

# Cluster Expansion of Thermal States using Tensor Networks

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Master's dissertation submitted in order to obtain the academic degree of Master of Science in Engineering Physics

Academic year 2020-2021



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# Foreword

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## Abstract

Het abstract is maximum één bladzijde en bevat minstens: a) De informatie die werd vermeld op het titelblad (eigen vorm); b) Een summiere beschrijving van het werk (vijftien à twintig regels); c) Eventueel: drie tot vijf goed gekozen trefwoorden die het onderwerp best omschrijven.

# Extended Abstract

De extended abstract heeft een standaardlengte van minimaal twee bladzijden, met een maximum van zes bladzijden.

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# Chapter 1

# Introduction

There is nothing new to be discovered in physics now. All that remains is more and more precise measurement.

Lord Kelvin, 1900

# 1.1 Introduction

write this

In 2015, there were about 5.6 million known physics papers in literature. At the current rate, this number doubles every 18.7 years [1]. Despite this enormous body of literature, there are a lot of things which are not completely understood. Some examples include a self-consistent theory of quantum gravity, the need for dark energy and matter in cosmology, the arrow of time, the matter-antimatter asymmetry. There even is no interpretation of quantum mechanics where everyone agrees upon.

But certainly not all open problems have to do with 'new' physics. In many areas of physics, computing the implications of relatively simple laws becomes exceedingly difficult for many particles. Of historical importance is the three-body problem, describing the trajectory of 3 gravitational bodies such as the earth, moon and sun. The general case is not solved, despite developments over the last 300 years.

In reality, the real challenge is to model the macroscopic properties of quantum many-body system with around  $10^{23}$  particles. Needless to say, this not an easy task at all. Finding good and computable approximations is of primary importance in the fields of quantum chemistry, condensed matter physics, and materials science.

In computational chemistry, the many-body problem is tackled with with methods which fall in one of the following categories: (post-) Hartree-Fock methods, density functional theory (DFT) and force-field methods. While they

vind bron en voorbeelden

have many applications, these methods are not fully able to capture all the properties of the so called strongly correlated matter.

Examples of phase of strongly correlated matter which are not yet understood are include high-T superconductors, topological ordered phases, quantum spin liquids [2]. There exist different methods to investigate these exciting materials. A very limited number of models is quantum integrable, meaning they can be solved in a non pertubative way. Also, some properties of models near criticality can be determined exactly with conformal field theory (CFT). But for some systems, we can only simulate the behaviour with numerical techniques.

# Chapter 2

# Tensor networks

# 2.1 tensor networks

## 2.1.1 introduction

### 2.1.1.1 graphical notation

Tensor networks can be written in a graphical notation. The legs f a tensor denote the number of external indices. The upper Connected legs are summed. Some examples are shown in table 2.1

## 2.1.2 Classification

**2.1.2.0.1** MPS A general quantum state with n sites can be described in a given basis  $|i\rangle$  as

$$|\Psi\rangle = \sum_{i_1 i_2 \cdots i_n} C^{i_1 i_2 \cdots i_n} |i_1\rangle \otimes |i_2\rangle \otimes \cdots \otimes |i_n\rangle$$
 (2.1)

This requires an exponential number  $d^n$  of coefficients C where d is the dimensions of basis  $|i\rangle$ .

Table 2.1: Caption

conventional	Einstein	tensor notation
$\vec{x}$	$x_{\alpha}$	
M	$M_{lphaeta}$	$-\!$
$ec{x}\cdotec{y}$	$x_{\alpha}y_{\alpha}$	x— $y$



Figure 2.1: Caption

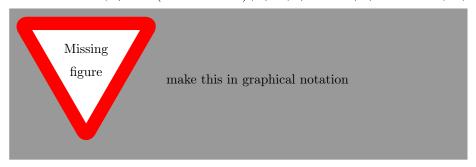
In order to make the problem tractable, the following form is proposed as wavefunction:

$$C^{i_1 i_2 \cdots i_n} = C^{1 i_1}_{\alpha_1} C^{2 i_2}_{\alpha_1 \alpha_2} \cdots C^{n i_n}_{\alpha_{n-1}}$$
 (2.2)

Where summation over shared indices is implied. It is always possible to find such an representation by means of matrix decomposition. The summation over  $\alpha_i$  are called virtual bond and their dimension is denoted by  $\chi$ .

Explicit translational invariance is given by tensor  $C_{\alpha\beta}^i$  that don't depend on the location. The chain is closed by setting  $\alpha_n = \alpha_0$ . We can now write this as a Trace over matrix products:

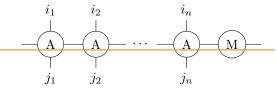
$$|\Psi\rangle = \text{Tr}(C^{i_1}C^{i_2}\cdots C^{i_n})|i_1\rangle\otimes|i_2\rangle\otimes\cdots\otimes|i_n\rangle$$
 (2.3)



**2.1.2.0.2 MPO** In a similar fashion, a Matrix Product Operator (MPO) is of the following form:

$$\hat{O} = \sum Tr(A^{i_1j_1}A^{i_2j_2}\cdots A^{i_nj_n}M) \times |i_1\rangle \langle j_1| \otimes |i_2\rangle \langle j_2| \otimes \cdots \otimes |i_n\rangle \langle j_n|$$
(2.4)

connect trace and hide legs at M



The matrix M contains the boundary conditions of the operator. Many Hamiltonians can be represented by an MPO. For ins

2.1.2.0.3 PEPS Exact contraction is hashtag P-Hard: No exact canonical form

### 2.1.2.0.4 PEPO

2.1.2.0.5Others MERA, TTN,

#### 2.2Operator exponentials

While it is often possible to find exact MPO representation to represent a wide class of hamiltonians, it is much harder to do the same for exponentiated find citation and examples operators. These operators play an important role: they act as time evolution operators for quantum systems  $|\Psi(t)\rangle = \exp\!\left(-i\hat{H}t\right)|\Psi(0)\rangle$ . A very similar operator governs the partition function in statistical mechanics: the probability of finding a system at inverse temperature  $\beta = \frac{1}{T}$  in a microstate i is given by  $p_i \exp \left\{-\beta \hat{H}_i\right\}$ . This is often called "imaginary" time, due to the substitution  $\beta=it$ . The ability to calculate these operators is essential for understanding the dynamics of a given quantum model, and making contact with real world obsevations of these systems at finite temperature.

explain link CFT

#### 2.2.1Statistical mechanics

The physics of a system in thermodynamical equilibrium can be derived from it's partition function Z. The classical formula generalises to a density matrix  $\rho$ as follows:

$$Z = \sum_{n} e^{-\beta E_{n}}$$

$$= \sum_{n} \left\langle n \left| e^{-\beta \hat{H}} \right| n \right\rangle$$

$$= \operatorname{Tr}\left(e^{-\beta \hat{H}}\right)$$
(2.5)

