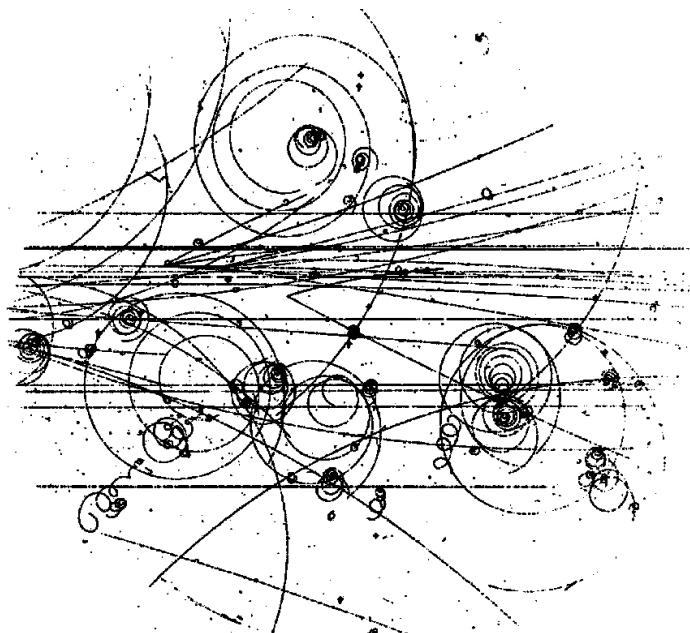


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QUANTUM COMPUTING FOR  
LOGICAL INFERENCE

Subtitle





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Part I  
THEORETICAL BASES



# 1

## QUANTUM MECHANICS

In this chapter we will explore the basics of quantum mechanics in order to understand what we can or cannot do with a quantum computer. The reference architecture for this work is the quantum gate-based quantum computer. In the next pages we will try to justify why the algorithms that run on this hardware need to be reversible.

The chapter starts from the very beginning with the definition of a quantum system and presents the basis to understand the evolution of a quantum system, trying to justify why every evolution needs to be reversible and what exactly reversibility means. At the end of the chapter we will put all of our new knowledge together to derive the famous Schrödinger equation.

With all this work we will be able to imagine the function of a quantum gate and understand the limitations that are imposed when we develop an algorithm for a quantum computer.

### 1.1 EXPERIMENTS

We start our introduction to quantum mechanics with an experiment. Experiments are not only an excuse to introduce the topic, but the essential key of physics, both classical and modern.

Theory and models need to adapt to the experiments, and when the experimental results are in contradiction with the actual model it means that the model needs to be changed to respect the behavior of the world.

#### 1.1.1 Spin

We analyze the experiment of an electron in a magnetic field. An electron is an electrically charged particle; when some electrons are shot in an electric field all of them are influenced by Coulomb's law; if all electrons have the same initial velocity the beam of electrons remains intact.

What happens to the same beam in a magnetic field? Again electrons are deflected by a force, but this time the beam splits. If the initial velocity was parallel to the  $x$  axis and the magnetic field is oriented along the  $z$  axis some electrons are deflected upward, some downward, but the intensity of the deflection is the same for all the electrons. This means that no electrons are deflected less or more than the others and the beam splits exactly into two parts.



Figure 1.1: Experiment's schema

38 Starting from this experiment we can make a measuring instrument: this  
 39 apparatus  $\mathcal{A}$  can be oriented along an arbitrary axis, and in the previous con-  
 40 figuration  $\mathcal{A}$  displays  $+1$  if the electron is deflected upward,  $-1$  otherwise.  
 41 We call this number the spin of the electron.

*Repeatability of measure*  
 42 If we measure the spin of an electron and  $\mathcal{A}$  displays  $+1$ , we can confirm  
 43 the experiment's results by measuring the spin again and we obtain spin  $+1$   
 44 every time. This means that the measurements are repeatable (an essential  
 45 property to construct models and make predictions). We can think, and it  
 46 will be clear later why it is useful, that the first experiment prepares the spin  
 47  $+1$  and the others confirm this result.

48 Spin is a quantum property and all the visual representations such as the  
 49 rotation of the electron around its axis would lead to misrepresentation. Spin  
 50 and rotation, however, have some similarities. Let's analyze what would  
 51 happen if we consider a charged sphere in a magnetic field with the laws of  
 52 classical physics. We consider a sphere rotating around its axis, and this axis  
 53 is parallel to the  $z$  axis. The  $x$  or  $y$  component of the angular momentum is  
 54 zero. Measuring the component along a generic axis, oriented like the versor  
 55  $\hat{n}$ <sup>1</sup>, we would obtain a result proportional to the projection of  $\hat{z}$  on  $\hat{n}$ . This  
 56 projection can be found with the scalar product  $\hat{z} \cdot \hat{n} = \cos \theta^2$ , where  $\theta$  is the  
 57 angle between the axes.

58 Now we consider the quantum version of this phenomenon. Let's start by  
 59 measuring the  $z$  component of the spin and assume that the result is  $\sigma_z = +1$ ;  
 60 if we rotate the apparatus  $\mathcal{A}$  around, for example, the  $x$  axis, we can measure  
 61  $\sigma_x$ . This component would not be zero, and  $\mathcal{A}$  keeps displaying only  $+1$   
 62 or  $-1$ . The single result is not helpful, but we can repeat the experiment,  
 63 namely:

- 64    1. orienting  $\mathcal{A}$  along the  $z$  axis and preparing a spin  $\sigma_z = +1$
- 65    2. rotating  $\mathcal{A}$  around  $x$
- 66    3. measuring the  $x$  component of the spin

67 statistically we would observe the same number of  $\sigma_x = +1$  and  $\sigma_x = -1$ .

68 If we start the experiments with a spin prepared as  $\sigma_z = +1$  and then  
 69 orient  $\mathcal{A}$  along a generic axis  $\hat{n}$  each measure would be binary and unpre-  
 70 dictable, but the mean of the measures tends to  $\hat{z} \cdot \hat{n} = \cos \theta$  where  $\theta$  is the  
 71 angle between  $\hat{z}$  and  $\hat{n}$ . In the most general case we can start with the ap-  
 72 paratus oriented like  $m$  and prepare the spin  $\sigma_m = +1$ , then we rotate  $\mathcal{A}$   
 73 around  $\hat{n}$  without interfering with the spin and measure again; we would  
 74 obtain the statistical result  $\langle \sigma_n \rangle = \hat{m} \cdot \hat{n}$ <sup>3</sup>.

75 The result of a single measure is non deterministic, but we can make pre-  
 76 dictions over the mean values of the measures: the expected values behave  
 77 as the single results of the classic experiment.

*Invasive experiments*  
 78 Considering now a sequence of three measures: starting with  $\mathcal{A}$  oriented  
 79 along  $z$  we prepare the spin  $\sigma_z = +1$ , then we rotate  $\mathcal{A}$  to measure  $\sigma_x$   
 80 obtaining, let's say,  $+1$  (the reasoning is the same if we obtain  $-1$ ); lastly  
 81 returning with  $\mathcal{A}$  parallel to  $z$  we cannot make any prediction on the single

<sup>1</sup> A versor is a vector of magnitude 1 (unit vector), it is normally used to specify a direction.

<sup>2</sup> We can use directly the angle because we are considering versors.

<sup>3</sup> The Dirac bracket  $\langle \rangle$  denotes the statistical mean of a quantity. We call that expectation value.

82 result, the initial configuration (with  $\sigma_z = +1$ ) is lost forever, the only result  
 83 we can predict is that  $\langle \sigma_z \rangle = 0$ .

84 **1.1.2 Qubit**

85 We have introduced the spin referring to electrons in a magnetic field. How-  
 86 ever, we can study the spin without examining the associated electron; we  
 87 have isolated a simple physical system, the simplest we can study.

88 Spin belongs to a class of simple physical systems called *qubit*; in all of  
 89 these systems the result of a measure is binary. We will see that, even if the  
 90 result of a measure is equal to the classical *bit*, the qubit system is described  
 91 in a very different way compared to its classical alter ego.

92 **1.1.3 Boolean Logic**

93 In this paragraph we try to understand why we need two different ways  
 94 to describe a classical and a quantum state space. To do so we analyze the  
 95 results of some logical propositions, both basic and composed via logical  
 96 connectives.

97 Starting with the classical case we consider a bag of colored and numbered  
 98 balls. We can construct the state space by enumerating all states, namely  
 99 taking each ball from the bag and annotating the pair number–color. The  
 100 basic propositions we analyze are:

- 101     • The extracted ball is red.
- 102     • The number on the extracted ball is even.

103 If we consider a particular state we can say if a proposition is true or  
 104 false; we can also define two subsets of balls, the first with all the red balls  
 105 (for this subset the first proposition is true), the second one with the balls  
 106 that show an even number (subset that makes the second proposition true).  
 107 Considering now disjunction and conjunction:

- 108     • The extracted ball is red *or* even.
- 109     • The extracted ball is red *and* even.

110 Again it is simple to associate a truth value to these propositions if we con-  
 111 sider a single state; also we can construct two subsets that satisfy the propo-  
 112 sitions from the subsets we defined before: the new subsets are respectively  
 113 the union and intersection of the old ones.

114 In the quantum world the situation is very different. Let's start from  
 115 propositions that can be verified with a simple experiment:

- 116     • The  $z$  component of the spin is  $+1$ .
- 117     • The  $x$  component of the spin is  $+1$ .

118 If we want to check the first proposition we can orient the apparatus  $\mathcal{A}$  along  
 119  $z$  and make a measurement; the same procedure can be followed for the  
 120 second proposition. The disjunction and conjunction of these propositions  
 121 are:

- 122 • The  $z$  component of the spin is  $+1$  or the  $x$  component is  $+1$ .  
 123 • The  $z$  component of the spin is  $+1$  and the  $x$  component is  $+1$ .

124 Starting with the disjunction. Considering a state prepared, without our  
 125 knowledge, with  $\sigma_z = +1$ . If our first measure is along the  $z$  axis,  $\mathcal{A}$  will  
 126 always display  $+1$  and we can immediately conclude that the proposition  
 127 is true. If we start measuring the  $x$  component, we have a 50% chance that  
 128  $\mathcal{A}$  displays  $+1$  or  $-1$ ; also this measurement destroys the initial state and  
 129 the measure of  $\sigma_z$  becomes non predictable. In this scenario we have a 25%  
 130 chance of deducing that the proposition is false; figure 1.2 shows all the  
 The disjunction is not  
 commutative<sup>g2</sup> possible measurement results in this case. The logical value of a proposition  
 depends on the order in which we perform the measurements.

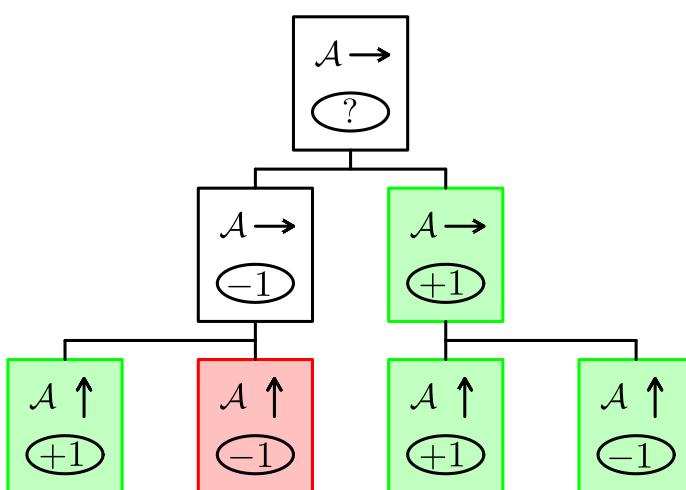


Figure 1.2: The apparatus  $\mathcal{A}$  is represented as a box, the arrow represents the direction along which the apparatus is oriented, the display (ellipse) shows the result of measurement. We have highlighted in green the cases in which we can immediately conclude that the disjunction of the propositions is true

133 The conjunction is even worse: no matter the order of the measurements,  
 134 the second one destroys the result of the first. The disjunction is true if at  
 135 least one of the sub-propositions is true, and if we find a spin component  
 136 that is  $+1$  we can always confirm this result with another measurement. In  
 137 the conjunction the two sub-propositions must be true *at the same time*, but  
 The conjunction loses its  
 meaning<sup>g3</sup> with the second measurement we lose all the knowledge of the first one. We  
 can never conclude that the conjunction is true.

