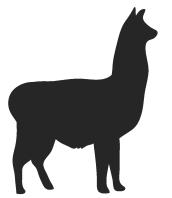
Advanced Computer Architectures

Lorenzo Rossi and everyone who kindly helped! 2022/2023

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no alpaca has been harmed while writing these notes

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Introduction

This document contains the notes for the Advanced Computer Architectures course, relative to the 2021/2022 class of Computer Science and Engineering held at Politecnico di Milano.

• Teacher: Donatella Sciuto

ullet Support teacher: $Davide\ Conficconi$

• Textbook: Hennessey and Patterson, Computer Architecture: A Quantitative Approach

By comparing these notes with the material (slides, video lessons) provided during the lectures, you will find a lot of discrepancies in the order in which they are represented.

This might look like a bizarre (if not completely stupid) choice, but it has been deliberate as I have found the lessons quite confusing in their ordering and how each topic was introduced. You will still find each of the topics explained during the lessons, including something more (sometimes).

If you find any errors and you are willing to contribute and fix them, feel free to send me a pull request on the GitHub repository found at github.com/lorossi/advanced-computer-architectures-notes.

A big thank you to everyone who helped me!

1 Introduction to the Computer Architectures

1.1 Flynn Taxonomy

Created in 1996 and upgraded in 1972, it provides the first description of a computer.

- SISD single instruction, single data
 - Sequential programs
 - Serial (non parallel) computer
 - Deterministic execution
 - Only **one instruction stream** is being executed at a time
- MISD multiple instructions, single data
 - Multiple processors working in parallel on the same data
 - Fail safe due to high redundancy
 - The same algorithm is programmed and implemented in different ways, so if one fails the other is still able to compute the result
 - No practical market configuration
- SIMD single instruction, multiple data
 - Each processor receives different data and performs the same operations on it
 - Used in fields where a single operation must be performed in many different pieces of information (like in image processing)
 - Each instructions is executed in synchronous way on the same data
 - Best suited for specialized problems characterized by a high degree of regularity, such as graphics or images processing
 - Data level parallelism (DLP)
- MIMD multiple instructions, multiple data
 - Array of processors in parallel, each of them executing its instructions
 - Execution can be asynchronous or synchronous, deterministic or non-deterministic
 - The most common type of parallel computer

MIMD an SIMD architectures will be further explained in Sections 9 and 10. An illustration of the different architectures is displayed in Figure 1.

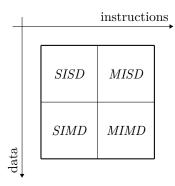


Figure 1: Flynn Taxonomy

1.2 Hardware parallelism

There are different types of hardware parallelisms:

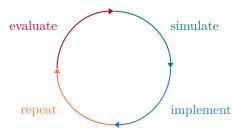
- ullet Instruction Level parallelism (ILP)
 - Exploits data level parallelism at modest level through **compiler techniques** such as pipelining and at medium levels using speculation
- Vector Architectures and Graphic Processor Units
 - Exploit data level parallelism by applying a single instruction to a collection of data in parallel
- Thread level parallelism (TLP)
 - Exploits either data level parallelism or task level parallelism in a coupled hardware model that allows interaction among threads
- Request level parallelism
 - Exploits parallelism among largely decoupled tasks specified by the programmer or the OS

Nowadays, heterogeneous systems (systems that utilize more than one type of parallelism) are commonly used among all commercial devices.

2 Performance and cost

There are multiple types (classes) of computers, each with different needs: the performance measurement is not the same for each of them. Price, computing speed, power consumption can be metrics to measure the performance of a computer.

Programming has become so complicated that it's not possible to balance all the constraints manually; while the computational power has grown bigger than ever before, energy consumption is now a sensible constraint. The computer engineering methodology is therefore described as:



- 1. evaluate system by bottlenecks
- 2. simulate new designs and organizations
- 3. implement next generation systems
- 4. repeat

Figure 2: Computer engineering methodology

There are more constraints not contained in this model, such as technology trends.

When one computer is faster than another, what quality is being addressed? The answer is not always the same, and it depends on the application; different solutions may consider different metrics, such as speed of execution, power consumption, cost, etc.

The picked qualities may change according to the use case or the user themself, but 2 metrics are normally used:

1. Elapsed time

- execution time = time end time start
- More relevant for system user

2. Completion rate

- completion rate = number of jobs \div elapsed time
- More relevant for the system designer

2.1 Response time vs throughput

Is it true that throughput $= 1 \div$ average response time? The answer can be given only if it's clear if there's an overlap between core operations.

If there is, then

$${\tt throughput} > 1 \div {\tt average \ response \ time} \tag{2.1.1}$$

With pipelining, execution time of a single instruction is increased while the average throughput is decreased.

2.2 Factors affecting performance

A few of the factors affecting the performance are:

- Algorithm complexity and data sets
- Compiler
- Instructions set
- Available operations
- Operating systems
- Clock rate
- Memory system performance
- I/O system performance and overhead

• this it's the least optimizable factor, the main focus is then to optimize all the others

The locution X is n times faster than Y can be expressed as:

$$\frac{ExTime\ (Y)}{ExTime\ (X)} = \frac{Performance\ (X)}{Performance\ (Y)} = Speedup\ (X,Y)$$

$$Performance(X) = \frac{1}{ExTime\ (x)}$$

So, in order to optimize a system, it's necessary to focus on common sense (a *sadly* valuable quality). While making a design trade-off one must favour the frequent case over the infrequent one. For example:

- Instructions fetch and decode unit is used more frequently than the multiplier
 - it makes sense to optimize instructions fetch unit first
- If database server has 50 disks processor, storage dependability is more important than system dependability
 - it makes sense to optimize storage dependability first

2.2.1 Amdahl's law

As seen before, the speedup due to the enhancement E is:

$$Speedup (E) = \frac{ExTime \ w/o \ E}{ExTime \ w/E} = \frac{Performance \ w/E}{Performance \ w/o E}$$

Suppose that enhancement E accelerates a fraction F of the task by a factor S and the remainder of the task is unaffected. Amdahl's law states that:

$$ExTime_{new} = ExTime_{old} \times \left[(1 - F) + \frac{F}{S} \right]$$
$$Speedup = \frac{ExTime_{old}}{ExTime_{new}} = \frac{1}{(1 - F) + F/S} = \frac{S}{S - SF + F}$$

2.2.1.1 Corollary

The best possible outcome of the Amdahl's law is:

$$Speedup = \frac{1}{1 - F}$$

"If an enhancement is only usable for a fraction of the task, we can't speed up the task by more than the reciprocal of 1 minus the fraction"

The Amdahl's law expresses the law of diminishing returns. It serves as a guide to how much an enhancement will improve performance and how to distribute resources to improve the cost-over-performance ratio.

2.2.2 *CPU* time

CPU time is determined by:

- Instruction Count IC:
 - The number of executed instructions, not the size of static code
 - Determined by multiple factors, including algorithm, compiler, ISA
- Cycles per instructions *CPI*:
 - Determined by ISA and CPU organization
 - Overlap among instructions reduces this term
 - The CPI relative to a process P is calculated as:

$$CPI(P) = \frac{\text{\# of clock cycles to execute P}}{\text{number of instructions}}$$

- Time per cycle TC:
 - It's determined by technology, organization and circuit design

Then, CPU time can be calculated as:

$$CPU_{time} = T_{clock} \cdot CPI \cdot N_{inst} = \frac{CPI \cdot N_{inst}}{f}$$

Note that the CPI can vary among instructions because each step of the pipeline might take different amounts of time. The factors that can influence the CPU time are shown in Table 1.

	IC	CPI	TC
Program	×		
Compiler	×	(X)	
$Instruction\ set$	×	×	
Organization		×	×
Technology			×

Table 1: Relation between factors and CPU time

2.2.2.1 CPU time and cache

To improve the CPU time, an **instruction cache** can be used. Using a more realistic model, while calculating CPU time, one must also account for:

- The execution CPI CPI_{EXE}
- The miss penalty $MISS_P$
- The miss rate $MISS_R$
- The memory **references** MEM

Then the CPI_{CACHE} can be calculated as:

$$CPI_{CACHE} = CPI_{EXE} + MISS_P \cdot MISS_R \cdot MEM$$

2.2.3 Other metrics

There are other metrics to measure the performance of a CPU:

• MIPS - millions of instructions per second

$$MIPS = \frac{\text{number of instructions}}{\text{execution time} \cdot 10^6} = \frac{\text{clock frequency}}{\text{CPI} \cdot 10^6}$$

- the higher the MIPS, the faster the machine
- Execution time

$$T_{execution} = \frac{\text{instruction count}}{\text{MIPS} \cdot 10^6}$$

- ullet MFLOPS floating point operations in program
 - assumes that floating points operations are independent of the compiler and ISA
 - it's not always safe, because of:
 - ▶ missing instructions (e.g. FP divide, square root, sin, cos, ...)
 - optimizing compilers

Furthermore, the execution time is compared against test programs that:

- Are chosen to measure performance defined by some groups
- Are available to the community
- Run on machines whose performance is well-known and documented
- Can compare to reports on other machines
- Are representative

2.3 Averaging metrics

The simplest approach to summarizing relative performance is to use the total execution time of the n programs; however, this generalization does account for the different durations of the benchmarking programs. The 3 **different approaches** using means to average a metric are described in Table 2.

metric	type of mean
times	arithmetic
rates	harmonic
execution time	geometric

Table 2: Mean approaches

3 Multithreading and Multiprocessors

Thread (filo): instruction stream with own PC and data

- thread may be a process part of a parallel program of multiple processes, or it may be an independent program
- Each thread has all the state (instructions, data, PC, register state, and so on) necessary to allow it to execute
- Threads share single address space

3.1 Why multithreading?

Why is multithreading needed?

- 80's: expansions of superscalar processors
 - In the '80s, people were writing languages in high-level programming languages
 - Since compiler optimization was not good enough, it was needed to improve the software translations by making CPU instructions that were more similar to high-level instructions
 - In order to improve performances, the **pipeline** was introduced
 - it sends more than one instructions at a time
 - ▶ more instructions completed in the same clock cycle
 - ▶ it's kind of a hardware-level implicit parallelism
- 90's: decreasing returns on investments
 - Since all the parallelism was implemented by the hardware (or, at most, the compiler), there was no effective way to manually handle the performance
 - There were many different issues:
 - ▶ issue from 2 to 6 ways, issue out of order, branch prediction, all lowering from 1 CPI to 0.5 CPI
 - ▶ performance below expectations
 - ▶ these performance issues led to delayed and cancelled projects
 - All the previous improvements were due to the shrinking size of the transistors, which was slowly speeding down
 - ► the number of transistors followed Moore's law, doubling each 18 to 24 months
 - ▶ the frequency and the performance per core were not, due to interferences and energy problems
- 2000: beginning of the multi-core era
 - \bullet Since increasing the CPU frequency could not be achieved anymore, the only solution left was to increase the number of threads in every processor
 - This implied that there was a need of introducing a software way to handle the parallelism, in harmony with an enhanced hardware

Motivations for the paradigm change:

- Moderns processors fail to utilize execution resources well enough
 - There's no single culprit:
 - × memory conflicts
 - X control hazards
 - × branch misprediction
 - X cache miss
 - × ...
 - All those problems are correlated and there's no way of solving one of them without affecting all the others
 - There's the need for a general latency-tolerance approach that can hide all sources of latency
 - ✓ a solution is found in parallel programming

3.1.1 Parallel Programming

Multithreading: something not related to underlying architecture. It concern SO.

Explicit parallelism implies structuring the applications into concurrent and communicating tasks. Operating systems offer systems to implement such features: **threads** and **processes**:

- process: program in execution. A process doesn't share information directly
- thread: instruction stream with own PC and data. lightweight process. (unità atomica di un processo che deve essere eseguito insieme).

The multitasking is implemented differently based on the characteristics of the CPU:

- Single core
- Single core with multithreading support
- Multi core

In multithreading, multiple threads share the function units of one processor via overlapping. The processor must duplicate the independent state of each thread:

- Separate copy of the register files
- Separate PC
- Separate page table

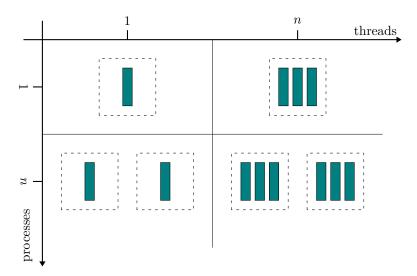


Figure 3: Multiplicity of processes and threads (in one core (probably))

The memory is shared via the virtual memory mechanisms, which already support multi processes. Finally, the hardware must be ready for a fast thread switch: it must be faster than a full process switch (which is in the order of hundreds to thousands of clocks).

There are 2 apparent solutions:

1. Fine grained multi-threading

- Switches from one thread to another at each instruction by **taking turns**
 - the switch happens when one thread stalls
- The executions of more threads is **interleaved**
- The CPU must be able to change thread at every clock cycle
- For n processes, each gets 1/n of CPU time
 - \bullet *n* times the original resources are needed

2. Coarse grained multithreading

- Switching from one thread to another occurs only when there are long stalls in the active process
- The interested threads **share many resources**
- The switching from one thread to the other requires different clock cycles to save the context

Disadvantages of multithreading:

- X For short stalls it does not reduce the throughput loss
- X The CPU starts the execution of instructions that belongs to a **single thread**

- X When there is one stall it is necessary to **empty the pipeline** before starting the new thread **Advantages** of multithreading:
 - ✓ In normal conditions the single thread is not slowed down

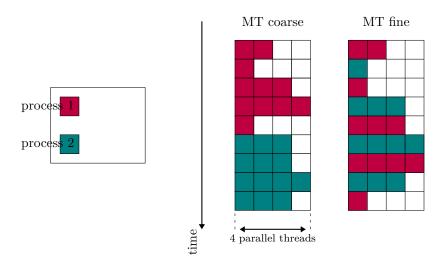


Figure 4: Comparison between fine and coarse multithreading. Each column shows the evolution of 2 different processes spread on 4 different threads over a set amount of time. A filled square illustrates a thread occupied by a process, while the empty ones represent an empty (idle) thread.

3.1.1.1 Thread Level Parallelism - TLP

- Thread level parallelism, simultaneous multithreading
 - Uses the resources of **one superscalar processor** to exploit simultaneously *ILP* and *TLP*
 - A CPU today has more functional resources than what one thread can in fact use
 - Simultaneously schedule instructions for execution from all threads
- ullet A CPU that can handle these needs must be built
 - A large set of registers is needed, allowing multiple processes to operate on different data on the same registers
 - Mapping table for registers is needed in order to tell each process where to write data
 - Each processor can manage a set amount of threads
- This is the most **flexible** way to manage multithreading but it requires more **complex hardware**

Comparison between many multithreading paradigms is shown in Figure 5.

3.1.2 Further improvements

It's difficult to increase the performance and clock frequency of the single core. The longest pipeline stage can be split into multiple smaller stages, allowing higher throughput.

This concept is called **deep pipeline** and has a few drawbacks:

- Heat dissipation problems due to the increased number of components
- More stages imply more faults since sequential instructions are likely related
- Transmissions delay in wires start to get relevant
- Harder design and verifications by the hardware developers

3.2 Parallel Architectures

A **parallel computer** is a collection of processing elements that cooperate and communicate to solve large problems rapidly.

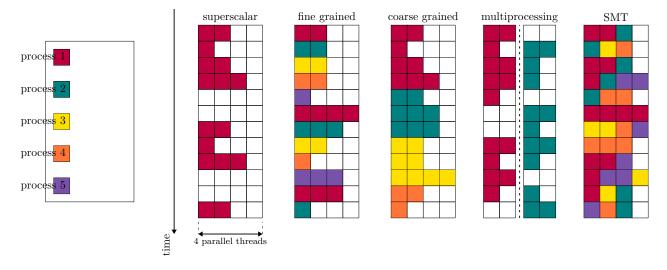


Figure 5: Multithreading comparison. Each column shows the evolution of 5 different processes spread on 4 different threads over a set amount of time. A filled square illustrates a thread occupied by a process, while the empty ones represent an empty (idle) thread.

The aim is to replicate processors to add performance and not design a single faster processor. The parallel architecture extends traditional computer architecture with a communication architecture. This solution needs:

- Abstractions for HW/SW interface
- Different structures to realize abstractions easily

Refer to Flynn Taxonomy (Section 1.1) for more details about these architectures.

3.3 SIMD architecture

The characteristics of the SIMD architectures (Single Instruction Multiple Data) are:

- Same instruction executed by multiple processors using different data streams
- Each processor has its data memory
- Single instruction memory and single control processor to fetch and dispatch instructions
- Processors are typically **special purpose**
- A **simple** programming model

The programming model features synchronized units with a single program counter, while each unit has its own addressing registers to use different data addresses.

Motivations for SIMD:

- The cost of the control unit is shared between all execution units
- Only one copy of the code in execution is necessary
- All the computation is fully synchronized

In real life:

- SIMD architectures are a mix of SISD and SIMD
- A host computer executes sequential operations
- SIMD instructions sent to all the execution units
 - each of them has its own memory and registers
 - an interconnection network is needed to exchange data

SIMD architectures will be explored in depth in Section 10.

