

A vertical bar on the left side of the slide, composed of several overlapping oval shapes in shades of grey, black, and red.

## Computational Syntax

Koldo Gojenola. HAP/LAP.

## 1 Context-free Grammars

- Introduction
- A Context-free Grammar for English (taken from Eisenstein 2019)
- Context-free Grammar: exercises

## 2 Context-Free Parsing

- Implementing Context-free Grammar Based Analyzers
  - Weighted Context-free Grammars (WCFG)
  - Probabilistic Context-free Grammars (PCFG)
- Grammar Refinement
  - Parent Annotation
  - Lexicalized Context-free Grammars

## 3 Unification-based Grammars

## 4 Dependency Parsing

## Bibliography

- Computational Approaches to Morphology and Syntax (Oxford Surveys in Syntax & Morphology), 2007 by Brian Roark, Richard Sproat
- Introduction to Natural Language Processing (Adaptive Computation and Machine Learning series, MIT Press). Jacob Eisenstein. 2019  
Notes (2018 version): <https://github.com/jacobeisenstein/gt-nlp-class/blob/master/notes/eisenstein-nlp-notes.pdf>
- Michael Collins, slides:
  - Probabilistic Context-Free Grammars (PCFGs): <http://www.cs.columbia.edu/~mcollins/courses/nlp2011/notes/pcfgs.pdf>
  - Lexicalized Probabilistic Context-Free Grammars (PCFGs): <http://www.cs.columbia.edu/~mcollins/courses/nlp2011/notes/lexpcfgs.pdf>
- Natural Language Processing with Python. NLTK Book. Chapter 8. Analyzing Sentence Structure. <http://www.nltk.org/book/ch08.html>
- Dependency Parsing (Synthesis Lectures on Human Language Technologies), 2009 by Sandra Kubler, Ryan McDonald, Joakim Nivre. Morgan & Claypool Publishers

## 1 Context-free Grammars

- Introduction
- A Context-free Grammar for English (taken from Eisenstein 2019)
- Context-free Grammar: exercises

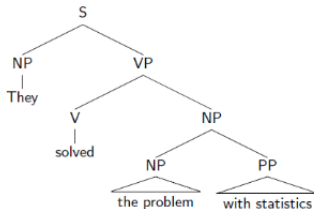
## 2 Context-Free Parsing

## 3 Unification-based Grammars

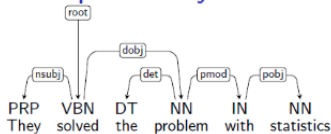
## 4 Dependency Parsing

### Two approaches to syntax

#### Constituent Trees



#### Dependency Trees



### Why Context-Free Grammar?

- Regular languages *can't count*
- Example:  $a^n b^n$  (*with  $n > 0$* ) can not be generated by a regular grammar
- But a simple CFG grammar can
- There are syntactic constructions that have a similar pattern, like center embedding:

the dog

the cat the dog chased

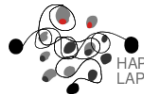
the goat the cat the dog chased kissed

It corresponds to the pattern  $\text{noun}^n \text{verb}^{n-1}$



### Context-Free Grammar (CFG)

- $S \rightarrow NP VP$
- $VP \rightarrow Verb NP$
- $NP \rightarrow PropN$
- $NP \rightarrow Pron$



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### General form:

- Rules have the form:  $a \rightarrow b c d$   
where  $a$  is a non-terminal symbol and  $b c d$  are terminal symbols



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- Starting from the axiom ( $S$ ) and applying the rules we can generate the strings of the language

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- The sequences generated by the grammar are represented by a tree



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### Context-Free Grammar (CFG)

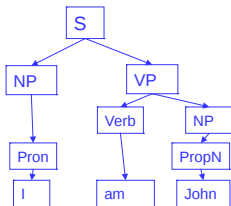
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- $VP \rightarrow Verb NP$
- $NP \rightarrow PropN$
- $NP \rightarrow Pron$

### Lexical rules

- $Pron \rightarrow I$
- $Verb \rightarrow am$
- $PropN \rightarrow John$

# Context-free Grammars

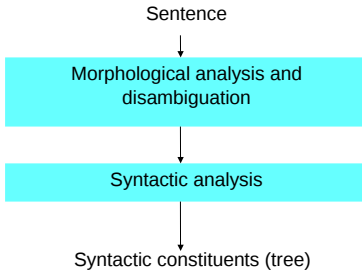
## Introduction





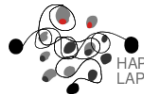
### General architecture

### General architecture



# Context-free Grammars

A Context-free Grammar for English (taken from Eisenstein 2019)



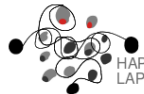
## Main Syntactic Categories

- Sentence
- Noun Phrase
- Verb Phrase
- Other
  - Prepositional Phrases
  - Adverbial Phrases
  - Adjectival Phrases



# Context-free Grammars

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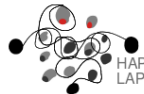


## Sentence

- Basic Rule:  $S \rightarrow NP VP$
- Other:
  - $S \rightarrow ADVP NP VP$   
Unfortunately Abigail ate the kimchi.
  - $S \rightarrow S CC S$   
Abigail ate the kimchi and Max had a burger.
  - $S \rightarrow VP$   
Eat the kimchi.

# Context-free Grammars

A Context-free Grammar for English (taken from Eisenstein 2019)



## Noun Phrase

- $NP \rightarrow NN \mid NNS \mid NNP \mid PRP$   
singular, plural, and proper nouns; PRP: personal pronouns
- $NP \rightarrow DET\ NN \mid DET\ NNS \mid DET\ NNP \mid PRP$
- $NP \rightarrow NN\ NN \mid NN\ NNS \mid DET\ NN\ NN \mid \dots$
- Recursive NP Phrases:
  - $NP \rightarrow NP\ CC\ NP$   
the red and the black
  - $NP \rightarrow NP\ PP$   
the President of the Georgia Institute of Technology
  - $NP \rightarrow NP\ SBAR$   
a whale which he had wounded
  - $NP \rightarrow NP\ VP$   
a whale taken near Shetland

## Verb Phrase

- $VP \rightarrow VB \mid VBZ \mid VBD \mid VBN \mid VBG \mid VBP$

base form (VB: she likes to snack), present-tense third-person singular (VBZ: she snacks), present tense but not third-person singular (VBP: they snack), past tense (VBD: they snacked), present participle (VBG: they are snacking), and past participle (VBN: they had snacked)

- Recursive VP Phrases:

- $VP \rightarrow MD \ VP$   
She **will** snack
- $VP \rightarrow VBD \ VP$   
She **had** snacked
- $VP \rightarrow VBZ \ VP$   
She **has been** snacking
- $VP \rightarrow VBN \ VP$   
She **has been** snacking
- $VP \rightarrow TO \ VP$   
She wants **to** snack
- $VP \rightarrow VP \ CC \ VP$   
She **buys and eats** many snacks