The first line is the partition function for clasical discrete systems. The index n runs of all possible microstates. It is known that the propability to find the system in a given microstates is given by:

$$p_i = \frac{\sum e^{-\beta E_i}}{Z} \tag{2.6}$$

An useful quantity is the density matrix  $\rho$ .

$$\rho = \sum_{j} p_{i} |\Psi_{j}\rangle \langle \Psi_{j}|$$

$$= \sum_{j} \frac{e^{-\beta \hat{H}}}{Z} |\Psi_{j}\rangle \langle \Psi_{j}|$$
(2.7)

Whith this notation, the partition function Z and ensemble average of an operator  $\hat{X}$  are given by:

$$Z = \text{Tr}(\rho)$$

$$\langle X \rangle = \text{Tr}(\rho \hat{X})$$
(2.8)

#### 2.2.2 Time evolutions

LSZ theorema herbekijken In quantum field theory, , calculation of n-point correlation functions is extremely important to understand a given field theory.

#### 2.2.2.1ground state

One practical way of finding the ground state is cooling an intitial stata down very small T.

#### 2.2.3 Tensor network methods

In the following section I will give a very short review of the current tensor network methods to simulate real or imaginary time evolution. This overview is mainly based on the review paper [3].

[3]

#### Approximations to $\hat{U}(\delta)$ 2.2.3.1

TEBD, MPO  $W^{I,II}$ 

#### 2.2.3.2global Krylov method

## 2.2.3.3 MPS-local methods

local Krylov TDVP

#### 2.3 Tensor network manipulations

This section severs as an introduction of tensor network manipulations. The overview mainly focusses on MPS/MPO networks, but most of the oprations translate to the 2D case.

The manipulations of MPO's is done by manipulating the tensor into a matrix, performing some matrix calculations and casting it back into it's original form. This section gives some examples how these manipulations are done in practice:

### 7

### **2.3.1** Basics

### 2.3.1.1 decomposition

Step 2 reshapes and groups the indices to one index. The dimension of this index is the sum of the seperate dimensions. Step 3 decomposes the matrix into a product of 2 matrices. The last step transforms the indices back to separate legs.

For an exact representation, the bond dimension of virtual level v is:

$$\dim v = \min(\dim u, \dim v) + 2\dim i \tag{2.10}$$

Many different matrix decompositions exist. Some usefull examples here are svd decomposition and eigenvalue decomposition. The split in left and right matrix is not unique and differs per algoritm.

### 2.3.1.2 inverse

Suppose we want to find a MPO O for given tensors A and B such that the following holds:

Again, the indices can be taken toghether in the following way:  $\alpha = (ui_1j_1i_2j_2)$  and  $\beta = (i_3j_3v)$ :

$$A_{\alpha\gamma}O_{\gamma\beta} = B_{\alpha\beta} \tag{2.12}$$

This a a standard matrix equation and can hence be solved with linear algebra packages. Note that it is not necessary to calculate  $A^{-1}$  to obtain the solution.

In matlab the procedures mldivide and lsqminnorm can be used, which give more robust solutions.

The solution will be denoted by

For the first equation the unmarked legs on the same positions need to be contracted with each other. The second line the mirrored positions are contracted.

If the tensor A is an MPO, the inverse can also be constructed as an mpo. This is espacially usefull if the MPO is created with decomposition for which the inverse can be computed easily, such as an svd decomposition.

Take has to be taken with the indices to apply the inverse.

$$U_{(\alpha ij)\beta}^{n} A_{\beta\gamma} = B_{\alpha ij\gamma}$$

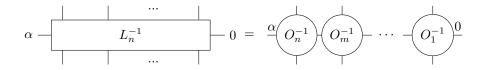
$$A_{\delta\gamma} = U_{\delta(\alpha ij)}^{n\dagger} B_{\alpha ij\gamma}$$
(2.14)

If we now define the MPO  $O_n^{-1}$  equal to  $U^{n\dagger}$  with the second index split and permuted:

$$\frac{\delta}{O_n^{-1}} \stackrel{\alpha}{\bigcirc} \cong U_{\delta ij\alpha}^{n\dagger}$$
(2.15)

With the notation from eq. (2.13) we have:

(2.16)



The inverse can be applied sequentially.

PEPS inverse, fast version, bookkeeping

explain

### 2.3.1.3 virtual levels and matrisation

### 2.3.1.4 truncation

From the construction with svd we can see that the dimension of virtual bond  $\dim n = d^{2n}$  with d the dimension of  $|i\rangle$ . The virtual levels can be joined into a  $\chi \times d \times d \times \chi$  dimensional tensor O. This tensor is given by a tridiagonal block matrix :

$$O_{\alpha\beta}^{ij} = \begin{bmatrix} O^{00,ij} & O^{01,ij} \\ O^{10,ij} & O^{11,ij} & O^{12,ij} \\ & & & & \\ & & & & \\ & & & & \ddots & \ddots \end{bmatrix}$$
(2.17)

The boundary conditions (leftmost and rightmost virtual level are zero) correspond to vectors:

$$l = \begin{bmatrix} 1 & 0 & \cdots \end{bmatrix}$$

$$r = l^T \tag{2.18}$$

The total dimension is the sum of dimensions of the virtual level. In this case the

berken exact en maak tabletje voor de verschillende types

- 2.3.1.5 contraction order
- 2.3.1.6 Gauge freedom
- 2.3.2 MPS algoritms
- 2.3.2.1 cononical form

schmidt decomp,

# 2.3.2.2 DMRG

### 2.3.2.3 Expectation values

Suppose that the there is an MPO representation of  $e^{-\beta \hat{H}}$  A and that the mpo representation for X Y is localised over n sites, then the expactation value is given by:

$$\langle X \rangle = \frac{X}{A} - \frac{A}{A} - \cdots$$

$$\langle X \rangle = \frac{(2.19)}{A} - \frac{A}{A} - \cdots$$

In the thermodynamic limit there are an infinity number of A to the left and the right. This can be simulated by taking the left and right fixed points of the traced MPO A corresponding to the largest eigenvector  $\lambda$ .

$$G_l - A = \lambda G_l$$
 (2.20)

