

## Programming Note - Hash Table

Save items in a key-indexed table (index is a function of the key)

Hash function: Method for computing array index from key

Issues: - Computing the hash function

- Equality test, method for checking whether two keys are equal

- Collision resolution: Algorithm and data structure to handle two keys that hash to the same array index

Classic Space-time tradeoff:

No space limitation: trivial hash function with key as index,  $hash(x) = x$ .

No time limitation: trivial collision resolution with sequential search, unordered array.

Space and time limitations: hashing (the real world)

Idealistic Goal: Scramble the keys uniformly to produce a table index

- efficiently computable

- each table index equally likely for each key

All java classes inherit a method `hashCode()`, which returns a 32-bit int.

Requirement: If  $x.equals(y)$  then  $(x.hashCode() == y.hashCode())$ .

Highly Desirable: If  $\neg x.equals(y)$ , then  $(x.hashCode() \neq y.hashCode())$ .

## 5. Programming Notes

Search insert  
BST:  $N$   $N$

### Priority Queue

Remove (logically or physically)

Getting (logically  $N$  items) out of  $M$

### Graphs

#### DFS

Search to bottom, not finish  $\Rightarrow$  come back 1 and continue

#### BFS

Search one level at a time

## Programming Note - Priority Queue

Priority Queue: Remove the largest (or smallest) item

Challenge: Find the  $M$  largest items in a stream of  $N$  items  
( $N$  high,  $M$  large)  
if (pq-size  $> M$ ) delete min

Order of growth of finding the largest  $M$  in a stream of  $N$  items:

| implementation | time       | space |
|----------------|------------|-------|
| Sort           | $N \log N$ | $N$   |
| elementary PQ  | $MN$       | $M$   |
| binary heap    | $N \log M$ | $M$   |
| Bell in stream | $N$        | $M$   |

Use an array for unordered implement.

for loop for finding max value and deleting

|                 | imp      | in arr   | del max  | max      |
|-----------------|----------|----------|----------|----------|
| unordered array | 1        | $N$      | $N$      | $N$      |
| ordered array   | $N$      | 1        | 1        | 1        |
| Goal:           | $\log N$ | $\log N$ | $\log N$ | $\log N$ |

## Binary heap

Binary heap: Array representation of a heap ordered complete binary tree

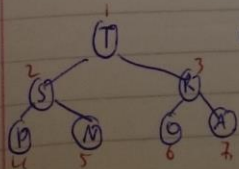
Heap ordered binary tree: - Keys in heap

- Parent's key is smaller than children's keys

Array representation: - Take nodes in level order

- No explicit link between

i: 0 1 2 3 4 5 6 7  
a[i] → T S R P N O A





Proposition: Largest key  $u \in [1]$  which is root of binary tree  
 Proposition: Can use indices (start at 1) to move through tree  
 - Parent of node at  $k$  is at  $k/2$   
 - Children of node at  $k$  are at  $2k$  and  $2k+1$

Scenario: Child's key becomes larger key than its parent's key.  
 To eliminate violation: Exchange key in child with key in parent.  
 Repeat until heap order restored

```

private void swim(int k) {
  while (k > 1 && less(k/2, k)) {
    exch(k, k/2);
    k = k/2;
  }
}

```

⌋ Peter principle: Node promoted to level of incompetence

Insertion:

Add node at end, then swim it up

Cost: At most  $1 + \lg N$  compare

Scenario: Parent's key becomes smaller than one (or both) of its children's key.  
 To eliminate violation: Exchange key in parent with key of larger child.  
 Repeat until heap order restored

```

private void sink(int k) {
  while (2*k <= N) {
    int j = 2*k;
    if (j < N && less(j, j+1)) j++;
    if (!less(k, j)) break;
    exch(k, j);
    k = j;
  }
}

```

⌋ Power struggle: Better standard promoted

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## Programming Notes - PA

Delete max - Exchange root with node at end, then sink down.

