# Growth of Functions Analysis of Algorithms

Prof. Camilo Cubides, Ph.D.(c) eccubidesg@unal.edu.co

Prof. Fabio A. González, Ph.D. fagonzalezo@unal.edu.co

Computer and System Department Engineering School Universidad Nacional de Colombia

1st Semester 2017

#### Outline

- Asymptotic notation
- 2 Common functions
- 3 Examples
- Master Theorem



#### Outline

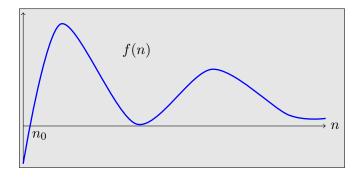
- Asymptotic notation
- 2 Common functions
- 3 Examples
- Master Theorem



### Asymptotically No Negative Functions

#### Definition

f(n) is asymptotically no negative if there exist  $n_0 \in \mathbb{N}$  such that for every  $n \geq n_0$ ,  $0 \leq f(n)$ .





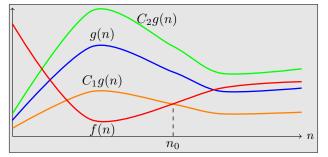
#### Theta $\Theta$

#### Definition

$$\Theta(g(n)) = \{ f : \mathbb{N} \to \mathbb{R}^* : (\exists C_1, C_2 \in \mathbb{R}^+) (\exists n_0 \in \mathbb{N})$$

$$(\forall n \ge n_0) (0 \le C_1 g(n) \le f(n) \le C_2 g(n)) \}$$

$$C_1 \le \lim_{n \to \infty} \frac{f(n)}{g(n)} \le C_2$$





"
$$f(n) = \Theta(g(n))$$
"  $\equiv f(n) \in \Theta(g(n))$ "

f is asymptotically tight bound for g or f is of the exact order of g

- ullet Every member of  $\Theta(g(n))$  is asymptotically no negative.
- The function g(n) must itself asymptotically no negative, or else  $\Theta\big(g(n)\big)=\varnothing.$





#### Example

Lets show that

$$\frac{1}{2}n^2 - 3n = \Theta(n^2)$$

We have to find  $C_1$ ,  $C_2$  and  $n_0$  such that

$$C_1 n^2 \le \frac{1}{2} n^2 - 3n \le C_2 n^2$$



For all  $n \ge n_0$ , Dividing by  $n^2$  yields

$$C_1 \le \frac{1}{2} - \frac{3}{n} \le C_2$$

We have that  $\frac{3}{n}$  is a decreasing sequence

$$3, \frac{3}{2}, 1, \frac{3}{4}, \frac{3}{5}, \frac{1}{2}, \frac{3}{7}, \frac{3}{8}, \frac{1}{3}, \dots$$

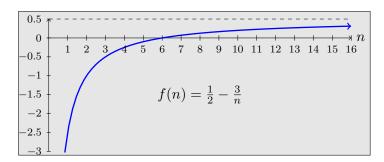
and then  $\frac{1}{2} - \frac{3}{n}$  is an increasing sequence

$$-\frac{5}{2}$$
,  $-1$ ,  $-\frac{1}{2}$ ,  $-\frac{1}{4}$ ,  $-\frac{1}{10}$ ,  $0$ ,  $\frac{1}{14}$ , ...

that is upper bounded by  $\frac{1}{2}$ .



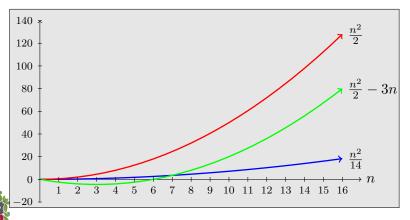
The right hand inequality can be made to hold for  $n \geq 1$  by choosing  $C_2 \geq \frac{1}{2}$ . Likewise the left hand inequality can be made to hold for  $n \geq 7$  by choosing  $C_1 \leq \frac{1}{14}$ .