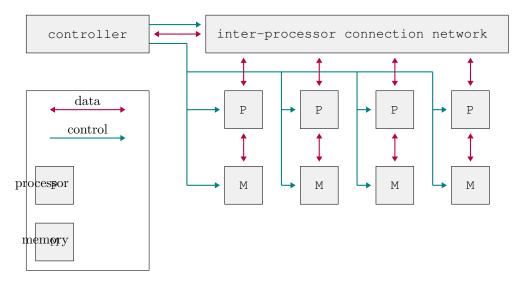


Figure 6: SIMD architecture

3.4 MIMD architecture

MIMD architectures are flexible as they can function as:

- Single user machines for high performance on one application
- Multi programmed multiprocessors running many tasks simultaneously
- Some combinations of the two aforementioned functions

They can also be built starting from standard CPUs.

Each processor fetches its own instructions and operates on its own data; processors are often off-the-shelf microprocessors, with the upside of being able to build a scalable architecture and having a high cost-performance ratio

In order to fully exploit a MIMD with n processors, there must be:

- At least n threads or processes to execute
 - those independent threads are typically identified by the programmer or created by the compiler
- Thread level parallelism TLP
 - parallelism is identified by the software (and not by hardware like in superscalar CPUs)

MIMD machines can be characterized in 2 classes, depending on the number of processors involved:

- Centralized shared-memory architectures
 - At most a few dozen processors chips (less than 100 cores)
 - Large caches, single memory multiple banks
 - This kind of structures is often **symmetric multiprocessors** (SMP) and the style of architecture is called **Uniform Memory Access** (UMA)
- Distributed memory architectures
 - Supports large processor count
 - Requires high bandwidth interconnection
 - It has the disadvantage of a high volume of data communication between processors

MIMD architectures will be explored in depth in Section 9.

4 Pipeline recap

The pipeline CPI (clocks per instruction) can be calculated taking account of:

- Ideal pipeline CPI
 - measure of the maximum performance attainable by the implementation
- Structural stalls
 - due to the inability of the HW to support this combination of instructions
 - \bullet can be solved with more HW resources
- Data hazards
 - the current instruction depends on the result of a prior instruction still in the pipeline
 - can be solved with forwarding or compiler scheduling
- Control hazards
 - caused by delay between the IF and the decisions about changes in control flow (branches, jumps, executions)
 - can be solved with early evaluation, delayed branch, predictors

Pipeline CPI = Ideal pipeline CPI + Structural Stalls + Data Hazard Stalls + Control Stalls (4.0.1)

The main features of the pipeline are:

- Higher throughput for the entire workload
- Pipeline rate is limited by the **slowest** pipeline stage
- Multiple tasks operate simultaneously
- It exploits parallelism among instructions
- Time needed to "fill" and "empty" the pipeline reduces speedup

4.1 Stages in *MIPS* pipeline

The 5 stages in the MIPS pipeline are:

- 1. Fetch IF
 - \rightarrow Instruction fetch from memory
- 2. Decode ID
 - \rightarrow Instruction decode and register read
- 3. Execute EX
 - → Execute operation or calculate the address
- 4. Memory access ME
 - \rightarrow Access memory operand
- 5. Write back WB
 - \rightarrow Write the result back to register

Each instruction is executed after the previous one has completed its first stage, and so on. When the pipeline is filled, five different activities are running at once. Instructions are passed from one unit to the next through a storage buffer. As each instruction progresses through the pipeline, all the information needed by the stages downstream must be passed along.

The stages are usually represented in Figure 7.



Figure 7: Stages in MIPS pipelines

4.2 Pipeline hazards

An **hazard** is a fault in a pipeline. A hazard is created whenever there is a dependence between instructions that are close enough such that the overlap introduced by pipelining would change the order of access to the operands involved in said dependence. It prevents the next instruction in the pipeline from executing during its designated clock cycle, reducing the performance from the ideal speedup.

There are 3 classes of hazards:

- 1. **Structural** hazards, due to attempting to use the same resource from different instructions at the same time
- 2. Data hazards stalls, due to attempting to use a result before it is ready
 - Read after write RAW
 - \rightarrow the instruction tries to read a source register before it is written by a previous instruction
 - \rightarrow it's also called *dependence* by compilers
 - \rightarrow caused by an actual need for communication
 - Write after read WAR
 - \rightarrow the instruction tries to write a destination register before it is read by a previous instruction
 - → it's also called *anti dependence* by compilers
 - \rightarrow caused by the reuse of the same register for different purposes
 - Write after write WAW
 - \rightarrow the instruction tries to write before it is written by a previous instruction
 - \rightarrow it's also called *output dependence* by compilers
 - \rightarrow caused by the reuse of the same register for different purposes
- 3. **Control** hazards *stalls*, due to the request of deciding on the next instruction to execute before the condition itself is evaluated

Data stalls may occur with instructions such as:

• RAW stall:

```
r3 := (r1) \text{ op } (r2)

r5 := (r3) \text{ op } (r4) // r3 \text{ has not been written yet}
```

• WAR stall:

```
r3 := (r1) \text{ op } (r2)

r1 := (r4) \text{ op } (r5) // r1 \text{ has not been read yet}
```

• WAW stall:

```
r3 := (r1) op (r2)

r3 := (r6) op (r7) // r3 has not been written yet
```

4.2.1 Solutions to data hazards

Hazards limit performance:

- Structural: need more HW resources
- Data: need forwarding, compiler scheduling
- Control: early evaluation & PC, delayed branch, predictors

Remarks 4.1. Increasing length of pipe increases impact of hazards

```
Remarks 4.2. Pipelining helps instruction bandwidth, not latency
```

There are many ways in which data hazards can be solved, such as:

- Compilation techniques
 - Insertion of nop instructions

• Instructions scheduling

- ▶ the compiler tries to avoid that correlating instructions are too close
- it tries to insert independent instructions among correlated ones
- when it can't, it inserts nop operations

• Hardware techniques

- Insertion on bubbles or stalls in the pipeline
- Data **forwarding** (bypassing)

Both the compilation and the hardware techniques will be analyzed in depth in Section 6.1.

Remarks 4.3. Problem with Floating point operation

ADDD, SUBD, MULTD, DIVD are floating pointing poin operation:

- Floating point operation are not commutative $(A + B \neq B + A)$, due to approximation
- They take much more clock cycle than interger operation
- They use different type of data (integer and floating point)
- \rightarrow we need general purpose (integer) registers and floating point registers.

What about mixing Integer and Floating point operations?

4.3 Complex in-order pipeline

While using floating points operations, a few questions might arise:

"What happens, architecture-wise, when mixing integer and floating point operations? How are different registers handled? How can GPRs (general purpose registers) and FPRs (floating point registers) be matched?"

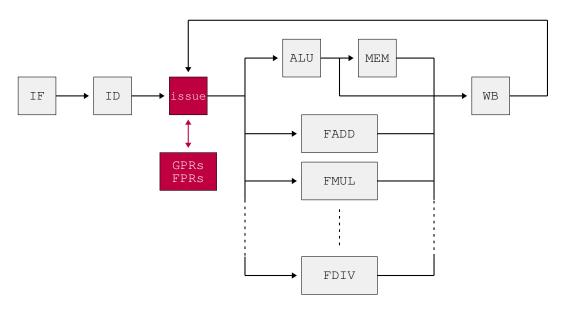


Figure 8: Complex-in order pipeline

The pipeline is now constituted by 6 stages, normally represented as in Figure 9.

Remarks 4.4. QUI cerca di capire cosa si fa in ogni fase e scrivi:

- Fetching
- Decode
- Issuing
- Execution
- Write-back



Figure 9: Pipeline stages with issue

Formally, all the different executions must be balanced.

What does the the issue stage:

- Separate the registers file
- Pick up the proper functional unit

Pipelining becomes complex when we want high performance in the presence of:

- Long latency or partially pipelined floating point units
- Multiple functions and memory units
- Memory systems with variable access time
- Precise **exception** (think about division by 0)

In the complex in-order pipeline:

ullet There isn't a single ALU anymore but the execution of floating point operations is split between a number of Functional Units.

he issue stage detects calls) of instructions in	case of errors.	J , 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	

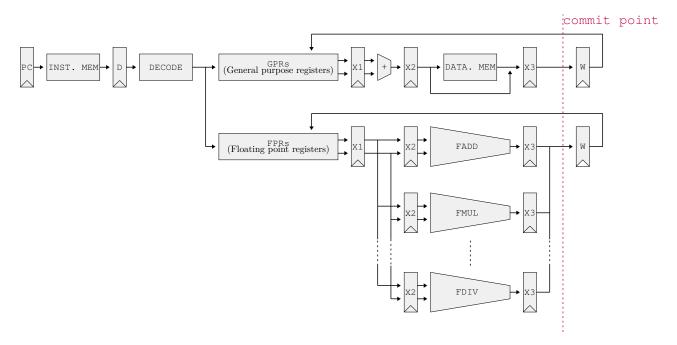


Figure 10: Complex pipelining

Nota che: abbiamo due punti di commit, perchè vogliamo accedere ai due tipi di registri separatamente.

Remarks 4.5. The main issues in complex pipeline are found in:

- \bullet Structural conflicts at the execution stage if some FPU or memory unit is not pipelined and takes more than one cycle
- Structural conflicts at the write-back stage due to variable latencies of different Functional Units (or FUs)
- Out of order write hazards due to variable latencies of different FUs
- Hard to handle exceptions

4.3.0.1 Solve the WB problem

A possible technique to handle write hazards without equalizing all pipeline depths and without forwarding (bypassing) is by delaying all writebacks so all operations have the same latency into the WB stage. In this approach, the following events will happen:

- Write ports are never oversubscribed
 - \bullet one instruction in and one instruction out for every cycle
- Instruction commit happens in order
 - it simplifies the precise exception implementation

Big problem: now the integer operations take the amount of clocks cycle than floating point operations (like from 1 cc \rightarrow 30 cc). We can't do in this way

Is it possible to solve all write hazards without equalizing all pipeline depths and without forwarding (bypassing) them?

 \rightarrow yes, OUT OF ORDER EXECUTION!

4.4 Instructions issuing

Remarks 4.6. Fetching, deconding and issuing is always happening in order (in that kind of architecture).

In fact our problem is in execution phase.

To reach higher performance, more parallelism must be extracted from the program. In other words, dependencies must be detected and solved, while instructions must be scheduled to achieve the highest parallelism of execution compatible with available resources.

Remarks 4.7. In order to work properly, before the issue stage a data structure must make the following checks to dispatch an instruction:

- 1. Check if the **functional unit** is available
- 2. Check if the **input data** is available
 - Failure in this step would cause a RAW
- 3. Check if it's safe to write to the **destination**
 - Failure in this step would cause a WAR or a WAW
- 4. Check if there's a structural conflict at the WB stage

Such a suitable data structure would look like in Table 3.

name	busy	op	destination	source 1	source 2
int					
mem					
add 1					
add 2					
add 3					
mult 1					
mult 2					
div					

Table 3: Data structure to keep track of FUs

An instruction at the issue stage consults this table to check if:

- The FU is available by looking at the busy column
- A RAW can arise by looking at the destination column for its sources
- A WAR can arise by looking at the source columns for its destinations
- A WAW can arise by looking at the destination columns for its destinations

When the checks are all completed:

- An entry is added to the table if no hazard is detected
- An entry is removed from the table after WB stage

Later in the course (Section 6.1.2), this approach will be discussed more in-depth.

4.5 Dependences

Determining **dependences** among instructions is critical to defining the amount of parallelism existing in a program. If two instructions are dependent, they cannot execute in parallel: they must be executed in order or only partially overlapped.

There exist 3 different types of dependences:

- Name Dependences
- Data Dependences
- Control Dependences

While hazards are a property of the pipeline, dependences are a property of the program. As a consequence, the presence of dependences does not imply the existence of hazards.

4.5.1 Name Dependences

Name dependences occurs when 2 instructions use the same register or memory location (called name), but there is no flow of data between the instructions associated with that name. Two types of name dependences could exist between an instruction i that precedes an instruction j:

- Antidependence: when j writes a register or memory location that instruction i reads; the original instruction ordering must be preserved to ensure that i reads the correct value
- Output Dependence: when i and j write the same register or memory location; the ordering of the original instructions must be preserved to ensure that the value finally written corresponds to j

Name dependences are not true data dependences, since there is no value (no data flow) being transmitted between instructions. If the name (either register or memory location) used in the instructions could be changed, the instructions do not conflict.

Dependences through memory locations are more difficult to detect (this is called the "memory disambiguation" problem), since two apparently different addresses may refer to the same memory location. As a consequence, it's easier to rename a **register** than rename a **memory location**. It can be done either **statically** by the compiler or **dynamically** by the hardware.

4.5.2 Data Dependences

A data or name dependence can potentially generate a data hazard (RAW for the former or WAR and WAW for the latter), but the actual hazard and the number of stalls to eliminate them are properties of the pipeline.

4.5.3 Control Dependeces

Control dependences determine the ordering of instructions. They are preserved by two properties:

- 1. **Instructions execution in program order** to ensure that an instruction that occurs before a branch is executed at the right time (before the branch)
- 2. **Detection of control hazards** to ensure that an instruction *(that is control dependent on a branch)* is not executed until the branch direction is known

Although preserving control dependence is a simple way to preserve program order, control dependence is not the critical property that must be preserved.

5 Branch Prediction

Definition 5.1. Target = blocco di codice da eseguire quando il branch viene preso, cioè nel caso di un loop, il corpo del loop

Definition 5.2. BTA (Branch target address) = address of the next instruction to execute if the branch is taken.

5.1 Performance without branch prediction

Conditional Branch Instruction: the branch is taken only if the condition is satisfied. The branch target address (BTA) is stored in the Program Counter (PC) instead of the address of the next instruction in the sequential instruction stream.

```
If (COND){
PC — true statements;
[...]
BTA -}else{
false statements;
[...]
}
```

5.1.1 Execution of conditional branches for 5-stage MIPS pipeline

beq \$x, \$y, offset

Instr. Fetch	Register Read	ALU Op. (\$x-\$y)	Write of
& PC Increm.	\$x 0 \$y	& (PC+4+offset)	PC

- 1. Instruction fetch and PC increment
- 2. Registers read (\$x and \$y) from Register File.
- 3. ALU operation to compare registers (\$x and \$y) to derive Branch Outcome (branch taken or branch not taken). Computation of Branch Target Address (PC+4+offset): the value (PC+4) is added to the least significant 16 bit of the instruction after sign extension
- 4. The result of registers comparison from ALU is used to decide the value to be stored in the PC: (PC+4) or (PC+4+offset).

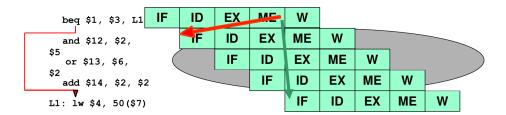
Results:

- Branch Outcome and Branch Target Address are ready at the end of the EX stage (3th stage)
- Conditional branches are solved when PC is updated at the end of the ME stage (4th stage)

The Problem of Control Hazards

Control hazards: Attempt to make a decision on the next instruction to fetch before the branch condition is evaluated.

5.1.1.1 Branch Hazards: Example



The branch instruction may or may not change the PC in MEM stage, but the next 3 instructions are fetched and their execution is started.

- \bullet If the branch is not taken, the pipeline execution is OK
- If the **branch** is **taken**, it is necessary to **flush** the **next 3 instructions** in the pipeline and fetched the lw instruction at the branch target address (L1)

5.1.2 Branch Stalls without/with Forwarding



Without forwarding

With forwarding

To stall the pipeline until the branch decision is taken (stalling until resolution) and then fetch the correct instruction flow:

- Without forwarding: three clock cycles
- With forwarding: for two clock cycles

If the branch is not taken, the three cycles penalty is not justified \rightarrow throughput reduction.

We can assume the branch not taken, and flush the next 3 instructions in the pipeline only if the branch will be taken.

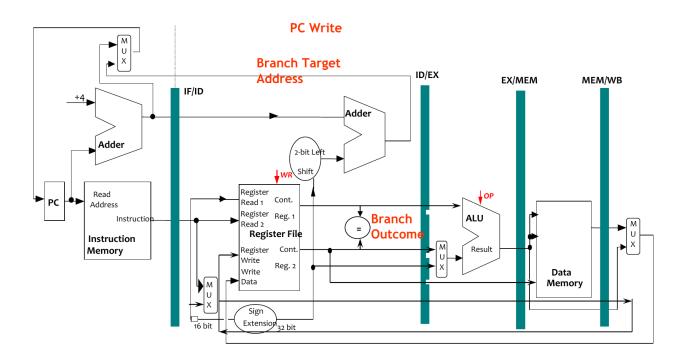
5.1.2.1 Early Evaluation of the PC

To improve performance in case of branch hazards, we need to add hardware resources to:

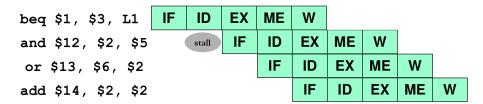
- 1. Compare registers to derive branch outcome
- 2. Compute branch target address
- 3. Update the PC register

as soon as possible in the pipeline.

Remarks 5.1. MIPS processor compares registers, computes branch target address and updates PC during ID stage.



The final result is:



Issue: But there is another problem in some situation (es. loops):

In that case we have a Data Dependece on \$1, since the computation of \$1 is ready after the execution stage of addi, to compute the evaluation of beq we have to wait until the value is ready (otherwise we would use the old value of \$1).

We know that after a branch instruction we have 1 stall, so in the instruction after beq we have to put another stall.

With the branch decision made during ID stage, there is a reduction of the cost associated with each branch

Remarks 5.2. Branch penalty: We need

• only one-clock-cycle stall after each branch

Or

• a flush of only one instruction following the branch

This is related to branch itself, not considering problems related data dependences.

