

# 198:314 Principles of Programming Languages

## Course Goals

- To gain understanding of the basic structure of programming languages:
  - Data types, control structures, naming conventions,...
- To study different language paradigms:
  - Functional (*Scheme*), imperative (*C*), logic (*Prolog*), object-oriented (*C++*), parallel (*OpenMP*)
  - To ensure an appropriate language is chosen for a task
- To know the principles underlying all programming languages:
  - To make learning new programming languages easier
  - To enable *full* use of a programming language
  - To understand the implementation challenges of different programming constructs / features

Programming languages are tools  $\Rightarrow$  understand how to design or use them

## Course Information

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Prerequisites (summary):

- CS 205 (Introduction to Discrete Structures)
- CS 211 (Computer Architecture)

Important facts:

*staff:* Prof. Ulrich Kremer, Hans Christian Woithe,  
Liu Liu, Ardash Yoga

*lectures:* Tu/Fri noon-1:20pm, TIL-254 (Tillett Hall)

*recitations:* **attendance mandatory, start this Friday**  
section 1, Tu 1:55-2:50pm, LCB 110  
section 2, Tu 5:15-6:10pm, TIL-103A  
section 3, Fri 1:55-2:50pm, TIL-251

*books on:* Science & Engineering Resource Center  
*reserve* (SEC), Busch Campus

Basis for grades (subject to changes):

10% homework / recitation  
25% mid-term exam  
35% final exam (cumulative)  
30% three major programming projects

## Course Information (Cont.)

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- The textbook for this course is “ *Programming Language Pragmatics* by Michael L. Scott, 3rd Edition, Morgan Kaufmann (Elsevier), 2009.
- Additional (recommended) texts: see course web page

Course material is available on our **class website** at

[www.cs.rutgers.edu/courses/314/classes/spring\\_2014\\_kremer](http://www.cs.rutgers.edu/courses/314/classes/spring_2014_kremer)

In addition, there is a news group (sakai web page) [sakai.rutgers.edu](http://sakai.rutgers.edu). All questions regarding homeworks and projects should be posted here. **YOU SHOULD READ THE SAKAI NEWS GROUP AND LOOK AT THE HOME PAGE AT LEAST EVERY OTHER DAY**

All programming will be done on the **ilab cluster**. Get yourself an **ilab** account (see link on bottom of our 314 website). Learn to do the normal things — edit, compile, ...

## Course Information (Cont.)

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**Academic Integrity** (see our web page)

- read-protect your directories and files (ilab)
- no group projects
- will use MOSS for detecting software plagiarism

**14 weeks**, no “make-up” work after the end of the course. If there is a problem, let me know immediately.

**IMPORTANT INFORMATION** ⇒ will be posted on 314 web page and/or on sakai forums!

- Failure to take a scheduled exam
- Grading of homeworks and projects
- Instructions of how to submit programming projects
- Partial credit for late project submissions

Email TAs or me:

- **Subject line** has to start with **314:**,  
e.g., *314: Question about my midterm exam*
- **No** project and homework questions; post them on the sakai discussion forums;

## Course Information (Cont.)

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### Special permission numbers

- Put your name (and email address!) on the list.  
Indicate whether you prefer any particular section.
- Come talk to me after class.
- Will try to accommodate everyone.

## Course Information (Cont.)

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I use overhead transparencies

- I try to moderate my speed
- *You* need to say STOP!
- all transparencies are on the Web (PDF)
- you should still take some notes

I'll tell you where we are in the book

- I don't lecture directly from the book
- *You* need to read the book
- Going to the recitations is mandatory
- I strongly recommend coming to the lectures

# What is the Purpose of a Programming Language?

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A programming language is ...

a set of conventions for communicating an algorithm. *Horowitz*

Purposes:

- specifying algorithm and data structures
- communicating algorithms among people
- establishing correctness (allow reasoning)

# Why Use Anything Besides Machine Code?

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This is a C program that uses two one-dimensional arrays **a** and **b** of size **SIZE**. The arrays are initialized, and then a sum reduction is performed. The size of the arrays and the result of the sum reduction is printed out.