## 140 1.2 QUANTUM STATES

141 In the previous section we have understood that a state space of a quantum  
 142 system cannot be represented in the same way as a classical state space. Now  
 143 we present a formal mathematical model to describe the state space for spin.

144 **Axiom 1.** *The state space for a quantum system is a complex vector space.*

145 This is a physical axiom, which means that it is true because there are a lot  
 146 of experiments that confirm this model and none that shows a contradiction.

147 **1.2.1 Vector Spaces**

148 A vector space is a mathematical and abstract construction that can have  
 149 multiple dimensions (even infinite) and has, as components, integers, real  
 150 or complex numbers, or other elements. An example that shows well how  
 151 abstract a vector space can be is the complex-valued continuous function of  
 152 variable  $x$ ; the set of these functions generates a vector space.

153 In quantum mechanics the state space is described by a vector space hav- *Hilbert space*  
 154 ing as element  $|A\rangle$  called *ket*. The properties of this space are:

- 155 • the sum of two kets is a ket;
- 156 • addition is commutative;
- 157 • addition is associative;
- 158 • existence of identity element for addition;
- 159 • existence of inverse elements for addition;
- 160 • existence of identity element for scalar multiplication;
- 161 • linearity property.

162 **1.2.2 Bra and Ket**

163 An example of ket that we will find often is the column vector of two dimen-  
 164 sions:

$$|A\rangle = \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix}$$

165 where  $\alpha_1$  and  $\alpha_2$  are complex numbers. With this simple example of ket it  
 166 is easy to verify the validity of all previously described properties.

167 If, for complex numbers, exists the complex conjugate, for every ket there  
 168 exists a *bra*. The set of bra generates a dual conjugate space with respect  
 169 to the state space of ket. We denote a bra as  $\langle A|$ . If  $|A\rangle$  is the ket of the  
 170 previous example the corresponding bra is a row vector having as elements  
 171 the complex conjugate of  $|A\rangle$ :

$$\langle A| = (\alpha_1^*, \alpha_2^*).$$

172 Name and symbol associated with elements of Hilbert spaces become clear  
 173 when we define the product *bra-ket*, this is the corresponding scalar product  
 174 of an ordinary vector and is called inner product. Considering bra and ket of  
 175 two dimensions we can evaluate the inner product by adding the products  
 176 of corresponding components:

$$\langle A | B \rangle = (\alpha_1^*, \alpha_2^*) \cdot \begin{pmatrix} \beta_1 \\ \beta_2 \end{pmatrix} = \alpha_1^* \beta_1 + \alpha_2^* \beta_2.$$

177 Having the inner product we can define:

178 **VERSOR** normalized vector  $|A\rangle$  in which  $\langle A | A \rangle = 1$ ;

179 **ORTHOGONAL VECTOR** vectors that have a null inner product:  $\langle A | B \rangle = 0$ .

*Inner product*

180 We are familiar with these concepts in two and three dimensions, the first  
 181 one is a vector of length one, the second is the right angle between two  
 182 vectors. This representation is misleading in our case, we cannot imagine a  
 183 ket like an arrow and the state space is completely abstract even if there are  
 184 properties and operations in common between this space and the 3D space  
 185 that we are familiar with.

186 We have lost the geometric interpretation, and it seems that we have de-  
 187 fined two completely abstract and useless concepts, we will see next that  
 188 these are key concepts in the description of quantum systems and have a  
 189 precise and important physical meaning.

*Orthonormal basis*  
 190 By having a vector space is possible to build a set of orthogonal versors  
 191 that generates all vectors in the given space. This set is called orthonormal  
 192 basis and the cardinality of the set is equal to the dimension of the space.

193 Formally having a basis  $\mathcal{B} = \{|i_1\rangle, |i_2\rangle, \dots, |i_N\rangle\}$  of a space with N di-  
 194 mensions, we can write a generic vector in that space as

$$|A\rangle = \sum_{n=1}^N \alpha_n |i_n\rangle = \sum_{n=1}^N |i_n\rangle \langle i_n | A \rangle \quad (1.1)$$

195 this is the linear combination of the basis versors; where kets  $|i_n\rangle$  are the  
 196 versors in the basis and  $\alpha_n$  are the vector components. We can obtain those  
 197 components with the inner product between the vector  $|A\rangle$  and the basis  
 198 versors:

$$\alpha_i = \langle i | A \rangle. \quad (1.2)$$

### 199 1.2.3 Hidden variables

200 In a classical system we can measure all the variables associated to a physi-  
 201 cal system and then make a deterministic prediction of the evolution of that  
 202 system. From the experiments described in the first section we have learned  
 203 that a quantum system is not completely predictable even if we can make  
 204 all the measurements that we want<sup>4</sup>. We can ask ourselves if our measure-  
 205 ments aren't enough, if there are other variables that can make the prediction  
 206 completely deterministic. About that topic we don't have any experimental  
 207 proof, the opinion of physicists is divided in two main visions:

208 **OPINION ONE** : there are hidden variables and, if we manage to measure  
 209 them, the prediction of results become deterministic. These variables  
 210 can be

- 211 • very difficult to measure
- 212 • unknowable to us because also we are constituted by quantum  
 213 material.

214 **OPINION TWO** : hidden variables don't exist, we already know all the in-  
 215 formation about a given system and quantum mechanics is intrinsically  
 216 non deterministic.

*No hidden variables*  
 217 Probably no experiment could determine which vision is correct, but this  
 218 doubt doesn't worsen our comprehension of the physical world. We can

---

<sup>4</sup> We remember that a measure along one axis destroys our knowledge about the result along another axis.

simply choose one vision and build our model coherently. We choose the simpler one, without hidden variables, all that we have to model are the quantities that we can measure and the measurements allow us to know all the information about a given system.

Even if we have lost complete determinism, knowing the state of a system gives us some information about the system and the successive measurements. In the next section we will see what we can deduce about spin.

#### 1.2.4 Spin states

Let's start enumerating all possible spin states along the coordinate axes. If we rotate the apparatus  $\mathcal{A}$  around  $z$ , we can obtain  $\sigma_z = \pm 1$ ; we call these states *up* and *down* and label them with kets  $|u\rangle$  and  $|d\rangle$ . Orienting  $\mathcal{A}$  along  $x$ , we obtain *left*  $|l\rangle$  and *right*  $|r\rangle$ . Lastly, along the  $y$  axis, we measure the states *in*  $|i\rangle$  and *out*  $|o\rangle$ .

The hypothesis that there aren't hidden variables allows us to represent the space state in a simple way: each spin state can be represented as a ket in a two-dimensional complex vector space.

To express a vector we need a basis; we choose  $\mathcal{B} = \{|u\rangle, |d\rangle\}$ <sup>5</sup> and try to obtain all states as a linear combination (*superposition*) of the basis vectors. A generic state  $|\mathcal{A}\rangle$  can be expressed as:

$$|\mathcal{A}\rangle = \alpha_u |u\rangle + \alpha_d |d\rangle$$

where  $\alpha_u$  and  $\alpha_d$  are the components of  $|\mathcal{A}\rangle$  along  $|u\rangle$  and  $|d\rangle$ , and can be obtained by projection:  $\alpha_u = \langle u | \mathcal{A} \rangle$  and  $\alpha_d = \langle d | \mathcal{A} \rangle$  (as in equation 1.2).

$|\mathcal{A}\rangle$  components are complex numbers and their physical meaning is: having a spin prepared in the state  $|\mathcal{A}\rangle = \alpha_u |u\rangle + \alpha_d |d\rangle$ <sup>6</sup>;  $\alpha_u^* \alpha_u$  is the probability of measuring  $\sigma_z = +1$ , while  $\alpha_d^* \alpha_d$  is the probability that a measurement of  $\sigma_z$  will yield  $-1$ . Formally we can denote the probability of measuring  $+1$  and  $-1$  as  $P_u$  and  $P_d$  respectively and write:

$$\begin{aligned} P_u &= \langle \mathcal{A} | u \rangle \langle u | \mathcal{A} \rangle \\ P_d &= \langle \mathcal{A} | d \rangle \langle d | \mathcal{A} \rangle. \end{aligned} \quad (1.3)$$

*Spin space states have two dimensions*

*Probability amplitudes*

Components  $\alpha_u$  and  $\alpha_d$  are called probability amplitudes, and their physical meaning is given by the square of the magnitude. This is the actual probability, and we want the sum of all probabilities to be one. This is equivalent to requiring that  $|\mathcal{A}\rangle$  is normalized:  $\langle \mathcal{A} | \mathcal{A} \rangle = 1$ .

Now we will show why  $|u\rangle$  and  $|d\rangle$  have to be orthogonal:

$$\begin{aligned} \langle u | d \rangle &= 0 \\ \langle d | u \rangle &= 0. \end{aligned}$$

We try to give an idea with a *reductio ad absurdum*: if  $|u\rangle$  and  $|d\rangle$  were not orthogonal, the projection of one on the other would not be null. This means

<sup>5</sup> We will show that these vectors are in fact orthogonal and why they need to be.

<sup>6</sup> From now on we use "prepared" or "measured" as synonyms: every measurement is invasive and can change the spin state, so no matter what was the previous state, after a measurement the state is the one we have measured.

252 that if we orient  $\mathcal{A}$  along  $z$  and measure  $\sigma_z = +1 = |\mathbf{u}\rangle$ , we would have  
 253  $\alpha_d = \langle \mathbf{d} | \mathbf{u} \rangle \neq 0$ , which is a contradiction to experimental results. If  $\alpha_d \neq 0$ ,  
 254 then  $\alpha_d^* \alpha_d > 0$ ; we started with a state prepared as  $\sigma_z = +1$  and ended with  
 255 a nonzero probability of measuring  $\sigma_z = -1$ : this is absurd.

*Orthogonal states are mutually exclusive*  
 256 We can extend the reasoning to a general and key concept of quantum mechanics: two orthogonal states are distinct and mutually exclusive. If the system is in the first state, the probability of finding it in the second is zero.

257 Now we are ready to express spin states as linear combinations of the basis  
 258 vectors  $\mathcal{B} = \{|\mathbf{u}\rangle, |\mathbf{d}\rangle\}$ . The representation of the basis vectors themselves is  
 259 naturally easy:

$$|\mathbf{u}\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad (1.4)$$

$$|\mathbf{d}\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}. \quad (1.5)$$

260 To construct vector *right*, let's consider a spin prepared in the state  $|\mathbf{r}\rangle$ . If  
 261 we measure  $\sigma_z$ , we have a 50% chance of obtaining +1 (and 50% for -1); this  
 262 means that for  $|\mathbf{r}\rangle$  we have  $\alpha_u^* \alpha_u = \alpha_d^* \alpha_d = 1/2$ . A vector that satisfies this  
 263 constraint is:

$$|\mathbf{r}\rangle = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix}. \quad (1.6)$$

264 The reasoning is the same for state *left*; we also add the constraint that a  
 265 state *left* cannot be *right* and vice versa:  $\langle \mathbf{r} | \mathbf{l} \rangle = \langle \mathbf{l} | \mathbf{r} \rangle = 0$ . We can express  
 266 *left* as:

$$|\mathbf{l}\rangle = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{2}} \end{pmatrix}. \quad (1.7)$$

267 Lastly, the constraints to find explicit forms for *in* and *out* are:

- 268 • states must be orthogonal:  $\langle \mathbf{i} | \mathbf{o} \rangle = \langle \mathbf{o} | \mathbf{i} \rangle = 0$ ;
- 269 • if we have a spin prepared as *in* or *out*:
  - 270 – equiprobability of measuring  $\sigma_z = +1$  and  $\sigma_z = -1$ ;
  - 271 – equiprobability of measuring  $\sigma_x = +1$  and  $\sigma_x = -1$ .

