

Lecture #4: Higher-Order Functions

Announcements:

- Theta Tau rush events, starting 1/31. See Piazza post.
- CSUA Unix/Emacs help sessions: Thursday 1/26, Tuesday 1/31, Thursday 2/2 in 310 Soda, 6-8PM.

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 1

A Simple Recursion

- The Fibonacci sequence is defined

$$F_k = \begin{cases} k, & \text{for } k = 0, 1 \\ F_{k-2} + F_{k-1}, & \text{for } k > 1 \end{cases}$$

- ...which translates easily into Python:

```
def fib(n):
    """The Nth Fibonacci number, N>=0."""
    assert n >= 0
    if n <= 1:
        return n
    else:
        return fib(n-2) + fib(n-1)
```

- This definition works, but why is it so slow?

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 2

Redundant Calculation

- Consider the computation of fib(10).
- This calls fib(9) and fib(8), but then fib(9) calls fib(8) again and both fib(9) and the two calls to fib(8) call fib(7), so that fib(7) is called 3 times.
- Likewise, fib(6) is called 5 times, fib(7) is called 8 times, and so forth in increasing Fibonacci sequence, interestingly enough.
- Therefore, the time required (proportional to the number of calls) grows exponentially:
- As it turns out, fib(N) requires time roughly proportional to Φ^N , where the golden ratio $\Phi = (1 + \sqrt{5})/2$.

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 3

Avoiding Recalculation

- To compute the next Fibonacci number, we need the preceding two.
- Let's generalize and consider what it takes to compute N more:

```
def fib2(fk1, fk, k, n):
    """Assuming FK1 and FK F[K-1] and F[K] in the Fibonacci
    sequence numbers and N>=K, return F[N]."""
    if n == k:
        return fk
    else:
        return fib2(fk, fk1+fk, k+1, n)
def fib(n):
    if n <= 1:
        return n
    else:
        return fib2(0, 1, 1, n)
```

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 4

Tail Recursion and Repetition

- In this last version, whenever fib2 is called recursively, the value of that call is immediately returned.
- This property is called *tail recursion*.

```
def fib2(fk1, fk, k, n):
    if n == k: return fk
    else:      return fib2(fk, fk1+fk, k+1, n)
def fib(n):
    if n <= 1: return n
    else:      return fib2(0, 1, 1, n)
```

- It is this sort of process that is easily expressed as a repetition.
- Parameters become variables; initial call on fib2 inside fib initializes them; each tail-recursive call updates them. Iterative equivalent:

```
def fib3(n):
    if n <= 1: return n
    fk1, fk, k = 0, 1, 1
    while n != k:
        fk1, fk, k = fk, fk1+fk, k+1
    return fk
```

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 5

Nested Functions

- In the last recursive version, fib2 function is an auxiliary function, used only by fib.
- It makes sense to tuck it away inside fib, like this:

```
def fib(n):
    def fib2(fk1, fk, k):
        if n == k: return fk
        else:      return fib2(fk, fk1+fk, k+1)

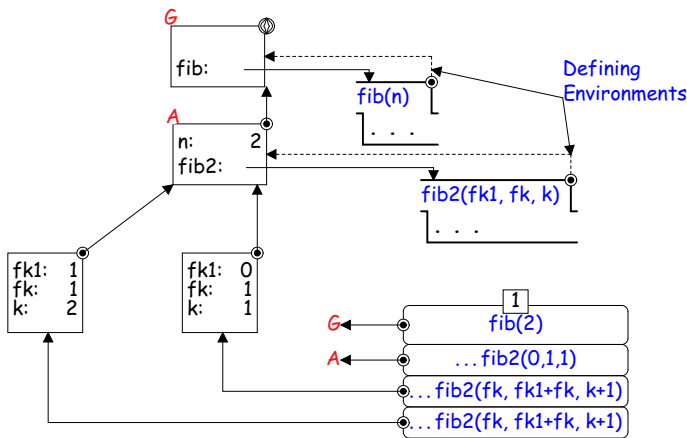
    if n <= 1: return n
    else:      return fib2(0, 1, 1)
```

- I've taken the liberty here of removing the parameter n from fib2: it's always the same as the outer n and never changes.
- But to explain how this works, we'll have to extend the environment model just a bit.

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 6

Nested Functions and Environments



Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 7

Defining Environments

- Each function value is attached to the environment frame in which the **def** statement that created it was evaluated.
- Since the **def** for **fib** was evaluated in the global frame, the resulting function value bound to **fib** is attached to the global frame.
- Since the **def** for **fib2** was evaluated in the local frame of an execution of **fib**, the resulting function value is attached to that local frame.
- When a user-defined function value is called, the local frame that is created for that call is attached to the defining frame of the function.

