

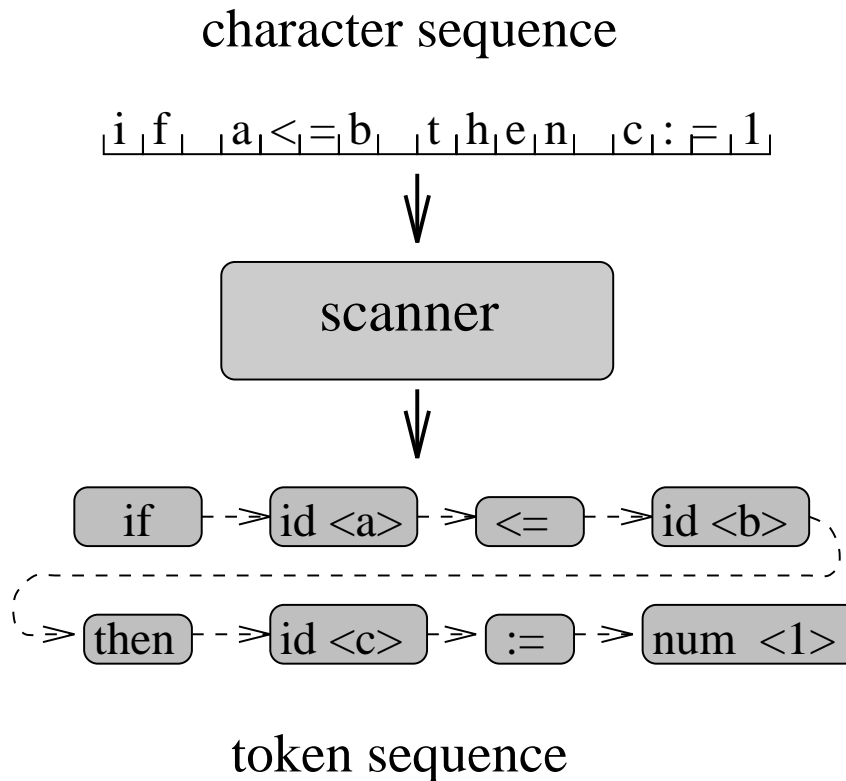
## Class Information

---

- Special permission numbers: last call. Today is deadline for adding a course.
- Second homework will be posted on Tuesday.

## Review: Lexical Analysis (Scott 2.1, 2.2)

---



---

**Tokens** (Terminal Symbols of CFG, Words of Lang.)

- Smallest “atomic” units of syntax
- Used to build all the other constructs
- Example, Pascal:

**keywords:** program begin if then ...

= \* / - < > = <= >= <>

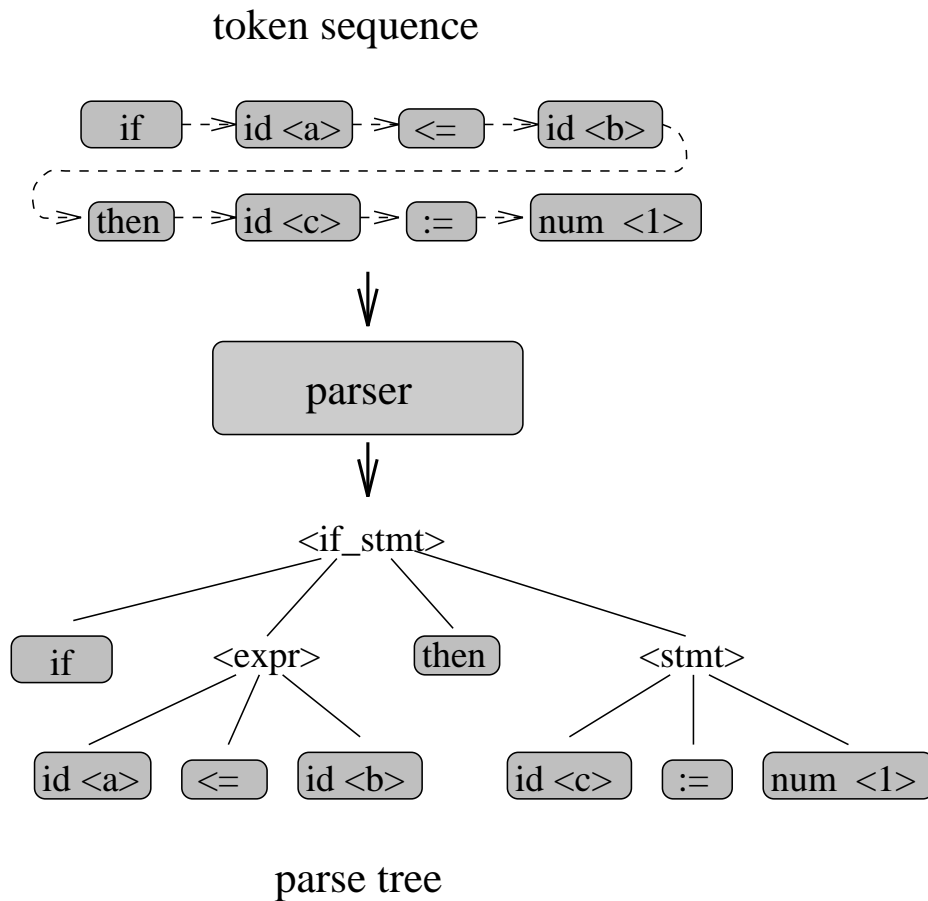
( ) [ ] ; := . , ...

