$ For each element σ in S , give a weight w where $w(\sigma) = |\sigma|$.Rephrase Q: "How many elements in S have weight k ?"For each element σ of weight k , we contribute x^k to the "generating series" of S .

σ	\emptyset	$\{1\}$	$\{2\}$	$\{3\}$	$\{1, 2\}$	$\{1, 3\}$	$\{2, 3\}$	$\{1, 2, 3\}$
$w(\sigma)$	0	1	1	1	2	2	2	3
$x^{w(\sigma)}$	x^0	x^1	x^1	x^1	x^2	x^2	x^2	x^3
	$= 1$	x	x	x	x^2	x^2	x^2	x^3

The generating series of S is the sum of all contributions.

$$\mathbb{I}_S(x) = 1 + 3x + 3x^2 + x^3 = (1+x)^3$$
What does the coefficient of x^k represent?The number of elements of S of weight k , subsets of size k in this case.Rephrase Q: "What is the coeff of x^k in $\mathbb{I}_S(x)$?"Definition:Given a set S where each element $\sigma \in S$ is given a non-negative integer weight $w(\sigma)$, the generating series of S with respect to w is

$$\mathbb{I}_S(x) = \sum_{\sigma \in S} x^{w(\sigma)}$$

We can rewrite this as: Let a_k be the number of elements of S of weight k . Then,

$$\mathbb{I}_S(x) = \sum_{k \geq 0} a_k x^k$$

$$\text{i.e. } [x^2](1+x)^3 = 3$$

Notation for coefficients: $[x^k] \mathbb{I}_S(x)$ is the coeff of x^k in the series

Example: How many subsets of $\{1, \dots, n\}$ have size k ?

Let S be the set of all subsets of $\{1, \dots, n\}$.

For each $\sigma \in S$, let $w(\sigma) = |\sigma|$.

The answer to our question is $\binom{n}{k}$, so the coefficient of x^k in $\Phi_S(x)$ with respect to w is $\binom{n}{k}$.

So $\Phi_S(x) = \sum_{k=0}^n \binom{n}{k} x^k = (1+x)^n$ ← binomial theorem

Generating series answer to our question is $[x^k] \Phi_S(x)$.

Examples: How many ways can we throw two 6-sided dice to get a sum of k ?

We can enumerate all possible ways of throwing 2 dice by the set $S = \{1, \dots, 6\} \times \{1, \dots, 6\}$.

For each $(a, b) \in S$, define $w(a, b) = a + b$.

In $\Phi_S(x)$ w.r.t w , the answer is $[x^k] \Phi_S(x)$.

• $w(3, 5) = 8$

• $\Phi_S(x) = 1x^2 + 2x^3 + 3x^4 + 4x^5 + 5x^6 + 6x^7 + 5x^8 + 4x^9 + 3x^{10} + 2x^{11} + 1x^{12}$

$\begin{matrix} \uparrow & \uparrow & \uparrow & & \uparrow & & \uparrow \\ (1,1) & (1,2) & (1,3) & & (1,6) & & \\ & (2,1) & (2,2) & & (2,5) & & \\ & & (3,1) & & \vdots & & \\ & & & & (6,1) & & \end{matrix}$

Settlers of Catan!!

→ $= (x + x^2 + x^3 + x^4 + x^5 + x^6)^2$
 $= (x + x^2 + x^3 + x^4 + x^5 + x^6)(x + x^2 + x^3 + x^4 + x^5 + x^6)$

$\uparrow \quad \quad \quad \uparrow$
 $x^3 \cdot x^5 = x^8 = x^{3+5} \quad (3, 5)$

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Example:How many binary strings have length k ?Let S be the set of all binary strings.For each $\sigma \in S$, define $w(\sigma)$ to be the length of σ .

$$\bullet w(00101) = 5 \quad \bullet w(11) = 2$$

In $\Phi_S(x)$ w.r.t w , the coeff x^k is the # of binary strings of length k . This is 2^k .

$$\text{So } \Phi_S(x) = 1 + 2x + 4x^2 + 8x^3 + 16x^4 + \dots = \frac{1}{1-2x}$$

Geometric series