



# CSC 1052 – Algorithms & Data Structures II: Binary Search Trees

Professor Henry Carter  
Spring 2017

# Housekeeping

- Remaining assignments:
  - ▶ 2 labs
  - ▶ 1 project (due in 1 week)
  - ▶ Final exam (May 6 @ 1:30 PM)
- Practice!
- Get assistance!
  - ▶ Office hours
  - ▶ Peer tutors

# Recap

- Graphs represent structured data in a nonlinear fashion
  - ▶ Composed of nodes and links between the nodes
- Trees allow for storage of hierarchical data
  - ▶ Traversal in breadth-first or depth-first
- Binary Search Trees allow for a binary search to be embedded in the tree structure
  - ▶ Traversal in preorder, inorder, or postorder

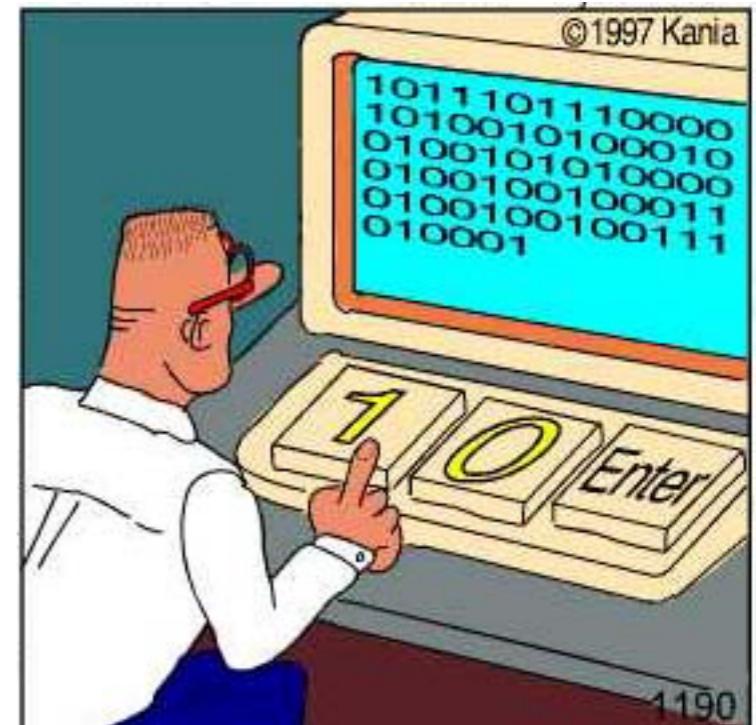
# Objective: Collection

- Original motivation to find a link-based collection that allows binary search
- Point of comparison: `SortedArrayList`
- What additional operations might be useful?



# Binary Search Tree Interface

- Extends Collection and Iterable
  - ▶ What traversal order should iterator() use?
- Min()
- Max()
- getIterator()
  - ▶ In case you want a different traversal order



Real programmers code in binary.

# Interface

```
import ch05.collections.CollectionInterface;
import java.util.Iterator;

public interface BSTInterface<T> extends CollectionInterface<T>, Iterable<T>
{
    // Used to specify traversal order.
    public enum Traversal {Inorder, Preorder, Postorder};

    T min();
    // If this BST is empty, returns null;
    // otherwise returns the smallest element of the tree.

    T max();
    // If this BST is empty, returns null;
    // otherwise returns the largest element of the tree.

    public Iterator<T> getIterator(Traversal orderType);
    // Creates and returns an Iterator providing a traversal of a "snapshot"
    // of the current tree in the order indicated by the argument.
}
```

# Implementation Steps

- Collection methods
  - ▶ `get()`, `add()`, `remove()`, `contains()`...
- Iterator(s)
  - ▶ `hasNext()`, `next()`, `remove()`
- New BST methods



