

# 1 MEASURING POLYGONAL NICENESS\*

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3 ABSTRACT.

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## 13 1 Introduction

- 14 1. Paragraph introducing general problem
- 15 2. Paragraph on applications to gerrymandering problems.
- 16 3. Paragraph explaining the limitations of this application. (Perhaps this should be in
- 17 the discussion?)
- 18 4. Paragraph outlining the paper.

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## 2 Theoretical background

The area of a subset  $S \subseteq \mathbb{R}^2$  of the plane is denoted by  $\lambda(S)$ . We will denote the boundary of  $S$  by  $\partial S$ . For two points  $x, y \in \mathbb{R}^2$ , the closed line segment with endpoints in  $x$  and  $y$  is denoted  $[x, y]$ . For  $p \in [1, \infty]$ , we define the  $p$ -ball as  $B_p(x, \varepsilon) = \{y \in \mathbb{R}^2 : \|x - y\|_p \leq \varepsilon\}$  and we will only be interested in the closed case. Unless otherwise stated, we shall operate in the Euclidean world with  $p = 2$ . Throughout we shall assume that  $P \subseteq \mathbb{R}^2$  is a simple bounded closed polygon. The class of such polygons is given by  $\mathcal{P}$ . Its perimeter is denoted by  $|\partial P|$ .

### 2.1 The $\alpha$ -fatness score

One approach to measuring the relative “fatness” of a polygon seeks to identify regions of the shape which behave highly unlike balls.

**Definition 2.1.** The  $\alpha$ -fatness score of a polygon  $P$  is given by

$$\alpha(P) = \inf \left\{ \frac{\lambda[P \cap B(x, \varepsilon)]}{\lambda[B(x, \varepsilon)]} : \varepsilon > 0, x \in P \right\}$$

where we impose that the ball  $B(x, \varepsilon)$  does not contain  $P$ .

The constraint that  $P \not\subseteq B(x, \varepsilon)$  ensures definiteness: otherwise, let  $\varepsilon \rightarrow \infty$  and the ratio  $\frac{\lambda[P \cap B(x, \varepsilon)]}{\lambda[B(x, \varepsilon)]}$  always approaches 0. An intuition for  $\alpha(P)$  emerges from considering how it behaves on balls.

**Proposition 2.2.** For any  $P$ ,  $\alpha(P) \leq \frac{1}{4}$ , and if  $P = B(x_0, r)$  then we have equality.

*Proof.* To show that  $\alpha(P) \leq \frac{1}{4}$ , it suffices to show only for convex  $P$ , because  $P \subseteq (P)$  implies  $\lambda[P \cap B(x, \varepsilon)] \leq \lambda[(P) \cap B(x, \varepsilon)]$ . Let  $d = \text{diam}(P)$  and fix  $x, y \in \partial P$  such that  $\|x, y\| = d$ . Assume without loss of generality that  $x$  lies above  $y$ , and consider the ball  $B(x, d)$ . Then  $P$  does not meet the upper disk of  $B(x, d)$ , for otherwise we could choose  $z \in P$  in the upper disk of  $B(x, d)$  which forms a chord of  $P$  longer than  $d$ . A similar argument shows that  $P$  cannot occupy more than half of the lower disk of  $B(x, d)$ . Hence

$$\alpha(P) \leq \frac{\lambda[P \cap B(x, d)]}{\lambda[B(x, d)]} \leq \frac{1}{4},$$

as needed.

The fact that  $\alpha(B(x_0, r)) = \frac{1}{4}$  relies on a neat result [Wan05] that shows that the  $L_p$  ellipsoid  $\mathcal{E}$  with radii  $a, b$  has area

$$\lambda(\mathcal{E}) = ab \cdot 4 \frac{\Gamma(1 + \frac{1}{p})^2}{\Gamma(1 + \frac{n}{p})}.$$

In our case,  $\alpha(B(x_0, r))$  is achieved at a ball on a “corner” point with radius  $2r$ . □

Hence, the  $\alpha$ -score is greater for polygons which exhibit “niceness” characteristics. It is not affected tremendously by local nonconvexity in  $P$  so long as  $P$  “snugly fits” into a ball shape, which is a global property.

