

# Notes on Some Set Theoretic Constructions from a Categorical Perspective

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These notes are based on the first chapter of Asu Vaisman's Dover book *Cohomology and Differential Forms*.

## 1 Categories

**Definition 1.** A category  $\mathcal{C}$  consists of a class of objects,  $Ob(\mathcal{C})$ , and for each pair of objects  $A$  and  $B$ , a class of morphisms  $Mor(A, B)$ . For each object there is an identity morphism  $1_A \in Mor(A, A)$ . Lastly, for each triple of objects we have a binary operation called composition

$$\circ : Mor(A, B) \times Mor(B, C) \rightarrow Mor(A, C)$$

If each collection of morphisms is a set, we say that the category is *locally small*. If the class of objects is a set, we say that the category is *small*.

**Ex 1.** The class of sets forms a category **Set** whose morphisms are functions.

**Ex 2.** The set of integers forms a category with morphisms given by divisibility  $|$ . For example,  $Mor(4, 8)$  is a singleton because 4 divides 8, however,  $Mor(4, 9)$  is just  $\emptyset$ . Each integer divides itself and lastly, we can check that composition is well defined as follows: if  $a|b$  and  $b|c$  then  $a|c$ .

**Ex 3.** In general, groups, rings, or any other algebraic objects form a category. The class of groups is a category **Grp** whose morphisms are group homomorphisms.

**Ex 4.** Every group can itself be viewed as a category with one object, whose morphisms are group elements.

## 2 Injectivity and Surjectivity

**Definition 2.** Let  $A$ ,  $B$  and  $X$  be objects of a category  $\mathcal{C}$ . A morphism  $u : A \rightarrow B$  is said to be injective if for any object  $X$ , the map  $'u : Mor(X, A) \rightarrow Mor(X, B)$  defined as  $'u(v) = u \circ v$  is injective.

$$\begin{array}{ccc} A & \xrightarrow{u} & B \\ v \uparrow & \nearrow & \\ X & & u \circ v = 'u(v) \end{array}$$

Figure 1.

**Propositon 1.** We will now verify that this definition agrees with our usual definition of injectivity in the category **Set**. Let  $u$  be a set map which satisfies the above property. Let  $a_1, a_2 \in A$  such that  $a_1 \neq a_2$ . Let  $v_1, v_2$  be two morphisms (both constant functions) in  $Mor(X, A)$  defied as follows:  $v_1 : X \rightarrow A$  such that  $\forall x \in X, v_1(x) = a_1$  and  $v_2 : X \rightarrow A$  such that  $\forall x \in X, v_2(x) = a_2$  then because  $v_1 \neq v_2$ , we have  $'u(v_1) \neq 'u(v_2)$  and consequently,  $u(a_1) \neq u(a_2)$ .

Suppose now that  $u$  is injective in the usual sense. Let  $v_1 \neq v_2$ . This means there exists an element  $x \in X$  such that  $v_1(x) \neq v_2(x)$ ; furthermore  $u(v_1(x)) \neq u(v_2(x))$  by the injectivity of  $u$ , and thus  $'u(v_1) \neq 'u(v_2)$ .

**Definition 3.** A morphism  $u : A \rightarrow B$  is said to be surjective, if for any object  $X$ , the map  $u' : Mor(B, X) \rightarrow Mor(A, X)$  defined on  $v \in Mor(B, X)$  as  $u'(v) = v \circ u$  is injective.

$$\begin{array}{ccc} & & X \\ & \nearrow^{u'(v)=v \circ u} & \uparrow v \\ A & \xrightarrow{u} & B \end{array}$$

**Figure 2.**

**Proposition 2.** We will now verify that this definition agrees with our usual definition of surjectivity in the category **Set**. Suppose that the above condition holds. Then for any  $b \in B$  define a pair of functions  $v_1, v_2 \in Mor(B, X)$  which disagree on  $b$ , but agree on every other element of  $B$ . Then since  $u'(v_1) \neq u'(v_2)$ , there must be an element  $a \in A$  such that  $u(a) = b$ , if there were not such an element, then we obtain  $u'(v_1) = u'(v_2)$ , a contradiction.

Suppose now that  $u$  is injective. Then for any other set  $X$  take  $v_1, v_2 \in Mor(B, X)$  such that  $v_1 \neq v_2$ . This means that there exists an element  $b \in B$  for which  $v_1(b) \neq v_2(b)$ . Since  $u$  is surjective, there exists an element  $a \in A$  such that  $u(a) = b$ . It follows that  $v_1(u(a)) \neq v_2(u(a))$  which shows the injectivity of  $u'$ .