5.2 Introduction to branch prediction

The main goal of the **branch prediction** is to evaluate as early as possible the outcome of a branch instruction. Its performance depends on:

- The accuracy, measured in terms of the percentage of incorrect predictions given
- The **cost of an incorrect prediction** measured in terms of time lost to execute useless instructions (misprediction penalty) given by the processor architecture
 - the cost increases for deeply pipelined processors
- Branch frequency given by the application
 - the importance of accurate branch prediction is higher in programs with higher branch frequency

There are many methods to deal with performance loss due to branch hazards:

- Static branch prediction techniques: the actions for a branch are fixed for each branch during the entire execution
 - used in processors where the expectation is that the branch behaviour is highly predictable at compile time
 - can be used to assist dynamic predictors
- **Dynamic** branch prediction techniques: the actions for a branch can change during the program execution In both cases, care must be taken not to change the processor state until the branch is definitely known.

5.3 Static techniques

There are 5 commonly used branch prediction techniques:

- Branch always not taken
- Branch always taken
- Backward taken forward not taken
- Profile driven prediction
- Delayed branch

Each one of these techniques will be discussed in the following Sections (from 5.3.1 to 5.3.5).

5.3.1 Branch Always Not Taken

The branch is always assumed as **not taken**, thus the sequential instruction flow that has been fetched can continue as if the branch condition was not satisfied.

- If the condition in stage ID will result in not satisfied (and the prediction is correct) performance can be preserved.
- If the condition in stage ID will result in satisfied (and the prediction is incorrect) the branch is taken: the next instruction already fetched is flushed (turned into a nop) and the execution is restarted by fetching the instruction at the branch target address. There is a one-cycle penalty.

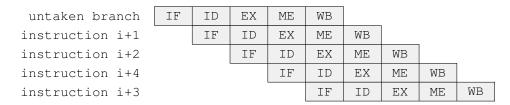


Figure 11: Branch always not taken: success

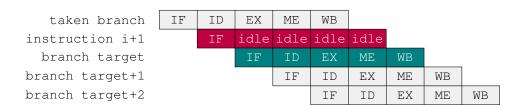


Figure 12: Branch always not taken: fail

5.3.2 Branch Always Taken

An alternative scheme is to consider **every branch** as **taken**: as soon as the branch is decoded and the branch target address is computed, the branch is assumed to be taken and the fetching and the execution stages can begin at the target.

The predicted-taken scheme makes sense for pipelines where the branch target is known before the actual outcome. This is not the case within MIPS pipeline (where the branch target is known only after the operation outcome), so there is no advantage in this approach.

5.3.3 Backward Taken Forward Not Taken

The prediction is based on the branch direction:

- Backward going branches are predicted as taken
 - the branches at the end of loops are likely to be executed most of the time
- Forward going branches are predicted as not taken
 - the **if** branches are likely not executed most of the time

5.3.4 Profile Driven Prediction

The branch prediction is *based* on profiling information collected *from earlier runs*. This method can use compiler hints, and it's potentially more effective than the other ones; however, it's also the most complicated between the 5 as it needs additional hardware.

This technique will be explored in Section 5.4.

5.3.5 Delayed Branch

The compiler statically schedules an independent instruction in the branch delay slot, which is then executed whether or not the branch is taken.

If the branch delay consists of one cycle (as in *MIPS*), there's only one delay slot; almost all processors with delayed branches have a single delay slot, as it's difficult for the compiler to fill more than one of them. If the branch:

- Is untaken: the execution continues with the instruction after the branch
- Is taken: the execution continues at the branch target

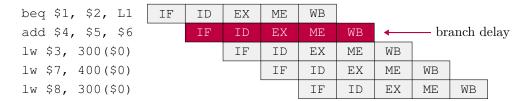


Figure 13: Delayed branch

The compiler's job is to make the instruction placed in the branch delay slot valid and useful. There are three ways in which the branch delay slot can be scheduled:

- 1. From **before**
- 2. From target
- 3. From fall through

These methods will be better analyzed in the following paragraphs.

In general, the compilers can fill about **half** of the delayed branch slots with valid and useful instructions, while the remaining slots are filled with nop. In deeply pipelined processors, the delayed branch is longer than one cycle: many slots must be filled for every branch, thus it's more difficult to fill each of them with *useful* instructions.

The main limitations on delayed branch scheduling arise from:

- The restriction on the instruction that can be scheduled in the delay slot
- The ability of the compiler to statically predict the outcome of the branch

To improve the ability of the compiler to fill the branch delay slot, most processors have introduced a **cancelling or nullifying branch**. The instruction includes the direction of the predicted branch:

- When the branch behaves as predicted, the instruction in the branch delay slot is executed normally
- When the branch is incorrectly predicted, the instruction in the branch delay slot is flushed (turned into a nop)

With this approach, the compiler does not need to be as conservative when filling the delay slot.

5.3.5.1 From before

The branch delay slot is scheduled with an independent instruction from before the branch.

The instruction in the branch delay slot is always executed, whether the branch is taken or not. An illustration of this strategy is represented in Figure 14.

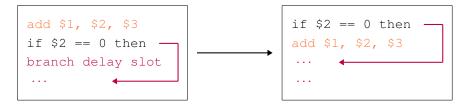


Figure 14: From before

Remarks 5.3. When to use it: when there exists a indipendent instruction before the branch

5.3.5.2 From target

The use of a register in the branch condition prevents any instructions with that register as a destination from being moved after the branch itself (\$1 in our case).

The branch delay slot is scheduled from the target of the branch (usually the target instruction will need to be copied because it can be reached by another path).

This strategy is preferred when the branch is taken with high probability, such as loop branches (backward branches). An illustration of this strategy is represented in Figure 15.

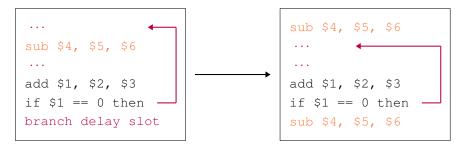


Figure 15: From target

In other word: if there is high probability that i take the branch, i can put an instruction of the target branch since i will (high) probably execute it. I have to rewrite the target instruction because it can be reached by another path.

Remarks 5.4. When to use it: when there is an high probability that the branch is taken (loops)

5.3.5.3 From fall through

The use of a register in the branch condition prevents any instructions with that register as a destination from being moved after the branch itself (*like what happens in the from target technique*). The branch delay slot is scheduled with an instruction from **the not taken fall through path**.

This strategy is *preferred* when the branch is not taken with high probability, such as **forward branches**.

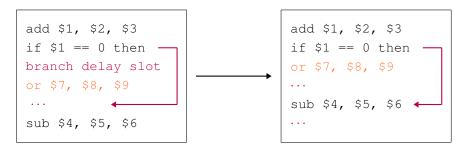


Figure 16: From fall through

NON HO CAPITO QUESTA PARTE:

In order to make the optimization legal for the target, it must be ok to execute the moved instruction when the branch goes in the unexpected direction; the instruction in the branch delay slot is executed but its result is wasted (if the program will still execute correctly).

For example, if the destination register is an unused temporary register when the branch goes in an unexpected direction.

Remarks 5.5. When to use it: when there is an high probability that the branch is not taken (if)

5.4 Dynamic Branch Prediction

Remarks 5.6. Questa parte il prof dice sarà quasi sicuramente esercizi

Basic idea: use the past branch behaviour to predict the future.

The prediction will depend on the behavior of the branch at **run time** and will change if the branch changes its behavior **during execution**.

What do we have to predict/compute?

- 1. **Branch Outcome Predictor** (BOP) (Do I or not take the jump?)
 - used to predict the direction of a branch (taken or not taken)
- 2. Branch Target Predictor (BTP) (Which is the address of the next instruction?)
 - used to predict the branch target address in case of taken branch

These modules are used by the *Instruction Fetch Unit* to predict the next instruction to read in the instruction cache (also called *I-cache*):

- Branch is not taken: PC is incremented
- Branch is taken: BTP gives the target address

How to do all of that? Branch target buffer.

5.4.1 Branch Target Buffer

The Branch Target Buffer is a cache storing the predicted branch target address for the next instruction after a branch. The BTB is accessed in the IF stage using the instruction address of the fetched instruction (a possible branch) to index the cache.

The typical entry of the BTB is shown in Figure 17. The predicted target address is expressed as PC-relative.



Figure 17: Typical entry of the BTB

The structure and operation of the BTB is shown in Figure 18.

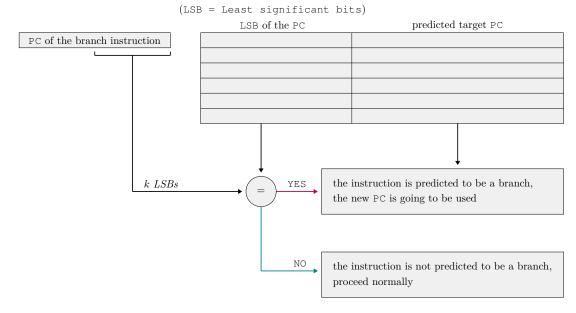


Figure 18: Structure of the BTB

Remarks 5.7. MA CHE POI: come funziona se io trovo il PC nel BTB ma essendo solo i k meno significativi io potrei anche aver memorizzato anche un istruzione sbagliata. Nel caso poi la previsione sia taken quando mi accorgo che i due BTA (quello memorizzato nella BTB e quello invece vero) sono diversi?

MI sa che noi in realtà calcoliamo sempre se il salto va preso (ovviamente) ma anche il BTA, quello che stiamo facendo è solo predizione!

5.4.2 Branch History Table

The Branch History Table contains $1 \, bit$ for each entry that says whether the branch was recently taken or not. It is indexed by the lower portion of the address of the branch instruction. The structure of the BTB is shown in Figure 19.

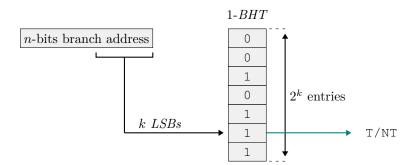


Figure 19: Structure of the BHT

Remarks 5.8. For each PC, the BTB stores:

- if the PC is a branch
- if the branch is predicted taken/not taken (BHT BOP)
- the address of the branch if taken (BTP)

The prediction is a hint that it is assumed to be correct and fetching begins in the predicted direction. If the hint turns out to be wrong, the prediction bit is inverted and stored back; the pipeline is then flushed and the correct sequence is executed.

The table has no tags (every access is a hit) and the prediction bit could have been put there by another branch with the same LSBs. The 1-bit branch history table only considers the last status of the branch (either taken or not taken). It is a simple FSA where a misprediction will change the current value, whose structure is shown in Figure 20.

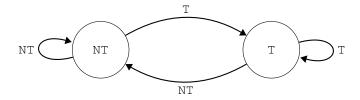


Figure 20: 1-bit BHT as FSA

A misprediction occurs when:

• The prediction is **incorrect** for that branch

- The same index has been referenced by two different branches, and the **the previous history refers to** the other branch
 - to solve this problem it's enough to increase the number of rows in the BHT or to use a hashing function (such as GShare).

In a loop branch, even if a branch is almost always taken and then not taken one, the 1-bit BHT will mispredict **twice** (rather than once) when it is not taken. That situation causes two wrong predictions:

- At the last loop iteration
 - the loop must be exited
 - the prediction bit will say TAKE
- While re-entering the loop
 - at the end of the first iteration the branch must be taken to stay in the loop
 - the prediction bit will say NOT TAKE because the bit was flipped on the previous execution of the last iteration of the loop

To fix this kind of behaviour, the 2-bit BHT was introduced.

5.4.3 2-bit Branch History Table

By adding one bit to the BHT, the prediction must miss twice before it is changed. In a loop branch, there's no need to change the prediction for the last iteration.

For each index in the table, the 2 bits are used to encode the four states of a FSA. Its structure is represented in Figure 21.

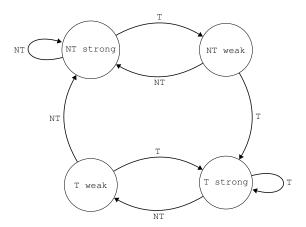


Figure 21: 2-bit BHT as FSA (FSA = four state algorithm (?))

5.4.4 k-bit Branch History Table

It's a generalization: n-bit saturating counter for each entry in the prediction buffer.

The counter can take on values between 0 and 2^{n-1} . When the counter is greater than or equal to one-half of its maximum value, the branch is predicted as taken. Otherwise, it's predicted as untaken.

As in the 2-bit scheme, the counter is incremented on a taken branch and decremented on an untaken branch. Studies on n-bit predictors have shown that 2 bits behave almost as well (so using more than 2 bits is almost useless).

5.5 Correlating Branch Predictors

Remarks 5.9. Questa parte il prof dice sarà sopratutto nella teoria

Basic idea: the behaviour of recent branches is correlated, that is the recent behaviour of other branches rather than just the current branch that we are trying to predict can influence the prediction of the current branch.

The Correlating Branch Predictors are predictors that use the behaviour of other branches to make a prediction. They are also called 2-level Predictors. Their scheme is represented in Figure 22.

A (1,1) Correlating Predictor denotes a 1-bit predictor with 1-bit of correlation: the behaviour of the last branch is used to choose among a pair of 1-bit branch predictors.

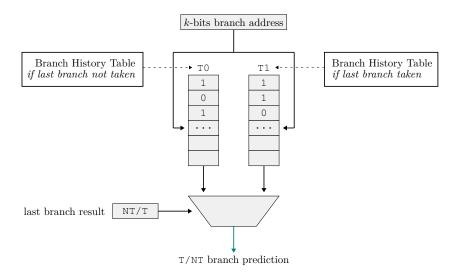


Figure 22: Structure of the Correlating Branch Predictors

Remarks 5.10. La mia predizione in questo caso sarà funzione del risultato (non la predizione!) del'ultimo branch:

- Se l'ultimo branch prima di quello che sto valutando è stato **not taken**:
 - Prenderò in cosiderazione la BHT T0
- Se l'ultimo branch prima di quello che sto valutando è stato taken:
 - Prenderò in cosiderazione la BHT T1

5.5.1 (m, n) Correlating Branch Predictors

In general, (m, n) correlating predictors records last m branches to choose from 2^m BHTs, each of which is a n-bit predictor.

The branch prediction buffer can be indexed by using a concatenation of low order bits from the branch address with m-bit global history (i.e. global history of the most recent m branches, implemented with a shift register). The general structure of a (m, n) CBP is represented in Figure 23.

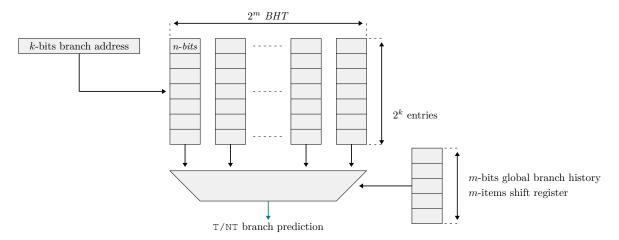


Figure 23: Structure of the (m, n) Correlating Branch Predictors

5.5.1.1 A (2, 2) Correlating Branch Predictor

A (2,2) correlating predictor has 4 2-bit Branch History Tables. It uses the 2-bit global history to choose among the 4 BHTs.

- Each BHT is composed of 16 entries of 2-bit each
- The 4-bit branch address is used to choose four entries (a row)
- 2-bit global history is used to choose one of four entries in a row (one of the four BHTs)

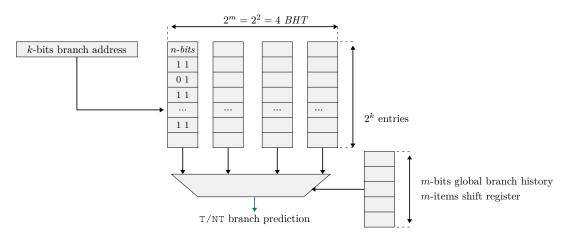


Figure 24: Structure of the (2, 2) Correlating Branch Predictors

5.5.1.2 Accuracy of Correlating Predictors

A 2-bit predictor with no global history is simply a (0,2) predictor.

By comparing the performance of a 2-bit simple predictor with 4000 entries and a 2, 2 correlating predictor with 1000 entries, we find out that the latter not only outperforms the 2-bit predictor with the same number of total bits but also often outperforms a 2-bit predictor with an unlimited number of entries.

5.6 Two Level Adaptive Branch Predictors

Remarks 5.11. Questa parte da non fare: il prof non la spiega, serve solo per farci capire che si può sempre fare meglio, ma deve valerne la pena

The first level history is recorded in one $(or\ more)\ k$ -bit shift register called $Branch\ History\ Register\ (BHR)$ which records the outcomes of the k most recent branches. The second level history is recorded in one $(or\ more)$ tables called $Pattern\ History\ Table\ (PHT)$ of two bits saturating counters.

The BHR is used to index the PHT to select which 2-bit counter to use. Once the two-bit counter is selected, the prediction is made using the same method as in the two bits counter scheme.

5.6.1 GA and GShare Predictors

The **GA Predictor** (Genetic Algorithm Predictor) is composed of a BHT (local predictor) and by one or more GAs (local and global predictor):

- The BHT is indexed by the low order bits of the PC (the branch address)
- The GAs are a 2-level predictor: PHT is indexed by the content of BHR (from global history)

The **GShare Predictor** is a local XOR global information, indexed by the exclusive OR of the low order bits of *PC (branch address)* and the content of *BHR (global history)*.

The structure of a GA predictor is represented in Figure 25, while Figure 26 shows the structure of a GShare predictor.

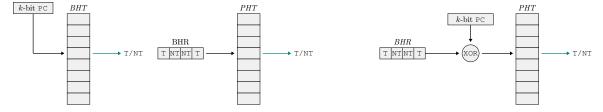


Figure 25: GA Predictor

Figure 26: GShare Predictor

6 Instruction Level Parallelism - ILP

The objective of the *ILP* is to improve the *CPI*, with the ideal goal of 1 cycle per instruction.

