

Advanced Computer Architectures

Lorenzo Rossi and everyone who kindly helped!

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no alpaca has been harmed while writing these notes

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Introduction

This document contains the notes for the *Advanced Computer Architectures* course, relative to the 2021/2022 class of *Computer Science and Engineering* held at *Politecnico di Milano*.

Teacher: *Donatella Sciuto*

Support teacher: *Davide Conficconi*

Textbook: *Hennessey and Patterson, Computer Architecture: A Quantitative Approach*

By comparing these notes with the material provided during the lectures, you will find a lot of discrepancies in the topics order. Despite looking a bizarre choice, this has been a deliberate decision as I have found the lessons quite confusing in their ordering and how each topic was introduced. You will still find each of the topics explained during the lessons, including something more (*sometimes*).

If you find any errors and you are willing to contribute and fix them, feel free to send me a pull request on the GitHub repository found at github.com/lorossi/advanced-computer-architectures-notes.

A big thank you to everyone who helped me!

1 Introduction to the Computer Architectures

1.1 Flynn Taxonomy

Created in 1996 and upgraded in 1972, it provides the first description of a computer.

- *SISD* - single instruction, single data
 - **Sequential** programs
 - **Serial** (non parallel) computer
 - **Deterministic** execution
 - Only one instruction stream is being executed at a time
- *MISD* - multiple instructions, single data
 - Multiple processors working in **parallel** on the same data
 - **Fail safe** due to high redundancy
 - Same algorithm programmed and implemented in different ways, so if one fails the other are still able to compute the result
 - No practical market configuration
- *SIMD* - single instruction, multiple data
 - Each processor receives **different data** and performs the **same operations** on it
 - Used in image processing or in fields where a single operation must be performed in many different pieces of informations
 - Each instructions is executed in **synchronous** way on the same data
 - Best suited for specialized problems characterized by a high degree of regularity, such as graphics or images processing
 - Data level parallelism (DLP)
- *MIMD* - multiple instructions, multiple data
 - **Array of processors** in parallel, each of them executing its instructions
 - Execution can be **asynchronous** or **synchronous**, **deterministic** or **non-deterministic**
 - The most common type of parallel computer

An illustration of the different architectures is displayed in Figure 1.

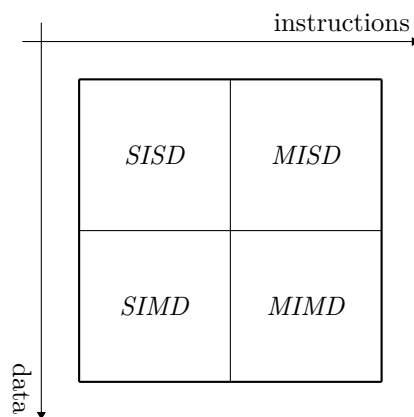


Figure 1: Flynn Taxonomy

1.2 Hardware parallelism

There are different types of hardware parallelisms:

- **Instruction Level parallelism - (ILP)**
 - Exploits data level parallelism at modest level through **compiler techniques** such as pipelining and at medium levels using speculation
- **Vector Architectures and Graphic Processor Units**
 - Exploit data level parallelism by applying a single instruction to a **collection of data** in parallel
- **Thread level parallelism - (TLP)**
 - Exploits either data level parallelism or task level parallelism in a coupled hardware model that allows **interaction among threads**
- **Request level parallelism**
 - Exploits parallelism among largely decoupled tasks specified by the programmer or the OS

Nowadays, heterogeneous systems (*systems that utilize more than one type of parallelism*) are commonly used among all commercial devices.

2 Performance and cost

There are multiple types (*classes*) of computers, each with different needs. The performance measurement is not the same for each of them. *Price, computing speed, power consumption* can be metrics to measure the performance of a computer.

Programming has become so complicated that it's not possible to balance all the constraints manually. While the computational power has grown bigger than ever before, energy consumption is now a sensible constraint. The computer engineering methodology is therefore as such:

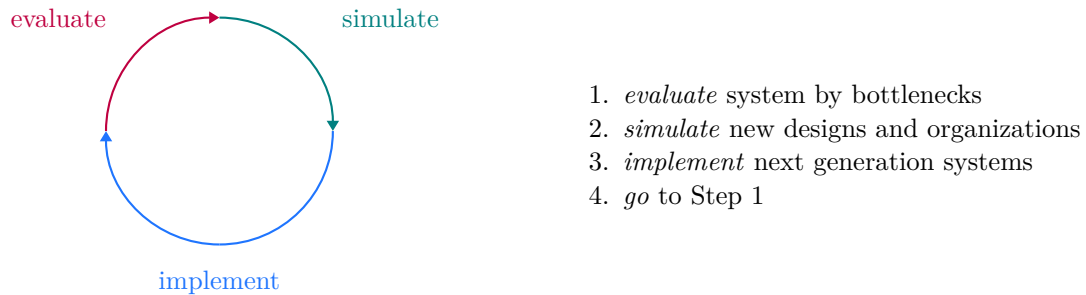


Figure 2: Computer engineering methodology

There are more constraints not contained in this models, such as technology trends.

When one computer is faster than another, what quality is being addressed? **It depends on what it's important.**

The picked qualities may change according to the use case or the user itself.

2 metrics are normally used:

1. Computer system user
 - minimize elapsed time for program execution
 - `execution time = time_end - time_start`
2. Computer center manager
 - maximize completion rate
 - `completion rate = number of jobs ÷ elapsed time`

2.1 Response time vs throughput

Is it true that `throughput = 1 ÷ average response time`? The answer can be given only if it's clear if there's overlapping between core operations.

If there is, then

$$\text{throughput} > 1 \div \text{average response time}$$

With pipelining, **execution time** of a single instruction is **increased** while the **average throughput** is **decreased**.

2.2 Factors affecting performance

A few of the factors affecting the performance are:

- Algorithm complexity and data set
- Compiler
- Instructions set
- Available operations
- Operating systems
- Clock rate

- Memory system performance
- I/O system performance and overhead
 - it's the least optimizable factor

The locution ***X is n times faster than Y*** means:

$$\frac{ExTime(Y)}{ExTime(X)} = \frac{Performance(X)}{Performance(Y)} = Speedup(X, Y)$$

$$Performance(X) = \frac{1}{ExTime(x)}$$

So, in order to optimize a system, one must focus on the common sense. *Sadly*, it is a valuable quality.

While making a design trade off one must favour the frequent case over the infrequent one.

For example:

- Instructions fetch and decode unit is used more frequently than multiplier, so it makes sense to optimize it first
- If database server has 50 disks processor, storage dependability is more important than system dependability so it has to be optimized first

2.2.1 Amdahl's law

As seen before, the speedup due to the enhancement *E* is:

$$Speedup(E) = \frac{ExTime\ w/o\ E}{ExTime\ w/\ E} = \frac{Performance\ w/\ E}{Performance\ w/o\ E}$$

Suppose that enhancement *E* accelerates a fraction *F* of the task by a factor *S* and the remainder of the task is unaffected. The Amdahl's law states that:

$$ExTime_{new} = ExTime_{old} \times \left[(1 - F) + \frac{F}{S} \right]$$

$$Speedup = \frac{ExTime_{old}}{ExTime_{new}} = \frac{1}{(1 - F) + F/S} = \frac{S}{S - SF + F}$$

2.2.1.1 Corollary

The best possible outcome of the *Amdahl's law* is:

$$Speedup = \frac{1}{1 - F}$$

“If an enhancement is only usable for a fraction of task, we can't speed up the task by more than the reciprocal of 1 minus the fraction”

The *Amdahl's law* expresses the law of diminishing returns. It serves as a guide to how much an enhancement will improve performance and how to distribute resources to improve the cost over performance ratio.

2.2.2 CPU time

CPU time is determined by:

- Instruction Count, *IC*:
 - The number of executed instructions, not the size of static code
 - Determined by multiple *factors, including algorithm, compiler, ISA*
- Cycles per instructions, *CPI*:
 - Determined by *ISA* and *CPU* organization

- Overlap among instructions reduces this term
- The CPI relative to a process P is calculated as:

$$CPI(P) = \frac{\text{\# of clock cycles to execute } P}{\text{number of instructions}}$$

- Time per cycle, TC :
 - It's determined by technology, organization and circuit design

Then, CPU time can be calculated as:

$$CPU_{time} = T_{clock} \cdot CPI \cdot N_{inst} = \frac{CPI \cdot N_{inst}}{f}$$

Note that the CPI can vary among instructions, because each step of pipeline might take different amounts of time. The factors that can influence the CPU time is shown in Table 1.

	IC	CPI	TC
<i>Program</i>	✗		
<i>Compiler</i>	✗	(✗)	
<i>Instruction set</i>	✗	✗	
<i>Organization</i>		✗	✗
<i>Technology</i>			✗

Table 1: Relation between factors and CPU time

2.2.2.1 CPU time and cache

In order to improve the CPU time, an **instruction cache** can be used. Using a more realistic model, while calculating CPU time, one must also account for:

- The execution CPI - CPI_{EXE}
- The miss penalty - $MISS_P$
- The miss rate - $MISS_R$
- The memory references - MEM

Then the CPI_{CACHE} can be calculated as:

$$CPI_{CACHE} = CPI_{EXE} + MISS_P \cdot MISS_R \cdot MEM$$

2.2.3 Other metrics

There are other metrics to measure the performance of a CPU :

- $MIPS$ - million of instructions per second

$$\frac{\text{number of instructions}}{\text{execution time} \cdot 10^6} = \frac{\text{clock frequency}}{CPI \cdot 10^6}$$

- the higher the $MIPS$, the faster the machine

- *Execution time*

$$\frac{\text{instruction count}}{MIPS \cdot 10^6}$$

- $MFLOPS$ - floating point operations in program
 - assumes that floating points operations are independent of compiler and ISA
 - it's not always safe, because of:

- ▶ missing instructions (*e.g. FP divide, square root, sin, cos, ...*)
- ▶ optimizing compilers

Furthermore, the execution time is compared against test programs that:

- Are chosen to measure performance defined by some groups
- Are available to the community
- Run on machines whose performance is well known and documented
- Can compare to reports on other machines
- Are representative

2.3 Averaging metrics

The simplest approach to summarizing relative performance is to use the total execution time of the n programs. However, this does account for the different durations of the benchmarking programs. 3 different approaches using means can be described as shown in Table 2.

<i>metric</i>	<i>type of mean</i>
times	arithmetic
rates	harmonic
execution time	geometric

Table 2: Mean approaches

3 Multithreading and Multiprocessors

3.1 Why multithreading?

Why is multithreading needed?

- *80's*: expansions of superscalar processors
 - In the 80's, people were writing languages in high level programming languages
 - Since compiler optimization was not good enough, it was needed to improve the software translations by making *CPU* instructions that were more similar to high level instructions
 - In order to improve performances, the **pipeline** was introduced
 - it sends **more than one instructions at a time**
 - more instructions completed in the same clock cycle
 - it's kind of a hardware level implicit parallelism
- *90's*: decreasing returns on investments
 - Since all the parallelism was implemented by the hardware (*or, at most, the compiler*), there was no effective way to manually handle the performance
 - There were many different issues:
 - issue from 2 to 6 ways, issue out of order, branch prediction, all lowering from 1 *CPI* to 0.5 *CPI*
 - performance below expectations
 - these performance issues led to delayed and cancelled projects
 - All the previous improvements were due to the shrinking size of the transistors, which was slowly speeding down
 - the number of transistors followed Moore's law, doubling each 18 to 24 months
 - the frequency and the performance per core were not, due to interferences and energy problems
- *2000*: beginning of the multi core era
 - Since increasing the *CPU* frequency could not be achieved any more, the only solution left was to increase the number of threads in every processor
 - This implied that there was a need of introducing a software way to handle the parallelism, in harmony with an enhanced hardware

Motivations for the paradigm change:

- Moderns processors fail to utilize execution resources well enough
 - There's no single culprit:
 - Memory conflicts
 - Control hazards
 - Branch misprediction
 - Cache miss
 - ...
 - All those problems are correlated and there's no way of solving one of them without affecting all the others
 - There's the need for a general latency-tolerance solution which can hide all sources of latency: **parallel programming**

3.1.1 Parallel Programming

Explicit parallelism implies structuring the applications into concurrent and communicating tasks. Operating systems offer systems to implement such features: **threads** and **processes**.

The multitasking is implemented differently basing on the characteristics of the *CPU*:

- Single core
- Single core with multithreading support

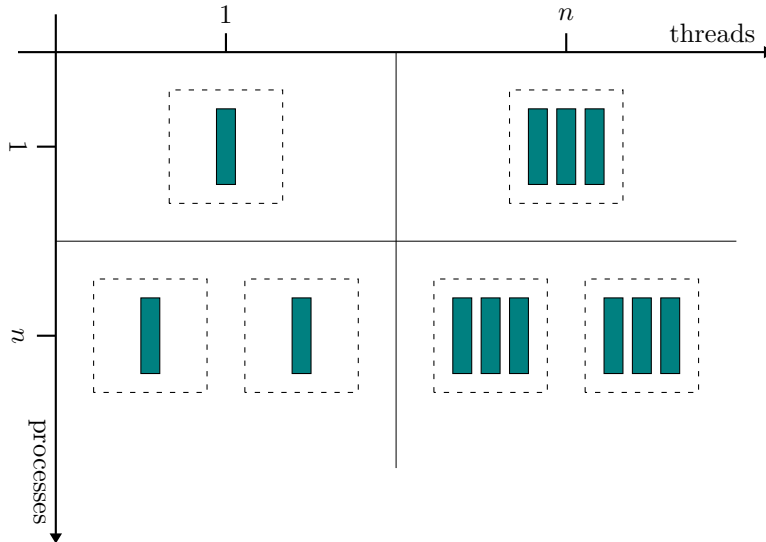


Figure 3: Multiplicity of processes and threads

- Multi core

In multithreading, multiple threads share the functions units of one processor via overlapping. The processor must duplicate the independent state of each thread:

- Separate copy of the register files
- Separate PC
- Separate page table

The memory is shared via the virtual memory mechanisms, which already support multi processes. Finally, the hardware must be ready for fast thread switch: it must be faster than full process switch (which is in the order of hundreds to thousands of clocks).

There are 2 apparent solutions:

1. **Fine grained** multi threading

- Switches from one thread to another at each instructions by taking turns, skipping when one thread is stalled
- The executions of more threads is interleaved
- The *CPU* must be able to change thread at every clock cycle.
- For n processes, each gets $1/n$ of *CPU* time and n times the original resources are needed

2. **Coarse grained** multithreading

- Switching from one thread to another occurs only when there are long stalls in the active process
- Two threads share many resources
- The switching from one thread to the other requires different clock cycles to save the context

Disadvantages of multithreading:

- for short stalls it does not reduce the throughput loss
- the *CPU* starts the execution of instructions that belongs to a single thread
- when there is one stall it is necessary to empty the pipeline before starting the new thread

Advantages of multithreading:

- in normal conditions the single thread is not slowed down

Could a processors oriented ad *ILP* exploit *TLP*?