Thus, by choosing  $C_1=\frac{1}{14}$ ,  $C_2=\frac{1}{2}$  and  $n_0=7$  then we can verify that

$$\frac{1}{2}n^2 - 3n = \Theta(n^2)$$

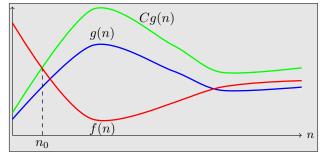




### Big O (Omicron)

#### Definition

$$O(g(n)) = \{ f : \mathbb{N} \to \mathbb{R}^* : (\exists C \in \mathbb{R}^+)(\exists n_0 \in \mathbb{N}) \\ (\forall n \ge n_0) (0 \le f(n) \le Cg(n)) \}$$
$$\lim_{n \to \infty} \frac{f(n)}{g(n)} \le C$$





"
$$f(n) = O(g(n))$$
"  $\equiv "f(n) \in O(g(n))$ "

f is asymptotically upper bound for g or g is an asymptotic upper bound for f

ullet Oig(g(n)ig) is pronounced "big-oh of g(n)".



#### Example

Lets show that if a, b > 0 then

$$an + b = O(n)$$

We have to find C and  $n_0$  such that

$$an + b \le Cn$$

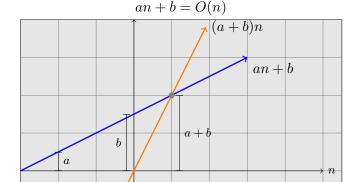




For all  $n \ge n_0$ , dividing by n yields

$$0 \le a + \frac{b}{n} \le C$$

The inequality can be made to hold for  $n \ge 1$  by choosing  $C \ge a + b$ . Thus by choosing  $C \ge a + b$  and  $n_0 = 1$  then we can verify that  $0 \le an + b \le (a + b)n$ , that is to say

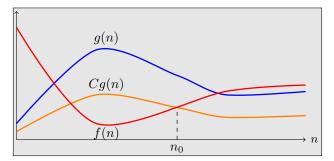




### Big Omega $\Omega$

#### Definition

$$\Omega(g(n)) = \{ f : \mathbb{N} \to \mathbb{R}^* : (\exists C \in \mathbb{R}^+)(\exists n_0 \in \mathbb{N}) \\ (\forall n \ge n_0) (0 \le Cg(n) \le f(n)) \}$$
$$C \le \lim_{n \to \infty} \frac{f(n)}{g(n)}$$





"
$$f(n) = \Omega(g(n))$$
"  $\equiv f(n) \in \Omega(g(n))$ "

f is asymptotically lower bounded for g or g is an asymptotic lower bound for f

ullet  $\Omegaig(g(n)ig)$  is pronounced "big-omega of g(n)"



#### Example

Lets show that

$$5n^3 - 5n^2 - 2n - 3 = \Omega(n^2)$$

We have to find C and  $n_0$  such that

$$0 \le Cn^2 \le 5n^3 - 5n^2 - 2n - 3$$

if  $n \geq n_0$ .



For all  $n \ge n_0$ , Dividing by  $n^2$  yields

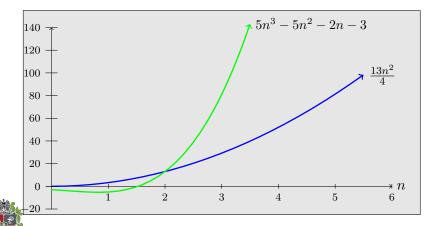
$$0 \le C \le 5n - 5 - \frac{2}{n} - \frac{3}{n^2} = 5n - \left(5 + \frac{2}{n} + \frac{3}{n^2}\right)$$

We have that  $5+\frac{2}{n}+\frac{3}{n^2}$  is a decreasing sequence that takes its maximum value 10 when n=1; and therefore  $5n-\left(5+\frac{2}{n}+\frac{3}{n^2}\right)$  is an increasing sequence such that is non-negative for  $n\geq 2$ , whence if  $n_0=2$  then it is lower bounded by  $\frac{13}{4}$ .



Thus, by choosing  $C = \frac{13}{4}$  and  $n_0 = 2$  then we can verify that

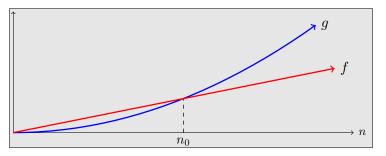
$$5n^3 - 5n^2 - 2n - 3 = \Omega(n^2)$$



#### Little o

#### Definition

$$o(g(n)) = \{ f : \mathbb{N} \to \mathbb{R}^* : (\forall C \in \mathbb{R}^+) (\exists n_0 \in \mathbb{N})$$
$$(\forall n \ge n_0) (0 \le f(n) < Cg(n)) \}$$
$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$$





"
$$f(n) = o(g(n))$$
"  $\equiv f(n) \in o(g(n))$ "

f is asymptotically smaller than g

- o(g(n)) is pronounced "little-oh of g(n)".
- o(g(n)) is the set of functions that grow slower than g.





