# notes on Differential Geometry Noel J. Hicks Chapter 1 Problems

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Sunday December 16th, 2018

## 1 Manifolds

### 1.1 Manifolds

These notes are for the most part directly from [Hic65].

**Definition 1.** Let r > 0 and  $A \subset \mathbb{R}^n$  be an open set. A map  $f : A \to \mathbb{R}$  is called  $\mathbb{C}^r$  if it is r times continuously differentiable.

**Definition 2.** Let  $u_i$  denote the usual projection maps of the i coordinate of  $R^n$  onto R. Let r > 0 and  $A \subset R^n$  be an open set. A map  $f : A \to R^m$  is called  $C^r$  if  $u_i \circ f$  is  $C^r$  for all of the projection maps.

**Definition 3.** If f is  $C^r$  for all  $r \in N^+$  we say that f is  $C^{\infty}$ . If f is real analytic, we say f is  $C^{\omega}$ . If f is simply continuous then f is  $C^0$ .

**Definition 4.** Let M be a set. A chart on M is a pair  $(\phi, U)$  such that U is a subset of M and  $\phi$  is a 1-1 map of U onto an open subset of  $R^n$ . We call the sets U coordinate domains.

**Definition 5.** Two charts,  $(\phi, U)$  and  $(\theta, V)$  on M are  $C^r$  related if  $\phi \circ \theta^{-1}$  and  $\theta \circ \phi^{-1}$  are  $C^r$  on  $\theta(U \cap V)$  and  $\phi(U \cap V)$  respectively. Note that by definition  $1, \theta(U \cap V)$  and  $\phi(U \cap V)$  must be open.

**Definition 6.** A  $C^r$  subatlas of a set M is a collection of  $C^r$  related charts,  $\{(\theta_h, U_h)\}_{h \in H}$ , such that

$$\bigcup_{h\in H} U_h = M.$$

**Proposition 1.** Every subatlas is contained in a maximal subatlas called an atlas.

Proof. Let  $\{(\theta_h, U_h)\}_{h \in H}$  be a subatlas. Order the collection of all subatlases by inclusion. This clearly forms a poset. Take any chain which contains  $\{(\theta_h, U_h)\}_{h \in H}$ . Let  $(\theta_1, U_1)$  and  $(\theta_2, U_2)$  be charts in the union. There exists a minimal subatlas somewhere in the chain which contains both charts, therefore they are  $C^r$  equivalent. It is clear that the union of all charts in the union is all of M. Thus the union of all subatlases in this chain is again a subatlas. Apply Zorn's Lemma.

**Proposition 2.** Every subatlas induces a topology on M. More specifically, let  $\{(\phi_h, U_h)\}_{h\in H}$  be a subatlas on M. Let A be a subset of M. Define the induced topology on M as follows. We say that A is open in M if for any chart  $(\phi_h, U_h)$ ,  $\phi_h(A\cap U_h)$  is open in  $\mathbb{R}^n$ . This is the coarsest topology which makes the charts continuous. Note that by the comment in definition 5, each coordinate domain is open in M.

Proof. Let  $(\phi, U)$  be any chart. Clearly,  $\phi(\varnothing \cap U) = \varnothing$  which is open in  $\mathbb{R}^n$ . Similarly,  $\phi(M \cap U) = \phi(U)$  is open in  $\mathbb{R}^n$ . Therefore, M and  $\varnothing$  are both open. Suppose A and B are open. Since each chart is injective,  $\phi(U \cap A \cap B) = \phi(U \cap A) \cap \phi(U \cap B)$  thus  $A \cap B$  is open. Let  $\{A_i\}_{i \in I}$  be an arbitrary collection of open sets. We have  $\phi((\cup_i A_i) \cap U) = \cup_i \phi(A_i \cap U)$ , a union of open sets. Thus the collection of open sets is closed under arbitrary union and finite intersection.  $\square$ 

**Proposition 3.** The topology induced by a subatlas is the same as the topology induced by the maximal subatlas.

Proof. Suppose  $(\phi, U)$  is a chart which is compatible with a subatlas  $\{(\phi_h, U_h)\}_{h \in H}$ , but is not contained in that atlas, we will show that  $(\phi, U) \cup \{(\phi_h, U_h)\}_{h \in H}$  induces the same topology on M as  $\{(\phi_h, U_h)\}_{h \in H}$ .

Clearly the topology induced by  $(\phi, U) \cup \{(\phi_h, U_h)\}_{h \in H}$  will be contained in the topology induced by  $\{(\phi_h, U_h)\}_{h \in H}$  so it just remains to show that if A is open with respect to  $\{(\phi_h, U_h)\}_{h \in H}$ , then it is still open with respect to  $(\phi, U) \cup \{(\phi_h, U_h)\}_{h \in H}$ . That is, we must show that  $\phi(A \cap U)$  is open in  $\mathbb{R}^n$ .