number (Example: 3.14 28 ... )

identifier (Example: b square addEntry ...)

## Syntax Analysis (Scott, Chapter 2.3)

---



---

**BNF (Backus-Naur Form):** A formal notation for describing syntax— how components can be combined to form a valid program.

- To specify which programs are legal
- To describe the structure of programs (*parse tree*)
- BNF is a way of writing context free grammars (CFGs)

## Context Free Grammars (CFGs)

---

- A formalism for describing languages
- A CFG  $\mathcal{G}$  is a quadruple  $\mathcal{G} = \langle T, N, P, S \rangle$ :
  1. A set  $T$  of terminal symbols (**tokens**)
  2. A set  $N$  of nonterminal symbols
  3. A set  $P$  production (rewrite) rules
  4. A special start symbol  $S$
- The language  $L(\mathcal{G})$  is the set of sentences of terminal symbols in  $T^*$  that can be derived from the start symbol  $S$ :  $L(\mathcal{G}) = \{w \in T^* \mid S \Rightarrow^* w\}$

CFGs are rewrite systems with restrictions on the form of rewrite (production) rules that can be used
-------------------------------------------------------------------------------------------------------

A partial example of a CFG in BNF:

...

$\langle \text{if-stmt} \rangle ::= \textbf{if} \langle \text{expr} \rangle \textbf{ then } \langle \text{stmt} \rangle$

$\langle \text{expr} \rangle ::= \textbf{id} \leq \textbf{id}$

$\langle \text{stmt} \rangle ::= \textbf{id} := \textbf{num}$

## Elements of BNF Syntax

---

Terminal Symbol: **Symbol-In-Boldface**

Non-Terminal Symbol: *Symbol-In-Angle-Brackets*

Production Rule:

Non-Terminal ::= Sequence of Symbols

or

Non-Terminal ::= Sequence | Sequence | ...

Alternative Symbol: |

Empty String:  $\epsilon$

## How a BNF Grammar Describes a Language

---

- A *sentence* is a sequence of terminal symbols (tokens)
- The language  $L(\mathcal{G})$  of a BNF grammar  $\mathcal{G}$  is the set of sentences generated using the grammar:
  - Begin with start symbol.
  - Iteratively replace non-terminals with terminals according to rules (rewrite system).
  - This replacing process is called a **derivation** ( $\Rightarrow$ ). Zero or multiple derivation steps are written as  $\Rightarrow^*$ .
  - formally:  $L(\mathcal{G}) = \{w \in T^* \mid S \Rightarrow^* w\}$

# Simple BNF Grammar ( $\mathcal{G}$ )

---

**Terminals** letters, digits,  $:=$

**Nonterminals**  $\langle \text{letter} \rangle$   $\langle \text{digit} \rangle$   $\langle \text{identifier} \rangle$   
 $\langle \text{stmt} \rangle$

## Productions

1.  $\langle \text{letter} \rangle ::= A \mid B \mid C \mid \dots \mid Z$
2.  $\langle \text{digit} \rangle ::= 0 \mid 1 \mid 2 \mid \dots \mid 9$
3.  $\langle \text{identifier} \rangle ::= \langle \text{letter} \rangle \mid$   
 $\langle \text{identifier} \rangle \langle \text{letter} \rangle \mid$   
 $\langle \text{identifier} \rangle \langle \text{digit} \rangle$
4.  $\langle \text{stmt} \rangle ::= \langle \text{identifier} \rangle := 0$

**Start Symbol**  $\langle \text{stmt} \rangle$

## Derivation in a Grammar ( $\mathcal{G}$ )

---

Is  $X_2 := 0 \in L(\mathcal{G})$ , i.e., can  $X_2 := 0$  be derived in  $\mathcal{G}$ ?