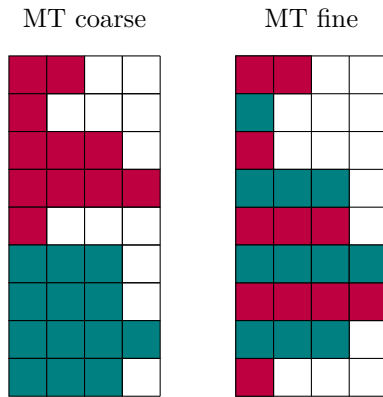


Figure 4: Comparison between fine and coarse multi-threading

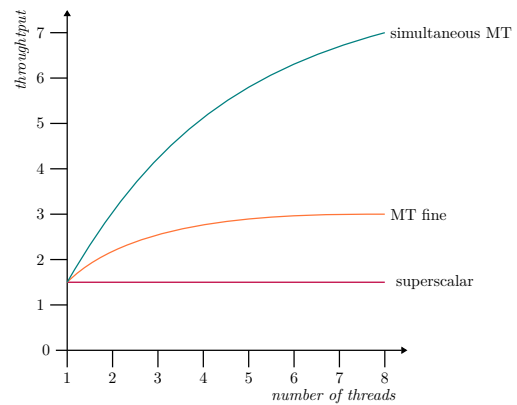


Figure 5: Performance comparison

- Thread level parallelism, simultaneous multithreading
 - Uses the resources of **one superscalar processor** to exploit simultaneously *ILP* and *TLP*
 - A *CPU* today has **more functional resources** than what one thread can if fact use
 - Simultaneously **schedule instructions** for execution from all threads
- A *CPU* that can handle these needs must be built
 - A **large set of registers** is needed, allowing multiple process to operate on different data on the same registers
 - **Mapping table for registers** is needed in order to tell each process where to write data
 - Each processor can manage a **set amount of threads**
- This is the most flexible way to manage multithreading but it requires more complex hardware

Comparison between many multithreading paradigms is shown in Figure 6.

3.1.2 Further improvements

It's difficult to increase the performance and clock frequency of the single core. The longest pipeline stage can be split in multiple smaller stages, allowing an higher throughput.

This concept is called **deep pipeline** and has a few drawbacks:

- **Heat dissipation** problems due to the increased number of components
- More stages imply **more faults** since sequential instructions are likely related
- **Transmissions delay** in wires start to get relevant
- **Harder design** and verifications by the hardware developers

3.2 Parallel Architectures

A **parallel computer** is a collection of processing elements that cooperate and communicate to solve large problems in a rapid way.

The aim is to replicate processors to add performance and not design a single faster processor. Parallel architecture extends traditional computer architecture with a communication architecture.

This concept needs:

- **Abstractions** for HW/SW interface
- **Different structures** to realize abstractions easily

Refer to Flynn Taxonomy (Section 1.1) for more details about these architectures.

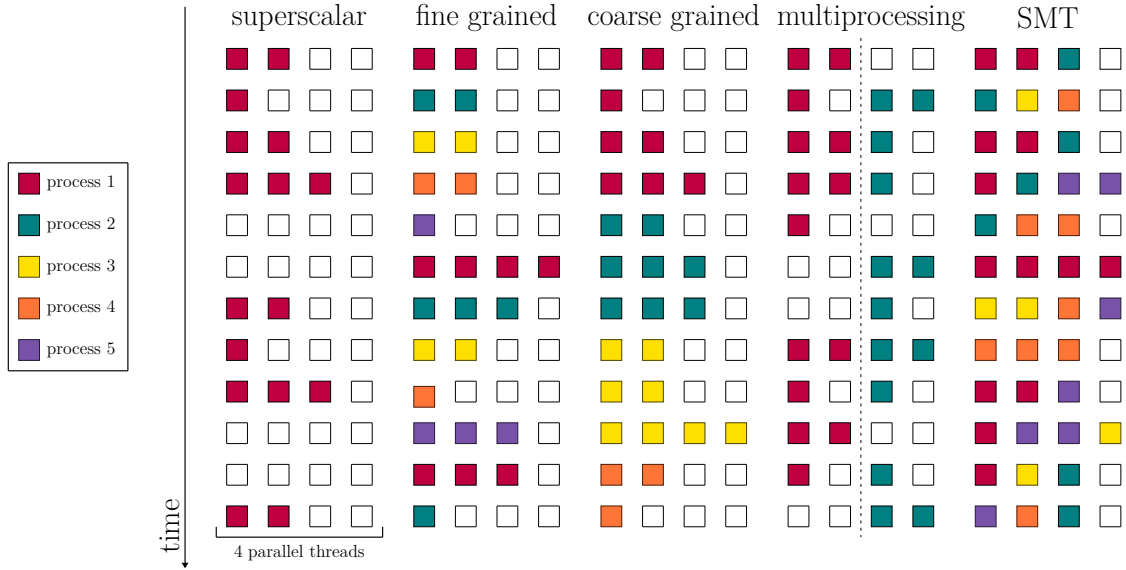


Figure 6: Multithreading comparison - each column shows the evolution of 5 different processes spread on 4 different threads over a set amount of time. A filled square illustrates a thread occupied by a process, while the empty ones represent an empty (**idle**) thread.

3.3 SIMD architecture

The characteristics of the *SIMD* architectures (*Single Instruction Multiple Data*) are:

- **Same instruction** executed by **multiple processors** using different data streams
- Each processor has its own data memory
- **Single instruction memory** and **single control processor** to fetch and dispatch instructions
- Processors are typically **special purpose**
- A **simple** programming model

The programming model features:

- Synchronized units
 - a single program counter
- Each unit has its own addressing registers
 - each unit can use different data address

Motivations for *SIMD*:

- The cost of the control unit is shared between all execution units
- Only one copy of the code in execution is necessary

In real life:

- *SIMD* architectures are a mix of *SISD* and *SIMD*
- A host computer executes sequential operations
- *SIMD* instructions sent to all the execution units, which has its own memory and registers and exploit an interconnection network to exchange data

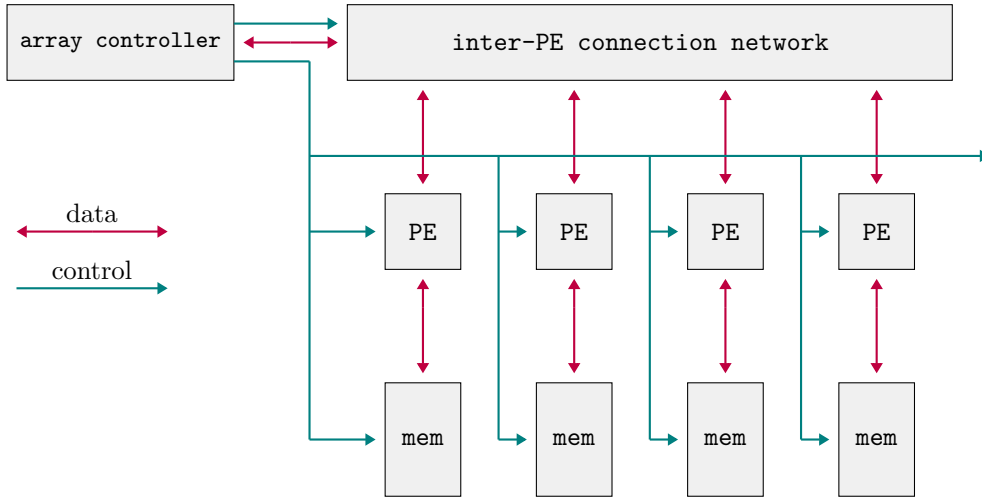


Figure 7: *SIMD* architecture

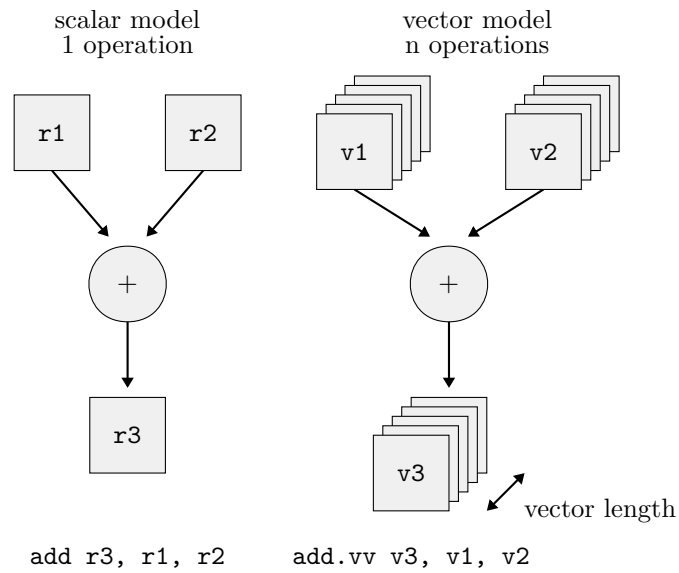


Figure 8: Vector processing

3.3.1 Vector processing

Vector processors have high level operations that work on linear arrays of number (vectors). A language that can handle vectors (and not scalar values) is needed as well.

A vector processor consists of a pipelined scalar unit and a vector units. There are 2 styles of vector architectures:

- **memory-memory** vector processors: all vector operations are memory to memory
- **vector-register** processors: all vector operations are between vector registers (except load and store)

The execution is done by using a deep pipeline, allowing very fast clock frequency and higher speeds. Since elements in the vectors are independent, there are no hazards and the pipelines are always full.

Vectors applications are not limited to scientific computing, as they are used in:

- Multimedia Processing
- Standard benchmarks kernels
- Lossy and Lossless Compression
- Cryptography and Hashing
- Speech and handwriting recognition
- Operating systems and networking
- Databases
- Language run time support
- ...

Example of vector code:

# C code	# Scalar Code	# Vector Code
for (i = 0; i < 64; i++)	LI R4, #64	LI VLR, #64
C[i] = A[i] + B[i];	loop:	LV V1, R1
	L.D F0, 0(R1)	LV V2, R2
	L.D F2, 0(R2)	ADDV.D V3,V1,V2
	ADD.D F4, F2, F0	SV V3, R3
	S.D F4, 0(R3)	
	DADDIU R1, 8	
	DADDIU R2, 8	
	DADDIU R3, 8	
	DSUBIU R4, 1	
	BNEZ R4, loop	

The structure of the vector unit is represented in Figure 9.

3.4 MIMD architecture

MIMD architectures are flexible as they can function as:

- **Single user** machines for high performance on one application
- Multiprogrammed multiprocessors running many tasks **simultaneously**
- Some combinations of the two aforementioned functions
- Can be build starting from standard CPUs

Each processor fetches its own instructions and operates on its own data. Processors are often off the shelf microprocessors, with the upside of being able to build a scalable architecture and having an high cost performance ratio.

To fully exploit a *MIMD* with n processors, there must be:

- At least n threads or processes to execute
 - those independent threads are typically identified by the programmer or created by the compiler
- Thread level parallelism

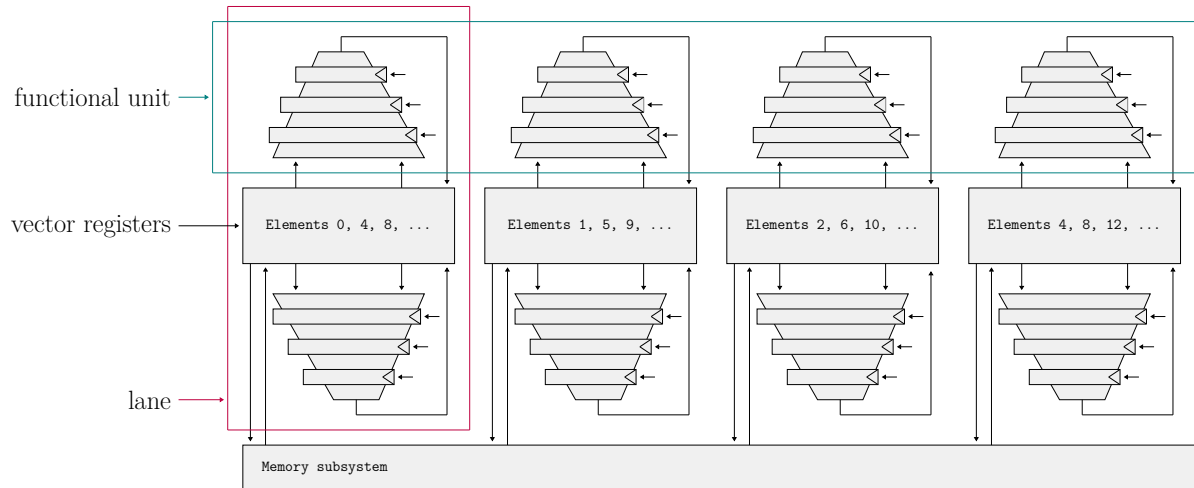


Figure 9: *Vector Unit* structure

- parallelism is identified by the software (and not by hardware like in superscalar CPUs)

MIMD machines can be characterized in 2 classes, depending on the number of processors involved:

- Centralized shared-memory architectures
 - At most a few dozen processors chips (less than 100 cores)
 - Large caches, single memory multiple banks
 - This kind of structures is often **symmetric multiprocessors (SMP)** and the style of architecture is called **Uniform Memory Access (UMA)**
- Distributed memory architectures
 - Supports **large processor count**
 - Requires **high bandwidth** interconnection
 - It has the disadvantage of the high volume of data communication between processors

4 Pipeline recap

The pipeline *CPI* (clocks per instruction) can be calculated as the sum of:

- **Ideal pipeline *CPI***
 - measure of the maximum performance attainable by the implementation
- **Structural stalls**
 - due to the inability of the *HW* to support this combination of instructions
 - can be solved with more *HW* resources
- **Data hazards**
 - the current instruction depends on the result of a prior instruction still in the pipeline
 - can be solved with *forwarding* or *compiler scheduling*
- **Control hazards**
 - caused by delay between the IF and the decisions about changes in control flow (*branches, jumps, executions*)
 - can be solved with *early evaluation, delayed branch, predictors*

The main features of pipelining are:

- **Higher throughput** for the entire workload
- Pipeline rate is limited by the **slowest** pipeline stage
- Multiple tasks operate **simultaneously**
- It **exploits parallelism** among instructions
- Time needed to "*fill*" and "*empty*" the pipeline reduces speedup

4.1 Stages in *MIPS* pipeline

The 5 stages in the *MIPS* pipeline are:

1. **Fetch - FE**
 - Instruction fetch from memory
2. **Decode - ID**
 - Instruction decode and register read
3. **Execute - EX**
 - Execute operation or calculate address
4. **Memory access - ME**
 - Access memory operand
5. **Write back - W**
 - Write result back to register

Each instructions is executed after the previous one has completed its first stage, and so on. When the pipeline is filled, five different activities are running at once. Instructions are passed from one unit to the next through a storage buffer. As an instruction progresses through the pipeline, all the information needed by the stages downstream must be passed along.

The stages are usually represented like in Figure 10.

IF	ID	EX	ME	WB
----	----	----	----	----

Figure 10: Stages in *MIPS* pipelines

4.2 Pipeline hazards

An **hazard** is a fault in a pipeline. They are created whenever there is a dependence between instructions that are close enough such that the overlap introduced by pipelining would change the order of access the operands involved in said dependence. They prevent the next instruction in the pipeline from executing during its designated clock cycle, reduce the performance from the ideal speedup.