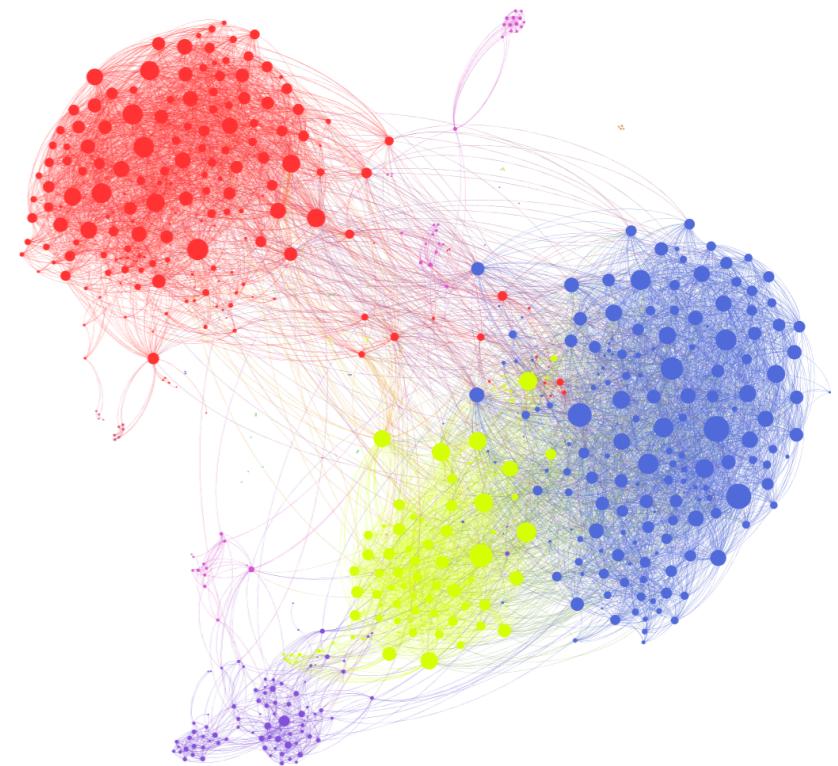
# Reorganized

- Observers (today)
  - ▶ isEmpty, isFull, min, max, size, get, contains
- Iterator(s) (next week)
  - ▶ Preorder, inorder, postorder
- Transformers (next week)
  - ▶ Add, remove



# First: Nodes

- Storing data and pointers
- Recall: Linked Node held data and next pointer
- What will change?



# TreeNode Class

```
public class BSTNode<T>
{
    private T info;                      // The node info
    private BSTNode<T> left;             // A link to the left child node
    private BSTNode<T> right;            // A link to the right child node

    public BSTNode(T info)
    {
        this.info = info; left = null;   right = null;
    }

    public void setInfo(T info){this.info = info;}
    public T getInfo(){return info;}

    public void setLeft(BSTNode<T> link){left = link;}
    public void setRight(BSTNode<T> link){right = link;}

    public BSTNode<T> getLeft(){return left;}
    public BSTNode<T> getRight(){return right;}
}
```

# BST Setup

- Class variables:
  - ▶ The root
  - ▶ A comparator
- Constructors
  - ▶ Allow the user to input a different comparator