## example.c

```
#include <stdio.h>

#define SIZE 100
int main() {
    int a[SIZE], b[SIZE];
    int i, sum;

    for (i=0; i<SIZE; i++) {
        a[i] = 1;
        b[i] = 2;
    }
    sum = 0;
    for (i=0; i<SIZE; i++)
        sum = sum + a[i] + b[i];

    printf("for two arrays of size %d, sum = %d\n", SIZE, sum);
}
```



# Why Use Anything Besides Machine Code?

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Compiler: gcc -O3 -S example.c  $\Rightarrow$  example.s

```
.file "example.c"
.version "01.01"
gcc2_compiled.:
.section .rodata.str1.32,"aMS",@progbits,1
.align 32
.LC0:
.string "for two arrays of size %d, sum = %d\n"
.text
.align 4
.globl main
.type main,@function
main:
pushl %ebp
movl %esp, %ebp
xorl %eax, %eax
subl $808, %esp
movl $99, %edx
.p2align 2
.L21:
movl $1, -408(%ebp,%eax)
movl $2, -808(%ebp,%eax)
addl $4, %eax
decl %edx
jns .L21
xorl %ecx, %ecx
xorl %eax, %eax
movl $99, %edx
.p2align 2
.L26:
addl -408(%ebp,%eax), %ecx
addl -808(%ebp,%eax), %ecx
addl $4, %eax
decl %edx
jns .L26
pushl %eax
pushl %ecx
pushl $100
pushl $.LC0
call printf
addl $16, %esp
leave
ret
.Lfe1:
.size main,.Lfe1-main
.ident "GCC: (GNU) 2.96 20000731 (Red Hat Linux 7.3 2.96-112)"
```

# Why Use Anything Besides Machine Code?

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```
gcc -o example.o -O3 example.c; strip example.o;
objdump -d example.o
```