There are three classes of hazards:

1. **Structural** hazards, due to attempt to use the same resource from different instructions at the same time
2. **Data** hazards *stalls*, due to attempt to use a result before it is ready
 - *Read after write - RAW*
→ the instruction tries to read a source register before it is written by a previous instruction
 - *Write after read - WAR*
→ the instruction tries to write a destination register before it is read by a previous instruction
 - *Write after write - WAW*
→ the instruction tries to write the before it is written by a previous instruction
→ it's also called *anti dependency* by compilers
3. **Control** hazards *stalls*, due to attempt to make a decision on the next instruction to execute before the condition itself is evaluated

Data stalls may occur with instructions such as:

- *RAW stall:*

```
r3 := (r1) op (r2)
r5 := (r3) op (r4) // r3 has not been written yet
```
- *WAR stall:*

```
r3 := (r1) op (r2)
r1 := (r4) op (r5) // r1 has not been read yet
```
- *WAW stall:*

```
r3 := (r1) op (r2)
r3 := (r6) op (r7) // r3 has not been written yet
```

4.2.1 Solutions to data hazards

There are many ways in which data hazards can be solved, such as:

- **Compilation** techniques
 - **Insertion of `nop`** instructions
 - **Instructions scheduling**
 - the compiler tries to avoid that correlating instructions are too close
 - it tries to insert independent instructions among correlated ones
 - when it can't, it inserts **`nop`** operations
- **Hardware** techniques
 - **Insertion** on *bubbles* or *stalls* in the pipeline
 - Data **forwarding** or bypassing

Both the compilation and the hardware techniques will be analyzed in depth in Section 6.1.

4.3 Complex in-order pipeline

While using floating points operations, a few questions might arise:

“What happens, architecture wise, when mixing integer and floating point operations? How are different registers handled? How can GPRs (general purpose registers) and FPRs (floating point registers) be matched?”

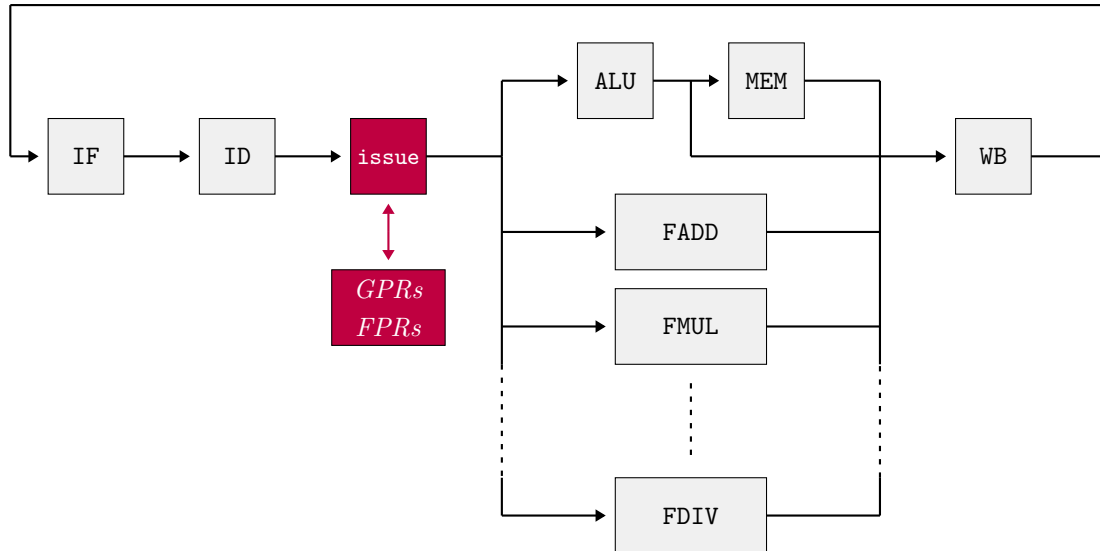


Figure 11: Complex-in order pipeline

In the complex in order pipeline there isn't a single *ALU* any more but the execution of floating point operations is split between a number of *Functional Units*. The *issue* stage detects conflicts while accessing any of them and it's able to delay the execution (*by usings stalls*) of an instructions in case of errors.

The pipeline is now constituted by 6 stages, normally represented like in Figure 12.



Figure 12: Pipeline stages with issue

Pipelining becomes complex when we want high performance in the presence of:

- **Long latency** or partially pipelined floating point units
- **Multiple functions** and memory units
- Memory systems with **variable access time**
- Precise **exception**

Formally, all the different executions must be balanced.

The main issues are:

- **Structural conflicts at the execution stage** if some *FPU* or memory unit is not pipelined and takes more than one cycle
- **Structural conflicts at the write back stage** due to variable latencies of different Functional Units (or *FUs*)
- Out of order write hazards due to variable latencies of different *FUs*
- Hard to handle exceptions

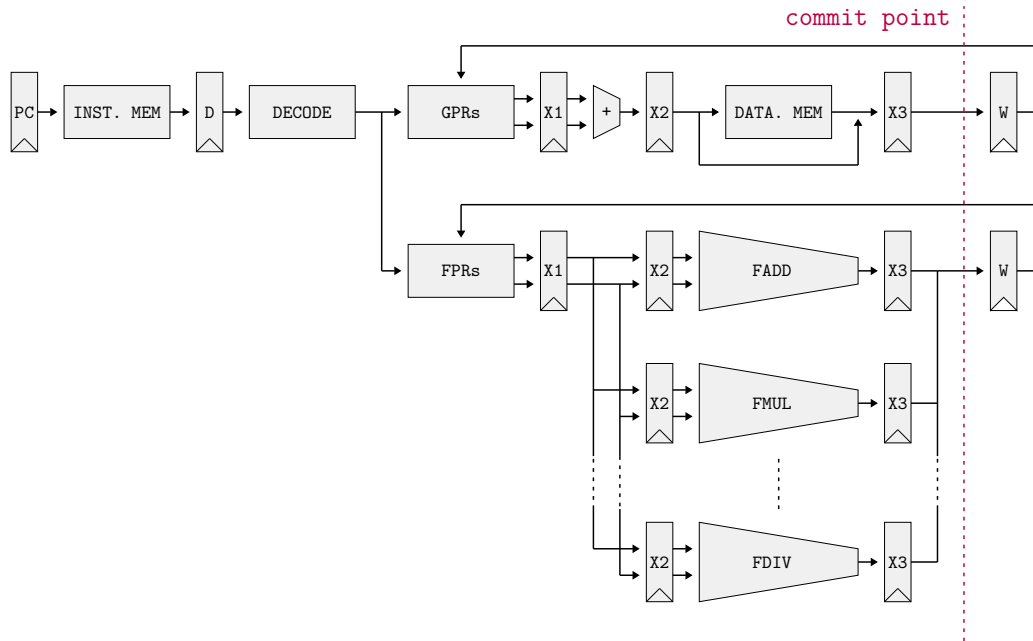


Figure 13: Complex pipelining

A possible technique to handle write hazards without equalizing all pipeline depths and without bypassing is by delaying all writebacks so all operations have the same latency into the WB stage. In this approach, the following events will happen:

- Write ports are **never oversubscribed**
 - one instruction *in* and one instruction *out* for every cycle
- Instruction commit happens **in order**
 - it simplifies the precise exception implementation

How is it possible to prevent increased write back latency from slowing down single cycle integer operations? Is it possible to solve all write hazards without equalizing all pipeline depths and without bypassing?

4.4 Instructions issuing

To reach higher performance, more parallelism must be extracted from the program. In other words, dependences must be detected and solved, while instructions must be scheduled as to achieve highest parallelism of execution compatible with available resources.

A data structure keeping track of all the instructions in all the functional units is needed. In order to work properly, it must make the following checks before the **issue** stage in order to dispatch an instruction:

1. Check if the **functional unit** is available
2. Check if the **input data** is available
 - *Failure in this step would cause a RAW*
3. Check if it's safe to write to the **destination**
 - *Failure in this step would cause a WAR or a WAW*
4. Check if there's a **structural conflict** at the WB stage

Such a suitable data structure would look like in Table 3.

An instruction at the **issue** stage consults this table to check if:

- The *FU* is available by looking at the *busy* column

<i>name</i>	<i>busy</i>	<i>op</i>	<i>destination</i>	<i>source 1</i>	<i>source 2</i>
<code>int</code>					
<code>mem</code>					
<code>add 1</code>					
<code>add 2</code>					
<code>add 3</code>					
<code>mult 1</code>					
<code>mult 2</code>					
<code>div</code>					

Table 3: Data structure to keep track of *FUs*

- A *RAW* can arise by looking at the *destination* column for its sources
- A *WAR* can arise by looking at the *source* columns for its destinations
- A *WAW* can arise by looking at the *destination* columns for its destinations

When the checks are all completed:

- An entry is **added** to the table if no hazard is detected
- An entry is **removed** from the table after **WB** stage

Later in the course (*Section 6.1.2*), this approach will be discussed more in depth.

4.5 Dependences

Determining **dependences** among instructions is critical to defining the amount of parallelism existing in a program. If two instructions are dependent, they cannot execute in parallel: they must be executed in order or only partially overlapped.

There exist 3 different types of dependences:

- **Name** Dependences
- **Data** Dependences
- **Control** Dependences

While hazards are a property of the pipeline, dependences are a property of the program. As a consequence, the presence of dependences does not imply the existence of hazards.

4.5.1 Name Dependences

Name dependences occurs when 2 instructions use the same register or memory location (*called name*), but there is no flow of data between the instructions associated with that *name*. Two type of name dependences could exist between an instruction *i* that precedes an instruction *j*:

- **Antidependence**: when *j* writes a register or memory location that instruction *i* reads. The original instruction ordering must be preserved to ensure that *i* reads the correct value
- **Output Dependence**: when *i* and *j* write the same register or memory location. The original instructions ordering must be preserved to ensure that the value finally written corresponds to *j*

Name dependences are not true data dependences, since there is no value (*no data flow*) being transmitted between instructions. If the name (*either register or memory location*) used in the instructions could be changed, the instructions do not conflict.

Dependences through memory locations are more difficult to detect (this is called the “*memory disambiguation*” problem), since two apparently different addresses may refer to the same memory location. As a consequence, it’s easier to rename a **register** than renaming a **memory location**. It can be done either **statically** by the compiler or **dynamically** by the hardware.

4.5.2 Data Dependences

A data or name dependence can potentially generate a data hazard (*RAW* for the former or *WAR* and *WAW* for the latter), but the actual hazard and the number of stalls to eliminate them are properties of the pipeline.

4.5.3 Control Dependences

Control dependences determine the ordering of instructions. They are preserved by two properties:

1. **Instructions execution in program order** to ensure that an instruction that occurs before a branch is executed at the right time (*before the branch*)
2. **Detection of control hazards** to ensure that an instruction (that is control dependent on a branch) is not executed until the branch direction is known

Although preserving control dependence is a simple way to preserve program order, control dependence is not the critical property that must be preserved.

5 Branch Prediction

The main goal of the **branch prediction** is to evaluate as early as possible the outcome of a branch instruction. Its performance depends on:

- The **accuracy**, measured in terms of percentage of incorrect predictions given
- The **cost of an incorrect prediction** measured in terms of time lost to execute useless instructions (misprediction penalty) given by the processor architecture
 - the cost increases for deeply pipelined processors
- **Branch frequency** given by the application
 - the importance of accurate branch prediction is higher in programs with higher branch frequency

There are many methods to deal with the performance loss due to branch hazards:

- Static branch prediction techniques: the actions for a branch are fixed for each branch during the entire execution
 - used in processors where the expectation is that the branch behaviour is highly predictable at compile time
 - can be used to assist dynamic predictors
- Dynamic branch prediction techniques: the actions for a branch can change during the program execution

In both cases, care must be taken not to change the processor state until the branch is definitely known.

5.1 Static techniques

There are 5 commonly used branch prediction techniques:

- *Branch always not taken*
- *Branch always taken*
- *Backward taken forward not taken*
- *Profile driven prediction*
- *Delayed branch*

Each one of these techniques will be discussed in the following Sections (from 5.1.1 to 5.1.5).

5.1.1 Branch Always Not Taken

The branch is always assumed as **not taken**, thus the sequential instruction flow that has been fetched can continue as if the branch condition was not satisfied. If the condition in state ID will result not satisfied (and the prediction is correct) performance can be preserved.

If the condition in stage ID will result satisfied (and the prediction is incorrect) the branch is taken: the next instruction already fetched is flushed (turned into a **nop**) and the execution is restarted by fetching the instruction at the branch target address. There is a one cycle penalty.

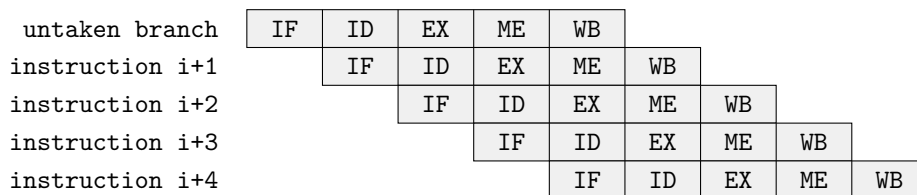


Figure 14: Branch always not taken: success

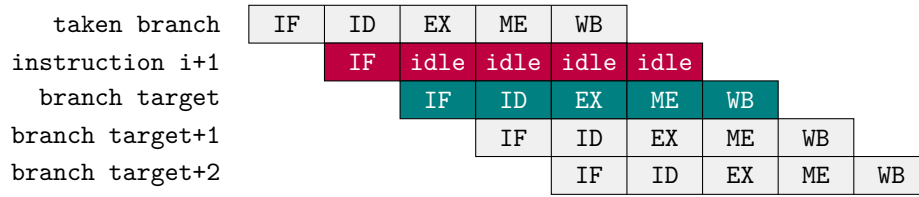


Figure 15: Branch always not taken: fail

5.1.2 Branch Always Taken

An alternative scheme is to consider **every branch as taken**: as soon as the branch is decoded and the branch target address is computed, the branch is assumed to be taken and the fetching and the execution stages can begin at the target.

The predicted-taken scheme makes sense for pipelines where the branch target is known before the actual outcome. In *MIPS* pipeline, the branch target address is not known before the branch outcome, so **there is no advantage in this approach**.

5.1.3 Backward Taken Forward Not Taken

The prediction is based on the branch direction:

- **Backward** going branches are predicted as **taken**
 - the branches at the end of the loops are likely to be executed most of the time
- **Forward** going branches are predicted as **not taken**
 - the if branches are likely not executed most of the time

5.1.4 Profile Driven Prediction

The branch prediction is based on profiling information collected from earlier runs.

This method can use compiler hints, and it's potentially more effective than the other ones. However, it's also the most complicated between the 5.

5.1.5 Delayed Branch

The compiler statically schedules and independent instruction in the branch delay slot, which is then executed whether or not the branch is taken.

If the branch delay consists of one cycle (as in *MIPS*), there's only a one delay shot. Almost all processors with delayed branch have a single delay shot, as it's difficult for the compiler to fill more than one slot.

If the branch:

- **Is taken**: the execution continues with the instruction after the branch
- **Is untaken**: the execution continues at the branch target

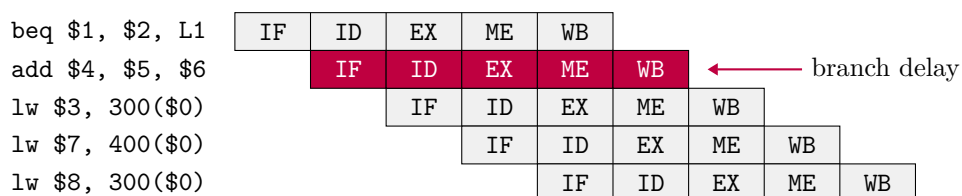


Figure 16: Delayed branch

The compiler job is to make the instruction placed in the branch delay slot valid and useful. There are three ways in which the branch delay slot can be scheduled:

1. From **before**
2. From **target**
3. From **fall through**

These methods will better analyzed in the following paragraphs.