Since  $(\phi, U)$  is a chart which is compatible with the subatlas, U is open in M under the previous topology. Since A is open in M under the previous topology as well, we have that  $\phi_h(A \cap U \cap U_h)$  is open for all charts. Since  $\phi \circ \phi_h$  is bicontinuous,  $\phi(A \cap U \cap U_h) = \phi \circ \phi_h(\phi_h(A \cap U \cap U_h))$  is open for all charts. Noting that

$$\phi(A \cap U) = \phi(A \cap U \cap M) = \phi(A \cap U \cap (\cup_h U_h)) = \cup_h \phi(A \cap U \cap U_h)$$

we see that  $\phi(A \cap U)$  is the union of open sets and is therefore open.

**Proposition 4.** The content of definition 6 does not change if we alter definition 4 so that each  $\theta$  is a 1-1 map of U onto either an open subset of  $\mathbb{R}^n$ , an open ball in  $\mathbb{R}^n$ , or all of  $\mathbb{R}^n$ . [Spi99]

*Proof.* By a proper choice of function, for instance a renormalization of arctangent, we can obtain a homeomorphism of an open ball in R to all of R. The equivalence of definition 6 when we use either open balls in  $R^n$  or all of  $R^n$  follows with a little thought. It remains to show the equivalence in the case of open balls and open sets.

Let  $\{(\phi_h, U_h)\}_{h\in H}$  be a subatlas where each  $\phi_h(U_h)$  is an open set of  $\mathbb{R}^n$ . Let

 $p \in M$ , there exists a chart  $(\phi, U)$  such that  $p \in U$  and  $\phi(U)$  is an open set in  $\mathbb{R}^n$  containing  $\phi(p)$ . Pick an open ball B centered around  $\phi(p)$  contained entirely in  $\phi(U)$ . Then  $\phi^{-1}(B) \subseteq U$ . The collection  $\{(\phi_p, \phi_p^{-1}(B_p))\}_{p \in M}$  is a subatlas which generates the same maximal atlas as  $\{(\phi_h, U_h)\}_{h \in H}$  since  $\phi_h \circ \phi_p^{-1}$  is the  $\mathbb{C}^r$  identity on  $B_p$ .  $\dagger$ 

**Definition 7.** The association to a maximal atlas gives us an equivalence relation and therefore a partition of the subatlases. We call an equivalence class a topological/differential/smooth/analytic structure in the cases  $C^0$ ,  $C^r$ ,  $C^{\infty}$ , and  $C^{\omega}$  respectively.

**Definition 8.** A topological/differential/smooth/analytic manifold is a set M together with a structure  $\Sigma$ , of the associated type. <sup>†</sup>

#### 1.2 Smooth Functions

Let A be a subset of a  $C^r$  manifold M.

**Definition 9.** Let  $f: A \to R$ . We say that f is  $C^s$  if  $f \circ \phi^{-1}$  is  $C^s$  from  $\phi(A \cap U)$  for every  $C^r$  chart  $(\phi, U)$ .

**Definition 10.** If N is a  $C^k$  manifold and  $f: A \to N$  continuous, we say that f is  $C^s$  if for every real valued  $C^s$  g, with open domain  $B, g \circ f$  is  $C^s$  on  $A \cap f^{-1}(B)$ .

This definition is really saying that f is  $C^s$ , if pulling back along f gives a morphism  $f^*: C^s(N,R) \to C^s(M,R)$  defined appropriately. Furthermore, Hicks makes note of the fact that r, k and s are independent. Thus, as a special case, f pulls back the charts on N if and only if  $s \leq k$ .

There is a local version of this structure condition.

**Definition 11.** Let f be N-valued with domain not necessarily open. We say that f is  $C^s$  at p, a point in the domain of f, if there exists an open neighborhood U of p such that  $f|_U$  is  $C^s$  in the sense of definition 10.

Hicks notes that if f is  $C^s$  at every point of it's domain, then the domain of f is open.

The following theorem of Whitney gives us reason to specialize to the case of  $C^{\infty}$  structures.

**Proposition 5.** Every  $C^r$  atlas for  $r \geq 1$  contains a  $C^{\infty}$  atlas.

<sup>†</sup>Milnor told us that it is not enough to say that  $\{(\phi_p,\phi_p^{-1}(B_p))\}_{p\in M}$  and  $\{(\phi_h,U_h)\}_{h\in H}$  generate the same topology on M.

 $<sup>^{\</sup>dagger}$ For now we hold off on the requirement that M be second countable and Hausdorff.

Some subcollection of charts are all  $C^{\infty}$  related and furthermore, are themselves maximal.

**Problem 1.** The map  $f: A \to N$  is  $C^{\infty}$  on A iff f is  $C^{\infty}$  pointwise on A.

**Solution 1.** Suppose  $f: A \to N$  is  $C^{\infty}$  on A let p be a point of A. Since A is open we let it be the requisite neighborhood.

Suppose that f is  $C^{\infty}$  pointwise on A. Take any  $g: B \to R$  be  $C^{\infty}$  on B open in N. This says for each  $p \in A$  there exists a neighborhood  $V_p$  such that for every chart  $(\phi, U)$  then  $g \circ f|_{V_p} \circ \phi^{-1}$  is  $C^{\infty}$  on  $\phi(V_p \cap f^{-1}(B) \cap U)$ . Furthermore,  $V_p \subset A$ . We want to show that f is  $C^s$  on A in the sense of definition 10.