```
objdump: example.o: No symbols
```

```
example.o:      file format elf32-sparc
```

```
Disassembly of section .text:
```

```
00010444 <.text>:
10444: bc 10 20 00  clr  %fp
10448: e0 03 a0 40  ld   [%sp + 0x40 ], %l0
1044c: a2 03 a0 44  add  %sp, 0x44, %l1
10450: 9c 23 a0 20  sub  %sp, 0x20, %sp
10454: 80 90 00 01  tst  %g1
10458: 02 80 00 04  be   0x10468
1045c: 90 10 00 01  mov  %g1, %o0
10460: 40 00 40 c4  call 0x20770
10464: 01 00 00 00  nop
10468: 11 00 00 41  sethi %hi(0x10400), %o0
1046c: 90 12 22 d8  or   %o0, 0x2d8, %o0 ! 0x106d8
10470: 40 00 40 c0  call 0x20770
10474: 01 00 00 00  nop
10478: 40 00 00 91  call 0x106bc
1047c: 01 00 00 00  nop
10480: 90 10 00 10  mov  %l0, %o0
10484: 92 10 00 11  mov  %l1, %o1
10488: 95 2c 20 02  sll  %l0, 2, %o2
1048c: 94 02 a0 04  add  %o2, 4, %o2
10490: 94 04 40 0a  add  %l1, %o2, %o2
10494: 17 00 00 82  sethi %hi(0x20800), %o3
10498: 96 12 e0 a8  or   %o3, 0xa8, %o3 ! 0x208a8
1049c: d4 22 c0 00  st   %o2, [ %o3 ]
104a0: 40 00 00 4e  call 0x105d8
104a4: 01 00 00 00  nop
104a8: 40 00 40 b5  call 0x2077c
104ac: 01 00 00 00  nop
104b0: 40 00 40 b6  call 0x20788
104b4: 01 00 00 00  nop
104b8: 81 c3 e0 08  retl
104bc: ae 03 c0 17  add  %o7, %l7, %l7
104c0: 9d e3 bf 90  save %sp, -112, %sp
104c4: 11 00 00 00  sethi %hi(0), %o0
104c8: 2f 00 00 40  sethi %hi(0x10000), %l7
104cc: 7f ff ff fb  call 0x104b8
104d0: ae 05 e2 54  add  %l7, 0x254, %l7 ! 0x10254
104d4: 90 12 20 0c  or   %o0, 0xc, %o0
104d8: d2 05 c0 08  ld   [%l7 + %o0 ], %o1
104dc: d4 02 40 00  ld   [%o1 ], %o2
104e0: 80 a2 a0 00  cmp  %o2, 0
104e4: 12 80 00 23  bne  0x10570
104e8: 11 00 00 00  sethi %hi(0), %o0
104ec: 90 12 20 10  or   %o0, 0x10, %o0 ! 0x10
104f0: d4 05 c0 08  ld   [%l7 + %o0 ], %o2
104f4: d2 02 80 00  ld   [%o2 ], %o1
104f8: d0 02 40 00  ld   [%o1 ], %o0
104fc: 80 a2 20 00  cmp  %o0, 0
10500: 02 80 00 0f  be   0x1053c
10504: 11 00 00 00  sethi %hi(0), %o0
10508: a0 10 00 0a  mov  %o2, %l0
1050c: d0 04 00 00  ld   [%l0 ], %o0
10510: 90 02 20 04  add  %o0, 4, %o0
10514: d0 24 00 00  st   %o0, [ %l0 ]
10518: d2 02 3f fc  ld   [%o0 + -4 ], %o1
1051c: 9f c2 40 00  call %o1
10520: 01 00 00 00  nop
10524: d0 04 00 00  ld   [%l0 ], %o0
10528: d2 02 00 00  ld   [%o0 ], %o1
1052c: 80 a2 60 00  cmp  %o1, 0
10530: 12 bf ff f9  bne  0x10514
10534: 90 02 20 04  add  %o0, 4, %o0
10538: 11 00 00 00  sethi %hi(0), %o0
1053c: 90 12 20 1c  or   %o0, 0x1c, %o0 ! 0x1c
10540: d2 05 c0 08  ld   [%l7 + %o0 ], %o1
10544: 80 a2 60 00  cmp  %o1, 0
10548: 02 80 00 05  be   0x1055c
```