In general, the compilers are able to fill about **half** of the delayed branch slots with valid and useful instructions, while the remaining slots are filled with **nop**. In deeply pipelined processors, the delayed branch is longer than one cycle: many slots must be filled for every branch, thus it's more difficult to fill each of the with *useful* instructions.

The main limitations on delayed branch scheduling arise from:

- **The restriction on the instruction** that can be scheduled in the delay slot
- **The ability of the compiler** to statically predict the outcome of the branch

To improve the ability of the compiler to fill the branch delay slot, most processor have introduced a **cancelling or nullifying branch**. The instruction includes the direction of the predicted branch:

- When the branch **behaves as predicted**, the instruction in the branch delay slot is **executed normally**
- When the branch is **incorrectly predicted**, the instruction in the branch delay slot is **flushed** (turned into a **nop**)

With this approach, the compiler does not need to be as conservative when filling the delay slot.

5.1.5.1 From before

The branch delay slot is scheduled with an independent instruction **from before the branch**.

The instruction in the branch delay slot is always executed, whether the branch is taken or not. An illustration of this strategy is represented in Figure 17.

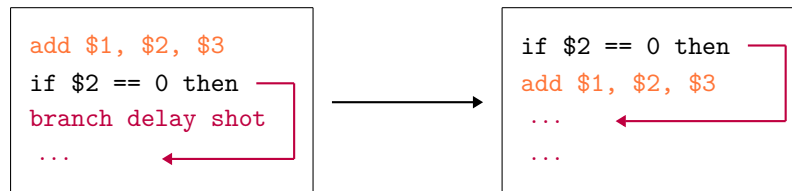


Figure 17: From before

5.1.5.2 From target

The use of a register in the branch condition prevents any instructions with that register as a destination from being moved after the branch itself. The branch delay slot is scheduled from **the target of the branch** (usually the target instruction will need to be copied because it can be reached by another path).

This strategy is preferred when the branch is taken with high probability, such as loop branches (**backward branches**). An illustration of this strategy is represented in Figure 18.

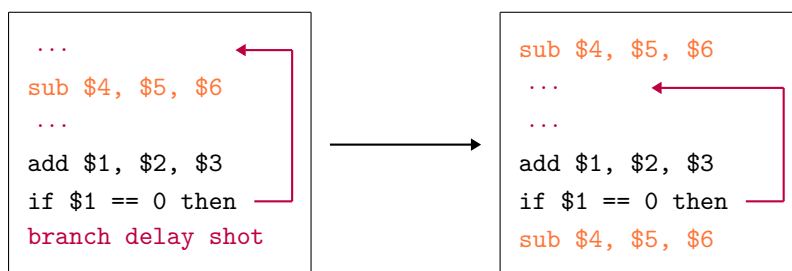


Figure 18: From target

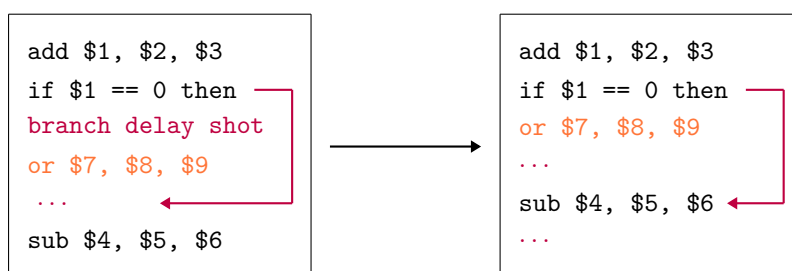


Figure 19: From fall through

5.1.5.3 From fall through

The use of a register in the branch condition prevents any instructions with that register as a destination from being moved after the branch itself (*like what happens in the from target technique*). The branch delay slot is scheduled from **the not taken fall through path**.

This strategy is preferred when the branch is not taken with high probability, such as **forward branches**. An illustration of this strategy is represented in Figure 19.

In order to make the optimization legal for the target, it must be ok to execute the moved instruction when the branch goes in the expected direction. The instruction in the branch delay slot is executed but its result is wasted (if the program will still execute correctly).

For example, if the destination register is an unused temporary register when the branch goes in the unexpected direction.

5.2 Dynamic Branch Prediction

Basic idea: use the past branch behaviour to predict the future.

Hardware is used to dynamically predict the outcome of a branch: the prediction will depend on the behaviour of the branch at run time and will change if the branch changes its behaviour during execution.

Dynamic Branch Prediction is based on two interacting mechanisms:

1. Branch Outcome Predictor (*BOP*)
 - used to predict the direction of a branch (taken or not taken)
2. Branch Target Predictor (*BTP*)
 - used to predict the branch target address in case of taken branch

These modules are used by the *Instruction Fetch Unit* to predict the next instruction to read in the instruction cache (*also called I-cache*):

- Branch **is not taken**: PC is incremented
- Branch **is taken**: *BTP* gives the target address

5.2.1 Branch Target Buffer

The **Branch Target Buffer** is a cache storing the predicated branch target address for the next instruction after a branch. The *BTB* is accessed in the **IF** stage using the instruction address of the fetched instruction (a possible branch) to index the cache.

The typical entry of the *BTB* is shown in Figure 20. The predicted target address is expressed as PC-relative.

address of a branch instruction	predicted destination address
---------------------------------	-------------------------------

Figure 20: Typical entry of the *BTB*

The structure and operation of the *BTB* is shown in Figure 21.

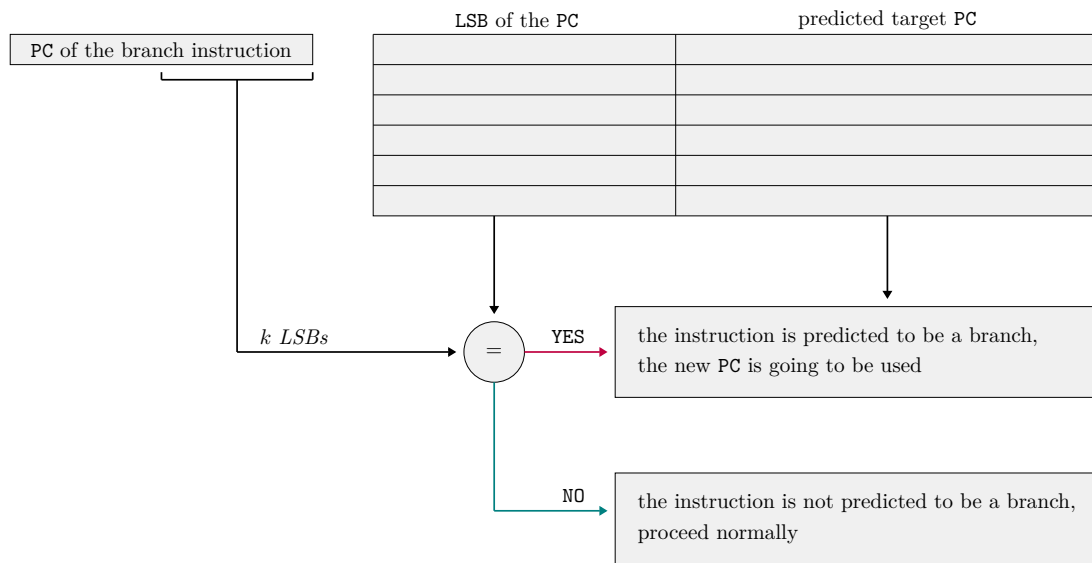


Figure 21: Structure of the *BTB*

5.2.2 Branch History Table

The **Branch History Table** contains 1 bit for each entry that says whether the branch was recently taken or not. It is indexed by the lower portion of the address of the branch instruction. The structure of the *BTB* is shown in Figure 22.

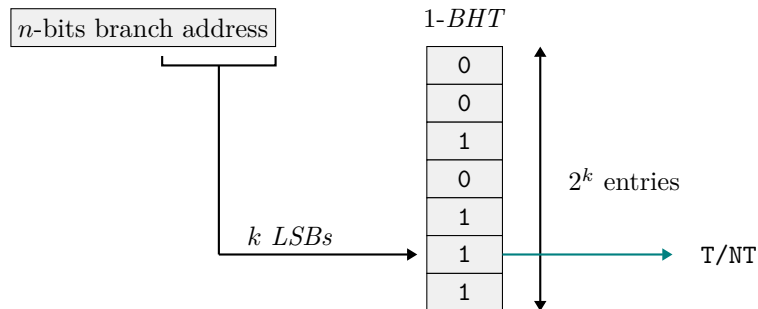


Figure 22: Structure of the *BHT*

The prediction is a hint that it is assumed to be correct and fetching begins in the predicted direction. If the hint turns out to be wrong, the prediction bit is inverted and stored back. Then the pipeline is flushed and the correct sequence is executed.

The table has no tags (*every access is a hit*) and the prediction bit could have been put there by another branch with the same LSBs. The 1-bit branch history table only considers the last status of the branch (*either taken or not taken*). It is a simple *FSA* where a misprediction will change the current value. Its structure is shown in Figure 23.

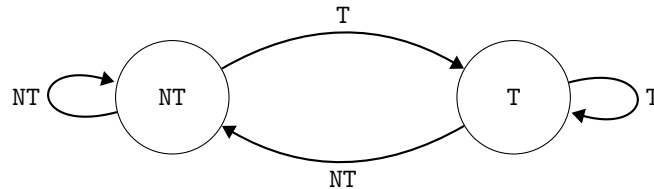


Figure 23: 1-bit *BHT* as *FSA*

A misprediction occurs when:

- The prediction is **incorrect** for that branch
- The same index has been referenced by two different branches, and the **previous history refers to the other branch**
 - to solve this problem it's enough to increase the number of rows in the *BHT* or to use a hashing function (such as in *GShare*).

In a loop branch, even if a branch is almost always taken and then not taken one, the 1-bit *BHT* will mispredict **twice** (*rather than once*) when it is not taken. That situation causes two wrong predictions:

- At the **last loop iteration**
 - the loop must be exited
 - the prediction bit will say TAKE
- While **re-entering the loop**
 - at the end of the first iteration the branch must be taken to stay in the loop
 - the prediction bit will say NOT TAKE because the bit was flipped on previous execution of the last iteration of the loop

In order to fix this kind of behaviour, the 2-bit *BHT* was introduced.

5.2.3 2-bit Branch History Table

By adding one bit to the *BHT*, the prediction must miss twice before it is changed. In a loop branch, there's no need to change the prediction for the last iteration.

For each index in the table, the 2 bits are used to encode the four states of a *FSA*. Its structure is represented in Figure 24.

5.2.4 *k*-bit Branch History Table

It's a generalization: *n*-bit saturating counter for each entry in the prediction buffer.

The counter can take on values between 0 and $2^n - 1$. When the counter is greater than or equal to one half of its maximum value, the branch is predicted as taken. Otherwise, it's predicted as untaken.

As in the 2-bit scheme, the counter is incremented on a taken branch and decremented on an untaken branch. Studies on *n*-bit predictors have shown that 2 bits behave almost as well (*so using more than 2 bits is almost useless*).

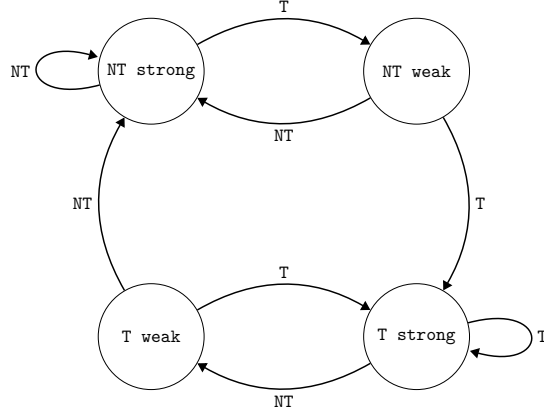


Figure 24: 2-bit *BHT* as *FSA*

5.3 Correlating Branch Predictors

Basic idea: the behaviour of recent branches are correlated, that is the recent behaviour of other branches rather than just the current branch that we are trying to predict can influence the prediction of the current branch.

The **Correlating Branch Predictors** are predictors that use the behaviour of other branches to make a prediction. They are also called *2-level Predictors*. Their scheme is represented in Figure 25.

A (1,1) Correlating Predictor denotes a 1-bit predictor with 1-bit of correlation: the behaviour of the last branch is used to choose among a pair of 1-bit branch predictors.

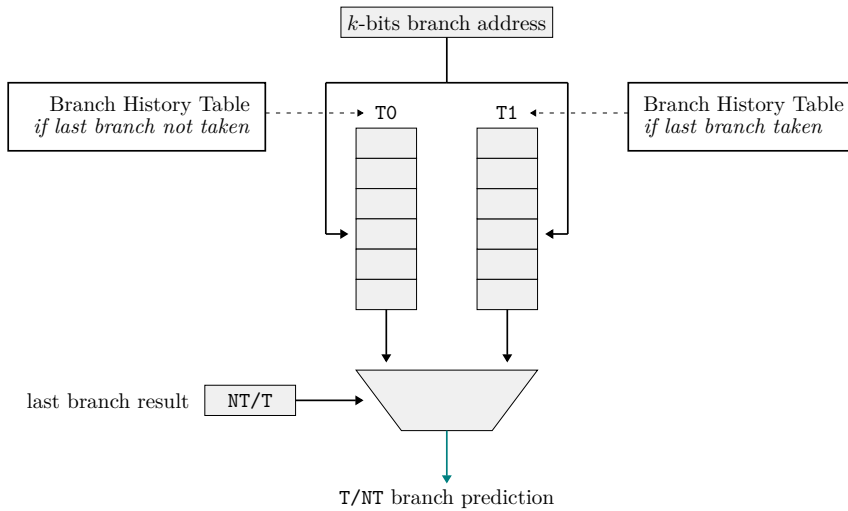


Figure 25: Structure of the *Correlating Branch Predictors*

5.3.1 (m, n) Correlating Branch Predictors

In general, (m, n) correlating predictors records last m branches to choose from 2^m *BHTs*, each of which is a n -bit predictor.

The branch prediction buffer can be indexed by using a concatenation of low order bits from the branch address with m -bit global history (*i.e. global history of the most recent m branches, implemented with a shift register*). The general structure of a (m, n) *CBP* is represented in Figure 26.

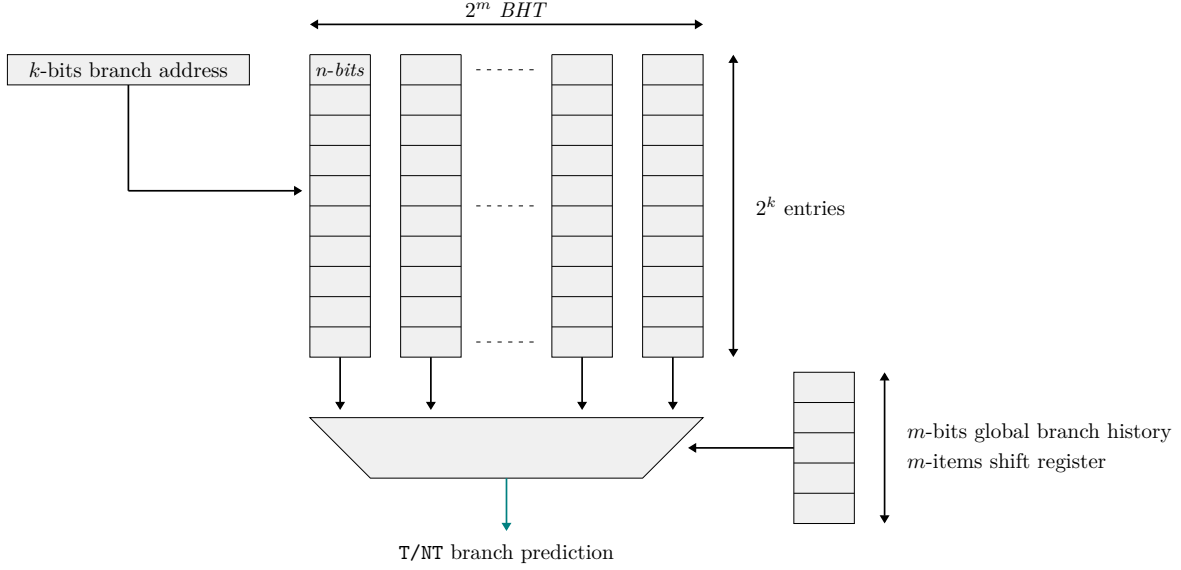


Figure 26: Structure of the (m, n) Correlating Branch Predictors

5.3.1.1 A $(2, 2)$ Correlating Branch Predictor

A $(2, 2)$ correlating predictor has 4 2-bit Branch History Tables. It uses the 2-bit global history to choose among the 4 BHT s.

