

# notes on Differential Geometry

## Noel J. Hicks Chapter 1 Problems

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## 1 Manifolds

### 1.1 Manifolds

These notes are for the most part directly from [Hic65].

**Definition 1.** Let  $r > 0$  and  $A \subset \mathbb{R}^n$  be an open set. A map  $f : A \rightarrow \mathbb{R}$  is called  $C^r$  if it is  $r$  times continuously differentiable.

**Definition 2.** Let  $u_i$  denote the usual projection maps of the  $i$  coordinate of  $\mathbb{R}^n$  onto  $\mathbb{R}$ . Let  $r > 0$  and  $A \subset \mathbb{R}^n$  be an open set. A map  $f : A \rightarrow \mathbb{R}^m$  is called  $C^r$  if  $u_i \circ f$  is  $C^r$  for all of the projection maps.

**Definition 3.** If  $f$  is  $C^r$  for all  $r \in \mathbb{N}^+$  we say that  $f$  is  $C^\infty$ . If  $f$  is real analytic, we say  $f$  is  $C^\omega$ . If  $f$  is simply continuous then  $f$  is  $C^0$ .

**Definition 4.** Let  $M$  be a set. A chart on  $M$  is a pair  $(\phi, U)$  such that  $U$  is a subset of  $M$  and  $\phi$  is a 1-1 map of  $U$  onto an open subset of  $\mathbb{R}^n$ . We call the sets  $U$  coordinate domains.

**Definition 5.** Two charts,  $(\phi, U)$  and  $(\theta, V)$  on  $M$  are  $C^r$  related if  $\phi \circ \theta^{-1}$  and  $\theta \circ \phi^{-1}$  are  $C^r$  on  $\theta(U \cap V)$  and  $\phi(U \cap V)$  respectively.

**Definition 6.** A  $C^r$  subatlas of a set  $M$  is a collection of  $C^r$  related charts,  $\{(\theta_h, U_h)\}_{h \in H}$ , such that

$$\bigcup_{h \in H} U_h = M.$$

**Proposition 1.** Every subatlas is contained in a maximal subatlas called an atlas.

*Proof.* Let  $\{(\theta_h, U_h)\}_{h \in H}$  be a subatlas. Order the collection of all subatlases by inclusion. This clearly forms a poset. Take any chain which contains  $\{(\theta_h, U_h)\}_{h \in H}$ . Let  $(\theta_1, U_1)$  and  $(\theta_2, U_2)$  be charts in the union. There exists a minimal subatlas somewhere in the chain which contains both charts, therefore they are  $C^r$  equivalent. It is clear that the union of all charts in the union is all of  $M$ . Thus the union of all subatlases in this chain is again a subatlas. Apply Zorn's Lemma.  $\square$

**Proposition 2.** Every subatlas induces a topology on  $M$  and this topology is the same as the topology induced by the maximal subatlas. Let  $\{(\phi_h, U_h)\}_{h \in H}$  be a subatlas on  $M$ . For all open sets  $A$  of  $R^n$ , define the topology of  $M$  to be the topology with sub-base given by the sets  $\phi_h^{-1}(U_h \cap A)$ .

*Proof.* Suppose  $(\phi, U)$  is a chart which is compatible with a subatlas  $\{(\phi_h, U_h)\}_{h \in H}$ , but is not contained in that atlas, we will show that  $(\phi, U) \cup \{(\phi_h, U_h)\}_{h \in H}$  induces the same topology on  $M$  as  $\{(\phi_h, U_h)\}_{h \in H}$ .

Pick an open set  $A$  in  $R^n$ , we show that  $\phi^{-1}(U \cap A)$  was already open in the topology induced by  $\{(\phi_h, U_h)\}_{h \in H}$ . Note that  $U \cap A \cap U_h$  is an open set in  $R^n$  for all  $h$ . Since  $\phi_h \circ \phi^{-1}$  is an open map,  $\phi_h \circ \phi^{-1}(U \cap A \cap U_h)$  is open in  $R^n$ . It follows that  $\phi_h^{-1}(\phi_h \circ \phi^{-1}(U \cap A \cap U_h)) = \phi^{-1}(U \cap A \cap U_h)$  is open in  $M$  for all  $h$ . Clearly,

$$U \cap A = \bigcup_{h \in H} U \cap A \cap U_h$$

from which it follows that

$$\phi^{-1}(U \cap A) = \bigcup_{h \in H} \phi^{-1}(U \cap A \cap U_h)$$

is open in  $M$ . □

**Proposition 3.** The content of definition 6 does not change if we alter definition 4 so that each  $\theta$  is a 1-1 map of  $U$  onto either an open subset of  $R^n$ , an open ball in  $R^n$ , or all of  $R^n$ . [Spi99]

*Proof.* By a proper choice of function, for instance a renormalization of arctangent, we can obtain a homeomorphism of an open ball in  $R$  to all of  $R$ . The equivalence of definition 6 when we use either open balls in  $R^n$  or all of  $R^n$  follows with a little thought. It remains to show the equivalence in the case of open balls and open sets.