# Setup Code

```
public class BinarySearchTree<T> implements BSTInterface<T>
{
    protected BSTNode<T> root;          // reference to the root of this BST
    protected Comparator<T> comp;        // used for all comparisons

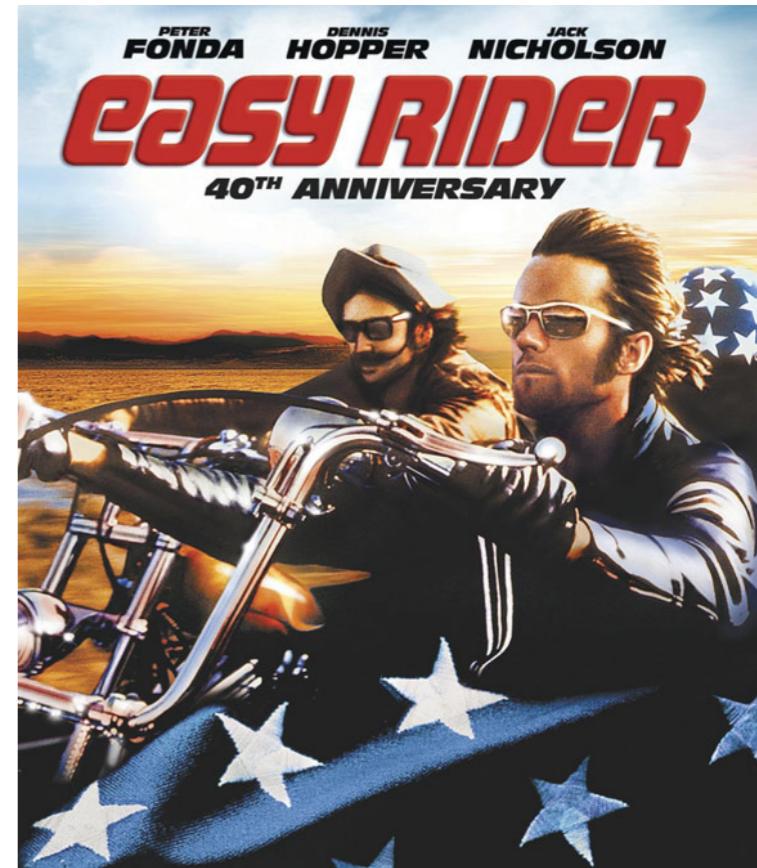
    protected boolean found;    // used by remove

    public BinarySearchTree()
    // Precondition: T implements Comparable
    // Creates an empty BST object – uses the natural order of elements.
    {
        root = null;
        comp = new Comparator<T>()
        {
            public int compare(T element1, T element2)
            {
                return ((Comparable)element1).compareTo(element2);
            }
        };
    }

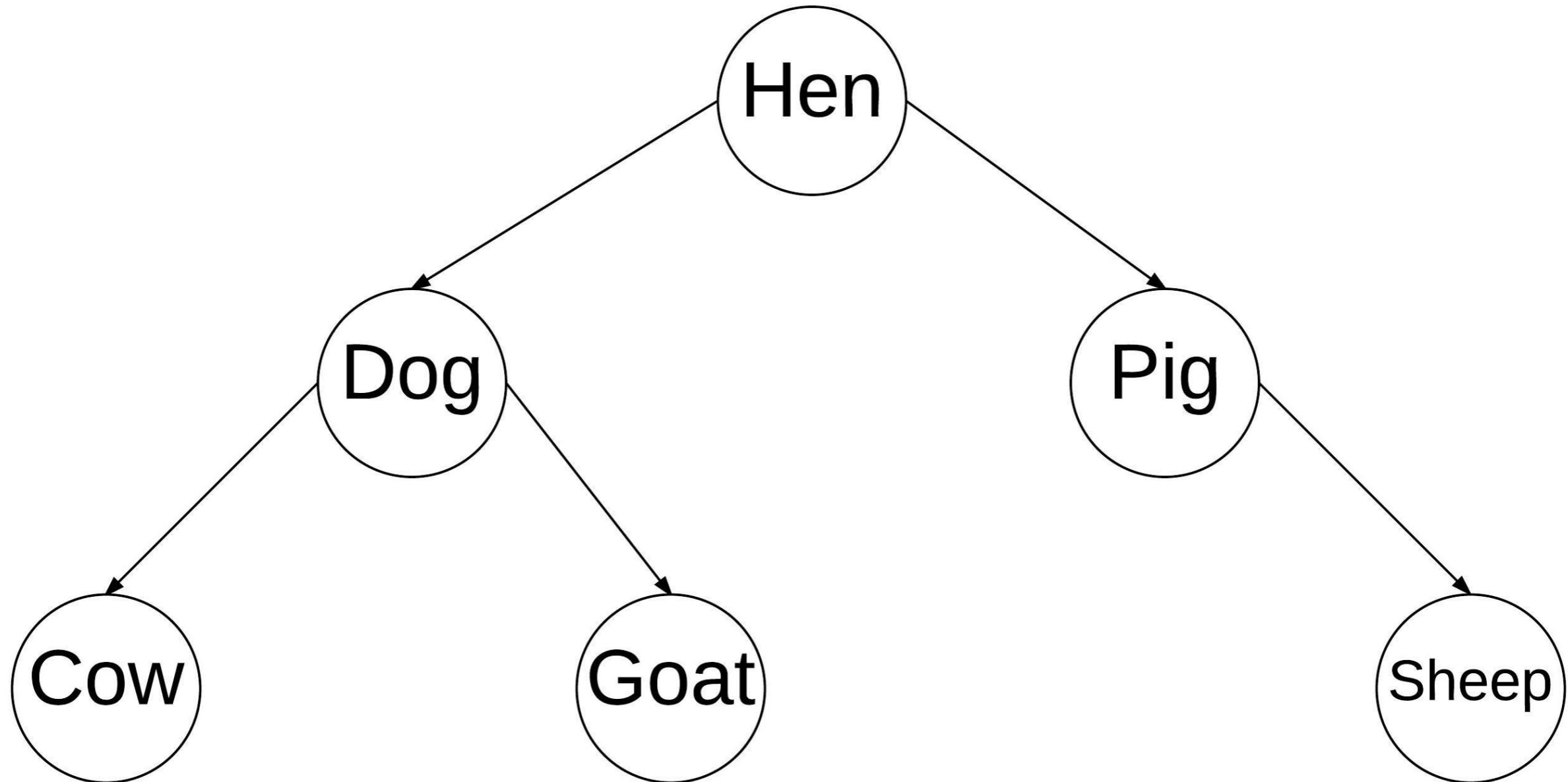
    public BinarySearchTree(Comparator<T> comp)
    // Creates an empty BST object – uses Comparator comp for order
    // of elements.
    {
        root = null;
        this.comp = comp;
    }
}
```

# Easy Observers

- isEmpty
  - ▶ What do we check?
- isFull
  - ▶ What do we check?
- min and max
  - ▶ Where do we find these elements?