- Each BHT is composed of 16 entries of 2-bit each
- The 4-bit branch address is used to choose four entries (a row)
- 2-bit global history is used to choose one of four entries in a row (one of the four BHT s)

5.3.1.2 Accuracy of Correlating Predictors

A 2-bit predictor with no global history is simply a $(0, 2)$ predictor.

By comparing the performance of a 2-bit simple predictor with 4000 entries and a $2, 2$ correlating predictor with 1000 entries, we find out that the latter not only outperforms the 2-bit predictor with the same number of total bits but also often outperforms a 2-bit predictor with an unlimited number of entries.

5.4 Two Level Adaptive Branch Predictors

The first level history is recorded in one (or more) k -bit shift register called Branch History Register (BHR) which records the outcomes of the k most recent branches. The second level history is recorded in one (or more) tables called Pattern History Table (PHT) of two bit saturating counters.

The BHR is used to index the PHT to select which 2-bit counter to use. Once the two bit counter is selected, the prediction is made using the same method as in the two bit counter scheme.

5.4.1 GA Predictor

The **GA Predictor** is composed of a BHT (local predictor) and by one (or more) GAs (local and global predictor):

- The BHT is indexed by the low order bits of the PC (branch address)
- The GAs are a 2-level predictor: PHT is indexed by the content of BHR (global history)

The structure of a GA predictor is represented in Figure 27.

5.4.2 GShare Predictor

The **GShare Predictor** is a local XOR global information, indexed by the exclusive OR of the low order bits of *PC* (branch address) and the content of *BHR* (global history). The structure of a *GShare* predictor is represented in Figure 28.

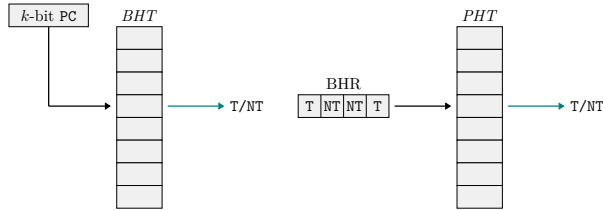


Figure 27: GA Predictor

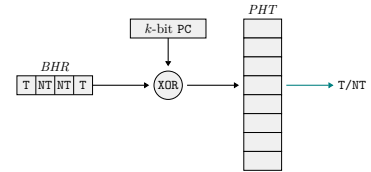


Figure 28: GShare Predictor

6 Instruction Level Parallelism - *ILP*

The objective of the *ILP* is to improve the *CPI*, with the ideal goal of 1 *cycle per instruction*.

The *ILP* implies a potential overlap of execution among unrelated instructions. This goal is only achievable if there are no **hazards** (*as described in Section 4.2*).

Two properties are critical to program correctness (and normally preserved by maintaining both data and control dependences):

1. **Exception behaviour**: preserving exception behaviour means that any changes in the ordering of instructions execution must not change how exceptions are raised in the program
2. **Data flow**: actual flow of data values among instructions that produces the correct results and consumes them

6.1 Strategies to support *ILP*

There are main two software strategies to support *ILP*:

1. **Dynamic Scheduling**: depends on the hardware to locate parallelism
2. **Static Scheduling**: relies on the software to identify potential parallelism

Usually, hardware intensive approaches dominate desktop and server markets.

6.1.1 Dynamic scheduling

The hardware reorders the instruction execution to reduce pipeline stall while maintaining data flow and exception behaviour.

Properties of the Dynamic Scheduling:

1. Instructions are fetched and **issued in program order**
2. Execution begins **as soon as operands are available**, possibly out of order execution
3. Out of order execution introduces possibility of *WAR* and *WAW* data hazards
4. Out of order execution implies **out of order completion**
→ a *reorder buffer* is needed to reorder the output

The two main techniques used by hardware to minimize stalls are:

- **Forwarding**

- The result from the EX/MEM and the EX/WB pipeline registers is **fed back** to the ALU inputs
- If the forwarding hardware detects that the previous ALU operation has **written the register corresponding to a source for the current ALU operation**:
 - control logic selects the forwarded result as the ALU input
 - the value read from the register file is discarded
- The ALU needs **multiplexers** that allow it to select the correct inputs from the pipeline
- This technique can be generalized to include **passing a result directly to the functional unit** that requires it
→ in that case, a result is forwarded from the pipeline register corresponding to the output of one unit to the input of another, rather than just from the result of a unit to the input of the same unit

- **Stalling**

- Since not all potential data hazards can be solved by bypassing, so a piece of hardware called *pipeline interlock* is added
- When it detects a hazard, it **stalls** the pipeline until that hazard is solved
- The stalls are often referred to as "*bubbles*"

Advantages of dynamic scheduling:

- It enables handling some cases where dependences are **unknown** at compile time
- It **simplifies** the compiler complexity
- It allows compiled code to run **efficiently** on a different pipeline

Disadvantages:

- A significant increase in **hardware complexity**
- Increased **power consumption**
- Could generate **imprecise exception**

6.1.2 CDC6600 Scoreboard

As discussed earlier (*Section 4.4*), a specific data structure is needed to solve data dependences without specialized compilers. The first implementation of such an hardware is found in the **CDC6600 Scoreboard**, created in 1963.

Its key idea is to allow instruction behind stalls to proceed, with the result of a 250% speedup with regards to no dynamic scheduling and a 170% speedup with regards to instructions reordering by compiler. It has the downside of having a **slow memory** (due to the absence of cache) and **no forwarding hardware**. Furthermore, it has a low number of *FUs* and it does not issue on structural hazards.

It solves the issue of data dependences that cannot be hidden with bypassing or forwarding due to the hardware stalls of the pipeline by allowing out of order execution and commit of instructions.

The scoreboard centralizes the hazard management. It can avoid them by:

- Dispatching **instructions** in order to functional units provided there's no structural hazard or *WAW*
 - a **stall** is added on structural hazards (*when no functional unit is available*)
 - there can be only one pending write to each register
- Instructions **wait** for input operands to avoid *RAW* hazards
 - as a result, it can execute out of order instructions
- Instructions **wait** for output register to be read by preceding instructions to avoid *WAR* hazards
 - results are held in functional units until the register is freed

The scoreboard is operated by:

1. **Sending** each instruction through it
2. **Determining** when the instruction can read its operands and subsequently start its execution
3. **Monitoring** changes in hardware and deciding when a stalled instruction can execute
4. **Controlling** when instruction can write results

As a result, a new pipeline is introduced, where the ID stage is divided in two parts:

1. *issue*, where the instruction is decoded and **structural hazards** are checked
2. *read operands*, where the operation waits until there are no **data hazards**

Finally, the scoreboard is structured in three different parts:

1. **Instruction** status
2. **Functional Units** status
 - fields indicating the state of each *FUs*:
 - **Busy** - indicates whether the unit is busy or not
 - **Op** - the operation to perform in the unit
 - **Fi** - the destination register
 - **Fj, Fk** - source register numbers
 - **Qj, Qk** - functional units producing source registers
 - **Rj, Rk** - flags indicating when **Fj, Fk** are ready

3. Register result status

- indicates which functional unit will write each register
- it's **blank** if no pending instructions will write that register

An illustration of the new pipeline is represented in Figure 29, while the structure of the scoreboard is represented in Figure 30.

ID		EX	WB
<i>issue</i>	<i>read operands</i>	<i>execution</i>	<i>write back</i>

Figure 29: Pipeline introduced by the *Scoreboard*

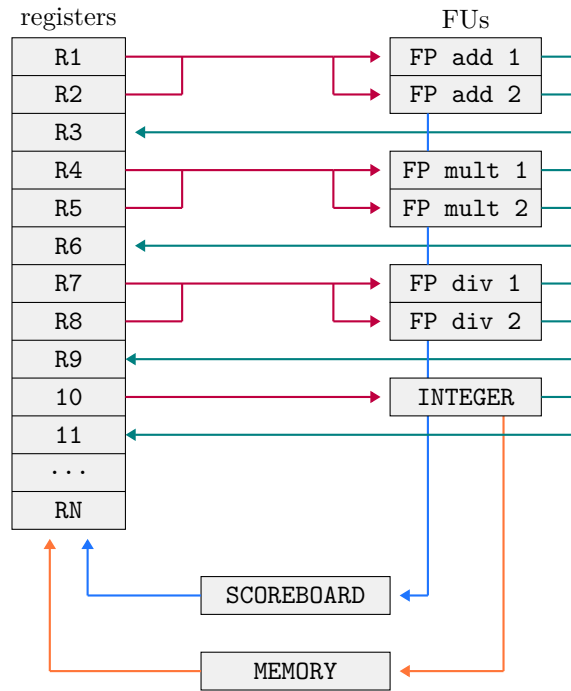


Figure 30: Structure of the *Scoreboard*

6.1.2.1 Four stages of Scoreboard Control

The four stages of the scoreboard control are:

- **Issue:** instructions are decoded and structural hazards are checked for
 - instructions are issued in program order for hazard checking
 - if the *FU* for the instruction is free and no other active instruction has the same destination register, the scoreboard issues the instruction and updates its internal data structure
 - if a structural or *WAW* hazard exists, then the instruction issue stalls and no further instructions is issued until they are solved
- **Read operands:** expiration of data hazards is awaited, then operands are read
 - a source operand if available if no earlier issued active instruction will write it or a functional unit is writing its value into a register

- when the source operands are available, the scoreboard tells the *FU* to proceed to read the operands from the registers begin execution
- *RAW* hazards are resolved dynamically, instructions could be sent out of order
- there's no data forwarding in this model
- **Execution:** the *FUs* operate on the data
 - when the result is ready, the scoreboard it's notified
 - the delays are characterized by **latency** and **initiation interval**
- **Write result:** the execution is finished
 - once the scoreboard is aware that the *FU* has completed the execution, it checks for *WAR* hazards
 - if no *WAR* hazard is found, the result is written
 - otherwise, the execution is stalled
 - **issue** and **write** stages can overlap

This structure adds a few implications:

- *WAW* are detected (and the pipeline is stalled) until the other instruction is completed
- There's no register renaming
- Multiple instructions must be dispatched in the execution phase, creating the need for multiple or pipelined execution units
- Scoreboard keeps track of dependences and the state of the operations

6.1.3 Tomasulo algorithm

The Tomasulo algorithm is a **dynamic** algorithm that allows execution to proceed in presence of dependences. It was invented at IBM 3 years after *CDC 6600* scoreboard with the same goal.

The key idea behind this algorithm is to **distribute** the control logic and the buffers within *FUs*, as opposed to the scoreboard (in which the control logic is centralized).

The operand buffers are called **Reservation Stations**. Each instruction is also an entry to a Reservation Station and its operands are replaced by values or pointers (a technique known as **Implicit Register Renaming**) in order to avoid *WAR* and *RAW* hazards.

Results are then dispatched to other *FUs* through a *Common Data Bus*, communicating both the data and the source. Finally, **LOAD** and **STORE** operations are treated as *FUs*, as Reservation Stations are more complex than architectural registers to allow more compiler-level optimizations.

6.1.3.1 Structure of the Reservation Stations

The Reservation Station is composed by 5 fields:

- **TAG** - indicating the *RS* itself
- **OP** - the operation to perform in the unit
- **Vj, Vk** - the value of the source operands
- **Qj, Qk** - pointers to the *RS* that produces **Vj, Vk**
 - its value is zero if the source operator is already available in **Vj** or **Vk**
- **BUSY** - indicates the *RS* is busy

In this description, only one between the **V**-field and the **Q**-field is valid for each operand.

Furthermore, a few more components exist:

- *Register File* and the *Store* buffers have a *Value (V)* and a *Pointer (Q)* field
 - **Q** corresponds to the number of the *RS* producing the result (**V**) to be stored in *Register File* or *Store* buffers
 - if **Q** = 0, no active instructions is producing a result and the *Register File* (or *Store*) buffer contains the wrong value
- Load and Store buffers have an *Address (A)* field, with the former having also a *Busy* field (**BUSY**)
 - the **A** field holds information for memory address calculation: initially contains the instruction offset, while after the calculation it stores the effective address

6.1.3.2 Stages of the Tomasulo Algorithm

The Tomasulo algorithm is structured in 3 different stages: **Issue**, **Execute** and **Write**.

In more detail:

1. *Issue* stage:

- Get an instruction *I* from the queue
 - if it is an *FP* operation, check if any *RS* is empty (i.e. check for any structural hazard)
- Rename the registers
- Resolve *WAR* hazards
 - if *I* writes *R*, read by an already issued instruction *K*, *K* will already know the value of *R* or knows that instruction will write into it
 - the *Register File* can be linked to *I*
- Resolve *WAW* hazards
 - since the in-order issue is used, the *Register File* can be linked to *I*

2. *Execute* stage:

- When both the operands are ready, then the operation is executed. Otherwise, watch the *Common Data Bus* for results.
 - by delaying the execution until both operands are available, *RAW* hazards are avoided
 - several instructions could become ready in the same clock cycle for the same *FU*
- **LOAD** and **STORE** are two step processes:
 - effective address is computed and placed in **LOAD/STORE** buffer
 - **LOAD** operations are executed as soon as the memory unit is available
 - **STORE** operations wait for the value to be stored before sending it into the memory unit

3. *Write* stage:

- When the result is available, it is written on the *Common Data Bus*
 - it is then propagated into the *Register File* and all the registers (*including store buffers*) waiting for this result
 - **STORE** operations write data to memory
 - *RSs* are marked as available

6.1.3.3 Focus on LOAD and STORE in Tomasulo Algorithm

LOAD and **STORE** instructions go through a functional unit for effective computation before proceeding to the effective load and store buffers. **LOAD** take a second execution step to access memory, then go to *Write* stage to send the value from memory to *Register File* and/or *RS*, while **STORE** complete their execution in their *Write* stage.

All write operations occur in the write stage, simplifying the algorithm.

A **LOAD** and a **STORE** instruction can be done in different order, provided they access different memory locations. Otherwise, a *WAR* (*interchange in load-store sequence*) or a *RAW* (*interchange in store-load sequence*) may result (generating a *WAW* if two stores are interchanged).

LOAD instructions can be reordered freely.

In order to detect such hazards, data memory addresses associated with any earlier memory operation must have been computed by the *CPU*.

LOAD instructions executed out of order with previous **STORE** assume that the address is computed in program order. When the **LOAD** address has been computed, it can be compared with **A** fields in active **STORE** Buffers: in case of a match, load is not sent to its buffer until conflicting **STORE** completes.