272 Two vectors that satisfy these constraints are:

$$|\mathbf{i}\rangle = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{i}{\sqrt{2}} \end{pmatrix} \quad (1.8)$$

$$|\mathbf{o}\rangle = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ -\frac{i}{\sqrt{2}} \end{pmatrix}. \quad (1.9)$$

273 This last derivation shows why it is important that the state space is complex:  
 274 if we only accepted real components for our vectors, the system of equations  
 275 we have implicitly defined would not have any solution<sup>7</sup>.

<sup>7</sup> To avoid confusion, we point out that  $|\mathbf{i}\rangle$  is the ket of state *in*. *i*, instead, is the imaginary unit.

## 278 1.3 OBSERVABLES

279 We have learned that in classical mechanics we can trust our intuition, and  
 280 we can do one or more measurements to know exactly the state of a system:  
 281 a measurement does not perturb the state, which is the same before, during,  
 282 and after the measurement.

283 In quantum mechanics the situation is more complex; our intuition is mis-  
 284 leading, and we need mathematical tools to describe what we can measure:  
 285 the observables. These tools are mathematical operators called *machines* ( $\mathbf{M}$ )  
 286 and have as both input and output state vectors.

287 **Axiom 2.** *Machines associated with observables are described by linear operators.*

288 We will show that machines are Hermitian operators, so let's start defining  
 289 these operators and describing their properties<sup>8</sup>.

## 290 1.3.1 Hermitian operator

291 Formally, machines modify a state vector in this way:

$$\mathbf{M}|\mathbf{A}\rangle = |\mathbf{B}\rangle$$

292 The linearity of machines implies that:

$$\mathbf{M}|\mathbf{A}\rangle = |\mathbf{B}\rangle \Rightarrow \mathbf{M}z|\mathbf{A}\rangle = z|\mathbf{B}\rangle$$

293 and:

$$\mathbf{M}(|\mathbf{A}\rangle + |\mathbf{B}\rangle) = \mathbf{M}|\mathbf{A}\rangle + \mathbf{M}|\mathbf{B}\rangle.$$

294 If we choose a basis to represent machines and state vectors, we can write  
 295 explicitly the linear operator as an  $N \times N$  matrix, where  $N$  is the dimension  
 296 of the vector space of the state vectors. A generic machine that transforms  
 297 spins can be expressed as:

$$\mathbf{M} = \begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{pmatrix}.$$

298 When we fix a basis, we are forced to express all state vectors and opera-  
 299 tors in that basis, but now we have a set of rules to define the application of  
 300 the operator to a state vector, i.e. the matrix multiplication:

$$\mathbf{M}|\mathbf{A}\rangle = \begin{pmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{pmatrix} \times \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix} = \begin{pmatrix} \beta_1 \\ \beta_2 \end{pmatrix} = |\mathbf{B}\rangle$$

301 When we consider a linear operator, we can search for eigenvalues and  
 302 eigenvectors (if they exist). Eigenvectors are vectors that don't change their  
 303 direction when multiplied by the operator; their magnitude is scaled by a  
 304 constant factor called the eigenvalue. Formally:

*Eigenvalues and eigenvectors*

$$\mathbf{M}|\lambda\rangle = \lambda|\lambda\rangle$$

305 where  $|\lambda\rangle$  is the eigenvector and  $\lambda$  the eigenvalue.

---

8 The reason why we need this kind of operator will be clear in 1.3.2.

306 Considering the transformation between ket  $|A\rangle$  and  $|B\rangle$ :  $\mathbf{M}|A\rangle = |B\rangle$ ,  
 307 taking into account the dual space of bras and searching for a machine that  
 308 transforms the bra  $\langle A|$  into  $\langle B|$ , we cannot simply use the matrix having as  
 309 elements the complex conjugate of  $\mathbf{M}$ ; the correct operator is the *Hermitian*  
 310 *conjugate* of  $\mathbf{M}$ , which is the transpose of the matrix having as elements  
 311 the complex conjugates of  $\mathbf{M}$ . We denote the Hermitian conjugate with the  
 312 dagger  $\dagger$ :

$$\mathbf{M}^\dagger = [\mathbf{M}^*]^T = [\mathbf{M}^T]^*.$$

313 We can now write:

$$\mathbf{M}|A\rangle = |B\rangle \Rightarrow \langle A|\mathbf{M}^\dagger = \langle B|.$$

314 An operator that is equal to its Hermitian conjugate is called a *Hermitian*  
 315 *operator*. Formally,  $\mathbf{M}$  is Hermitian if and only if

$$\mathbf{M} = \mathbf{M}^\dagger.$$

316 Hermitian operators have some important properties:

- 317 • all eigenvalues are real;
- 318 • eigenvectors form a *complete set*: all vectors obtained with the applica-  
 319 tion of the operator can be expressed as a linear combination of eigen-  
 320 vectors;
- 321 • if  $\lambda_1$  and  $\lambda_2$  are different eigenvalues, the associated eigenvectors are  
 322 orthogonal;
- 323 • if two eigenvalues are equal (*degeneracy*), it is always possible to find  
 324 two associated eigenvectors that are orthogonal.

Fundamental theorems 325 The last three properties can be summed up in the following way:

326 **Theorem 1.** *The eigenvectors of a Hermitian operator form an orthonormal basis.*

### 327 1.3.2 Principles of quantum mechanics

328 Let's introduce the first four principles of quantum mechanics, the ones  
 329 about observables<sup>9</sup>.

330 **Principles 1.** *Observables in quantum mechanics are described by linear operators*  
 331  $\mathbf{L}$ .

332  $\mathbf{L}$  must also be a Hermitian operator: we can consider this proposition an  
 333 axiom itself or deduce it from the other principles.

334 **Principles 2.** *The results of a measurement can only be the eigenvalues associated*  
 335 *with the observable operator.*

336 Calling  $\lambda_i$  a generic eigenvalue and  $|\lambda_i\rangle$  the associated eigenvector, if the  
 337 system is in the *eigenstate*  $|\lambda_i\rangle$ , the measurement always returns  $\lambda_i$ . Since  
 338 all  $\lambda_i$  must be physical quantities they must be real, a peculiar property of  
 339 Hermitian operators.

---

<sup>9</sup> The fifth, and last one, concerns the temporal evolution. It will be discussed later on (1.4).

340 **Principles 3.** *Unambiguously distinguishable states are represented by orthogonal  
341 vectors.*

342 Distinguishable states can be separated without ambiguity by a measure-  
343 ment. For example, if we want to distinguish between  $|u\rangle$  and  $|d\rangle$ , we mea-  
344 sure  $\sigma_z$ : *up* and *down* are distinct. We cannot, instead, say if a certain system  
345 is in state *up* or *right*, because even if the system is in the state  $|u\rangle$  we can  
346 still measure  $\sigma_x$  and find (with 50% chance) that the system is in state  $|r\rangle$ .

347 The inner product is a measure of how much two states are indistin- *Overlap*  
348 guishable; for that reason it is also called overlap. Two states are physically dis-  
349 tinct if the overlap is zero.

$$\begin{aligned}\langle u | d \rangle &= 0 \\ \langle u | r \rangle &\neq 0\end{aligned}$$

350 **Principles 4.** *If the system is in state  $|A\rangle$  and we measure the observable  $L$ , the  
351 probability of obtaining  $\lambda_i$  is:*

$$P(\lambda_i) = \langle A | \lambda_i \rangle \langle \lambda_i | A \rangle .$$

352 where  $\lambda_i$  is a generic eigenvalue of  $L$  and  $\langle \lambda_i |$ ,  $|\lambda_i \rangle$  are the bra and ket asso-  
353 ciated with that eigenvalue (eigenvector of  $\lambda_i$ ).

### 354 1.3.3 Spin Operator

355 The principles tell us what properties a machine must have to represent an  
356 observable. Let's construct the spin operator  $\sigma$ .

357 Until now, we have measured spins with the apparatus  $\mathcal{A}$ , orienting  $\mathcal{A}$   
358 along the component of our interest.  $\sigma$  is a mathematical tool that allows  
359 us to make predictions about the result of a measurement with  $\mathcal{A}$  (fourth  
360 principle); as we can rotate  $\mathcal{A}$ , we must also rotate  $\sigma$  (mathematically). For  
361 this spatial property,  $\sigma$  is called a *3-vector operator*.

362 **OPERATOR  $\sigma_z$ :** Let's start with the simplest operator<sup>10</sup>. The second prin-  
363 ciple says that all eigenvectors of  $\sigma_z$  are  $|u\rangle$  and  $|d\rangle$ , with associated eigen-  
364 values +1 and -1. We can write this assertion as equations:

$$\begin{aligned}\sigma_z |u\rangle &= |u\rangle \\ \sigma_z |d\rangle &= -|d\rangle .\end{aligned}$$

365 In matrix form:

$$\begin{aligned}\begin{pmatrix} (\sigma_z)_{11} & (\sigma_z)_{12} \\ (\sigma_z)_{21} & (\sigma_z)_{22} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} &= \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \begin{pmatrix} (\sigma_z)_{11} & (\sigma_z)_{12} \\ (\sigma_z)_{21} & (\sigma_z)_{22} \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} &= -\begin{pmatrix} 0 \\ 1 \end{pmatrix}.\end{aligned}$$

366 The solution of this system is<sup>11</sup>:

$$\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

---

<sup>10</sup> This is because we have chosen  $\mathcal{B} = \{|u\rangle, |d\rangle\}$  as the basis.

<sup>11</sup> It is easy to verify that this operator is also linear.

367 **OPERATOR  $\sigma_x$ :** With the same reasoning, we can construct the operator 368 along the  $x$  axis. We have already deduced the representations of *right* and 369 *left* in equations [1.6](#) and [1.7 on page 10](#). The equations that allow us to 370 construct  $\sigma_x$  are:

$$\begin{pmatrix} (\sigma_x)_{11} & (\sigma_x)_{12} \\ (\sigma_x)_{21} & (\sigma_x)_{22} \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix} = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix}$$

$$\begin{pmatrix} (\sigma_x)_{11} & (\sigma_x)_{12} \\ (\sigma_x)_{21} & (\sigma_x)_{22} \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{-1}{\sqrt{2}} \end{pmatrix} = - \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{-1}{\sqrt{2}} \end{pmatrix}.$$

371 The solution of this system is:

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

372 **OPERATOR  $\sigma_y$ :** The last direction is along the  $y$  axis. Considering the 373 expressions for *in* and *out* given in equations [1.8](#) and [1.9 on page 10](#), and 374 following the second principle, we can write:

$$\begin{aligned} \sigma_y |i\rangle &= |i\rangle \\ \sigma_y |o\rangle &= -|o\rangle. \end{aligned}$$

375 We can rewrite this in matrix form, and the solution we would obtain is:

$$\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}.$$

*Pauli matrices*366 We have obtained a matrix representation of the three spin operators  $\sigma_z$ , 377  $\sigma_x$ , and  $\sigma_y$ :

$$\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}. \quad (1.10)$$

378 These famous and important matrices are named after their inventor, Wolfgang 379 Ernst Pauli.

### 380 1.3.4 Theory and experiments

381 Thanks to the operators  $\sigma_z$ ,  $\sigma_x$ , and  $\sigma_y$ , if we know the state vector, we can 382 statistically predict the result of a measurement of the spin along one of 383 the three coordinate axes. What can we say about a measurement taken by 384 orienting the apparatus  $\mathcal{A}$  along a generic direction?

