Data and Mutable Store

Massimo Merro

14 November 2017

- So far we have only looked at very simple basic data types: int, bool, unit, and functions over them.
- Let us explore now more structured data, maintaining them in the simplest form as possible, and revisit the semantics of mutable store.
- We start with two basic structured data: product and sum type.
- The product type $T_1 * T_2$ allows us you to tuple together values of type T_1 and T_2 . In C this is done with **structs**; while in Java one can use a class.
- The sum type $T_1 + T_2$ lets you form a *disjoint union*, with a value of the sum type either being a value of type T_1 or a value of type T_2 . In C this is done using **unions**, while in Java a class can implement more interfaces (although it can extends only one class).
- In most languages these features appear in richer forms: labelled records rather than simple products, or labelled variants, or ML datatypes with named constructors, rather than simple sums.

Massimo Merro Data and Mutable Store 2 / 24

Products

Ogni volta che inserisco un tipo primitivo ho

· Costrullore, datipi primitivi costeviso tipi condessi

· decostelleri datias complesso prendo le varie compressi

Let us extend the grammars for expressions and types:

$$e$$
 ::= ... | (e_1, e_2) | #1 e | #2 e
 T ::= ... | $T_1 * T_2$ prend to print components

Design choices (simplifications):

- pairs, not arbitrary tuples: we have both int * (bool * unit) and (int * bool) * unit, but we don't have int * bool * unit;
- we have projections #1 and #2, not pattern matching;
- we don't have #e e' (cannot be typed).

Products - typing

Costruttore (pair)
$$\frac{\Gamma \vdash e_1 : T_1 \quad \Gamma \vdash e_2 : T_2}{\Gamma \vdash (e_1, e_2) : T_1 * T_2}$$

$$(\text{proj1}) \frac{\Gamma \vdash e : T_1 * T_2}{\Gamma \vdash \#1 \ e : T_1}$$

$$(\text{proj2}) \frac{\Gamma \vdash e : T_1 * T_2}{\Gamma \vdash \#2 \ e : T_2}$$

Products - operational semantics

Let us extend the possible *values* as follows: $(pair2) \frac{\langle e_2, s \rangle \rightarrow \langle e_2', s' \rangle}{\langle (v, e_2), s \rangle \rightarrow \langle (v, e_2'), s' \rangle}$ $(\text{proj1}) \xrightarrow{-} \frac{-}{\langle \#1(v_1, v_2), s \rangle \rightarrow \langle v_1, s \rangle} \quad (\text{proj2}) \xrightarrow{-} \frac{-}{\langle \#2(v_1, v_2), s \rangle \rightarrow \langle v_2, s \rangle}$ $(\text{proj3}) \quad \frac{\langle e, s \rangle \rightarrow \langle e', s' \rangle}{\langle \#1\ e, s \rangle \rightarrow \langle \#1\ e', s' \rangle} \qquad (\text{proj4}) \quad \frac{\langle e, s \rangle \rightarrow \langle e', s' \rangle}{\langle \#2\ e, s \rangle \rightarrow \langle \#2\ e', s' \rangle}$

We have chosen left-to-right evaluation order for consistency.

Sums (or Variants, or tagged Unions)



Let us extend the grammars for expressions and types:

$$e$$
 ::= ... | inl $e:T$ | inr $e:T$ | case e of inl $(x_1:T_1)\Rightarrow e_1$ | inr $(x_2:T_2)\Rightarrow e_2$ T ::= ... | T_1+T_2

Note that x_1 and x_2 are bound in e_1 and e_2 , respectively.

Sums - typing



(inl)
$$\frac{\Gamma \vdash e : T_1}{\Gamma \vdash (\mathsf{inl}\ e : T_1 + T_2) : T_1 + T_2}$$

(inr)
$$\frac{\Gamma \vdash e : T_2}{\Gamma \vdash (\text{inr } e : T_1 + T_2) : T_1 + T_2}$$

$$(\mathsf{case}) \ \frac{\Gamma \vdash e : T_1 + T_2 \quad \Gamma, x_1 : T_1 \vdash e_1 : T \quad \Gamma, x_2 : T_2 \vdash e_2 : T}{\Gamma \vdash (\mathsf{case} \ e \ \mathsf{of} \ \mathsf{inl}(x_1 : T_1) \Rightarrow e_1 \mid \mathsf{inr}(x_2 : T_2) \Rightarrow e_2) \ : \ T}$$

Sums - type annotations



Why do we have in the syntax type annotations for sums?