In which order to apply the rules?

Typically, there are three options:

leftmost ( $\Rightarrow_L$ )

rightmost ( $\Rightarrow_R$ )

any ( $\Rightarrow$ )

Does it matter?



## Derivation in a Grammar ( $\mathcal{G}$ )

---

Is  $X2 := 0 \in L(\mathcal{G})$ , i.e., can  $X2 := 0$  be derived in  $\mathcal{G}$ ?

leftmost derivation		rule applied
$\langle \text{stmt} \rangle$	$\Rightarrow_L$	4
$\langle \text{identifier} \rangle := 0$	$\Rightarrow_L$	3c
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0$	$\Rightarrow_L$	3a
$\langle \text{letter} \rangle \langle \text{digit} \rangle := 0$	$\Rightarrow_L$	1
$X \langle \text{digit} \rangle := 0$	$\Rightarrow_L$	2
$X2 := 0$		

rightmost derivation		rule applied
$\langle \text{stmt} \rangle$	$\Rightarrow_R$	4
$\langle \text{identifier} \rangle := 0$	$\Rightarrow_R$	3c
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0$	$\Rightarrow_R$	2
$\langle \text{identifier} \rangle 0 := 0$	$\Rightarrow_R$	3a
$\langle \text{letter} \rangle 0 := 0$	$\Rightarrow_R$	1
$X2 := 0$		

## Parsing of a language $L(\mathcal{G})$

---

Can we **recognize**  $X2 := 0$  as being in  $L(\mathcal{G})$ ?

$X2 := 0$	
$\langle \text{letter} \rangle 2 := 0$	1
$\langle \text{identifier} \rangle 2 := 0$	3a
$\langle \text{identifier} \rangle \langle \text{digit} \rangle := 0$	2
$\langle \text{identifier} \rangle := 0$	3c
$\langle \text{stmt} \rangle$	4

**Note:** Different parsing techniques, i.e., the automatic recognition sentences  $w \in L(G)$  will be discussed in more detail in **198:415 Compilers**.

We will talk about LL(1) grammars and an example parser for a small language (**tinyL**) that is implemented using mutually recursive procedures (**recursive descent parser**).

## Parse Trees (in $\mathcal{G}$ )

---

A *parse tree* of  $X2 := 0$  in  $\mathcal{G}$ :

Each internal node is a nonterminal; its children are the RHS of a production for that NT.

The parse tree demonstrates that the grammar generates the terminal string on the frontier.

## A Language May Have Many Grammars

---

Consider  $\mathcal{G}'$ :

**Terminals** letters, digits,  $:=$

**Nonterminals**  $\langle \text{letter} \rangle$   $\langle \text{digit} \rangle$   $\langle \text{ident} \rangle$   $\langle \text{stmt} \rangle$   
 $\langle \text{letterordigit} \rangle$

**Productions**

1.  $\langle \text{letter} \rangle ::= A \mid B \mid C \mid \dots \mid Z$
2.  $\langle \text{digit} \rangle ::= 0 \mid 1 \mid 2 \mid \dots \mid 9$
3.  $\langle \text{ident} \rangle ::= \langle \text{letter} \rangle \mid$   
 $\langle \text{ident} \rangle \langle \text{letterordigit} \rangle$
4.  $\langle \text{stmt} \rangle ::= \langle \text{ident} \rangle := 0$
5.  $\langle \text{letterordigit} \rangle ::= \langle \text{letter} \rangle \mid \langle \text{digit} \rangle$

**Start Symbol**  $\langle \text{stmt} \rangle$

## A Language May Have Many Grammars

$\mathcal{G}$  and  $\mathcal{G}'$  generate the same language, but yield different parse trees.

Example: A *parse tree* of  $\mathbf{x2} := 0$  in  $\mathcal{G}'$ .

# Grammars and Programming Languages

---

Many grammars may correspond to one programming language.

Good grammars:

- capture the logical structure of the language  
⇒ structure carries some semantic information  
(example: expression grammar)
- use meaningful names
- are easy to read,
- are unambiguous
- ...

What's the problem with ambiguity?

## Ambiguous Grammars

---

“Time flies like an arrow; fruit flies like a banana.”

