

Evaluation and improvement of a dual-channel method for detection and quantification of high-temperature events based on FireBIRD data



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Abstract

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List of Abbreviations

Chapter 1

Introduction

1.1 Introduction

1.1.1 Impacts of high-temperature events

High Temperature Events (HTE), such as fire hazards and volcanic eruptions, occur widely all over the world and exert great impacts on the environment in both space and time domain from global to local scales. These HTEs, without doubt, play crucial roles for the environmental equilibrium (Chuvieco, 2008). HTEs might bring benefits at appropriate time and places, for example by speeding up the procedure of returning nutrients to the soils after vegetation senescence (Zhukov et al., 2005a). However, the potentially hazardous characteristics of HTEs and the serious lack of knowledge about their fundamental roles in Earth system processes in the context of global climate change and population explosion will cause a multitude of problems (Bowman et al., 2009).

Volcanoes represent a serious potential hazard for both the population and environment. Volcanic eruptions usually cause numerous loss of lives and damages to the surrounding environment (Pergola, Marchese, and Tramutoli, 2004). Besides the devastation caused by lava erupted, another significant and obvious effect of volcanic eruptions is the release of a host of gases and volcanic ashes, which might cause climate change and serious air pollution. The 2010 eruptions of Eyjafjallajökull, a volcano in Iceland, although relatively small for volcanic eruptions, ejected a multitude of ashes into the atmosphere and created unprecedented disruptions to European air traffic during 15 - 20 April 2010, costing the aviation industry an estimated \$250 million per day (Gudmundsson et al., 2010). Furthermore, the population exposed to the eruption, had a higher prevalence of respiratory and mental symptoms (Carlsen et al., 2012).

Fire, another kind of high-temperature event, might be one of the most prevalent of all terrestrial disturbance agents for the modification of the Earth's surface and occurs worldwide (Bond and Wilgen, 1996). On one hand, fires in forests stimulate vegetation regeneration, increase plant biodiversity and optimize vegetation structure (Moritz et al., 2014). On the other hand, fires, especially severe fires, can burn up vegetation and organics, resulting in hydrophobic layer on the soil surface or at certain depth of the soil, which makes the soil much more prone to be eroded by wind and rain and might lead to desertification in the end (Gabet,

2003).

Due to the threats mentioned above, high-temperature events monitoring becomes more and more important. Besides, because of the huge areas affected by high-temperature events and its potentially dangerous characteristics, a critical role in the monitoring and investigating of HTE belongs to satellite remote sensing. Higher spatial and spectral resolution data is demanded to better detect and quantify high-temperature events.

1.1.2 DLR's missions dedicated to high-temperature events monitoring

The first DLR's satellite specially designed for HTE monitoring was the Bi-spectral InfraRed Detection (BIRD) satellite. The primary objective of the BIRD satellite was detection and quantitative analysis of high-temperature events like fires and volcanoes. The principal BIRD imaging payload includes the HotSpot Recognition System (HSRS) with one channel in Mid-InfraRed (MIR: 3.4 - 4.2 μm) spectral range and one channel in Thermal-InfraRed (TIR: 8.5 - 9.3 μm) spectral range, the Wide-Angle Optoelectronic Stereo Scanner (WAOSS-B) with a nadir channel in Near-Infrared (NIR: 0.84 - 0.90 μm) spectral range. The ground resolution was 185 meters in the NIR channel and 370 meters in the MIR and TIR channels (Zhukov et al., 2005b).

Due to the success of the BIRD mission, DLR continues making efforts to HTE monitoring with the new Fire Recognition with Bi-spectral InfraRed Detector (Fire-BIRD) mission. It consists of two small satellites Technology Experiment carrier (TET-1), launched in July 2012, and Berlin InfraRed Optical System (BIROS), launched in June 2016. Together, these two small satellites form the FireBIRD mini-constellation (Rücker et al., 2011). Both of them carry a HSRS which is identical to BIRD. In addition, there are an additional 3-line VIS camera. Details about the sensors of TET-1 is shown in Table 1.

Because BIROS is still undergoing an extensive testing program and does not put into use yet, the focus lies on TET-1 imageries in this thesis.

1.2 Outline of the thesis

This thesis consist of six chapters. The remaining chapters are organized as follows.