Definition 6.1. The ILP = potential overlap of execution among unrelated instructions

This goal is only achievable if there is no hazards (as described in Section 4.2).

Two properties are critical to program correctness (and normally preserved by maintaining both data and control dependences):

- 1. Exception behaviour: preserving exception behaviour means that any changes in the ordering of instructions execution must not change how exceptions are raised in the program
- 2. **Data flow**: the actual flow of data values among instructions that produce the correct results and consume them

6.1 Strategies to support *ILP*

There are main two software strategies to support *ILP*:

- 1. Dynamic scheduling: depends on the hardware to locate parallelism
- 2. Static scheduling: relies on the software to identify potential parallelism

Usually, hardware-intensive approaches dominate desktop and server markets.

6.1.1 Dynamic scheduling

The hardware reorders the instruction execution to reduce pipeline stall while maintaining data flow and exception behaviour.

Properties of the Dynamic Scheduling:

- 1. Instructions are fetched and issued in program order
- 2. Execution begins as soon as operands are available, possibly out of order execution
- 3. Out of order execution introduces possibility or WAR and WAW data hazards
- 4. Out of order execution implies out of order completion
 - a reorder buffer is needed to reorder the output

The two main techniques used by hardware to minimize stalls are:

• Forwarding

- The result from the EX/MEM and the EX/WB pipeline registers is fed back to the ALU inputs
- If the forwarding hardware detects that the previous ALU operation has written the register corresponding to a source for the current ALU operation:
 - ${\color{blue} \blacktriangleright}$ control logic selects the forwarded result as the ALU input
 - ${\color{red} \bullet}$ the value read from the register file is discarded
- The ALU needs multiplexers that allow it to select the correct inputs from the pipeline
- This technique can be generalized to include **passing a result directly to the functional unit** that requires it
 - in that case, a result is forwarded from the pipeline register corresponding to the output of one unit to the input of another, rather than just from the result of a unit to the input of the same unit

• Stalling

- Since not all potential data hazards can be solved by bypassing, a piece of hardware called *pipeline* interlock is added
- When it detects a hazard, it stalls the pipeline until that hazard it solved
- The stalls are often referred to as "bubbles"

Advantages of dynamic scheduling:

• It enables handling some cases where dependencies are unknown at compile time

- ullet It **simplifies** the compiler complexity
- \bullet It allows compiled code to run efficiently on a different pipeline

Disadvantages:

- \bullet A significant increase in ${\bf hardware}$ ${\bf complexity}$
- Increased power consumption
- \bullet Could generate $\mathbf{imprecise}$ $\mathbf{exception}$

6.1.2 CDC6600 Scoreboard

As discussed earlier (Section 4.4), a specific data structure is needed to solve data dependences without specialized compilers. The first implementation of such hardware is found in the **CDC6600 Scoreboard**, (1963). Its key idea is to allow instruction behind stalls to proceed, with the result of a 250% speedup with regards to no dynamic scheduling and a 170% speedup with regards to instructions reordering by the compiler. It has the downside of having a slow memory (due to the absence of cache) and no forwarding hardware. Furthermore, it has a low number of FUs and it does not issue structural hazards.

It solves the issue of data dependencies that cannot be hidden with bypassing or forwarding due to the hardware stalls of the pipeline by allowing out-of-order execution and commit of instructions.

The scoreboard centralizes hazard management. It can avoid them by:

- ullet Dispatching **instructions** in order to functional units provided there's no structural hazard or WAW
 - a stall is added on structural hazards (when no functional unit is available)
 - there can be only one pending write to each register
- Instructions wait for input operands to avoid RAW hazards
 - as a result, it can execute out-of-order instructions
- Instructions wait for output register to be read by preceding instructions to avoid WAR hazards
 - results are held in functional units until the register is freed

The scoreboard is operated by:

- 1. Sending each instruction through it
- 2. Determining when the instruction can read its operands and subsequently start its execution
- 3. Monitoring changes in hardware and deciding when a stalled instruction can execute
- 4. Controlling when instruction can write results

As a result, a new pipeline is introduced, where the ID stage is divided into two parts:

- 1. issue, where the instruction is decoded and structural hazards are checked
- 2. read operands, where the operation waits until there are no data hazards

Finally, the scoreboard is structured in three different parts:

- 1. **Instruction** status
- 2. Functional Units status
 - fields indicating the state of each FUs:
 - Busy indicates whether the unit is busy or not
 - Op the operation to perform in the unit
 - Fi the destination register
 - Fj, Fk source register numbers
 - Qj, Qk functional units producing source registers
 - Rj, Rk flags indicating when Fj, Fk are ready
- 3. Register result status
 - indicates which functional unit will write each register
 - it's blank if no pending instructions will write that register

An illustration of the new pipeline is represented in Figure 27, while the structure of the scoreboard is represented in Figure 28.

I	D	EX	WB
issue	$read\ operands$	execution	$write\ back$

Figure 27: Pipeline introduced by the Scoreboard

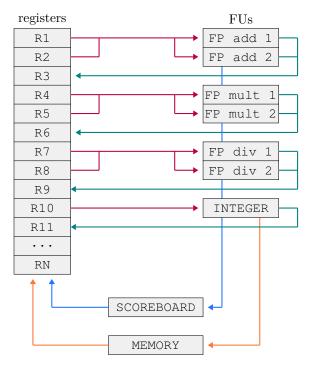


Figure 28: Structure of the Scoreboard

6.1.2.1 Four stages of Scoreboard Control

The four stages of scoreboard control are:

- Issue: instructions are decoded and structural hazards (WAW) are checked for
 - instructions are issued in program order for hazard checking
 - if the FU for the instruction is free and no other active instruction has the same destination register, the scoreboard issues the instruction and updates its internal data structure
 - \bullet if a structural or $W\!AW$ hazard exists, then the instruction issue stalls and no further instructions are issued until they are solved
- Read operands: expiration of data hazards is awaited, then operands are read
 - a source operand if available if no earlier issued active instruction will write it or a functional unit is writing its value into a register
 - when the source operands are available, the scoreboard tells the FU to proceed to read the operands from the registers and begin execution
 - \bullet RAW hazards are resolved dynamically, instructions could be sent out of order
 - there's no data forwarding in this model
- **Execution**: the FUs operate on the data
 - when the result is ready, the scoreboard it's notified
 - the delays are characterized by latency and initiation interval
- Write result: the execution is finished
 - once the scoreboard is aware that the FU has completed the execution, it checks for WAR hazards
 - ightharpoonup if no WAR hazard is found, the result is written
 - ▶ otherwise, the execution is stalled
 - ullet issue and write stages can overlap

This structure creates a few implications:

- WAW are detected (and the pipeline is stalled) until the other instruction is completed
- There's no register renaming
- Multiple instructions must be dispatched in the execution phase, creating the need for multiple or pipelined execution units
- Scoreboard keeps track of dependences and the state of the operations

6.1.3 Tomasulo algorithm

The Tomasulo algorithm is a **dynamic** algorithm that allows execution to proceed in presence of dependences. The key idea behind this algorithm is to **distribute** the control logic and the buffers within FUs, as opposed to the scoreboard (in which the control logic is centralized).

The operand buffers are called **Reservation Stations** (RS): each instruction is also an entry to a RS and its operands are replaced by values or pointers (a technique known as **Implicit Register Renaming**) in order to avoid WAR and RAW hazards.

Results are then dispatched to other FUs through a $Common\ Data\ Bus$, communicating both the data and the source. Finally, LOAD and STORE operations are treated as FUs, as RS are more complex than architectural registers to allow more compiler-level optimizations.

6.1.3.1 Structure of the Reservation Stations

The Reservation Station is composed by 5 fields:

- ullet TAG indicating the RS itself
- OP the operation to perform in the unit
- Vj, Vk the value of the source operands
- \bullet Qj, Qk pointers to the RS that produces Vj, Vk
 - its value is zero if the source operator is already available in Vi or Vk
- \bullet BUSY indicates the RS is busy

In this description, only one between the V-field and the Q-field is valid for each operand.

Furthermore, a few more components are needed, such as:

- Register File and the Store buffers have a Value (V) and a Pointer (Q) field
 - Q corresponds to the number of the RS producing the result (V) to be stored in Register File or Store buffers
 - if Q = 0, no active instructions is producing a result and the Register File (or STORE) buffer contains the wrong value
- Load and Store buffers have an Address (A) field, with the former having also a Busy field (BUSY)
 - the A field holds information for memory address calculation: initially contains the instruction offset, while after the calculation it stores the effective address

6.1.3.2 Stages of the Tomasulo Algorithm

The Tomasulo algorithm is structured in 3 different stages: Issue, Execute and Write. In more detail:

- 1. **Issue** stage:
 - Get an instruction I from the queue
 - if it is an FP operation, check if any RS in empty (i.e. check for any structural hazard)
 - Rename the registers
 - \bullet Resolve WAR hazards
 - if I writes R, read by an already issued instruction K, K will already know the value of R or knows that instruction will write into it
 - \bullet the $Register\ File$ can be linked to ${\tt I}$
 - \bullet Resolve WAW hazards
 - \bullet since the in-order issue is used, the Register File can be linked to I
- 2. Execute stage:
 - When both the operands are ready, then the operation is executed. Otherwise, watch the *Common Data Bus* for results.
 - \bullet by delaying the execution until both operands are available, RAW hazards are avoided
 - \bullet several instructions could become ready in the same clock cycle for the same FU
 - $\bullet\,$ LOAD and STORE are two-step processes:
 - effective address is computed and placed in LOAD/STORE buffer
 - LOAD operations are executed as soon as the memory unit is available

• STORE operations wait for the value to be stored before sending it into the memory unit

3. Write stage:

- When the result is available, it is written on the Common Data Bus
 - it is then propagated into the Register File and all the registers (including STORE buffers) waiting for this result
 - STORE operations write data to memory
 - RSs are marked as available

6.1.3.3 Focus on LOAD and STORE in Tomasulo Algorithm

LOAD and STORE instructions go through a functional unit for effective computation before proceeding to their respective load and STORE buffers. LOAD take a second execution step to access memory, then go to Write stage to send the value from memory to $Register\ File$ and/or RS, while STORE complete their execution in their Write stage. All write operations occur in the write stage, thus simplifying the algorithm.

A LOAD and a STORE instruction can be done in a different order, provided they access different memory locations. Otherwise, a WAR (interchange in load-store sequence) or a RAW (interchange in store-load sequence) may result in a WAW (if two stores are interchanged). However, LOAD instructions can be reordered freely. To detect such hazards, data memory addresses associated with any earlier memory operation must have been computed by the CPU.

LOAD instructions executed out of order with previous STORE assume that the address is computed in program order. When the LOAD address has been computed, it can be compared with A fields in active STORE Buffers: in case of a match, the load is not sent to its buffer until conflicting STORE completes.

Store instructions must check for matching addresses in both LOAD and STORE buffers. This is a **dynamic disambiguation** and, opposing to the static disambiguation, is not performed by the compiler. As a drawback, more hardware is required to perform these operations: each RS must contain a fast associative buffer, because single CDB (Common Data Bus) may limit performance.

6.1.3.4 Tomasulo and Loops

Tomasulo algorithm can **overlap** iterations of loops due to:

- Register Renaming
 - multiple iterations use different physical destinations for registers
 - static register names are replaced from code with dynamic registers "pointers", effectively increasing the size of the register file
 - instruction issue is advanced past integer control flow operations
- Fast branch resolution
 - integer unit must "get ahead" of floating point unit so that multiple iterations can be issued

6.1.3.5 Comparison between Tomasulo Algorithm and Scoreboard

The main advantages of the Tomasulo algorithm over the scoreboard are:

- \bullet Control and buffers are **distributed** with FUs
 - FUs buffers are called **reservation stations** and have pending operands
- \bullet Registers in instructions are **replaced** by values or pointers to RS
 - \bullet avoids WAR and WAW hazards
 - \bullet since there are more RS than registers, there's a higher optimization than compilers alone can do
- The result are **propagated** from RS to FU via Common Data Bus
 - the value is propagated to all FUs
- ullet LOAD and STORE instructions are treated as FUs with RSs
- \bullet Integer instructions can go past **branches**, allowing FP ops beyond basic block in FP queue

6.2 Limits of *ILP*

In order to execute more than one instruction at the beginning of a clock cycle, two requirements must be satisfied:

- 1. Fetching more than one instruction per clock cycle
 - this task is completed by the Fetch Unit
 - there is no major problem provided the instruction cache (*I-cache*) can sustain the bandwidth and can manage multiple requests at one
- 2. Decide on data and control dependences
 - dynamic scheduling and dynamic branch prediction are needed

Superscalar architectures paired with compiler scheduling can achieve such speeds.

A few requirements must be satisfied to start an ideal machine:

1. Register renaming

• by using an infinite number of virtual registers, all WAW and WAR hazards are avoided

2. Branch prediction

• by using a perfect predictor, no branch is ever mispredicted

3. Jump prediction

- all jumps are perfectly predicted
- a machine with perfect speculation and an infinite buffer of instructions is needed

4. Memory address alias analysis

- addresses are known and a STORE can be moved before a LOAD if their addresses are different
- 5. 1 cycle latency for all instructions
 - an unlimited number of instructions can be issued each clock cycle

6.2.1 Initial assumptions

Furthermore, a few initial assumptions must be made:

- CPU can issue an unlimited number of instructions, looking arbitrarily far ahead in the computation
- There's **no restriction on types of instructions** that can be executed in one cycle (including loads and stores)
- All FUs have unitary latency: any sequence of depending instructions can issue on successive cycles
- All LOAD and STORE execute in 1 cycle, thanks to perfect caches

6.2.2 Limits dynamic analysis

Dynamic analysis is necessary to approach perfect branch prediction, and it cannot be achieved at compile time. A perfect dynamic scheduled CPU should:

- 1. Look arbitrarily far ahead to find a set of instructions to issue and predict all branches perfectly
- 2. Rename all registers in use, avoiding all WAR and RAW hazards
- 3. Determine whether there are **data dependences among instructions** in the issue packet, renaming them if necessary
- 4. Determine and handle all memory dependences among issuing instructions
- 5. Provide enough replicated functional units to allow all ready instructions to issue

6.2.3 Limits on window size

The size of the window size affects the number of comparisons needed to determine RAW dependences. The number of comparisons that are needed with the infinite register is:

$$2\sum_{i=1}^{n-1} i = 2 \cdot \frac{(n-1)n}{2} = n^2 - n$$

For a window size of 2000 almost 4 **million comparisons** are needed; a more realistic window with a size of 50 instructions still needs 2450 comparisons.

Today's *CPUs* have constraints deriving from the limited number of registers, the search for dependent instructions and the in-order instructions issue.

6.2.4 Other limits of modern CPUs

- Number of FUs
- Number of buses
- Number of ports for the register file

All these limitations impose that the maximum number of instructions that can be issued, executed or committed in the same clock cycle is much smaller than the window size.

In real life, the maximum size of the issue width is capped at 6. Increasing the issue rate above this value (i.e. at 12) would require the CPU to:

- Issue 3 or 4 data memory accesses per cycle
- Resolve 2 or 3 branches per cycle
- Rename and access more than 20 registers per cycle
- Fetch between 12 and 24 instructions per cycle

The complexities of implementing these capabilities are likely to mean sacrifices in the maximum clock rate: power consumption is nowadays an issue and it would grow too much.

The key question to answer is whether a technique is **energy efficient** enough:

"Does it increase power consumption faster than it increases performances?"

Multiple issue processors techniques are all energy inefficient, as:

- Issuing multiple instructions incurs some overhead in logic that grows faster than the issue rate grows
- A growing gap between peak issue rates and sustained performance is introduced, increasing energy per performance ratio

6.3 Static Scheduling

Compilers can use sophisticated algorithms for code scheduling to exploit *ILP*. The amount of parallelism available within a basic block (a straight line code sequence with no branches in except to the entry and no branches out except at the exit) is quite small, and data dependence can further limit the amount of *ILP* that can be exploited within a basic block much less than the average basic block size. To obtain substantial performance enhancements, *ILP* must be exploited across multiple basic blocks (i.e. across branches).

The static detection and resolution of dependencies are accomplished by the compiler, so they are avoided by code reordering. The compiler outputs dependency-free code.

Limits of static scheduling:

- Unpredictable branches
- Variable memory latency (due to unpredictable cache misses)
- Huge increase in code size
- High compiler complexity

6.4 VLIW architectures

The Very Long Instruction Words (VLIW) is a particular architecture made specifically to fetch more instructions at a time. The CPU issues multiple sets of operations (single unit of computations, such as ADD, LOAD, branch, ...) called instructions. Those are meant to be intended to be issued at the same time and the compiler has to specify them completely.

Its features include:

- Fixed number of instructions (between 4 and 16)
- The instructions are scheduled by the **compiler**
 - the hardware has very limited control on what is going on
 - the instructions are going to have a very low dependency
- The operations are put into wide **templates**

• Explicit parallelism

- parallelism is found at compile time, not run time
- the compiler is responsible for parallelizing the code, not the designer

• Single control flow

- there's only one PC
- only one instruction is issued each clock cycle

• Low hardware **complexity**

- there's no need to to perform scheduling or reordering on hardware level
- all operations that are supposed to begin at the same time are packaged into a single instruction
- each operations slot is meant for a fixed functions
- constant operation latencies are specified

There are multiple **functional units** (FUs) that are going to execute instructions in parallel. An illustration of the inner working instruction level is represented in Figure 29, while the pipeline level is represented in Figure 30.

