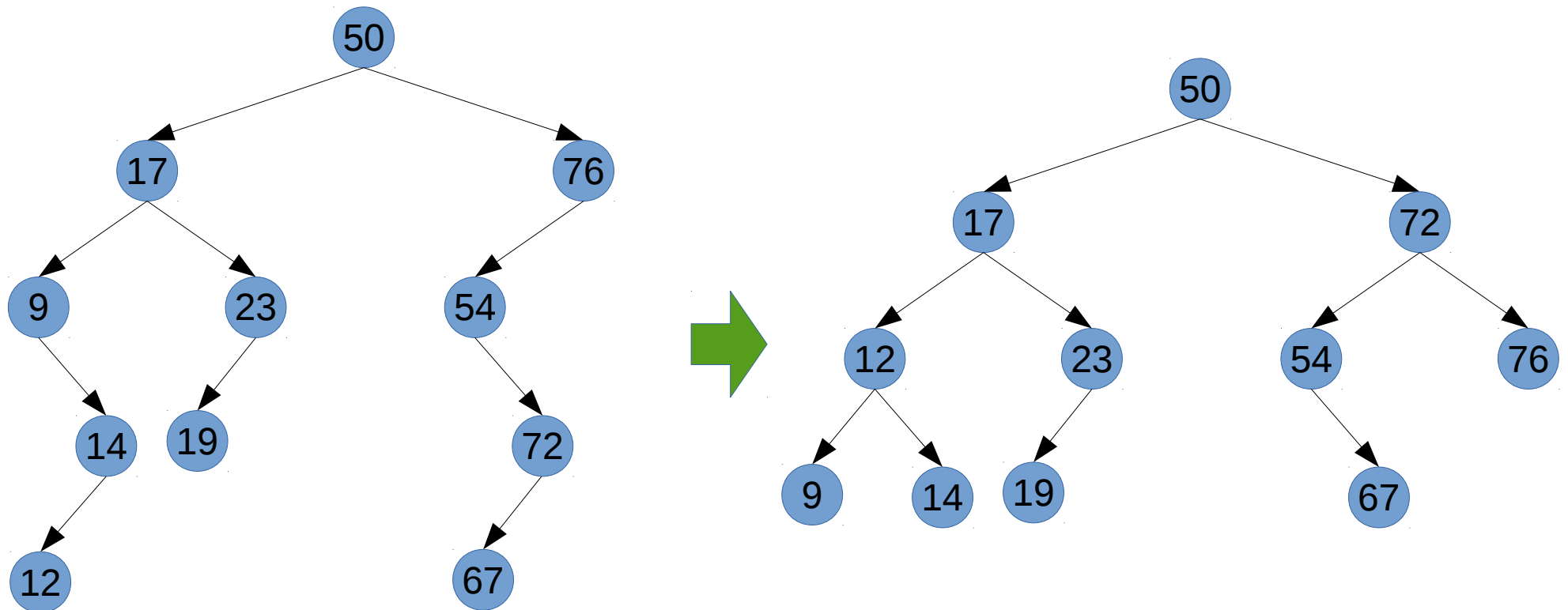


Self Balancing Trees

Angel R. Perez

Balanced Tree



Considerations

- Most operations on a BST take time directly proportional to the height of the tree:
Operations: $O(h)$ | h height of the tree
 - For a tree degenerated in a linked list (not balanced):
 - Operations: $O(n)$ | n number of nodes
 - For a balanced tree:
 - Operations: $O(\log_2(n))$ | n number of nodes

Advantages & Disadvantages

- A self-balanced tree does not degenerate in a list.
 - A self-balanced tree guarantee efficient operations
 - Lookup, Deletion, Insertion: $\sim O(\text{Log}_2(n))$
- A self-balanced tree has overhead in some operations
 - Deletion, Insertion (due to rotations and recoloring)
- Harder to implement

Self-Balanced Trees

- **Red-Black**
- **AVL**
- **B**
- **Scapegoat**

Red-Black Trees: Properties

- 1) A node is either red or black.
- 2) The root is always black.
- 3) All leaves(NIL) are black.
- 4) There are no two adjacent red nodes.

