

# ADAPTIVE GAUSSIAN REGULARIZATION CONSTRAINED SPARSE SUBSPACE CLUSTERING FOR IMAGE SEGMENTATION

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## ABSTRACT

Sparse Subspace Clustering (SSC) is integral to image processing, drawing from spectral clustering foundations. However, prevalent methods, relying on an  $l_1$ -norm constraint, fail to capture nuanced inter-region correlations, affecting segmentation efficacy. To remedy this, we introduce an Adaptive Gaussian Regularization Constrained SSC for enhanced image segmentation. This method begins with superpixel preprocessing to enrich local information. Given the Gaussian nature of the SSC's sparse coefficient matrix, a Gaussian probability density function is infused as a regularization term, reinforcing regional image ties and facilitating similarity matrix creation. Using spectral clustering, we then define superpixel clusters leading to the final segmentation. When tested against the BSDS500 and SBD datasets and other leading algorithms, our model showcases marked improvements in natural image segmentation.

**Index Terms**— SSC, Adaptive,  $l_1$ -norm, Gaussian Regularization, Image Segmentation

## 1. INTRODUCTION

Subspace clustering, recognized for adept high-dimensional data categorization [1], seeks to assign data points to their pertinent subspace classes. Recently, sparse subspace clustering (SSC), anchored in spectral clustering, has gained traction due to its elegant formulation and robust clustering results. SSC operates by discerning a subspace's representative coefficient matrix, thereby enhancing clustering precision and revealing inherent data structures. This methodology resonates across fields such as machine learning [2], computer vision [3], im-



**Fig. 1:** Regularization constrained SSC segmentation results based on superpixel image.

age processing [4], pattern recognition [3], and deep learning [5, 6, 7].

SSC hinges on leveraging data sparsity within a determined space for efficient data representation and uncovering intrinsic characteristics. This is achieved by tailoring its data and regularization terms to account for the sparsity and grouping effects of SSC. Sparsity implies data can be represented as a lean combination of a finite dataset, whereas the grouping effect ensures highly correlated data within the same subspace clusters cohesively. In image segmentation, SSC enables the clustering of akin pixels or regions, segmenting the image into distinct regions [8].

However, SSC models with an  $l_1$ -norm [1, 9] offer sparse coefficient matrix representation but stumble in detailing correlations between closely tied data in the same subspace. Conversely, models using nuclear norm [10, 11] or Frobenius norm [12, 13] excel in correlation representation, but lack in matrix sparsity, complicating subspace selection. SSC prioritizes sparse data depiction, while the Low-Rank Representation (LRR) focuses on global data structures. A balance between these two has been a research quest. Some investigations amalgamate low-rank and sparse representations, yet determinations on constraint weights remain elusive. Others engage data-dependent regularization with limited success in reconciling sparsity and grouping, as evidenced in Fig. 1.

In response, we present an adaptive Gaussian regularization constrained SSC for image segmentation, rooted in the hypothesis that SSC's sparse coefficient matrix follows a Gaussian distribution, a conjecture drawn from our matrix observations and Gaussian function properties. The Gaussian function's architecture suggests that with constant variance,

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function values across regions mirror each other with mean value shifts. This property aids in harnessing mean value adjustments to identify data correlations. For image region correlations, two regularization terms with varied mean values constrain the SSC's sparse coefficient matrix.

Spectral clustering typically demands manual clustering number settings, potentially misleading the clustering. As a remedy, we propose adaptive clustering number determination, leaning on our observations of LRR's adeptness in capturing data's global structure. We utilize its rank value as the clustering number, minimizing the rank of our previously formed sparse coefficient matrix.

Our research's salient contributions include:

- Proposing SSC's sparse coefficient matrix follows a Gaussian distribution, reinforced with a novel Gaussian regularization term. This enriches region correlations, bolstering the similarity matrix's construction and refining segmentation precision.
- Adopting the rank from the LRR-processed sparse coefficient matrix as the clustering count in spectral clustering. This adaptive clustering, content-driven, augments segmentation accuracy.

## 2. RELATED WORK

Given a dataset  $X = [x_1, x_2, \dots, x_N] \in R^{d \times N}$  of  $N$  dimensional samples  $x_i, i = 1, 2, \dots, N$ , assume its membership in the union of  $k$  linear subspaces  $S_{i=1}^k$ . The task of subspace clustering is to bifurcate this dataset into distinct sets, each aligned with a specific subspace.