385 Considering  $\mathcal{A}$  oriented along the unit vector  $\hat{n}$ , if  $\sigma$  behaves as a 3-vector, 386 in order to obtain  $\sigma_n$  we only need the inner product:

$$\sigma_n = \vec{\sigma} \cdot \hat{n}$$

387 Expanding the components:

$$\sigma_n = \sigma_x n_x + \sigma_y n_y + \sigma_z n_z.$$

388 If we choose the basis  $\mathcal{B} = \{|u\rangle, |d\rangle\}$ , we can use the Pauli matrices to  
 389 express in matrix form the expression for  $\sigma_n$ :

$$\sigma_n = n_x \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + n_y \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} + n_z \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} n_z & n_x - in_y \\ n_x + in_y & -n_z \end{pmatrix}.$$

390 Given a direction (expressed by the unit vector  $\hat{n}$ ), we can construct the  
 391 matrix we have now made explicit, and then, after finding eigenvalues and  
 392 eigenvectors, we can know all possible results of a measurement and ob-  
 393 tain the probability associated with each result. For example, considering a  
 394 direction in the  $x$ - $z$  plane, the operator  $\sigma_n$  would be:

$$\sigma_n = \begin{pmatrix} \cos \theta & \sin \theta \\ \sin \theta & -\cos \theta \end{pmatrix}$$

395 where  $\theta$  is the angle between  $\hat{n}$  and  $z$ . For this matrix, the eigenvalues and  
 396 eigenvectors are:

$$\lambda_1 = 1 \quad |\lambda_1\rangle = \begin{pmatrix} \cos \frac{\theta}{2} \\ \sin \frac{\theta}{2} \end{pmatrix}$$

397 and

$$\lambda_2 = -1 \quad |\lambda_2\rangle = \begin{pmatrix} -\sin \frac{\theta}{2} \\ \cos \frac{\theta}{2} \end{pmatrix}.$$

398 It should be pointed out that the theory is in agreement with experimen-  
 399 tal results<sup>12</sup>. Eigenvalues are  $+1$  and  $-1$ , exactly the only results that the  
 400 apparatus  $\mathcal{A}$  can retrieve. The probability of obtaining a certain result can  
 401 be evaluated as:

$$\begin{aligned} P(+1) &= |\langle u | \lambda_1 \rangle|^2 = \cos^2 \frac{\theta}{2} \\ P(-1) &= |\langle u | \lambda_2 \rangle|^2 = \sin^2 \frac{\theta}{2} \end{aligned}$$

402 Lastly, let's calculate the average value for the measurement  $\sigma_n$ . From the  
 403 first experiment we have seen in 1.1.1, we already know that the result of  
 404 repeated measurements with  $\mathcal{A}$  is  $\cos \theta$ . Let's verify if our model is coherent  
 405 with the world.

*Expectation value*

406 Expected values are obtained as:

$$\langle L \rangle = \sum_i \lambda_i P(\lambda_i)$$

407 Specifically:

$$\langle \sigma_n \rangle = (+1) \cos^2 \frac{\theta}{2} + (-1) \sin^2 \frac{\theta}{2} = \cos \theta.$$

408 This is in complete agreement with the experimental results.

409 Before going on, we present, without proof, a useful theorem about expec-  
 410 tation values:

411 **Theorem 2.** *To know the expectation value of an observable, we can simply place the  
 412 operator associated with the observable between the bra and ket of the state vector:*

$$\langle L \rangle = \langle A | L | A \rangle \tag{1.11}$$

413 where  $L$  is an observable,  $|A\rangle$  is a state vector, and  $\langle A|$  is the corresponding  
 414 bra.

---

<sup>12</sup> If not, we must abandon this model and build another one.

415 **1.3.5 Operator and Measure**

416 Operators allow us to know the probability of measuring a certain spin given  
 417 the direction of the measurement and the state vector. This probability is  
 418 expressed by the state vector that we obtain when we apply the operator  $\sigma$   
 419 to the initial state.

420 It is important not to confuse the measurement act with the application  
 421 of a machine that represents the observables. The spin state after the mea-  
 422 surement is not the same as the one we obtain after the application of the  
 423 operator. The operator is only an abstract mathematical construct that allows  
 424 us to make statistical predictions about results, but doesn't have physical im-  
 425 plications.

426 Let's consider an example to clarify the previous assertion. Having a spin  
 427 prepared in the *up* state, its state vector is  $|u\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ . If we apply the operator  
 428  $\sigma_z$ , we would obtain again  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$ , and if we measure the spin with  $\mathcal{A}$  oriented  
 429 along  $z$ , it will always display +1, and we conclude that the state after the  
 430 measurement is  $|u\rangle$ .

431 Consider now a spin prepared *right*, i.e.  $|r\rangle = 1/\sqrt{2}|u\rangle + 1/\sqrt{2}|d\rangle$ . Applying  
 432 again the operator  $\sigma_z$ , the new state vector is  $1/\sqrt{2}|u\rangle - 1/\sqrt{2}|d\rangle$ . This  
 433 vector tells us the probability of measuring  $\sigma_z = +1$  (50%), but it is not the  
 434 spin state after the measurement. Using the apparatus  $\mathcal{A}$ , we could measure:

- 435 • +1: the final state will be *up*;
- 436 • -1: the final state will be *down*.

437 No matter the result of the measurement, the final state will be different  
 438 from the one we obtained by applying the operator.

439 **1.4 TEMPORAL EVOLUTION**

440 Let's explore the laws that describe the temporal evolution of a quantum  
 441 system. In particular, we will see how the state vector can evolve over time.

442 **1.4.1 Unitarity**

443 In classical mechanics we are used to having a motion law that links differ-  
 444 ent states of our system deterministically; this means being able to know  
 445 precisely the following state given the previous one. A good law, however,  
 446 doesn't allow us only to know the future, but also the past states that brought  
 447 the system to the current state<sup>13</sup>.

Reversibility<sup>13</sup> 448 In other words, we want physical transformations to be reversible. This  
 449 requirement is so important that we call this property the *minus first law*,  
 450 because it underlies everything else. If we think about the system states as  
 451 nodes in an oriented graph, reversibility imposes that each node has exactly  
 452 one input edge and one output edge. This fundamental law is also true

---

<sup>13</sup> For example, if we observe a ball in free fall touching the floor with a certain speed and at a certain time, we can know exactly when and from what height the ball started its fall.

453 in quantum mechanics and is called *unitarity*<sup>14</sup>, and it assures us that no  
454 information is lost. The unitarity law can be expressed as:

455 **Axiom 3.** *If two identical isolated systems are in different states, they stay in differ-  
456 ent states, and they were in different states in the past.*

457 **1.4.2 Time-Development Operator**

458 Considering a system in the state  $|\Psi(t)\rangle$ , where the  $t$  indicates that the state  
459 vector evolves over time, quantum motion equations allow us to obtain the  
460 state at time  $t$  given the initial state:

$$|\Psi(t)\rangle = \mathbf{U}(t) |\Psi(0)\rangle. \quad (1.12)$$

461 Thanks to the operator  $\mathbf{U}(t)$  we can know exactly the state vector  $|\Psi(t)\rangle$  at *Determinism*  
462 time  $t$ , given  $|\Psi(0)\rangle$ . This assertion can be rephrased as:

463 **Axiom 4.** *The temporal evolution of the state vector is deterministic.*

464 Quantum mechanics is still non-deterministic, because knowing the state  
465 vector doesn't mean knowing the result of a measurement.

466 In order for  $\mathbf{U}(t)$  to behave as we want, it has to:

- 467 • be a linear operator;
- 468 • respect reversibility.

469 The second constraint allows us to define the mathematical properties of  
470  $\mathbf{U}(t)$ . Considering two initially different states  $|\Psi(0)\rangle$  and  $|\Phi(0)\rangle$ , since there  
471 exists an experiment capable of certainly distinguishing the states,  $|\Psi(0)\rangle$   
472 and  $|\Phi(0)\rangle$  must be orthogonal:

$$\langle\Psi(0)|\Phi(0)\rangle = 0.$$

473 The minus first law assures that during the entire temporal evolution of the  
474 two systems, the state vectors  $|\Psi(t)\rangle$  and  $|\Phi(t)\rangle$  will continue to be distin-  
475 guishable (orthogonal):

*Conservation of Distinctions*

$$\langle\Psi(t)|\Phi(t)\rangle = 0 \quad \forall t \geq 0.$$

476 If we rewrite this equation using formula 1.12, we obtain:

$$\langle\Psi(0)|\mathbf{U}^\dagger(t)\mathbf{U}(t)|\Phi(0)\rangle = 0.$$

477 From this we can see that  $\mathbf{U}^\dagger(t)\mathbf{U}(t)$  must behave as the identity operator,  
478 that is:

$$\mathbf{U}^\dagger(t)\mathbf{U}(t) = \mathbf{I}. \quad (1.13)$$

479 An operator that behaves as  $\mathbf{U}$  is *unitary*.

480 **Principles 5.** *The temporal evolution of state vectors is unitary.*

481 From the unitarity of  $\mathbf{U}$  descends the *conservation of overlaps*: the overlap  
482 between two states (their inner product), subjected to the same temporal-  
483 development operator, is preserved over time.

---

<sup>14</sup> We will see in the next paragraph the reason for this name

## 484 1.4.3 The Hamiltonian

485 Often, in classical physics, a motion law is the result of a differential equation  
 486 where we have exchanged a finite time interval with an infinite number of  
 487 infinitesimal intervals.

*Continuity* 488 In quantum mechanics we can follow the same path and consider time  
 489 intervals  $\epsilon$  close to zero. In this scenario, after an  $\epsilon$  amount of time, the state  
 490 vector will change slightly and “smoothly”, and the operator  $\mathbf{U}(\epsilon)$  will be  
 491 very similar to the identity. We can rewrite  $\mathbf{U}(\epsilon)$  in order to highlight the  
 492 difference with the identity  $\mathbf{I}$  as:

$$\mathbf{U}(\epsilon) = \mathbf{I} - i\epsilon\mathbf{H}. \quad (1.14)$$

493 For now,  $i$  is a mere scale factor that later will help us recognize in  $\mathbf{H}$  the  
 494 quantum version of the classical Hamiltonian.

495 We can now express the infinitesimal evolution of a quantum system by  
 496 combining equations 1.12 and 1.14:

$$|\Psi(\epsilon)\rangle = |\Psi(0)\rangle - i\epsilon\mathbf{H}|\Psi(0)\rangle.$$

497 Bringing to the left the time interval:

$$\frac{|\Psi(\epsilon)\rangle - |\Psi(0)\rangle}{\epsilon} = -i\mathbf{H}|\Psi(0)\rangle.$$

498 Now considering the limit for  $\epsilon \rightarrow 0$ , we can see in the left member the time  
 499 derivative of the state vector:

$$\frac{\partial |\Psi(t)\rangle}{\partial t} = -i\mathbf{H}|\Psi(0)\rangle.$$

500 Before using  $\mathbf{H}$  as the quantum Hamiltonian, we have to verify the di-  
 501 mensional correctness. As in classical mechanics, the Hamiltonian is the  
 502 mathematical construct that represents the energy. In our formula, however,  
 503 ignoring the state vector, we have the inverse of time on the left and the  
 504 energy on the right. To resolve this problem, let's introduce an important  
 505 physical constant: the reduced Planck constant,  $\hbar$ .

506 The equation becomes:

$$\hbar \frac{\partial |\Psi\rangle}{\partial t} = -i\mathbf{H}|\Psi\rangle \quad \text{or} \quad \frac{\partial |\Psi\rangle}{\partial t} = \frac{-i\mathbf{H}|\Psi\rangle}{\hbar}. \quad (1.15)$$

*Time-dependent Schrödinger equation*

507 The constant  $\hbar$  has units of  $\text{kg} \cdot \text{m}^2/\text{s}$  and resolves the incompatibility between  
 508 the two members. This equation is fundamental and is called the *generalized*  
 509 *Schrödinger equation*, or time-dependent Schrödinger equation. If we know  
 510 the Hamiltonian of an undisturbed system, we can know the evolution of  
 511 the state vector.