To maintain the *Uniqueness typing property*, i.e. each expression e, if typable, must have a unique type T in an environment Γ such that $\Gamma \vdash e : T$.

Without type annotations we would have:

inl 3 of type int + int, but also

inl 3 of type int + bool

and, more generally:

inl 3 of type int + T, for any type T

Sums - operational semantics (1)



Let us extend the grammar of values as follows:

$$v ::= \ldots \mid \operatorname{inl} v : T \mid \operatorname{inr} v : T$$

Let us extend the operational semantics:

$$(\mathsf{inl}) \ \frac{\langle e, s \rangle \to \langle e', s' \rangle}{\langle \mathsf{inl} \ e : T \ , \ s \rangle \to \langle \mathsf{inl} \ e' : T \ , \ s' \rangle}$$

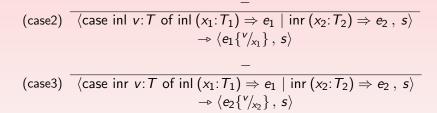
$$(inr) \frac{\langle e, s \rangle \rightarrow \langle e', s' \rangle}{\langle inr \ e: T, \ s \rangle \rightarrow \langle inr \ e': T, \ s' \rangle}$$

$$(case1) \frac{\langle e,s\rangle \rightarrow \langle e',s'\rangle}{\langle case\ e\ of\ inl\ (x_1:T_1)\Rightarrow e_1\ |\ inr\ (x_2:T_2)\Rightarrow e_2\ ,\ s\rangle} \\ \rightarrow \langle case\ e'\ of\ inl\ (x_1:T_1)\Rightarrow e_1\ |\ inr\ (x_2:T_2)\Rightarrow e_2\ ,\ s'\rangle$$

◆ロ > ◆ 個 > ◆ き > ◆ き > り へ で

9 / 24

Sums - operational semantics (2)



Records

A generalization of products.

Each field is associated with a label.

Labels lab
$$\in \mathbb{LAB}$$
 for a set $\mathbb{LAB} = \{p, q, \ldots\}$.

STruttura sintatrica

Again let us extend the syntax of expressions and types:

 $e ::= \ldots \quad \{|ab_1 = e_1, \ldots, |ab_k = e_k\}\} \quad \#|ab e|$ $T ::= \ldots \quad |\{|ab_1 : \overline{T_1}, \ldots, |ab_k : \overline{T_k}\}\}$

tipaggio del record

where in each record (type or expressions) no lab occurs more than once.

Al posto delle espressioni ho associati i tipi

◆ロト ◆団ト ◆豆ト ◆豆ト □ りへぐ

Records - typing

$$\frac{(\mathsf{recordproj})}{\Gamma \vdash e : \{\mathsf{lab}_1 : T_1, \dots, \mathsf{lab}_k : T_k\}}{\Gamma \vdash \#\mathsf{lab}_i \ e : T_i}$$

Llordine é importante

Here the field order matters so, for example, the expression

$$(\text{fn } x : \{l_1 : \underline{\text{int}}, l_2 : \underline{\text{bool}}\} \Rightarrow x)\{l_2 = \underline{\text{true}}, l_1 = \underline{17}\}$$

is ill-typed.

The same label can be used in different records. In some languages (e.g. OCaml) this is not allowed.