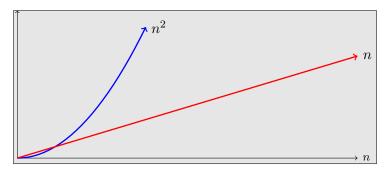
#### Example

Lets show that

$$n = o(n^2)$$

we only have to show

$$\lim_{n \to \infty} \frac{n}{n^2} = \lim_{n \to \infty} \frac{1}{n} = 0$$





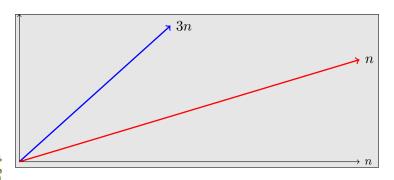
#### Example

Lets show that

$$n \not\in o(3n)$$

we have

$$\lim_{n\to\infty}\frac{n}{3n}=\lim_{n\to\infty}\frac{1}{3}=\frac{1}{3}\neq 0$$

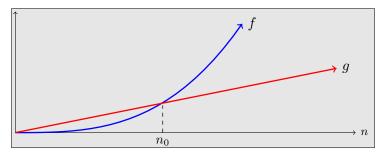




#### Little omega $\omega$

#### Definition

$$\omega(g(n)) = \{ f : \mathbb{N} \to \mathbb{R}^* : (\forall C \in \mathbb{R}^+) (\exists n_0 \in \mathbb{N})$$
$$(\forall n \ge n_0) (0 \le Cg(n) < f(n)) \}$$
$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$$





"
$$f(n) = \omega(g(n))$$
"  $\equiv f(n) \in \omega(g(n))$ "

f is asymptotically larger than g

- $\omega(g(n))$  is pronounced "little-omega of g(n)".
- $\omega(g(n))$  is the set of functions that grow faster than g.





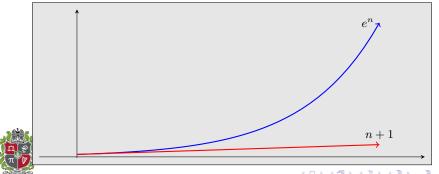
#### Example

Lets show that

$$e^n = \omega(n+1)$$

we only have to show

$$\lim_{n\to\infty}\frac{e^n}{n+1}=\lim_{n\to\infty}e^n=\infty$$



### Analogy with the comparison of two real numbers

Asymptotic notation	Real numbers
$f(n) \in O(g(n))$	$f \leq g$
$f(n) \in \Omega(g(n))$	$f \ge g$
$f(n) \in \Theta(g(n))$	f = g
$f(n) \in o(g(n))$	f < g
$f(n) \in \omega(g(n))$	f > g

#### Trichotomy does not hold!



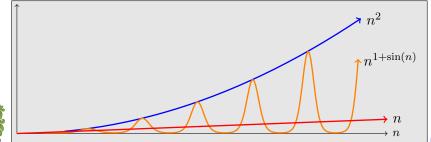
## Not all functions are asymptotically comparable Trichotomy does not hold

#### Example

Following functions are asymptotically non-negative

- f(n) = n
- $q(n) = n^{1+\sin(n)}$

but, they are not comparable because  $1 + \sin(n) \in [0, 2]$ , the function g varies between 1 and  $n^2$ , when  $n \to \infty$ .





$$\Theta(f(n)) = O(f(n)) \cap \Omega(f(n))$$

### The running time of an algorithm is $\Theta(f(n))$

if and only if

- 1 Its worst-case running time is O(f(n)), and
- ② Its best-case running time is  $\Omega(f(n))$ .





### Properties (conti.)

Given f, g and h asymptotically no negative functions, we have:

Transitivity of  $O, \Omega, \Theta$   $f(n) \in \Delta(g(n))$  and  $g(n) \in \Delta(h(n))$  then  $f(n) \in \Delta(h(n))$ , for  $\Delta \in \{O, \Omega, \Theta\}$ .

Reflexivity of  $O, \Omega, \Theta$   $f(n) \in \Delta(f(n))$ , for  $\Delta \in \{O, \Omega, \Theta\}$ .