Let  $\{(\phi_h, U_h)\}_{h \in H}$  be a subatlas where each  $\phi_h(U_h)$  is an open set of  $R^n$ . Let  $p \in M$ , there exists a chart  $(\phi, U)$  such that  $p \in U$  and  $\phi(U)$  is an open set in  $R^n$  containing  $\phi(p)$ . Pick an open ball  $B$  centered around  $\phi(p)$  contained entirely in  $\phi(U)$ . Then  $\phi^{-1}(B) \subseteq U$ . The collection  $\{(\phi_p, \phi_p^{-1}(B_p))\}_{p \in M}$  is a subatlas which generates the same maximal atlas as  $\{(\phi_h, U_h)\}_{h \in H}$  since  $\phi_h \circ \phi_p^{-1}$  is the  $C^r$  identity on  $B_p$ . <sup>†</sup> □

**Definition 7.** The association to a maximal atlas gives us an equivalence relation and therefore a partition of the subatlases. We call an equivalence class a topological/differential/smooth/analytic structure in the cases  $C^0$ ,  $C^r$ ,  $C^\infty$ , and  $C^\omega$  respectively.

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<sup>†</sup>Milnor told us that it is not enough to say that  $\{(\phi_p, \phi_p^{-1}(B_p))\}_{p \in M}$  and  $\{(\phi_h, U_h)\}_{h \in H}$  generate the same topology on  $M$ .

**Definition 8.** A topological/differential/smooth/analytic manifold is a set  $M$  together with a structure  $\Sigma$ , of the associated type. <sup>†</sup>

## 1.2 Smooth Functions

Let  $A$  be a subset of a  $C^r$  manifold  $M$ .

**Definition 9.** Let  $f : A \rightarrow R$ . We say that  $f$  is  $C^s$  if  $f \circ \phi^{-1}$  is  $C^s$  from  $\phi(A \cap U)$  for every  $C^r$  chart  $(\phi, U)$ .

**Definition 10.** If  $N$  is a  $C^k$  manifold and  $f : A \rightarrow N$  continuous, we say that  $f$  is  $C^s$  if for every real valued  $C^s$   $g$ , with open domain  $B$ ,  $g \circ f$  is  $C^s$  on  $A \cap f^{-1}(B)$ .

This definition is really saying that  $f$  is  $C^s$ , if pulling back along  $f$  gives a morphism  $f^* : C^s(N, R) \rightarrow C^s(M, R)$  defined appropriately. Furthermore, Hicks makes note of the fact that  $r, k$  and  $s$  are independent. Thus, as a special case,  $f$  pulls back the charts on  $N$  if and only if  $s \leq k$ .

There is a local version of this structure condition.

**Definition 11.** Let  $f$  be  $N$ -valued with domain not necessarily open. We say that  $f$  is  $C^s$  at  $p$ , a point in the domain of  $f$ , if there exists an open neighborhood  $U$  of  $p$  such that  $f|_U$  is  $C^s$  in the sense of definition 10.

Hicks notes that if  $f$  is  $C^s$  at every point of its domain, then the domain of  $f$  is open.

The following theorem of Whitney gives us reason to specialize to the case of  $C^\infty$  structures.

**Proposition 4.** Every  $C^r$  atlas for  $r \geq 1$  contains a  $C^\infty$  atlas.

Some subcollection of charts are all  $C^\infty$  related and furthermore, are themselves maximal.

**Problem 1.** The map  $f : A \rightarrow N$  is  $C^\infty$  on  $A$  iff  $f$  is  $C^\infty$  pointwise on  $A$ .

**Solution 1.** Suppose  $f : A \rightarrow N$  is  $C^\infty$  on  $A$  let  $p$  be a point of  $A$ . Since  $A$  is open we let it be the requisite neighborhood.

Suppose that  $f$  is  $C^\infty$  pointwise on  $A$ . Take any  $g : B \rightarrow R$  be  $C^\infty$  on  $B$  open in  $N$ . This says for each  $p \in A$  there exists a neighborhood  $V_p$  such that for every chart  $(\phi, U)$  then  $g \circ f|_{V_p} \circ \phi^{-1}$  is  $C^\infty$  on  $\phi(V_p \cap f^{-1}(B) \cap U)$ . Furthermore,  $V_p \subset A$ . We want to show that  $f$  is  $C^s$  on  $A$  in the sense of definition 10.

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<sup>†</sup>For now we hold off on the requirement that  $M$  be second countable and Hausdorff.

Clearly,  $\cup_{p \in A} V_p = A$ . Since  $f$  restricted to any  $V_p$  of  $A$  is  $C^s$ ,  $f$  is  $C^s$  on  $A$ .