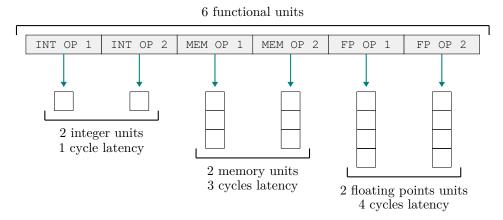


Figure 29: VLIW - instructions level

6.4.1 Compiler responsibilities

The compiler has to schedule the instructions (via static scheduling) to maximize the parallel execution:

- It can exploit ILP and LLP (Loop Level Parallelism)
- It is necessary to map the instructions over the machine functional units
- This mapping must account for time constraints and dependences among the tasks themselves

The idea behind the static scheduling in VLIW is to utilize all functional units (FUs) in each cycle as much as possible to reach a better ILP and therefore higher parallel speedups.

6.4.2 Basic Blocks and Trace Scheduling

Compilers use sophisticated algorithms to schedule code and exploit *ILP*. However, the amount of parallelism available in a single **basic block**, as previously pointed out, is quite small; furthermore, **data dependence** can limit the amount of *ILP* that can be exploited to less than the average block size.

A basic block (BB) is defined as a sequence of straight non-branch instructions.

In order to obtain substantial performance enhancements, the ILP must be exploited across multiple blocks (i.e. among branches). An illustration of the structure of BB can be found in Figure 31a.

A trace is a sequence of basic blocks embedded in the control flow graph. It must not contain loops but it can include branches.

It's an **execution path** which can be taken for a certain set of inputs. The chances that a trace is executed depend on the input set that allows its execution. As a result, some traces are executed much more frequently than others.

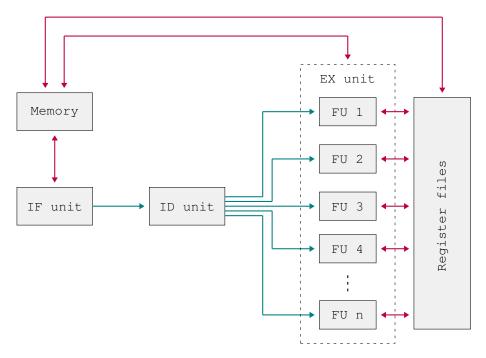


Figure 30: VLIW - pipeline level

The tracing scheduling algorithm works as follows:

- 1. Pick a sequence of basic blocks that represents the most frequent branch path
- 2. Use **profiling feedback** or compiler heuristics to find the common branch paths
- 3. **Schedule** the whole trace at once
- 4. Add code to handle branches jumping out of trace

Scheduling in a trace relies on basic code motion but it could also use globally scoped code by appropriately renaming some blocks. Compensation codes are then needed for **side entry points** (i.e. points except beginning) and **slide exit points** (i.e. points except the ending).

Blocks on non-common paths may now have added overhead, so there must be a high probability of taking common paths according to the profile. However, this choice might not be clear for some programs. In general, compensation codes are not easy to generate for entry points.

A comparison of scheduled and unscheduled codes can be found in Figure 31.

6.4.2.1 Code motion and Rotating Register Files in Trace Scheduling

In addition to the need for compensation codes, there are a few more restrictions on the movement of a code trace:

- A) The data flow of the program must not change
- B) The **exception behaviour** must be preserved

In order to ensure (A), the **Data** and **Control** dependency must be maintained. Furthermore, control dependency can be eliminated using **predicate instructions** (via Hyperblock scheduling) and branch removal or by using **speculative instructions** (via Speculative Scheduling) and speculatively moving instructions before branches.

Finally, Trace Scheduling within loops requires lots of registers, due to the duplicated code: to solve this issue, a new set of registers must be allocated for each iteration.

This solution is achieved via the use of **Rotating Register Files** (RRB). The address of the RRB register points to the base of the current register set. The value added onto a local register specifier gives a physical register number.

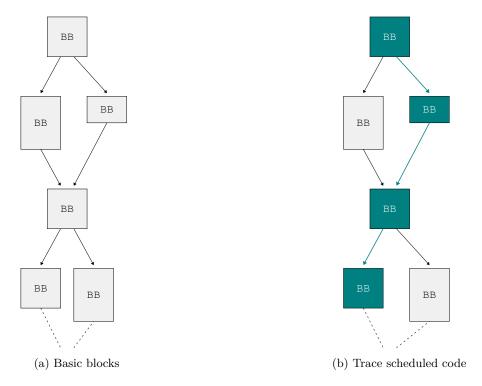


Figure 31: Comparison of scheduled and unscheduled code

An illustration of the RRB is shown in Figure 32.

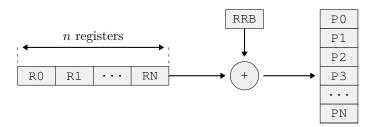


Figure 32: Rotating Register File

6.4.3 Pros and cons of VLIW

Pros:

- ✓ Simple HW
- \checkmark It's easy to increate the number of FU
- ✓ Good compilers can efficiently detect parallelism

Cons:

- X Huge number of registers to keep active each FU, each needed to store operands and results
- X Large data transport capabilities between:
 - FUs and register files
 - register files and memory
- × High bandwidth between instruction cache and fetch unit
- X Large code size

6.4.4 Static Scheduling methods

The static scheduling methods used in the VLIW are:

- Simple code motion
- Loop unrolling and loop peeling Paragraph 6.4.4.1
- Software **pipelining** Paragraph 6.4.4.2
- Global code **scheduling** (across basic blocks)
 - Trace scheduling Paragraph 6.4.4.3
 - Superblock scheduling
 - Hyperblock scheduling
 - Speculative Trace scheduling

6.4.4.1 Loop unrolling

Examine this snippet of code:

```
for (int i = 0; i < N; i++)
B[i] = A[i] + C;
```

the inner loop gets unrolled in order to execute 4 iterations at once:

```
for (int i = 0; i < N; i += 4) {
  B[i] = A[i] + C;
  B[i + 1] = A[i + 1] + C;
  B[i + 2] = A[i + 2] + C;
  B[i + 3] = A[i + 3] + C;
}</pre>
```

A final clean-up is needed to take care of those values of N that are not multiples of the unrolling factor (4 in this example).

This technique has the drawbacks of creating **longer code** and **losing performance** due to the costs of starting and closing each iteration. Furthermore, trace scheduling cannot proceed beyond a loop.

An illustration of the performance improvements can be found in Figure 33.

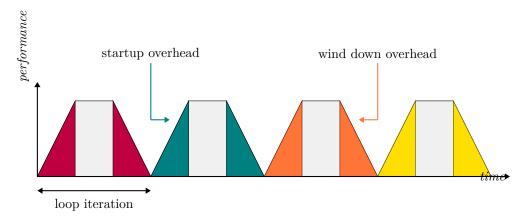


Figure 33: Performance improvement of loop unrolling

6.4.4.2 Software pipelining

The programs can be pipelined in order to increase performance and reduce the overall cost of the startup and wind down phases from once per iteration to once per loop.

An illustration of the performance improvements can be found in Figure 34.

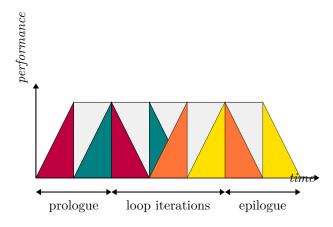


Figure 34: Performance improvement of software pipelining

6.4.4.3 Trace scheduling

As discussed in Section 6.4.2, Trace Scheduling does not support loops.

To increase the performance in these situations, techniques based on loop unrolling are needed. Traces scheduling schedules traces in order of decreasing probability of being executed. As such, the most frequently executed traces get better schedules.

7 Hardware Based Speculation

The Hardware Based Speculation combines 3 ideas:

- Dynamic Branch Prediction to choose which instruction to execute
- Dynamic Scheduling to support out-of-order execution while allowing in-order commit
 - prevents irrevocable actions such as register update or exception taking until an instruction commits
- Speculation to execute instructions before control dependencies are resolved

The outcome of the branches is speculated, and then the program is executed as if the speculation was correct. Mechanisms are necessary to handle incorrect speculation: the hardware speculation extends dynamic scheduling beyond a branch (i.e. beyond the basic block).

Generally speaking, Hardware-Based Speculation raises power consumption but lowers execution time by more than it increases the average power consumption. The total energy consumed may be less depending on the number of instructions incorrectly executed.

7.1 Reorder Buffer

The **Reorder Buffer** (ROB) holds instructions in FIFO order, exactly as issued: when the instructions are complete, their results are placed back in the ROB.

Operands are supplied to the other instructions between their completion and their commit, while results are tagged with ROB buffer number instead of the reservation station.

During the instruction commit, the values in the head of the ROB are placed in registers.

ROB are structured as a circular buffer with **head** and **tail** pointers. Entries between those two are valid and they are allocated and freed whenever an instruction enters or leaves the ROB. Its main entries are:

- The **type** of the instruction
- The **destination** register of the result
- The **result** of the instruction
- If any exception was raised
- The **program counter** PC
- If the instruction is ready
- If the branch is speculative

The structure of the ROB is represented in Figure 35 along with its main entries.

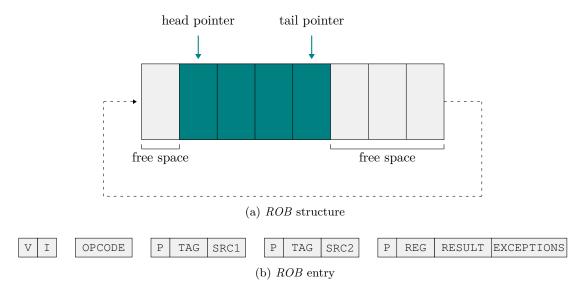


Figure 35: Structure of the *ROB* and its entries

The structure of an entry contains:

- The TAG, given by the index in the ROB
- The new instructions are **dispatched** to free slot
- Instruction space is **reclaimed** when done by setting the P bit
- In dispatch stage:
 - non-busy source operands are read from register files and copied to SRC fields where the P bit is set
 - \bullet busy source operands copy TAG of producer and clear the P bit
 - \bullet the \vee bit is set valid
- In **issue** stage:
 - the I bit is set valid
- On **completion**:
 - \bullet source tags are searched and the P bit is set
 - result and EXCEPTION flags are written back to ROB
- On commit:
 - EXCEPTION flags are checked
 - RESULT is copied into register files
- On trap:
 - \bullet machine and ROB are flushed
 - FREE pointer is set as OLDEST
 - \bullet the execution resumes from ${\tt HANDLER}$

In order to separate the completion stage from the commit stage, the ROB must hold register results from the former until the latter.

Each entry, allocated in program order during decode, holds:

- The **type** of the instruction
- The **destination** register specifier and value (if any)
- The program counter, PC
- The **exception** status (often compressed to save memory)

They buffer completed values and exception states until the in-order commit point. Completed values can be used by dependencies before reaching that point.

7.2 Interrupts and Exceptions with hardware speculation

Hardware Speculation greatly increases the complexity of control dependencies; branch prediction may not be enough to keep a high level of *ILP*.

Special care must be ensued while handling interrupts and exceptions, because:

- All the instruction **before** the event must be completed
- All the instructions after the even must behave as they have never started

Since branch prediction might be wrong, the issue is now to update the processor state accordingly. Finally, out-of-order completion, post-interrupt, and mispredict writebacks change the state of the program.

To solve this problem, executed instructions are held in ROB until they are no longer speculative; the instruction commit is then *in order*: exceptions and interrupts are handled by not being addressed until the instruction that caused them is recorded in the ROB.

Temporary storage (in the form of shadow registers and STORE buffers) is then needed to hold all results before commit Operands are supplied as well between execution completion and commit.

Once the instruction is committed, its result is placed in the destination register; it's therefore easy to undo speculated instructions on exceptions and interrupts.

A scheme of this structure is represented in Figure 36.

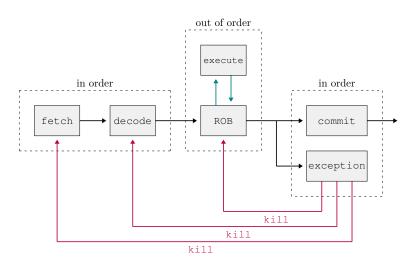


Figure 36: Scheme of the in order commit for precise exceptions

7.3 Steps in the Speculative Tomasulo Algorithm

The 4 steps of the Speculative Tomasulo Algorithm are:

- 1. **Issue** or **dispatch** instruction is loaded from *FP* operation queue. If the reservation station and the reorder buffer slot are free, then:
 - the instruction is issued
 - the operands are sent
 - the destination buffer is reordered
- 2. Execution operands are operated upon
 - \bullet when both operands are ready, the instruction is executed
 - if they aren't, the Common Data Bus is watched for the results
 - when both operands are in the reservation station, the instruction is executed
 - RAW are checked
- 3. Write result
 - result is written on the Common Data Bus to all awaiting FUs and ROBs
 - \bullet all the RS are set to available
- 4. Commit or graduation when the instruction at the head of the ROB and the results are present, then:

- the register (or memory page) is updated with the result
- \bullet the instruction is removed from the ROB
- \bullet mispredicted branches are flushed from the ROB

Furthermore, 3 possible **commit** sequences are possible:

• Normal commit:

- the instruction reaches the head of the ROB
- the result is found in the register
- the instruction is removed from the ROB

• Store commit:

- same as Normal commit but memory (and not a register) is updated
- Instruction is a branch with incorrect prediction:
 - the speculation was wrong
 - ROB is flushed (the operation is called "graduation")
 - execution restarts at the correct successor of the branch

7.3.1 Tomasulo's Algorithm and ROB

To masulo's Algorithm needs a buffer for all the uncommitted results. It's structured as a ROB. Each entry of the ROB contains 4 fields:

- 1. **Instruction Type** field, indicating if:
 - the instruction is a branch (and has no destination result)
 - the instruction is a STORE (and has a memory address destination)
 - the instruction is a LOAD or ALU operation (and has a register destination)
- 2. **Destination** field, supplying:
 - the register number (for LOAD and ALU instructions)
 - the memory address (for STORE instructions)
- 3. Value field, holding the value of the result until the instruction commits
- 4. Ready field, indicating if the instruction has been completed and the value is ready

Further observations:

- The ROB replaces all store buffers, because STORE execute in two steps
 - the second step happens when the instruction commits
- The renaming function of the reservation stations is completely replaced by the ROB
 - Tomasulo provides **Implicit Registers Renaming**, because user registers are renamed to reservation station tags
- Reservation stations now only queue operations (and their relative operands) to FUs between the time they issue and the time they begin their execution stage
- \bullet Results are tagged with the ROB entry number rather than with the RS number
 - \bullet each ROB as signed to instruction must be tracked in the RS
- ullet All instructions excluding incorrectly predicted branches and incorrectly speculated LOAD commit when reaching head of ROB
 - \bullet when an incorrect prediction or speculation is indicated, the ROB is flushed and execution restarts at the correct successor of branch
 - speculative actions are easily undone
- Processors with ROB can dynamically execute while maintaining a precise interrupt model
 - if instructions I causes an interrupt, the CPU waits until I reaches the head of the ROB to handle it, flushing all other pending instructions

7.3.2 Exception handling

The use of a ROB with in-order instruction commit provides precise exceptions: they are handled by ignoring them until they are ready to commit.

3 different scenarios can then be identified in the commit stage:

- If a speculated instruction raises an exception, then the exception itself is recorded in the ROB
- If a **branch misprediction arises** and the instruction should not have been executed, then the exception is flushed along with the instruction when the ROB is cleared
- If the instruction reaches the head of the *ROB*, then it's no longer speculative and it should be addressed

7.3.3 Hardware support for Memory Disambiguation

In order to keep track of all stores to memory in program order, a buffer is needed. Its duties include:

- Keeping track of addresses and results and when they become available
- Keeping a FIFO ordering, so stores are retired in program order

While issuing a LOAD, the current head of the STORE queue must be recorded to know which STORE instructions are ahead; when the address is actually found, the STORE queue is checked:

- If any STORE prior to LOAD is waiting for its address, the LOAD is stalled
- If any LOAD address matches any previous STORE address (found by associative lookup):
 - \rightarrow a **memory induced** RAW hazard is created
 - \rightarrow if the STORE value is available, then it's returned
 - \rightarrow if the STORE value is not available, then the ROB number of the source is returned

All the actual STORE instructions commit in order, so there's no need to check for WAR or WAW hazards throughout memory.

7.4 Explicit Register Renaming

Explicit Register Renaming is a technique that uses a physical register file that is larger than the number of registers specified by the *ISA*.

The key *idea* behind this technique is to allocate a new physical destination register for every instruction that writes; it's very similar to a compiler transformation called **Static Single Assignment** (SSA) but at hardware level. It removes all chances of WAR or WAW hazards while allowing complete **out of order** completion.

It works by keeping a translation table that maps each *ISA* register to a physical register: whenever a register is written, the corresponding entry on the map is replaced with a new register from the **freelist**. A physical register is considered free when not used by any active instruction.

A simple illustration of this technique is shown in Image 37.

