# Further Thread Synchronization

### Outline

- Further Lock-based Thread Synchronization
  - Barriers
  - Condition variables

#### **Barriers**

- A barrier is a synchronization point at which a certain number of threads must arrive before any participating thread can continue.
- Participating threads call pthread\_barrier\_wait(). Participating threads block until the specified number of threads have called pthread\_barrier\_wait().

```
pthread t thr[3];
pthread barrier t mybarrier;
void * tfunc (void * arg) {
  int i;
  for (i=1; i<MAX GENERATIONS; i++) {</pre>
    // participate computing generation i from generation i-1:
    pthread barrier wait(&mybarrier); // wait until all 3
                                        // threads arrive at the
                                        // barrier.
                                                Here we set up a
int main() {
  int i, j;
                                                barrier for 3
  pthread barrier init(&mybarrier, NULL, 3);
                                                threads.
  for (j=0; j<3; j++) {
    pthread create(&thr[j], NULL, tfunc, (void *)j);
                                                                   STOP)
  pthread exit(NULL);// Exit the main thread.
```

3

### Barriers (cont.)

- Barriers are of type pthread barrier t.
- Use pthread\_barrier\_init() to initialize a barrier.
  - The first argument is a pointer to a barrier variable.
  - The second argument is a pointer to barrier attributes.
    - Use **NULL** for default attributes, which is sufficient in our case.
  - The third argument specifies the number of threads that are needed to open the barrier.
    - Example on the previous slide: 3 means
      - the first 2 threads that call pthread barrier wait() will block.
      - the third thread calling pthread\_barrier\_wait() will open the barrier. All three threads continue execution.
- Use pthread\_barrier\_wait() to block on the barrier.

```
pthread_barrier_t mybarrier;

pthread_barrier_init(&mybarrier, NULL, number_of_threads);

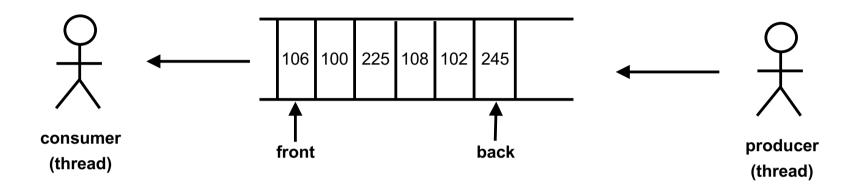
pthread_barrier_wait(&mybarrier);

int pthread_barrier_destroy(pthread_barrier_t *barrier);
```

### Outline

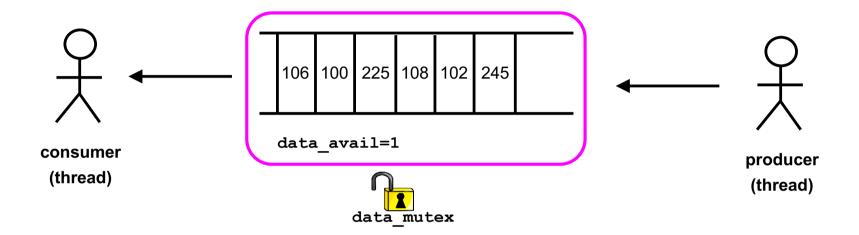
- Further Lock-based Thread Synchronization
  - Barriers ✓
  - Condition variables ← next

#### Producer-Consumer Problem



- Producer inserts data into queue (back side)
- Consumer reads data from queue (front side)
- Consumer can only read data when queue not empty.
- Producer can only insert data into queue when queue not full.
  - Synchronizing the producer on the "not full" condition works same as synchronizing the consumer on "not empty". Synchronizing the producer on "not full" is left out to keep the example simple.

### Producer-Consumer Synchronization



- Global variable data\_avail is used to tell the consumer that data is available.
  - data\_avail = 1 means "data is available".
  - data\_avail = 0 means "queue is empty".
- The queue data-structure and variable data\_avail are shared between the producer and consumer thread.
- To avoid race conditions we use mutex "data\_mutex" to synchronize access.