Equation eq. (2.19) can now be easily callulated:

$$\langle X \rangle = \frac{X}{X} - \cdots - \frac{X}{X}$$

$$\langle X \rangle = \frac{X^n \quad G_r - G_r}{X^n \quad G_r - G_r}$$

$$(2.22)$$

# 2.3.3 PEPS algorimts

## 2.3.3.1 compression

## 2.3.3.1.1 CTM

# 2.3.3.1.2 Vumps

# Chapter 3

# Construction

# 3.1 Contruction MPO

## 3.1.1 Time evolution methods

https://tensornetwork.org/mps/alg

# 3.1.2 Cluster expansion

This thesis builds on the cluster expansions introduced in [4]. The idea is to create tensor network with a number of virtual levels. The representation is exact up to M connected sites, where M is the order. Different variations are possible. A Hamiltonian of the following form is assumed

$$\hat{H}_n = \sum_{i=1}^{n-1} \hat{h}_{i,i+1} + \sum_{i=1}^n \hat{h'}_i$$
(3.1)

Virtual level zero is defined as follows:

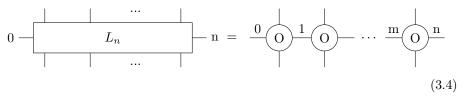
$$\begin{array}{ccc}
0 & 0 & = & \\
e^{-\beta \hat{H}_1} & & \\
\end{array} \tag{3.2}$$

Similarly, the contraction of elements  $O_{01}$  and  $O_{10}$  are defined as follows:

$$\begin{array}{c|c}
\hline
0 & 1 & 0 \\
\hline
0 & 1 & 0
\end{array} = 
\begin{array}{c|c}
\hline
e^{-\beta \hat{H}_2} \\
\hline
\end{array} - 
\begin{array}{c|c}
\hline
0 & 0 \\
\hline
\end{array} (3.3)$$

Some notation will be introduced that will be used later on. The rensor  $L_n$  is the contraction of n MPO's where the virtual index increases between each

bond.  $R_n$  is similar but the virtual bond starts from n and decreases. The tensor is defined in gra[hical notation by:



 $M_n$  is the difference between the exponentiated hamiltonian for n sites and the contraction of the MPO over all the currently assigned combinations of virtual levels.

$$\begin{array}{c|c}
M_n & = & & \cdots \\
\hline
M_n & & & = & e^{-\beta \hat{H}_n} \\
\hline
- & 0 & O & \cdots & O
\end{array}$$
(3.5)

In what follows different constructions will detailed. Some notes on the practical implementation is given later in this section.

## 3.1.2.1 Type A

This type was originally proposed in [4]. The following types of blocks appear in the cluster expansion:

# **3.1.2.1.1** $O^{nn}$ The $O^{nn}$ block is defined by eq. (3.6)

$$\frac{n}{O} = n - L_n^{-1} M_{2n+1} R_n^{-1} - n$$
 (3.6)

The residual error M is calculated for a chain of size 2n + 1. The left and right inverses  $L_n$  and  $R_n$  are applied to M to find the block  $O^{nn}$ .

**3.1.2.1.2**  $O^{mn}$  and  $O^{nm}$  The contraction of  $O^{nm}$  and  $O^{mn}$  is defined by:

$$\frac{n}{O} \underbrace{m}_{O} \underbrace{n}_{n} = n - \underbrace{L_{n}^{-1} M_{2n+2} R_{n}^{-1}}_{n} - n$$
(3.7)

The individual elements  $O^{mn}$  and  $O^{nm}$  are obtained by doing an svd decoposition as explained in section 2.3.1.1. The square root of the singuar values is multiplied to the left and right of the decomposition. The operator  $L_{n+1}$  and  $R_{n+1}$  can be constructed directly.

implementeren en testen

During the svd the bond dimension can be lowered by only keeping the rows and colums belonging to  $\sigma_i > \sigma_0$ . This also helps the invertibility. Increasing  $\sigma_0$  reduces the precision of the MPO.

- **3.1.2.1.3 Truncation** Intoduction of a new block can result in large fluctuating errors. This happens because the inverses are possible ill conditioned. Therefore the construction of the MPO should be stopped at a certain optimal order. Many different criteria can be tought of (and have been tried), but the most reliable method is as follows:
- **3.1.2.1.4**  $O^{nn}$  The  $O^{nn}$  blocks can form long chains. To test whether these chains improve accuracy, the norm (see section 5.1.1.1) of the residul error is calculated before and after the insertion of the block for a cyclic chain. A closed chain is used with the same number of sites. The closed chain resembles much better an infite chain than the open counterpart.

Only strict improvements are accepted, otherwise the MPO without the newly calculated blocks is returned.

- **3.1.2.1.5**  $O^{mn}$  and  $O^{nm}$  For these the same procedure holds.
- **3.1.2.1.6** dimension From the construction is can be seen that the dimension of the new virtual level is at most  $d^2$  times the dimension of the previous level. Depending on  $\sigma_0$ , the bond dimension is even lower.
- **3.1.2.1.7 discussion** The only parameter in the construction is  $\sigma_0$  As can be seen in fig. 3.1, mainly the construction for small values of  $\beta$  get affected by the choice of  $\sigma_0$ . This can be seen in fig. 3.1. A good tradeoff seems to be  $\sigma_0 = 10^{-12}$ . There is almost no precision loss vor small  $\beta$ , while for intermediate it performs optimal for intermediate  $\beta$ .

In practice, the truncation criterium is not completely optimal in some specific cases.

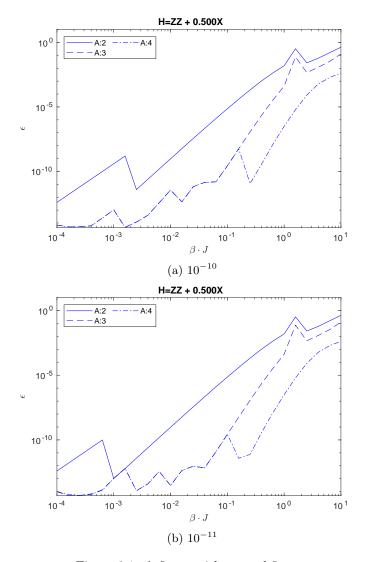


Figure 3.1: A figure with two subfigures

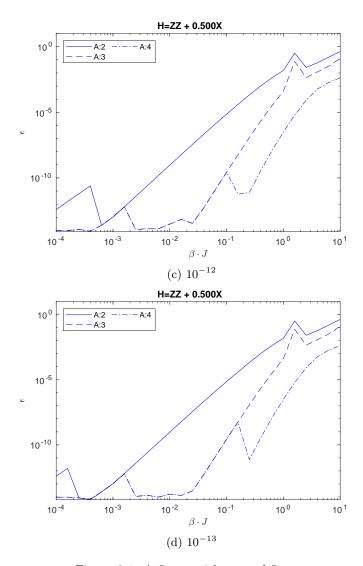


Figure 3.1: A figure with two subfigures

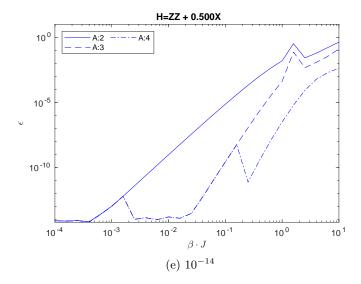


Figure 3.1: A figure with two subfigures

## 3.1.2.2 Type B

Type B only contains blocks of the following form;  $O^{mn}$  and  $O^{n0}$ 

The following split is made:  $O^{mn} \cong U^n$  and  $O^{n0} \cong \Sigma V^{\dagger}$ . In this way the inverse exists and doesn't need any calculation:  $O^{mn} = U^{\dagger}$ .

implement this!