### 2.1. Superpixel Processing

The relationship between pixel count, information density, and distribution in an image is intrinsically tied to its processing algorithm. To tailor image data for SSC, we employ a superpixel technique. Superpixels [14], essentially compact, semantically meaningful clusters of pixels, embody commonalities in features like texture, color, and luminance. By leveraging intra-pixel similarities, superpixels compactly encapsulate image characteristics, thereby streamlining computational overhead in subsequent stages. This form of preprocessing is pivotal in segmentation paradigms. Specifically, we adopt a superpixel algorithm paralleling the one in the Automatic Fuzzy Clustering Framework for Image Segmentation (AFCF) [15].

The pristine image, of dimensions  $321 \times 481 \times 3$ , houses 154401 pixels per channel, yielding a similarity matrix sized  $154401 \times 154401$ . This amounts to data scaling to  $10^{10}$ . Transforming the image via superpixel processing curtails pixel count to a few hundred, compressing the similarity matrix to an order of  $10^4$ . Consequently, superpixel processing

adeptly curbs data volume while retaining salient local image cues.

### 2.2. SSC Framework

Within the SSC paradigm, data points are conjectured to be linear combinations of other samples, encapsulated as  $X = XZ$ , with  $Z$  representing the sparse coefficient matrix, indicating inter-sample similarity. The canonical SSC formulation can be expressed as the ensuing optimization challenge, echoing the insights of [16]:

$$\begin{aligned} \min_{Z, E} J(Z) &= \Omega(Z) + \lambda \phi(E) \\ \text{s.t. } X &= XZ + E, Z \in R^{N \times N} \end{aligned} \quad (1)$$

Here,  $Z \in R^{N \times N}$  demarcates the constraints for matrix  $Z$ ,  $\Omega(Z)$  epitomizes the regularization term, and  $E$  typifies perturbations or anomalies. The data fidelity term,  $\phi(E)$ , quantifies the approximation quality between the represented data  $XZ$  and original dataset  $X$ .

## 3. GAUSSIAN REGULARIZED CONSTRAINED SSC MODEL

### 3.1. Model construction

Traditional  $l_1$ -norm SSC models often fall short in capturing the intricate relationships across various regions in images, thereby undermining their performance in natural image segmentation tasks. To tackle this limitation, we integrate a unique Gaussian regularization term, which prompts the data to be expressed linearly by its most akin counterpart. Specifically, we harness the Gaussian distribution's probability density function of the sparse coefficient matrix,  $Z$ , as this regularization term. It's mathematically described as:

$$\begin{aligned} Z_G(Z | M, \Sigma_n) &= \\ \frac{1}{(2\pi)^{N/2} \det^{1/2}(\Sigma_n)} \exp\left(-\frac{1}{2}(Z - \mu_n)^T \Sigma_n^{-1} (Z - \mu_n)\right) \end{aligned} \quad (2)$$

Wherein,  $Z = z_1, z_2, \dots, z_N$  is defined with  $M$  representing the mean matrix, and  $\Sigma_n$  is the corresponding covariance matrix. Both  $z_n 1 \leq n \leq N$  and  $\mu_n 1 \leq n \leq N$  are  $N$ -dimensional vectors.

Inspired by the intrinsic Gaussian nature of image features, we hypothesize that the coefficient matrix's relational data should similarly obey varying Gaussian distributions. Such associations can potentially be accentuated by strategically altering the mean values within this matrix. When  $M$  represents the average of matrix  $Z$ ,  $Z_G(Z | M, \Sigma_n)$  simplifies to  $Z_G^1(Z | M, \Sigma_n)$ . The latter effectively boosts the correlation for highly differentiated data, in line with the Gaussian function's inherent attributes. While this is

beneficial for tasks like image clustering, occasional anomalies might emerge. To counteract this, we also introduce a scenario where  $M = \mathbf{1}$  (an all-ones matrix), resulting in  $Z_G^2(Z | M, \Sigma_n)$ , amplifying the data's inherent distinctions. Through this dual formulation, the SSC's correlation representation becomes more dynamic and adjustable.