#### Without Condition Variables

```
// shared global variables:
int data avail = 0;
pthread mutex t data mutex = PTHREAD MUTEX INITIALIZER;
void *producer(void *)
     Produce data
     pthread mutex lock(&data mutex);
     Insert data into queue;
     // tell consumer that data is available:
     data avail = 1;
     pthread mutex unlock(&data mutex);
```

- Producer inserts data into queue
- Global variable
   data\_avail is
   used to tell the
   consumer that
   data is available.
- The queue and variable
   data\_avail
   are shared and must be
   protected by a mutex.

### Without Condition Variables (cont.)

```
void *consumer(void *)
2
      int GotItem = 0;
      while( GotItem == 0 )
5
      {
         pthread mutex lock(&data mutex);
         if ( data avail == 1 ) {
             Fetch data item from queue();
8
             if ( queue is empty )
              data avail = 0;
10
             GotItem = 1;
11
12
         pthread mutex unlock(&data mutex);
13
14
15
      consume data();
16 }
```

Note: Spinning means to circle in the loop from lines 4-14 until a data item was fetched from the queue.

- Problem: the consumer must
   spin until data becomes
   available.
  - aquire data\_mutex
  - check data avail
  - release data mutex
- Once data\_avail set to 1 by producer, consumer can extract data item from queue.
  - set GotItem=1 to exit spin loop.
- We would like a solution were the consumer <u>blocks</u> until data becomes available.
  - Better then consuming CPU cycles through busy waiting!

#### **Condition Variables**

- Waiting and signaling on condition variables:
  - pthread\_cond\_wait(condition, mutex)
    - Blocks the thread until the specific condition is signaled.
    - Must be called with mutex locked!
    - Automatically <u>releases</u> the mutex while it waits.
    - Upon return of function (condition has been signaled), mutex is locked again.
  - pthread\_cond\_signal(condition)
    - Wake up (at least) one thread waiting on the condition variable.
    - Must be called after mutex is locked, and must unlock mutex thereafter.
  - pthread cond broadcast(condition)
    - Used when multiple threads blocked at the condition.
    - Wake up all threads blocked at the condition.
      - 'thundering herd' syndrome if only one can get resource and others have to go to go back to blocking state.

#### With Condition Variables

```
int data avail = 0;
pthread mutex t data mutex =
       PTHREAD MUTEX INITIALIZER;
pthread cont t data cond =
       PTHREAD COND INITIALIZER;
void *producer(void *)
{
    Produce data
    pthread mutex lock(&data mutex);
    Insert data into queue;
    data avail = 1;
   pthread cond signal(&data cond);
   pthread mutex unlock(&data mutex);
```

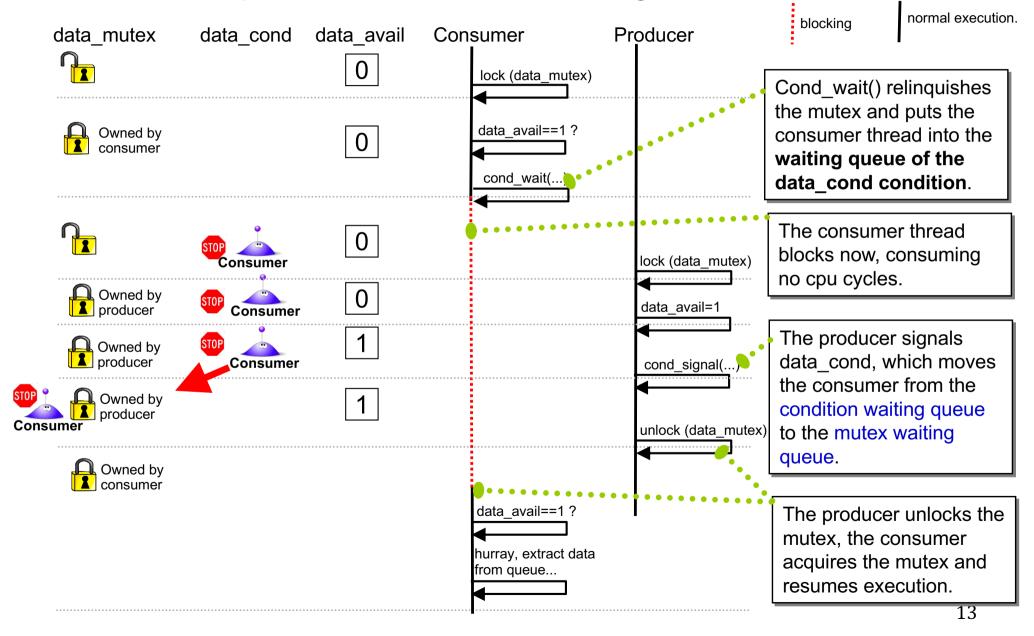
- Variable data\_cond is a condition variable.
- Producer uses data\_cond to signal consumer that new data is available.
- Will unblock a blocking consumer.
- Has no effect if no consumer is blocking.
  - The signal is 'lost' (which is ok if nobody is waiting).