Store instructions must check for matching addresses in both **LOAD** and **STORE** buffers. This is a **dynamic disambiguation** and, opposing to the static disambiguation, is not performed by the compiler.

As a drawback, more hardware is required to perform these operations: each *RS* must contain a fast associative buffer, because single *CDB* (*Common Data Bus*) may limit performance.

6.1.3.4 Tomasulo and Loops

Tomasulo algorithm can overlap iterations of loops due to:

- **Register Renaming**
 - multiple iterations use different physical destinations for registers
 - static register names are replaced from code with dynamic registers "*pointers*", effectively increasing the size of the register file
 - instruction issue is advanced past integer control flow operations
- **Fast branch resolution**
 - Integer unit must "*get ahead*" of floating point unit so that multiple iterations can be issued

6.1.3.5 Comparison between Tomasulo Algorithm and Scoreboard

The main advantages of the Tomasulo algorithm over the scoreboard are:

- Control and buffers are distributed with *FUs*
 - *FUs* buffers are called **reservation stations** and have pending operands
- Registers in instructions are replaced by values or pointers to *RS*
 - avoids *WAR* and *WAW* hazards
 - since there are more *RS* than registers, there's an higher optimization than compilers alone can do
- The result are propagated from *RS* to *FU* via *Common Data Bus*
 - the value is propagated **to all *FUs***
- **LOAD** and **STORE** instructions are treated as *FUs* with *RSs*
- Integer instructions can go past branches, allowing *FP* ops beyond basic block in *FP* queue

6.2 Limits of *ILP*

In order to execute more than one instruction at the beginning of a clock cycle, two requirements must be satisfied:

1. Fetching more than one **instruction per clock cycle**
 - this task is completed by the *Fetch Unit*
 - there is no major problem provided the instruction cache (*I-cache*) can sustain the the bandwidth and can manage multiple requests at one
2. Decide on data and control **dependences**
 - *dynamic scheduling* and *dynamic branch prediction* are needed

Superscalar architectures paired with compiler scheduling are able to achieve such speeds.

A few requirements must be satisfied in order to start an ideal machine:

1. **Register renaming**
 - by using an infinite number of virtual registers, all *WAW* and *WAR* hazards are avoided
2. **Branch prediction**
 - by using an a perfect predictor, no branch is ever mispredicted
3. **Jump prediction**
 - all jumps are perfectly predicted
 - a machine with perfect speculation and an infinite buffer of instructions is needed
4. **Memory address alias analysis**
 - addresses are known and a **STORE** can be moved before a **LOAD** if their addresses are different
5. **1 cycle latency** for all instructions
 - an unlimited number of instruction can be issued each clock cycle

6.2.1 Initial assumptions

Furthermore, a few **initial assumptions** must be made:

- *CPU* can issue an unlimited number of instructions, looking arbitrarily far ahead in the computation
- There's no restriction on types of instructions that can be executed in one cycle (including loads and stores)
- All *FUs* have unitary latency: any sequence of depending instructions can issue on successive cycles
- All LOAD and STORE execute in 1 cycle, thanks to perfect caches

6.2.2 Limits dynamic analysis

Dynamic analysis is necessary to approach perfect branch prediction, and it cannot be achieved at compile time. A perfect dynamic scheduled *CPU* should:

1. Look arbitrarily far ahead to find set of instructions to issue and predict all branches perfectly
2. Rename all registers in use, avoiding all *WAR* and *RAW* hazards
3. Determine whether there are data dependences among instructions in the issue packet, renaming them if necessary
4. Determine and handle all memory dependences among issuing instructions
5. Provide enough replicated functional units to allow all ready instructions to issue

6.2.3 Limits on window size

Size of the window size affects the number of comparisons needed to determine *RAW* dependences. The number of comparisons that are needed with infinite register is:

$$2 \sum_{i=1}^{n-1} i = 2 \cdot \frac{(n-1)n}{2} = n^2 - n$$

For a window size of 2000 almost 4 million comparisons are needed. A more realistic window with a size of 50 instructions still needs 2450 comparisons.

Today's *CPUs* have constraints deriving from the limited number of register, the search for dependent instructions and the in order instructions issue.

6.2.4 Other limits of modern *CPUs*

- Number of *FUs*
- Number of *buses*
- Number of ports for the register file

All these limitations impose that the maximum number of instructions that can be issued, executed or committed in the same clock cycle is much smaller than the window size.

In real life, the maximum size of issue width is capped at 6. Increasing the issue rate above this value (*i.e.* at 12) would require the *CPU* to:

- issue 3 or 4 data memory accesses per cycle
- resolve 2 or 3 branches per cycle
- rename and access more than 20 registers per cycle
- fetch between 12 and 24 instructions per cycle

The complexities of implementing these capabilities is likely to mean sacrifices in the maximum clock rate. Power consumption is nowadays an issue and it would grow too much.

The key question to answer is whether a technique is **energy efficient** enough:

“Does it increase power consumption faster than it increases performances?”

Multiple issue processors techniques are all energy **inefficient**, as:

- Issuing multiple instructions incurs some overhead in logic that grows faster than the issue rate grows
- A growing gap between peak issue rates and sustained performance is introduced, increasing energy per performance ratio

6.3 Static Scheduling

Compilers can use sophisticated algorithms for code scheduling to exploit *ILP*. The amount of parallelism available within a basic block (*a straight line code sequence with no branches in except to the entry and no branches out except at the exit*) is quite small. Data dependence can further limit the amount of *ILP* that can be exploited within a basic block to much less than the average basic block size. To obtain substantial performance enhancements, *ILP* must be exploited across multiple basic blocks (*i.e. across branches*).

The static detection and resolution of dependences is accomplished by the compiler, so they are avoided by code reordering. The compiler outputs dependency-free code.

Limits of static scheduling:

- **Unpredictable** branches
- Variable memory **latency** (due to unpredictable cache misses)
- Huge increase in code **size**
- High compiler **complexity**

6.4 VLIW architectures

The **Very Long Instruction Words** (*VLIW*) is a particular architecture made specifically to fetch more instructions at a time. The *CPU* issues multiple sets of operations (single unit of computations, such as **add**, **load**, **branch**, ...) called **instructions**. Those are meant to be intended to be issued at the same time and the compiler has to specify them completely.

Its features includes:

- Fixed number of instructions (*between 4 and 16*)
- The instructions are scheduled by the **compiler**
 - the hardware has very limited control on what is going on
 - the instructions are going to have a very low dependency
- The operations are put into wide **templates**
- **Explicit** parallelism
 - parallelism is found at compile time, not run time
 - the compiler is responsible for parallelizing the code, not the designer
- Single **control flow**
 - there's only one PC
 - only one instruction is issued each clock cycle
- Low hardware **complexity**
 - there's no need to to perform *scheduling* or *reordering* on hardware level
 - all operations that are supposed to begin at the same time are packaged into a single instruction
 - each operations slot is meant for a fixed functions
 - constant operation latencies are specified

There are multiple **functional units** (*FUs*) that are going to execute instructions in parallel. An illustration of the inner working instruction-level is represented in Figure 31, while at pipeline level is represented in Figure 32.

6.4.1 Compiler responsibilities

The compiler has to schedule the instructions to maximize the parallel execution

- It can exploit *ILP* and *LLP* (*Loop level parallelism*)
- It is necessary to map the instructions over the machine functional units
- This mapping must account for time constraints and dependences among the tasks themselves
- it performs **static scheduling**

The idea behind the static scheduling in *VLIW* is to utilize all functional units (*FUs*) in each cycle as much as possible to reach a better *ILP* and therefore higher parallel speedups.

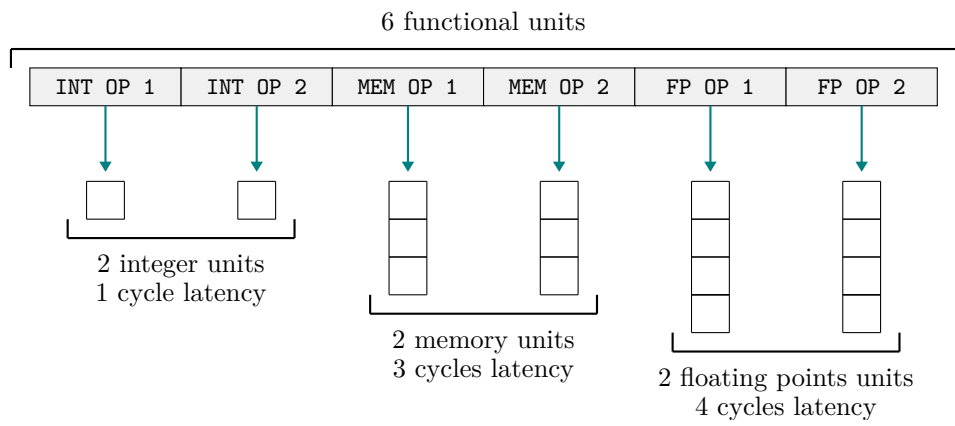


Figure 31: *VLIW* - instructions level

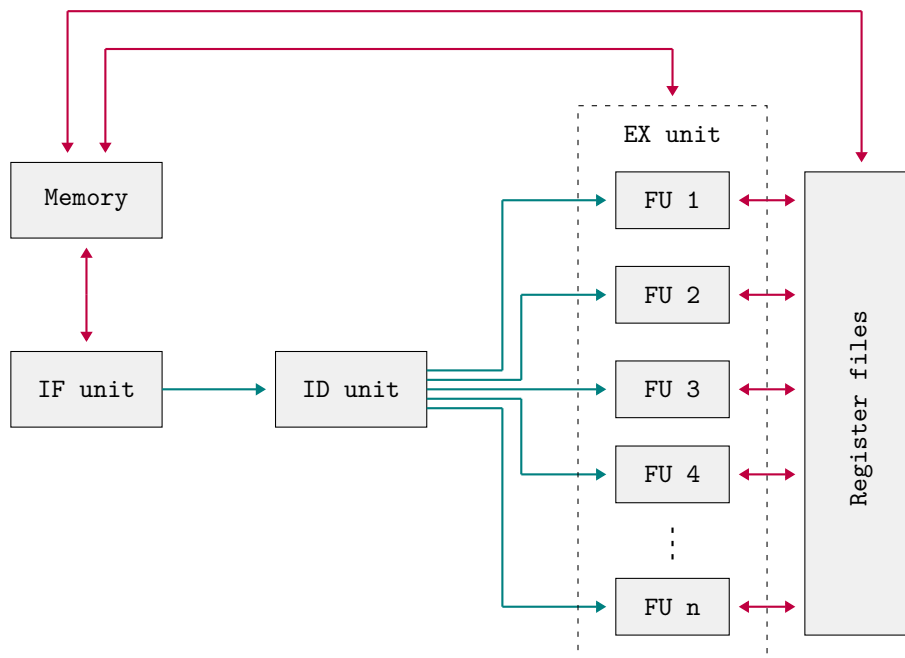


Figure 32: *VLIW* - pipeline level

6.4.2 Basic Blocks and Trace Scheduling

Compilers use sophisticated algorithms to schedule code and exploit *ILP*. However, the amount of parallelism available in a single **basic block**, as previously pointed out, is quite small. Furthermore, **data dependence** can limit the amount of *ILP* that can be exploited to less than the average block size.

A **basic block** (*BB*) is defined as a sequence of straight non branch instructions.

In order to obtain substantial performance enhancements, the *ILP* must be exploited across multiple blocks (*i.e.* among branches). An illustration of the structure of *BB* can be found in Figure 33.

A **trace** is a sequence of basic blocks embedded in the control flow graph. It must not contain loops but it can include branches.

It's an **execution path** which can be taken for a certain set of inputs. The chances that a trace is actually executed depends on the input set that allows its execution. As a result, some traces are executed much more frequently than others.

The tracing scheduling algorithm works as follows:

1. Pick a **sequence of basic blocks** that represents the most frequent branch path
2. Use **profiling feedback** or compiler heuristics to find the common branch paths
3. **Schedule** the whole trace at once
4. Add **code to handle branches** jumping out of trace

Scheduling in a trace relies on basic code motion but it could also use globally scoped code by appropriately *renaming* some blocks. Compensation codes are then needed for **side entry points** (*i.e.* *points except beginning*) and **slide exit points** (*i.e.* *points except ending*).

Blocks on non common paths may now have added overhead, so there must be an high probability of taking common paths according the profile. However, this choice might not be clear for some programs.

In general, compensation codes are not easy to generate for entry points.

An illustration of scheduled code can be found in Figure 34.

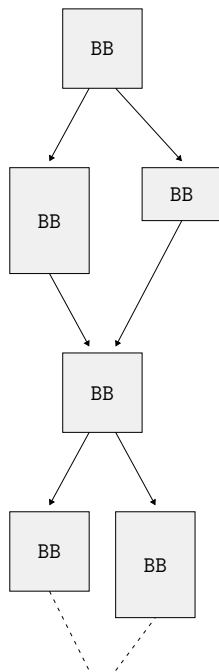


Figure 33: Basic blocks

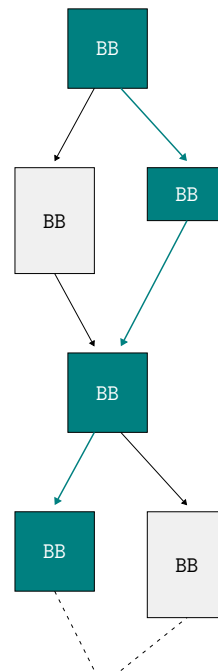


Figure 34: Trace scheduled code

6.4.2.1 Code motion and Rotating Register Files in Trace Scheduling

In addition to the need of compensation codes, there are a few more restrictions on the movement of a code trace:

- A) The **data flow** of the program must not change
- B) The **exception behaviour** must be preserved

In order to ensure (A), the **Data** and **Control** dependency must be maintained. Furthermore, control dependency can be eliminated using **predicate instructions** (via *Hyperblock scheduling*) and branch removal or by using **speculative instructions** (via *Speculative Scheduling*) and speculatively moving instructions before branches.

Finally, Trace Scheduling within loops require lots of registers, due to the duplicated code. In order to solve this issue, a new set of register must be allocated for each iteration.

This solution is achieved via the use of **Rotating Register Files (RRB)**. The address of the *RRB* register points to the base of the current register set. The value added onto a local register specifier gives physical register number.

An illustration of the *RRB* is shown in Figure 35.

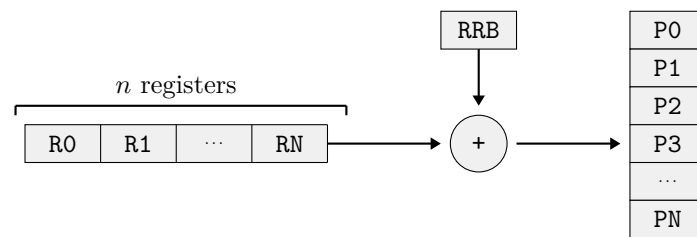


Figure 35: Rotating Register File

6.4.3 Pros and cons of VLIW

Pros:

- Simple *HW*
- It's easy to increase the number of FU
- Good compilers can efficiently detect parallelism

Cons:

- Huge number of registers to keep active each FU, each needed to store operands and results
- Large data transport capabilities between:
 - FUs and register files
 - Register files and memory
- High bandwidth between instruction cache and fetch unit
- Large code size

6.4.4 Static Scheduling methods

The static scheduling methods used in the *VLIW* are:

- Simple code **motion**
- **Loop unrolling** and loop peeling - *Paragraph 6.4.4.1*
- Software **pipelining** - *Paragraph 6.4.4.2*

- Global code **scheduling** (across basic blocks)
 - Trace scheduling - *Paragraph 6.4.4.3*
 - Superblock scheduling
 - Hyperblock scheduling
 - Speculative Trace scheduling

6.4.4.1 Loop unrolling

Examine this snippet of code:

```
for (int i = 0; i < N; i++)
    B[i] = A[i] + C;
```

the inner loop gets *unrolled* in order to execute 4 iterations at once:

```
for (int i = 0; i < N; i += 4) {
    B[i] = A[i] + C;
    B[i + 1] = A[i + 1] + C;
    B[i + 2] = A[i + 2] + C;
    B[i + 3] = A[i + 3] + C;
}
```

A final clean up is needed to take care of those values of N that are not multiples of the unrolling factor (4 in this example).