A morphism which is both injective and surjective is said to be *bijective*.

### 3 Isomorphisms and Balance

**Definition 4.** In a category, two objects  $A$  and  $B$  are said to be isomorphic if there exist morphisms  $f : A \rightarrow B$  and  $g : B \rightarrow A$  so that  $g \circ f = 1_A$  and  $f \circ g = 1_B$ .

**Exercise 2.** Prove that the composition of injections, surjections, and isomorphisms are again injections, surjections and isomorphisms.

A category in which the bijections are exactly the isomorphisms is said to be *balanced*.

**Ex 5.** When considered as a set map, the inclusion of the integers in the rationals is obviously not surjective. However, in the category of rings, **Ring**, it is a simple matter to show that this inclusion does define a surjection in **Ring**.

**Exercise 3.** Is **Set** balanced? Is **Ring** balanced?

## 4 Initial, Final, and Zero Objects

Very often, when investigating some mathematical object we look at its subobjects or quotient objects. Similarly when looking at a map between two objects it is useful to understand some of the subobjects involved and the way in which the morphism behaves on those subobjects.

We now introduce a pair of definitions and a pair of categories which will give us the proper setting to discuss these constructions.

**Definition 5.** Given a category  $\mathcal{C}$  if there is an object  $X \in ob(\mathcal{C})$  such that for every other object  $C \in ob(\mathcal{C})$ , the class  $Mor(X, C)$  is a singleton, we say that  $X$  is an *initial object* for the category  $\mathcal{C}$ . That is, there is exactly one morphism from  $X$  to every other object in the category.

**Definition 6.** Given a category  $\mathcal{C}$  if there is an object  $X \in ob(\mathcal{C})$  such that for every other object  $C \in ob(\mathcal{C})$ , the class  $Mor(C, X)$  is a singleton, we say that  $X$  is a *final object* for the category  $\mathcal{C}$ . That is, there is exactly one morphism to  $X$  from every other object in the category.

**Proposition 3.** If a category  $\mathcal{C}$  has an initial object  $X$ , it is unique up to isomorphism. That is, if there is any other object  $Y$  which is also initial, it must be isomorphic to  $X$ . To see this, note that there is a unique morphism from  $X$  to  $Y$  and from  $Y$  to  $X$ . Composing these morphisms we obtain a morphism from  $X$  to  $X$  or from  $Y$  to  $Y$  depending on the order of composition. However,  $Mor(X, X)$  and  $Mor(Y, Y)$  are both singletons and by the definition of a category must contain  $1_X$  and  $1_Y$  respectively. This does not leave much choice for what the compositions are.

**Proposition 4.** If a category  $\mathcal{C}$  has a final object  $X$ , it is unique up to isomorphism. The proof is similar to the one given in proposition 3.

**Definition 7.** If a category has both an initial and a final object and they are equal, then that object is called a *zero object* for the category. Note that if a category has a zero object  $*$  then between any two objects  $A$  and  $B$  there is a morphism from  $A$  to  $B$  which passes through  $*$  and is denoted  $0_{A,B}$ . It is

defined to be the composite map  $A \rightarrow * \rightarrow B$  which exists because  $*$  is both initial and final.

## 5 Subobjects and Quotient Objects

**Definition 8.** Given an object  $A$  in a category  $\mathcal{C}$ , define the category  $\mathcal{C}_A$  as follows. The objects of this category are morphisms in  $\mathcal{C}$  going into  $A$ . Morphisms in this category are given by precomposing the morphisms going into  $A$  with other morphisms of  $\mathcal{C}$ . Similarly define a category  $\mathcal{C}^A$  who's objects are morphisms in  $\mathcal{C}$  coming out of  $A$  and who's morphisms are given by post composition.

Let  $X$  and  $Y$  be objects of  $\mathcal{C}$  and let  $f : X \rightarrow A$  and  $g : Y \rightarrow A$  be morphisms of  $\mathcal{C}$ . Notice also that  $f$  and  $g$  are objects of  $\mathcal{C}_A$ . Let  $u : Y \rightarrow X$  be a morphism of  $\mathcal{C}$ . If  $f \circ u = g$ , then  $u$  is a morphism in  $\mathcal{C}_A$ . This is shown in the figure below:

$$\begin{array}{ccc} X & \xrightarrow{f} & A \\ u \uparrow & \nearrow & \\ Y & \xrightarrow{g=u(f)} & \end{array}$$

**Figure 3.**

**Exercise 3.** Verify that  $\mathcal{C}_A$  and  $\mathcal{C}^A$  are categories. For which category would it be more fruitful to discuss an initial object? For which category would it be more fruitful to discuss a final object?