## With Condition Variables (cont.)

```
void *consumer(void *)
  pthread mutex lock(&data mutex);
  while( data avail == 0 ) {
    // sleep on condition variable:
    pthread cond wait(&data cond, &data mutex);
  // woken up, execute critical section:
  Extract data from queue;
  if (queue is empty)
     data avail = 0;
  pthread mutex unlock(&data mutex);
  consume data();
```

- Consumer acquires lock.
- Checks data\_avail for available data.
- If no data is available, the consumer blocks using pthread\_cond\_wait().
  - will relinquish the mutex!
  - otherwise producer could not produce!
- Once the producer signals
   data\_cond and relinquishes
   the mutex, the consumer will
   be unblocked.
  - Holds the mutex again!

Example: Consumer blocking on condition



## With Condition Variables (cont.)

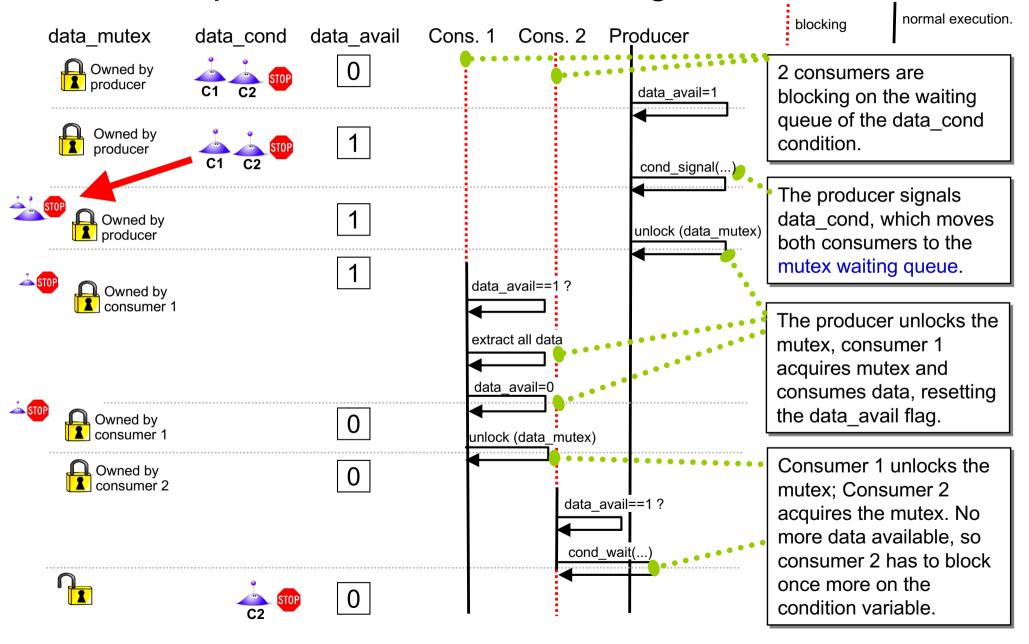
```
void *consumer(void *)
  pthread mutex lock(&data mutex);
  while( data avail == 0 ) {
    // sleep on condition variable:
    pthread cond wait(&data cond, &data mutex);
  // woken up, execute critical section:
  Extract data from queue;
  if (queue is empty)
     data avail = 0;
  pthread mutex unlock(&data mutex);
  consume data();
```

- The while loop is needed in case of >1 consumers.
- If >1 consumers wake up, only one will get the mutex and consume the data item.
- Thereafter the next consumer might acquire the mutex.
  - Data item is consumed now!!
- Re-check of condition necessary!

#### Note:

- The producer-consumer example requires a second condition variable to signal the buffer empty condition to the producer.
- This has been omitted here for brevity.

### Example: 2 consumers blocking on condition



### Outline

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