# Spring Batch Workshop

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#### Outline

Overview

IDE set up

Spring support in IDE

Spring Batch overview

Hello World

Chunk processing

Flat file reading

XML file reading

Skip

Dynamic job parameters

JDBC paging

Execution metadata

Scheduling

Item processor

Logging skipped items

Item enrichment

File reading partitioning

File dropping launching

Database reading partitioning

#### Overview

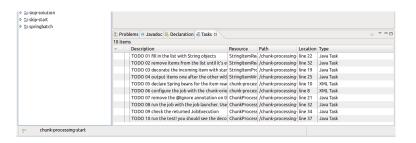
- This workshop highlights Spring Batch features
- Problem/solution approach
  - A few slides to cover the feature
  - A project to start from, just follow the TODOs
- Prerequisites
  - Basics about Java and Java EE
  - Spring: dependency injection, enterprise support
- https://github.com/acogoluegnes/Spring-Batch-Workshop

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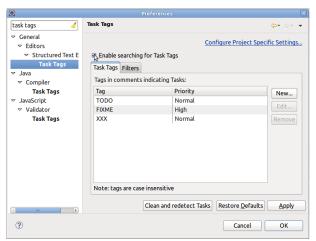
#### Follow the TODOs

- Track the TODO in the \*-start projects!
- It's easier with support from the IDE



### TODO with Eclipse

▶ Window > Preferences > "tasks tag" in filter



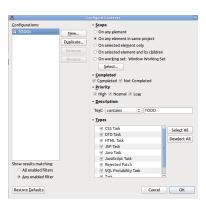
## TODO with Eclipse

- Open the "Tasks" view
- click on the down arrow on the right
- "configure contents"



## TODO with Eclipse

- Check "TODOs" on the left
- Check "On any element in the same project" on the right (scope)



## Spring support in IDE is a +

e.g. code completion in SpringSource Tool Suite

```
<!-- TODO 03 configure the job with a chunk-oriented step using the reader and the writer -->
<!-- TODO 01 configure the FlatFileItemReader -->
Rebean i="reader" class="FlatFileItemReader"

<!-- TODO 03 configure the job with a chunk-oriented step using the reader and the writer -->
<!-- TODO 01 configure the FlatFileItemReader -->
Pebean i="reader" class="org.springframework.batch.item.file.FlatFileItemReader"
```

## Basic features for batch applications

- ► Read process write large amounts of data, efficiently
- Ready-to-use components to read from/write to
  - Flat/XML files
  - Databases (JDBC, Hibernate, JPA, iBatis)
  - JMS queues
  - Emails
- Numerous extension points/hooks

## Advanced features for batch applications

- Configuration to skip/retry items
- Execution metadata
  - Monitoring
  - Restart after failure
- Scaling strategies
  - ► Local/remote
  - Partitioning, remote processing

- ▶ Problem: getting started with Spring Batch
- ► Solution: writing a simple "Hello World" job

## Structure of a job

- A Spring Batch job is made of steps
- ► The Hello World job has one step
- ▶ The processing is implemented in a Tasklet

#### The Hello World Tasklet

```
public class HelloWorldTasklet implements Tasklet {
    @Override
    public RepeatStatus execute(
        StepContribution contribution,
        ChunkContext chunkContext) throws Exception {
        System.out.println("Hello world!");
        return RepeatStatus.FINISHED;
    }
}
```

## The configuration of the Hello World job

▶ Notice the batch namespace

## Spring Batch needs some infrastructure beans

Let's use the typical test configuration

## Running the test in a JUnit test

```
@RunWith(SpringJUnit4ClassRunner.class)
@ContextConfiguration("/hello-world-job.xml")
public class HelloWorldJobTest {

    @Autowired
    private Job job;

    @Autowired
    private JobLauncher jobLauncher;

    @Test public void helloWorld() throws Exception {
        JobExecution execution = jobLauncher.run(job, new JobParameters());
        assertEquals(ExitStatus.COMPLETED, execution.getExitStatus());
    }
}
```