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 8

Do You Understand the Machinery? (I)

What is printed (0, 1, or **error**) and why?

```
def f():
    return 0

def g():
    print(f())

def h():
    def f():
        return 1
    g()

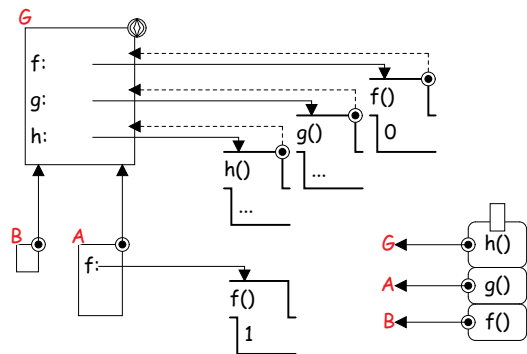
h()
```

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 9

Answer (I)

The program prints 0. At the point that **f** is called, we are in the situation shown below:



So we evaluate **f** in an environment (**B**) where it is bound to a function that returns 0.

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 10

Do You Understand the Machinery? (II)

What is printed (0, 1, or **error**) and why?

```
def f():
    return 0

g = f

def f():
    return 1

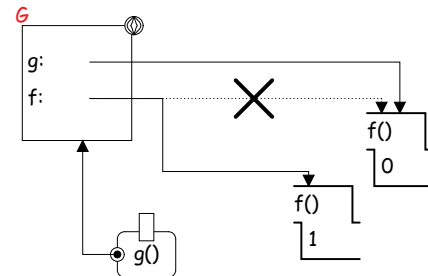
print(g())
```

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 11

Answer (II)

The program prints 0 again:



At the time we evaluate **f** to assign it to **g**, it has the value indicated by the crossed-out dotted line, so that is the value **g** gets. The fact that we change **f**'s value later is irrelevant, just as **x = 3; y = x; x = 4; print(y)** prints 3 even though **x** changes: **y** doesn't remember where its value came from.

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 12

Do You Understand the Machinery? (III)

What is printed (0, 1, or **error**) and why?

```
def f():
    return 0

def g():
    print(f())

def f():
    return 1

g()
```

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 13

Answer (III)

This time, the program prints 1. When `g` is executed, it evaluates the name `'f'`. At the time that happens, `f`'s value has been changed (by the third `def`), and that new value is therefore the one the program uses.

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 14

Functions As Templates

- If we think of a function body as a template for a computation, parameters are "blanks" in that template.
- For example:

```
def sum_squares(N):
    k, sum = 0, 0
    while k <= N:
        sum, k = sum+k**2, k+1
    return sum
```

is a template for an infinite set of computations that add squares of numbers up to 0, 1, 2, 3, ..., in place of the `N`.

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 15

Functions on Functions

- Likewise, function parameters allow us to have templates with slots for computations:

```
def summation(N, f):
    k, sum = 1, 0
    while k <= N:
        sum, k = sum+f(k), k+1
    return sum
```

- Generalizes `sum_squares`. We can write `sum_squares(5)` as:

```
def square(x): return x*x
summation(5, square)
```

- or (if we don't really need a "square" function elsewhere), we can create the function argument anonymously on the fly:

```
summation(5, lambda x: x*x)
```

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 16

Lambda

- In Python, `lambda` is just an abbreviation.
- Writing `lambda PARAMS: EXPRESSION` is the same as writing `NAME`, where `NAME` is a name that appears nowhere else in the program and is defined by

```
def NAME(PARAMS):
    return EXPRESSION
```

evaluated in the same environment in which the original `lambda` was.

- Now we can write any number of summations succinctly:

```
summation(10, lambda x: x**3)      # Sum of cubes
summation(10, lambda x: 1 / x)     # Harmonic series
summation(10, lambda k: x**(k-1) / factorial(k-1))
                                     # Approximate e**x
```

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 17

Functions that Produce Functions

- Functions are *first-class values*, meaning that we can assign them to variables, pass them to functions, and return them from functions.
- Example:

```
def add_func(f, g):
    """Return function that returns f(x)+g(x) for argument x."""
    def adder(x):
        return f(x) + g(x) # or return lambda x: f(x) + g(x)
    return adder

h = add_func(abs, lambda x: -x)
>>> print(h(-5))
10
```

- Generalize the example:

```
def combine_funcs(op, f, g):
    return lambda x: op(f(x), g(x))
# Now add_func = combine_funcs(lambda x, y: x+y, ...)
```

- What do the environments look like here?

Last modified: Fri Mar 2 00:45:00 2012

CS61A: Lecture #4 18

Functions that Produce Functions

- Functions are *first-class values*, meaning that we can assign variables, pass them to functions, and return them from functions.
- Example:

```
def add_func(f, g):  
    """Return function that returns f(x)+g(x) for all x"""  
    def adder(x):  
        return f(x) + g(x) # or return lambda x: f(x) + g(x)  
    return adder  
  
h = add_func(abs, lambda x: -x)  
>>> print(h(-5))  
10
```

- Generalize the example:

```
def combine_funcs(op, f, g):  
    return lambda x: op(f(x), g(x))  
# Now add_func = lambda f, g: combine_funcs(sum, f, g)
```

- What do the environments look like here?