## Verb Phrase (continued):

- Verb complements:
  - $VP \rightarrow VBZ\ NP$   
She teaches algebra
  - $VP \rightarrow VBG\ NP$   
She has been teaching algebra
  - $VP \rightarrow VBD\ NP\ NP$   
She taught her brother algebra
  - $VP \rightarrow VBZ\ S$   
Hunter wants to eat the kimchi
  - $VP \rightarrow VBZ\ SBAR$   
Hunter knows that Tristan ate the kimchi
- Prepositional and Adverbial Phrases:
  - $VP \rightarrow VBZ\ PP$   
She studies at night
  - $VP \rightarrow VBZ\ ADVP$   
She studies intensively
  - $VP \rightarrow ADVP\ VBG$   
She is not studying

# Context-free Grammars

A Context-free Grammar for English (taken from Eisenstein 2019)



## Verb Phrase (continued):

- Copula:
  - $VP \rightarrow VBZ\ ADJP$   
She **is** hungry
  - $VP \rightarrow VBP\ ADJP$   
Success **seems increasingly unlikely**

## Other constituents:

- Prepositional Phrases:
  - $PP \rightarrow IN\ NP$   
the whiteness of the whale
  - $PP \rightarrow TO\ NP$   
What the white whale was to Ahab, has been hinted
- Complement Clauses:
  - $SBAR \rightarrow IN\ S$   
She said that it was spicy
  - $SBAR \rightarrow S$   
She said it was spicy
- Adverbial Clauses:
  - $ADVP \rightarrow RB\ RBR$   
They went considerably further
  - $ADVP \rightarrow ADVP\ PP$   
They went considerably further than before

# Context-free Grammars

A Context-free Grammar for English (taken from Eisenstein 2019)



## Other constituents:

### • Adjectival Clauses:

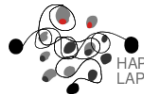
- ADJP → RB JJ  
very hungry
- ADJP → RBR JJ  
more hungry
- ADJP → JJS JJ  
best possible
- ADJP → RB JJR  
even bigger
- ADJP → JJ CC JJ  
high and mighty
- ADJP → JJ JJ  
West German
- ADJP → RB VBN  
previously reported

### • Coordination:

- PP → PP CC PP  
on time and under budget
- ADVP → ADVP CC ADVP  
now and two years ago
- ADJP → ADJP CC ADJP  
quaint and rather deceptive
- SBAR → SBAR CC SBAR  
whether they want control or whether they want exports

# Context-free Grammars

A Context-free Grammar for English (taken from Eisenstein 2019)



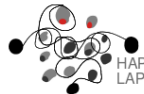
## Ambiguity:

- PP attachment: I saw the man on the hill with a statue
- Coordination: The man took the hammer and saw
- Modifier scope: plastic bag container



Exercise I: produce a parse tree for these sentences using the rules that have been presented:

- This aggression will not stand.
- I can get you a toe.
- Sometimes you eat the bar and sometimes the bar eats you.



Exercise II: write a grammar to capture the following agreement in Spanish:

- La casa bonita
- El perro bonito

### Specific domains: semantic grammars

- $\text{Intervention} \rightarrow \text{question} \mid \text{order} \mid \dots$
- $\text{order} \rightarrow v \{ \textit{imperative}(1), \textit{order}(1) \}$
- $\text{np} \rightarrow \text{baseNp} \mid$
- $\text{np} \rightarrow \text{baseNp} \text{ npMod} \{ \textit{agreement}(1, 2) \}$
- $\text{baseNp} \rightarrow n \mid$
- $\text{baseNp} \rightarrow \text{det} \text{ adj} \text{ n} \{ \textit{agreement}(1, 2, 3) \}$
- $\text{npMod} \rightarrow \text{pp} \mid \dots$
- $\text{pp} \rightarrow \text{prep} \text{ np}$
- $\text{np} \rightarrow \text{"barcelona"} \mid \text{"valencia"} \mid \dots$
- $n \rightarrow \text{"ticket"} \mid \text{"euromed"} \dots$
- $v \rightarrow \text{"give"} \mid \dots$
- $\text{det} \rightarrow \text{"a"} \mid \text{"the"} \mid \dots$

# Context-free Grammars

## Context-free Grammar: exercises



### Context-free Grammars

- NLTK exercise (open the CFG notebook in egela)
- Try different sentences with a basic grammar
- Extend the grammar

1 Context-free Grammars

2 Context-Free Parsing

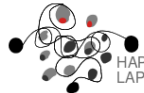
- Implementing Context-free Grammar Based Analyzers
- Grammar Refinement

3 Unification-based Grammars

4 Dependency Parsing

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Parsing algorithms

- Top-down parsing
- Shift-reduce parsing (bottom-up)
- Chart-based algorithms

### Parsing algorithms: Recursive descent parsing

- Top-down parsing
- Idea: try to apply rules starting from the top symbol
- If a rule can not be applied, then try the next rule
- Until all the sentence is covered or there are no more rules
- Problem: a lot of work can be repeated

### Recursive descent demo (NLTK)

- `python` (from the command line)
- `>>> import nltk`
- `>>> nltk.app.rdparser()`

### Parsing algorithms: shift-reduce parsing

- Bottom-up parsing
- Idea: two main structures: stack (of analyzed elements) and input sequence
- The elements will be shifted onto the stack until a right-hand side of a rule is formed, and then it is replaced by the left-hand side of the rule
- Until the sentence has been analyzed or no rule can be applied
- Problem: the process can go to a dead end, even when there is one analysis (improvement: backtracking)

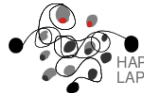
### Shift-reduce parsing demo (NLTK)

- `python` (from the command line)
- `>>> import nltk`
- `>>> nltk.app.srparser()`



# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Parsing algorithms: chart parsing

- Idea: store the obtained analysis in a table, so that no analysis will be repeated
- Many alternatives and algorithms: top-down, bottom-up and hybrid

### Chart parsing demo (NLTK)

- `python` (from the command line)
- `>>> import nltk`
- `>>> nltk.app.chartparser()`

### The CKY algorithm

- Grammar in Chomsky Normal Form
- Chart parsing, bottom-up dynamic programming algorithm
- Main idea:
  - Start finding the smallest elements (length 1)
  - Then continue finding elements of length 2, 3, ...
  - Repeat until finding elements of length  $M$  (length of the sentence)
- Time:  $O(M^3 N)$ , where  $M$  = length of the sentence;  $N$  = number of grammar rules

### CKY algorithm: Grammar in Chomsky Normal Form (CNF)

- Grammar equivalence
- A single CF Language can be expressed by more than one CF Grammar
- Two grammars are **weakly equivalent** if they generate the same strings
- Two grammars are **strongly equivalent** if they generate the same strings via the same derivations
- For example:
  - $S \rightarrow aSb \mid ab$
  - $S \rightarrow aSb \mid aabb \mid ab$