- ▶ Problem: processing large amounts of data efficiently
- Solution: using chunk processing

## What is chunk processing?

- ▶ Batch jobs often read, process, and write items
- e.g.
  - Reading items from a file
  - ► Then processing (converting) items
  - Writing items to a database
- Spring Batch calls this "chunk processing"
- a chunk = a set of items

## Chunk processing with Spring Batch

- Spring Batch
  - handles the iteration logic
  - uses a transaction for each chunk
  - lets you choose the chunk size
  - defines interfaces for each part of the processing

## The reading phase

- Spring Batch creates chunks of items by calling read()
- Reading ends when read() returns null

## The processing phase

- Once a chunk is created, items are sent to the processor
- Optional

```
public interface ItemProcessor<I, O> {
  O process(I item) throws Exception;
}
```

## The writing phase

- Receives all the items of the chunk
- Allows for batch update (more efficient)

```
public interface ItemWriter<T> {
    void write(List<? extends T> items) throws Exception;
}
```

### An example

▶ Let's implement a (too?) simple chunk-oriented step!

#### The ItemReader

#### The ItemProcessor

```
public class StringItemProcessor implements ItemProcessor<String, String> {
    @Override
    public String process(String item) throws Exception {
        return "*** "+item+" ***";
    }
}
```

#### The ItemWriter

```
public class StringltemWriter implements ItemWriter<String> {
  private static final Logger LOGGER =
    LoggerFactory.getLogger(StringltemWriter.class);
  @Override
  public void write(List<? extends String> items) throws Exception {
    for(String item : items) {
      LOGGER.info("writing "+item);
    }
}
```

## Configuring the job

#### Considerations

- Do I always need to write my ItemReader/Processor/Writer?
- No, Spring Batch provides ready-to-use components for common datastores
  - ► Flat/XML files, databases, JMS, etc.
- As an application developer, you
  - Configure these components
  - Provides some logic (e.g. mapping a line with a domain object)

## Going further...

- Reader/writer implementation for flat/XML files, database, JMS
- Skipping items when something goes wrong
- Listeners to react to the chunk processing

- ▶ Problem: reading lines from a flat file and sending them to another source (e.g. database)
- ► Solution: using the FlatFileItemReader

# Spring Batch's support for flat file reading

- Spring Batch has built-in support for flat files
  - ▶ Through the FlatFileItemReader for reading
- The FlatFileItemReader handles I/O
- 2 main steps:
  - Configuring the FlatFileItemReader
  - Providing a line-to-object mapping strategy

### The usual suspects

```
Susy , Hauerstock ,2010 - 03 - 04
De Anna , Raghunath ,2010 - 03 - 04
Kiam , Whitehurst ,2010 - 03 - 04
Alecia , Van Holst ,2010 - 03 - 04
Hing , Senecal ,2010 - 03 - 04
```

```
public class Contact {

  private Long id;
  private String firstname, lastname;
  private Date birth;

  (...)
}
```

### What do we need to read a flat file?

- How to tokenize a line
- ▶ How to map the line with a Java object
- Where to find the file to read

### The FlatFileItemReader configuration

```
<bean id="reader"</pre>
      class="org.springframework.batch.item.file.FlatFileItemReader">
 cproperty name="lineMapper">
   <bean class="org.springframework.batch.item.file.mapping.DefaultLineMapper">
     cproperty name="lineTokenizer">
       <br/>bean
  class="org.springframework.batch.item.file.transform.DelimitedLineTokenizer">
         c property name="names" value="firstname.lastname.birth" />
       </bean>
     </property>
     cproperty name="fieldSetMapper">
       <bean class="com.zenika.workshop.springbatch.ContactFieldSetMapper" />
     </property>
   </bean>
 </property>
 </bean>
```