Cost: At most  $2 \log N$  compare

key max =  $pq[1]$

exch (1, N-1);

Sink (1);

$pq[N+1] = \text{max};$

return max;

| impl        | inlet    | del max  | max |
|-------------|----------|----------|-----|
| binary heap | $\log N$ | $\log N$ | 1   |

## Heapsort:

Create max-heap with all  $N$  heap

Repeatedly remove the maximum

First pass: build heap using bottom up method

for (int  $k = N/2$ ;  $k \geq 1$ ;  $k--$ ) {

    Sink ( $a$ ,  $k$ ,  $N$ ); }

Second pass: Remove maximum one at a time

Leave in array instead of nullifying

while ( $N \geq 1$ ) { exch ( $a$ , 1,  $N--$ );

    Sink ( $a$ , 1,  $N$ ); }

Proposition: Heap construction takes  $\Theta(N \log N)$  compare and exchange  
Heapsort takes at most  $2N \log N$  compare and exchange

## 1. Programming 2 notes

### Insert Sort.

Input - Sequence of  $n$  numbers  $(a_1, \dots, a_n)$

Output - A permutation (reordering) of the input such that  
 $a'_1 \leq a'_2 \leq \dots \leq a'_n$

### Algorithm in English

1. Start from 1st element of the array (optimization: start from second)
2. Shift element back until its right position
3. Continue to next element
4. Repeat (2) and (3) until the end of the array

for  $(j=2$  to  $A.length)$

    // Shift  $A[j]$  into the sorted  $A[1, \dots, j-1]$

$i = j-1$

    while  $(i > 0$  and  $A[i] > A[i+1])$

        Swap  $A[i]$ ,  $A[i+1]$

$i = i-1$

    }

}

Return  $A$

### Why is our algorithm correct?

We will make an argument of its correctness using a loop invariant.

A loop invariant is a property which is true:

- At the beginning of the algorithm
- At the end of algorithm
- Before each iteration of the algorithm



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### Insertion Sort - loop Invariant

At the end:  $j = n+1$  Sorted  $A[1..n]$   
 At the start:  $j = 2$  Sorted  $A[1..1]$  unsorted  $A[2..n]$   
 At line 1:  $i < j \leq n$  Sorted  $A[1..j-1]$  unsorted  $A[j..n]$

LOOP INVARIANT

### Runtime Analysis of an algorithm

Each command takes 1 time unit  $t$ .

Best case:  $T(n) = n + n - 1 + n - 1 + 1 = 3n + 1$

Worst case:  $T(n) = n + n - 1 + \sum_{x=2}^n (x) + 2 \sum_{x=2}^n (x-1) + 1$   
 $= (3/2)n^2 + (3/2)n - 1$

Average we shift each  $A[i]$  about  $1/2$  position to the left.

$T(n) = n + n - 1 + \sum_{x=2}^n \frac{x}{2} + \sum_{x=2}^n (x-1) + 1$   
 $= (3/4)n^2 + (7/4)n - 1/2$

- At large enough input size only the rate of growth of an algorithm's running time matters

- We ignore everything except for the most significant growth function

Insertion Sort asymptotic worst case:  $\Theta(n^2)$

### Asymptotic Notation $O, \Omega, o, \omega$

Exact bounds  $f(n) = \Theta(g(n))$

Upper bound:  $T(n) = O(f(n))$

Non-tight upper bound:  $T(n) = o(f(n))$

Lower bound:  $T(n) = \Omega(f(n))$

Non-tight lower bound:  $T(n) = \omega(f(n))$

or  $T(n) = \Theta(f(n))$

or  $T(n) < \Theta(f(n))$

or  $T(n) > \Theta(f(n))$

or  $T(n) > \Theta(f(n))$

### Principle

$\Theta$  bound of the most precise

$O/\Omega$  may be given

$o/\omega$  are over given

## Programming 2 Notes

## Binary search

Input: array  $A[0 \dots n-1]$  integer  $key$ Input property:  $A$  is sorted.

Output: integer position

Output property: if  $key = A[i]$  then  $pos = i$ .Invariant: if  $key$  in  $A[0 \dots n-1]$  then  $key$  in  $A[l_0 \dots h_i]$   
 $T(n) = \Theta(\log n)$ Insertion sort: worst case  $\Theta(n^2)$ Definition of  $T(n) = \Theta(g(n))$  $T(n) = \Theta(g(n))$  if:There exist large enough input size  $n_0$  such that for any  $n \geq n_0$ :

$$C_{low} \cdot g(n) \leq T(n) \leq C_{up} \cdot g(n)$$

→ For some constant  $C_{low}$  and  $C_{up}$ Example for  $n \geq 10$ :  $n^2 \leq \frac{3}{2}n^2 + \frac{3}{2}n - 1 \leq 2n^2$ Definition of  $T(n) = O(g(n))$  $T(n) = O(g(n))$  ifThere exist large enough input size  $n_0$  such that for any  $n \geq n_0$ :