With these insights, our Gaussian regularized SSC for image segmentation is given by:

$$\begin{aligned} \min_{Z, A, E} & \|Z\|_1 + \lambda_1 \|A \odot Z_G^1\|_F^2 + \lambda_2 \|A \odot Z_G^2\|_F^2 + \delta \|E\|_1 \\ \text{s.t.} & X = XZ + E, A = Z - \text{diag}(Z) \end{aligned} \quad (3)$$

where

$$\begin{aligned} Z_G^1 &= Z_G^1(Z | M, \Sigma_n) \\ Z_G^2 &= Z_G^2(Z | M, \Sigma_n) \end{aligned}$$

$\lambda_1 > 0, \lambda_2 > 0$ , and  $\delta > 0$  signify the regularizing parameters, and  $\odot$  stands for Hadamard product. Solving this model yields the desired matrices,  $Z$  and  $E$ .

### 3.2. Optimization

To solve for the sparse coefficient matrix  $Z$  of Eq. 3, we employ the Alternating Direction Method of Multipliers (ADMM) [17]. Then its augmented Lagrangian function is given by

$$\begin{aligned} \mathcal{L}(Z, A, E, Y_1, Y_2) &= \|Z\|_1 + \lambda_1 \|A \odot Z_G^1\|_F^2 + \lambda_2 \|A \odot Z_G^2\|_F^2 + \delta \|E\|_1 \\ &+ \langle Y_1, X - XA - E \rangle + \langle Y_2, A - Z + \text{diag}(Z) \rangle \\ &+ \frac{\mu}{2} (\|X - XA - E\|_F^2 + \|A - Z + \text{diag}(Z)\|_F^2) \end{aligned} \quad (4)$$

where  $\langle \cdot \rangle$  represents dot product,  $Y_1$  and  $Y_2$  are the matrices of Lagrange multipliers,  $\lambda_1$  and  $\lambda_2$  are the control coefficients of Gaussian regularization,  $\delta$  is the anomaly coefficient, and  $\mu > 0$  is a penalty parameter. For each of the five matrices  $Z, C, E, Y_1$ , and  $Y_2$  to be solved in Eq. 4, the cost function is convex if the remaining four matrices are kept fixed. We update each of them alternately while keeping the others fixed.

(1) *Update for Z*: We update  $Z$  by solving the following problem,

$$\begin{aligned} Z^{t+1} &= \arg \min_Z \|Z\|_1 + \langle Y_2^t, A^t - Z + \text{diag}(Z) \rangle \\ &+ \frac{\mu^t}{2} \|A^t - Z + \text{diag}(Z)\|_F^2 \\ &= \arg \min_Z \|Z\|_1 + \|Z - \text{diag}(Z) - A^t - \frac{Y_2^t}{\mu^t}\|_F^2 \\ &= S_{\frac{1}{\mu^t}}(A^t + \frac{Y_2^t}{\mu^t}) \end{aligned} \quad (5)$$

where  $S_\tau(\cdot)$  is the shrinkage thresholding operator.

(2) *Update for A*:

$$\begin{aligned} A^{t+1} &= \arg \min_A \lambda_1 \|A \odot Z_G^1\|_F^2 + \lambda_2 \|A \odot Z_G^2\|_F^2 \\ &+ \langle Y_1^t, X - XA - E^t \rangle \\ &+ \langle Y_2^t, A - Z^{t+1} + \text{diag}(Z^{t+1}) \rangle \\ &+ \frac{\mu^t}{2} \|X - XA - E^t\|_F^2 \\ &+ \frac{\mu^t}{2} \|A - Z^{t+1} + \text{diag}(Z^{t+1})\|_F^2 \\ &= \arg \min_A \frac{\lambda_1}{\mu^t} \|A \odot Z_G^1\|_F^2 \\ &+ \frac{\lambda_2}{\mu^t} \|A \odot Z_G^2\|_F^2 \\ &+ \|Z^{t+1} - \text{diag}(Z^{t+1}) - A^t - \frac{Y_2^t}{\mu^t}\|_F^2 \\ &+ \|X - XA - E^t + \frac{Y_1^t}{\mu^t}\|_F^2 \end{aligned} \quad (6)$$

It can be found that the Eq. 6 is a convex optimization problem, and we can obtain the optimal solution for  $A$  by deriving Eq. 6.