**3.1.2.2.1 dimension** From the construction the bond dimension grows from the left to the right. For the last step, there are only  $d^2$  non zero singular values. Each steps adds  $d^2$  to the dimension. For the last step, only  $d^2$  non zero singular

values need to be keeped. With the following natation:

$$\frac{\mathbf{m}}{0} \underbrace{\mathbf{n}}_{j} = A_{(\alpha i j)\beta}^{m}$$

$$\frac{\mathbf{n}}{0} \underbrace{\mathbf{0}}_{j} = B_{(\alpha i j)\beta}^{n}$$

$$j$$

$$\mathbf{m}$$

$$\mathbf{0}$$

$$\mathbf{0}$$

$$\mathbf{0}$$

$$\mathbf{0}$$

$$\mathbf{0}$$

$$\mathbf{0}$$

The bond dimension of lower virtual levels can be reduced if we can solve the following equations simultaneously:

Then the MPO doesn't change if there are matrices  $A^{\prime n},\,A^{\prime n+1}$  and  $B^{\prime n}$  such that

$$S = A^{m} A^{n} = A'^{m} A'^{n}$$

$$T = A^{m} B^{n} = A'^{m} B'^{n}$$
(3.10)

Such matrices with optimal bond dimension can be found with generalised SVD. Generalised SVD decomposes 2 matrices as follows:

$$S^{\dagger} = (U\Sigma_1)Q^{\dagger}$$

$$T^{\dagger} = (V\Sigma_2)Q^{\dagger}$$
(3.11)

The new bond dimension is the dim  $n' = d^2 \cdot \min(\dim n - 1, \dim(n+1))$ . The dimension is higher than type A.

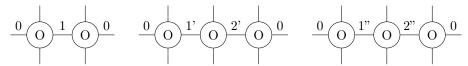
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m https://nl.mathworks.com/help/m}$ 

**3.1.2.2.2 discussion** This type has no parameters to fine tune. The only blocks appearing in this series expansion are explicitly calculated to lower the remaining error. The inverse is well defined. It is expected that the error increases strictly with increasing temperature and keeps decreasing for higher orders.

### 3.1.2.3 Type C

primed virtual levels

This type implements the same strict type as Type B, blubut in a different way. No calculation is involved, except the calculation of the the exponentiated hamiltonian to certain order. The following kind of MPO strings are allowed:



and so forth. All but one MPO elements are chosen to be the identity matrix. The middle one is the exponentiated hamiltonian with reshaped legs.

Primed levels are in fact just non overlapping virtual levels. They can be mapped to normal virtual levels.

**3.1.2.3.1 discussion** As can be expected from the construction, the bond dimension grows very fast. This type is just as precise as Type B.

### 3.1.2.4 Type D

This type uses a different setup which tries to capture the best of both Type A and B. Type could handle long range correlation better because of the introuction of  $O^{nn}$ , but the inverse was not necessarily well defined. Type B had well conditioned inverses, but performed in most of the cases worse. The block appearing in type D are as follows:

$$\underline{\underline{m}}$$
  $\underline{\underbrace{0}}$   $\underline{n}$   $\underline{\underbrace{0}}$   $\underline{n}$   $\underline{0}$   $\underline{m}$  and  $\underline{n}$   $\underline{\underbrace{0}}$   $\underline{n}$ 

Similar to type A,

Matrix  $D_n$  is the singular value diagonal matrix devided by a normalisation factor  $\phi$ . Both U and V are multiplied by  $\sqrt{\phi}$ .

**3.1.2.4.1** discussion It's not completely clear what the values of  $\phi$  should be. If  $\phi$  is to large, large chains are not surpressed. If phi is to small, the  $O^{nn}$  blocks will become large and hence the chain will diverge again. A reasonable value is the sum of the singular values.

other things could be tried here, WIP

**3.1.2.4.2 matrisation** The cost of this type lies in the fact that it has no compact way of casting it to a matrix. The following works, but has quite a large dimension:

$O_{00}$	$O_{01}$		$-2O_{01}$		$O_{01}$		$O_{01}D_1^{1/2}$	
$O_{00} \\ O_{10}$		$O_{12}$		$-2O_{12}$		$O_{12}$		
	$O_{21}$							
$O_{10}$								
	$O_{21}$							
$O_{10}$					$O_{11}$			
	$O_{21}$					$O_{22}$		
$D_1^{1/2}O_{10}$								$D_1^{-1/2}O_{12}D_2^{1/2}$
1 10							$D_2^{1/2}O_{21}D_1^{-1/2}$	fix this
			l				1 2 2 2121	

# 3.1.3 Implementation details

All of the above types are implemented for arbitrary order in Matlab.

### 3.1.3.1 Source code structure

The source code for this project can be found on github. The implementation of these types can be found under src/generateMP0.m. In this class the different types of MPO can be constructed. It bundles some helper functions such as contracting a chain or cycle of MPO's or construction of an exponentiated hamiltonian for the given input hamiltonian. Other examples are making  $L_n^{-1}$  by sequential invers MPO contractions,...

src/test.m contains the code to create the plots to compare different types
and orders. The other files in the folder are self-explanatory.

### 3.1.3.2 internal representation

Two main inernal representations are used to construct the given MPO. Either, the MPO is stored as a cell of matrices, or as one big matrix where the blocks are added to during the construction. The output type can be chosen. For some types, sparse matrices are used during the construction. Given that matlab does't support multidimensional matrices by default, this library is used.

### 3.1.3.3 normalisation factor

An overal normalisation factor  $\kappa$  per site is used to keep the numbers in matric within a reasonable range. In practice,  $e^{\beta H_n}$  is divided by by  $\kappa^n$ . Otherwise nothing has to be changed to the algorithms.  $\kappa$  can be changed during the construction by absorbing the factor in the matrices.

### 3.1.3.4 buffering results

The matrix exponential for different number of sites is called on many different places. The results for chain and cycle are stored in the class to save computing time the next time.

### 3.1.3.5 Optimalisations

The construction is not yet fully optimised for speed. The arrays can be cast to Matlab gpuArray's to offload the calculations.