### Grammar in Chomsky Normal Form (CNF)

- The right-hand side of every production includes either
  - two nonterminals, e.g.  $A \rightarrow BC$ , or
  - a single terminal symbol, e.g.  $A \rightarrow a$

### Grammar Transformation (CNF)

- Any CFG can be converted to a CNF grammar
- For example:  $W \rightarrow X Y Z$
- Can be replaced by two productions:
  - $W \rightarrow X W \backslash X$
  - $W \backslash X \rightarrow Y Z \mid$

### Grammar Transformation (CNF)

- Any CFG can be converted to a CNF grammar
- For example:  $W \rightarrow X Y Z$
- Can be replaced by two productions:
  - $W \rightarrow X W \backslash X$
  - $W \backslash X \rightarrow Y Z$

### Exercise1: convert the following grammar to CNF:

- $S \rightarrow a S b \mid a b$

### Exercise2: convert the following grammar to CNF:

- $NP \rightarrow \text{Det ADJ N} \mid \text{NP CORD NP}$
- $\text{Det} \rightarrow a \mid \text{the}$
- $N \rightarrow \text{dog} \mid \text{cat}$
- $\text{ADJ} \rightarrow \text{big} \mid \text{ADJ big}$
- $\text{CORD} \rightarrow \text{and}$

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



Example. A grammar in CNF:

- Sentence  $\rightarrow$  NP VP
- NP  $\rightarrow$  A B
- VP  $\rightarrow$  C NP
- A  $\rightarrow$  det
- B  $\rightarrow$  n
- NP  $\rightarrow$  n
- VP  $\rightarrow$  vi
- C  $\rightarrow$  vt

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Example of the CKY algorithm: initial state

0    **the**            1    **cat**            2    **eats**            3    **fish**            4

     det                    n                    vi, vt                    n


Sentence  $\rightarrow$  NP VP  
NP  $\rightarrow$  A B  
VP  $\rightarrow$  C NP  
A  $\rightarrow$  det  
B  $\rightarrow$  n  
VP  $\rightarrow$  vi  
NP  $\rightarrow$  n  
C  $\rightarrow$  vt



# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Example of the CKY algorithm. Initialization: elements of length 1

0	<b>the</b> det	1	<b>cat</b> n	2	<b>eats</b> vi, vt	3	<b>fish</b> n	4
<b>the (det)</b> (0,1) <b>A</b>								
		<b>cat (n)</b> (1,2) <b>B, NP</b>						
			<b>eats (vt, vi)</b> (2,3) <b>VP, C</b>					
					<b>fish (n)</b> (3,4) <b>B, NP</b>			

Sentence  $\rightarrow$  NP VP  
NP  $\rightarrow$  A B  
VP  $\rightarrow$  C NP  
A  $\rightarrow$  det  
B  $\rightarrow$  n  
VP  $\rightarrow$  vi  
NP  $\rightarrow$  n  
C  $\rightarrow$  vt

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



Example of the CKY algorithm. Find elements of length 2

0	the det	1	cat n	2	eats vi, vt	3	fish n	4
the (det) (0, 1)		the cat (0, 2)						
A		NP						
		cat (n) (1, 2)		cat eats (1, 3)				
		B, NP		Sentence				
				eats (vt, vi) (2, 3)		eats fish (2, 4)		
				VP, C		VP		
						fish (n) (3, 4)		
						B, NP		

Sentence  $\rightarrow$  NP VP  
 NP  $\rightarrow$  A B  
 VP  $\rightarrow$  C NP  
 A  $\rightarrow$  det  
 B  $\rightarrow$  n  
 VP  $\rightarrow$  vi  
 NP  $\rightarrow$  n  
 C  $\rightarrow$  vt

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



Example of the CKY algorithm. Find elements of length 3

0	the det	1	cat n	2	eats vi, vt	3	fish n	4
the (det) (0, 1)	the cat (0, 2)	the cat eats (0, 3)						
A	NP	Sentence						
	cat (n) (1, 2)	cat eats (1, 3)	cat eats fish (1, 4)					
	B, NP	Sentence	Sentence					
		eats (vt, vi) (2, 3)	eats fish (2, 4)					
		VP, C	VP					
			fish (n) (3, 4)					
			B, NP					

Sentence  $\rightarrow$  NP VP  
 NP  $\rightarrow$  A B  
 VP  $\rightarrow$  C NP  
 A  $\rightarrow$  det  
 B  $\rightarrow$  n  
 VP  $\rightarrow$  vi  
 NP  $\rightarrow$  n  
 C  $\rightarrow$  vt

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



Example of the CKY algorithm. Find elements of length 4

0	the det	1	cat n	2	eats vi, vt	3	fish n	4
the (det) (0, 1) <b>A</b>		the cat (0, 2) <b>NP</b>		the cat eats (0, 3) <b>Sentence</b>		the cat eats fish (0, 4) <b>Sentence</b>		
		cat (n) (1, 2) <b>B, NP</b>		cat eats (1, 3) <b>Sentence</b>		cat eats fish (1, 4) <b>Sentence</b>		
				eats (vt, vi) (2, 3) <b>VP, C</b>		eats fish (2, 4) <b>VP</b>		
						fish (n) (3, 4) <b>B, NP</b>		

Sentence → NP VP

NP → A B

VP → C NP

A → det

B → n

VP → vi

NP → n

C → vt

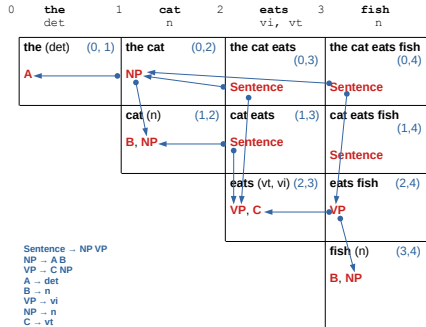
Sentence  $\rightarrow$  NP VP  
 NP  $\rightarrow$  A B  
 VP  $\rightarrow$  C NP  
 A  $\rightarrow$  det  
 B  $\rightarrow$  n  
 VP  $\rightarrow$  vi  
 NP  $\rightarrow$  n  
 C  $\rightarrow$  vt

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Example of the CKY algorithm. How to recover the tree: backpointers



# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Example of the CKY algorithm.

0	a	1	a	2	a	3	b	4	b	5

$S \rightarrow AB \mid XB$   
 $T \rightarrow AB \mid XB$   
 $X \rightarrow AT$   
 $A \rightarrow a$   
 $B \rightarrow b$

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Example of the CKY algorithm

0    **Jeff**    1    **trains**    2    **geometry**    3    **students**    4


S → N VP  
N → N N  
VP → V N  
N → students | Jeff  
| geometry | trains  
| Andy's | guitar  
V → trains | play

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Example of the CKY algorithm.