## The line-to-object mapping strategy

- A FieldSetMapper to map a line with an object
- More about business logic, so typically implemented by developer
- Spring Batch provides straightforward implementations

# Custom FieldSetMapper implementation

- ► FlatFileItemWriter to write flat file
- Fixed-length format (different tokenizer)
- Skipping badly formatted lines

- ► Problem: reading items from a XML file and sending them to another source (e.g. database)
- ► Solution: using the StaxEventItemReader

# Spring Batch's support for XML file reading

- Spring Batch has built-in support for XML files
  - ► Through the StaxEventItemReader for reading
- ► The StaxEventItemReader handles I/O for efficient XML processing
- 2 main steps:
  - ► Configuring the StaxEventItemReader
  - Configuring a Spring OXM's Unmarshaller

#### The usual suspects

```
public class Contact {

private Long id;
private String firstname, lastname;
private Date birth;

(...)
}
```

### The StaxEventItemReader configuration

```
<bean id="reader" class="org.springframework.batch.item.xml.StaxEventItemReader">
 cproperty name="fragmentRootElementName" value="contact" />
 cproperty name="unmarshaller">
   <bean class="org.springframework.oxm.xstream.XStreamMarshaller">
      cproperty name="aliases">
       <map>
         <entry key="contact" value="com.zenika.workshop.springbatch.Contact" />
       </map>
     </property>
     converters">
       <bean class="com.thoughtworks.xstream.converters.basic.DateConverter">
         <constructor-arg value="vvvv-MM-dd" />
         <constructor - arg><array /></constructor - arg>
         <constructor-arg value="true" />
       </bean>
      </property>
   </bean>
 </property>
 property name="resource" value="classpath:contacts.xml" />
</bean>
```

▶ NB: Spring OXM supports XStream, JAXB2, etc.

- StaxEventItemWriter to write XML files
- Spring OXM's support for other marshallers
- Skipping badly formatted lines

- ► Problem: my job fails miserably because of a tiny error in my input file
- ► Solution: skipping lines without failing the whole execution

# A CSV file with a badly formatted line

```
Susy, Hauerstock, 2010 – 03 – 04
De–Anna, Raghunath, 2010 – 03 – 04
Kiam, Whitehurst, 2010 – 03 – 04
Alecia, Van Holst, 09-23-2010
Hing, Senecal, 2010 – 03 – 04
Kannan, Pirkle, 2010 – 03 – 04
Row, Maudrie, 2010 – 03 – 04
Voort, Philbeck, 2010 – 03 – 04
```

### Skip configuration

- Choose the exceptions to skip
- Set the max number of items to skip

- ▶ Logging skipped items with a SkipListener
- ► Setting a custom SkipPolicy

- Problem: passing values to the configuration when launching a job
- Solution: using job parameters and late binding

# Use case: providing a input file dynamically to the item reader

```
JobParameters jobParameters = new JobParametersBuilder()
    .addString("input.file", "file:./input/contacts-01.txt")
    .toJobParameters();
JobExecution execution = jobLauncher.run(job, jobParameters);
```

```
<bean id="reader"
    class="org.springframework.batch.item.file.FlatFileItemReader"
    scope="step">
    cproperty name="resource value="#{jobParameters['input.file']}" />
    (...)
</bean>
```

- Spring Expression Language (SpEL)
- Step scope for partitioning

- Problem: reading large result sets from the database with a stable memory footprint
- ► Solution: using the JdbcPagingItemReader, which uses paging to handle large result sets

### JdbcPagingItemReader configuration

```
<bean id="reader"</pre>
      class="org.springframework.batch.item.database.JdbcPagingItemReader">
 cproperty name="dataSource" ref="dataSource" />
 cproperty name="pageSize" value="10" />
 cproperty name="gueryProvider">
   <bean class="o.s.b.item.database.support.SqlPagingQueryProviderFactoryBean">
     cproperty name="dataSource" ref="dataSource" />
     cproperty name="selectClause"
                value="select id.firstname.lastname.birth" />
     cproperty name="fromClause" value="from contact" />
     cproperty name="sortKey" value="id" />
   </bean>
 cproperty name="rowMapper">
   <bean class="com.zenika.workshop.springbatch.ContactRowMapper" />
 </bean>
```