$$\begin{aligned} A^{t+1} &= (X^T X + I - \frac{\lambda_1}{\mu^t} (Z_G^1)^2 - \frac{\lambda_2}{\mu^t} (Z_G^2)^2)^{-1} \\ &\cdot (X^T (X - E^t + \frac{Y_1^t}{\mu^t}) + Z^{t+1} - \text{diag}(Z^{t+1}) - \frac{Y_2^t}{\mu^t}) \end{aligned} \quad (7)$$

(3) *Update for E*:

$$\begin{aligned} E^{t+1} &= \arg \min_E \delta \|E\|_1 + \langle Y_1^t, X - XA^{t+1} - E \rangle \\ &+ \frac{\mu}{2} \|X - XA^{t+1} - E\|_F^2 \\ &= \arg \min_E \frac{\delta}{\mu^t} \|E\|_1 + \|X - XA^{t+1} - E + \frac{Y_1^t}{\mu^t}\|_F^2 \\ &= S_{\frac{\delta}{\mu^t}} \left( X - XA^{t+1} + \frac{Y_1^t}{\mu^t} \right) \end{aligned} \quad (8)$$

(3) *Update for  $Y_1$  and  $Y_2$* : The Lagrange multiplier matrices  $Y_1$  and  $Y_2$  are updated based on a gradient descent method:

$$Y_1^{t+1} = Y_1^t + \mu^t (X - XA^{t+1} - E^{t+1}) \quad (9)$$

$$Y_2^{t+1} = Y_2^t + \mu^t (A - Z^{t+1} + \text{diag}(Z^{t+1})) \quad (10)$$

For the sake of lucidity, we present Algorithm 1, which encapsulates the ADMM (Alternating Direction Method of Multipliers) approach for resolving the optimization problem outlined in Eq. (4).

We can address the optimization problem presented in Eq. 3 using Algorithm 1, which furnishes us with the sparse coefficient matrix  $Z$ . Subsequently, we implement spectral

**Algorithm 1:** ADMM for Solving Problem (3)

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**Data:**  $X \in R^{d \times N}$ ,  $\lambda_1$ ,  $\lambda_2$ , and  $\delta$   
**Result:**  $Z \in R^{N \times N}$

- 1  $Z^0 = A^0 = E^0 = V^0 = Y^0 \leftarrow 0 \in R^{N \times N}$ ,  $t \leftarrow 0$ ,  $\lambda_1 = 5$ ,  $\lambda_2 = 2$ ,  $\delta = 0.8$ ,  $\mu^0 = 0.1$ ,  $\rho = 2$ ,  $\epsilon = 10^{-8}$ ;
- 2 **while**  $\|X - X^{t+1} - E^{t+1}\|_\infty < \epsilon$  **do**
- 3     Update  $Z^{t+1}$ ,  $A^{t+1}$ , and  $E^{t+1}$  using Eq. 5, Eq. 7, and Eq. 8;
- 4     Update  $Y_1^{t+1}$  and  $Y_2^{t+1}$  using Eq. 9 and Eq. 10;
- 5      $\mu^{t+1} \leftarrow \rho\mu^t$ ;
- 6 **end**

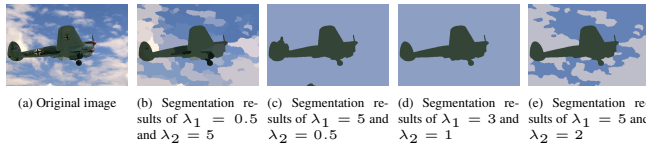
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clustering on the Laplacian matrix, derived from the similarity matrix  $W$ , where  $W = |Z| + |Z|^T$ . This leads us to the outcome of image clustering segmentation. Furthermore, to facilitate automated image segmentation, we leverage the rank of the sparse coefficient matrix  $Z$ —obtained through LRR—as the cluster count for the spectral clustering algorithm. This approach is predicated on the proficiency of LRR in capturing the global structure inherent in the image.

## 4. EXPERIMENTS

### 4.1. Evaluation of Gaussian Regularization

In Eq. 3, we enhance the  $l_1$ -norm SSC with two Gaussian regularization terms characterized by distinct means, modulated by parameters  $\lambda_1$  and  $\lambda_2$ . A comprehensive experimental series was executed to delineate the efficacy of these regularization terms and the sensitive dynamics influenced by the variable coefficients in image clustering.