```

1054c: 13 00 00 00 sethi %hi(0), %o1
10550: 92 12 60 08 or %o1, 8, %o1 ! 0x8
10554: 40 00 40 90 call 0x20794
10558: d0 05 c0 09 ld [ %l7 + %o1 ], %o0
1055c: 11 00 00 00 sethi %hi(0), %o0
10560: 90 12 20 0c or %o0, 0xc, %o0 ! 0xc
10564: d4 05 c0 08 ld [ %l7 + %o0 ], %o2
10568: 92 10 20 01 mov 1, %o1
1056c: d2 22 80 00 st %o1, [ %o2 ]
10570: 81 c7 e0 08 ret
10574: 81 e8 00 00 restore
10578: 9d e3 bf 90 save %sp, -112, %sp
1057c: 81 c7 e0 08 ret
10580: 81 e8 00 00 restore
10584: 9d e3 bf 90 save %sp, -112, %sp
10588: 11 00 00 00 sethi %hi(0), %o0
1058c: 2f 00 00 40 sethi %hi(0x10000), %l7
10590: 7f ff ff ca call 0x104b8
10594: ae 05 e1 90 add %l7, 0x190, %l7 ! 0x10190
10598: 90 12 20 18 or %o0, 0x18, %o0
1059c: d2 05 c0 08 ld [ %l7 + %o0 ], %o1
105a0: 80 a2 60 00 cmp %o1, 0
105a4: 02 80 00 08 be 0x105c4
105a8: 13 00 00 00 sethi %hi(0), %o1
105ac: 92 12 60 08 or %o1, 8, %o1 ! 0x8
105b0: 15 00 00 00 sethi %hi(0), %o2
105b4: d0 05 c0 09 ld [ %l7 + %o1 ], %o0
105b8: 94 12 a0 04 or %o2, 4, %o2
105bc: 40 00 40 79 call 0x207a0
105c0: d2 05 c0 0a ld [ %l7 + %o2 ], %o1
105c4: 81 c7 e0 08 ret
105c8: 81 e8 00 00 restore
105cc: 9d e3 bf 90 save %sp, -112, %sp
105d0: 81 c7 e0 08 ret
105d4: 81 e8 00 00 restore
105d8: 9d e3 bc 70 save %sp, -912, %sp
105dc: 92 07 be 60 add %fp, -416, %o1
105e0: 94 07 bc d0 add %fp, -816, %o2
105e4: 86 10 00 09 mov %o1, %g3
105e8: 84 10 00 0a mov %o2, %g2
105ec: 9a 10 20 01 mov 1, %o5
105f0: 98 10 20 02 mov 2, %o4
105f4: 90 10 20 00 clr %o0
105f8: 96 10 20 63 mov 0x63, %o3
105fc: da 22 00 03 st %o5, [ %o0 + %g3 ]
10600: d8 22 00 02 st %o4, [ %o0 + %g2 ]
10604: 96 82 ff ff addcc %o3, -1, %o3
10608: 1c bf ff fd bpos 0x105fc
1060c: 90 02 20 04 add %o0, 4, %o0
10610: 9a 10 00 0a mov %o2, %o5
10614: 84 10 00 09 mov %o1, %g2
10618: 94 10 20 00 clr %o2
1061c: 98 10 20 00 clr %o4
10620: 96 10 20 63 mov 0x63, %o3
10624: d0 03 00 02 ld [ %o4 + %g2 ], %o0
10628: 96 82 ff ff addcc %o3, -1, %o3
1062c: d2 03 00 0d ld [ %o4 + %o5 ], %o1
10630: 90 02 80 08 add %o2, %o0, %o0
10634: 94 02 00 09 add %o0, %o1, %o2
10638: 1c bf ff fb bpos 0x10624
1063c: 98 03 20 04 add %o4, 4, %o4
10640: 11 00 00 41 sethi %hi(0x10400), %o0
10644: 90 12 22 f8 or %o0, 0x2f8, %o0 ! 0x106f8
10648: 40 00 40 59 call 0x207ac
1064c: 92 10 20 64 mov 0x64, %o1
10650: 81 c7 e0 08 ret
10654: 81 e8 00 00 restore
10658: 81 c3 e0 08 retl
1065c: ae 03 c0 17 add %o7, %l7, %l7
10660: 9d e3 bf 90 save %sp, -112, %sp
10664: 11 00 00 00 sethi %hi(0), %o0
10668: 2f 00 00 40 sethi %hi(0x10000), %l7
1066c: 7f ff ff fb call 0x10658
10670: ae 05 e0 b4 add %l7, 0xb4, %l7 ! 0x100b4
10674: 90 12 20 14 or %o0, 0x14, %o0
10678: d2 05 c0 08 ld [ %l7 + %o0 ], %o1
1067c: d4 02 7f fc ld [ %o1 + -4 ], %o2
10680: 80 a2 bf ff cmp %o2, -1
10684: 02 80 00 09 be 0x106a8
10688: a0 02 7f fc add %o1, -4, %l0
1068c: d0 04 00 00 ld [ %l0 ], %o0
10690: 9f c2 00 00 call %o0
10694: a0 04 3f fc add %l0, -4, %l0

```

```

10698: d0 04 00 00 ld [%10], %o0
1069c: 80 a2 3f ff cmp %o0, -1
106a0: 12 bf ff fb bne 0x1068c
106a4: 01 00 00 00 nop
106a8: 81 c7 e0 08 ret
106ac: 81 e8 00 00 restore
106b0: 9d e3 bf 90 save %sp, -112, %sp
106b4: 81 c7 e0 08 ret
106b8: 81 e8 00 00 restore
Disassembly of section .init:

000106bc <.init>:
106bc: 9d e3 bf a0 save %sp, -96, %sp
106c0: 7f ff ff b1 call 0x10584
106c4: 01 00 00 00 nop
106c8: 7f ff ff e6 call 0x10660
106cc: 01 00 00 00 nop
106d0: 81 c7 e0 08 ret
106d4: 81 e8 00 00 restore
Disassembly of section .fini:

000106d8 <.fini>:
106d8: 9d e3 bf a0 save %sp, -96, %sp
106dc: 7f ff ff 79 call 0x104c0
106e0: 01 00 00 00 nop
106e4: 81 c7 e0 08 ret
106e8: 81 e8 00 00 restore
Disassembly of section .plt:

00020740 <.plt>:
...
20770: 03 00 00 30 sethi %hi(0xc000), %g1
20774: 30 bf ff f3 b,a 0x20740
20778: 01 00 00 00 nop
2077c: 03 00 00 3c sethi %hi(0xf000), %g1
20780: 30 bf ff f0 b,a 0x20740
20784: 01 00 00 00 nop
20788: 03 00 00 48 sethi %hi(0x12000), %g1
2078c: 30 bf ff ed b,a 0x20740
20790: 01 00 00 00 nop
20794: 03 00 00 54 sethi %hi(0x15000), %g1
20798: 30 bf ff ea b,a 0x20740
2079c: 01 00 00 00 nop
207a0: 03 00 00 60 sethi %hi(0x18000), %g1
207a4: 30 bf ff e7 b,a 0x20740
207a8: 01 00 00 00 nop
207ac: 03 00 00 6c sethi %hi(0x1b000), %g1
207b0: 30 bf ff e4 b,a 0x20740
207b4: 01 00 00 00 nop
207b8: 01 00 00 00 nop

```

# Why Use Anything Besides Machine Code?

Need for high-level programming languages for

- Readable, familiar notations
- Machine independence (portability)
- Consistency checks during implementation
- Dealing with scale

The art of programming is the art of organizing complexity. Example: *Dijkstra, 1972*

However:

- Acceptable loss of efficiency

First FORTRAN compiler built by IBM, in 1957, translated into code as efficient as hand-coded code. *John Backus*

## Why Learn More than One Programming Language?

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- Each language encourages thinking about a problem in a particular way.
- Each language provides (slightly) different expressiveness & efficiency.