$$T(n) \leq C_{up} \cdot g(n)$$

for some constant  $C_{up}$ for  $n \geq 10$   $T(n) = (\frac{3}{2}n^2 + \frac{3}{2}n - 1) \leq 2n^2 \leq n^2 \leq 2^n$ Definition of  $T(n) = \Omega(g(n))$  $T(n) = \Omega(g(n))$  ifThere exist large enough input size  $n_0$  such that for any  $n \geq n_0$ :

$$C_{low} \cdot g(n) \leq T(n)$$

for some constant  $C_{low}$ For  $n \geq 10$ :  $1 \leq n \leq n^2 \leq T(n) = (\frac{3}{2}n^2 + \frac{3}{2}n - 1)$



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 Definition of  $T(n) = O(g(n))$  and  $T(n) = \omega(g(n))$   
 $T(n) = O(g(n))$  if there exist large enough input size  $n_0$   
 Such that for any  $n > n_0$ :  
 $T(n) \leq C \cdot g(n)$  for constant  $C$

$T(n) = \omega(g(n))$  if there exist large enough input size  $n_0$   
 Such that for any  $n > n_0$ :  
 $T(n) \geq C \cdot g(n)$  for some constant  $C$

### Queue And Stack

Stack: LIFO push(), pop(), isEmpty()  
 Queue: FIFO enqueue(), dequeue(), isEmpty()

### STACK - Linked List Implementation

isEmpty()  $\rightarrow$  return head == null ?  
 push(item)  $\rightarrow$  newnode, newnode.item = item, newnode.next = head, head = newnode  
 pop  $\rightarrow$  item = head.item; head = head.next; return item  
 push()  $\Theta(1)$  pop()  $\Theta(1)$  isEmpty()  $\Theta(1)$  All work with guarantee

### Linked List Implementation $\uparrow$

- When stack holds  $N$  values, it uses  $N$  extra memory cell to store pointer
- Often sacrifice memory to gain speed  $\rightarrow$  push  $N$  memory to gain  $\Theta(1)$  push/pop

### Stack Array Implementation

#### Stack overflow

push  $\Theta(1)$  pop  $\Theta(1)$  isEmpty  $\Theta(1)$  all work with guarantee

- Resizing array  $\rightarrow$  grow and shrink to avoid overflow

push: when full create new array of double size and copy

last item best  $\Theta(1)$  worst  $\Theta(n)$

$N$  items: best  $\Theta(n)$  worst  $\Theta(n)$

## Programming 2 Note

Amortized cost - Cost of  $N$  operations /  $N$  starting from an empty data structure  
 - push has amortized work cost cost of  $O(1)$ .

Shrinking: Shrink by half when the array is one quarter full  
 if  $(n > 0 \text{ \& \& } n = s.length/4)$   $\text{resize}(s.length/2);$

Space? Best case is when stack is full: 1 extra space  
 Worst: When we are just <sup>before</sup> shrinking  $\rightarrow 3N+1$

## LL or stack Implementation

LL  $\rightarrow$  push/pop take  $O(1)$  time in worst case  
 Always use  $N$  extra space for  $N$  items

Resizing array  $\rightarrow$  push/pop take  $O(1)$  amortized time  
 push/pop operation take  $O(n)$  in worst case  
 Use between 1 and  $3N+1$  extra space for  $N$  items

## QUEUE

void enqueue (String s)      add s at the end (tail) of the Q  
 String dequeue()      remove and return the first element (head) of Q

Implement via LL

enqueue (String s) {

    QueueNode newNode = new QueueNode();

    newNode.data = s;

    newNode.next = null;

    if (head == null)      head = tail = new Node;

    else      tail.next = new Node, tail = new Node;

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String dequeue ()

if (head == null) return null;

else if (head == tail) return head.item, head = tail = null;

else return head.item, head = head.next;

Time: worst case enqueue:  $O(1)$  dequeue:  $O(1)$

Space: for  $N$  elements  $N$  (+2 for head & tail pointers)



## Programming 2 Note) Doubly linked Lists

class  $\mathcal{C}$  of  $\mathcal{C}$

String Item;

Qd) next, prev;

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im Set =  $\text{first}()$

- 1) empty

head = tail = newref

- head.prev = newnode, newnode.next = head head = newnode