**Definition 9.** A subcategory  $\mathcal{D}$  of a category  $\mathcal{C}$  is a category with  $ob(\mathcal{D}) \subset ob(\mathcal{C})$ , and for each class  $Mor_{\mathcal{D}}(A, B) \subset Mor_{\mathcal{C}}(A, B)$ . Additionally the operation composition in  $\mathcal{D}$  is exactly the restriction of the operation in  $\mathcal{C}$ . Lastly, Each object in  $\mathcal{D}$  retains its identity morphism from  $\mathcal{C}$ .

A *full subcategory* is one in which only objects are removed, that is, if  $A$  and  $B$  are objects in  $\mathcal{D}$ ,  $Mor_{\mathcal{D}}(A, B) = Mor_{\mathcal{C}}(A, B)$ .

**Definition 10.** We examine now the subcategory of  $\mathcal{C}_A$  who's objects are just the injections into  $A$ . We denote this category  $\mathcal{S}_A$  and call it the *category of subobjects* of  $A$ . An object of  $\mathcal{S}_A$  is a *subobject* of  $A$  and it is considered up to isomorphism. That is, if  $f$  and  $g$  are isomorphic objects in  $\mathcal{S}_A$ , they are considered to be the same subobject.

**Definition 10.** Similarly, we call the subcategory of  $\mathcal{C}^A$  who's objects are just the surjections from  $A$ , the category of quotient objects of  $A$ . It is denoted  $\mathcal{Q}^A$ . Quotient objects are also only considered up to isomorphism.

## 6 Range and Corange

We can now define the range of a morphism in a category  $\mathcal{C}$ .

**Definition 11.** Let  $A$  and  $B$  be objects of a category  $\mathcal{C}$ , and let  $f$  be a morphism  $f : B \rightarrow A$ . Define  $\mathcal{S}_A^B$  to be the full subcategory of  $\mathcal{S}_A$  whose objects  $u : X \rightarrow A$  are part of a unique factorization of  $f$ . That is, for each  $u$  there exists a unique  $f' : B \rightarrow X$  such that  $f = u(f')$ . This is shown below.

$$\begin{array}{ccc} X & \xrightarrow{u} & A \\ f' \uparrow & \nearrow & \\ B & & \end{array} \quad f = u(f') = u \circ f'$$

Figure 4.

**Definition 12.** An initial object of  $\mathcal{S}_A^B$  is called the *range* of the morphism  $f$ , and is denoted  $Ran(f)$ . The range is determined up to isomorphism (as are all initial objects).

$$\begin{array}{ccccc} & & X & & \\ & & \curvearrowright & u & \\ & & & & A \\ & \swarrow x & & \searrow i & \\ & Ran(f) & & & \\ & \uparrow r & & \nearrow f & \\ & B & & & \end{array} \quad \begin{array}{c} f' \\ \curvearrowleft \\ B \end{array}$$

Figure 5.

**Proposition 5.** In the category **Set**  $Ran(f)$  is isomorphic to

$$f(B) = \{a \in A \mid \exists b \text{ s.t. } f(b) = a\}.$$

Let  $X = f(B)$  in figure 5. Since  $Ran(f)$  is initial, there is one morphism from  $Ran(f)$  to  $A$ , namely  $i$ . Then  $u \circ x = i$  and since  $i$  is injective, so must  $x$  be. Suppose now that  $x$  is not surjective. Then there is an  $a \in f(B)$  such that for no  $c \in Ran(f)$ ,  $x(c) = a$ . But for all  $a \in f(B)$  there is a  $b \in B$  for which  $f(b) = a$  but then  $u(f'(b)) = a$  and hence  $u(x(r(b))) = a$ . Letting  $c = r(b)$  we have derived a contradiction. So  $x$  is both injective and surjective. Since **Set** is balanced,  $f(B)$  is isomorphic to  $Ran(f)$ .

**Definition 13.** Let  $A$  and  $B$  be objects of a category  $\mathcal{C}$ , and let  $f$  be a morphism  $f : B \rightarrow A$ . Define  $\mathcal{Q}_A^B$  to be the full subcategory of  $\mathcal{Q}^B$  whose objects are surjections  $u : B \rightarrow X$  which are part of a unique factorization of  $f$ . That is, for each  $u$  there exists a unique  $f' : X \rightarrow A$  such that  $f = f' \circ u$ .

**Definition 14.** A final object of  $\mathcal{Q}_A^B$  is called the *corange* of  $f$ . It is denoted  $Cor(f)$ .