



DOE/ER/40325-07-Task B<sub>1</sub>  
RU88/B<sub>1</sub>/31

# TRIVIAL PURSUIT OF THE HIGGS PARTICLE

David J.E. Callaway\*  
Department of Physics  
The Rockefeller University  
1230 York Avenue  
New York, New York 10021-6399

callaw@rockvax.BITNET

## ABSTRACT:

The highly accurate Kadanoff lower-bound renormalization group for spin systems is generalized to models with local gauge symmetry. As an example it is applied to the  $Z_2$  gauge-Higgs theory. The two critical exponents of the model are respectively predicted exactly and with 0.1% accuracy by a simple analytic calculation. The application of the technology to more complicated gauge groups in arbitrary spacetime dimension is described.

Invited lecture presented at the Lattice Higgs Workshop, Tallahassee, Florida, May 16-18, 1988.

\* Work supported in part by the U.S. Department of Energy contract DE-AC02-87-ER40325 - Task B<sub>1</sub>.

It is becoming evident that triviality plays an essential role in models of the weak interaction (see [1] and these proceedings). In particular, if a continuum limit of a Higgs lattice gauge theory exists, it may even be possible to predict the Higgs mass [2,3].

One simple argument which suggests that the Higgs mass is predictable [1,2,3] is based upon the precepts of the renormalization group. If a continuum limit of a Higgs lattice gauge theory exists, it must correspond to a fixed point of the renormalization group transformation. The number of relevant directions at this fixed point equals the number of independent renormalized parameters in the theory. Yet universality suggests that the quartic coupling is irrelevant at this fixed point. Thus at least one parameter of the theory (e.g., the Higgs mass) might be predictable from the others.

Partially in order to explore this possibility, a Monte Carlo renormalization group analysis of the fixed-length  $SU(2) \times U(1)$  standard model was performed [3]. This calculation was made using a specific "maximal truncation" approximation. Several fixed points do, in fact, appear in this scheme. Some of these fixed points possess "marginal" directions, suggesting that (in addition to the possibility of a calculable quartic coupling, as implied by universality) a priori bounds on other renormalized couplings might

be set. Before a definitive statement can be made, however, an accurate real-space renormalization group technique for lattice Higgs systems is needed. One candidate technique [4], a generalized lower-bound renormalization group, is discussed here.

The Kadanoff lower-bound renormalization group (LBRG) predicts [5] critical exponents of spin systems with a precision not achievable by any other simple RG method (see [6] for a review). The LBRG gives  $dv=2-\alpha$  to 0.1% accuracy in the  $d=2$  and 3 Ising models, and to 1% accuracy in four dimensions. For  $\phi^4$  field theory it yields a one-dimensional integral equation whose solution give  $v$  correctly to first order in the  $\epsilon$ -expansion. It is also a direct approximation to an infinite system, so true finite-size effects are absent.

This amazing precision, coupled with the present level of interest in the renormalization-group structure of gauge-Higgs systems (see, e.g., [1]), provides motivation to generalize this simple technique to systems with local gauge symmetry. The generalization presented here is applicable in arbitrary spacetime dimension, and reduces to the Kadanoff method for spin systems in the limit where the gauge coupling vanishes.

The crux of the LBRG is the observation that [since  $\langle \exp(\Delta S) \rangle \geq \exp(\langle \Delta S \rangle)$ ] the addition to the action of an operator with vanishing expectation value lowers the free energy  $f$ . Interaction-moving operations satisfy this criterion by translational invariance. Variational parameters are introduced

and are (easily) optimized at a fixed point to give a best lower bound for  $f$ . Critical exponents then follow directly from the recursion relations.

The application of the original LBRG to gauge theories is complicated by the fact that its block lattice points lie in the centers of hypercubes, while the gauge links  $\{U\}$  are only defined along its edges. It is therefore first necessary to use a prefacing transformation to map the original "plaquette" system to a "subsumed" model which allows parallel transport to the center of a hypercube. The blocking then yields a plaquette model with larger lattice spacing, ready for the next iteration.