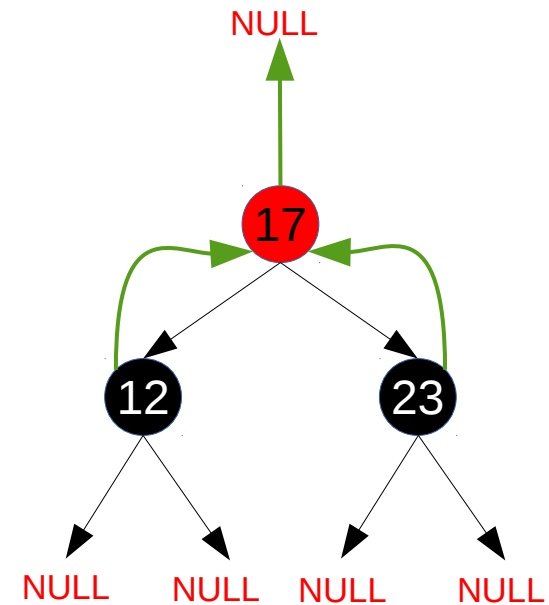
A red node has two black children.

- 5) Every path from root to NIL node has same number of black nodes.

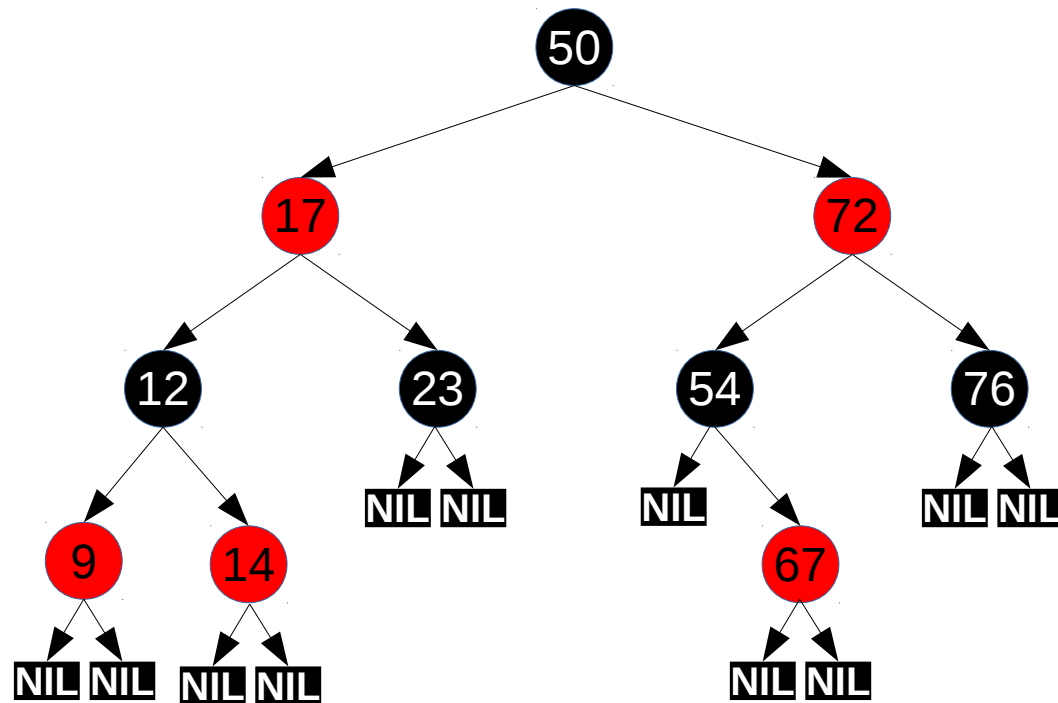
A red black tree is a BST. Lookup in an RBT is just lookup in a BST. The colors don't matter.