512 If  $\mathbf{H}$  represents the energy of the system, we should be able to measure it,  
 513 so  $\mathbf{H}$  has to be an observable. If  $\mathbf{H}$  is an observable, it must be a Hermitian  
 514 operator; let's verify it. Starting from 1.13 and substituting  $\mathbf{U}$  with 1.14, we  
 515 obtain:

$$(\mathbf{I} + i\epsilon\mathbf{H}^\dagger)(\mathbf{I} - i\epsilon\mathbf{H}) = \mathbf{I}.$$

516 Expanding to first order in  $\epsilon$ , we find:

$$\mathbf{H}^\dagger - \mathbf{H} = 0 \Rightarrow \mathbf{H}^\dagger = \mathbf{H}.$$

517 We have concluded that  $\mathbf{H}$  is an Hermitian operator that represents an  
 518 observable: the energy of the system. Eigenvalues of  $\mathbf{H}$  are the results of all  
 519 possible direct measurements of the energy of the system.

*Quantum Hamiltonian*

#### 520 1.4.4 Commutators

521 In a system that evolves with time, we expect that the expectation values for  
 522 a certain observable  $\mathbf{L}$  will also change. Thanks to equation 1.11 on page 15,  
 523 we can write explicitly the time dependence of expectation values:

$$\langle \mathbf{L} \rangle = \langle \Psi(t) | \mathbf{L} | \Psi(t) \rangle.$$

524 The time derivative<sup>15</sup> is:

$$\frac{d}{dt} \langle \Psi(t) | \mathbf{L} | \Psi(t) \rangle = \langle \dot{\Psi}(t) | \mathbf{L} | \Psi(t) \rangle + \langle \Psi(t) | \mathbf{L} | \dot{\Psi}(t) \rangle.$$

525 Substituting bra and ket with the time-dependent Schrödinger equation 1.15  
 526 (namely  $|\dot{\Psi}(t)\rangle = -\frac{i}{\hbar} \mathbf{H} |\Psi(t)\rangle$ ), we obtain:

$$\frac{d}{dt} \langle \Psi(t) | \mathbf{L} | \Psi(t) \rangle = \frac{i}{\hbar} \langle \Psi(t) | \mathbf{H}\mathbf{L} | \Psi(t) \rangle - \frac{i}{\hbar} \langle \Psi(t) | \mathbf{L}\mathbf{H} | \Psi(t) \rangle.$$

527 That can be rewritten as:

$$\frac{d}{dt} \langle \Psi(t) | \mathbf{L} | \Psi(t) \rangle = \frac{i}{\hbar} \langle \Psi(t) | [\mathbf{H}, \mathbf{L}] | \Psi(t) \rangle.$$

528 The quantity  $\mathbf{HL} - \mathbf{LH}$  is called the *commutator*, and since, in general, the  
 529 product between operators (matrices) is not commutative, the commutator  
 530 is not zero (when it is zero, we say that  $\mathbf{H}$  and  $\mathbf{L}$  commute). Commutators  
 531 are important in physics, and the commutator between two operators, in this  
 532 case  $\mathbf{H}$  and  $\mathbf{L}$ , is denoted by:

$$\mathbf{HL} - \mathbf{LH} = [\mathbf{H}, \mathbf{L}].$$

533 With the commutator we can express concisely the derivative of the expec-  
 534 tation value for the observable  $\mathbf{L}$ :

$$\frac{d}{dt} \langle \mathbf{L} \rangle = \frac{i}{\hbar} \langle [\mathbf{H}, \mathbf{L}] \rangle \quad (1.16)$$

535 or equivalently:

$$\frac{d}{dt} \langle \mathbf{L} \rangle = -\frac{i}{\hbar} \langle [\mathbf{L}, \mathbf{H}] \rangle. \quad (1.17)$$

536 This equation links variations of the expectation values of an observable  
 537 ( $\mathbf{L}$ ) to the expectation values of another physical observable  $(-\frac{i}{\hbar} [\mathbf{L}, \mathbf{H}])$ <sup>16</sup>.

<sup>15</sup> Derivative of a product:  $\mathbf{L}$  doesn't depend on time and the dot denotes the time derivative (Newton notation).

<sup>16</sup> It is possible to demonstrate that if  $\mathbf{L}$  and  $\mathbf{H}$  are Hermitian, then  $[\mathbf{L}, \mathbf{H}]$  is also Hermitian.

538 **1.4.5 Conservation of Energy**

539 In quantum mechanics, when we say that a quantity is conserved, we mean  
 540 that the expectation value of that quantity doesn't change. If we look at  
 541 equation 1.17, the condition for the expectation value not to change is that  
 542 the commutator between this quantity and the Hamiltonian is zero. It is  
 543 possible to demonstrate that:

544 **Theorem 3.** *Having an observable  $\mathbf{Q}$ , if  $[\mathbf{Q}, \mathbf{H}] = 0$ , then every power satisfies*  
 545  *$[\mathbf{Q}^n, \mathbf{H}] = 0$ . This means that the expectation value  $\langle \mathbf{Q} \rangle$  is conserved, and any*  
 546 *power of the expectation value  $\langle \mathbf{Q}^n \rangle$  does not change with time.*

547 The most obvious quantity that is conserved is the Hamiltonian  $\mathbf{H}$  and,  
 548 since every operator commutes with itself, we always have:

$$[\mathbf{H}, \mathbf{H}] = 0.$$

549 We can conclude that, under very general conditions, energy is conserved  
 550 in quantum mechanics.

551 **1.5 CONCLUSIONS**

552 We conclude this chapter with a recap of what we have discovered in these  
 553 pages, trying to put everything together to answer the question that opened  
 554 this chapter: what are the physical limits of quantum computing, and why  
 555 must our algorithms be reversible?

556 We started the chapter with an experiment that shows that quantum me-  
 557 chanics is not deterministic. We can, however, make some predictions if we  
 558 consider the expectation value of a measurement instead of a single result.

559 We have built state vectors and understood their mathematical meaning,  
 560 focusing on the fact that knowing the state vector doesn't allow us to know  
 561 the result of a measurement. We have defined the inner product between  
 562 state vectors, observed that it is a measure of the overlap between states,  
 563 and concluded that two distinguishable states must be orthogonal.

564 We have linked a state vector to the result of a measurement –to be precise,  
 565 to the average of the results of multiple measurements– with machines, Her-  
 566 mitian operators that represent observables. We have built the spin operator  
 567 and used it to predict the result of a simple experiment, showing how the  
 568 theory we have built so far is in accordance with experimental results.

569 Our introduction continues with the analysis of the temporal evolution of  
 570 a quantum system. We have described the evolution of a state vector with an  
 571 unitary operator; the application of this operator to a state vector produces  
 572 the new state in which the system will be. We understood that the tempo-  
 573 ral evolution of the state vector is deterministic and that indeterminacy is  
 574 caused only by the act of measuring.

575 Considering infinitesimal time intervals, we have deduced the time-dependent  
 576 Schrödinger equation and, thanks to this equation, we have shown how to  
 577 describe the temporal evolution of expectation values for a certain observ-  
 578 able. During this analysis, we also introduced the Hamiltonian of the system,  
 579 a Hermitian operator that describes the energy of the system.

580 The discussion ends with a comforting result: as in classical physics, the  
 581 energy of a closed system is conserved. We have obtained this result by pre-  
 582 senting the commutator and linking the temporal evolution of an observable  
 583 with the commutator between the observable and the Hamiltonian (energy)  
 584 of the system. The commutator of the Hamiltonian with itself is trivially  
 585 zero, so the expectation value for the energy doesn't change.

586 All the information that we have learned allows us to understand the con-  
 587 straint of writing only reversible algorithms for quantum-gate-based quan-  
 588 tum computers. Quantum gates operate on qubits through physical transfor-  
 589 mations<sup>17</sup>. These transformations, like all transformations in quantum me-  
 590 chanics, are described by unitary operators that are intrinsically reversible.  
 591 This means that all quantum gates are reversible.

592 In other words, we can build only quantum gates that, having as input  
 593 different (distinguishable) states, return orthogonal states; also, due to the  
 594 conservation of overlaps, the inner product between input states is conserved  
 595 during the quantum gate transformation.

596 Reversibility doesn't mean that we can go forward and backward in time  
 597 as we please, but that all quantum gates express injective functions: if we  
 598 know the output, we can know the input, or in more physical terms, if  
 599 we know the final state of qubits<sup>18</sup> and the transformations applied to this  
 600 system (i.e., those implemented by the quantum gates), we can determine  
 601 the initial state.

602 Since every quantum algorithm has to be implemented as a path through  
 603 quantum gates, and every quantum gate is reversible, the algorithms as a  
 604 whole must also be reversible.

---

<sup>17</sup> How depend strongly on the particular physical implementation.

<sup>18</sup> This is a complex system (composed of more than one qubit); to fully understand these systems, we should take into account entanglement. Since our discussion is already quite long, and the temporal evolution of an entangled system is still unitary (reversible), we exclude entanglement from our introduction.



605 2 | QUANTUM GATE



606 | 3 QUANTUM ANNEALING



# 607 4 ONTOLOGY

608 In this chapter we explain what kind of knowledge base is an ontology, how  
609 to build an ontology and why this knowledge representation are important.  
610 To clarify and demonstrate why ontologies are useful we present one exam-  
611 ple of an important ontology discussing briefly its utility.

612 The rest of the chapter is about reasoning on ontologies, we discuss the  
613 semantics of formal language use ro represent knowledge, what we mean  
614 when saying interpretation of a knowledge base and the complexity of find  
615 an interpretation.

## 616 4.1 KNOWLEDGE BASE

617 In the field of information technologies an ontology is a structured represen-  
618 tation of knowledge about a certain domain of interest, however the study  
619 of knowledge begin much before informatics. To better understand what is  
620 an ontology let's start with the philosophy definition and then we point out  
621 the difference between this vision and the information technologies one.

### 622 4.1.1 Ontology in philosophy

623 Ontology born as a branch of philosophy. In this context is the science of  
624 what is, of the kinds and structures of objects, properties, events, processes  
625 and relations in every area of reality[1].

626 The goal of an ontology is to give a definitive and exhaustive classification  
627 of entities in all spheres of being. With the term definitive we mean that  
628 an ontology should answer to questions as: "What classes of entities are  
629 needed for a complete description and explanation of all the goings-on in  
630 the universe?" With the term exhaustive, instead we want that all types of  
631 entities and realtion between these entities are included in our ontology[1].

### 632 4.1.2 Ontology in computer science

633 Thanks to the advent of internet and the develop of bigger and bigger soft-  
634 ware used by bigger and bigger group of user emerged what we might call  
635 the Tower of Babel problem. Each research group develop his knowledge  
636 base with term and concept shared and accepted only inside the group. For  
637 example different databases may use identical labels but with different mean-  
638 ings and the same meaning may be expressed with different names[1].

639 To address incompatibility problem between software, databases and re-  
640 search groups, ontologies have become an important research topic in com-  
641 puter science where the goal is defining standards for data exchange, infor-  
642 mation integration, and interoperability[2].

643 In this field the term ontology gain a new meaning:

644 **Definition 1.** *Ontologies represent a formal and explicit specification of a shared*  
 645 *conceptualization[3].*

646 In this definition the keywords are:

647 **CONCEPTUALIZATION** an ontology creates an abstract model identifying and  
 648 defining only the relevant concepts;

649 **EXPLICIT** type of concepts and constraints on their use are explicitly defined;

651 **FORMAL** an ontology should be machine-readable;

652 **SHARED** the knowledge represented by the ontology has to be accepted  
 653 by a group of people, ideally by everyone

654 When we use ontology to represent knowledge we are describing a graph  
 655 where entities are bound together through relationships, and classified ac-  
 656 cording to a formal description of the world[4]. Knowledge bases expressed  
 657 with this formalism are divided in two components[5]:

658 **T-BOX** stores a set of universally quantified assertions (inclusion asser-  
 659 tions) stating general properties of concepts and roles;

660 **A-BOX** contains assertions on individual objects (instance assertions).