⇒ The language should match the problem.

## Why Learn About Programming Language PRINCIPLES?

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A programming language is a **tool**.

Studying the design of a tool leads to:

- Better understanding of its functionality and limitations.
- Increased competence in using it.
- Basis for lots of other work in computer science.

# Computational Paradigms

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## Imperative:

Sequence of state-changing actions.

- Manipulate an abstract machine with:
  1. Variables naming memory locations
  2. Arithmetic and logical operations
  3. Reference, evaluate, assign operations
  4. Explicit control flow statements
- Fits the von Neumann architecture closely
- Key operations: *Assignment* and “*Goto*”

## Functional:

Composition of operations on data.

- No named memory locations
- Value binding through parameter passing
- Key operations: *Function application* and *Function abstraction*

Basis in **lambda calculus**

## Computational Paradigms (Cont.)

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### Logic:

Formal logic specification of problem.

- Programs say *what* properties the solution must have, not *how* to find it
- Solutions through reasoning process.
- Key operation: *Unification*

Basis in first order predicate logic

### Object-Oriented:

Communication between abstract objects.

- “Objects” collect both the data and the operations
- “Objects” provide *data abstraction*
- Can be either imperative or functional
- Key operation: *Message passing or Method invocation*



## Computational Paradigms (Cont.)

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### Event-Driven:

Objects are associated with events

- events are asynchronous
- arrival of an event triggers action