# Paging or cursors?

- By paging, you send multiple queries to the database
- Alternative: cursor-based item reader
  - ▶ Spring Batch "streams" the result set from the DB
  - Only one query
- Paging always works, cursor-based reader depends on driver implementation and database

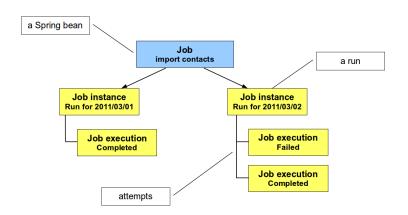
- ▶ Paging readers for Hibernate, JPA, iBatis
- Cursor-based readers

- ▶ Problem: monitoring the execution of batch jobs
- Solution: letting Spring Batch storing execution metadata in a database

# Why storing execution metadata?

- Spring Batch keeps track of batch execution
- ► Enables:
  - Monitoring by querying metadata tables
  - Restarting after a failure

## Job, job instance, and job execution



#### Job instance

- ▶ How to define a job instance?
- ► Thanks to job parameters
- ▶ Job parameters define the identity of the job instance

#### Where is the metadata stored?

- Metadata are stored in a database
  - ▶ In-memory implementation for test/development
- Monitoring tools can query metadata tables

- ► Spring Batch Admin, the web console for Spring Batch
- JobExplorer and JobOperator interfaces
- Spring JMX support

- ▶ Problem: scheduling a job to execute periodically
- ► Solution: using the scheduling support in Spring

# A class to launch the job

```
public class ImportLauncher {

public void launch() throws Exception {
    JobExecution exec = jobLauncher.run(
    job,
        new JobParametersBuilder()
        .addLong("time", System.currentTimeMillis())
        .toJobParameters()
    );
    }
}
```

# Spring scheduling configuration

cron attribute available

- ► Threading settings in Spring Scheduler
- Spring support for Quartz

- Problem: I want to add some business logic before writing the items I just read
- ► Solution: use an ItemProcessor to process/convert read items before sending them to the ItemWriter

#### Use case

- Reading contacts from a flat file
- Registering them into the system
  - ▶ This is the *business logic*
- Writing the registration confirmations to the database

#### The ItemProcessor interface

```
public interface ItemProcessor<I, O> {
  O process(I item) throws Exception;
}
```

#### How to implement an ItemProcessor

► An ItemProcessor usually delegates to existing business code

## Registering the ItemProcessor

- Available ItemProcessor implementations
  - Adapter, validator
- ▶ The ItemProcessor can filter items

- Problem: logging skipped items
- Solution: using a SkipListener

# 2 steps to log skipped items

- Writing the SkipListener (and the logging code)
- Registering the listener on the step

# Writing the SkipListener

```
package com.zenika.workshop.springbatch;
import org.slf4j.Logger;
import org.slf4j.LoggerFactory;
import org.springframework.batch.core.listener.SkipListenerSupport;

public class Slf4jSkipListener<T,S> extends SkipListenerSupport<T, S> {
    private static final Logger LOG = LoggerFactory.getLogger(
        Slf4jSkipListener.class);

@Override
public void onSkipInRead(Throwable t) {
    LOG.warn("skipped item: {}",t.toString());
    }
}
```