0    **Andy's**    1    **students**    2    **play**    3    **guitar**    4


S → N VP  
N → N N  
VP → V N  
N → students | Jeff  
| geometry | trains  
| Andy's | guitar  
V → trains | play



### The CKY algorithm (taken from Eisenstein 2019)

**Algorithm 13** The CKY algorithm for parsing a sequence  $w \in \Sigma^*$  in a context-free grammar  $G = (N, \Sigma, R, S)$ , with non-terminals  $N$ , production rules  $R$ , and start symbol  $S$ . The grammar is assumed to be in Chomsky normal form (section 9.2.1). The function  $\text{PICKFROM}(b[i, j, X])$  selects an element of the set  $b[i, j, X]$  arbitrarily. All values of  $t$  and  $b$  are initialized to  $\emptyset$ .

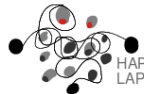
```
1: procedure CKY( $w, G = (N, \Sigma, R, S)$ )
2:   for  $m \in \{1 \dots M\}$  do
3:      $t[m-1, m] \leftarrow \{X : (X \rightarrow w_m) \in R\}$ 
4:   for  $\ell \in \{2, 3, \dots, M\}$  do ▷ Iterate over constituent lengths
5:     for  $m \in \{0, 1, \dots, M-\ell\}$  do ▷ Iterate over left endpoints
6:       for  $k \in \{m+1, m+2, \dots, m+\ell-1\}$  do ▷ Iterate over split points
7:         for  $(X \rightarrow YZ) \in R$  do ▷ Iterate over rules
8:           if  $Y \in t[m, k] \wedge Z \in t[k, m+\ell]$  then
9:              $t[m, m+\ell] \leftarrow t[m, m+\ell] \cup X$  ▷ Add non-terminal to table
10:             $b[m, m+\ell, X] \leftarrow b[m, m+\ell, X] \cup (Y, Z, k)$  ▷ Add back-pointers
11:   if  $S \in t[0, M]$  then
12:     return  $\text{TRACEBACK}(S, 0, M, b)$ 
13:   else
14:     return  $\emptyset$ 
15: procedure  $\text{TRACEBACK}(X, i, j, b)$ 
16:   if  $j = i + 1$  then
17:     return  $X$ 
18:   else
19:      $(Y, Z, k) \leftarrow \text{PICKFROM}(b[i, j, X])$ 
20:     return  $X \rightarrow (\text{TRACEBACK}(Y, i, k, b), \text{TRACEBACK}(Z, k, j, b))$ 
```

Implementation details for CKY. Every item in the chart must indicate:

- $X \rightarrow \alpha (i,j,k)$
- $X$  spans  $w_{i+1,j}$
- For binary rules,  $k$  marks the split point  $i < k < j$
- For example, if  $\alpha = Y Z$ , then  $Y$  spans  $w_{i+1,k}$  and  $Z$  spans  $w_{k+1,j}$
- Another table (or the same one) can store the backpointers to  $Y$  and  $Z$

# Context-Free Parsing

Implementing Context-free Grammar Based Analyzers



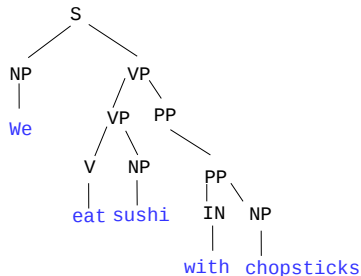
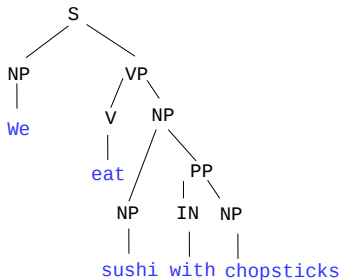
Example: CKY demo

<http://sujeet.me/CYK/parser.html>

### Evaluating parsers:

- Precision: the fraction of constituents in the system parse that match a constituent in the reference parse.
- Recall: the fraction of constituents in the reference parse that match a constituent in the system parse.

### Evaluating parsers



From Eisenstein 2018

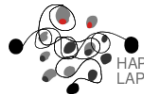
Evaluate precision and recall (left tree: system tree, right tree: gold/reference tree)

### Weighted Context-free Grammars (WCFG)

- With a real language grammar, typically the parser can obtain hundreds or thousands of syntactic trees for a sentence

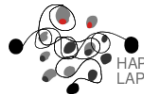
# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Weighted Context-free Grammars (WCFG)

- With a real language grammar, typically the parser can obtain hundreds or thousands of syntactic trees for a sentence
- How to select the best one?



### Weighted Context-free Grammars (WCFG)

- With a real language grammar, typically the parser can obtain hundreds or thousands of syntactic trees for a sentence
- How to select the best one?
- Use weights calculated somehow (treebank, corpora, ...)

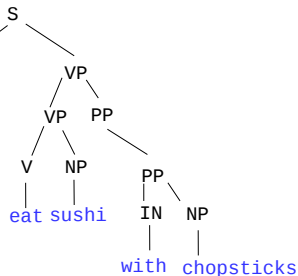
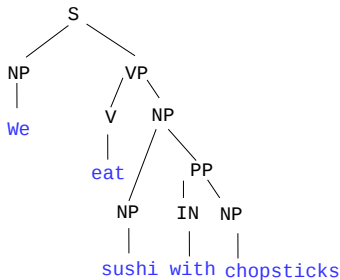


### Example of Weighted Context-Free Grammar (WCFG) Eisenstein 2019

		$\psi(\cdot)$	$\exp \psi(\cdot)$
S	$\rightarrow$ NP VP	0	1
NP	$\rightarrow$ NP PP	-1	$\frac{1}{2}$
	$\rightarrow$ <i>we</i>	-2	$\frac{1}{4}$
	$\rightarrow$ <i>sushi</i>	-3	$\frac{1}{8}$
	$\rightarrow$ <i>chopsticks</i>	-3	$\frac{1}{8}$
PP	$\rightarrow$ IN NP	0	1
IN	$\rightarrow$ <i>with</i>	0	1
VP	$\rightarrow$ V NP	-1	$\frac{1}{2}$
	$\rightarrow$ VP PP	-2	$\frac{1}{4}$
	$\rightarrow$ MD V	-2	$\frac{1}{4}$
V	$\rightarrow$ <i>eat</i>	0	1

Table 10.2: An example weighted context-free grammar (WCFG). The weights are chosen so that  $\exp \psi(\cdot)$  sums to one over right-hand sides for each non-terminal; this is required by probabilistic context-free grammars, but not by WCFGs in general.