Chapter 2 gives a brief introduction of thermal infrared remote sensing, including the thermal infrared spectrum, atmospheric windows as well as some basic laws important for the quantitating fire pixels' characteristics. Furthermore, a practical and solid method for detection and characterization of sub-pixel fire and its pixel

TABLE 1.1: Main FireBIRD camera parameters (Altitude 510km)
(Frauenberger et al., 2015)

	3 line-VIS camera	2 infrared cameras
Wavelength	Green: 460 - 560 nm Red: 565 - 725 nm NIR: 790 - 930 nm	MWIR: 3.4 - 4.2 μm LWIR: 8.5 - 9.3 μm
Focal length	90.9 mm	46.39 mm
FOV	19.6°	19°
Aperture (F-Number)	3.8	2.0
Detector	CCD lines	CdHgTe arrays
Pixel size	7 $\mu\text{m} \times 7 \mu\text{m}$	30 $\mu\text{m} \times 30 \mu\text{m}$
No. of pixel	3 \times 5,164	2 \times 512 staggered
Quantization	14 bit	14 bit
Ground resolution	42.4 m	356 m
Sampling size	42.4 m	178 m
Swath width	211 km	178 km
In-flight calibration	No	Black body flap
Data rate	max 44 MBit/s nom 11.2 Mbit/s	0.35 MBit/s
Accuracy	100 m at ground	100 m at ground

fraction is reviewed in section 2.2.

Then, a newly developed method, called MITIP, which is used for atmospheric correction and thermal infrared image processing, is introduced in Chapter 3. The required input data for it and the necessary pre-processings are stated in section 3.1. Its procedures and principles, which are based on the theories and method introduced in Chapter 2, are given in section 3.2. Section 3.3 gives a description of the outputs of the MITIP.

Chapter 4 presents the validation and improvements of the MITIP method. Its outputs are compared with the MODIS temperature products, namely MODIS Sea Surface Temperature (SST) and MODIS Land Surface Temperature (LST). These comparisons are done by means of time-series analyses for the purpose of further improvements of the MITIP method and finding suitable scale factors for the radiometric correction of TET-1 imageries. Finally, the calibration results are presented and the tests of the chosen scale factors for transferability with imageries of other test sites are shown as well.

In Chapter 5, the processing results of TET imageries of different test sites from the MITIP method are demonstrated in section 5.1. The outcomes of the MITIP method and the results of Zhukov's algorithm, which is used to process TET-1 imageries originally, are compared in this chapter. In order to do the comparisons, a procedure is developed to convert the pixel-based results to cluster-based results, which is described in section 5.2. The comparison results are presented in

section 5.3.

Finally, the conclusions and outlooks are given in Chapter 6.

Chapter 2

Theoretical background of thermal infrared remote sensing

This chapter reviews some fundamentals of thermal remote sensing (Section 2.1), as well as a useful approach, which is also used in the MITIP method, to identify and determine target temperature of subpixel resolution (Section 2.2).

2.1 Principles of thermal infrared remote sensing

Thermal remote sensing depends on the fact that any object with a temperature above absolute zero (0 K or -273.15 °C) emits electromagnetic (EM) radiation in the infrared range. For example, the Earth we live has an average temperature around 300 K and its peak emittance is near 10 μm , which falls on thermal infrared (TIR) domain (Tipler and Mosca, 2007; Tetzlaff, 2004). The spectral composition and intensity of the emitted radiation are determined by its surface temperature, which is also called kinetic temperature T_{kin} , and the emissivity of the object (Milman, 2000). The emissivity ε_λ , ε for short, is a ratio of the radiant flux of an object at a certain temperature to the radiant flux of a blackbody at the same temperature (Equation (2.1)), representing the effectiveness of the object in emitting energy as thermal radiation. The blackbody is an idealized physical object that absorbs and re-emits all incident EM radiation, which means its emissivity is 1 (Prakash, 2000; Kuenzer and Dech, 2013). The emissivity varies as a function of wavelength λ and also depends on the surface type of the object, but is not temperature-dependent (Flynn, Harris, and Wright, 2001).

$$\varepsilon_\lambda = \frac{L_{gb,\lambda}(T_{kin})}{L_{bb,\lambda}(T_{kin})} \quad (2.1)$$

with:

