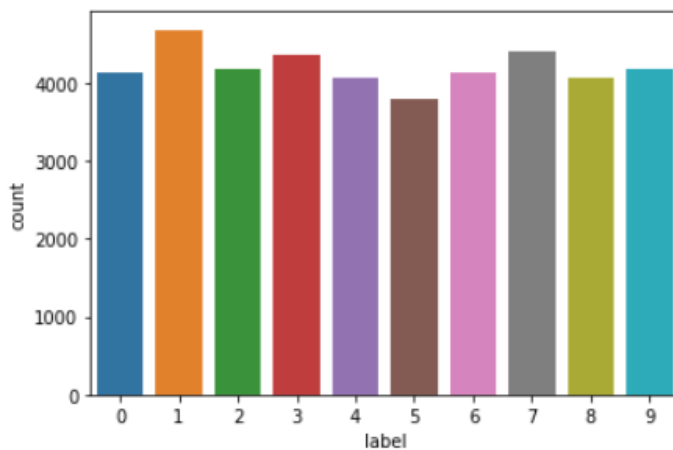


# MNIST data deep learning

Tao Shan

## Describe the data

The data files train.csv and test.csv contain gray-scale images of hand-drawn digits, from zero through nine. Each image is 28 pixels in height and 28 pixels in width, for a total of 784 pixels in total. Each pixel has a single pixel-value associated with it, indicating the lightness or darkness of that pixel, with higher numbers meaning darker. This pixel-value is an integer between 0 and 255, inclusive. The training data set, (train.csv), has 785 columns. The first column, called "label", is the digit that was drawn by the user. The rest of the columns contain the pixel-values of the associated image.



The label has 10 numbers from 0-9, each number's count is represented in this count plot, which shows the number of labels for each number is not sparse.

## Objective

This model aims to find the relationship between the target numbers and the pixel points in the photo. I'm using the accuracy score to estimate the model performance.

## Steps

The following steps I did for preprocessing the data:

**Scaler:** Min-max scaler between 0 and 1 for pixel point's values

**One-hot encoding:** use it for y values to fit with the outputs of deep learning models in python Keras package

**Split train/validation sets:** 80% data for training, 20% data for validation

## Models

The models I have chosen are self-designed CNN models (2 different structures) and one Vgg16 transfer learning model. All models use categorical\_crossentropy for loss function, accuracy metrics and adam optimizer.

### Model 1: CNN

Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 25, 25, 32)	544
conv2d_1 (Conv2D)	(None, 22, 22, 32)	16416
max_pooling2d (MaxPooling2D)	(None, 11, 11, 32)	0
dropout (Dropout)	(None, 11, 11, 32)	0
conv2d_2 (Conv2D)	(None, 8, 8, 32)	16416
conv2d_3 (Conv2D)	(None, 5, 5, 32)	16416
max_pooling2d_1 (MaxPooling2D)	(None, 2, 2, 32)	0
dropout_1 (Dropout)	(None, 2, 2, 32)	0
flatten (Flatten)	(None, 128)	0
dropout_2 (Dropout)	(None, 128)	0
dense (Dense)	(None, 256)	33024
dropout_3 (Dropout)	(None, 256)	0
dense_1 (Dense)	(None, 10)	2570
Total params: 85,386		
Trainable params: 85,386		
Non-trainable params: 0		

I use this as a base line approach. Within an epoch of 100, the best accuracy for validation set is 0.994 when epoch = 94.

### Model 2: CNN

Layer (type)	Output Shape	Param #
zero_padding2d (ZeroPadding2D)	(None, 30, 30, 1)	0
conv2d_4 (Conv2D)	(None, 26, 26, 5)	130
conv2d_5 (Conv2D)	(None, 22, 22, 5)	630
max_pooling2d_2 (MaxPooling2D)	(None, 11, 11, 5)	0
batch_normalization (Batch Normalization)	(None, 11, 11, 5)	20
zero_padding2d_1 (ZeroPadding2D)	(None, 13, 13, 5)	0
conv2d_6 (Conv2D)	(None, 9, 9, 7)	882
conv2d_7 (Conv2D)	(None, 5, 5, 7)	1232
max_pooling2d_3 (MaxPooling2D)	(None, 2, 2, 7)	0
batch_normalization_1 (Batch Normalization)	(None, 2, 2, 7)	28
flatten_1 (Flatten)	(None, 28)	0
dense_2 (Dense)	(None, 49)	1421
dropout_4 (Dropout)	(None, 49)	0
dense_3 (Dense)	(None, 10)	500
Total params: 4,843		
Trainable params: 4,819		
Non-trainable params: 24		