### Weighted Context-Free Grammar (WCFG)



From Eisenstein 2018

- Scoring function of a tree: sum of item scores
- Given the two trees, calculate the score of each one

### Parsing with Weighted Context-Free Grammar (WCFG)

- For each item in the chart:  $X \rightarrow Y Z (i,j,k)$   
we must keep the *score of the best derivation of  $X$  spanning  $w_{i+1,j}$*
- We will compute each the score of each element  $X$  as the maximum of:
  - Given  $X \rightarrow Y Z (i,j,k)$
  - The score of the production  $X \rightarrow Y Z$  plus
  - The score of the best derivation for  $Y: w_{i+1,k}$  plus
  - The score of the best derivation for  $Z: w_{k+1,k}$
- The scores will be combined by addition.
- The score ( $\psi$ ) of a tree formed by rules  $(\alpha_1 \rightarrow \beta_1, \dots, \alpha_N \rightarrow \beta_N)$ :

$$\psi(t) = \sum_{i=1}^N \psi(\alpha_i \rightarrow \beta_i)$$

### The CKY algorithm with a WCFG (Eisenstein 2019)

**Algorithm 14** CKY algorithm for parsing a string  $w \in \Sigma^*$  in a weighted context-free grammar  $(N, \Sigma, R, S)$ , where  $N$  is the set of non-terminals and  $R$  is the set of weighted productions. The grammar is assumed to be in Chomsky normal form (section 9.2.1). The function TRACEBACK is defined in Algorithm 13.

```
procedure WCKY( $w, G = (N, \Sigma, R, S)$ )  
  for all  $i, j, X$  do ▷ Initialization  
     $t[i, j, X] \leftarrow 0$   
     $b[i, j, X] \leftarrow \emptyset$   
  for  $m \in \{1, 2, \dots, M\}$  do  
    for all  $X \in N$  do  
       $t[m, m + 1, X] \leftarrow \psi(X \rightarrow w_m, (m, m + 1, m))$   
  for  $\ell \in \{2, 3, \dots, M\}$  do  
    for  $m \in \{0, 1, \dots, M - \ell\}$  do  
      for  $k \in \{m + 1, m + 2, \dots, m + \ell - 1\}$  do  
         $t[m, m + \ell, X] \leftarrow \max_{k, Y, Z} \psi(X \rightarrow YZ, (m, m + \ell, k)) + t[m, k, Y] + t[k, m + \ell, Z]$   
         $b[m, m + \ell, X] \leftarrow \operatorname{argmax}_{k, Y, Z} \psi(X \rightarrow YZ, (m + \ell, k)) + t[m, k, Y] + t[k, m + \ell, Z]$   
  return TRACEBACK( $S, 0, M, b$ )
```

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Applying CKY to WCFG

0    **We**    1    **eat**    2    **sushi**    3    **with**    4    **chopsticks**    5


### Probabilistic Context-free Grammars (PCFG): Special case of WCFG

- The weights are probabilities

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### Probabilistic Context-free Grammars (PCFG): Special case of WCFG

- The weights are probabilities
- Advantage: easier interpretation
- They must obey the constraints on probabilities (sum to 1, ...)
- Parsing: apply multiplication of probabilities

### Probabilistic Context-free Grammars (PCFG)

- $p(t) \geq 0, \forall t \in$  the set of trees given by a grammar  $G$

### Probabilistic Context-free Grammars (PCFG)

- $p(t) \geq 0, \forall t \in$  the set of trees given by a grammar  $G$
- $\sum_{t \in T_G} p(t) = 1$

where  $T_G$  is the set of trees generated by the grammar

How do we calculate the best parse tree of a sentence  $s$ ?

- Calculate:  $\text{Optimal parse} = \operatorname{argmax}_{t \in T_G(s)} p(t)$

### How do we calculate the best parse tree of a sentence $s$ ?

- Calculate: *Optimal parse* =  $\operatorname{argmax}_{t \in T_G(s)} p(t)$
- Probability of a tree formed by rules  $(\alpha_1 \rightarrow \beta_1, \dots, \alpha_N \rightarrow \beta_N)$ :
$$p(t) = \prod_{i=1}^N p(\alpha_i \rightarrow \beta_i)$$
- Given a sentence, calculate all the possible trees
- The result is the tree with the maximum probability

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers

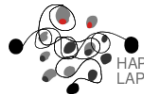


### Questions

- How do we calculate  $p(t)$ ?

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers

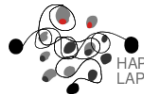


### Questions

- How do we calculate  $p(t)$ ?
- Learning: how do we calculate the parameters from training examples?

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



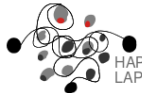
### Questions

- How do we calculate  $p(t)$ ?
- Learning: how do we calculate the parameters from training examples?
- Parsing: for a given sentence  $s$ , how do we find the most likely tree?



# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers

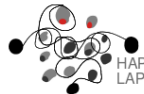


Example PCFG (M. Collins, <http://www.cs.columbia.edu/~mcollins/courses/nlp2011/notes/pcfgs.pdf>)

S	→	NP	VP	1.0
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# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers

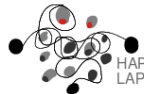


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S	→	NP	VP	1.0
VP	→	Vi		0.3
VP	→	Vt	NP	0.5
VP	→	VP	PP	0.2

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers

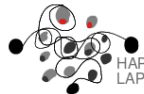


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S	→	NP	VP	1.0
VP	→	Vi		0.3
VP	→	Vt	NP	0.5
VP	→	VP	PP	0.2
NP	→	DT	NN	0.8
NP	→	NP	PP	0.2

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



Example PCFG (M. Collins, <http://www.cs.columbia.edu/~mcollins/courses/nlp2011/notes/pcfgs.pdf>)

S	→	NP	VP	1.0
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VP	→	Vt	NP	0.5
VP	→	VP	PP	0.2
NP	→	DT	NN	0.8
NP	→	NP	PP	0.2
PP	→	IN	NP	1.0

# Context-Free Parsing

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Example PCFG (M. Collins, <http://www.cs.columbia.edu/~mcollins/courses/nlp2011/notes/pcfgs.pdf>)

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NP	→	NP	PP	0.2
PP	→	IN	NP	1.0

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Example PCFG

Vi	→	sleeps	1.0
----	---	--------	-----

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Example PCFG

Vi	→	sleeps	1.0
Vt	→	saw	1.0

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Example PCFG

Vi	→	sleeps	1.0
Vt	→	saw	1.0
NN	→	man	0.1
NN	→	woman	0.1
NN	→	telescope	0.3
NN	→	dog	0.5



# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Example PCFG

Vi	→	sleeps	1.0
Vt	→	saw	1.0
NN	→	man	0.1
NN	→	woman	0.1
NN	→	telescope	0.3
NN	→	dog	0.5
DT	→	the	1.0

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers

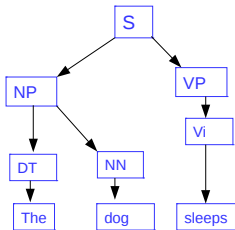
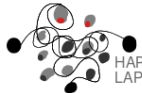


### Example PCFG

Vi	→	sleeps	1.0
Vt	→	saw	1.0
NN	→	man	0.1
NN	→	woman	0.1
NN	→	telescope	0.3
NN	→	dog	0.5
DT	→	the	1.0
IN	→	with	0.6
IN	→	in	0.4

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



How do we calculate the probability of a tree?

$$\begin{aligned} p(t) = & q(S \rightarrow NP VP) \times \\ & q(NP \rightarrow DT NN) \times \\ & q(DT \rightarrow the) \times \\ & q(NN \rightarrow dog) \times \\ & q(VP \rightarrow Vi) \times \\ & q(Vi \rightarrow sleeps) \end{aligned}$$

Learning: how do we calculate the parameters from training examples?

$$q_{ML}(\alpha \rightarrow \beta) = \frac{\text{count}(\alpha \rightarrow \beta)}{\text{count}(\alpha)}$$

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### Learning: how do we calculate the parameters from training examples?