# 3.2 Contruction PEPO

The MPO construction outlined in previous section can be generalized to 2D case. While the same general idea applies, a lot more care has to be taken to keep everything tractable from a programming point of view. This chapter section is organised as follows: first the physical construction will be detailed. The the blocks will be dived in 2 categories: one which can be solved with linear algebra and one which needs a non-linear solver. Then the workings of both solvers are detailed. Finally, some implementation tricks are discussed.

### 3.2.1 Construction block

The construction

### 3.2.2 Linear block

In the following, linear blocks means that the graph is a rooted tree.

# 3.2.3 Blocks with loops

# 3.2.4 Framework Implementation

A large part of the code written consist of a general framework in Matlab to perform many operations in an automated manner.

### 3.2.4.1 Linear solver

The linear solver is a general purpose block solver which reduces the problem to a set of linear matrix equations. Linear block consist of a tree structure, where the new block is the root of the tree, and all the branches need to be inverted. Let  $I^m = (i_1^1 i_2^1 \cdots i_{n_1}^1)$ , then the problem can in general, after some tedious tensor leg bookkeeping, be rewritten in the following form:

$$A_{I_1 I_2 \dots I_n \alpha^1 \alpha^2 \dots \alpha^m} X_{\alpha^1 \alpha^2 \dots \alpha^m j}$$

$$= B_{I_1 I_2 \dots I_n j}$$

$$(3.13)$$

Here  $i_N^M$  has the following meaning: M numbers the different legs or branches of the tree, N number of sites of the leg and i numbers the bra and ket states and has dimension  $d^2$ . Hence the bond dimension of  $I_n = d^{2n_m}$ . The most obvious way to solve this system is by using a linear solver. The problem is that the bond dimension increases very fast: matrix A has dimension  $d^2 \sum_m n_m \times d^2 \sum_m n_m$ .

Although using a linear solver instead of full inversion is considerably faster, this becomes infeasable for very quickly. A second method consist of solving the following sequence of linear problems one leg at a time:

$$A_{I^{1}\alpha^{1}}^{1}X_{\alpha^{1}I^{2}...I^{m}j} = B_{I_{1}I_{2}...I_{n}j}$$

$$A_{I^{2}\alpha^{2}}^{2}X_{\alpha^{1}\alpha^{2}I^{3}...I^{m}j} = B_{\alpha^{1}I_{2}...I_{n}j}$$

$$\vdots$$

$$A_{I^{m}\alpha^{m}}^{m}X_{\alpha^{1}\alpha^{2}...\alpha^{m}j} = B_{\alpha^{1}\alpha^{2}...\alpha^{m-1}I_{m}j}$$
(3.14)

While this method is very quick and scales well, in practice it results in unstable result. This is a result of the potentially ill conditioned inverses inherent to the construction. A pseudo-inverse of the full matrix can be easily obtained and resolves this issue . Solving in a sequetial way, the errors of the pseudo-inverses accumulate. Luckily the problem can be resolved by first performming an SVD decomposion of  $A^m = U^m S^m V^{m\dagger}$  matrices, with S diagonal and U and V unitary. All the  $U^m$  matrices can be inverted by applying the hermitian transpose to B. The Tensor  $S^1 \otimes S^2 \cdots \otimes S^m$  is very sparse and can be inverted at once. The last step consist of inverting all unitary V.

link to right section

### 3.2.4.2 Nonlinear solver

In some cases, the above solver does not return the best possible solution to a given problem. The reason is that it is not able to incorporate symmetries or solve problems where the new blocks appear more than once. A new solver is needed which does not rely on methods from linear algebra, but on more general non-linear leat squares solvers.

In essence, the non-linear least squares solver needs as input a vector with the error values  $\vec{f}(\vec{x})$ , and if possible also the jocabian, i.e.  $J_{I,J} = \frac{\partial f_I}{\partial x_J}$ . An improved point x is chosen by the algoritm, untill some convergence criterium is reached. The implementation uses matlab fsolve routine, which uses Levenberg-Marquardt algoritm under the hood.

**3.2.4.2.1** automatic differentiation With some care, the jacobian can be calculated for a general tensor network in an automated way. Suppose we want to differentiate the contracted tensor  $T^{i_1\cdots i_n}$  with respect to one of the PEPO blocks  $x_n = O^{i_n}_{\alpha\beta\gamma\delta}$ . Denote  $I = (i_1\cdots i_n)$  and  $J = (i_m\alpha\beta\gamma\delta)$ , and this block only occurs once. Then  $J_{IJ} = \frac{\partial T^{i_1\cdots i_n}}{\partial O^{i_m}_{\alpha\beta\gamma\delta}} = T^{i_1\cdots i_n}_{i_m\alpha\beta\gamma\delta}\delta^{i_n}_{i_m}$  amounts to contracting the network with the tensor  $x_m$  removed, and treating the non contracted indices as external ones. If a tensor appears in multiple places, the sum of these contributions has to be taken into account.

source

**3.2.4.2.2 Symmetry** The non-linear solver can handle rotated and permuted blocks. For instance, a simple loop (square) can be solved by rotating

one tensor  $T^I_{\alpha\alpha00}$  4 times, once for every corner. Another example is the following decomposition:  $X^I_{\alpha}X^J_{\alpha}=T^{IJ}$ .

# 3.2.5 Bookkeeping

One imporatant aspect of programming these general solvers is to devise a scheme that keeps track of all the involved tensors and transforms to problem to the form discribed above. In the code, the geometric info is represented by a map. This keeps track of the neighbourghs for each site, numbers the inernal and external legs and a list to perform the contractions.

The framework provides some tools to transform these maps into other maps, for instance by removing 1 site.

### 3.2.6 Fast contraction

One particular task is to determine all the possible combinations of virtual levels for a given geometry. Simply looping over all possible combinations scales as  $n^m$ , with the number of virtual levels and m the number of internal legs. This problem can be restated as a PEPS contraction in the following way: for each site make a tensor  $T_{i(\alpha\beta\gamma\delta)} = \delta^i_{(\alpha\beta\gamma\delta)}$  where i encodes the non-zero combinations of legs  $(\alpha\beta\gamma\delta)$ . After setting the boundary conditions, the PEPS network can be contracted and the resulting tensor gives, after decoding, all the possible contraction. Due to its sparsity, this performs quit fast.

tikz figure

# 3.2.7 Normalisation

For many of the end results, the PEPO cells can be divided by a normalisation factor. Normalising the calculations is important, because  $\exp(\hat{H})$  scales exponentially in the number of sites. Luckily, the exponential can be calculated directly in normalised form. Suppose H is the matrisation of the hamiltonian evaluated for a certain geometry. This is a hermitian matrix and can be diagonalised  $H = QDQ^{\dagger}$  with Q unitary. Then

$$exp(H_{d^N} - N\alpha I) = Qexp(D - N\log(\alpha)I)Q^{\dagger}$$

$$= Q \begin{bmatrix} exp(D_{11} - N\log(\alpha)) & & & \\ & \ddots & & \\ & & exp(D_{d^Nd^N} - N\log(\alpha)) \end{bmatrix} Q$$

$$= \frac{exp(H_{d^N})}{\alpha^N}$$

$$(3.15)$$

. With I the unit matrix. Next to a global normalisation factor, every block calculation calculates a specific normalisation factor such that the largest eigenvalue of  $\exp(H)$  is of the order 1.