661 We can see some similarities between an ontology and a database, the T-  
 662 Box can be seen as the Entity-Relation schema and the A-Box as the set of  
 663 all entry of the database. There is, however, a logical difference between the  
 664 world represented by an ontology and the world represented by a database.

665 Databases make the *closed world assumption*, i.e. everything that is not  
 666 present in the database is automatically false, for example if a person does  
 667 not compare in a bank registry it means that that person is not client in the  
 668 bank.

669 Ontology on the other hand make the *open world assumption*[6], that means  
 670 for example that we can assert that a certain person is a parent even if we  
 671 have not specified any son or daughter.

#### 672 4.1.3 OWL Language

673 OWL 2 Web Ontology Language is an ontology language for the Seman-  
 674 tic Web with formally defined meaning[7]. Thanks to OWL we can model  
 675 class and relation between class (T-Box) and individuals with their specific  
 676 properties and relation between individuals (A-Box). The T-Box is the con-  
 677 ceptualization of the world, the A-Box is a certain instance of the world we  
 678 have modelled in the T-Box.

679 OWL is a declarative language and define the state of the world in a logic  
 680 way. In particular, we are interested in OWL DL where the meaning of  
 681 ontologies expressed with this language is assigned in a Description Logic  
 682 style. OWL DL is, therefore, decidable and an appropriate tool (so-called

683 reasoner) can then be used to infer further information about that state of  
 684 the world[7].

685 OWL per se doesn't specify any syntax, it states only what can or cannot  
 686 be expressed in an ontology. The World Wide Web Consortium (W3C) stan-  
 687 dardize various syntaxes, some inspired by functional language other more  
 688 suitable for the storing on web pages. The only syntax that must be imple-  
 689 mented by all tools to be compliant to the OWL standard is the RDF/XML  
 690 syntax[7] (examples of this syntax are provided in 4.2.1).

#### 691 4.1.4 Importance of ontologies

692 Ontologies are important in various fields, from interoperability to machine  
 693 learning.

694 In the Semantic Web context, ontologies are a main vehicle for data integra-  
 695 tion, sharing, and discovery[8]. Different research group can use the same  
 696 ontology to share a unified vocabulary that help build a common knowledge  
 697 and help to integrate better the results obtained by each group.

698 In a more aziendal scenario an ontology can be used as a translation layer  
 699 between different databases or software that are build by different teams and  
 700 use different vocabulary.

701 In the machine learning field an ontology could be used to support the  
 702 sharing and reuse of formally represented knowledge among AI systems[3].  
 703 In the last year we become used to train AI agent on unstructured data, but  
 704 a formal knowledge can help to fine tuning theese models or to check their  
 705 answer.

## 706 4.2 EXAMPLE ONTOLOGIES

707 To help understanding the structure of ontologies and to show a practical  
 708 example of ontolgy we present two ontologies: a simple ontology about the  
 709 family relationship and DOLCE a foundational ontology.

### 710 4.2.1 Simple ontology

711 This simple ontology about parental relationship shows the basic structure of  
 712 an ontology, helping understanding the graph structure of these knowledge  
 713 bases and the ralation and difference between the T-Box and A-Box.

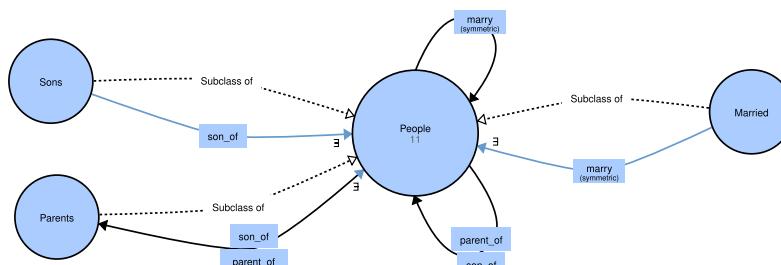


Figure 4.1: Graph for T-Box

714 In figure 4.1, we can see the T-Box of the ontology, these structures specify  
 715 what is our domain of interest and what entity could possibly populate our  
 716 world. This ontology is about people, so the main class/concept is `Person`,  
 717 this class has several subclasses that represent parents, children and married  
 718 people. We can assert that a person belongs to the married class without  
 719 specifying the partner (open world assumption) but we can also infer that a  
 720 person belongs to parents class because we have created a relationship of  
 721 type `parent_of` between that person and another person.

722 OWL allows us to express rules to infer when a inhabitant of a class belongs  
 723 also to another class, the following code shows (in the RDF/XML syntax)  
 724 the definition of the class `Parent`<sup>1</sup>:

```

1 <owl:Class rdf:about="http://people#Parent">
2   <owl:equivalentClass>
3     <owl:Restriction>
4       <owl:onProperty rdf:resource="http://people#parent_of"/>
5       <owl:someValuesFrom rdf:resource="http://people#Person"/>
6     </owl:Restriction>
7   </owl:equivalentClass>
8   <rdfs:subClassOf rdf:resource="http://people#Person"/>
9 </owl:Class>
```

Listing 1: Definition of parents

725 At lines 8 we can see that `Parent` is a subclass of `Person` and at lines 4 and  
 726 5 is explicated that a parent is a person that is `parent_of` of some person.  
 727 From figure 4.1 we can also see some properties of the relations:

- 728 • relation `marry` is symmetric;
- 729 • relation `parent_of` is the inverse of `son_of`;
- 730 • we can specify a domain and a range for relations.

731 OWL gives us constructs for all of this specification (and other more complex).

732 Now we can populate the ontology adding individuals and relations  
 733 between individuals. For this  
 734 small example we take inspiration  
 735 from the Simpson family and in  
 736 family tree on the right we can  
 737 see the small portion of the family  
 738 represented. To show what we  
 739 mean with open world assumption  
 740 we have asserted that Jackie is a mar-  
 741 ried person even if in our representation there is no husband.

743 Our ontology covers a small domain, the types of entities that populate our  
 744 model are very limited, the next example shows the commitment of engineer-  
 745 ing an ontology to represent virtually anything in the universe.

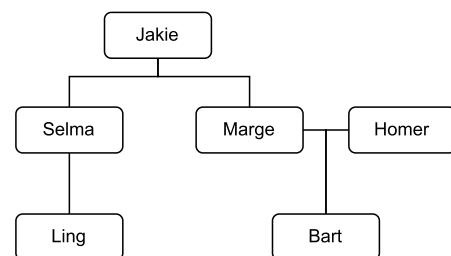


Figure 4.2: Simpson family tree

<sup>1</sup> The complete code of the ontology can be seen at [url](#)

746 **4.2.2 DOLCE ontology**

747 Dolce is a top-level (foundational) ontology[9] these means that this ontology  
 748 describe fundamental aspect of the reality and shuold be used as a base for  
 749 construct an ontology about a particular domain of interest. For this reason  
 750 DOLCE defines only the T-Box, the user then will expand the T-Box with his  
 751 specific class and relation of interest and laslty will populate the A-Box.

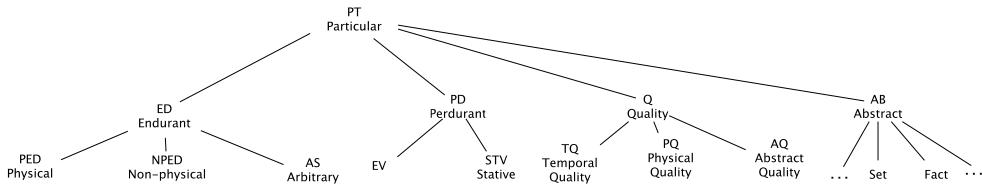


Figure 4.3: First layer of DOLCE taxonomy

752 **STRUCTURE OF DOLCE:** in DOLCE we can model the modification of ob-  
 753 jects during time, for these reason DOLCE distinguis between endurants and  
 754 perdurants. Endurants may acquire and lose properties and parts through  
 755 time, perdurants are fixed in time[9]. With a simplification we can see the  
 756 perdurants as the physical entities that are modified by the passing of time  
 757 (like objects, animal and people) and endurants as events that when they  
 758 passed they cannot be changed anymore (like a tennis match or a confer-  
 759 ence).

760 The relation connecting endurants and perdurants is called participation.  
 761 An physical entities can be in time by participating in a perdurant, and  
 762 perdurants happen in time by having endurants as participants[9].

763 Another important aspect of DOLCE is the way we attribute a property  
 764 to an entity, to do so we use qualities that are what can be perceived and  
 765 measured. To do so we can assert that a certain entity has a specific quality  
 766 and then, when it is possible, quantify that quality.

767 **IMPORTANCE OF DOLCE:** foundational ontologies can be usefull in several  
 768 fields, from conceptual modeling to natural language processing. DOLCE,  
 769 today, is used in a variety of domain where provides the general categories  
 770 and relations needed to give a coherent view of reality[9].

771 **4.3 RERASONING ON ONTOLOGY**

772 In 4.1.3 we have introduced the standard language to encode an ontology, in  
 773 order to infer new information strarting from the one we already have we  
 774 need to better specify the semantics of OWL DL.

775 **4.3.1 SROIQ DL**

776 The semantics of OWL DL extends the semantics of the description logic  
 777 (DL) SROIQ to provide supports for datatypes and punning[10]. For con-

778 struct available both in OWL DL and in SROIQ the semantics corrispond  
 779 exactly.

780 Description logics allow the modeling of the domain of interest with three  
 781 kind of entity: concepts, roles and individual names. This entity corre-  
 782 spond to unary predicates, binary predicates and constants in the first-order  
 783 logic[6]. From the point of view of ontology and OWL concepts are classes,  
 784 roles are relationship, individual names are the individuals that can belong  
 785 to one or more classes.

786 SROIQ is one of the most expressive description logic where we have con-  
 787 structor for

- 788     • transitive roles:  $\mathcal{S}$
- 789     • role inclusions, local reflexivity, universal role, symmetry, asymmetry,  
     role disjointness, reflexivity, and irreflexivity:  $\mathcal{R}$
- 790     • nominals:  $\mathcal{O}$ ;
- 791     • inverse roles:  $\mathcal{I}$ ;
- 792     • qualified number restrictions:  $\mathcal{Q}$ ;

794 For example, we can construct the ontology showed in figures 4.1 and 4.2  
 795 with a set of assertion like:

796     person(selma)         married(jackie)         parent\_of(marge, bart)

797 Each of this statement is called axiom and the set of all axioms constituted  
 798 our knowledge base.

#### 799 4.3.2 Interpretation of a knowledge base

800 An interpretation  $I$  consist in a domain  $\Delta^I$  and an interpretation function  $.^I$   
 801 that map:

$$\begin{aligned} \text{concept } A &\rightarrow A^I \subseteq \Delta^I \\ \text{role } R &\rightarrow R^I \subseteq \Delta^I \times \Delta^I \\ \text{named individual } a &\rightarrow a^I \in \Delta^I \end{aligned}$$

802 In other words  $I$  assign a fixed meaning to all entities in the knowledge  
 803 base[6]. Having a fixed meaning we can say if an axiom  $\alpha$  is hold in  $I$  or not,  
 804 in the first case we say that  $I$  satisfy  $\alpha$  and we write  $I \models \alpha$ .

805 If all axioms in an ontology are satisfied by  $I$  we say that  $I$  is a *model* of the  
 806 ontology. An ontology is consistent if it accepts at least one model.

807 A reasoner should at least be capable to say i an ontology is consistent,  
 808 but we are also interested to query knowledge to retrieve new information.