4 D 7 CB 7 E 7 E 7 C

Records - operational semantics

Let us extend the grammar of values as follows:

$$v ::= \ldots \mid \{lab_1 = v_1, \ldots, lab_k = v_k\}$$

And the operational semantics:

Suiluppe 1'elemento i-esimo superendo che i pradenti si ano
$$\langle e_i, s \rangle \rightarrow \langle e_i', s' \rangle$$
 3'è suilupper:

$$\langle e_i, s \rangle \Rightarrow \langle e_i', s' \rangle$$
 3 is suity substitution.

$$(\text{record2}) \quad \overline{\langle \# \textit{lab}_i \left\{ \textit{lab}_1 = \textit{v}_1, \dots, \textit{lab}_i = \textit{v}_i, \dots \textit{lab}_k = \textit{v}_k \right\}, \, s \rangle \rightarrow \langle \textit{v}_i, s \rangle}$$

$$(\text{record3}) \ \frac{\langle e, s \rangle \Rightarrow \langle e', s' \rangle}{\langle \# \textit{lab} \ e \ , \ s \rangle \Rightarrow \langle \# \textit{lab} \ e' \ , \ s' \rangle}$$

4□ ▶ 4周 ▶ 4 章 ▶ ◆ 章 ● めぬぐ

$$\begin{cases} a \equiv l := 1; 3; b = true \end{cases}, \begin{cases} l \mapsto s \end{cases}$$

$$\langle \{a = s \mid kip; 3, b \mapsto true \}, \{l \mapsto 1\} \rangle$$

$$\begin{cases} a = 3, b = true \end{cases}$$

Mutable Store

Most languages have some kind of mutable store. Two main choices:

1. What we have done in our language is the following:

$$e ::= ... \mid I := e \mid !I \mid x$$

- locations store mutable values: we use the assignment construct to change the value associated to a location
- variables refer to a previously-calculated value: once we associate a value to a variable we can not change it anymore
- explicit dereferencing for locations only

fn
$$x$$
: int \Rightarrow $l := !l + x; ...$

- 2. in other language like C and Java:
 - variables let you refer to a previously calculated value and you can overwrite that value with another one
 - implicit dereferencing. The function of the previous slide becomes in Java:

have some limited type machinery to limit mutability.

In our language we are staying with option 1.

15 / 24

Massimo Merro Data and Mutable Store

Extending the store

In the following we overcome some limitations on references of our language. In particular, we recal that, at the moment:

- We can only store integers value
- We cannot create new locations (they are statically determined)
- We cannot write functions that abstracts on locations, such as

fn
$$l$$
: intref $\Rightarrow !l$

Let us extend syntax and types to overcome these limitations:

References - Typing

$$(\text{ref}) \frac{\Gamma \vdash \underline{e} : \underline{T}}{\Gamma \vdash \text{ref } \underline{e} : \text{ref } \underline{T}}$$

$$(\text{assign}) \frac{\Gamma \vdash \underline{e_1} : \text{ref } \underline{T} \qquad \Gamma \vdash \underline{e_2} : \underline{T}}{\Gamma \vdash (e_1 := e_2) : \text{unit}}$$

(deref)
$$\frac{\Gamma \vdash e : \text{ref } T}{\Gamma \vdash !e : T}$$

$$(loc) \frac{-}{\Gamma \vdash I : ref T} \Gamma(I) = ref T$$

References - Operational semantics

A locations is a value:

Up to now a store s was a finite partial map from \mathbb{L} to \mathbb{Z} . From now on,

$$s: \mathbb{L} \rightharpoonup \mathbb{V}$$
 .

Let us see the rules of the semantics:

$$(\text{ref1}) \frac{-}{\langle \text{ref } v, s \rangle \rightarrow \langle I, s[I \mapsto v] \rangle} (\text{ref1}) \frac{-}{\langle \text{ref } v, s \rangle \rightarrow \langle I, s[I \mapsto v] \rangle} (\text{ref2})$$

$$\frac{\langle e, s \rangle \rightarrow \langle e', s' \rangle}{\langle \text{ref } e, s \rangle \rightarrow \langle \text{ref } e', s' \rangle}$$

Rule (ref1) is for dynamic allocation of memory!