Symmetry of  $\Theta$   $f(n) \in \Theta(g(n)) \iff g(n) \in \Theta(f(n))$ .

Anti-symmetry of  $O, \Omega \ \forall f(n) \notin \Theta(g(n))$ ,

$$f(n) \in \Delta(g(n)) \Longrightarrow g(n) \notin \Delta(f(n))$$
, for  $\Delta \in \{O, \Omega\}$ .

Transpose Symmetry

$$f(n) \in O(g(n)) \iff g(n) \in \Omega(f(n))$$
  
 $f(n) \in o(g(n)) \iff g(n) \in \omega(f(n))$ 





### Properties (conti.)

- $f \leq g \iff f(n) \in O(g(n))$  order relation
  - reflexive
  - anti-symmetric
  - transitive
- $f \ge g \Longleftrightarrow f(n) \in \Omega(g(n))$  order relation
  - reflexive
  - anti-symmetric
  - transitive
- $f = g \iff f(n) \in \Theta(g(n))$  equivalence relation
  - reflexive
  - symmetric
  - transitive



### Properties (conti.)

$$o(f(n)) \cap \omega(f(n)) = \emptyset$$

Relation between o and O

$$f(n) \in o(g(n)) \Longrightarrow f(n) \in O(g(n))$$

Relation between  $\omega$  and  $\Omega$ 

$$g(n) \in \omega(f(n)) \Longrightarrow g(n) \in \Omega(f(n))$$



### Asymptotic notation two variables

#### Definition

$$O(g(m,n)) = \{ f : \mathbb{N} \times \mathbb{N} \to \mathbb{R}^* : (\exists C \in \mathbb{R}^+) (\exists m_0, n_0 \in \mathbb{N}) \\ (\forall m \ge m_0) (\forall n \ge n_0) (f(m,n) \le Cg(m,n)) \}$$





#### Outline

- Asymptotic notation
- 2 Common functions
- 3 Examples
- Master Theorem





### Monotonicity

```
f is monotonically increasing if: \forall x,y \in \mathbb{R}, x < y \Longrightarrow f(x) \leq f(y) f is monotonically decreasing if: \forall x,y \in \mathbb{R}, x < y \Longrightarrow f(x) \geq f(y) f is strictly increasing if: \forall x,y \in \mathbb{R}, x < y \Longrightarrow f(x) < f(y) f is strictly decreasing if: \forall x,y \in \mathbb{R}, x < y \Longrightarrow f(x) > f(y)
```



### Floors and Ceilings

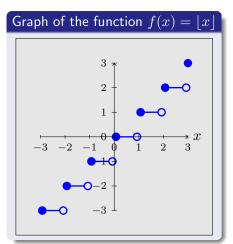
#### Definition

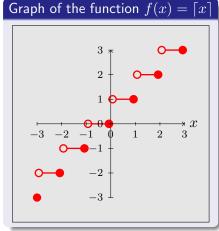
 $\lfloor x \rfloor$  floor of x: The greatest integer less than or equal to x.

[x] ceiling of x: The smallest integer greater than or equal to x.

$$\forall x \in \mathbb{R}, \qquad x-1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x+1$$
 
$$\forall n \in \mathbb{Z}, \qquad \lfloor n \rfloor = n = \lceil n \rceil \text{ and } \lfloor n/2 \rfloor + \lceil n/2 \rceil = n$$









# **Properties**

 $\forall x \in \mathbb{R} \text{ and } n, m \in \mathbb{Z}^+$ 

$$\lfloor \lfloor x/n \rfloor / m \rfloor = \lfloor x/nm \rfloor$$
$$\lceil \lceil x/n \rceil / m \rceil = \lceil x/nm \rceil$$
$$\lfloor n/m \rfloor \le (n + (m-1))/m$$
$$\lceil n/m \rceil \ge (n - (m-1))/m$$

 $\lfloor x \rfloor$  and  $\lceil x \rceil$  are monotonically increasing.



## Modular arithmetic

For every integer a and any possible positive integer n,

 $a \bmod n$ 

is the **remainder** (or **residue**) of the quotient a/n

$$a \mod n = a - \lfloor a/n \rfloor n$$



# congruency or equivalence $\mod n$

If  $(a \bmod n) = (b \bmod n)$  we write

$$a \equiv b \pmod{n}$$

and we say that a is **equivalent** to b module n or that a is **congruent** to b module n.