# Example Tree



# min (and max) code

```
public T min()
// If this BST is empty, returns null;
// otherwise returns the smallest element of the tree.
{
    if (isEmpty())
        return null;
    else
    {
        BSTNode<T> node = root;
        while (node.getLeft() != null)
            node = node.getLeft();
        return node.getInfo();
    }
}
```

# Size, get, contains

- The remaining observers can be implemented in many ways
- Recall: the binary search tree has a recursive structure
  - ▶ Each node in the tree is the root of a smaller BST
- What programming technique should we try first?



# Recursive Size

- Could maintain size as a parameter
- Consider: measuring the size of a subtree
- Instead, we implement size() recursively



# Algorithm

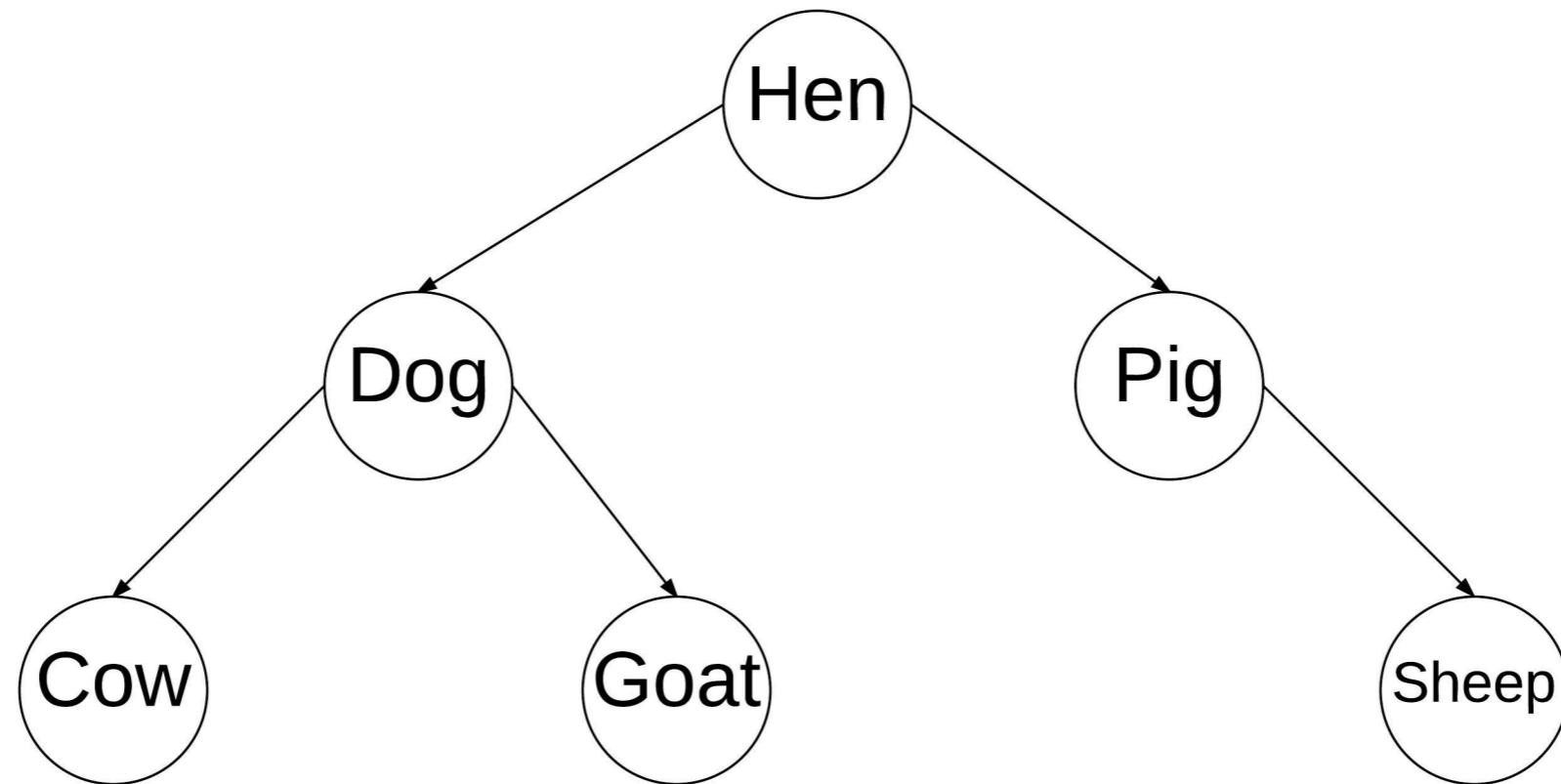
- Base case?
- Base case check?
- Recursive case?