An example facilitates explanation. Consider a single plaquette in a two-dimensional  $Z_2$  gauge theory with vertices labelled  $(1,2,3,4)$  and action  $S_{G,1234} = -\beta U_{12}U_{23}U_{34}U_{14}$  where  $U_{ji} = U_{ij} = \pm 1$ . Place a point  $c$  in the center of the plaquette. The corresponding subsumed model action is

$$\tilde{S}_{G,1234} = -\tilde{\beta}(V_{1c}U_{12}V_{2c} + V_{2c}U_{23}V_{3c} + V_{3c}U_{34}V_{4c} + V_{1c}U_{14}V_{4c}) - C_{\beta} \quad (1)$$

where each of the  $V_{ic} = \pm 1$  is an element of  $Z_2$  which runs between points  $c$  and  $i=1$  to  $4$ . Since  $\text{Tr}_{\{V\}}[\exp(-\tilde{S})] = \exp(-S)$  it follows that  $(\tilde{\beta})'' = \beta$  and  $C_{\beta} = -2(\tilde{\beta})' - \beta - \ln 16$ , where  $x'' \equiv (x')'$  and  $\tanh x' \equiv \tanh^2 x$ . In higher spacetime dimensions, the point  $c$  is placed in the center of the hypercube. The prefacing transformation can be performed analytically for discrete groups. For larger groups more interactions [e.g.,  $(VUV)^2$ ,  $(VUV)(VUV)$ ]

etc.] must be included. Note that the prefacing is underconstrained -- many subsumed models correspond to one plaquette model.

The machinery of the generalized LBRG can be illustrated using a  $Z_2$  gauge theory in  $d=2$  dimensions. Figure 1 defines the notation. The original lattice fields (e.g.,  $U_{67}$ ) run between the original site points, denoted by numerals 1-16. The new fields of the subsumed model (e.g.,  $V_{6e}$ ) go between the original site points and new points labelled by letters a through i. Block points are denoted by crosses, and block fields (e.g.,  $U'_{ag}$ ) connect these. Thus (a,c,i,g) is the boundary of a typical block of side length  $b=2$ , which is the RG scaling factor.

A gauge-invariant projection operator  $P$  can be defined for the subsumed model. The projection operator determines the renormalized action  $S_{G,R}$  via  $\exp(-S_{G,R}) = \text{Tr}_{\{U,V\}} P \exp(-\tilde{S}_G)$ .  $P$  is a product over all block links  $\{U'\}$  of terms like

$$P_{ag} = \exp[p_1 U'_{ag} (V_{6a} V_{6d} V_{10d} V_{10g} + V_{5a} V_{5d} V_{9d} V_{9g}) - N_{ag}] \quad (2)$$

where  $p_1$  is a variational parameter. The requirement that the free energy remain invariant under the RG transformation implies that the trace of  $P_{ag}$  over  $U'_{ag}$  is unity, and thus

$$N_{ag} = (p_1)' (V_{6a} V_{6d} V_{5d} V_{5a}) (V_{10d} V_{10g} V_{9g} V_{9d}) + C_1$$

with  $C_1 \equiv (p_1)' + \ln 2$ .

So far the RG transformation is exact (and intractable). The LB approximation is to move all interactions from the VUV terms and from the normalization terms (e.g.  $N_{ag}$ ) in the product  $P \exp(-\tilde{S})$  into the shaded region (b,f,h,d), and equally to its

counterparts in other blocks. The result for the contribution from block (a,c,i,g) is

$$\begin{aligned}
 & [P \exp (-\tilde{S}_G)]_{LB,acig} = \\
 & \exp \{2\tilde{\beta}[U_{6,7}(V_{6b}V_{7b} + V_{6e}V_{7e}) \\
 & + U_{7,11}(V_{7f}V_{11f} + V_{7e}V_{11e}) \\
 & + U_{10,11}(V_{10e}V_{11e} + V_{10h}V_{11h}) \\
 & + U_{6,10}(V_{6e}V_{10e} + V_{6d}V_{10d})] - 4C_\beta \\
 & + p_1[U'_{ag}(V_{6a}V_{6d}V_{10d}V_{10g}) + U'_{gi}(V_{10g}V_{10h}V_{11h}V_{11i}) \\
 & + U'_{ci}(V_{7c}V_{7f}V_{11f}V_{11i}) + U'_{ac}(V_{6a}V_{6b}V_{7b}V_{7c})] \\
 & - p_1[(V_{6b}V_{7b}V_{6e}V_{7e})(V_{10e}V_{11e}V_{10h}V_{11h}) \\
 & + (V_{6d}V_{6e}V_{10d}V_{10e})(V_{7e}V_{7f}V_{11e}V_{11f})] \\
 & - 2C_1\} \tag{3}
 \end{aligned}$$