In other words  $a \equiv b \pmod n$  if a and b have the same remainder when they are divided by n.

Also  $a \equiv b \pmod{n}$  if and only if n is a divisor of b - a



#### $\pmod{n}$

defines a equivalence relation in  $\mathbb{Z}$  and produces a partitioned set called  $\mathbb{Z}_n =$  $\mathbb{Z}_{/n} = \{0, 1, 2, \dots, n-1\}$  in which can be defined arithmetic operations

$$a+b \pmod{n}$$

$$a * b \pmod{n}$$



### Example $\equiv \pmod{4}$

$$\mathbb{Z}_{/4} = \{[0], [1], [2], [3]\} = \{0, 1, 2, 3\}$$

:	:	:	:
-12	-11	-10	-9
-8	-7	-6	-5
-4	-3	-2	-1
0	1	2	3
4	5	6	7
8	9	10	11
12	13	14	15
:	:	:	:
↓	. ↓	. ↓	. ↓
[0]	[1]	[2]	[3]

$$4+1\pmod{4}=1$$

$$5*2\pmod{4} = 2$$

# **Polynomials**

Given a no negative integer d, a **polynomial in**  $\boldsymbol{n}$  of degree d is a function p(n) of the form:

$$p(n) = \sum_{i=0}^{d} a_i n^i$$

Where  $a_0, a_1, a_2, \ldots, a_d$  are the **coefficients** and  $a_d \neq 0$ ,  $a_d$  is called the **main coefficient** and  $a_0$  is called the **independent term**.





### **Properties**

- A polynomial p(n) es asymptotically positive if and only if  $a_d > 0$ .
- If p(n), of degree d is asymptotically positive, we have  $p(n) = \Theta(n^d)$ .
- $\forall a \in \mathbb{R}$ , a > 0,  $n^a$  es monotonically increasing.
- $\forall a \in \mathbb{R}$ , a < 0,  $n^a$  es monotonically decreasing.
- A function f(n) is **polynomially bounded** if  $f(n) = O(n^d)$  for some constant d.





# Exponentials

For all reals a > 0, m and n, we have the following identities:

- $a^0 = 1$
- $a^1 = a$
- $a^{-1} = 1/a$
- $(a^m)^n = a^{mn}$
- $(a^m)^n = (a^n)^m$
- $a^m a^n = a^{m+n}$
- $\bullet \ \frac{a^n}{a^m} = a^{n-m}$



- If a>1, for all  $n\in\mathbb{Z}^+$ ,
  - $a^n$  is monotonically increasing
- If 0 < a < 1, for all  $n \in \mathbb{Z}^+$ ,  $a^n$  is monotonically decreasing
- $\forall a \in \mathbb{R}$  with a > 1, as:

$$\lim_{n \to \infty} \frac{n^d}{a^n} = 0$$

then  $n^d = o(a^n)$ .



For all  $x \in \mathbb{R}$ 

$$e^x = 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \frac{x^4}{4!} + \frac{x^5}{5!} + \frac{x^6}{6!} + \dots = \sum_{i=0}^{\infty} \frac{x^i}{i!}$$

- $\forall x \in \mathbb{R}, e^x \ge 1 + x$ , equality holds for x = 0.
- If  $|x| \le 1$ ,  $1 + x \le e^x \le 1 + x + x^2$ .
- When  $x \to 0$ ,  $e^x = 1 + x + O(x^2)$ .
- $e^x = \lim_{n \to \infty} \left(1 + \frac{x}{n}\right)^n$ .





# Logarithms

#### Notations:

- $\lg n = \log_2 n$
- $\ln n = \log_e n$
- $\lg^k n = (\lg n)^k$
- $\lg \lg n = \lg(\lg n)$

Logarithm function will only apply to next term in the formula:

$$\lg n + k = (\lg n) + k$$

For b > 1 constant and n > 0,

 $\log_b n$ 



is strictly increasing.