809 **QUERY INTERPRETATION:** Considering a knowledge base  $K$ , a query  $q$  con-  
 810 sists of axiom templates where SROIQ axiom are composed by concept name,  
 811 role name and individual name, but also by concept variable, role variable  
 812 and individual variable. A solution for the query is an interpretation  $\mu$  that  
 813 allows to rewrite all variable in  $q$  with names, we denote with  $\mu(q)$  the  
 814 result of the substitution.

815 The evaluation of the query  $q$  over the knowledge base  $K$  is a set of solutions  $\mu$  with:[11]

$$\{ \mu \mid K \cup \mu(q) \text{ is a } \mathcal{SROIQ} \text{ knowledge base and } K \models \mu(q) \}$$

817 In other word  $\mu$  bind all free variable of  $q$  to names present in  $K$ [11].

818 A naive approach to find the solution to a query is simply to tests, for  
819 each possible solution mapping  $\mu$ , if  $K \models \mu(q)$ , however, in the worst case,  
820 the number of mappings that have to be tested is exponential in the number  
821 of variables in the query[11].

### 822 4.3.3 Complexity of reasoning

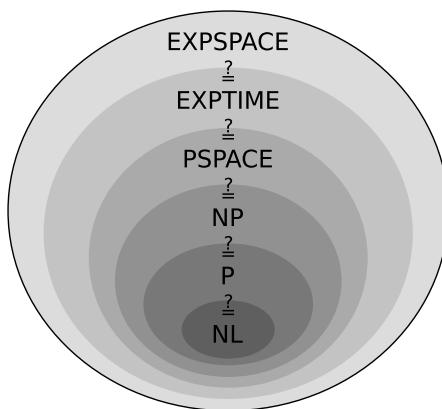
823 In this section we give a hint about the complexity of reasoning, commenting  
824 an actual algoritm for reasonin is out of the scope of his work. We start with  
825 some hight level consideration and then we present some theoriacle result  
826 that comfir the actual difficults in reasoning over ontology.

827 It is easy to convince themself that the more axioms are in a ontology  
828 the fever interpretation exist that satisfy all axioms. On the other hand if  
829 an ontology has fever models the more axioms hold in all of them and he  
830 more logical consequances follow from the ontology. We can rephrase this  
831 two sttement saying that the semantic of description logics are *monotonic*: the  
832 more knowledge we embed in an ontology the more results it returns[6].

833 A more formal wiev is given in [12], here are identified two *source of complexity*:

- 835 • OR-branching: the presence of disjunctive constructors
- 836 • AND-branching: the presence of qualified existential and universal  
837 quantifiers

838 The AND-branching is responsible esponsible for the exponential size of a  
839 single interpretation, and the OR-branching is responsible for the exponen-  
840 tial number of different interpretations.



853 **Figure 4.4:** Complexity classes

841 To discuss the complexity of rea-  
842 soning we take in account the de-  
843 scription logic  $\mathcal{ALC}$ , this DL is a  
844 restriction of  $\mathcal{SROIQ}$ [6], so its com-  
845 plexity is a lover bound for  $\mathcal{SROIQ}$ .

846 It is possible to prove the PSpace-  
847 hardness of satisfiability in  $\mathcal{ALC}$ [12],  
848 therefore also  $\mathcal{SROIQ}$  DL is at least  
849 PSpace-hard.

850 This result shows, that unless  
851  $\text{PSpace} = \text{PTime}$  the exponential  
852 time complexity of any algoritm  
853 that make inference on an ontology  
854 cannot be improved.

855 For the one interested in some numerical examples to better understand  
856 what this class of complexity means in real context [13] presents the reasoner  
857 Hermit<sup>2</sup> and evaluate his performance on some real ontologies.

858 **4.4 CONCLUSION**

859 In this chapter we have explained what is an ontology and we have mo-  
860 tivated the interest in this field. We have show both teorically and with  
861 example what can be expressed in an ontology and what cannot. We have for-  
862 mally defined what is the interpretation of a knowledge base and showed  
863 what is query and its result.

864 Lastly we have characterized the complexity of reasoning on ontlogyes.  
865 This complexity is what motivated us to search other paradigm to infer new  
866 knowledge starting from an ontology. in the next chapters we will build the  
867 tool necessary to achieve these goal.

---

<sup>2</sup> <http://www.hermit-reasoner.com/>

868

## Part II

869

## TOOLS



# 5 ENVIRONMENT SETUP

871 In this chapter we describe the environment, libraries and tools we use to  
872 execute our tests.

873 In the following sections we install the SDKs to develop and interact with  
874 quantum computers from IBM and D-Wave. We also present two other use-  
875 ful tools to easily write optimization problems.

## 876 5.1 PYTHON ENVIRONMENT

877 The language used to interface with quantum computers is usually Python.  
878 In this section we create a virtual environment in Python in order to commu-  
879 nicate with the IBM quantum computer and the D-Wave quantum computer.

880 For our tests we manage Python environments with `conda`. Let's start by  
881 creating the virtual environment named `quantum` and activating it with:

```
1 conda create --name quantum python=3.12 pip
2 conda activate quantum
```

882 For our tests and to follow the various examples presented both by IBM and  
883 D-Wave, it is also useful to be able to run a Jupyter notebook. We can install  
884 Jupyter with:

```
1 pip install jupyter
```

## 885 5.2 IBM QISKIT

886 To program a gate-based architecture and to access IBM quantum computers  
887 we use the *Qiskit* software stack. The name Qiskit is a general term referring  
888 to a collection of softwares for executing programs on quantum computers.

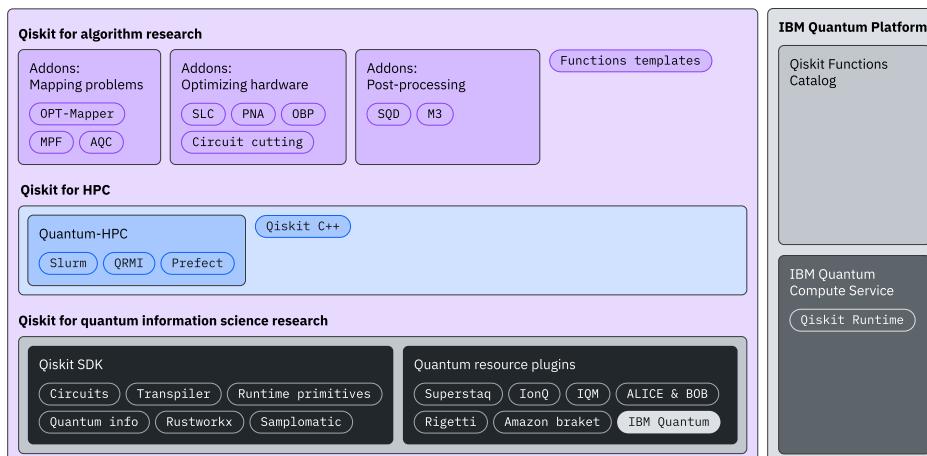


Figure 5.1: Qiskit software stack

889     The core components are *Qiskit SDK* and *Qiskit Runtime*. The first one is  
 890     completely open source and allows the developer to define his circuit; the  
 891     second one is a cloud-based service for executing quantum computations on  
 892     IBM quantum computers.

893     **5.2.1 Hello World**

894     Following the IBM documentation<sup>1</sup> we can install the SDK and the Runtime  
 895     with:

```
1 pip install qiskit matplotlib qiskit[visualization]
2 pip install qiskit-ibm-runtime
3 pip install qiskit-aer
```

896     Line 3 installs Aer, which is a high-performance simulator for quantum  
 897     circuits written in Qiskit. Aer includes realistic noise models, and we will  
 898     use it later to test our circuit.

899     Sometimes the Qiskit stack suffers from incompatibilities between the  
 900     various software components that compose the environment. At the mo-  
 901     ment of writing, the latest packages seem to work without any problem.  
 902     For our tests we will use `qiskit: 2.2.3`, `qiskit-ibm-runtime: 0.43.1` and  
 903     `qiskit-aer: 0.17.2`.

904     If the setup is successful we are now able to run a small test to build a Bell  
 905     state (two entangled qubits). The following code assembles the gates, shows  
 906     the final circuit and uses a sampler to simulate on the CPU the result of 1024  
 907     runs of the program.

```
1 from qiskit import QuantumCircuit
2 from qiskit.primitives import StatevectorSampler
3
4 qc = QuantumCircuit(2)
5 qc.h(0)
6 qc.cx(0, 1)
7 qc.measure_all()
8
9 sampler = StatevectorSampler()
10 result = sampler.run([qc], shots=1024).result()
11 print(result[0].data.meas.get_counts())
12 qc.draw("mpl")
```

**Listing 2:** Building Bell state

908     **5.2.2 Transpilation**

909     Each Quantum Processing Unit (QPU) has a specific topology. We need to  
 910     rewrite our quantum circuit in order to match the topology of the selected  
 911     device on which we want to run our program. This phase of rewriting,  
 912     followed by an optimization, is called transpilation.

---

<sup>1</sup> <https://quantum.cloud.ibm.com/docs/en/guides/install-qiskit>

913 Considering, for now, a fake hardware (so we do not need an API key)  
 914 we can transpile the quantum circuit qc, from the code above, to match the  
 915 topology of a specific QPU:

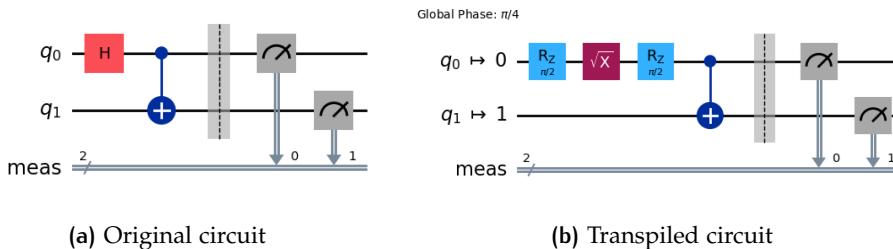
```

1  from qiskit_ibm_runtime.fake_provider import FakeWashingtonV2
2  from qiskit.transpiler import generate_preset_pass_manager
3
4  backend = FakeWashingtonV2()
5  pass_manager = generate_preset_pass_manager(backend=backend)
6
7  transpiled = pass_manager.run(qc)
8  transpiled.draw("mpl")

```

**Listing 3:** Transpilation

916 The following picture shows (5.2a) the quantum circuit that builds a Bell  
 917 state, and (5.2b) the transpiled version where the Hadamard gate is replaced  
 to match the actual topology of the QPU.



**Figure 5.2:** Transpilation example

918

### 919 5.2.3 Execution

920 To test our transpiled circuit we use Aer, which allows us to simulate also  
 921 the noise of real quantum hardware. We can execute our program with:

```

1  from qiskit_aer.primitives import SamplerV2
2
3  sampler = SamplerV2.from_backend(backend)
4  job = sampler.run([transpiled], shots=1024)
5  result = job.result()
6  print(f"counts for Bell circuit : {result[0].data.meas.get_counts()}")

```

**Listing 4:** Simulated execution

922 If we look at the results of the execution we can observe that some answers  
 923 present non-entangled qubits; this is caused by the (simulated) noise of the  
 924 quantum device. A typical output of the execution could be:

```
> counts for Bell circuit : {'00': 504, '11': 503, '01': 10, '10': 7}
```

925 Where states 01 and 10 should not be present in an ideal execution with no  
 926 errors.

## 927 5.2.4 A complete example on real hardware

## 928 5.3 D-WAVE OCEAN

929 To define an optimization problem that can be solved on a D-Wave quantum  
 930 computer we use the Ocean software stack. Ocean also allows us to interact  
 931 with D-Wave hardware, submit a problem, and simulate the execution on a  
 classical CPU.