# Chapter 4

# Strongly correlated matter

# 4.1 Phases and Criticality

### 4.1.1 Phases of matter

An important area of research is the study of the different phases of (quantum) matter. A phase is a state of matter in which the macroscopic physical properties of the substance are uniform on a macroscopic length scale. These phase can be measured by thermodynamic function, i.e. by function of a few macroscopic parameters. [5]. More precisely, for a given phase the properties vary as an analytic function of the macroscopic variables.

Interesting physics happens at the boundary between 2 or more distinc phases. The phase transitions were classified by Ehrenfest [6], who looked at the free energy across the phase boundary. If the free energy shows a discontinuity, it is called first order (or discontinuous) phase transition. Similarly, if the derivitive shows a discontinuity, it is called second order (or continuous). Higher order phase transitions are possible, and there are even examples of infinite order transitions, such as the BKT transition.

## 4.1.2 symmetry breaking

Sometimes, but not always, a phase transition is related to spontaneous symmetry breaking. A state  $|\Psi\rangle$  is said to be symmetric under a unitary transformation U if the state only changes by a phase factor:  $\hat{U}|\Psi\rangle = e^{i\phi}|\Psi\rangle$ . A hamiltonian posesses a symmetry if it commutes with U: [H,U]=0 [7]. A remarkable fact is that many ground states are not invariant under a symmetry U of the hamiltonian.

For phase transitions associated with a broken symmetry, one can define an order parameter. This parameter evalutes to 0 for the symmetric phase, but not for the sponteous broken phase.

In continuous or second-order phase transitions the order parameter increases continuously from zero as the critical temperature is traversed. The

entropy also changes continuously. On the other hand, the correlation length and related energy scales diverge at the critical temperature. In fact, at the critical temperature of a second-order phase transition, scale invariance systems become scale-invariant, in the sense that physical properties no longer depend on the length (or energy) scale at which they are probed. Many symmetry-breaking phase transitions are second-order, with the onsets of superfluidity, (anti)ferromagnetism and many phases of liquid crystals as famous examples.[7]

# 4.1.3 Universality

Universality looks at the behaviour of the system near a continuous phase transition. These can be discribed well by so called power laws. For classical phase transitions (driven by temperature) near critical temperature  $T_c$ , observables  $a_i$  depend in the following way on the reduced temperature  $t = \frac{T - T_c}{T_c}$ :  $a_i(t) \sim t^{\alpha_i}$ . One would expect that the set of critical exponents  $\alpha_i$  depends on the precise form of the hamiltonian of the system, but it turns out these exponents can be captured by a limited numer of universality classes. This means that the physics near criticality is completely understood once it is understood for one member of the class.

# 4.1.4 Critical exponents for spin systems

The following table defines some of the critical exponents for the Ising system.

Symbol	name
m	magnetisation
ξ	correlation length
g	external field
t	reduced temperature
d	dimension

The 2 point correlation function is defined as  $f(x,y) = \langle m(x)m(y)\rangle - \langle m(x)\rangle \langle m(y)\rangle$ . At larger distances this decays exponentially fast (see ?? )  $f(x,y) = e^{-\frac{|x-y|}{\xi}}$ , where  $\xi$  is the correlation length.

for the ordered phase, the following relations hold:  $m |t|^{\beta}$ ,  $\xi(t) \approx |t|^{-\nu}$ . At the critical temperature near a quantum phase transition  $m \approx |g - g_c|^{\frac{1}{\delta}}$ .

### 4.1.5 Finite size scaling

Finite size scaling was originally introduced in order to extract critical exponents from monte carlo simulations.

## 4.1.6 CFT

### 4.1.7 Quantum phase transitions

A traditional 2nd order phase transition is driven by a change in temperature. Quantum phase transitions on the other hand happen at zero temperature under influence of another parameter g of the model. At finite temperature, 2 things can happen: either there is a line connecting a classical 2nd order phase transition to the quantum phase transition, or the phase transition disappears at finite temperature [8].

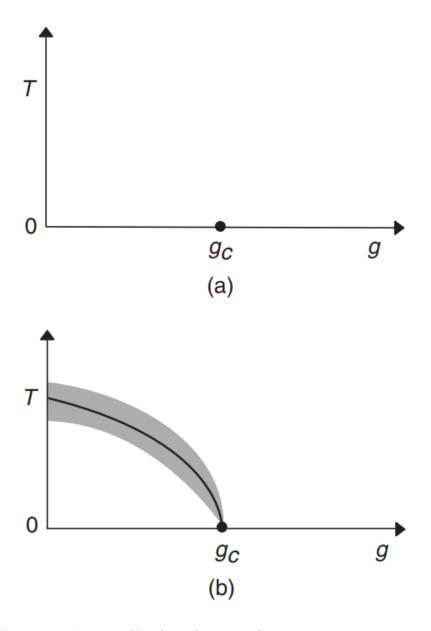


Figure 4.1: Two possible phase diagrams of a system near a quantum phase transition. In both cases there is a quantum critical point at  $g=g_c$  and T=0. In (b), there is a line of T>0 second-order phase transitions terminating at the quantum critical point. The theory of phase transitions in classical systems driven by thermal fluctuations can be applied within the shaded region of (b). Figure and caption taken from [8].

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### 4.1.8 Quantum to classical mapping

Quantum to classical mapping

### 4.2 Models

The goal of numerical techniques is to simulate the physics of real world systems. These are, to some extend, captured by different models. Models are a simplified mathematical description that captures some relevant physics of more complicated systems. This section introduces some specific models, their relevance and some properties. These models will be used later to benchmark the developed tensor network expansion.

### 4.2.1 Ising model

The prototypical example of a model in the field of strongly correlated matter is the Ising model. It was first introduced 1925 by Ernest Ising, as a model to capture ferromagnetism. He proved that for a linear chain, there is no phase transition at finite temperature. He wrongly concluded that this would also be the case in higher dimensions, but it turned out t be one of the deepest and far-reaching problems in 20th century [9].