•

$$q_{ML}(\alpha \rightarrow \beta) = \frac{\text{count}(\alpha \rightarrow \beta)}{\text{count}(\alpha)}$$

• Example:

- The rule  $VP \rightarrow Vt NP$  is seen 105 times in a corpus
- The non-terminal  $VP$  is seen 1000 times
- Then

$$q(VP \rightarrow Vt NP) = \frac{105}{1000}$$

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



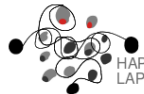
PCFG: generative model: assumption that parse trees are generated stochastically

- Define  $s_1 = S, i = 1$

PCFG: generative model: assumption that parse trees are generated stochastically

- Define  $s_1 = S, i = 1$
- While  $s_i$  contains at least one non-terminal:
  - Find the left-most non-terminal in  $s_i$ , call this  $X$
  - Choose one of the rules of the form  $X \rightarrow \beta$  from the distribution  $q(X \rightarrow \beta)$
  - Create  $s_{i+1}$  by replacing the left-most  $X$  in  $s_i$  by  $\beta$
  - Set  $i = i + 1$



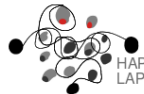


### Exercise I, giving a treebank:

- ( N ( A long) ( N ( A red) ( N hair) ) )
- ( N ( A nice) ( N tie) )
- ( N ( A ( A dark) ( A red) ) ( N hair) )

# Context-Free Parsing

## Implementing Context-free Grammar Based Analyzers



### Exercise I, giving a treebank:

- ( N ( A long) ( N ( A red) ( N hair) ) )
- ( N ( A nice) ( N tie) )
- ( N ( A ( A dark) ( A red) ) ( N hair) )

### Calculate its corresponding PCFG:

N	→	A	N
		hair	
		tie	

### Exercise I, giving a treebank:

- ( N ( A long) ( N ( A red) ( N hair) ) )
- ( N ( A nice) ( N tie) )
- ( N ( A ( A dark) ( A red) ) ( N hair) )

### Calculate its corresponding PCFG:

N	→	A	N
		hair	
		tie	
A	→	A	A
		long	
		red	
		dark	
		nice	

# Context-Free Parsing

Implementing Context-free Grammar Based Analyzers



Exercise I: Calculate the best tree for “nice red hair”

### Exercise II. Given a PCFG:

- $S \rightarrow NP\ NP$  [1.0]
- $NP \rightarrow NP\ PP$  [0.2]
- $NP \rightarrow NP\ NP$  [0.2]
- $PP \rightarrow P\ NP$  [1.0]
- $VP \rightarrow V\ NP$  [0.7]
- $VP \rightarrow VP\ PP$  [0.3]
- $P \rightarrow \text{with}$  [1.0]
- $V \rightarrow \text{saw}$  [1.0]
- $NP \rightarrow \text{astronomers}$  [0.1]
- $NP \rightarrow \text{ears}$  [0.18]
- $NP \rightarrow \text{saw}$  [0.02]
- $NP \rightarrow \text{stars}$  [0.18]
- $NP \rightarrow \text{telescopes}$  [0.1]
- $NP \rightarrow \text{astronomer's}$  [0.02]

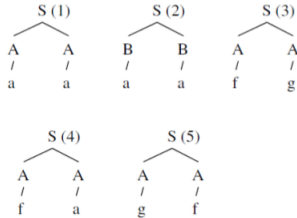
Calculate the trees corresponding to the sentence *astronomers saw stars with telescopes* and give the one with the highest probability.

### Exercise III. Given a PCFG:

- $S \rightarrow V N$  [0.6]
- $S \rightarrow NP V$  [0.4]
- $NP \rightarrow D N$  [1.0]
- $D \rightarrow a$  [0.2]
- $D \rightarrow the$  [0.8]
- $V \rightarrow support$  [0.6]
- $V \rightarrow hate$  [0.4]
- $N \rightarrow president$  [1.0]

Calculate all the sentences with  $p(x) > 0$ , each with its corresponding probability.

### Exercise IV. Given the following trees:



The first tree appeared 500 times in a corpus, the second one 250 times, the third one 333 times, the fourth one 789 times and the fifth one 12 times. Calculate the probabilities corresponding to the following rules:

- $A \rightarrow f$
- $A \rightarrow g$
- $B \rightarrow a$
- $S \rightarrow B B$

### NLTK: Probabilistic Context-free Grammars

- Notebook in egela (PCFG)
- Apply the grammars

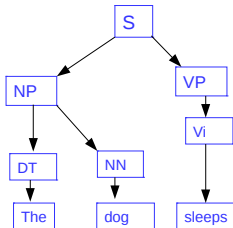




### Some weaknesses of Probabilistic Context-free Grammars

- Lack of sensitivity to lexical information
- Lack of sensitivity to structural preferences

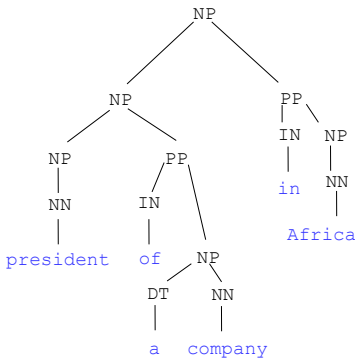
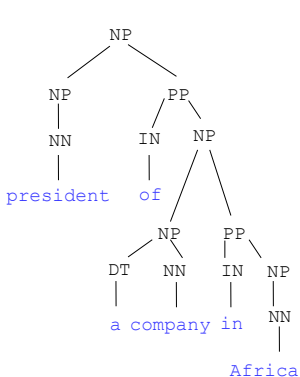
### Lack of sensitivity to lexical information



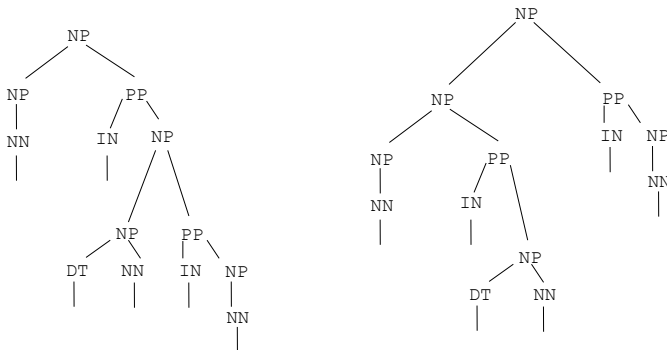
The word “dog” is only dependent on its tag *NN* and conditionally independent of the entire tree