Representation

```
typedef struct node {  
    int data;  
    char color;  
    struct node *parent;  
    struct node *left;  
    struct node *right;  
} NODE;
```



Representation

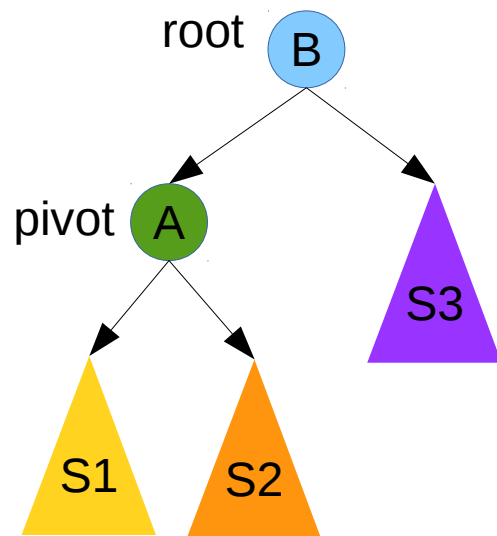


Operations

- **Insertion**
- **Deletion**
- Traversals
 - Pre-Order
 - In-Order
 - Post-Order
 - BFS (breadth first search)
 - DFS (depth first search)
- Lookup
- **Rotations***

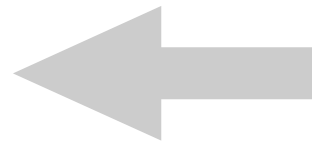
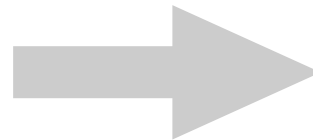
Rotations

- Change the tree structure without interfering with the order of the elements.
- Used to change the shape of the tree, particularly by decreasing the height of the tree.
- Move smaller subtrees down and larger subtrees up.
- The order of the elements is not affected (In-Order invariance)

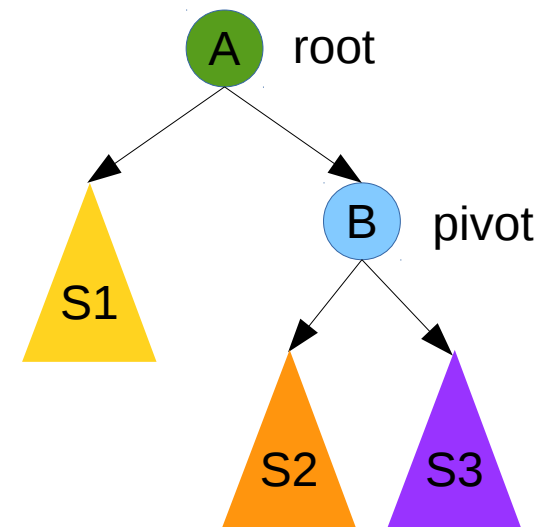


(S1) - A - (S2) - B - (S3)

Right Rotation



Left Rotation



(S1) - A - (S2) - B - (S3)

Insertion

1. Insert as the new node as any BST insertion
2. Set the node color as RED
3. If the parent of the new node is RED, there is a double-RED problem that must be corrected
 - A double RED problem is corrected with zero or more recoloring followed by zero or one restructuring.
4. Color the root node BLACK

Applications

- Priority queues
- Associative arrays(key, value)
- Sets
- Hash tables
- Encoding (enumeration)
- Computational geometry
- Completely Fair Scheduler (Linux)

References

- https://en.wikipedia.org/wiki/Binary_search_tree
- https://en.wikipedia.org/wiki/Self-balancing_binary_search_tree
- https://en.wikipedia.org/wiki/Tree_rotation
- https://en.wikipedia.org/wiki/Red%E2%80%93black_tree
- https://en.wikipedia.org/wiki/AVL_tree
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