This technique has the drawbacks of creating **longer code** and **losing performance** due to the costs of starting and closing each iteration.

Furthermore, trace scheduling cannot proceed beyond a loop.

An illustration of the performance improvements can be found in Figure 36.

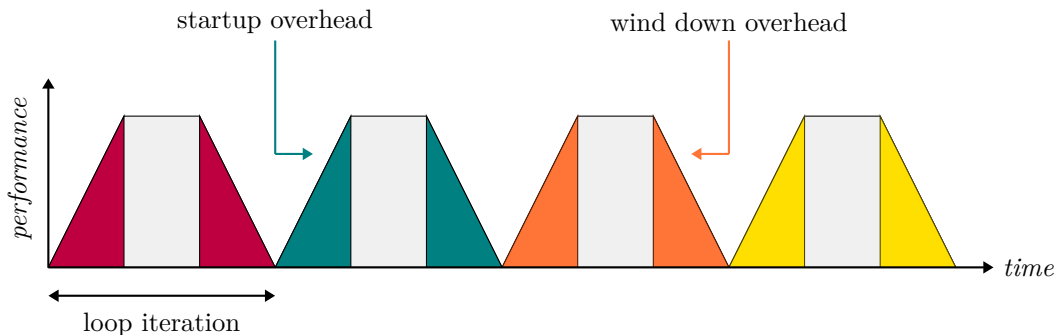


Figure 36: Performance improvement of loop unrolling

6.4.4.2 Software pipelining

The programs can be pipelined in order to increase performance and reduce the overall cost of the startup and wind down phases from once per iteration to once per loop.

An illustration of the performance improvements can be found in Figure 37.

6.4.4.3 Trace scheduling

As discussed in Section 6.4.2, Trace Scheduling does not support loops.

In order to increase the performance in these situations, techniques based on loop unrolling are needed. Traces scheduling schedules traces in order of decreasing probability of being executed. As such, most frequently executed traces get better schedules.

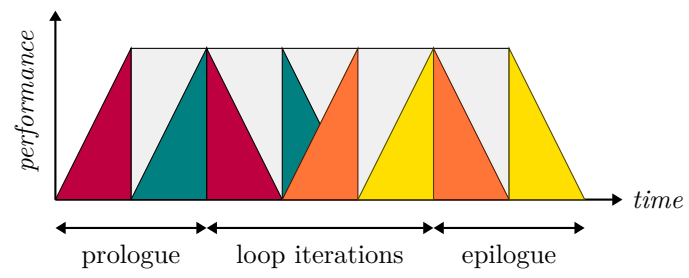


Figure 37: Performance improvement of software pipelining

7 Hardware Based Speculation

The Hardware Based Speculation combines 3 ideas:

- **Dynamic Branch Prediction** to choose which instruction to execute
- **Dynamic Scheduling** to support out of order execution while allowing in order commit
 - prevents irrevocable actions such as register update or exception taking until an instruction commits
- **Speculation** to execute instructions before control dependences are resolved

The outcome of the branches is speculated, then the program is executed as if speculation was correct. Mechanisms are necessary to handle incorrect speculation: the hardware speculation extends dynamic scheduling beyond a branch (i.e. beyond the basic block).

Generally speaking, Hardware Based Speculation raises power consumption but lowers execution time by more than it increases the average power consumption. The total energy consumed may be less depending on the number of instructions incorrectly executed.

7.1 Reorder Buffer

The **Reorder Buffer** (or *ROB*) holds instructions in *FIFO* order, exactly as issued. When instructions are complete, their results are placed back in the *ROB*.

Operands are supplied to the other instructions between their completion and their commit. Results are tagged with *ROB* buffer number instead of the reservation station.

During the instruction commit the values in the head of the *ROB* are placed in registers.

ROB are structured as a circular buffer with **Head** and **Tail** pointers. Entries between those two are valid and they are allocated and freed whenever an instruction enters or leaves the *ROB*.

Its main entries include:

- The **type** of the instruction
- The **destination** register of the result
- The **result** of the instruction
- If any **exception** was raised
- The **program counter** PC
- If the **instruction** is **ready**
- If the **branch** is **speculative**

The structure of the *ROB* is represented in Figure 38 along with its main entries.

Figure 38: Structure of the *ROB*

The structure of an entry is similar to the one showed in Figure 39:

- The **TAG**, given by the index in the *ROB*
- The new instructions are dispatched to free slots while
- Instruction space is reclaimed when done by setting the P bit
- In **dispatch** stage:
 - non busy source operands are read from register files and copied to **SRC** fields where the P bit is set
 - busy source operands copy **TAG** of producer and clear the P bit
 - the V bit is set valid
- In **issue** stage:
 - the I bit is set valid
- On **completion**:
 - source tags are searched and the P bit is set
 - result and **EXCEPTION** flags are written back to *ROB*
- On **commit**:
 - **EXCEPTION** flags are checked
 - **RESULT** is copied into register files
- On **trap**:
 - machine and *ROB* are flushed
 - **FREE** pointer is set as **OLDEST**
 - the execution resumes from **HANDLER**

Figure 39: Structure of the entries of the *ROB*

In order to separate the completion stage from the commit stage, the *ROB* must hold register results from the former until the latter.

Each entry, allocated in program order during decode, holds:

- The **type** of the instruction
- The **destination** register specifier and value (*if any*)
- The **program counter**, PC
- The **exception** status (often compressed to save memory)

They buffer completed values and exception states until the in-order commit point. Completed values can be used by dependences before reaching that point.

7.2 Interrupts and Exceptions with hardware speculation

Hardware Speculation greatly increases the complexity of control dependences. In fact, branch prediction may not be enough to keep an high level of *ILP*.

Special care must be ensued while handling **interrupts** and **exceptions**, because:

- All the instruction **before** the event must be completed
- All the instructions **after** the even must behave as they have never started

Since branch prediction might be wrong, the issue is now to update the processor state accordingly. Finally, out of order completion, post interrupt and mispredict writebacks change the state of the program.

To solve this problems executed instructions are held in *ROB* until they are no longer speculative. The instruction commit is then *in order*: exceptions and interrupt are handled by not being addressed until the instruction that caused them is recorded in the *ROB*.

Temporary storage (*shadow registers and store buffers*) is then needed to hold all results before commit. Operands are supplied as well between execution complete and commit.

Once the instruction is committed, its result is placed in the destination register. Therefore, it's easy to undo speculated instructions on exceptions and interrupts.

A scheme of this structure is represented in Figure 40.

Figure 40: Scheme of the in order commit for precise exceptions

7.3 Steps in the Speculative Tomasulo Algorithm

The 4 steps of the Speculative Tomasulo Algorithm are:

1. **Issue** or **dispatch** - instruction is loaded from *FP* operation queue. If the reservation station and the reorder buffer slot are free, then:
 - the instruction is issued
 - the operands are sent
 - the destination buffer is reordered
2. **Execution** - operands are operated upon
 - when both operands are ready, the instruction is executed
 - if they aren't, the *Common Data Bus* is watched for the results
 - when both operands are in reservation station, the instructions is executed
 - *RAW* are checked
3. **Write result**
 - result is written on the *Common Data Bus* to all awaiting *FUs* and *ROBs*
 - all the *RS* are set to available
4. **Commit** or **graduation** - when the instruction at the head of the *ROB* and the results are present, then:
 - the register (or memory page) is updated with the result
 - the instruction is removed from the *ROB*
 - mispredicted branches are flushed from the *ROB*

Furthermore, 3 possible **commit** sequences are possible:

- **Normal commit:**
 - the instruction reaches the head of the *ROB*

- the result is found in the register
- the instruction is removed from the *ROB*
- **Store commit:**
 - same as **Store commit** but memory (and not a register) is updated
- **Instruction is a branch with incorrect prediction:**
 - the speculation was wrong
 - *ROB* is flushed (*the operation is called “graduation”*)
 - execution restarts at correct successor of the branch

7.3.1 Tomasulo’s Algorithm and *ROB*

The Tomasulo’s Algorithm needs a buffer for all the uncommitted results. It’s structured as a *ROB*. Each entry of the *ROB* contains 4 fields:

1. **Instruction Type** field, indicating if:
 - the instruction is a *branch* (and has no destination result)
 - the instruction is a *store* (and has a memory address destination)
 - the instruction is a *LOAD* or *ALU* operation (and has a register destination)
2. **Destination** field, supplying:
 - the register number (for *LOAD* and *ALU* instructions)
 - the memory address (for *STORE* instructions)
3. **Value** field, holding the value of the result until the instruction commits
4. **Ready** field, indicating if the instruction has completed and the value is ready

Further observations:

- The *ROB* replaces all store buffers, because *STORE* execute in two steps
 - the second step happens is when the instruction commits
- The renaming function of the reservation stations is completely replaced by the *ROB*
 - Tomasulo provides **Implicit Registers Renaming**, because user registers are renamed to reservation station tags
- Reservation stations now only queue operations (and their relative operands) to *FUs* between the time they issue and the time they begin their execution stage
- Results are tagged with the *ROB* entry number rather than with the *RS* number
 - each *ROB* assigned to instruction must be tracked in the *RS*
- All instructions **excluding incorrectly predicted branches** and **incorrectly speculated *LOAD* commit** when reaching head of *ROB*
 - when an incorrect prediction or speculation is indicated, the *ROB* is flushed and execution restarts at correct successor of branch
 - speculative actions are easily undone
- Processors with *ROB* can dynamically execute while maintaining a precise interrupt model
 - if instructions *I* causes an interrupt, the *CPU* waits until *I* reaches the head of the *ROB* to handle it, flushing all other pending instructions

7.3.2 Exception handling

The use of a *ROB* with in orde instruction commit provides precise exceptions. Exceptions are handled by ignoring them until they are ready to commit.

3 different scenarios can then be identified at commit stage:

- If a speculated instruction raises an exception, then the exception itself is recorded in the *ROB*
- If a branch misprediction arises and the instruction should not have been executed, then the exception is flushed along with the instruction when the *ROB* is cleared
- If the instruction reaches the head of the *ROB*, then it’s no longer speculative and it should be addressed

7.3.3 Hardware support for Memory Disambiguation

In order to keep track of all stores to memory in program order, a buffer is needed. Its duties include:

- Keep track of addresses and results and when they become available
- Keep a *FIFO* ordering, so stores are retired in program order

While issuing a **LOAD**, the head current head of store queue must be recorded in order to know which **STORE** instructions are ahead. When the address is actually found, the **STORE** queue is checked:

- If any **STORE** prior to **LOAD** is waiting for its address:
 - the **LOAD** is stalled
- If any **LOAD** address matches any previous **STORE** address (found by *associative lookup*):
 - a **memory induced RAW** hazard is created
 - if the **STORE** value is available, then it's returned
 - if the **STORE** value is not available, then the *ROB* number of the source is returned

All the actual **STORE** instructions commit in order, so there's no need to check for *WAR* or *WAW* hazards throughout memory.

7.4 Explicit Register Renaming

Explicit Register Renaming is a technique that uses physical register file that is larger than the number of register specified by the *ISA*.

The key *idea* behind this technique is to allocate a new physical destination register for every instruction that writes. It's very similar to a compiler transformation called **Static Single Assignment (SSA)** but at hardware level. It removes all chances of *WAR* or *WAW* hazards while allowing complete **out of order** completion.

It works by keeping a translation table that maps each *ISA* register to a physical register. Whenever a register is written, the corresponding entry on the map is replaced with a new register from the **freelist**. A physical register is considered free when not used by any active instruction.

A simple illustration of this technique is shown in Image 41.

Figure 41: Explicit Register Renaming

Explicit Renaming Support includes:

- **Rapid access** to a table of translations
- A physical register file that has **more registers** than specified by the *ISA*
- Ability to figure out which physical registers are **free**
 - if no registers is free, the issue stage is stalled

The advantages of Explicit Renaming Support are:

- Decouples **renaming** from **scheduling**
 - pipeline can behave exactly like “*standard*”, Tomasulo like or scoreboard (*among the others*) pipeline
 - physical register file holds committed and speculative values
 - physical registers are decoupled from rob entries

- there's no data in the *ROB*
- Allows data to be fetched from **single** register file
 - there's no need to bypass values from reorder buffer
 - this can be important for balancing the pipeline

7.4.1 Unified Physical Register File

In order to enable the *CPU* to explicitly rename registers, a **Unified Physical Register File** is created. It works by interfacing *Registers* to *Functional Units*:

- It renames all architectural registers into a **single physical register file** during decode, without reading their values
- Functional units read and write from single Unified Physical Register File holding **committed** and **temporary registers** in execution
- It commits only updates the mapping of architectural registers to the physical registers, **without actually moving data**

The **Renaming Map** is a simple data structure that supplies the physical register number of the register that currently corresponds to the requested architectural register. At the **Instruction Commit** stage the renaming stable is updated permanently to indicate that the physical register holding the destination value corresponds to the actual architectural register.

A schematic of the Unified Physical Register File is shown in Image 42.

Figure 42: Unified Physical Register File

By using the Unified Physical Register File, the mapping between an architectural register and a physical one **is not speculative**. Any physical register being used to hold the older value of the architectural register is freed.

Deallocating registers is a more complicated:

- Before freeing up a physical register, checks that the it is **no longer being used** now and in the near future must be made
- A physical register corresponds to an architectural register **until it is rewritten**
- There may be **pending uses** of the physical register: the processor must check if any source operand corresponds to that register in the *FU* queue
 - if it does not appear, it can be allocated
 - otherwise, the processor can wait until another instructions that writes the same architectural register commits. This is an easy to implement solution that might cause slight delays

A simplified implementation of the pipeline with a Physical Register File is shown in Image 43.

