

# Individual Assignment 2

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## Problem description

Consider a list  $A$  of positive integers  $\{a_1, \dots, a_n\}$  where it is known that  $\max\{a_1, \dots, a_n\} \leq 10n$ . Describe and analyze an algorithm that sorts such lists in linear time.

## Solution

When presented with a list  $A$  of the above kind there are multiple ways of sorting it, some ways more efficient than others. Here however, given that all inputs are positive integers and that  $\max\{a_1, \dots, a_n\} \leq 10n$ , one of the most simple solutions which allows  $A$  to be sorted in linear time would be the *Counting Sort algorithm*. Although, to further simplify the algorithm we will make some slight modifications to it. Note that *counting sort* can only run in linear time if the maximum value is a *constant* or proportional to  $n$  (*which is the case above;  $\max\{a_1, \dots, a_n\} \leq 10n$* ), in any other instance we would need to resort to other methods instead.

The simplified variant of the *Counting Sort* algorithm can be described as follows:

- The first step is identifying the max element of the input list  $A$ . As of above it is known that  $\max\{a_1, \dots, a_n\} \leq 10n$ .
- Now the algorithm creates a new array *Count* going from 0 to  $10n + 1$ . This array will be used to track all occurrences of each unique element in  $A$ . Here, all values of *Count* are initialized to 0.
- The algorithm then fills all indexes of *Count* with the occurrences of each unique element of  $A$ . This is achieved by incrementing each corresponding element for every index in *Count* by 1 everytime the index is encountered in  $A$ . Hence, the number assigned to each index in *Count* becomes the amount of times each particular index appears as an element in  $A$ . As a result, all indexes of *Count* that do not correlate with any unique element of  $A$  will have their values remain at 0 which they were initialized to.

- In a normal circumstance of *Counting Sort*, at this stage the algorithm would compute a prefix sum in order to permute the elements into sorted order. However, since all items in  $A$  are positive integers (where the items themselves can be used as indexes for the *Count* array) we can resort to a simplified version of the algorithm...
- Once all elements have been counted and the *Count* array has been finalized it is time to begin the sorting process. Here, it is important to note that each unique element of  $A$  is used as an index for *Count*. As a result, we can simply go through all elements in *Count*, extract and append each index of *Count* to a new array we call *SortedA* by the number of the index's corresponding value. If an element is equal to 0 the algorithm ignores it (as its index is not present in  $A$ ) and continues iterating. This is done in increasing order going from 0 to  $10n$ .
- Once all elements have been extracted and appended into *SortedA* the algorithm simply returns the list and  $A$  has been sorted.

## Pseudocode

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### Algorithm 1 Counting Sort variant algorithm

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1:  $A \leftarrow$  a list of positive integers  $\{a_1, \dots, a_n\}$  where  $\max s\{a_1, \dots, a_n\} \leq 10n$ 
2:  $SortedA \leftarrow$  output array of length  $n$  (same as  $A$ )
3:  $Count \leftarrow$  a "count" list of maximum length  $10n + 1$ 
4: for ( $i \leftarrow 0$  TO  $10n$ ) do
5:    $Count[i] \leftarrow 0$ 
6: for ( $j \leftarrow 0$  TO  $n$ ) do
7:    $Count[A[j]]++$ 
8: for ( $i \leftarrow 0$  TO  $10n$ ) do
9:   for ( $j \leftarrow 0$  TO  $Count[i]$ ) do
10:     $SortedA.append(i)$ 
11: return  $SortedA$ 

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## Time Complexity

The above algorithm heavily relies on for loops where it first goes through the entire *Count* list. Here it initializes all  $10n + 1$  values to 0; this takes  $O(10n)$  time where  $10n$  is the maximum value found within the input list  $A$ . Additionally, the next loop goes through the entirety of  $A$  when counting each element of the list. Since  $A$  has a length of  $n$ , this takes  $O(n)$  time.

For the last two nested for loops the case is a little different. In the outer loop the algorithm goes through each element in *Count* taking  $O(10n)$  time. Finally, within the inner loop the algorithm needs to go through all counted elements at each index of the *Count* array, this takes at most  $n$  times as the total number of counts in the *Count* array will always be equal to the length  $n$  of the input array  $A$  (or in other words, row 9 in the pseudocode will be ran at most  $n$  times).

This yields an overall time complexity of:

$$O(10n+n+10n+n) = O(22n) = O(n)$$

(where  $A \{a_1, \dots, a_n\}$  is an input list of positive integers and  $\max\{a_1, \dots, a_n\} \leq 10n$ )

Hence, the algorithm runs in linear time.

## Correctness

### Lemma 1: The algorithm sorts the input list

**Proof:** The algorithm creates a new list *Count* which goes between 0 and  $10n$ . Here, it is important to note that all values in the list are initialized to 0. After this, each index of the *Count* list is filled with the number of occurrences of each corresponding unique element of *A*. Then the algorithm simply appends each index of *Count* to a new list *SortedA* by the number of the index's corresponding value (*the amount of times an index of Count appears as an element in A*). However, this is only the case if the value found at an index  $\neq 0$ . Although, if an index of *Count* has a corresponding value of 0, we can be sure that the index the 0 was found at is not an element included in the original array *A*, hence such indexes will not be included in the sorted list (*the algorithm will not need to loop through such indexes*). It appends the indexes in increasing order, going from 0 to  $10n$  thus covering all possible values that could be present in *A*. Hence, when all elements have been returned the input list *A* has been sorted.  $\square$