The Ising model, in essence, assigns an energy contribution to neighbouring spins. These spins sit on a fixed position on a chain (1D) or lattice (2D/3D/...). In classical Ising, the operators in the Hamiltonian all commute with each other. An energy is assign between neighbouring spins and possible and energy for alignment with an external magnitic field in the same direction. In quantum Ising model, a transversal field is added. Often, the particles on the grid are spin 1/2 particles, but of course other particles are possible.

Many generalizations exist for the Ising model.

q potts,...

### 4.2.1.1 Classical Ising

The classical ising model is given by the following hamiltonian:

$$H = -J\left(\sum_{\langle ij \rangle} \sigma_i \sigma_j + h \sum_i \sigma_i\right) \tag{4.1}$$

where  $\langle ij \rangle$  runs over all neighbouring lattice sites. The possible values of  $\sigma$  depends on the spin dimension. For spin 1/2 lattices  $\sigma \in -1, +1$ . g encodes the interaction strength of the external magnetic field.

The sign J determines the low temperature ground state. A positive J will tend to allign all neighbouring spins at low temperature. This is often called ferromagnetic, because all the aligned spins cause a macroscopic magnitisation. On the other hand, a negative J causes neighbouring spins to have an opposite sign.

Depending on the sign of the longitudinal field h, the spins tend to align or anti align with this external field. This lifts the degeneracy of the groundstate.

#### **4.2.1.1.1 1D** The classical 1D model was solved analytically by Lens.

**4.2.1.1.2 2D** In 2D, it becomes important to define the lattice. Here, and in the simulations, we will consider a square lattice. This model was famously solved by Lars Onsager in 1944, by using the transfer matrix method. In 2 dimensions, the Ising model has a phase transition at finite temperature. The critical temperature is  $T_c = \frac{2J}{Tln(\sqrt{2}+1)}$ .

Only the h=0 case the is solved analytically. For higher dimensions, no analytical solution is known. For these cases, we need to use numerical techniques if we want to understand the behaviour of these models.

On different lattices, interesting thins can happen. For instance, the ground-state of an antiferromagnet on a triangular lattice is not obvious to determine. The spins tend to antiallign, but at least 2 of 3 spins on the corner of a triangle have to align. Remarkably, as will be explained in section 4.1, the physics at the phase transition does remain invariant when the lattice is changed.

#### 4.2.1.2 Quantum Ising

As we all know, the real world behaves, certainly at small length and time scales, quantum mechanically. Therefore, it is important to understand how the quantum Ising model differs from the classical model. In the quantum Ising model, the operators no longer commute with each other. An example is the transversal Ising model given by the following hamiltonian:

$$\hat{H} = -J \left( \sum_{\langle ij \rangle} \sigma_i^x \sigma_j^x + g \sum_i \sigma_i^z \right) \tag{4.2}$$

In the case that g = 0, this is the classical Ising model (in the h = 0 case).

**4.2.1.2.1 1D** Different to the classical case, the 1D model already contains a phase transition.

### 4.2.1.2.2 2D

### 4.2.2 Heisenberg

The heisenberg model is given by:

$$\hat{H} = -\left(\sum_{\langle ij \rangle} J_x \sigma_i^z \sigma_j^z + J_y \sigma_i^y \sigma_j^y + J_z \sigma_i^z \sigma_j^z + h \sum_i \sigma_i^z\right) \tag{4.3}$$

These models have different names depending on the values of  $J_{\alpha}$  with  $\alpha = x, y, z$ .  $J_x = J_y \neq J_z = \Delta$  is called the XXZ model.

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4.	. Z.	. • າ	n	aı	1(	10	. )	П	ı

It's also possible to construct random hamiltonians. \_\_\_\_\_\_ in basis: hermitian H

# Chapter 5

## Results

With four parameters I can fit an elephant, and with five I can make him wiggle his trunk

John von Neumann

### 5.1 Benchmarking

### 5.1.1 dioganalsation

The performance of the MPO construction can be compared with the exact diagonalisation of the hamiltonian for a given number of sites. To obtain a faithful results, the number of sites should be as high as possible. In practice, diagonalisation of large matrices becomes slow and memory consuming. The size grows exponentially in the number of sites:  $d^n \times d^n$ . A double takes 8 bytes of memory. A Rough estimated of the amount of RAM R needed to store this complex array is:

$$R = d^{2n} \times 16bytes \tag{5.1}$$

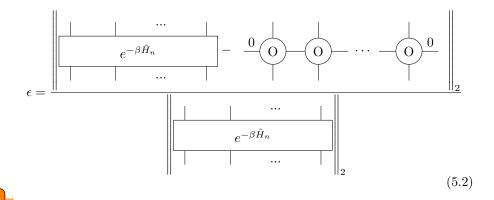
Which means a 14 site chain already takes up GB of RAM.

time complexity algoritms

### 5.1.1.1 norms

trace norm, schatten p norm, ...

The schatten 2 norm is used in the following analysis, dentoted by  $\|\cdot\|_2$ . In the figures the relative error  $\epsilon$  is reported.



make version for cyclic

**5.1.1.1.1 system size and cyclicity** This norm can only be calculated for a finite number of sites. The influence of the number of sites for a linear and cyclic fig. 5.1 . As expected, the cyclic norm represents large systems better for the same number of sites. The linear norm keeps increasing with every added site.

Calculating the cyclic norm comes at the extra cost of contracting a cyclic tensor network.

calculate complexity

In this chapter, the cyclic norm will be given for M=8 sites.

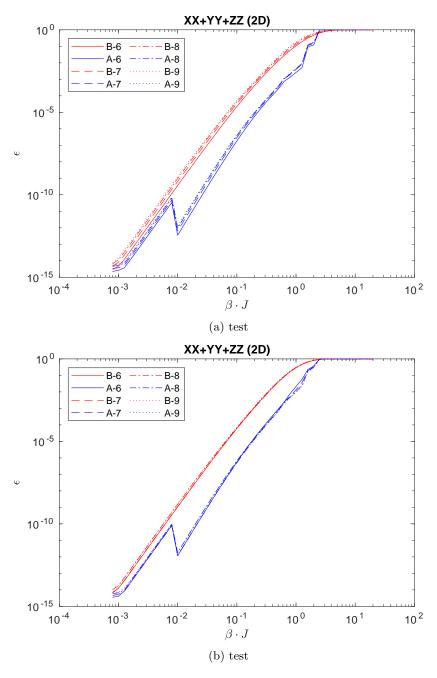


Figure 5.1: test

### 5.1.1.2 Ising

The first model used to benchmark the different types of MPO's is the transversal ising model. For type A the  $\epsilon$  increases with  $\beta$ . As expected, the relative error decreases with increasing order.