Another example: PPs with *into* as the preposition are almost nine times more likely to attach to a VP rather than an NP

### Lack of sensitivity to structural preferences



### Lack of sensitivity to structural preferences



Both trees use the same rules and have equal probability  
But the first structure is two times more frequent



### Adding more context: parent annotation:

Example: PP attachment to NP:

More likely in object position: They amused the students from Georgia than in  
The students from Georgia were amused

- $\Pr(\text{NP} \rightarrow \text{NP PP}) = 11\%$

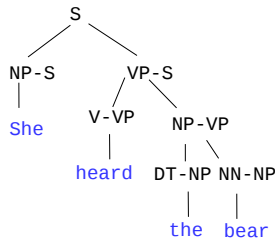
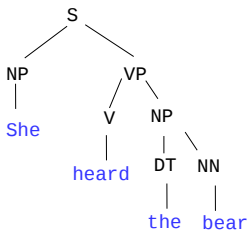
### Adding more context: parent annotation:

Example: PP attachment to NP:

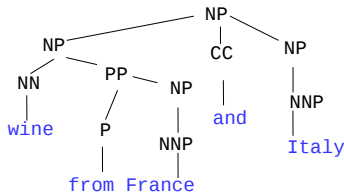
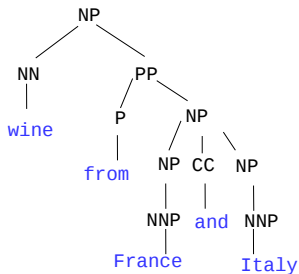
More likely in object position: They amused the students from Georgia than in  
The students from Georgia were amused

- $\Pr(\text{NP} \rightarrow \text{NP PP}) = 11\%$
- $\Pr(\text{NP under S} \rightarrow \text{NP PP}) = 9\%$
- $\Pr(\text{NP under VP} \rightarrow \text{NP PP}) = 23\%.y$

### Adding more context: parent annotation:



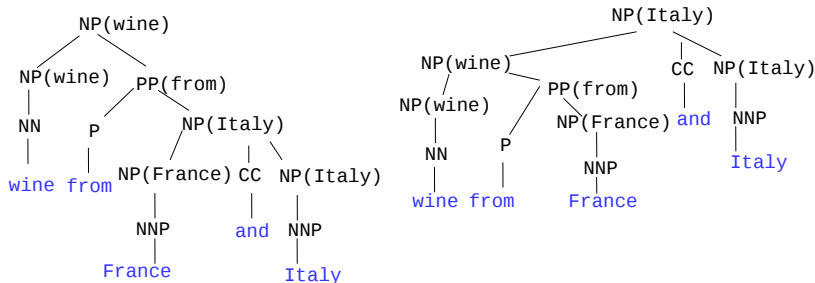
### Adding more context: parent annotation?



Example of ambiguity.  
(from Eisenstein 2018)

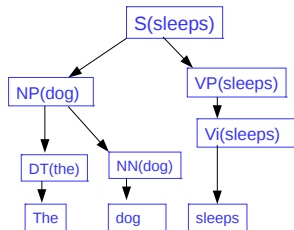
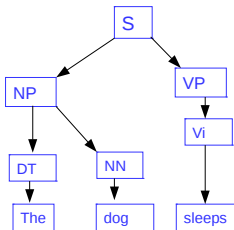


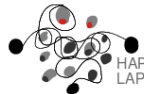
### Adding more context: lexicalization



Example of lexicalization.  
(from Eisenstein 2018)

### Lexicalization





### Lexicalization

- Rules will be of the form  $S(\text{sleeps}) \rightarrow NP(\text{dog}) VP(\text{sleeps})$



### Lexicalization

- Rules will be of the form  $S(\text{sleeps}) \rightarrow NP(\text{dog}) VP(\text{sleeps})$
- Many rules!



### Lexicalization

- Rules will be of the form  $S(\text{sleeps}) \rightarrow NP(\text{dog}) VP(\text{sleeps})$
- Many rules!
- Smoothing is necessary

### Performance of PCFGs

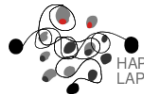
#### A Comparison of PCFGs

PARSER	F <sub>1</sub> Error
Plain PCFG (Charniak, 1996)	28.0%
Parent annotations (Johnson, 1999)	20.4%
Lexicalized PCFGs (Collins, 1999)	11.8%
Latent variables, EM (Petrov & Klein 2007)	9.9%



### Beyond Context-free Parsing

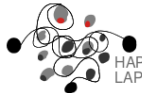
- Reranking



### Beyond Context-free Parsing

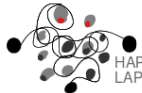
- Reranking
  - A context-free parser generates a k-best list of candidates





### Beyond Context-free Parsing


- Reranking
  - A context-free parser generates a k-best list of candidates
  - The reranker selects the best parse
  - Arbitrary non-local features can be incorporated (e.g. NP(France) CC NP(Italy))
  - Can obtain substantial improvements in accuracy
- Transition-based parsing (shift-reduce parsing)



### Beyond Context-free Parsing

- Reranking
  - A context-free parser generates a k-best list of candidates
  - The reranker selects the best parse
  - Arbitrary non-local features can be incorporated (e.g. NP(France) CC NP(Italy))
  - Can obtain substantial improvements in accuracy
- Transition-based parsing (shift-reduce parsing)
  - Two structures: stack and input
  - Two actions: shift and reduce
  - Very efficient
  - Error propagation when taking a bad decision
  - Example: analyze They eat sushi



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- A vertical bar on the left side of the slide, composed of several overlapping ovals in shades of grey and red.
- 1 Context-free Grammars
  - 2 Context-Free Parsing
  - 3 Unification-based Grammars**
  - 4 Dependency Parsing

## Problems with context-free grammars

- Agreement:
  - The man sleep
  - These house

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  - $VP \rightarrow NP\_3S$      $VP\_3S$     he sleeps
  - $VP \rightarrow NP\_3P$      $VP\_3P$     they sleep

## Problems with context-free grammars

- Agreement:
  - The man sleep
  - These house
- How to examine agreement?
  - $VP \rightarrow NP\_3S$      $VP\_3S$     he sleeps
  - $VP \rightarrow NP\_3P$      $VP\_3P$     they sleep
  - $NP \rightarrow Det\_3P$      $N\_3P$     these houses
  - ...

## Problems with context-free grammars

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  - $VP \rightarrow NP\_3S$      $VP\_3S$     he sleeps
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  - ...
- Many rules!