### Registering the SkipListener

```
<batch:job id="loggingSkippedItemsJob">
  <batch:step id="loggingSkippedItemsStep">
    <batch:tasklet>
      <batch:chunk reader="reader" writer="writer" commit-interval="3"</pre>
                     skip-limit="10">
         <batch:skippable -exception -classes>
           <br/>
<br/>batch:include
            class="org.springframework.batch.item.file.FlatFileParseException"/>
         </batch:skippable-exception-classes>
      </batch:chunk>
      <br/>
/batch:listeners>
         <bath><br/>| skipListener" />
      </batch:listeners>
    </br></batch:tasklet>
  </batch:step>
</batch:job>
<br/>
<br/>bean id="skipListener" class="com.zenika.workshop.springbatch.Slf4iSkipListener" />
```

# Going further...

- Other listeners in Spring Batch
  - ChunkListener, Item(Read/Process/Write)Listener, ItemStream, StepExecutionListener, JobExecutionListener

- ► Problem: I want to enrich read items with a Web Service before they get written
- Solution: implement an ItemProcessor to make the Web Service call

#### Use case

- ▶ Reading contacts from a flat file
- ▶ Enriching the contact with their social security number
- Writing the whole contact in the database

# The input file and the domain object

```
\begin{array}{l} 1\text{,} De-Anna\,, Raghunath\,,} 2010-03-04\\ 2\text{,} Susy\,, Hauerstock\,,} 2010-03-04\\ 3\text{,} Kiam\,, Whitehurst\,,} 2010-03-04\\ 4\text{,} Alecia\,, Van Holst\,,} 2010-03-04\\ 5\text{,} Hing\,, Senecal\,,} 2010-03-04\\ \end{array}
```

#### ▶ NB: no SSN!

```
public class Contact {

private Long id;
private String firstname, lastname;
private Date birth;
private String ssn;
(...)
}
```

#### The Web Service

- It can be any kind of Web Service (SOAP, REST)
- Our Web Service
  - URL:

http://host/service?firstname=John&lastname=Doe

It returns

```
<contact>
  <firstname>John</firstname>
  <lastname>Doe</lastname>
  <ssn>987-65-4329</ssn>
  </contact>
```

#### The ItemProcessor implementation

```
package com. zenika. workshop. springbatch;
import iavax.xml.transform.dom.DOMSource:
import org.springframework.batch.item.ItemProcessor;
import org.springframework.web.client.RestTemplate:
import org.w3c.dom.NodeList:
public class SsnWebServiceItemProcessor implements
             ItemProcessor<Contact . Contact > {
  private RestTemplate restTemplate = new RestTemplate();
  private String url:
  @Override
  public Contact process(Contact item) throws Exception {
    DOMSource source = restTemplate.getForObject(url.DOMSource.class.
      item . getFirstname(), item . getLastname());
    String ssn = extractSsnFromXml(item, source);
    item . setSsn(ssn):
    return item:
  private String extractSsnFromXml(Contact item, DOMSource source) {
    // some DOM code
  (...)
```

#### Configuring the SsnWebServiceItemProcessor

### But my Web Service has a lot of latency!

- ▶ The Web Service call can benefit from multi-threading
- Why not spawning several processing at the same time?
- We could wait for the completion in the ItemWriter
- Let's use some asynchronous ItemProcessor and ItemWriter
  - Provided in the Spring Batch Integration project

# Using async ItemProcessor and ItemWriter

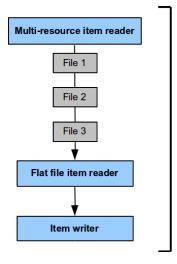
#### This is only about wrapping

# Going further...

- Business delegation with an ItemProcessor
- Available ItemProcessor implementations
  - Adapter, validator
- ▶ The ItemProcessor can filter items

- ▶ Problem: I have multiple input files and I want to process them in parallel
- ► Solution: use partitioning to parallelize the processing on multiple threads

# Serial processing

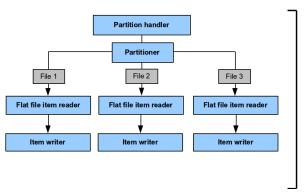


Step

### Partitioning in Spring Batch

- Partition the input data
  - e.g. one input file = one partition
  - partition processed in a dedicated step execution
- Partitioning is easy to set up but need some knowledge about the data
- Partition handler implementation
  - Multi-threaded
  - Spring Integration