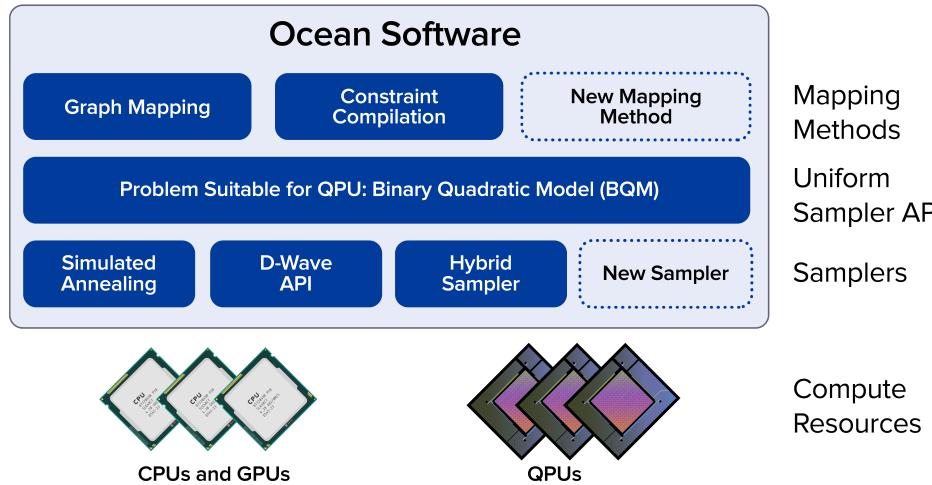


Figure 5.3: Ocean software stack

932  
 933 All tools that implement the steps needed to solve your problem on a CPU,  
 934 a D-Wave quantum computer, or a quantum-classical hybrid solver can be  
 935 installed with:

```
1 pip install dwave-ocean-sdk
```

936 After the installation, running the command `dwave setup` will start an in-  
 937 teractive prompt that guides us through a full setup. During the setup it  
 938 is also possible to add an API token or connect to the D-Wave account to  
 939 import a key directly to use the quantum hardware.

## 940 5.3.1 Hello World

941 To present a simple optimization program we consider the minimum vertex  
 942 cover (MVC) problem. Given a graph  $G = (V, E)$ , the problem asks to find  
 943 a subset  $V' \subseteq V$  such that, for each edge  $\{u, v\} \in E$ , at least one of  $u$  or  $v$   
 944 belongs to  $V'$ , and the number of nodes in  $V'$  ( $|V'|$ ) is the lowest possible.

945 The reduction from MVC to an Ising formulation is well known. The cost  
 946 function that we want to minimize can be expressed by:

$$\text{cost} = \sum_{i=1}^{|V|} v_i + 2 \cdot \sum_{\{i,j\} \in E} (1 - v_i - v_j + v_i v_j)$$

947 where  $v_i \in \{-1, 1\}$  and  $v_i = 1$  means that  $v_i \in V'$ , otherwise  $v_i = -1$ .

948 Like all problems in Ising form we can express the cost as a symmetric  
 949 matrix, so our function becomes

$$\text{cost} = v^T \times \mathbf{M} \times v$$

950 where  $v$  is the vector containing the binary variables  $v_i$ .

951 The figure shows an example graph (5.4a) and the corresponding matrix  
 952 (5.4b) expressing the cost function.

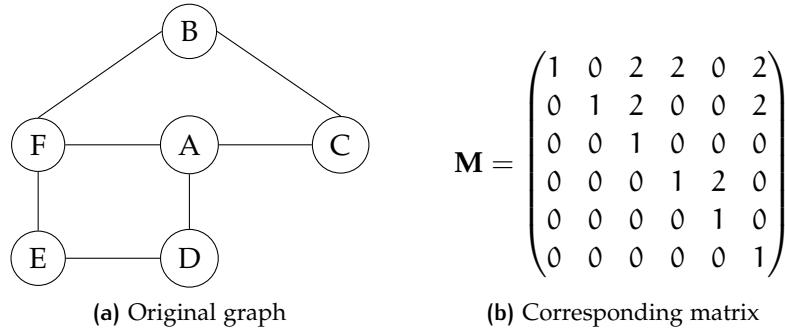


Figure 5.4: Ising formulation

953 The following code presents a possible implementation of the Ising model  
 954 described above. We have defined two dictionaries to store the matrix coeffi-  
 955 cients. The last line of code finds ten possible answers to the problem using  
 956 the simulated annealing function implemented by D-Wave.

```

1 from dwave.samplers import SimulatedAnnealingSampler
2 linear = {'A': 1, 'B': 1, 'C': 1, 'D': 1, 'E': 1, 'F': 1}
3 quadratic = {('B', 'C'): 2, ('B', 'F'): 2, ('C', 'A'): 2, ('D', 'A'): 2,
4   ↪ ('E', 'D'): 2, ('E', 'F'): 2, ('F', 'A'): 2}
5 sampler = SimulatedAnnealingSampler()
5 result = sampler.sample_ising(linear, quadratic, num_reads=10)

```

Listing 5: Ising example

957 If we print the results with `print(result.aggregate())` we can observe  
 958 something similar to this:

```

1   A  B  C  D  E  F energy num_oc.
2   0 -1 -1 +1 +1 -1 +1  -14.0      6
3   1 +1 +1 -1 -1 +1 -1  -14.0      4
4   ['SPIN', 2 rows, 10 samples, 6 variables]

```

959 The two different results represent the two correct answers to our particular  
 960 instance of the MVC problem.

### 961 5.3.2 Example on real hardware

### 962 5.3.3 Minor embedding

## 963 5.4 PYQUBO AND QUBOVERT

964 In listing 5 we have manually built the matrix representing the function that  
 965 we want to minimize. It can be useful to have some tools that allow us to

966 work at a higher level, defining cost functions like ?? that we defined in the  
 967 section about quantum annealing (??).

968 Considering again the MVC problem, the objective function tends to minimize  
 969 the number of nodes in our subset, while the penalty increases the  
 970 cost if we leave out some edges. This interpretation allows us to transform  
 971 the Ising model into the more familiar —from the point of view of a com-  
 972 puter scientist— QUBO model, where all variables  $x_i \in \{0, 1\}$ . Let's see how  
 973 PyQUBO and qubovert help us in this task.

974 **5.4.1 PyQUBO**

975 Reading from the documentation on the PyQUBO site<sup>2</sup>, PyQUBO allows  
 976 us to create QUBOs or Ising models from flexible mathematical expressions  
 977 easily. Some of the features of PyQUBO are:

- 978 • Python based (C++ backend);
- 979 • Fully integrated with Ocean SDK;
- 980 • Automatic validation of constraints;
- 981 • Placeholder for parameter tuning.

982 We can install PyQUBO with `pip install pyqubo` and rewrite our MVC  
 983 problem by defining the Hamiltonian that we want to minimize.

```

1  from pyqubo import Binary, Placeholder, Constraint
2  from dwave.samplers import SimulatedAnnealingSampler
3
4  A, B, C, D, E, F = Binary('A'), Binary('B'), Binary('C'), Binary('D'),
5    ↳ Binary('E'), Binary('F')
6
7  H_objective = (A + B + C + D + E + F)
8  H_penalty = Constraint(((1 - A - C + A*C) +
9    (1 - A - D + A*D) +
10   (1 - A - F + A*F) +
11   (1 - B - C + B*C) +
12   (1 - B - F + B*F) +
13   (1 - D - E + D*E) +
14   (1 - E - F + E*F)), label='cnstr0')
15
16  L = Placeholder('L')
17  H = H_objective + L*H_penalty
18  H_internal = H.compile()
19  bqm = H_internal.to_bqm(feed_dict={'L': 2})
20
21  sampler = SimulatedAnnealingSampler()
22  result = sampler.sample(bqm, num_reads=10)
```

**Listing 6:** Rewriting MVC with pyQUBO

<sup>2</sup> <https://pyqubo.readthedocs.io/en/latest/>

984 Listing 6 presents a possible re-implementation of listing 5, where we also  
 985 see how PyQUBO interfaces with the Ocean SDK (line 17), and how to create  
 986 (lines 14–16) and instantiate (line 17) a parametric Hamiltonian.

987 **5.4.2 qubovert**

988 As written in the documentation<sup>3</sup>, qubovert is the one-stop package for for-  
 989 mulating, simulating, and solving problems in boolean and spin form. Using  
 990 our nomenclature, boolean and spin form are respectively QUBO and Ising  
 991 form.

992 Qubovert allows us to define various types of optimization problems that  
 993 can be solved by brute force, with qubovert’s simulated annealing, or with  
 994 D-Wave’s solver. Models defined in qubovert are:

995 **QUBO**: Quadratic Unconstrained Boolean Optimization;  
 996 **QUSO**: Quadratic Unconstrained Spin Optimization (Ising model);  
 997 **PUBO**: Polynomial Unconstrained Boolean Optimization;  
 998 **PUSO**: Polynomial Unconstrained Spin Optimization;  
 999 **PCBO**: Polynomial Constrained Boolean Optimization;  
 1000 **PCSO**: Polynomial Constrained Spin Optimization.

1001 In addition to generic models, qubovert has a library of famous NP-complete  
 1002 problems mapped to QUBO and Ising forms.

```

1 from qubovert import boolean_var
2 from dwave.samplers import SimulatedAnnealingSampler
3
4 A, B, C, D, E, F = boolean_var('A'), boolean_var('B'),
5   ↵ boolean_var('C'), boolean_var('D'), boolean_var('E'),
6   ↵ boolean_var('F')
7
8 model = A + B + C + D + E + F
9 model.add_constraint_OR(A, C, lam=2)
10 model.add_constraint_OR(A, D, lam=2)
11 model.add_constraint_OR(A, F, lam=2)
12 model.add_constraint_OR(B, C, lam=2)
13 model.add_constraint_OR(B, F, lam=2)
14 model.add_constraint_OR(D, E, lam=2)
15 model.add_constraint_OR(E, F, lam=2)
16
17 qubo = model.to_qubo()
18 dwave_qubo = qubo.Q
19 sampler = SimulatedAnnealingSampler()
20 result = sampler.sample_qubo(dwave_qubo, num_reads=10)
```

**Listing 7:** Rewriting MVC with qubovert

---

3 <https://qubovert.readthedocs.io/en/latest/index.html>

1003 Listing 7 shows a possible implementation of the MVC problem using the  
1004 tools provided by qubovert. Qubovert allows us to express our problem as a  
1005 PCBO; we use this formulation to express constraints in a more natural way.  
1006 In our example we ensure that each edge is covered simply by enforcing that  
1007 at least one of the nodes linked by the edge is present in the solution. This  
1008 constraint is repeated for each edge in the graph (lines 7–13). To specify the  
1009 Lagrange multiplier (equation ??) we use the keyword `lam`.

1010 Qubovert, like PyQUBO, can interface with the Ocean SDK, transforming  
1011 a PCBO problem into a QUBO problem (line 15) and then rewriting it in the  
1012 format accepted by the D-Wave solver (or sampler).

## 1013 5.5 CONCLUSION

1014 In this chapter we have set up an environment to run our future experiments  
1015 and tests. We have also shown some small examples to present the main  
1016 characteristics and test the tools we will use in our work.

1017 Following this setup allows anyone to recreate exactly the same configura-  
1018 tion we use, avoiding (for what we know and test) incompatibilities between  
1019 Python packages.

1020    **6** | QA-PROLOG

1021    **6.1 THE PROJECT**

1022    **6.2 PIPELINE**

1023    **6.3 UPDATE TO THE PROJECT**

1024    **6.4 RELATED WORK**

1025    **6.5 CONCLUSION**



1026

### Part III

1027

### EXPERIMENTS



1028 **7** | A QUANTUM ONTOLOGY

1029 **7.1** ONTOLOGY STRUCTURE

1030 **7.2** PROLOG VERSION

1031 **7.3** INFERENCE ON THE ONTOLOGY

1032 **7.4** CONCLUSION



1033 **8** | QAOA

1034 **8.1 QAOA**

1035 **8.2 FROM QUBO TO PAULI OPERATOR**

1036 **8.3 EXPERIMENTS**

1037 **8.4 CONCLUSION**



# 9 | CONCLUSION