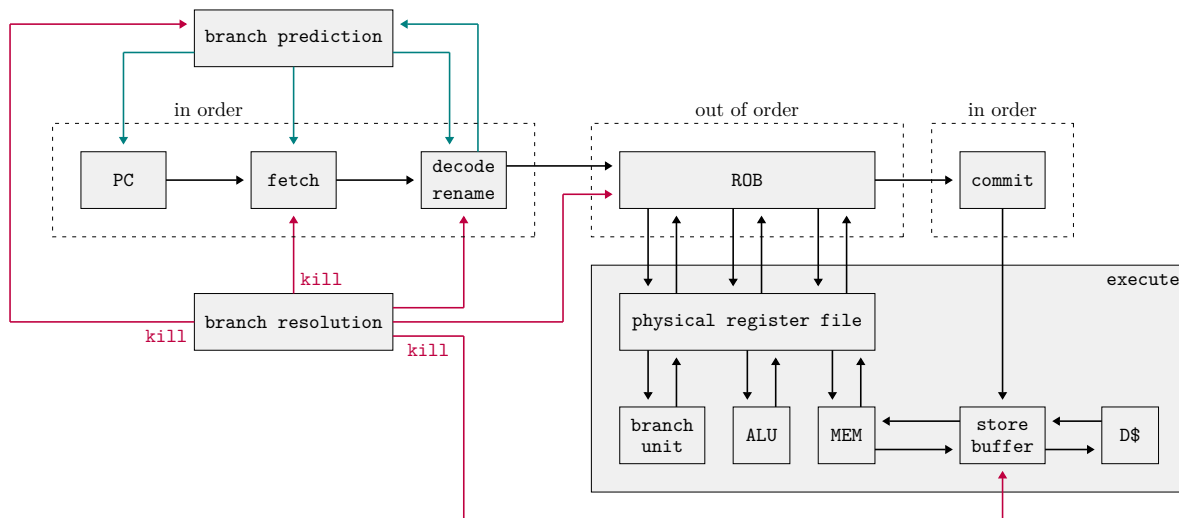


Figure 43: Pipeline Design with Physical Register File

7.5 Explicit Register Renaming and Scoreboard

The scoreboard architecture can be easily adapted to be coupled with Explicit Register Renaming. The 4 stages now become:

- **Issue** - decode instruction, check for structural hazards and allocate new physical register for result
 - instructions are issued in program order for hazard checking
 - no instruction is issued if there are no physical registers
 - no instruction is issued if there is a structural hazard
- **Read operands** - wait until no hazard happens and then read operands
 - all real dependences (*RAW* hazards) are resolved in this stage, since the processor waits for instructions to write back data
- **Execution** on operands
 - the *FU* begins execution upon receiving operands
 - when the results are read, the scoreboard is notified
- **Write result**
 - the execution of the instruction ends here

Thanks to the Explicit Renaming there's no need to check for *WAR* or *WAW* hazards.

7.5.1 Multiple Issue

Often it's necessary to modify the issue logic in order to handle two or more instructions at one, including possible dependences between instructions. The biggest bottleneck is found in dynamically scheduled superscalar processors:

- The **processor needs additional logic** to handle issue of every possible combination of dependent instructions in the same clock cycle
- Since the number of possibilities increases with the square of the number of instructions that can be issued in one clock cycle, it's difficult to implement a logic supporting more than 4 instructions

The basic approach is as follows:

1. A **reservation station** and a *ROB* entry is assigned to each instruction in the following issue bundle

- if this is not achievable, only a subset of instruction is considered in sequential order
2. All **dependences** between the instructions are **analyzed**
 3. If an instruction in the bundle **depends on an earlier instruction of the same bundle**, the assigned *ROB* number is used to update the reservation table for the current instruction

All these operations are done in parallel in a single clock cycle.

In a similar manner, the issue logic must behave as follows:

1. Enough physical space for the entire issue bundle is **reserved**
2. The issue logic determines what **dependences exists in the bundle**:
 - if a dependence **does not exist** within the bundle:
 - the register renaming structure is used to determine the physical register that holds the result on which instruction depends
 - the result is from an earlier issued bundle and the register renaming table will contain the correct register number
 - if an instruction depends on an **instruction that is earlier** in the bundle:
 - the reserved physical register in which the result will be placed is used to update the information for the issuing instruction

Once again, all these operations are done in parallel in a single clock cycle.

7.5.2 Superscalar Register Renaming

- During decode, instructions allocate a new physical destination register
- Source operands are renamed to physical register with newest value
- Execution unit only sees physical register numbers
- RAW hazards must be checked between instruction issuing in the same cycle
 - this operation can be done in parallel using rename lookup

An illustration of the architecture in the two-issue Superscalar Register Renaming is found in Image 44.

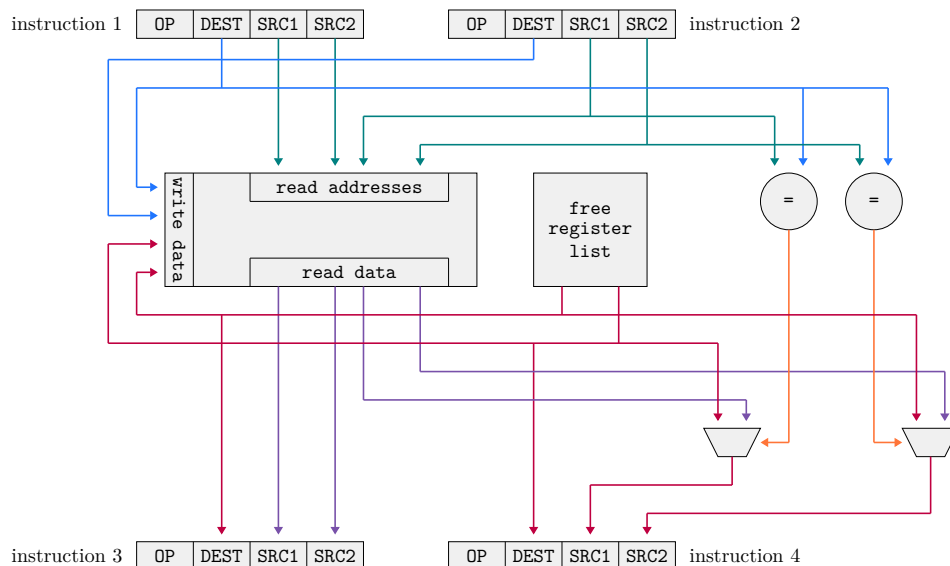


Figure 44: Two issue Superscalar Register Renaming

8 Exception Handling

Interrupts are special events that alter the normal program execution by requesting the attention of the processor. They can be raised either by an **internal** or an **external** system, and they are usually unexpected or rare from the program's point of view.

When they are raised, a special routine called **Interrupt Handler** will take care of stopping and resuming the flow of the code, while addressing the exception.

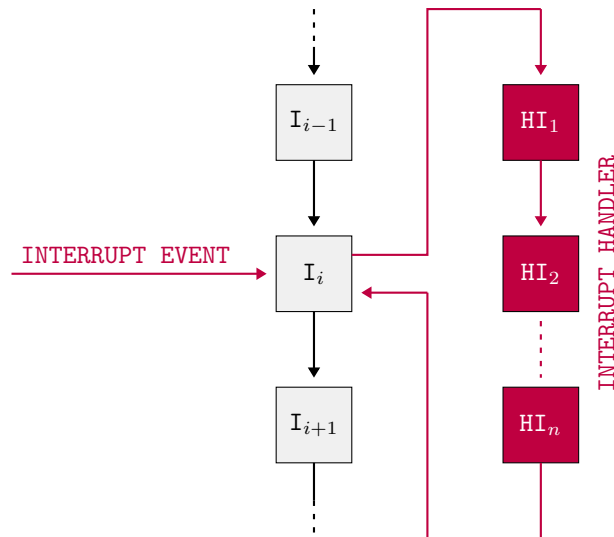


Figure 45: Interrupt event

The interrupts can be:

- **Asynchronous:** created by an **external event**, for example:
 - input or output device service request
 - timer expiration
 - power disruption or hardware failure
- **Synchronous:** created by an **internal event**, for example:
 - undefined *opcode*, arithmetic overflow, *FPU* exception
 - privileged instruction, misaligned memory access
 - virtual memory exceptions
 - page faults
 - *TLB* misses
 - protection violations
 - traps
 - system calls
 - jumps to kernel

Synchronous events are also called **Exceptions**.

8.1 Precise Interrupts

An interrupt or exception is considered precise if there is a single instruction (*or interrupt point*) for which all instruction before that one have committed their state and no following instructions (*including the interrupting one*) have modified any state.

This effectively implies that the execution can be restarted at the interrupt point and continue correctly.

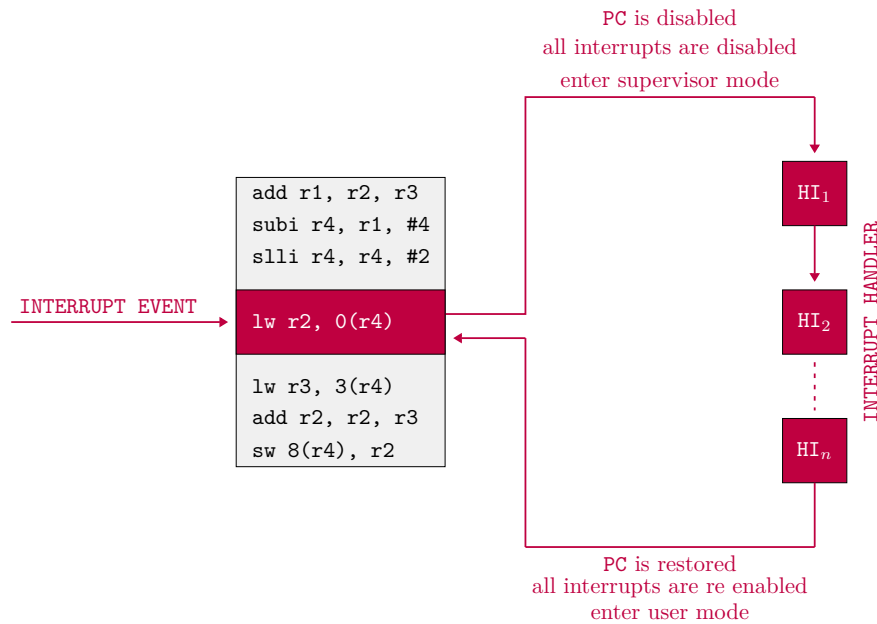


Figure 46: Operation of an interrupt

This kind of interrupt is desirable because:

- Many types of interrupts or exceptions need to be restartable
- It's easier to figure out what actually happened and what caused the exception

While restartability does not require preciseness, it makes a lot easier to restart the execution by:

- Reducing the **number of states** to be saved if the process has to be unloaded
- Making **quicker restarts** due to the faster interrupts

The operation of an interrupt is shown in Figure 46.

8.2 Classes of Exceptions

Exceptions can be divided into classes:

- **Synchronous** and **Asynchronous**:
 - Synchronous exceptions are caused by devices external to the *CPU* and memory and are easier to handle as they can be addressed after the current instruction
- **User requested** and **Coerced**:
 - User requested are predictable, they are treated as exceptions because they use the same mechanisms that are used to save and restore the state and handled after the instruction has completed
 - Coerced exceptions are caused by some hardware event not under control of the program
- **User Maskable** and **User Nonmaskable**:
 - The mask controls whether the hardware responds to the exception or not
- **Within** vs **Between** instructions:
 - Exceptions that occur between instructions are usually synchronous as they are triggered by instruction. The instruction must be stopped and restarted
 - Asynchronous that occur between instructions arise from catastrophic situations and cause program termination
- **Resume** and **Terminate**
 - With terminating event, the program execution always stops
 - With resuming events, the program execution continues after the interrupt

8.2.1 Asynchronous Interrupts

The **Asynchronous Interrupts** work by:

1. Invoking the **Interrupt Handler**
 - an *I/O* device request attention by asserting one of the prioritized interrupt request lines
2. When the processor decides to **address the interrupt**, it has to:
 1. stop the current program at instruction I_i
 2. complete all the instructions up until I_{i-1} (*precise interrupt*)
 3. save the PC of instruction I_i in a special register called *EPC*
 4. disable interrupts and transfer control to a designated interrupt handler running in the kernel mode

Then the operation is handled by the **Interrupt Handler**, that will:

3. **Save** the *PC* before enabling interrupts to allow nested interrupts
 - it needs an instruction to move the PC into *GPRs*
 - it needs a way to mask further interrupts at least until the PC can be saved
4. **Read** a *status register* that indicates the cause of the interrupt
5. **Use** a special indirect jump instruction (*RFE, Return From Exception*) which:
 - enables interrupts
 - restores the processor to the user mode
 - restores hardware status and control state

8.2.2 Synchronous Interrupts

A **Synchronous Interrupt** is caused by a **particular** instruction. Generally, the instruction **cannot be completed** and needs to be **restarted** after the exception has been handled. This procedure requires undoing the effect of one or more partially executed instructions.

In case the interrupt was raised by a system call trap (*a special jump instruction involving a change to privileged kernel mode*), the instruction is considered to have been completed.

8.3 Precise interrupts in 5 stages pipeline

Exceptions may occur at different stages in pipeline, due to the out of order executions. For instance, *arithmetic exceptions* occur in **EX** stage, while *TLB faults* occur in **IF** or **ME** stages.

The same issue arises while handling interrupts, as the pipeline must be interrupted as little as possible.

This problem can be solved by tagging instruction in pipeline as “*cause exceptions or not*”, and wait until end of **ME** stage to flush exceptions. Then:

- Interrupts become marked *NOP (like bubbles)* that are placed into pipeline instead of an instruction
- Interrupt conditions are assumed to be persistent in case of flushed *NOP* instructions
- A clever **IF** stage might start fetching instruction from the interrupt vector
 - this step is complicated by need for supervisor mode switch, saving multiple PC and more similar issues

In detail, exceptions are handled by:

- Holding exceptions flags in pipeline until the commit point (*ME stage*)
- Overriding newer exceptions for a given instructions with older exceptions
- Injecting external interrupts at commit point, overriding other interrupts
- If an exception happens at commit, updating *CAUSE* and *ECP* registers, killing all stages and injecting handler PC into **IF** stage

While dealing with in-order pipeline might be easy, generating precise interrupt when instructions are being executed in arbitrary order is not as easy. In his famous paper, *Jim Smith* proposes 3 methods for getting precise interrupts:

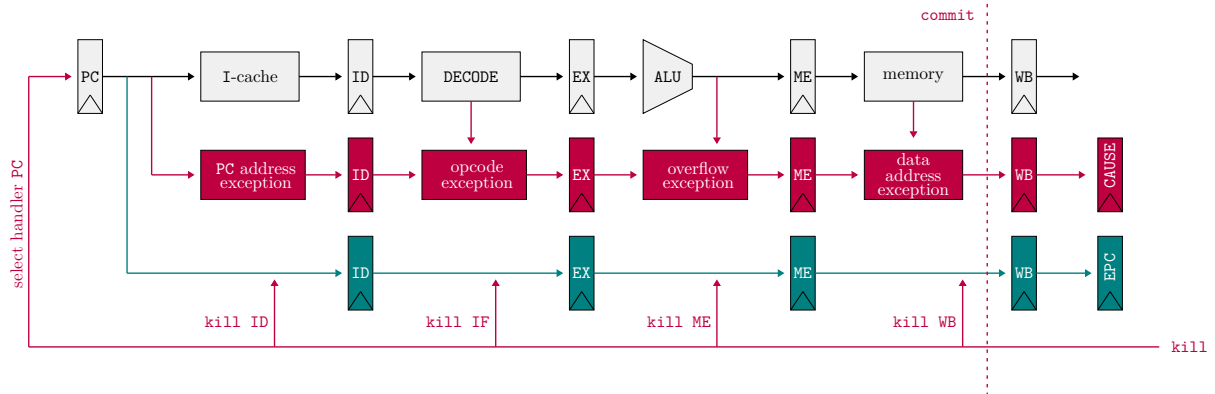


Figure 47: Interrupt handling in 5 stages pipeline - *illustration of dubious utility*

- In-order instruction completion
- Reorder buffer
- History buffer

8.3.1 Speculating on Exceptions

There are 3 technique to speculate on exceptions:

- Prediction mechanism
 - exceptions are so rare that predicting no exceptions is surprisingly accurate
- Check Prediction mechanism
 - exceptions are detected at the end of instruction execution pipeline
 - dedicated hardware for different exception types
- Recovery mechanism
 - only write architectural state at commit point, so partially executed instruction can be thrown away after exception
 - exception handler is launched only after pipeline is flushed