The behaviour of type B is more chaotic. The error increases no longer monotonously. For small values of  $\beta$ , the order is truncated.

larger orders need reimplementation (non matrix based)

For type 5 fig. 5.3, there is a consitent improvement over type B.

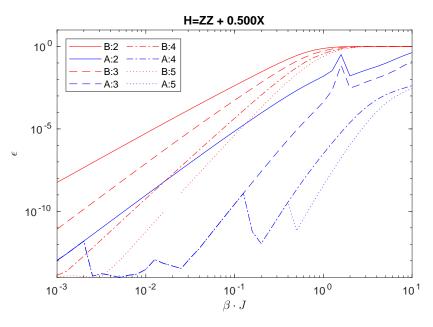


Figure 5.2: Comparison type A and B for Transversal Ising

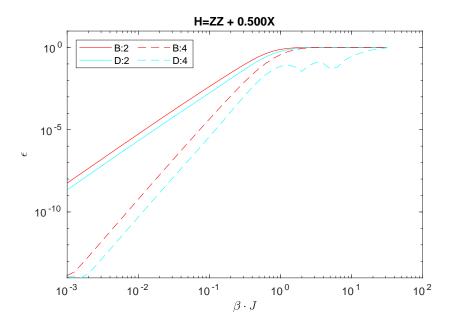


Figure 5.3: Comparison type C and B for Transversal Ising

### 5.1.1.3 Heisenberg

For the Heisenberg model, type A is also an improvement over type B. For large values of  $\beta$ , increasing the order does not help. Type 5 fig. 5.5 is more promising, but higher orders require to much memory to simulate.

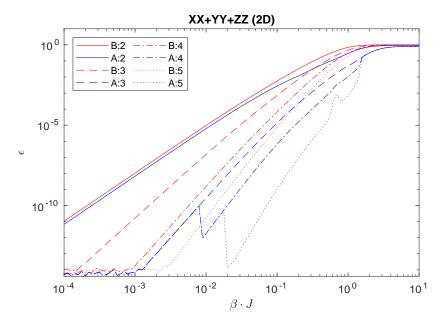


Figure 5.4: Comparison type A and B for Heisenberg

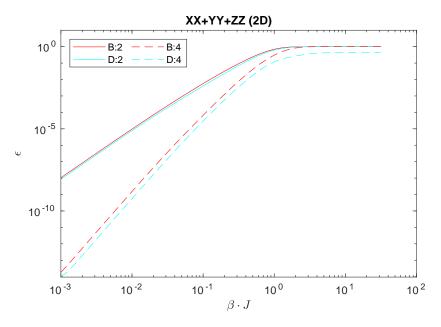


Figure 5.5: Comparison type C and B for Heisenberg

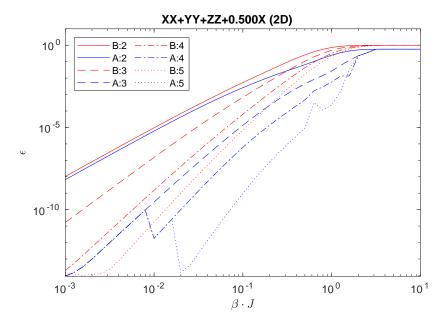


Figure 5.6: transversal XXX

run with M=11

### **5.1.1.4** Random

To give a representative overview for random hamiltonians, several simulations were run. The single site and nearest neighbourgh hamiltonians are generated by making hermitian matrices with random real and complex numbers between -1 and 1. In order to compare the different graphs, the engergy scale is set such that the norm of the 2 site hamiltonian is 1.

Clearly, the performance of type B is almost independent on the chosen random variables. For type A there is more variation. Still, A performs almost always better than B. For some random models, such as fig. 5.7b, the order is truncated at low order for high temperatures (see peak at  $\beta J \approx 4$ ). It6s unclear why this behaviour emerges. Manually overinding the sefagaurd machanism

blabla

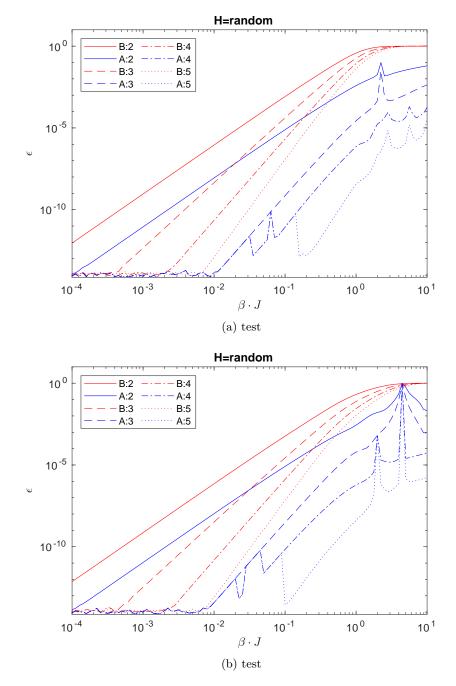


Figure 5.7: test

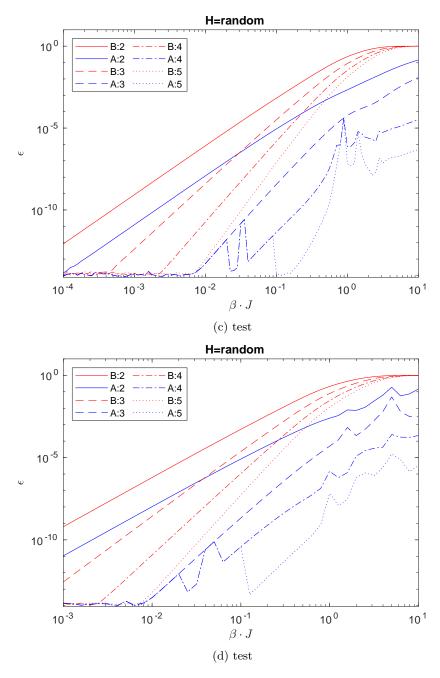


Figure 5.7: test (cont.)

Also here type D improve the results of type B. For high  $\beta$  truncation seems

nog niet klaar necessary.

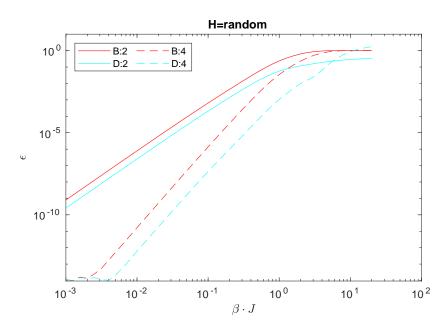


Figure 5.8: Comparison type C and B for random Hamiltonian

### 5.1.2 analytical results

Chapter 6

Conclusion and lookout

# Appendices

Appendix A
Source code and documentation

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