The interaction-moving separates the original system into blocks within which the summations over  $\{U,V\}$  can be performed independently. The renormalized couplings  $\beta_R$  and  $C_R$  are found from

$$\begin{aligned}
 \exp [\beta_R(U'_{ag}U'_{gi}U'_{ci}U'_{ac}) + C_R] &= [\exp(-S_{G,R})]_{acig} \\
 &= \text{Tr}_{\{U,V\}}[P \exp (-\tilde{S}_G)]_{LB,acig} \tag{4}
 \end{aligned}$$

The variational parameter  $p_1$  is determined from the extremum condition

$$0 = \frac{\partial f}{\partial p_1} = \frac{\partial f}{\partial K_\alpha} \frac{\partial K_\alpha}{\partial p_1} \tag{5}$$

where  $\alpha = 1, \dots, n$ ;  $K_{R,n} \equiv C_R$ . This can be solved easily at a fixed point [1], for there  $\partial f / \partial K_\alpha \equiv e_\alpha$  is a left eigenvector of the matrix  $D_{\alpha\beta} \equiv \partial K_{R,\alpha} / \partial K_\beta$  with eigenvalue  $b^d (= 2^2)$ . In fact, Eq. (5) is then a determinant, since  $e_\alpha$  is proportional to  $\text{cof}(\Delta_{\alpha n})$ ,  $\Delta_{\beta\alpha} \equiv D_{\alpha\beta} - b^d \delta_{\alpha\beta}$ . The result at the infinite  $\beta$  fixed point is  $\beta_R = (\frac{1}{2}(2\tilde{\beta})^n)^n$ ,  $(p_1)' = \frac{1}{2}(2\tilde{\beta})^n$ , giving the exact limit  $\partial \beta_R / \partial \beta \rightarrow 1 = b^Y$ . Thus  $y=0$  (the fixed point is marginal). No fixed points exist at finite  $\beta$ . Exact blocking yields  $\beta_R = ((\tilde{\beta})^n)^n = \beta^n$  by comparison.

Scalar "Higgs" fields are easily included in the formalism. Consider for simplicity fixed-length  $Z_2$  fields  $\sigma_n = \pm 1$  (variable-length scalars are treated in [1]). The Higgs terms in the original action  $S$  as well as in the renormalized action  $S_R$  can be written entirely in terms of gauge-invariant objects like  $h_{6,7} \equiv \sigma_6 U_{6,7} \sigma_7$  and  $h'_{ac} \equiv \sigma'_a U'_{ac} \sigma'_c$  respectively. For scaling factor  $b=2$  in two dimensions nothing larger than a plaquette can be included. Thus the allowable Higgs terms for plaquette (6,7,10,11) are:

$$\begin{aligned}
 O_{\text{Plaq}} &\equiv h_{6,7} h_{7,11} h_{10,11} h_{6,10} \\
 O_2 &\equiv \frac{1}{2} (h_{6,7} + h_{7,11} + h_{10,11} + h_{6,10}) \\
 O_3 &\equiv \frac{1}{2} h_{6,7} (h_{7,11} + h_{6,10}) (1 + O_{\text{Plaq}}) \\
 O_4 &\equiv 2 O_{\text{Plaq}} O_2 \\
 O_5 &\equiv \frac{1}{2} h_{6,7} h_{10,11} (1 + O_{\text{Plaq}})
 \end{aligned} \tag{6}$$

and their contribution to the action is  $-\sum_{i=2}^5 K_i O_i$ . The Higgs contribution to the full action is the sum over all plaquettes of this quantity (note that  $\beta \equiv K_1$  and likewise  $\beta_R \equiv K_{R,1}$ ;  $C_R \equiv K_{R,6}$  etc.). The projection operator  $Q$  for the scalars is a product over all block fields of terms like this for  $\sigma'_a$ :

$$Q_a = \exp[p_2 \sigma'_a \sum - \frac{1}{2} L_2 (\sum^2 - 4) - M_2 \sigma_1 \sigma_2 \sigma_5 \sigma_6 V_{1a} V_{2a} V_{5a} V_{6a} - C_2]$$

$$\sum \equiv V_{1a} \sigma_1 + V_{2a} \sigma_2 + V_{5a} \sigma_5 + V_{6a} \sigma_6 \quad (7)$$