T_{kin} = kinetic temperature [K]

$L_{gb,\lambda}(T_{kin})$ = radiance of an object with temperature T_{kin} at a certain wavelength [$\text{Wm}^{-2}\text{sr}^{-1}\mu\text{m}^{-1}$]

$L_{bb,\lambda}(T_{kin})$ = radiance of blackbody with temperature T_{kin} at a certain wavelength [$\text{Wm}^{-2}\text{sr}^{-1}\mu\text{m}^{-1}$]

The satellite remote sensing sensors responsive in the thermal infrared domain are capable to record the EM radiations emitted by Earth surface objects (Kuenzer

and Dech, 2013). With the recorded radiation the derivation of the radiant temperature T_{rad} is possible. Radiant temperature T_{rad} is the actual temperature obtained from the measurement of the sensor, representing temperature of a equivalent blackbody (Prakash, 2000). Notice that the radiant temperature T_{rad} and the kinematic temperature T_{kin} are two different terms and the conversion between them will be introduced later.

2.1.1 The thermal infrared domain and atmospheric windows

There is no strict definition of the thermal infrared domain. Usually, the thermal infrared wavelength domain spread from around 3 to 14 μm . Within this range, there are two atmospheric windows in the 3 - 5 μm range and in the 8 - 14 μm range (Kuenzer and Dech, 2013).

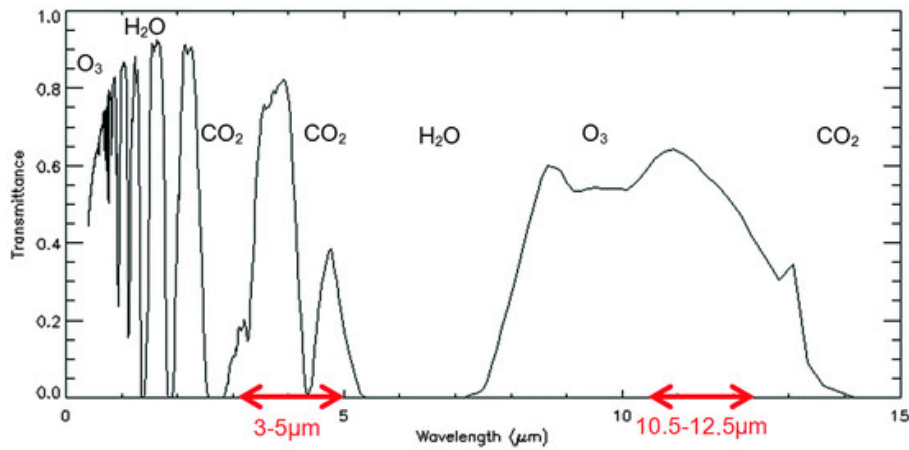


FIGURE 2.1: The thermal infrared wavelength domain (Kuenzer and Dech, 2013).

The spectrum range 8 - 14 μm , which is called Long-Wavelength Infrared (LWIR), is the thermal imaging region. Within this range, sensors are able to obtain a completely passive image based on the thermal radiation emitted by objects itself and no illumination required. There is only a absorption of Ozone which is neglected by sensors. The spectrum range 3 - 5 μm is called Mid-Wavelength Infrared (MWIR). Sensors responsive to this spectrum range will capture reflected sunlight which contaminates the object-emitted thermal signal. So More attention should be paid when dealing with day-time 3 - 5 μm MWIR imagery.

In this thesis, we focus on the night-time TET-1 scene only.

2.1.2 The Planck's law and Stefan-Boltzmann law

Planck's blackbody radiation law, Planck's law for short, describes the spectral density of electromagnetic radiation $B_{\lambda}(T_{rad})$ emitted by a blackbody at a given

wavelength λ as a function of the blackbody's absolute temperature (Planck, 1900). Given a certain wavelength λ , the spectral radiance $B_\lambda(T_{rad})$ can be computed from the body's temperature:

$$B_\lambda(T_{rad}) = L_{bb,\lambda}(T_{rad}) = \frac{2hc^2}{\lambda^5} \frac{1}{e^{\frac{hc}{\lambda k T_{rad}}} - 1} \quad (2.2)$$

with:

$B_\lambda(T_{rad})$ = spectral radiance of blackbody with temperature T_{rad} at wavelength λ

h = Planck's constant [$6.626 \cdot 10^{-34} Js$]

c = speed of light in vacuum [$2.9979246 \cdot 10^8 ms^{-1}$]

λ = wavelength [μm]

e = Euler's number

k = Boltzmann constant [$1.3806 \cdot 10^{-23} JK^{-1}$]

By inverting Equation (2.2), the temperature of a blackbody at a certain wavelength λ can be calculated.

$$T_{rad} = B_\lambda^{-1}(L_{bb}) = \frac{hc}{k \cdot \lambda \cdot \ln(\frac{2hc^2}{L_{bb} \cdot \lambda^5 \cdot 10^6} + 1)} \quad (2.3)$$

The Stefan-Boltzmann law describes the power radiated from a blackbody in terms of its temperature (Tipler and Mosca, 2007; Walker, Halliday, and Resnick, 2008).

$$T_{Radbb} = \sigma T_{kin}^4 \quad (2.4)$$

with:

T_{Radbb} = Radiant flux of a blackbody [W/m^2]

σ = Stefan-Boltzmann constant [$5.6697 \cdot 10^{-8} Wm^{-2} K^{-4}$]

Through Equation (2.4) we can obtain the relationship between the radiant temperature T_{rad} and the kinetic temperature T_{kin} :

$$T_{rad} = \sigma^{\frac{1}{4}} T_{kin} \quad (2.5)$$

For a blackbody whose emissivity $\varepsilon = 1$, its radiant temperature T_{rad} equals kinetic temperature T_{kin} . For all natural materials with an emissivity $\varepsilon < 1$, radiant temperature T_{rad} is always lower than kinetic temperature T_{kin} .

This is a crucial fact in thermal remote sensing data analysis because it reveals how important the emissivity is: objects with the same radiant temperature T_{rad} measured at sensor might vary significantly in their kinetic temperatures T_{kin} due to the considerable differences among their emissivities.

2.2 A dual-channel method for the identification of subresolution high temperature sources

From satellites which contain more than one channel in the thermal infrared spectral region, it is possible to measure surface temperature fields of sub-pixel spatial resolution. Because of the different responses of Planck's function at different wavelength, the radiant temperatures measured in two channels may be expressed in terms of contributions from two temperature fields, each occupying a portion of the pixel (Dozier, 1981; Matson and Dozier, 1981).

As mentioned before, The TET-1 satellite's payload includes a HSRS with two thermal infrared spectral bands, one MIR band and one TIR band. Assume that the TET-1 scene contains sub-pixel high-temperature targets. Such mixed pixels" compose of a target at temperature T_t , which we call it effective target temperature, occupying portion p , which we call it effective target pixel fraction, of the pixel (where $0 \leq p \leq 1$) and a background temperature T_b which occupies the remaining portion $(1 - p)$. The measured black body radiance $L_{bb,\lambda}$ can be written as:

$$\begin{aligned} L_{bb,1}(T_{rad,1}) &= pL_{bb,1}(T_t) + (1 - p)L_{rad,1}(T_b) \\ L_{bb,2}(T_{rad,2}) &= pL_{bb,2}(T_t) + (1 - p)L_{rad,2}(T_b) \end{aligned} \tag{2.6}$$

Chapter 3

The MITIP, an atmospheric correction and image processing method

3.1 Data preparation and pre-processing

3.1.1 Data preparation

3.1.2 Data pre-processing

3.2 Procedure of the MITIP

3.3 Outcomes of the MITIP

Chapter 4

Validation and improvement of the MITIP

4.1 Analysis of normal temperature environments

4.1.1 Data preparation

4.1.2 Results comparison with MODIS SST and calibration

4.1.3 transferability test (SST)

4.1.4 Results comparison with MODIS LST and calibration

4.1.5 transferability test (LST)

4.2 Conclusion of the comparisons and calibrations

Chapter 5

Analysis of high-temperature events

5.1 High-temperature events

5.1.1 Volcanoes

5.1.2 Fire events

5.2 Comparison with the results of the Zhukov's algorithm

5.2.1 Brief description of Zhukov's algorithm

5.2.2 From pixel-based to cluster-based analysis

5.2.3 Comparision

Chapter 6

Conclusion and outlook

6.1 Conclusion

6.2 Outlook

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