# recSize()

```
private int recSize(BSTNode<T> node)
// Returns the number of elements in subtree rooted at node.
{
    if (node == null)
        return 0;
    else
        return 1 + recSize(node.getLeft()) + recSize(node.getRight());
}
```

# Practice

- Step through the size() code for the following tree. Determine the returned value at each recursive call and find a node that returns: 1, 2, 3, 4, 5, 6 (or determine if no such node exists)



# Iterative Approach

- Bring your own stack
- Add nodes to the stack and count as they are popped off
- Implementation similar to our tree traversal algorithms
  - Recall: depth-first search

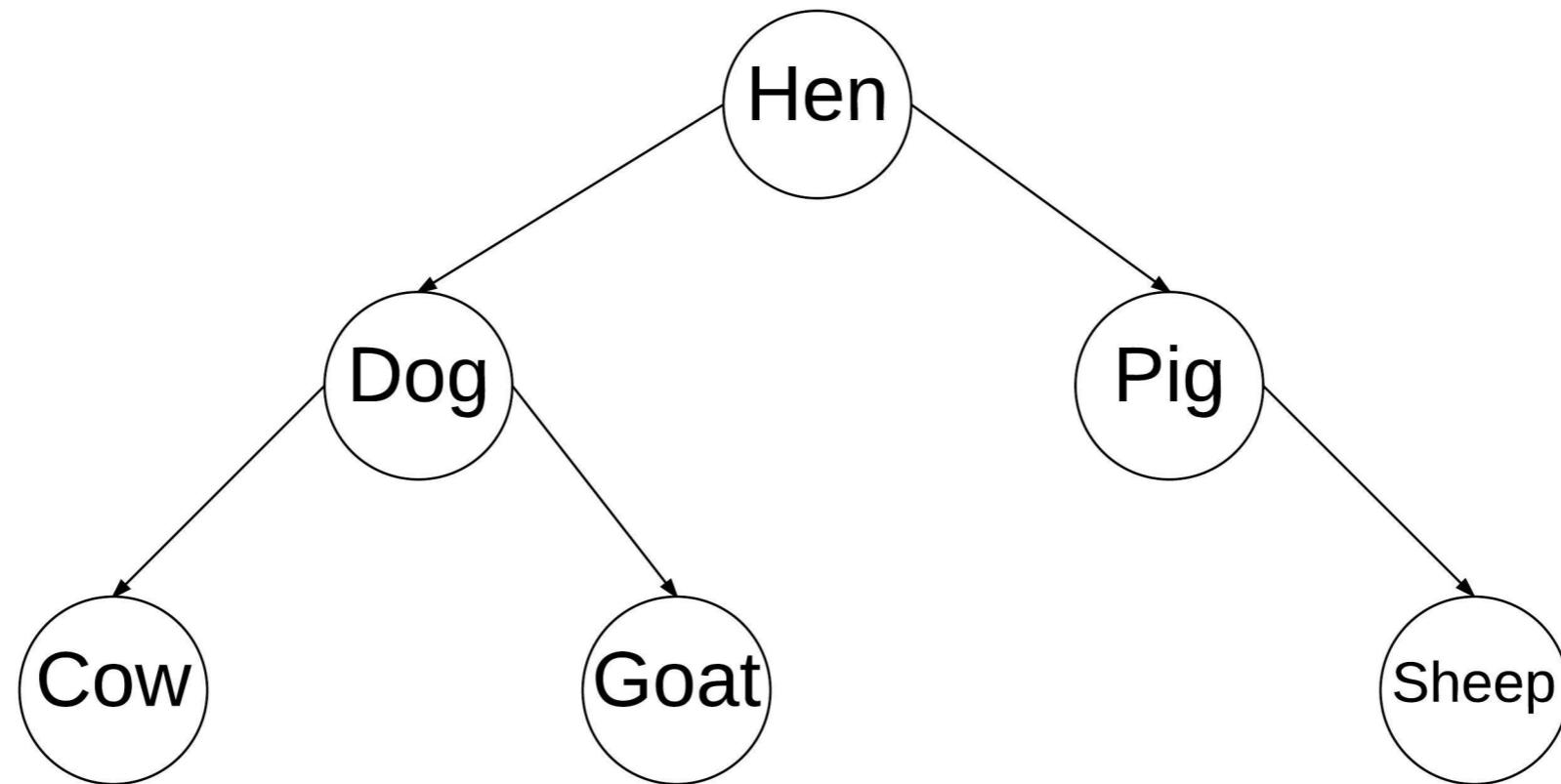


# Iterative Size

```
public int size2()
// Returns the number of elements in this BST.
{
    int count = 0;
    if (root != null)
    {
        LinkedStack<BSTNode<T>> nodeStack = new LinkedStack<BSTNode<T>>();
        BSTNode<T> currNode;
        nodeStack.push(root);
        while (!nodeStack.isEmpty())
        {
            currNode = nodeStack.top();
            nodeStack.pop();
            count++;
            if (currNode.getLeft() != null)
                nodeStack.push(currNode.getLeft());
            if (currNode.getRight() != null)
                nodeStack.push(currNode.getRight());
        }
    }
    return count;
}
```