Clearly,  $\bigcup_{p \in A} V_p = A$ . Since f restricted to any  $V_p$  of A is  $C^s$ , f is  $C^s$  on A.

**Problem 2.** If  $f: A \to N$  is  $C^{\infty}$  on A then f restricted to any open subset U is still  $C^{\infty}$ .

**Solution 2.** Take every point of  $U \cap A$ . By problem 1 there exists a neighborhood  $U_p$  on which  $f|_{U_p}$  is  $C^{\infty}$ . Replace each neighborhood  $U_p$  with the intersection  $U \cap U_p$ . Then definition 11 is satisfied. By problem 1, the result follows.

**Problem 3.** Let  $U_h$  be a set of open sets who's union is A in M and let  $f_h: U_h \to N$  be  $C^{\infty}$ . Let f be a function such that  $f|_{U_h} = f_h$  for each h. Prove f is  $C^{\infty}$  on A.

**Solution 3.** This follows directly from the argument given in the reverse direction of problem 1. At any point  $p \in A$ , there exists an h such that  $p \in U_h$ . Thus replace the  $V_p$  in the proof of problem 1 with the  $U_h$ .

**Problem 4.** Let  $A \subseteq \mathbb{R}^n$ . Let  $f: A \to \mathbb{R}^k$  be  $C^{\infty}$ . Let  $B \subseteq \mathbb{R}^k$  be an open subset with a  $C^{\infty}$  function  $g: B \to \mathbb{R}$ . Then  $g \circ f$  is  $C^{\infty}$  on  $A \cap f^{-1}(B)$ .

**Solution 4.** Note that  $R^n$  is itself a manifold with  $C^{\infty}$  structure determined by the single chart  $(id, R^n)$ . The result follows by the fact that definition 10 is satisfied.

**Problem 5.** If  $f: A \to N$  is  $C^{\infty}$  on  $A \subseteq M$ , and  $(\phi, U)$  is a chart on M, then  $f \circ \phi^{-1}$  is  $C^{\infty}$  on  $\phi(A \cap U)$ .

Solution 5.

**Problem 6.** Let P be a  $C^{\infty}$  s-manifold. If  $F:A\to N$  is  $C^{\infty}$  on  $A\subseteq M$  and  $g:B\to P$  is  $C^{\infty}$  on an open subset  $B\subseteq N$  then  $g\circ f$  is  $C^{\infty}$  on  $A\cap f^{-1}(B)$ .

#### Solution 6.

**Problem 7.** The map  $f: A \to N$  is  $C^{\infty}$  on  $A \subseteq M$  iff for every coordinate pair  $(\phi, U)$  in a subatlas on N, the functions  $x_i \circ f$  are  $C^{\infty}$  on  $A \cap f^{-1}(U)$ , for i = 1, ..., d and  $x_i = u_i \circ \phi$ .

**Solution 7.** Suppose that  $f: A \to N$  is  $C^{\infty}$  on  $A \subseteq M$ . By definition 2,  $\phi$  is  $C^{\infty}$  and thus  $u_i \circ \phi$  is smooth. Thus, each  $x_i$  is  $C^{\infty}$  on U the result in the first direction follows.

Suppose for every coordinate pair  $(\phi, U)$  in a subatlas on N, the functions  $x_i \circ f$  are  $C^{\infty}$  on  $A \cap f^{-1}(U)$ . Let  $g: B \to R$ .

**Definition 12.** Let  $C^{\infty}(A, N)$  denote the set of  $C^{\infty}$  functions mapping an open set A in a manifold M into a manifold N.

### 1.3 Vectors and vector fields

**Definition 13.** Let m be a point of  $R^n$ . If  $X_m$  is a euclidean vector with tail at m, and f is a  $C^{\infty}$  function defined in a neighborhood of m, define  $X_m f = X_m \cdot (\nabla f)_m$  where  $(\nabla f)_m$  is the gradient vector field of f at m.

Proposition 6. It follows from the definition of the dot product that

1. 
$$X_m(af + bg) = aX_mf + bX_mg$$

2. 
$$X_m(fg) = f(m)X_mg + g(m)X_mf$$

Proof.

$$X_m(af + bg) = X_m \cdot (\nabla(af + bg))_m$$
$$= aX_m \cdot (\nabla f)_m + bX_m \cdot (\nabla g)_m$$
$$= aX_m f + bX_m g$$

$$X_m(fg) = X_m \cdot (\nabla(fg))_m$$
  
=  $X_m \cdot (f(m)(\nabla g)_m + g(m)(\nabla f)_m$   
=  $f(m)X_mg + g(m)X_mf$ 

# References

- [Hic65] N.J. Hicks. notes on Differential Geometry. Van Nostrand Mathematical Studies, Princeton, NJ, 1965.
- [Spi99] M. Spivak. A comprehensive introduction to Differential Geometry. Publish or Perish Inc., Houston, TX, 1999.