## 2.2 The chord- $f$ score

A different class of “fatness” measures arises through partitioning  $P$  into two (interior disjoint) polygons  $P = P' \cup P''$  via chords. A chord is a pair  $x, y \in \partial P$  such that the segment  $[x, y]$  is contained in the interior of  $P$  except at the endpoints  $x$  and  $y$ . Such a chord defines a partition of  $P = P' \cup P''$  by orientation, so that  $\partial P'$  is the arc from  $x$  to  $y$  together with  $[x, y]$  and that  $\partial P''$  is the arc from  $y$  to  $x$  together with  $[x, y]$ .

**Definition 2.3.** Let  $f : \mathcal{P} \rightarrow \mathbb{R}$ . For a given  $P \in \mathcal{P}$ , its *chord- $f$  score* is given by

$$s_f(P) = \inf\{\max(f(P'), f(P'')) : x, y \in \partial P\}$$

where the chord  $[x, y]$  partitions  $P = P' \cup P''$ .

The intuition for  $f$  is some global measure of “cost” associated with respect to  $P$ . For example, if  $f(P) = |\partial P|$ , then  $s_f$  identifies the partition (or limiting sequence of partitions) which minimizes the maximum subpolygon perimeter. Indeed, measures such as perimeter or area are the typical choices of  $f$ , but any suitable property of  $P$  may be employed.

The chord- $f$  score can be understood relatively simply in the context of a minimax game. Max, given a polygon with a chord partitioning it, always chooses the subpolygon associated with a greater  $f$  (i.e., the worst cost of the partition). Min, who plays first, tries to find a chord with the least-bad worst cost with respect to  $f$ .

The member of this class of scores under this present investigation is  $s_{|\partial \cdot|}$ , the so-called *chord-arc* score. It is important to note that the length of the chord  $[x, y]$  which partitions  $P$  is included in the estimation of  $s_{|\partial \cdot|}$ . One implication of this is that the chord-arc score need not be bounded above by  $\frac{|\partial P|}{2}$ . We have several facts about  $s_{|\partial \cdot|}$  which develop further intuition for the score.

**Proposition 2.4.** 1. Let  $R$  be a rectangle with height  $h$  and length  $\ell$ . Assume that  $h \leq \ell$ .

Then  $s_{|\partial \cdot|}(R) = 2h + \ell$ .

2. Let  $P$  be convex with perimeter  $|\partial P| = w$ . Then  $s_{|\partial \cdot|}(P) \leq \frac{w}{2} + \text{diam}(P)$ .

3. Let  $C$  be a circle with “perimeter”  $2\pi r$ . Then  $s_{|\partial \cdot|}(C) = (\pi + 2)r$ .

*Proof.* 1. That the optimal partition bisects  $R$  is readily apparent, for this minimizes the maximum perimeter length of either sub-rectangle. A case analysis confirms that the preferred strategy for Min is to choose a chord along the smaller dimension (i.e.,  $h$ ) to bisect  $R$ , and in this case the maximum arc length is  $2h + 2\left(\frac{\ell}{2}\right) = 2h + \ell$ , as needed.

2. For  $P$  convex, a perimeter-bisecting chord, whose length is at most  $\text{diam}(P)$ , always exists.

3. This follows from part 2 by noticing that the length of *any* perimeter-bisecting chord is  $\text{diam}(C) = 2r$ .  $\square$

### 72 3 Overview of empirical design

- 73 1. Paragraph explaining discretization procedure.
- 74 2. Pseudocode and explanation for the alpha fatness.
- 75 3. Pseudocode and explanation for the chord  $f$  score.
- 76 4. Formulate “random” polygons.
- 77 5. Explain U.S. state boundary data collection.

### 78 4 Empirical results

- 79 1. Show how changing  $\delta$  affects scores.
- 80 2. Compare scores (by ranking) on random polygons.
- 81 3. Compare scores (by ranking) on U.S. state boundary data.

### 82 5 Discussion

- 83 1. Comment on the results.[The18]
- 84 2. Talk about applications to Gerrymandering.[GW18]

### 85 References

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