# Practice

- Step through the `size2()` code for the following tree.  
Show the stack contents after 2 iterations of the `while()` loop



# Contains

- Recall: binary search implementation in `SortedArrayList`
  - ▶ Recursive solution using indices
- No need for index math in the BST
- How will we implement the recursive contains?



# Contains

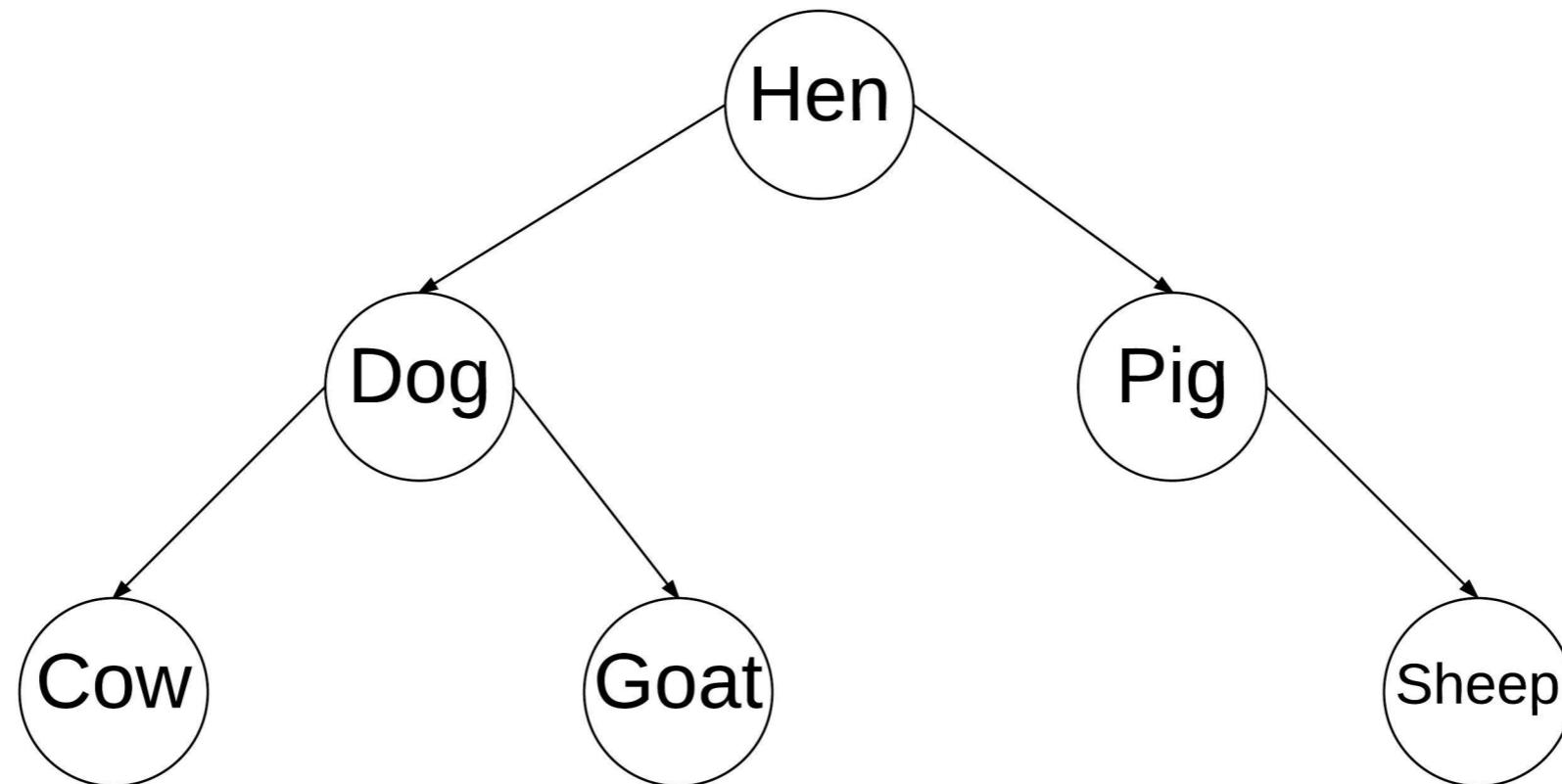
- Base case?
- Base case check?
- Recursive case(s)?