By adding more layers, including zero padding 2d layers and batch normalization layers, the model learns more slowly and have a better accuracy when number of epochs grows. Within an epoch of 100, the best accuracy for validation set is 0.979 when epoch = 72.

### Model 3: Vgg16

Layers for Vgg16:

Layer (type)	Output Shape	Param #
input_2 (InputLayer)	[(None, 56, 56, 3)]	0
block1_conv1 (Conv2D)	(None, 56, 56, 64)	1792
block1_conv2 (Conv2D)	(None, 56, 56, 64)	36928
block1_pool (MaxPooling2D)	(None, 28, 28, 64)	0
block2_conv1 (Conv2D)	(None, 28, 28, 128)	73856
block2_conv2 (Conv2D)	(None, 28, 28, 128)	147584
block2_pool (MaxPooling2D)	(None, 14, 14, 128)	0
block3_conv1 (Conv2D)	(None, 14, 14, 256)	295168
block3_conv2 (Conv2D)	(None, 14, 14, 256)	590880
block3_conv3 (Conv2D)	(None, 14, 14, 256)	590880
block3_pool (MaxPooling2D)	(None, 7, 7, 256)	0
block4_conv1 (Conv2D)	(None, 7, 7, 512)	1180160
block4_conv2 (Conv2D)	(None, 7, 7, 512)	2359808
block4_conv3 (Conv2D)	(None, 7, 7, 512)	2359808
block4_pool (MaxPooling2D)	(None, 3, 3, 512)	0
block5_conv1 (Conv2D)	(None, 3, 3, 512)	2359808
block5_conv2 (Conv2D)	(None, 3, 3, 512)	2359808
block5_conv3 (Conv2D)	(None, 3, 3, 512)	2359808
block5_pool (MaxPooling2D)	(None, 1, 1, 512)	0
Total params: 14,714,688		
Trainable params: 14,714,688		
Non-trainable params: 0		

Layers for transfer learning:

Layer (type)	Output Shape	Param #
vgg16 (Functional)	(None, 1, 1, 512)	14714688
flatten_2 (Flatten)	(None, 512)	0
dense_4 (Dense)	(None, 512)	262656
activation (Activation)	(None, 512)	0
batch_normalization_2 (Batch Normalization)	(None, 512)	2048
dropout_5 (Dropout)	(None, 512)	0
dense_5 (Dense)	(None, 10)	5130
activation_1 (Activation)	(None, 10)	0
Total params: 14,984,522		
Trainable params: 268,810		
Non-trainable params: 14,715,712		

Since total parameter is large, it requires GPU to train it faster. Also, I add Early Stopping to reduce the over fitting problem. Within an epoch of 30, the best accuracy for validation set is 0.968 when epoch = 29.

## **Findings**

When number of epochs increases, the loss for each steps decreases, and the accuracy increases until the peak, then decreases a little bit. Previously I thought the model should increase its accuracy when the model becomes more complex, but the solution is not. The base line version of CNN wins (with accuracy 0.993), and the other two versions lose. It's not necessary that more complex model has better accuracy.

## **Revisit**

I can try more options such as Resnet, Alexnet, also Lenet-5 is based on this dataset, which I should also spend time on this. Also, I can try more structures on the existing models, and use auto encoder to generate more data.

## **Appendix**

Data: <https://www.kaggle.com/competitions/digit-recognizer>

Code: <https://www.kaggle.com/taos2000/mnist-cnn-vgg16-lenet-5>