where the normalization  $\text{Tr}_{\sigma, Q} = 1$  implies that

$$4L_2 = (2p_2)', \quad 2M_2 = (2L_2)', \quad -C_2 = -2L_2 + M_2 - \ln 2.$$

The LB approximation of moving all interactions equally into the region  $(b, f, h, d)$  and its counterparts in other blocks is made as before. The LB contribution to  $\exp(-S_R)$  from block  $(a, c, i, g)$  is the sum over all contained  $\{U, V\}$  of

$$[PQ \exp(-\tilde{S})]_{LB, acig} =$$

$$\exp \{4(2K_2 O_2 + K_3 O_3 + K_4 O_4 + K_5 O_5)$$

$$- \frac{1}{2} L_2 [(\sigma_6 V_{6e} + \sigma_7 V_{7e} + \sigma_{10} V_{10e} + \sigma_{11} V_{11e})^2 - 4]$$

$$- M_2 (\sigma_6 \sigma_7 \sigma_{10} \sigma_{11} V_{6e} V_{7e} V_{10e} V_{11e}) - C_2$$

$$+ p_2 (\sigma'_a V_{6a} \sigma_6 + \sigma'_c V_{7c} \sigma_7 + \sigma'_i V_{11i} \sigma_{11} + \sigma'_g V_{10g} \sigma_{10})\}$$

$$\times [P \exp(-\tilde{S}_G)]_{LB, acig} \quad (8)$$



The six block couplings  $\{K_R\}$  are evaluated analytically in terms of the  $\{K\}$ , and the  $p_1$  are determined by Eq. (5). The familiar [5] Ising fixed point is recovered at  $p_2=0.76$  and infinite  $\beta$  and  $p_1$ , yielding  $dv=2-\alpha = 1.998$  to 0.1% accuracy. No distinct new fixed points appear at finite  $\beta$  [ $\beta$  always decreases when Eqs. (5) are applied].

Thus it is seen that by the use of a prefacing transformation the accurate Kadanoff LBRG can in fact be applied to systems with local gauge symmetry. In the case of the two-dimensional  $Z_2$ -Higgs theory, the two critical exponents are respectively determined exactly and to 0.1% accuracy by a simple analytical calculation. The method is applicable to more complicated gauge groups in higher spacetime dimension, though numerical techniques [7] may then be needed. Often however an invariant subspace exists which can vastly simplify the calculation (for instance, the space of plaquette products on opposite sides of the cube for a  $Z_2$  gauge theory in three dimensions). Moreover in all cases the generalization does reduce to the original technology [5] for spin systems in the limit of vanishing gauge coupling. Thus the good results for spin systems are automatically recovered as a special case.

It is a pleasure to thank R. Petronzio for informative discussions.

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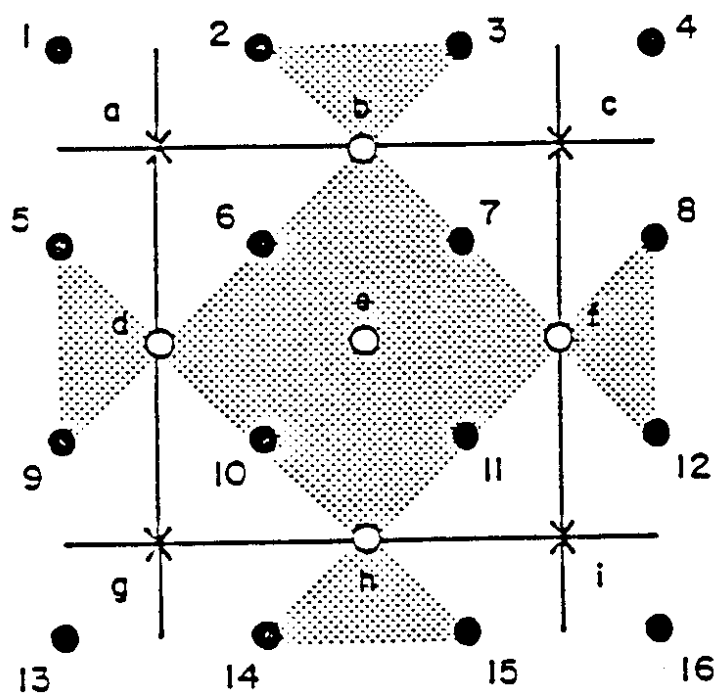


Fig. 1

Definition of notation