**Fig. 2:** The effects of Gaussian regularization control coefficients  $\lambda_1$  and  $\lambda_2$  on the image segmentation results.

Fig. 2 delineates the segmentation ramifications of different  $\lambda_1$  and  $\lambda_2$  configurations: At  $\lambda_1 = 0.5$  and  $\lambda_2 = 5$ , Fig. 2(b) evidences a palpable over-segmentation, with numerous superpixels remaining disparate. Contrarily,  $\lambda_1 = 5$  and  $\lambda_2 = 0.5$  induce a substantial amalgamation of highly differentiated superpixels, as seen in Fig. 2(c), fostering suboptimal segmentation. A near-ideal concordance with the ground truth is achieved in Fig. 2(d) with parameters  $\lambda_1 = 3$  and  $\lambda_2 = 1$ . Fig. 2(e) optimally leverages empirically determined parameter values to attain superior segmentation outcomes.

Our experiments substantiate that a higher emphasis on

$Z_G^2$  attenuates the constraining influence of the regularization term, a phenomenon grounded in its facilitative role in heightening differentiation amongst image regions. On the other hand, a pronounced  $Z_G^1$  influence fosters enhanced correlation between distinct regions, albeit risking over-aggregation with excessive weighting as manifested in Fig. 2(c). Optimal metric performance, evidenced through rigorous evaluations, is observed with coefficients at  $\lambda_1 = 5$  and  $\lambda_2 = 2$ .

Furthermore, Table 1 showcases the proposed algorithm exhibiting improved fit and enhanced correlation representation in  $l_1$ -norm SSC when compared to the traditional SSC model, validating the robust augmentative role of the Gaussian regularization term in enhancing image segmentation performance.

**Table 1:** Performance comparison of different algorithms on the BSDS500 and SBD datasets. The best values are highlighted.

Methods	PRI $\uparrow$		VoI $\downarrow$		GCE $\downarrow$		BDE $\downarrow$	
	BSDS500	SBD	BSDS500	SBD	BSDS500	SBD	BSDS500	SBD
SSC	0.68	0.70	2.33	2.01	0.18	<b>0.16</b>	19.98	18.17
SFFCM	0.72	0.74	2.31	1.93	0.26	0.20	14.35	11.57
AFCF	0.74	0.79	2.05	1.85	0.22	0.17	12.95	11.08
AF-graph	0.79	0.78	<b>2.01</b>	1.78	0.21	0.19	13.01	10.91
OURS	<b>0.79</b>	<b>0.81</b>	2.20	<b>1.78</b>	<b>0.17</b>	0.18	<b>12.88</b>	<b>10.56</b>

### 4.2. Experiments on BSDS500 and SBD Datasets

To validate the superiority of our proposed method, we benchmarked on the BSDS500 [18] and SBD [19] datasets. Evaluation was rigorous, adopting four prevalent metrics [20]: PRI, VoI, GCE, and BDE. We juxtaposed our results with four state-of-the-art techniques: SSC, AFCF [15], AF-graph [20], and SFFCM [21].

Table 1 presents the performance comparison between the proposed algorithm and the comparative algorithms across four evaluation metrics. On the BSDS500 dataset, our proposed algorithm showcases excellence in PRI, GCE, and BDE metrics, but trails behind the comparative algorithms AF-graph and AFCF in terms of the VoI metric. Moreover, on the SBD dataset, our method exhibits superior performance in PRI, VoI, and BDE metrics. However, it does not match the performance of the comparative algorithms SSC and AFCF regarding the GCE metric. In conclusion, our proposed method outperforms the comparative methodologies and yields high-quality segmentation results in unsupervised image clustering.

## 5. CONCLUSION

This paper introduces an adaptive Gaussian regularization constrained sparse subspace clustering technique tailored for

image segmentation, addressing the  $l_1$ -norm SSC's limitations. Harnessing Linear Robust Representation, we encapsulate global structural information, guiding adaptive clustering through the rank of its coefficient matrix. Experimental validations underscore the algorithm's superiority in revealing intricate correlations within diverse image regions, pushing the frontier of image segmentation performance.

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