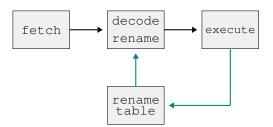


Figure 37: Explicit Register Renaming

Explicit Renaming Support includes:

- Rapid access to a table of translations
- A physical register file that has more registers than specified by the ISA
- Ability to figure out which physical registers are free
 - if no register is free, the issue stage is stalled

The advantages of Explicit Renaming Support are:

- Decouples renaming from scheduling
 - pipeline can behave exactly like "standard", Tomasulo like or scoreboard (among the others) pipeline
 - physical register file holds committed and speculative values
 - physical registers are decoupled from rob entries

- ightharpoonup there's no data in the ROB
- Allows data to be fetched from **single** register file
 - there's no need to bypass values from reorder buffer
 - this can be important for balancing the pipeline

7.4.1 Unified Physical Register File

To enable the CPU to explicitly rename registers, a **Unified Physical Register File** is created. It works by interfacing Registers to $Functional\ Units$:

- It renames all architectural registers into a **single physical register file** during decode, without reading their values
- Functional units read and write from single Unified Physical Register File holding **committed** and **temporary registers** in execution
- It commits only updates the mapping of architectural registers to the physical registers, **without actually moving data**

The **Renaming Map** is a simple data structure that supplies the physical register number of the register that currently corresponds to the requested architectural register. At the **Instruction Commit** stage the renaming table is updated permanently to indicate that the physical register, holding the destination value, corresponds to the actual architectural register.

A schematic of the Unified Physical Register File is shown in Image 38.

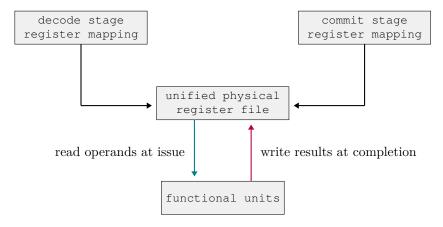


Figure 38: Unified Physical Register File

By using the Unified Physical Register File, the mapping between an architectural register and a physical one is not speculative. Any physical register being used to hold the older value of the architectural register is freed.

Deallocating registers is however a more complicated task:

- Before freeing up a physical register, checks that it is **no longer being used** now or shortly, must be made
- A physical register corresponds to an architectural register until it is rewritten
- There may be **pending uses** of the physical register: the processor must check if any source operand corresponds to that register in the FU queue
 - if it does not appear, it can be allocated
 - otherwise, the **processor can wait** until another instructions that writes the same architectural register commits
 - ▶ this is an easy way to implement a solution that might cause slight delays

A simplified implementation of the pipeline with a Physical Register File is shown in Image 39.

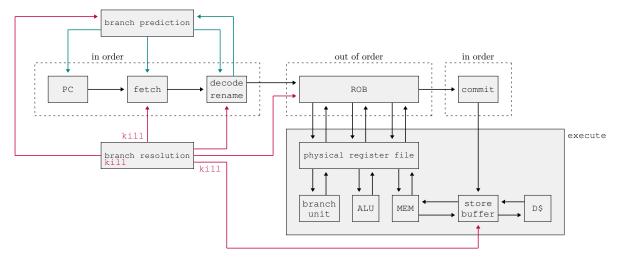


Figure 39: Pipeline Design with Physical Register File

7.5 Explicit Register Renaming and Scoreboard

The scoreboard architecture can be easily adapted to be coupled with Explicit Register Renaming. The 4 stages now become:

- Issue decode instruction, check for structural hazards and allocate a new physical register for the result
 - instructions are issued in program order for hazard checking
 - no instruction is issued if there are no physical registers
 - no instruction is issued if there is a structural hazard
- Read operands wait until no hazard happens and then read operands
 - all real dependences (RAW hazards) are resolved in this stage since the processor waits for instructions to write back data
- Execution on operands
 - ullet the FU begins execution upon receiving operanda
 - when the results are read, the scoreboard is notified
- Write result
 - the execution of the instruction ends here

Thanks to the Explicit Renaming there's no need to check for WAR or WAW hazards.

7.5.1 Multiple Issue

Often it's necessary to modify the issue logic to handle two or more instructions at once, including possible dependencies between instructions. The biggest bottleneck is found in dynamically scheduled superscalar processors:

- The **processor needs additional logic** to handle the issue of every possible combination of dependent instructions in the same clock cycle
- The number of combinations increases with the square with respect to the number of instructions that can be issued in the same cycle
 - it's difficult to implement a logic supporting more than 4 instructions

The basic approach is as follows:

- 1. A reservation station and a ROB entry is assigned to each instruction in the following issue bundle
 - if this is not achievable, only a subset of instruction is considered in sequential order
- 2. All dependences between the instructions are analyzed

3. If an instruction in the bundle **depends on an earlier instruction of the same bundle**, the assigned *ROB* number is used to update the reservation table for the current instruction

All these operations are done in parallel in a single clock cycle.

Similarly, the issue logic must behave as follows:

- 1. Enough physical space for the entire issue bundle is **reserved**
- 2. The issue logic determines what dependences exists in the bundle:
 - if a dependence **does not exist** within the bundle:
 - the register renaming structure is used to determine the physical register that holds the result on which instruction depends
 - the result is from an earlier issued bundle and the register renaming table will contain the correct register number
 - if an instruction depends on an instruction that is earlier in the bundle:
 - ► the reserved physical register in which the result will be placed is used to update the information for the issuing instruction

Once again, all these operations are done in parallel in a single clock cycle.

7.5.2 Superscalar Register Renaming

The **Register Renaming** technique can be applied to **superscalar processors** as well. Its operations are as follows:

- During decode, instructions allocate a new physical destination register
- Source operands are renamed to physical register with newest value
- Execution unit only sees physical register numbers
- RAW hazards must be checked between instruction issuing in the same cycle
 - this operation can be done in parallel using rename lookup

An illustration of the architecture in the two-issue Superscalar Register Renaming is found in Image 40.

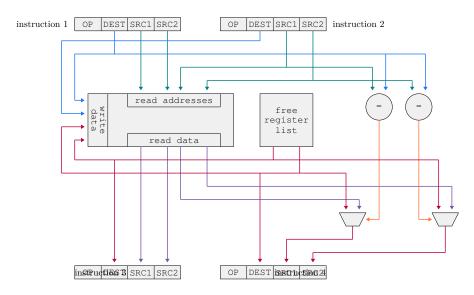


Figure 40: Two issue Superscalar Register Renaming

8 Exception Handling

Interrupts are special events that alter the normal program execution by requesting the attention of the processor. They can be raised either by an **internal** or an **external** system, and they are usually unexpected or rare from the program's point of view.

When they are raised, a special routine called **Interrupt Handler** will take care of stopping and resuming the flow of the code, while addressing the exception.

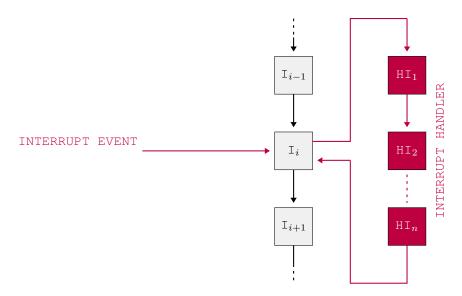


Figure 41: Interrupt event

The interrupts can be:

- Asynchronous: created by an external event, for example:
 - input or output device service request
 - timer expiration
 - power disruption or hardware failure
- Synchronous: created by an internal event, for example:
 - undefined opcode, arithmetic overflow, FPU exception
 - privileged instruction, misaligned memory access
 - virtual memory exceptions
 - ► page faults
 - ightharpoonup TLB misses
 - protection violations
 - traps
 - ► system calls
 - ▶ jumps to kernel

Synchronous events are also called **Exceptions**.

8.1 Precise Interrupts

An interrupt or exception is considered precise if there is a single instruction (or interrupt point) for which all instructions before that one have committed their state and no following instructions (including the interrupting one) have modified any state.

This effectively implies that the execution can be restarted at the interrupt point and continue correctly.

This kind of interrupt is desirable because:

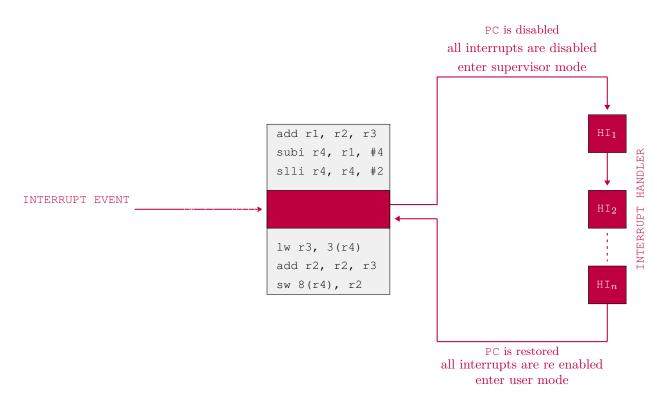


Figure 42: Operation of an interrupt

- Many types of interrupts or exceptions need to be restartable
- It's easier to figure out what actually happened and what caused the exception

While restartability does not require preciseness, it makes it a lot easier to restart the execution by:

- Reducing the number of states to be saved if the process has to be unloaded
- Making quicker restarts due to the faster interrupts

The operation of an interrupt is shown in Figure 42.

8.2 Classes of Exceptions

Exceptions can be divided into classes:

- Synchronous and Asynchronous:
 - \bullet Synchronous exceptions are caused by devices external to the CPU and memory and are easier to handle as they can be addressed after the current instruction
- User requested and Coerced:
 - User requested are predictable, they are treated as exceptions because they use the same mechanisms that are used to save and restore the state and are handled after the instruction has been completed
 - Coerced exceptions are caused by some hardware event, not under the control of the program
- User Maskable and User Nonmaskable:
 - The mask controls whether the hardware responds to the exception or not
- Within vs Between instructions:
 - Exceptions that occur between instructions are usually synchronous as they are triggered by instruction. The instruction must be stopped and restarted
 - Asynchronous that occur between instructions arise from catastrophic situations and cause program termination

• Resume and Terminate

• With a terminating event, the program execution always stops

• With resuming events, the program execution continues after the interrupt

8.2.1 Asynchronous Interrupts

The **Asynchronous Interrupts** work by:

- 1. Invoking the Interrupt Handler
 - an I/O device requests attention by asserting one of the prioritized interrupt request lines
- 2. When the processor decides to address the interrupt, it has to:
 - 1. stop the current program at instruction I_i
 - 2. complete all the instructions up until I_{i-1} (precise interrupt)
 - 3. save the PC of instruction I_i in a special register called EPC
 - 4. disable interrupts and transfer control to a designated interrupt handler running in the kernel mode

Then the operation is handled by the **Interrupt Handler**, which will:

- 3. Save the PC before enabling interrupts to allow nested interrupts
 - it needs an instruction to move the PC into GPRs
 - it needs a way to mask further interrupts at least until the PC can be saved
- 4. Read a status register that indicates the cause of the interrupt
- 5. Use a special indirect jump instruction (RFE, Return From Exception) which:
 - enables interrupts
 - restores the processor to the user mode
 - restores hardware status and control state

8.2.2 Synchronous Interrupts

A Synchronous Interrupt is caused by a particular instruction. Generally, the instruction cannot be completed and needs to be restarted after the exception has been handled. This procedure requires undoing the effect of one or more partially executed instructions.

In case the interrupt was raised by a system call trap (a special jump instruction involving a change to privileged kernel mode), the instruction is considered to have been completed.

8.3 Precise interrupts in 5 stages pipeline

Exceptions may occur at different stages in the pipeline, due to the out-of-order executions. For instance, arithmetic exceptions occur in EX stage, while TLB faults occur in IF or ME stages.

The same issue arises while handling interrupts, as the pipeline must be interrupted as little as possible.

This problem can be solved by tagging instructions in the pipeline as "cause exceptions or not" and waiting until the end of ME stage to flag exceptions. Then:

- ullet Interrupts become marked NOP (like bubbles) that are placed into pipeline instead of an instruction
- Interrupt conditions are assumed to be persistent in case of flushed NOP instructions
- A clever IF stage might start fetching instructions from the interrupt vector
 - this step is complicated by the need for supervisor mode switch, saving multiple PC and more similar issues

In detail, exceptions are handled by:

- Holding exceptions flags in pipeline until the commit point (ME stage)
- Overriding newer exceptions for given instructions with older exceptions
- \bullet Injecting external interrupts at commit point, over riding other interrupts
- If an exception happens at commit, updating *CAUSE* and *ECP* registers, killing all stages and injecting handler PC into IF stage

While dealing with an in-order pipeline might be easy, generating a precise interrupt when instructions are being executed in an arbitrary order is not as easy. In his famous paper, *Jim Smith* proposes 3 methods for getting precise interrupts:

- In-order instruction completion
- Reorder buffer
- History buffer

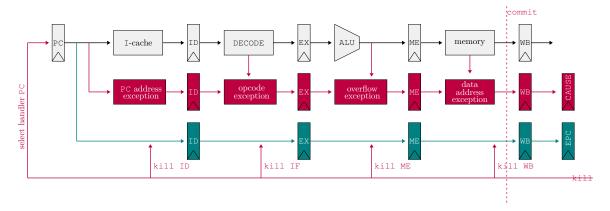


Figure 43: Interrupt handling in 5 stages pipeline - i'm not sure what this Figure exactly meant to represent

8.3.1 Speculating on Exceptions

There are 3 technique to speculate on exceptions:

- ullet **Prediction** mechanism
 - \rightarrow exceptions are so rare that predicting no exceptions is surprisingly accurate
- Check Prediction mechanism
 - \rightarrow exceptions are detected at the end of the instruction execution pipeline
 - \rightarrow dedicated hardware for different exception types
- Recovery mechanism
 - ightarrow only write architectural state at commit point, so partially executed instruction can be thrown away after exception
 - \rightarrow exception handler is launched only after the pipeline is flushed

9 MIMD and parallel architectures

The definition of parallel architectures, as defined by Almasi and Gottlieb in 1989 is:

"A collection of processing elements that cooperate and communicated to solve large problems fast."

The parallel architecture aims to replicate processors to add performance, rather than designing a faster processor; it extends the traditional architecture by adding a communication layer between processors. New abstractions (for both hardware and software interfaces) and different structures to realize them are needed.

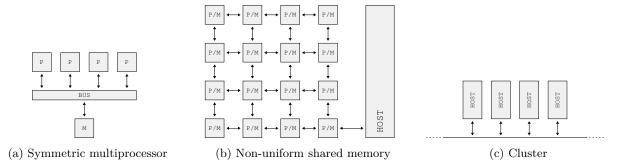
ILP architectures (*like superscalar and VLIW*) support fine-grained, instruction level, parallelism but they fail to support large-scale parallel systems. Multiple-issue CPUs are very complex and thus extracting more parallelism is getting more and more difficult as time goes on due to a steep increase in the hardware complexity. A partial solution can be found by extracting parallelism at higher levels: multiple microprocessors are connected in a complex system, extracting parallelism at the process and thread levels. Parallelism can be achieved by:

- - many data items can be processed at the same time
- Task Level Parallelism TLP
 - tasks can be executed in parallel and independently

9.1 Example of *MIMD* machines

Examples of MIMD machines are:

- Symmetric multiprocessor machines
 - multiple processors in box with shared memory communication
 - every processor runs a copy of the OS
 - represented in Figure 44a
- Non uniform shared memory machine, with separate I/O through host
 - multiple processors, each with local memory and scalable network
 - extremely light OS on each node providing simple services
 - network accessible host of I/O
 - represented in Figure 44b
- Cluster machines
 - many independent machines connected with general network
 - communication happens through messages
 - represented in Figure 44c



9.2 Memory sharing between processors

MIMD architectures can be divided in 2 classes, depending on the number of processors involved; this categorization, in turn, dictates the memory organization an interconnection strategy.

- Centralized shared memory architectures
 - at most a few dozen processor chips (less than 100 cores)
 - large caches, single memory split in multiple banks
 - often called **Symmetric Multiprocessors** (SMP)
 - the architecture is called **Uniform Memory Access** (UMA)
- Distributed memory architectures
 - able to support large count of processors
 - requires high bandwidth interconnection
 - data communication among processors slows down the operations

The Memory Address Space Model can be divided into two categories:

- Single logically shared address space
 - a memory reference can be made by any processor to any memory location
 - the address space is shared among processors
 - \rightarrow the same physical address on multiple processors refers to the same memory location
 - model used in shared memory architectures
 - represented in Figure 45a
- Multiple and private address space
 - the processors communicate among them through message passing
 - the address space is logically disjoint and cannot be addressed by different processors
 - \rightarrow the same physical address on different processors refers to different memory locations
 - model used in **message passing** architectures
 - represented in Figure 45b



Figure 45: Illustration of single and multiple address spaces. P0, P1, P2 and P3 are processors, M0, M1, M2 and M3 are memory locations.

Furthermore, the physical memory can be organized to be either:

• Centralized

- also called Unified Memory Architecture, or UMA
- represented in Figure 46a

• Distributed

- also called Shared Memory Architecture, or SMA
- represented in Figure 46b

It must be noted that the concept of addressing space (single or multiple) and the physical memory organization (centralized or distributed) are orthogonal to each other: multiprocessor systems can have a single addressing space and distributed physical memory.

The main differences among them are shown in Table 4.

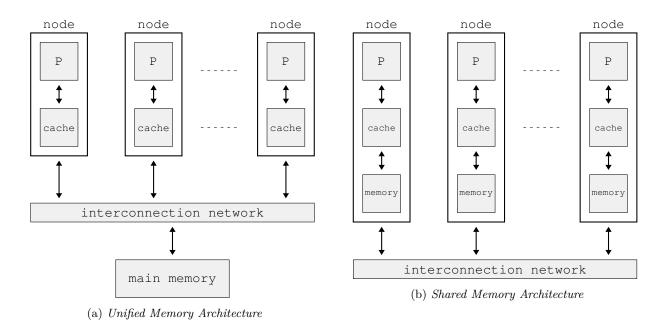


Figure 46: Comparison between UMA and SMA

	İ	İ
L,	$single\ address\ space$	$multiple\ address\ space$
communication	through shared variables	through message passing
$communication\ management$	implicit	explicit
advantages	no single centralized memory	no cache coherency problems

Table 4: Main differences between single and multiple address spaces

9.3 Communication Models

As mentioned before, the communication between processors can happen either via **shared memory** (also called shared variables) or via **message passing**.