**Problem 2.** If  $f : A \rightarrow N$  is  $C^\infty$  on  $A$  then  $f$  restricted to any open subset  $U$  is still  $C^\infty$ .

**Solution 2.** Take every point of  $U \cap A$ . By problem 1 there exists a neighborhood  $U_p$  on which  $f|_{U_p}$  is  $C^\infty$ . Replace each neighborhood  $U_p$  with the intersection  $U \cap U_p$ . Then definition 11 is satisfied. By problem 1, the result follows.

**Problem 3.** Let  $U_h$  be a set of open sets whose union is  $A$  in  $M$  and let  $f_h : U_h \rightarrow N$  be  $C^\infty$ . Let  $f$  be a function such that  $f|_{U_h} = f_h$  for each  $h$ . Prove  $f$  is  $C^\infty$  on  $A$ .

**Solution 3.** This follows directly from the argument given in the reverse direction of problem 1. At any point  $p \in A$ , there exists an  $h$  such that  $p \in U_h$ . Thus replace the  $V_p$  in the proof of problem 1 with the  $U_h$ .

**Problem 4.** Let  $A \subseteq R^n$ . Let  $f : A \rightarrow R^k$  be  $C^\infty$ . Let  $B \subseteq R^k$  be an open subset with a  $C^\infty$  function  $g : B \rightarrow R$ . Then  $g \circ f$  is  $C^\infty$  on  $A \cap f^{-1}(B)$ .

**Solution 4.** Note that  $R^n$  is itself a manifold with  $C^\infty$  structure determined by the single chart  $(id, R^n)$ . The result follows by the fact that definition 10 is satisfied.

**Problem 5.** If  $f : A \rightarrow N$  is  $C^\infty$  on  $A \subseteq M$ , and  $(\phi, U)$  is a chart on  $M$ , then  $f \circ \phi^{-1}$  is  $C^\infty$  on  $\phi(A \cap U)$ .

**Solution 5.**

**Problem 6.** Let  $P$  be a  $C^\infty$   $s$ -manifold. If  $F : A \rightarrow N$  is  $C^\infty$  on  $A \subseteq M$  and  $g : B \rightarrow P$  is  $C^\infty$  on an open subset  $B \subseteq N$  then  $g \circ f$  is  $C^\infty$  on  $A \cap f^{-1}(B)$ .

**Solution 6.**

**Problem 7.** The map  $f : A \rightarrow N$  is  $C^\infty$  on  $A \subseteq M$  iff for every coordinate pair  $(\phi, U)$  in a subatlas on  $N$ , the functions  $x_i \circ f$  are  $C^\infty$  on  $A \cap f^{-1}(U)$ , for  $i = 1, \dots, d$  and  $x_i = u_i \circ \phi$ .

**Solution 7.** Suppose that  $f : A \rightarrow N$  is  $C^\infty$  on  $A \subseteq M$ , if we can show that each  $x_i$  is  $C^\infty$  on  $U$  the result in the first direction follows. Clearly,  $\phi$  is  $C^\infty$  and thus by definition 2  $u_i \circ \phi$  is smooth.

**Definition 12.** Let  $C^\infty(A, N)$  denote the set of  $C^\infty$  functions mapping an open set  $A$  in a manifold  $M$  into a manifold  $N$ .

### 1.3 Vectors and vector fields

**Definition 13.** Let  $m$  be a point of  $R^n$ . If  $X_m$  is a euclidean vector with tail at  $m$ , and  $f$  is a  $C^\infty$  function defined in a neighborhood of  $m$ , define  $X_m f = X_m \cdot (\nabla f)_m$  where  $(\nabla f)_m$  is the gradient vector field of  $f$  at  $m$ .

**Proposition 5.** It follows from the definition of the dot product that

1.  $X_m(af + bg) = aX_m f + bX_m g$
2.  $X_m(fg) = f(m)X_m g + g(m)X_m f$

*Proof.*

$$\begin{aligned} X_m(af + bg) &= X_m \cdot (\nabla(af + bg))_m \\ &= aX_m \cdot (\nabla f)_m + bX_m \cdot (\nabla g)_m \\ &= aX_m f + bX_m g \end{aligned}$$

$$\begin{aligned} X_m(fg) &= X_m \cdot (\nabla(fg))_m \\ &= X_m \cdot (f(m)(\nabla g)_m + g(m)(\nabla f)_m) \\ &= f(m)X_m g + g(m)X_m f \end{aligned}$$

□

## References

- [Hic65] N.J. Hicks. *notes on Differential Geometry*. Van Nostrand Mathematical Studies, Princeton, NJ, 1965.
- [Spi99] M. Spivak. *A comprehensive introduction to Differential Geometry*. Publish or Perish Inc., Houston, TX, 1999.