# Multi-threaded partitioning



Partitioned Step

# Partitioner for input files

```
<bean id="partitioner"
    class="o.s.b.core.partition.support.MultiResourcePartitioner">
    cproperty name="resources"
         value="file:./src/main/resources/input/*.txt" />
</bean>
```

### The partitioner sets a context for the components

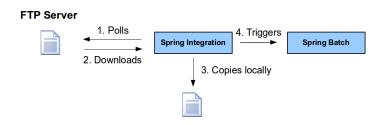
# Using the multi-threaded partition handler

### Going further...

- Spring Integration partition handler implementation
- Other scaling approaches
  - parallel steps, remote chunking, multi-threaded step)

- ► Problem: downloading files from a FTP server and processing them with Spring Batch
- Solution: use Spring Integration to poll the FTP server and trigger Spring Batch accordingly

# Using Spring Integration for transfer and triggering



# The launching code

```
public class FileContactJobLauncher {
  public void launch(File file) throws Exception {
    JobExecution exec = jobLauncher.run(
    job,
    new JobParametersBuilder()
        .addString("input.file", "file:"+file.getAbsolutePath())
        .toJobParameters()
    );
}
```

► The File is the local copy

# Listening to the FTP server

# Calling the launcher on an inbound message

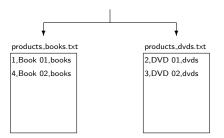
# Going further...

- Checking Spring Integration connectors
  - ▶ Local file system, FTPS, SFTP, HTTP, JMS, etc.
- Checking operations on messages
  - Filtering, transforming, routing, etc.

- ► Problem: I want to export items from different categories from a database to files
- ► Solution: provide a partition strategy and use partitioning

#### The use case

ID	name	category
1	Book 01	books
2	DVD 01	dvds
3	DVD 02	dvds
4	Book 02	books



# Partitioning based on categories

2 partitions in this case

ID	name	category
1	Book 01	books
2	DVD 01	dvds
3	DVD 02	dvds
4	Book 02	books

# Partitioning logic with the Partitioner interface

```
public class ProductCategoryPartitioner implements Partitioner {
  ( . . . )
  @Override
  public Map<String , ExecutionContext> partition(int gridSize) {
    List < String > categories = jdbcTemplate.queryForList(
      "select distinct(category) from product".
      String. class
    Map<String . ExecutionContext> results =
      new LinkedHashMap<String , ExecutionContext >();
    for(String category : categories) {
      ExecutionContext context = new ExecutionContext():
      context.put("category", category);
      results.put("partition."+category, context);
    return results:
```

#### Output of the Partitioner

#### Excerpt:

```
for(String category : categories) {
    ExecutionContext context = new ExecutionContext();
    context.put("category", category);
    results.put("partition."+category, context);
}
```

#### Results:

```
partition.books = { category => 'books' }
partition.dvds = { category => 'dvds' }
```

#### Components can refer to partition parameters

They need to use the step scope

```
<bean id="reader"</pre>
      class="org.springframework.batch.item.database.JdbcCursorItemReader"
      scope="step">
  cproperty name="sql"
             value="select id, name, category from product where category = ?" />
  cproperty name="preparedStatementSetter">
    <bean class="org.springframework.idbc.core.ArgPreparedStatementSetter">
      <constructor—arg value="#{stepExecutionContext['category']}]" />
    </bean>
  </bean>
<bean id="writer"</pre>
      class="org.springframework.batch.item.file.FlatFileItemWriter"
      scope="step">
  cproperty name="resource"
     value="file:./target/products_#{stepExecutionContext['category']}.txt" />
</bean>
```

### Configure the partitioned step

▶ The default implementation is multi-threaded

# Going further...

- Check existing partitioner implementations
- Check other partition handler implementations
- Check other scaling strategies