Main advantages and disadvantages of each method:

• Shared memory

- ✓ Low latency
- ✓ Easier to program
- ✓ Easier to use hardware-controlled caching
- ✓ Most common choice among uni processors and small-scale multiprocessors
- ✓ Implicit communication
- ✓ Low overhead when cached
- \checkmark Communication happens using LOAD and STORE operations, with low software overhead
- X Complex to build in a way that scales well
- × Requires synchronizing the processors
- × Hard to control data placement within the cache

• Message passing

- ✓ Less hardware
- ✓ Easier to design
- ✓ Focus on local, non-costly, operations
- ✓ Explicit communication
- ✓ Easier to control data placement due to lack of automatic caching
- X Message passing overhead can be quite high
- X More complex to program
- X Introduces the problem of the reception technique (polling and interrupts)

Operations of the two models:

• Shared memory:

- Program is a collection of threads of control, can be created **dynamically**
- Each thread has a set of private variables and a set of shared variables
- Threads communicate implicitly by writing and reading shared variables
- Threads coordinate by synchronizing on shared variables

• Message passing:

- Program is a collection of named processes, fixed at startup time
- There's no **shared data** between processes
- Logically shared data is **partitioned** over local processes
- Processes communicate by explicitly **sending** and **receiving** messages
 - ► the most common software interface to pass messages is called Message Passing Interface (MPI)

Furthermore, message passing among parallel processors introduces two more issues, more relevant in large-scale systems:

- 1. All data layout must be handled by **software**
 - data cannot be retrieved remotely without explicit communication
- 2. Message passing has a high software overhead
 - early machines had to invoke the OS on each message (spending $100 \,\mu s \div 1 \,ms$ per message)
 - user level access to network interface has dozen of cycles of overhead
 - while sending messages might be cheap, **receiving messages** is **expensive** due to the introduction of polling or interrupt mechanisms

To try and partially solve those two problems, the **Bus Based Symmetric Shared Memory** has been introduced: it is now the most common communication model in the parallel world. It can be scaled easily to large-scale systems and has a high throughput, as it offers:

• Fine grained resources sharing

- Uniform access via LOAD and STORE instructions
- Automatic data movement and coherency in cache replication
- Cheap and powerful extensions
- Normal uniprocessor mechanisms to access data, extending memory hierarchy to support multiple processors

9.3.1 Cache Coherency

The cache is fast memory used to reduce the latency of access to data: as such it's a valuable resource as can be used both for shared and local data. There are 2 main categories of shared memory machines:

- 1. Non cache coherent
- 2. Cache coherent

Both will work with any data placement, eventually resulting in slow executions, but critical portions of the code can be optimized to be sped up. In large-scale systems, the logically distributed shared memory can be implemented as physically distributed memory modules.

This distribution, however, introduces a new problem called **cache coherency**. Informally, it is described as:

"Any write to a cache line must be followed by a read from the same cache line. Furthermore, all writes are seen in proper order"

2 main categories of shared memory architectures are identified:

- 1. **Private data**, used by a single processor
- 2. Shared data, used by multiple processors to communicate

When shared data is cached, the corresponding value may be replicated in multiple caches. While reducing the access latency (and the relative cost of memory bandwidth), this replication provides a reduction of shared data contention read by multiple processors simultaneously. The use of multiple copies of the same data introduces the aforementioned problem of cache coherency.

Consider the following sequence of operations performed by a shared data SIMD with 2 processors:

- 1. Processor A reads the shared variable x, loading it into its cache
- 2. Processor B reads the shared variable x, loading it into its cache
- 3. Processor A stores 0 into x
- 4. Processor B **reads** x

What does B read? The two processors see different values for the same shared variable. This is a common problem with write-back caches because the value written back to memory depends on the circumstances of the access itself: processes accessing main memory may see old values of the same variable.

Alternatively the cache can be bypassed, forcing the processor to read the value from the main memory. This solution however slows down significantly the memory access, increasing the latency and requiring more bandwidth.

At the same time, it's not possible to require that the READ of B can instantaneously know that A has performed a STORE operation. This problem is called **memory consistency** and it's complementary to the cache coherency:

- Coherence defines the behaviour of reads and writes to the same memory location
- Consistency defines the behaviour of reads and writes to different memory locations

The coherency must be preserved while writing and reading data from the cache:

- Reading does not create issues, as multiple copies of the same data are kept in the cache
- Writing is an operation that must be done in a way that guarantees coherency
 - a processor must have exclusive access to the cache while writing
 - all processor must receive the new values after a write operation
 - coherency protocols must locate all the caches that share an object to be written
 - a write to a shared data can cause:
 - 1. **invalidation** of all other copies
 - 2. update of all shared copies

9.3.2 Coherent caches

A program running on multiple processors will normally have copies of the same data in several caches. In a coherent multiprocessor, the cache provides:

- Migration of shared data
 - a data item can be moved to a local cache and used in a transparent manner
 - the latency to access the item is increased
 - the bandwidth to access the shared memory is increased
- Replication of shared data
 - a data item is replicated in several caches
 - the latency to access the item is reduced
 - the bandwidth to access the shared memory is reduced
 - the contention to access the shared memory is reduced

In order to solve the two problems, a class of hardware-based techniques called **Cache Coherence Protocols** has been introduced: it works by tracking the status of any sharing of a data block. There are 2 classes of protocols:

- 1. **Snooping** protocols
- 2. Directory Based protocols

9.3.3 Snooping Protocols

All cache controllers monitor (or snoop) the BUS to determine whether they have a copy of the data requested in the block or not. Every cache that has a copy of the shared data also has a copy of the sharing status of the block, removing the need of keeping a centralized state.

All requests for shared data are sent to all processors. This solution requires broadcast since the cache controllers must know the sharing status of all the data blocks; as such, it's particularly suitable for **Centralized Shared Memory Architectures**, especially for small-scale multiprocessors with single BUS.

One of the first implementations of this protocol has been proposed by Goodman in 1983. The cache snoops upon DMA transfers, doing "the right thing" when it is necessary:

- The cache controller snoops all transactions on the shared BUS
 - the BUS is merely a broadcast medium
- If a block contains the address tag of a variable contained in the cache, then:
 - the processor must take action, either invalidating, updating or supplying the value
 - the action depends on the **state** of the block and on the protocol

A simple example of the hardware implementation of the snooping protocol is represented in Figure 47.

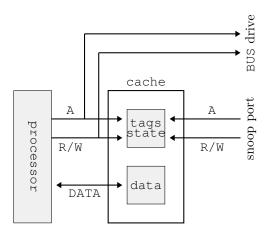


Figure 47: Snooping Protocol

Since every BUS transaction checks the cache address tags, this operation can interfere with the processor operations, causing stalls when the variable is not available in the cache.

To reduce the number of interferences with the processor's accesses to the cache, the tag portion of the address is duplicated for snooping activities. An extra read port is also added to the address tag portion of the cache.

When a **miss** happens, the following actions are performed:

- In case of a write operation:
 - 1. the address is invalidated in all other caches before the write is performed
 - 2. this process is called Write-Invalidate Protocol
- In case of a **read** operation:
 - 1. if a dirty copy is found in some cache, a write-back is performed before the memory is read
 - 2. this process is called Write-Update Protocol or Write-Broadcast Protocol

9.3.3.1 Write-Invalidate Protocol

The writing processor issues an invalidation signal over the BUS to cause all copies in other caches to be invalidated before changing its local copy: at this point, it is free to update the local data until another processor asks for it.

All caches on the BUS check to see if they have a copy of the data and if so, they must invalidate the block containing it. This scheme allows multiple **readers but** only a **single writer**: the BUS is used only on the first write to invalidate all other copies while subsequent writes do not result in BUS activity.

Different operations of the protocol:

- Basic Bus Based Protocol
 - each processor keeps track of cache and state
 - all transactions over BUS are snooped
- Writes invalidate all other caches
 - multiple readers can read the same block
 - a single write invalidates all other copies
- Each block in cache has two states
 - the state of a block is a p-vector of states
 - hardware state bits are associated with blocks that are in the cache
 - other blocks can be seen as being in *invalid* in that cache

Finally, on a WRITE operation, all other copies of the same data are **invalidated**. The BUS itself is used to serialize the access to the data, as the WRITE operations cannot complete until exclusive BUS access is obtained.

9.3.3.2 Write-Update Protocol

In the Write-Update protocol, when a WRITE operation is performed, all the redundant copies of the data are invalidated. The processor that performs the write also has the duty of updating the main memory and all the other processors' memory, by broadcasting the new value over the BUS. All caches check if they have a copy of the data and if so, all copies are updated with the new value. This scheme requires the continuous broadcast of all WRITE operations to the shared data.

This protocol is similar to the **Write-Through** because all writes are sent over the BUS to update copies of the shared data, but it has the advantage of making the new values appear in caches sooner (thus reducing the latency). In case of a READ miss, the memory is always up to date.

9.3.3.3 Write-through vs Write-back

The main difference between the two protocols is:

- Write-through: the memory is always up to date
- Write-back: the caches must be snooped into until the most recent copy is found

The write-through protocol is simple, as every WRITE operation is observable: each one of them goes on the BUS, and as such only one WRITE can take place at a time in any processor. As a downside, it uses a lot of bandwidth.

9.3.3.4 Invalidate vs Update

Before choosing one of the two protocols, a basic question on the program behaviour must be asked:

"Is a block written by one processor later read by others before it is overwritten?"

Then, the two protocols can be compared:

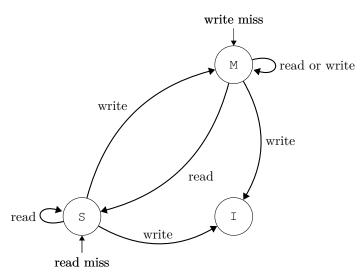
- Invalidate
 - \checkmark yes: readers will take a miss
 - * no: multiple writes can be performed without added traffic and old copies will be cleared out
- Update
 - ✓ ves: misses on later references will be avoided
 - * no: multiple useless updates will be broadcast over the BUS

In the same way, most commercial cache-based multiprocessors use:

- Write-Back Caches to reduce BUS traffic, allowing more processors on a single BUS
- Write-Invalidate Protocol to preserve BUS bandwidth

9.3.3.5 Cache State Transition Diagram

This transition diagram (represented in Figure 48a) is applicable to the MSI Protocol (Modified, Shared, Invalid). Each cache line has state bits, relative to the state of the data.



(a) State Transition Diagram

М	S	I	ADDRESS	TAG	

(b) Structure of a cache line

Figure 48: MSI Protocol Implementation

9.3.4 Snoopy Cache Variations

The basic MSI protocol is not completely suitable to be applied to the real world, since it has a few limitations:

- Operations are not atomic
 - deadlocks and race conditions may be introduced
 - solution: the processor sends invalidate can hold BUS until other processor receive the message
- The system is hard to extend
 - solution: add an exclusive state to indicate a clean block in only one cache (MESI Protocol)

A modified version of the MSI protocol is the MESI protocol. It implements the Write-Invalidate protocol, with the additional **shared** state.

Each cache block can then be in one out of 4 states:

- 1. Modified: the block is dirty and cannot be shared, the cache has the only copy and it's writeable
- 2. Exclusive: the block is clean and the only copy is in the cache
- 3. Shared: the block is clean and other copies of the block are in the cache
- 4. Invalid: the cache contain invalid data

The exclusive state distinguishes between exclusive (writable) and owned (written) states.

To support this protocol, all cache controllers snoop on a special BUS called BusRd. The issuer chooses between the **shared** and **exclusive** states, and the BUS is used to send the message to the other caches.

Characteristics of the states:

- In both shared and exclusive states the memory has an up-to-date version of the data
- A write to an **exclusive** block does not require sending the invalidation signal on the BUS, since no other copies of the block are in the cache
- A write to a shared block implies the invalidation of the other copies of the block in the cache

An illustration of the BUS is shown in Figure 49, while the state of the cache lines with *MESI* is represented in Table 5. The transition diagram and relative cache line are represented in Figure 50.

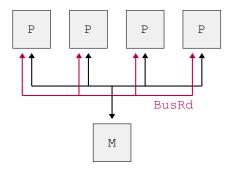


Figure 49: Hardware support for MESI

9.3.5 Optimized Snoop with Level-2 Caches

To improve the reading speed (thus reducing the access latency), often processors have two level caches, both on the same chip:

- A large L2 cache
- A small L1 cache
 - entries in L1 must be in L2
 - an invalidation in L2 implies an invalidation in L1
 - snooping on L2 does not affect L1 bandwidth

When a READ miss for a value is detected in a value, a read request for the value is placed on the BUS: the cache containing the value needs to supply and change its status to *Shared*. The main memory may respond to the request as well.

An illustration of this hardware setup is shown in Figure 51

9.3.5.1 False sharing

A cache line might contain more than one value, and cache coherence is not maintained at the line level or the word level.

Suppose processor P_1 writes $word_i$ and processor P_2 writes $word_k$ at the same line address: the block may be invalidated many times unnecessarily since both addresses share it.

Line valid? Copy in memory has Other copies in other caches? Can be written?	Modified Nas to be updated *	Exclusive <	Shared valid maybe	x x invalid
Can be read? On read On write	nothing happens	nothing happens transition to Modified	nothing happens transition to Modified	nothing happens nothing happens nothing a property of transition to Exclusive if data is not on other caches transition to Modified transition to Modified transition to Modified transition to Modified transition to Modified transition to Invalidate

Table 5: State of cache lines with MESI Protocol

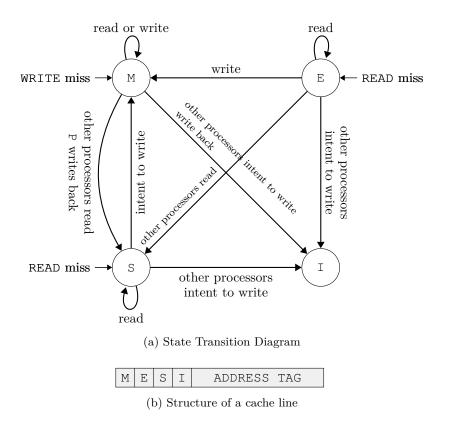


Figure 50: MESI Protocol Implementation

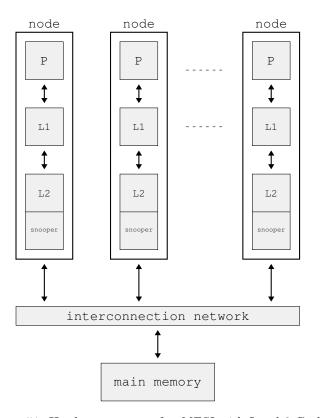


Figure 51: Hardware support for $M\!E\!S\!I$ with Level-2 Caches

Such an event is called **false sharing**.

An illustration of such a memory block is shown in Figure 52.

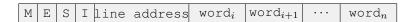


Figure 52: False sharing in *MESI*

9.3.6 Memory consistency

The memory is defined as **consistent** when all caches in each processor read the same value associated with the same shared variables.

Likewise, according to Lamport, a system is sequentially consistent if:

"The result of any execution is the same as if the operations of all the processors were executed in some sequential order, and the operations of each processor appear in the order specified by the program."

The **sequential consistency** $(or\ SC)$ is then defined as the arbitrary order preserving interleaving of memory references of sequential programs.

To guarantee the **memory consistency**, the result of any execution is the same as if the accesses of each processor were kept in order and the accesses among different processors were interleaved. The simplest way to implement sequential consistency is to require a processor to delay the completion of any memory access until all the invalidations caused by that access are completed.

9.3.6.1 Relaxed Memory Models

To preserve sequential consistency, all possible read/write orderings must be preserved:

$$R \to W$$
 $R \to R$ $W \to R$ $W \to W$

The relaxed models are defined by which of these four sets of orderings they relax:

- Total store ordering relaxes $W \to R$
- Partial store ordering relaxes $W \to W$
- Relaxing $R \to W, R \to R$ ordering yields a variety of models depending on how synchronization operations enforce ordering (thus releasing consistency)

Not all dependencies assumed by SC are supported, so the software has to explicitly insert additional dependencies where needed according to the memory model.

9.3.7 Synchronization

The need for **synchronization** arises whenever there are concurrent processes in a system (even in uniprocessor systems). 2 main classes of synchronization are identified:

- 1. Producer-Consumer, a consumer process must wait until the producer process has produced data
- 2. Mutual Exclusion, only one process uses a resource at a given time

The synchronization of two processes makes sense only if they communicate data: the consistent view is needed only when one process shares its updates with others, and it's only needed to ensure that each process gets updated after they acquire access to the shared data.

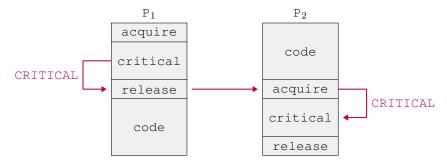


Figure 53: I don't even know what this is meant to be. It was on the slides. I'm just kinda giving up.