## Problems with context-free grammars

- Agreement:
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- How to examine agreement?
  - $VP \rightarrow NP\_3S$      $VP\_3S$     he sleeps
  - $VP \rightarrow NP\_3P$      $VP\_3P$     they sleep
  - $NP \rightarrow Det\_3P$      $N\_3P$     these houses
  - ...
- Many rules!
- Feature-structures: each syntactic constituent will have features

## Unification-based Grammars are useful for treating several phenomena

- Agreement:
- Case control
- Subcategorization
- Long-distance dependencies
- Control
- Coordination

## Unification-based Grammars. Different formalisms

- Lexical-Functional Grammar (LFG)  
Treebanks for several languages and parser demo:  
<http://clarino.uib.no/iness/xle-web>
- Head-Driven Phrase-Structure Grammar (HPSG)  
English demo: <http://erg.delph-in.net/logon>
- ...



## Unification-based grammars. Example (I)

S	→	NP	VP
NP NUM = VP NUM			



HAP  
HAP

## Unification-based grammars. Example (I)

S	→	NP	VP
NP NUM = VP NUM			
NP	→	N	
NP NUM = N NUM			

## Unification-based grammars. Example (I)

S	→	NP	VP
NP NUM = VP NUM			
NP	→	N	
NP NUM = N NUM			
NP	→	PropN	
NP NUM = PropN NUM			

## Unification-based grammars. Example (I)

S	→	NP	VP
NP NUM = VP NUM			
NP	→	N	
NP NUM = N NUM			
NP	→	PropN	
NP NUM = PropN NUM			
NP	→	Det	N
Det NUM = N NUM			
NP NUM = N NUM			

## Unification-based grammars. Example (I)

S	→	NP	VP
NP NUM = VP NUM			
NP	→	N	
NP NUM = N NUM			
NP	→	PropN	
NP NUM = PropN NUM			
NP	→	Det	N
Det NUM = N NUM			
NP NUM = N NUM			
VP	→	IV	
VP TENSE = IV TENSE			
VP NUM = IV NUM			



## Unification-based grammars. Example (I)

S	→	NP	VP
NP NUM = VP NUM			
NP	→	N	
NP NUM = N NUM			
NP	→	PropN	
NP NUM = PropN NUM			
NP	→	Det	N
Det NUM = N NUM NP NUM = N NUM			
VP	→	IV	
VP TENSE = IV TENSE VP NUM = IV NUM			
VP	→	TV	NP
VP TENSE = TV TENSE VP NUM = TV NUM			

## Lexical Productions

this

form = this

type = Det

NUM = sg

every

form = every

type = Det

NUM = sg

## Lexical Productions

this

form = this

type = Det

NUM = sg

every

form = every

type = Det

NUM = sg

these

form = these

type = Det

NUM = pl

all

form = all

type = Det

NUM = pl

## Lexical Productions

this

form = this

type = Det

NUM = sg

every

form = every

type = Det

NUM = sg

these

form = these

type = Det

NUM = pl

all

form = all

type = Det

NUM = pl

Kim

form = Kim

type = PropN

Jody

form = some

type = PropN

## Lexical Productions

<b>this</b> form = this type = Det NUM = sg	<b>every</b> form = every type = Det NUM = sg
<b>these</b> form = these type = Det NUM = pl	<b>all</b> form = all type = Det NUM = pl
<b>Kim</b> form = Kim type = PropN	<b>Jody</b> form = some type = PropN
<b>dog</b> form = dog type = N NUM = sg <b>car</b> form = car type = N NUM = sg	<b>girl</b> form = girl type = N NUM = sg <b>child</b> form = child type = N NUM = sg

## Lexical Productions

dogs

form = dogs

type = N

NUM = pl

cars

form = cars

type = N

NUM = pl

girls

form = girls

type = N

NUM = pl

children

form = children

type = N

NUM = pl

## Lexical Productions

dogs

form = dogs

type = N

NUM = pl

cars

form = cars

type = N

NUM = pl

girls

form = girls

type = N

NUM = pl

children

form = children

type = N

NUM = pl

disappears

form = disappears

type = IV

NUM = sg

TENSE = pres

sees

form = sees

type = TV

NUM = sg

TENSE = pres

walks

form = walks

type = IV

NUM = sg

TENSE = pres

likes

form = likes

type = TV

NUM = sg

TENSE = pres

## Lexical Productions

disappear

form = disappear

type = IV

NUM = pl

TENSE = pres

see

form = see

type = TV

NUM = pl

TENSE = pres

walk

form = walk

type = IV

NUM = pl

TENSE = pres

like

form = like

type = TV

NUM = pl

TENSE = pres



## Lexical Productions

### disappear

form = disappear

type = IV

NUM = pl

TENSE = pres

### see

form = see

type = TV

NUM = pl

TENSE = pres

### walk

form = walk

type = IV

NUM = pl

TENSE = pres

### like

form = like

type = TV

NUM = pl

TENSE = pres

### disappeared

form = disappeared

type = IV

NUM = pl

TENSE = past

### saw

form = saw

type = TV

NUM = pl

TENSE = past

### walked

form = walked

type = IV

NUM = pl

TENSE = past

### liked

form = liked

type = TV

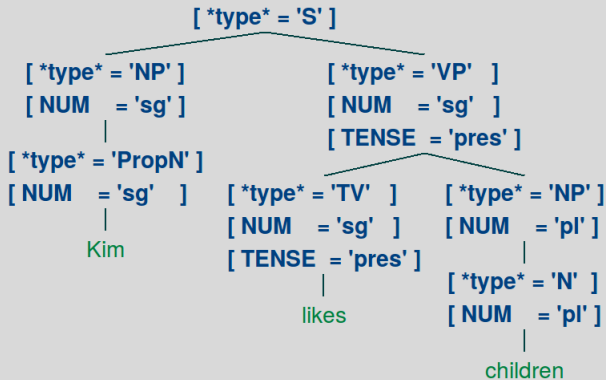
NUM = pl

TENSE = past



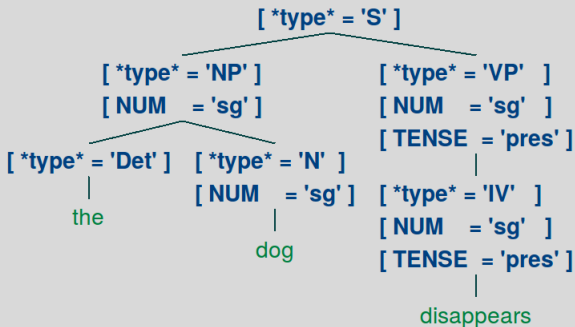
Analysis: **Kim likes children**

## Analysis: **Kim likes children**



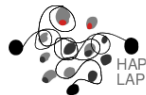
Analysis: **The dog disappears**


## Analysis: **The dog disappears**



## NLTK: Unification-based Grammars

- Notebook in egela
- Apply the grammars



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TBC