For all reals a > 0, b > 0, c > 0 and n, we have the following identities:

- $a = b^{\log_b a}$
- $\bullet \log_c(ab) = \log_c a + \log_c b$
- $\bullet$   $\log_a(a/b) = \log_a(a) \log_a(b)$
- $\bullet \log_b a^n = n \log_b a$
- $\log_b a = \frac{\log_c a}{\log_c b}$
- $\bullet \log_b(1/a) = -\log_b a$
- $\bullet \log_b a = \frac{1}{\log_a b}$
- $a^{\log_b c} = c^{\log_b a}$
- $\bullet$   $\frac{d}{dx}(\log_a u) = \frac{1}{\ln a} \cdot \frac{1}{u} \frac{du}{dx}$



For  $x \in \mathbb{R}$ , if |x| < 1 then:

$$\ln(1+x) = x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \frac{x^5}{5} - \dots = \sum_{i=1}^{\infty} \frac{(-1)^{i-1}x^i}{i}$$

- When x > -1,  $\frac{x}{1+x} \le \ln(1+x) \le x$ .
- For x > -1, equality holds for x = 0.





A function f(n) is **polylogaritmically** bounded if

$$f(n) = O(\lg^k n)$$
 for some constant  $k$ 

We have the following relation between polynomials and polylogarithms:

$$n^d = 2^{\lg n^d} = 2^{d(\lg n)} = (2^d)^{\lg n}$$

$$\lim_{n \to \infty} \frac{\lg^k n}{n^d} = \lim_{n \to \infty} \frac{\lg^k n}{(2^d)^{\lg n}} = 0$$

then  $\lg^k n = o(n^k)$ .



### **Factorials**

Given  $n \in \mathbb{N}$ , factorial of n is defined as:

#### Definition (No recursive)

$$n! = \begin{cases} 1, & \text{if } n = 0; \\ \prod_{i=1}^{n} i, & \text{if } n > 0. \end{cases}$$

## Definition (Recursive)

$$n! = \begin{cases} 1, & \text{if } n = 0; \\ n \cdot (n-1)!, & \text{if } n > 0. \end{cases}$$

Weak upper bound



$$n! \le n^n$$



# Stirling's approximation

$$n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n \left[1 + \Theta\left(\frac{1}{n}\right)\right]$$

then

$$\begin{split} n! &= o \left( n^n \right) \\ n! &= \omega \left( 2^n \right) \\ \lg (n!) &= \Theta(n \lg n) \\ n! &= \sqrt{2\pi n} \left( \frac{n}{e} \right)^n e^{\alpha_n}, \qquad \text{where } \frac{1}{12n+1} \leq \alpha_n \leq \frac{1}{12n} \end{split}$$



### Functional iteration

#### Definition

Given a function f(n) the *i*-th functional iteration of f is defined as:

$$f^{i} = \begin{cases} I, & \text{if } i = 0; \\ f \circ f^{(i-1)}, & \text{if } i > 0. \end{cases}$$

with I the identity function.

For a particular n, we have:

$$f^{i}(n) = \begin{cases} n, & \text{if } i = 0; \\ f(f^{(i-1)}(n)), & \text{if } i > 0. \end{cases}$$



### Examples

- **1** f(n) = 2n then  $f^{(i)}(n) = 2^{i}n$
- $f(n) = n^2$  then:

$$f^{(2)}(n) = (n^2)^2 = (n^2)(n^2) = n^{2*2} = n^4$$

$$f^{(3)}(n) = (n^{2*2})^2 = n^{2*2*2} = n^8$$

$$f^{(4)}(n) = (n^{2*2*2})^2 = n^{2*2*2*2} = n^{16}$$

$$\vdots$$

$$f^{(i)}(n) = n^{2^i}$$



# Example

$$f(n) = n^n$$
 then

$$f^{(2)}(n) = n^{n^n}$$

$$f^{(3)}(n) = n^{n^{n^n}}$$

$$f^{(4)}(n) = n^{n^{n^{n^n}}}$$

$$\vdots$$

$$f^{(i)}(n) = n^{n^{n^{n^{n^n}}}}$$



# Iterated logarithm

#### Definition

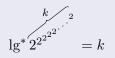
The iterated logarithm of n, denoted  $\lg^* n$  ("log star of n") is defined as:

$$\lg^* n = \min \{ i \ge 0 : \lg^{(i)} n \le 1 \}$$

 $\lg^* n$ , is a very slowly growing function

$$\lg^* 1 = 0 
 \lg^* 2 = 1 
 \lg^* 4 = 2 
 \lg^* 16 = 3 
 \lg^* 65536 = 4 
 \lg^* (65536)^2 = 5$$