A grammar  $\mathcal{G}$  is ambiguous iff there exist a  $w \in L(\mathcal{G})$  such that there are

1. two distinct parse trees for  $w$ , or
2. two distinct leftmost derivations for  $w$ , or
3. two distinct rightmost derivations for  $w$ .

We want a unique semantics of our programs, which typically requires a unique syntactic structure.

## Simple Statement Grammar

---

$\langle \text{start} \rangle ::= \langle \text{stmt} \rangle$

$\langle \text{stmt} \rangle ::= \langle \text{if-stmt} \rangle \mid \langle \text{assgn} \rangle$

$\langle \text{if-stmt} \rangle ::= \mathbf{if} \langle \text{expr} \rangle \mathbf{then} \langle \text{stmt} \rangle \mid$   
 $\mathbf{if} \langle \text{expr} \rangle \mathbf{then} \langle \text{stmt} \rangle \mathbf{else} \langle \text{stmt} \rangle$

$\langle \text{assgn} \rangle ::= \langle \text{id} \rangle := \langle \text{d} \rangle$

$\langle \text{expr} \rangle ::= \langle \text{id} \rangle = 0$

$\langle \text{d} \rangle ::= 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

$\langle \text{id} \rangle ::= a \mid b \mid c \mid \dots \mid z$



## Dangling Else Ambiguity

How are nested **if** statements parsed with this grammar?

**if**  $x = 0$  **then** **if**  $y = 0$  **then**  $z := 1$  **else**  $z := 2$

## Dangling Else Ambiguity

---

**if**  $x = 0$  **then** **if**  $y = 0$  **then**  $z := 1$  **else**  $z := 2$

How to deal with ambiguity?

1. Change the language to include **delimiters** (e.g.: new terminal symbol)

Examples: dangling else, expression grammar

2. Change the grammar

Example: impose **associativity** and **precedence** in an arithmetic expression grammar

## Changing the Language to Include Delimiters

Algol 68 if statement:

$$\begin{aligned} \langle \text{if-stmt} \rangle ::= & \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt} \rangle \text{ fi} \mid \\ & \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt} \rangle \\ & \quad \text{else } \langle \text{stmt} \rangle \\ & \text{fi} \end{aligned}$$

How would you use this syntax to express the meaning of the two different parse trees for:

**if**  $x = 0$  **then** **if**  $y = 0$  **then**  $z := 1$  **else**  $z := 2$

# Arithmetic Expression Grammar

---

$\langle \text{start} \rangle ::= \langle \text{expr} \rangle$

$\langle \text{expr} \rangle ::= \langle \text{expr} \rangle + \langle \text{expr} \rangle \mid$   
 $\langle \text{expr} \rangle - \langle \text{expr} \rangle \mid$   
 $\langle \text{expr} \rangle * \langle \text{expr} \rangle \mid$   
 $\langle \text{expr} \rangle / \langle \text{expr} \rangle \mid$   
 $\langle \text{expr} \rangle ^ \langle \text{expr} \rangle \mid$   
 $\langle \text{d} \rangle \mid \langle \text{l} \rangle$

$\langle \text{d} \rangle ::= 0 \mid 1 \mid 2 \mid 3 \mid \dots \mid 9$

$\langle \text{l} \rangle ::= a \mid b \mid c \mid \dots \mid z$

## Possible Parse Trees

---

Parse “ $8 - 3 * 2$ ”:

## Changing the Language to Include Delimiters

$\langle \text{expr} \rangle ::= (\langle \text{expr} \rangle) - (\langle \text{expr} \rangle) \mid$

$(\langle \text{expr} \rangle) * (\langle \text{expr} \rangle) \mid$

$\langle l \rangle \mid \langle d \rangle$

$(8) - ((5) * (2))$

$((8) - (5)) * (2)$

Pretty ugly, isn't it? Is there any other way to disambiguate our expression grammar?

## Changing the Grammar to Impose Precedence

---

$$\langle \text{expr} \rangle ::= \langle \text{expr} \rangle - \langle \text{expr} \rangle \mid \\ \langle \text{term} \rangle$$
$$\langle \text{term} \rangle ::= \langle \text{term} \rangle * \langle \text{term} \rangle \mid \\ \langle \text{factor} \rangle$$
$$\langle \text{factor} \rangle ::= 0 \mid 1 \mid 2 \mid 3 \mid \dots \mid 9$$

## Next Lecture

---

Expression grammars, precedence, associativity Top-down parsing, FIRST and FOLLOW sets, LL(1) grammars

Things to do:

- read Scott, Ch. 2.3 - 2.5 (skip 2.3.3 Bottom-up Parsing)