9.3.8 Performance of Symmetric Multiprocessors

Performance of cache in **Symmetric Multi Processors** (SMP), is a combination of:

- Uniprocessor cache miss traffic
- Traffic caused by communication, resulting in invalidations and cache misses
- Coherence **misses** (communication misses)

True sharing misses arise from the communication of data through the cache coherence mechanism:

- Invalidation due to the first write to the shared line
- Read by anther CPU on the same line in different cache
- Miss occurs if line size were of 1 word

False sharing misses when a line is invalidated because some word in the line, other than the one being read, is written into:

- Invalidation does not cause a new value to be communicated, but only causes an extra cache miss
- Line is **shared**, by no word in line is actually shared
- Miss would not occurs if line size were of 1 word

9.3.9 Scaling broadcast coherence

When a processor gets a miss, it must probe every other cache. This causes a limit in the scale because it implies higher communication traffic and more snoop bandwidth into tags.

The bandwidth can be improved by using multiple interleaved *BUSES* with interleaved tag banks. However they don't scale to a large number of connections, so point-to-point networks can be used with a large number in large-scale systems. The limitation would now be represented by the tag bandwidth while broadcasting snoop requests.

In real-world applications, most snoops fail to find a match and, as such, there's no scalability with respect to the number of processors.

9.3.9.1 Extension of coherence protocols

Shared memory BUS and snooping bandwidth create a bottleneck for scaling symmetric multiprocessors. To solve this problem, the following modifications are proposed:

- Duplication of tags
- Directories are placed in the outermost cache
- Crossbars or point-to-point networks with banked memory are used to connect the cache

An illustration of this extension is shown in Figure 54.

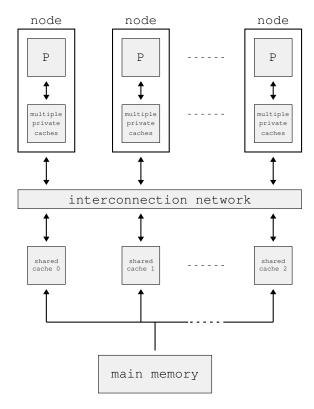


Figure 54: Extension of coherence protocols

10 SIMD

3 variations of the SIMD model are commonly used in parallel processors. They are:

- Vector architectures
- SIMD extensions
 - MMX Multimedia Extension
 - SSE Streaming SIMD Extension
 - AVX Advanced Vector Extension
- Graphics Processor Units GPU
 - **Heterogeneous** architectures: require system processor and system memory in addition to *GPU* and graphics memory

10.1 SIMD vs MIMD

SIMD architectures can exploit significant data-level parallelism for:

- matrix-oriented scientific computing
- media-oriented image and sound processors

SIMD is generally more energy efficient than MIMD, as it only needs to fetch one instruction per data operation. This feature makes SIMD attractive for personal mobile devices. Finally, SIMD allows programmers to continue to think sequentially, as opposed to MIMD (where programmers need to think in parallel).

10.2 Resurgence of DLP

The convergence of application demands and technology constraints drives the architecture choice. New applications, (such as graphics, machine vision, speech recognition, machine learning, ...) require large numerical computations that are often trivially data parallel. SIMD based architectures (such as vector-SIMD, subword-SIMD, SIMT, GPUs) are the most efficient choice for these applications.

It is widely accepted that DLP will account for more main stream parallelism growth than TLP in the next decade.

10.3 Supercomputers

Definition of a supercomputer:

- Fastest machine in the world at a given task
- A device that can turn a compute-bound problem into an I/O-bound problem
- Any machine costing \$30M+ capitalism is a blessing in disguise
- Any machine designed by Seymour Cray damn son what a chad

The CDC600, a computer designed by Seymour Cray and built by Cray Research in 1964, is regarded as the first supercomputer.

10.4 Vector Architectures and Vector Processing

Vector processors have high-level operations that work on linear arrays of number (also called vectors). A language that can handle vectors (and not scalar values) is needed as well.

 $Basic\ idea:$

- Read sets of data elements into vector registers
- Operate on those registers
- **Disperse** the results back into memory
- Adaptable to different data types
 - a vector size can be seen as 64 64-bits elements, 32 128-bits elements, ...
- A single instruction operates on **vectors** of data
 - \bullet the result involves many registers to register operations
 - used to hide memory latency

• leverages memory bandwidth

It's called **stride** of an array (also referred to as increment, pitch or step size) the number of locations in memory between beginnings of successive array elements, measured in bytes or units of the size of the array's elements. The stride **cannot be smaller** than the element size but can be larger, indicating extra space between elements.

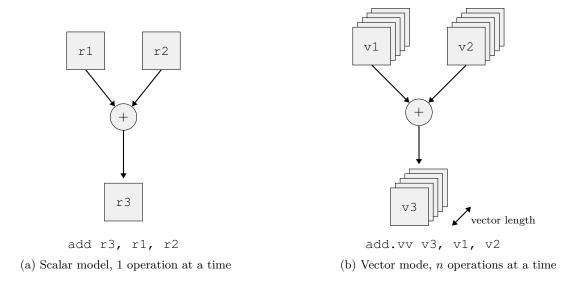


Figure 55: Comparison of scalar and vector models

10.4.1 Vector processing

A vector processor consists of a pipelined scalar unit and vector units. There are 2 styles of vector architectures:

- Memory-Memory vector processors: all vector operations are memory to memory
- Vector-Register processors: all vector operations are between vector registers (except LOAD and STORE)

The execution is done by using a **deep pipeline**, allowing very fast clock frequency and higher speeds; since elements in the vectors are independent, there are no hazards and the pipelines are always full.

Vectors applications are not limited to scientific computing, as they are used in:

- Multimedia Processing
- Standard benchmarks kernels
- Lossy and Lossless Compression
- Cryptography and Hashing
- Speech and handwriting recognition
- Operating systems and networking
- Databases
- Language run time support

and many more.

The structure of the Vector Unit is represented in Figure 56.

10.4.2 VMIPS

The VMIPS is an architecture loosely based on CRAY-1 supercomputer. It features:

- 8 Vector registers
 - each register holds a 64 elements vector with 64 bits per element
 - the register file has at least 16 read ports and 8 write ports
- Vector functional units

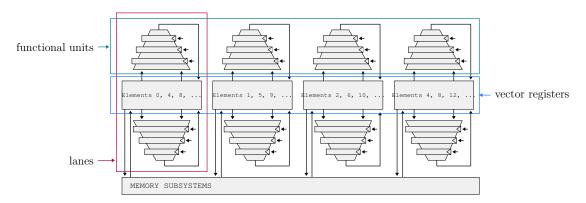


Figure 56: Vector Unit structure

- fully pipelined so they can start a new operation every cycle
- Vector load store unit
 - fully pipelined so they can read one word per clock cycle
- 32 general purpose scalar registers
- 32 floating point scalar registers

Example of vector code:

```
// C code
for (i = 0; i < 64; i++)
  C[i] = A[i] + B[i];
  // Scalar Code
                                           // Vector Code
                                           LI VLR, //64
  LI R4, //64
loop:
                                           LV V1, R1
  L.D F0, 0(R1)
                                           LV V2, R2
  L.D F2, 0(R2)
                                           ADDV.D V3, V1, V2
  ADD.D F4, F2, F0
                                           SV V3, R3
  S.D F4, 0(R3)
  DADDIU R1, 8
  DADDIU R2, 8
  DADDIU R3, 8
  DSUBIU R4, 1
  BNEZ R4, loop
```

10.4.3 Vector Execution Time

VMIPS functional units consume one vector element per clock cycle, so the execution time of one vector instruction is approximately the vector length.

To simplify the calculations, the **Convoy notion** has been introduced: it considers a set of vector instructions that could potentially execute together (generating no structural hazards): for a vector of length n and m convoys in a program, $n \cdot m$ clock cycles are needed.

10.4.3.1 Vector startup time

The vector unit has a startup time penalty. It is composed of two factors:

- Functional unit latency (time through the pipeline)
- Dead time or recovery time (time before another vector instructions can start travelling the pipeline)

The representation of the pipeline during startup is shown in Figure 57.

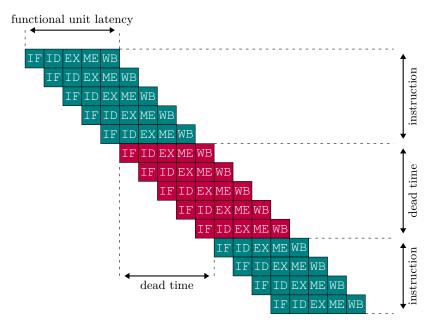


Figure 57: Vector Unit startup time penalty

10.4.4 Interleaved Vector Memory System

The access to each item in a memory bank is interleaved. The bank busy time is defined as the time before it is ready to accept the next request.

The Cray-1 had 16 banks with 4 cycles bank busy time and 12 cycles latency.

10.4.5 Automatic code vectorization

Code vectorization is a process that automatically detects the best way to reorder the operation sequencing. It is executed at compile time via heuristic algorithms and it requires extensive loop dependence analysis.

10.4.5.1 Stripmining

Problem: vector registers have **finite length**.

Solution: break loops into pieces that fit in registers. This technique is called stripmining.

 $Stripmining\ code\ example:$

```
for (i = 0; i < N; i++)
                                         andi x1, xN, 63 // N mod 64
 C[i] = A[i] + B[i];
                                         setvlr x1 // Do remainder
                                          loop:
                                         vld v1, xA
                                         sll x2, x1, 3 // Multiply by 8
                                          add xA, x2 // Bump pointer
                                         vld v2, xB
                                         add xB, x2
                                         vfadd.d v3, v1, v2
                                         vsd v3, xC
                                         add xC, x2
                                         sub xN, x1 // Subtract
                                         li x1, 64
                                         setvlr x1 // Reset full length
                                         bgtz xN, loop // loop again
```

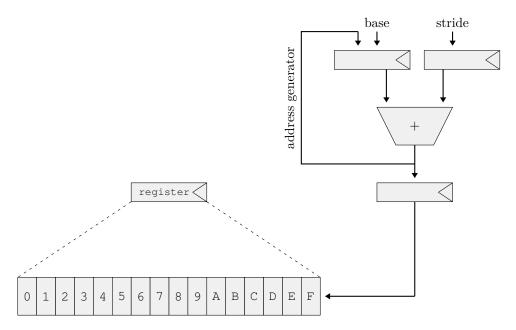
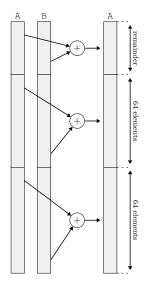


Figure 58: Interleaved Vector Memory System



10.4.5.2 Vector Conditional Execution

Problem: **vectorize** loops with conditional code.

Solution: add vector masks to registers

- vector version of **predicate registers**, 1 bit per element and maskable vector instructions
- vector operations become bubble (or NOP) at elements where mask bit is clear

Two different implementations can be used to execute the vector instructions:

- Simple implementation: execute all N operations, turn off result writeback according to mask
- Improved implementation: scan mask vectors and only execute elements with non-zero mask

Code example:

10.4.6 Advantages over scalar

• Vectors operations chaining

- a vector operation can start as soon as the individual elements of its vector source operand become available
- the results of the first functional unit in the chain are forwarded to the second functional unit
- implemented by allowing the processor to read and write a particular vector register at the same time provided it is to different scalar elements
- flexible chaining: allow a vector instruction to chain to essentially any other vector instruction, assuming that it does not generate any structural hazard

• Pipeline stalls greatly decreased

- vector version: only the first element of the vector must be stalled, and after that, the results can come out at every cycle
- a scalar processor can try to get a similar effect through loop unrolling but it cannot get the dynamic instruction count to decrease
- The operations are executed in parallel, along multiple lines

The advantage of vector chaining is represented in Figure 59.

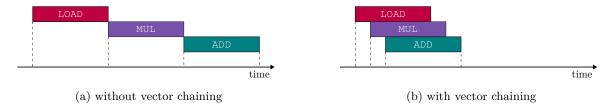


Figure 59: Comparison of instruction executions with and without vector chaining

10.4.6.1 Vector Memory-Memory vs Vector Register Machines

- Vector memory-memory architectures (VMMAs) require greater main memory bandwidth because all operands must be read in and out of memory
- VMMAs make it difficult to overlap execution of multiple vector operations because dependencies on memory addresses must be checked
- VMMAs incur in greater startup latencies
- All major vector machines since Cray-1 have had vector register architectures

10.4.7 Multimedia Extension

The multimedia extension, also called SIMD extension. It features:

- very short vectors **added** to existing *ISAs* for microprocessors
- existing 64 bits registers **split** into $2 \times 32\,\mathrm{b}$ or $4 \times 16\,\mathrm{b}$ or $8 \times 8\,\mathrm{b}$
- execution of the single instructions on all the elements within the register (Figure 60)

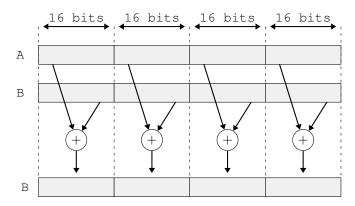


Figure 60: Operation of instructions in vector form, A + B = C where all the variables are 4 16 bits vectors

10.4.7.1 Multimedia Extensions vs Vector Architectures

Multimedia Extensions, compared to Vector Architectures, feature:

- A limited instruction set
 - × no vector length control
 - ${\sf X}$ no ${\sf strided}$ LOAD and STORE or SCATTER and GATHER
 - X unit-stride loads must be aligned to 64/128 bit boundary
- A limited vector register length
 - X requires superscalar dispatch to keep MULTIPLY/ADD/LOAD units busy
 - X loop unrolling to hide latencies increase register pressure
- Trend towards fuller vector support in microprocessors
 - ✓ better support for misaligned memory access
 - ✓ support of double precision (64 bits floating point)

10.5 Vector Computers recap

- Vectors provide efficient execution of data parallel loop codes
- Vector ISA provides compact encoding of machine parallelism
- Vector ISA scales to more lanes without changing binary code
- Vector registers **provide fast temporary storage** to reduce memory bandwidth demands and simplify dependency checking between vector operations
- Scatter/gather, masking, compress/expand operations increase set of vectorizable loops
- Requires **extensive compiler analysis** (or programmer annotation) to be certain that loops can be vectorized
- Full **long vector support** is still **only in supercomputers**, while microprocessors have limited packed or subword-SIMD operations support

10.6 Graphic Processing Units - GPUs

Originally (in the mid '90s), GPUs were dedicated fixed functions for generating 3D graphics, including high-performance (SP) floating point units. They provided workstation-like graphics for PCs while the user had no real way to program them, but they could merely configure the pipeline.

Over time, more programmable features were added to the GPU, enabling millions of vertices to be rendered in a single frame with very constrained programming models.

Some users noticed they could do general-purpose computation by mapping input and output data to images and computation to vertex and pixel shading computations. It was however a very difficult programming model as the programmer had to exploit the graphics pipeline to perform general computation.

10.6.1 General Purpose GPUs - GP-GPUS

In 2006, NVIDIA introduced GeForce 8800 GPU supporting a new programming language, called CUDA ("Compute Unified Device Architecture"). Subsequently, the other companies in the industry started pushing for OpenCL ("Open Computing Language"), a vendor-neutral version of the same language available for multiple platforms.

CUDA takes advantage of the GPU computational performance and memory bandwidth to accelerate some kernels for general-purpose computing. The host CPU issues data-parallel kernels to GP-GPU device for execution.

CUDA programming model:

- The **programmer writes** a serial program that calls parallel **kernels**
- A kernel executes in parallel across a set of parallel threads
- The programmer organizes these threads into thread block and grids of thread blocks
- A thread block is a set of concurrent threads that can cooperate among themselves through barrier synchronization and shared memory space private to the block
- A **grid** is a set of thread blocks that may be executed independently and thus may execute in parallel (or any order)
 - thread creation, scheduling and termination are handled by underlying hardware
 - CUDA model virtualizes the processor

10.6.2 Hardware execution model

- The *GPU* is built from **multiple parallel cores**, each one containing a multithreaded *SIMD* processor with multiple lanes but without scalar processor
 - each tread block executes on one core
 - some newer models feature a scalar unit
- The CPU sends the whole grid over to the GPU, which distributes thread blocks among cores
 - the programmer is **not aware** of the number of cores

GPUs use a **SIMT** (Single Instruction Multiple Thread) model, where individual (scalar) instruction streams for each CUDA thread are grouped for SIMD execution on the hardware. NVIDIA groups 32 threads (μT) into a warp.

Warps are multithreaded on cores, and each of them is managed by the hardware: one warp composed by 32 threads μT represents a single thread in the hardware. Multiple threads are then interleaved in execution on a single core to reduce latencies to memory and FUs.

A single thread block can contain multiple warps (up to $512\mu T$ in CUDA), all mapped into a single core; multiple blocks can also execute on a single core. Individual parallel threads of a warp start together but are free to branch and execute in **parallel**.

SIMT model gives the illusion of many independent threads running on a single core, but for efficiency's sake, the programmer must try and keep each μT as aligned as possible, in SIMD fashion.

Simple if-then-else instructions are compiled into predicated execution, equivalent to vector masking (a technique already explored in Paragraph 10.4.5.2); more complex control flow code must be compiled into branches.

Hardware tracks which μT take or don't take branches: if all go in the same direction, the hardware can execute the block in SIMD fashion; otherwise, a mask vector indicating taken or not taken is created.

The not taken paths keep running under the mask, while the taken paths PC and mask are pushed into a hardware stack to be executed later.

An illustration of the hardware execution model is shown in Figure 61.

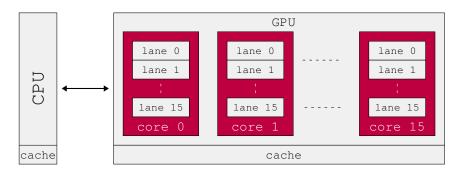


Figure 61: Hardware execution model