- main applications: GUI, simulations
- Key operation: *event handling*

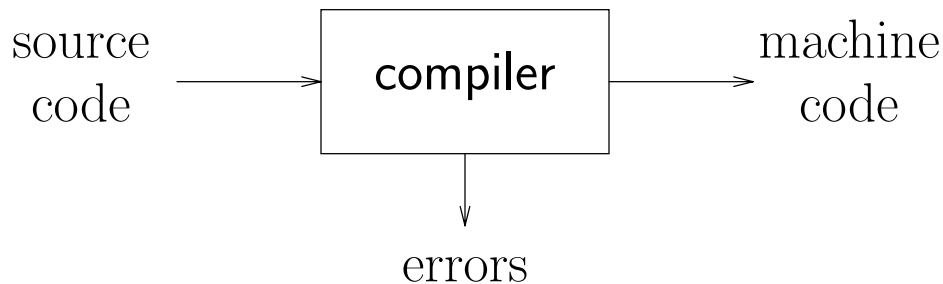
### Parallel:

Computations and data accesses at the same time

- functional (task/threads) and data parallelism
- different granularities: instruction, loop, or task level
- synchronization: locks, message passing, ...
- Key notions: *control and data dependencies*

# Compilers

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## Implications:

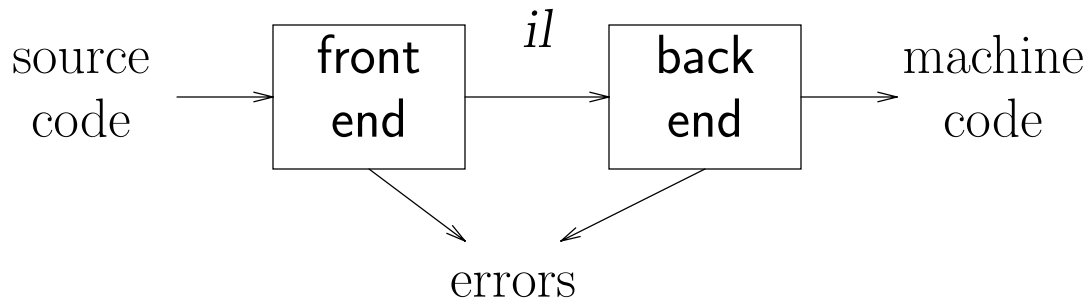
- recognize legal (and illegal) programs
- generate correct code
- manage storage of all variables and code
- need format for object (or assembly) code

*Big step up from assembler – higher level notations*

# Traditional two pass compiler

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Pass: reading and writing entire program



Implications:

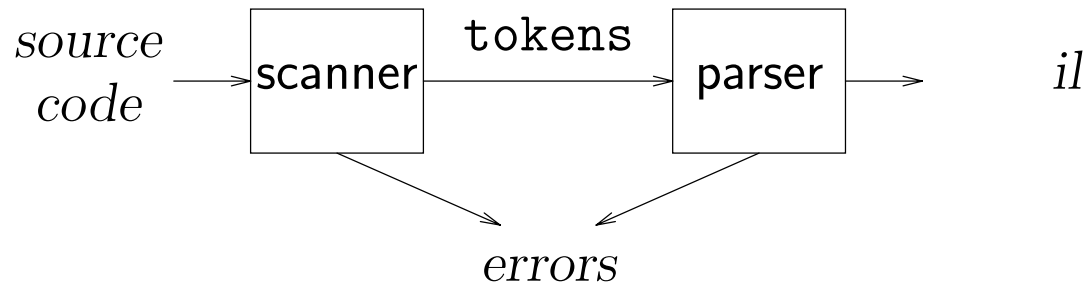
- intermediate language (*il*)
- front end maps legal code into *il*
- back end maps *il* onto target machine
- simplify retargeting
- allows multiple front ends
- multiple passes  $\Rightarrow$  better code

*Front end is  $O(n)$*

*Back end is NP-Complete*

## Front end

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Parser: *syntax & semantic analyzer, il code generator*  
(*syntax-directed translator*)

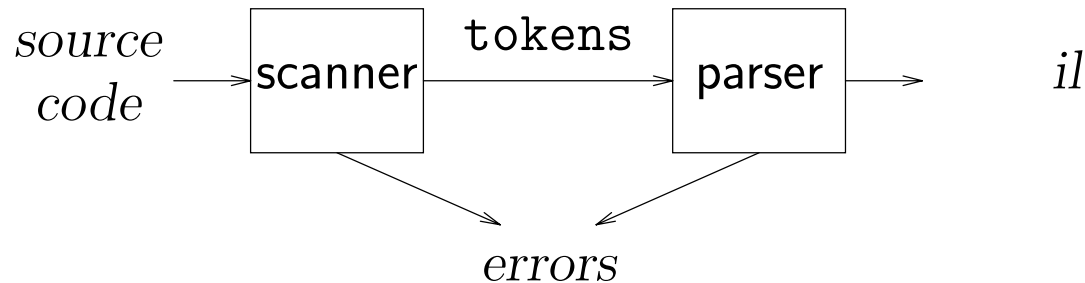
### Front End Responsibilities:

- *recognize legal programs*
- *report errors*
- *produce il*
- *preliminary storage map*
- *shape the code for the back end*

Much of front end construction can be automated

# Scanner

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## Scanner

- *maps characters into tokens – the basic unit of syntax*

`x = x + y;`

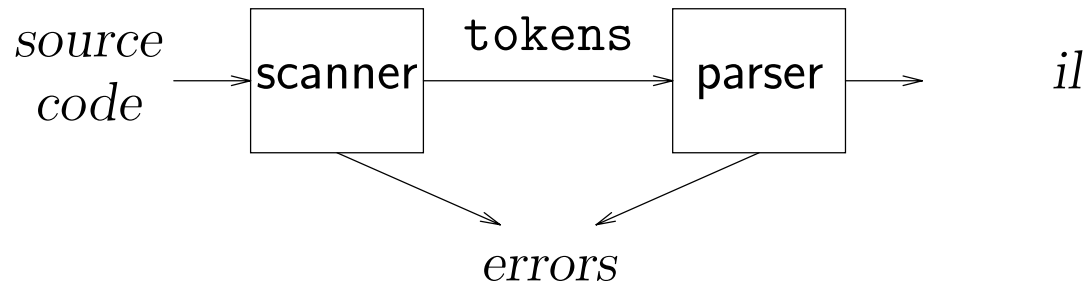
*becomes*

`<id, x> = <id, x> + <id, y> ;`