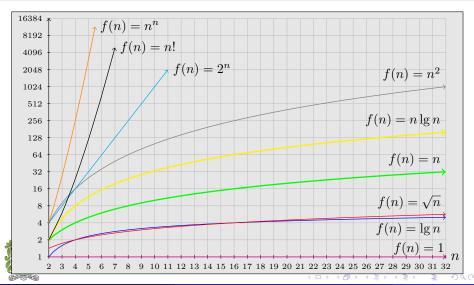
In general





# Summary

```
O(n \lg n) \in O(n^2)
O(n \lg n)
O(n \lg n)
      \in O(\sqrt{n}) \in O(n)
 O(\lg n)
\in
```



# Outline

- Asymptotic notation
- Common functions
- 3 Examples
- Master Theorem



# Example

Α	В		
$5n^2 + 100n$	$3n^2 + 2$	$A\in\Theta(B)$	
$\log_3\left(n^2\right)$	$\log_2\left(n^3\right)$	$A\in\Theta(B)$	
$n^{\lg 4}$	$3^{\lg n}$	$A\in\omega(B)$	
$\lg n$	$n^{1/2}$	$A \in o(B)$	
$\lg n$ denotes $\log_2 n$ .			



$$5n^2 + 100n$$
  $3n^2 + 2$   $A \in \Theta(B)$   
 $A \in \Theta(n^2), n^2 \in \Theta(B) \Longrightarrow A \in \Theta(B)$ 

$$\log_3(n^2) \log_2(n^3) \quad A \in \Theta(B) \\ \log_b a = \log_c a / \log_c b; A = 2 \lg n / \lg 3, B = 3 \lg n; A/B = 2/(3 \lg 3)$$

$$n^{\lg 4} \qquad 3^{\lg n} \qquad \mathbf{A} \in \omega(\mathbf{B})$$

$$a^{\log b} = b^{\log a}; B = 3^{\lg n} = n^{\lg 3}; \mathbf{A}/\mathbf{B} = n^{\lg(4/3)} \to \infty \text{ as } n \to \infty$$

$$\lg n \qquad n^{1/2} \qquad \mathbf{A} \in o(\mathbf{B}) \\
\lim_{n \to \infty} \left( \log_a n / n^b \right) = 0, \text{ here } a = 2 \text{ and } b = 1/2 \Longrightarrow \mathbf{A} \in o(\mathbf{B})$$



## Outline

- Asymptotic notation
- 2 Common functions
- 3 Examples
- Master Theorem





### Master Theorem I

Let f be an increasing function that satisfies the recurrence relation

$$f(n) = af(n/b) + c$$

whenever n is divisible by b, where  $a \ge 1$ , b is an integer greater than 1, and c is a positive real number. Then

$$f(n) \text{ is } \begin{cases} O(n^{\log_b a}) \text{ if } a > 1, \\ O(\log n) \text{ if } a = 1. \end{cases}$$

Furthermore, when  $n = b^k$  and  $a \neq 1$ , where k is a positive integer,

$$f(n) = C_1 n^{\log_b a} + C_2,$$

where  $C_1 = f(1) + c/(a-1)$  and  $C_2 = -c/(a-1)$ .



Figure: Master Theorem first version.

## Master Theorem II

**MASTER THEOREM** Let f be an increasing function that satisfies the recurrence relation

$$f(n) = af(n/b) + cn^d$$

whenever  $n=b^k$ , where k is a positive integer,  $a \ge 1$ , b is an integer greater than 1, and c and d are real numbers with c positive and d nonnegative. Then

$$f(n) \text{ is } \begin{cases} O(n^d) & \text{if } a < b^d, \\ O(n^d \log n) & \text{if } a = b^d, \\ O(n^{\log_b a}) & \text{if } a > b^d. \end{cases}$$

Figure: Master Theorem second version.



#### Theorem 4.1 (Master theorem)

Let  $a \ge 1$  and b > 1 be constants, let f(n) be a function, and let T(n) be defined on the nonnegative integers by the recurrence

$$T(n) = aT(n/b) + f(n),$$

where we interpret n/b to mean either  $\lfloor n/b \rfloor$  or  $\lceil n/b \rceil$ . Then T(n) has the following asymptotic bounds:

- 1. If  $f(n) = O(n^{\log_b a \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$ .
- 2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \lg n)$ .
- 3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af(n/b) \le cf(n)$  for some constant c < 1 and all sufficiently large n, then  $T(n) = \Theta(f(n))$ .



Figure: Master Theorem full version.