# Code

```
private boolean recContains(T target, BSTNode<T> node)
// Returns true if the subtree rooted at node contains info i such that
// comp.compare(target, i) == 0; otherwise, returns false.
{
    if (node == null)
        return false;          // target is not found
    else if (comp.compare(target, node.getInfo()) < 0)
        return recContains(target, node.getLeft());    // Search left subtree
    else if (comp.compare(target, node.getInfo()) > 0)
        return recContains(target, node.getRight());   // Search right subtree
    else
        return true;           // target is found
}
```

# Practice Questions

- How many times is `contains()` called for the input “cow”?
- How many times for the input “Rooster”?



# Get

- Closely mirrors the contains implementation
- Rather than returning a boolean, we return the info stored at the node
- If we run off the end of the tree, return null

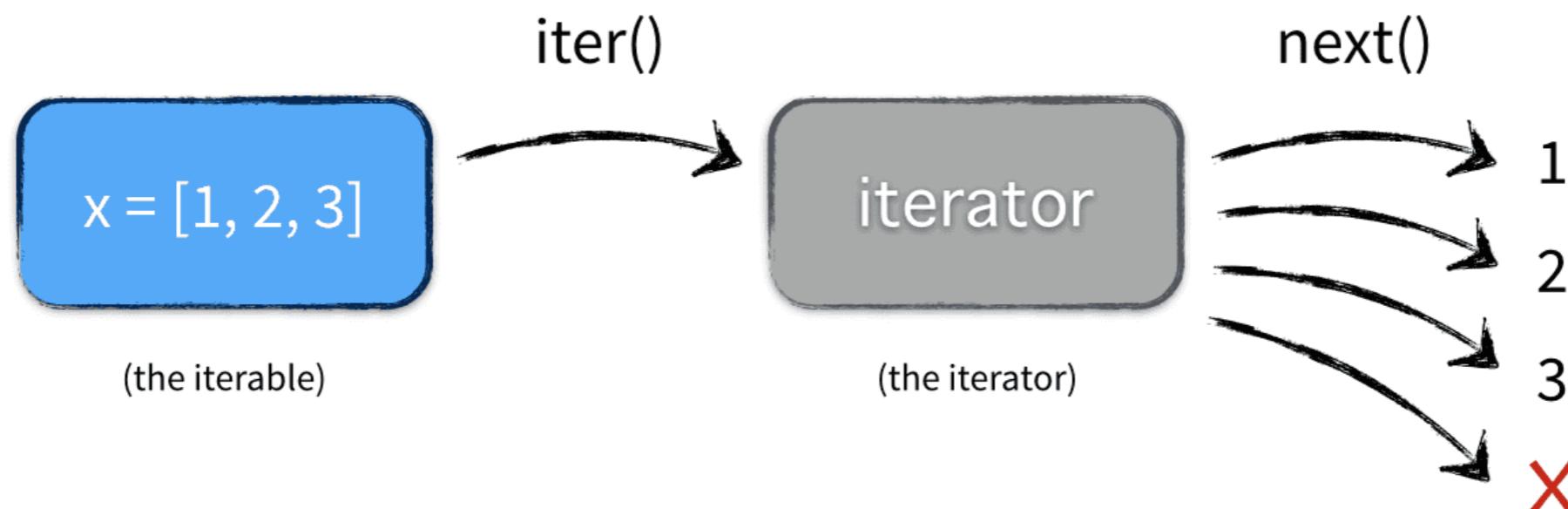


# Practice

```
private boolean recContains(T target, BSTNode<T> node)
// Returns true if the subtree rooted at node contains info i such that
// comp.compare(target, i) == 0; otherwise, returns false.
{
    if (node == null)
        return false;          // target is not found
    else if (comp.compare(target, node.getInfo()) < 0)
        return recContains(target, node.getLeft()); // Search left subtree
    else if (comp.compare(target, node.getInfo()) > 0)
        return recContains(target, node.getRight()); // Search right subtree
    else
        return true;           // target is found
}
```

# What's the deal with iterators?

- Iterators allow observation of all data in the specified order
- The standard iterator() factory method and the for-each loop syntax will use inorder traversal
- Specify postorder or preorder with the getIterator() method



# Implementation

- Create a "snapshot" of the tree
  - Based on traversal order
- Store snapshot as a queue
- Perform iterator operations on the queue
  - `next() -> ?`
  - `hasNext() -> ?`
  - ~~`remove()`~~