- *character string for a token is a lexeme*
- *typical tokens: number, id, +, -, \*, /, do, end*
- *eliminates white space (tabs, blanks, comments)*
- *a key issue is speed*  
 $\Rightarrow$  *use specialized recognizer (lex)*

# Parser

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Parser:

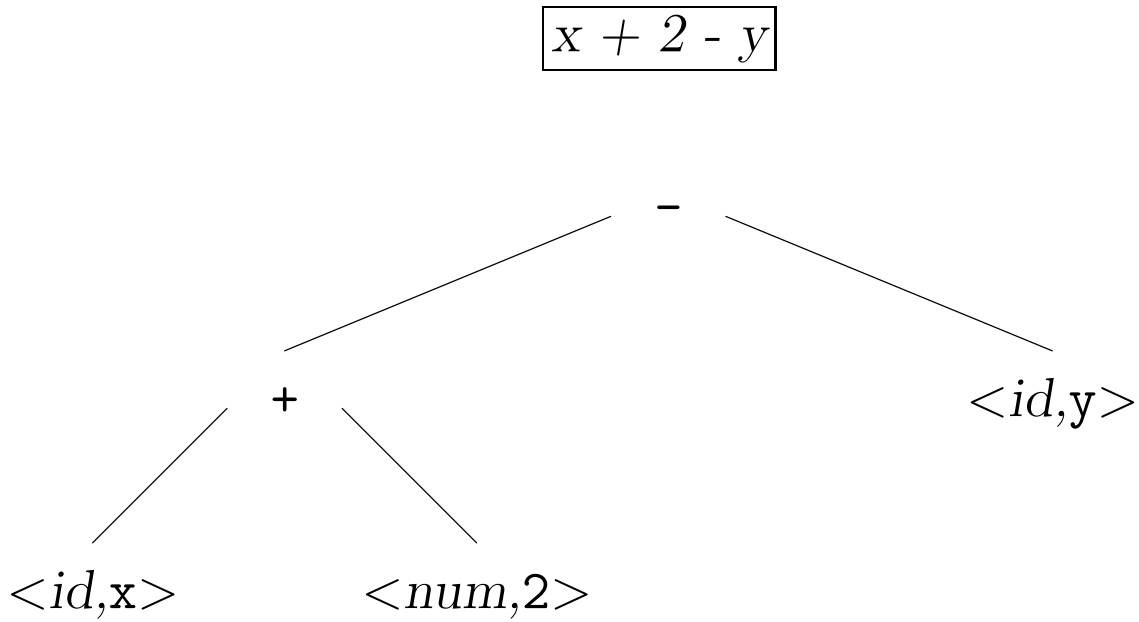
- *recognize context-free syntax (Context Free Grammars)*
- *guide context-sensitive analysis*
- *construct  $il(s)$*
- *produce meaningful error messages*
- *attempt error correction*

Parser generators mechanize much of the work

## Example *il*: Abstract syntax tree (AST)

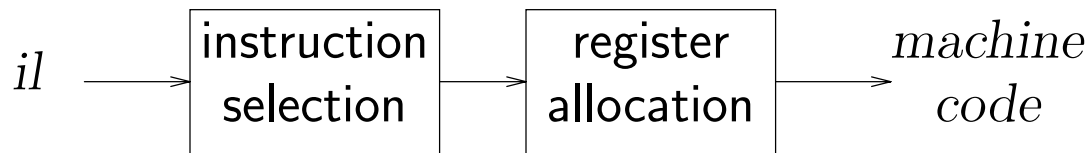
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*Compilers often use an abstract syntax tree.*



## Back end

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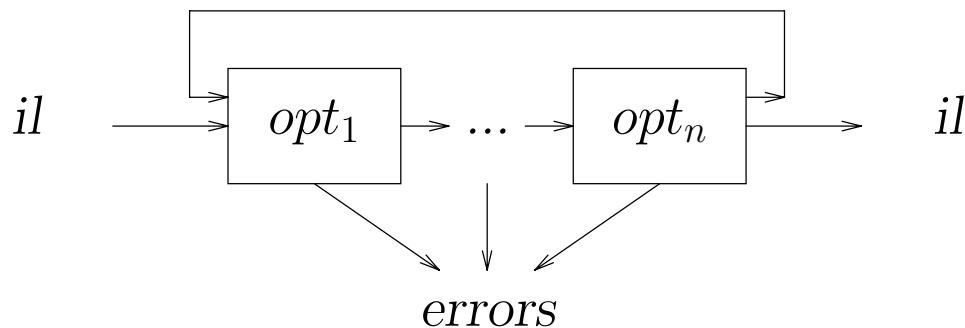
### Responsibilities

- *translate il into target machine code*
- *choose instructions for each il operation*
- *decide what to keep in registers at each point*



## Optimizer (middle end)

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Modern optimizers are usually built as a set of passes.

### Typical passes

- *discover & propagate constant values*
- *reduction of operator strength*
- *common subexpression elimination*
- *redundant computation elimination*
- *encode an idiom in some powerful instruction*
- *move computation to less frequently executed place (e.g., out of loops)*

## Things to Do

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Things to do for next lecture:

- *read Scott: Chapter 1 (covers today's lecture)*
- *read Scott: Chapters 2.1 and 2.2; ALSU: Chapters 3.1 - 3.4*
- *get an ilab account*
- *learn to read Sakai news group*

*Recitations will start **this Friday** (section 3).*