◆□▶ ◆□▶ ◆□▶ ◆□▶ □ り<0</p>

$$(\text{deref1}) \xrightarrow{-} \frac{-}{\langle !I,s\rangle \Rightarrow \langle v,s\rangle} \text{ if } I \in \text{dom}(s) \text{ and } s(I) = v$$

$$(\mathsf{deref2}) \ \frac{\langle e,s \rangle \Rightarrow \langle e',s' \rangle}{\langle !e,s \rangle \Rightarrow \langle !e',s' \rangle}$$

(assign1)
$$\frac{-}{\langle I := v, s \rangle \rightarrow \langle \mathsf{skip}, s[I \mapsto v] \rangle}$$
 if $I \in \mathsf{dom}(s)$

(assign2)
$$\frac{\langle e, s \rangle \rightarrow \langle e', s' \rangle}{\langle I := e, s \rangle \rightarrow \langle I := e', s' \rangle}$$

$$(\text{assign2}) \ \frac{\langle e_1,s\rangle \Rightarrow \langle e_1',s'\rangle}{\langle e_1:=e_2,s\rangle \Rightarrow \langle e_1':=e_2,s'\rangle}$$

◆ロ > ◆ 個 > ◆ 達 > ◆ 達 > り へ ○

19 / 24

Massimo Merro Data and Mutable Store

How things change

- An expression of the form ref v has to do something at runtime: should return a new (fresh) location associated to the value v
- Functions can abstract over locations: fn x : ref $T \Rightarrow !x$
- When program starts they don't have locations: they must create new locations at runtime
- Typing and operational semantics permits locations to contain locations, e.g. ref(ref 3)
- In this semantics the Determinacy property is lost, for a technical reason: new locations are chosen arbitrarly. To recover Determinacy we would need to work "up to alpha-conversion for locations"
- Within our language you are not allowed to do arithmetic on locations, only assignments (it can be done in C but not in Java) or test whether one is bigger than another
- Our store just grows during computation in a real programming language we would need a garbage collector.

Type-checking the store

Before introducing references in our type properties we used the condition

$$dom(\Gamma) \subseteq dom(s)$$

to express that "all locations mentioned in Γ exist in the store s".

Now, with the introduction of references, we need more:

for each $l \in dom(s)$ we need that s(l) is typable.

Notice that s(l) may contain functions and even some other locations...

Type-checking the store - Example 1

Consider

$$e =$$
let $x :$ ref bool $=$ ref $true$ **in while** $!x$ (**do**; $x :=$ (boolean expression))

if the while will exit we will have the following reduction sequence:

$$\begin{split} &\langle e, \{\} \rangle \to^* \\ &\langle e_1, \{l_1 \mapsto \textit{true} \} \rangle^1 \!\!\to^* \\ &\langle e_2, \{l_1 \mapsto \textit{false} \} \rangle \end{split}$$

Thus, now, we can write on variables if they refer to locations!

Massimo Merro Data and Mutable Store 22 / 24

 $^{^1}$ A new location l_1 is created and each occurrence of x is replaced with l_1 = \sim 990

Type-checking the store - Example 2

Consider

$$e =$$
 let $f : \text{ref (int} \rightarrow \text{int)} = \text{ref (fn } z : \text{int} \Rightarrow z)$ **in**

$$f := (\text{fn } z : \text{int} \Rightarrow \text{if } z \geq 1 \text{ then } z + !f(z + -1) \text{ else } 0);$$

$$!f 3$$

that has the following reduction sequence:

$$\langle 6, \{l_1 \mapsto (\mathsf{fn}\ z : \mathsf{int} \Rightarrow \mathsf{if}\ z \geq 1\ \mathsf{then}\ z + ! l_1(z + -1)\ \mathsf{else}\ 0)\} \rangle$$

where:

$$e_1 \equiv l_1 := (fn \ z : int \Rightarrow if \ z \geq 1 \ then \ z + !l_1(z + -1) \ else \ 0); (!l_1 \ 3)$$
 $e_2 \equiv skip; (!l_1 \ 3)$

We have made a recursive function without using the fix, e operator!

Typing properties

Well-typed store

We write $\Gamma \vdash s$ if

- \bigcirc dom(Γ) = dom(s), and
- 2 for all $l \in dom(s)$, if $\Gamma(l) = ref T$ then $\Gamma \vdash s(l) : T$.

Progress (reformulated)

If e is closed and $\Gamma \vdash e : T$ and $\Gamma \vdash s$ then

- either e is a value, or
- there exist e', s' such that $\langle e, s \rangle \rightarrow \langle e', s' \rangle$.

Type Preservation (reformulated)

Pagreement iniziale

If e is closed and $\Gamma \vdash e : T$ and $f \vdash s$ and $f \vdash s$