# Snapshot

```
public Iterator<T> getIterator(BSTInterface.Traversal orderType)
// Creates and returns an Iterator providing a traversal of a "snapshot"
// of the current tree in the order indicated by the argument.
// Supports Preorder, Postorder, and Inorder traversal.
{
    final LinkedQueue<T> infoQueue = new LinkedQueue<T>();
    if (orderType == BSTInterface.Traversal.Preorder)
        preOrder(root, infoQueue);
    else
        if (orderType == BSTInterface.Traversal.Inorder)
            inOrder(root, infoQueue);
        else
            if (orderType == BSTInterface.Traversal.Postorder)
                postOrder(root, infoQueue);
```

# Iterator() anonymous class

```
return new Iterator<T>()
{
    public boolean hasNext()
        // Returns true if the iteration has more elements; otherwise returns false.
    {
        return !infoQueue.isEmpty();
    }

    public T next()
        // Returns the next element in the iteration.
        // Throws NoSuchElementException – if the iteration has no more elements
    {
        if (!hasNext())
            throw new IndexOutOfBoundsException("illegal invocation of next " +
                                                " in BinarySearchTree iterator.\n");
        return infoQueue.dequeue();
    }

    public void remove()
        // Throws UnsupportedOperationException.
        // Not supported. Removal from snapshot iteration is meaningless.
    {
        throw new UnsupportedOperationException("Unsupported remove attempted on " +
                                                "+ "BinarySearchTree iterator.\n");
    }
};
```

# Snapshot

```
public Iterator<T> getIterator(BSTInterface.Traversal orderType)
// Creates and returns an Iterator providing a traversal of a "snapshot"
// of the current tree in the order indicated by the argument.
// Supports Preorder, Postorder, and Inorder traversal.
{
    final LinkedQueue<T> infoQueue = new LinkedQueue<T>();
    if (orderType == BSTInterface.Traversal.Preorder)
        preOrder(root, infoQueue);
    else
        if (orderType == BSTInterface.Traversal.Inorder)
            inOrder(root, infoQueue);
        else
            if (orderType == BSTInterface.Traversal.Postorder)
                postOrder(root, infoQueue);
```

# Snapshot Recursion

- Base case?
- Base case check?
- Recursive case?

# Preorder

```
private void preOrder(BSTNode<T> node, LinkedQueue<T> q)
// Enqueues the elements from the subtree rooted at node into q in preOrder.
{
    if (node != null)
    {
        q.enqueue(node.getInfo());
        preOrder(node.getLeft(), q);
        preOrder(node.getRight(), q);
    }
}
```

# Inorder, Postorder

```
private void inOrder(BSTNode<T> node, LinkedQueue<T> q)
// Enqueues the elements from the subtree rooted at node into q in inOrder.
{
    if (node != null)
    {
        inOrder(node.getLeft(), q);
        q.enqueue(node.getInfo());
        inOrder(node.getRight(), q);
    }
}

private void postOrder(BSTNode<T> node, LinkedQueue<T> q)
// Enqueues the elements from the subtree rooted at node into q in postOrder.
{
    if (node != null)
    {
        postOrder(node.getLeft(), q);
        postOrder(node.getRight(), q);
        q.enqueue(node.getInfo());
    }
}
```

# Recap

- The binary tree interface extends the collection with a few new methods
  - ▶ Min, max, getIterator
- The recursive structure of the tree lends itself to recursive implementations
  - ▶ Iterative versions will likely use a stack
- Careful definition of the base and recursive cases can lead to very simple code
  - ▶ E.g., considering the base case of an empty tree instead of a single node

# Next Time...

- Dale, Joyce, Weems Chapter 7.6-8
  - ▶ Remember, you need to read it BEFORE you come to class!
- Check the course webpage for practice problems
- Peer Tutors
  - ▶ <http://www.csc.villanova.edu/help/>



# Code Samples

```
BinarySearchTree<Character> example = new BinarySearchTree<Character>();
Iterator<Character> iter;

example.add('P'); example.add('F'); example.add('S'); example.add('B');
example.add('H'); example.add('R'); example.add('Y'); example.add('G');
example.add('T'); example.add('Z'); example.add('W');
```

# Code Samples

```
// Preorder
System.out.print("\nPreorder:  ");
iter = example.getIterator(BSTInterface.Traversal.Preorder);
while (iter.hasNext())
    System.out.print(iter.next());

// Inorder
System.out.print("Inorder:  ");
iter = example.getIterator(BSTInterface.Traversal.Inorder);
while (iter.hasNext())
    System.out.print(iter.next());

// Inorder again
System.out.print("\nInorder:  ");
for (Character ch: example)
    System.out.print(ch);
```