

Analysis, classification and construction of APN Boolean functions

Irene Villa

University of Trento

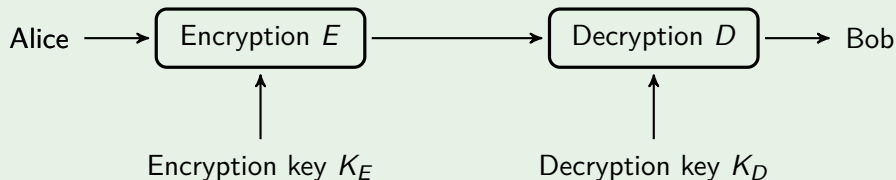
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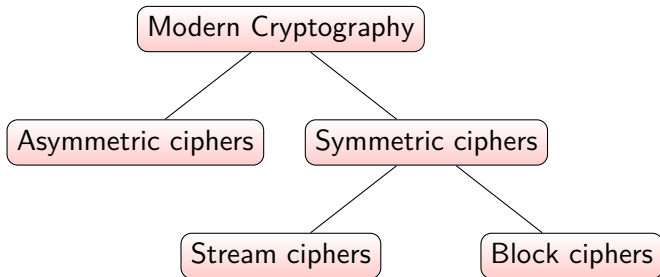
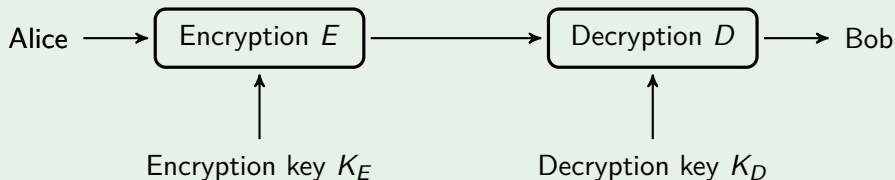
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- 2 APN isotopic shift construction
- 3 Generalised isotopic shift
- 4 Equivalence of known APN families
- 5 Planar isotopic shift construction
- 6 Conclusions

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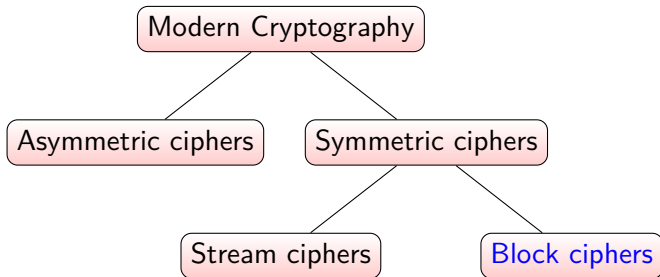
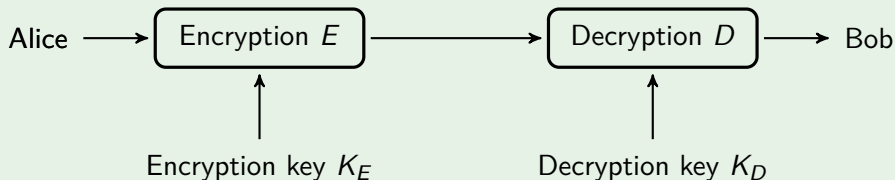
Cryptography



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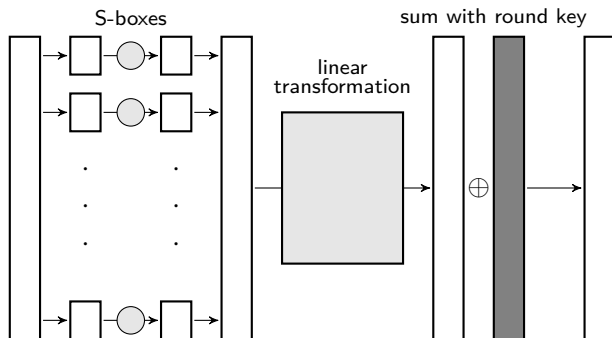


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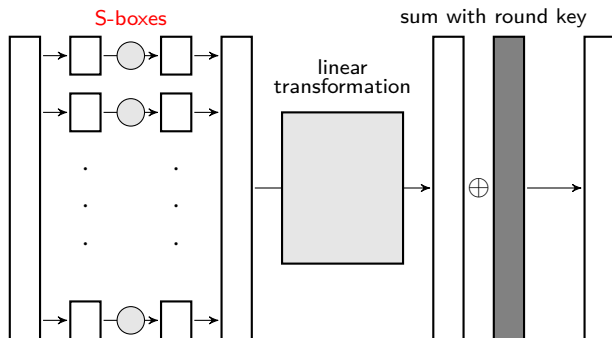


Block ciphers

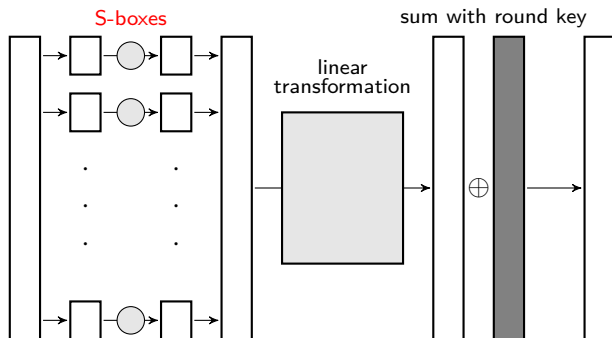
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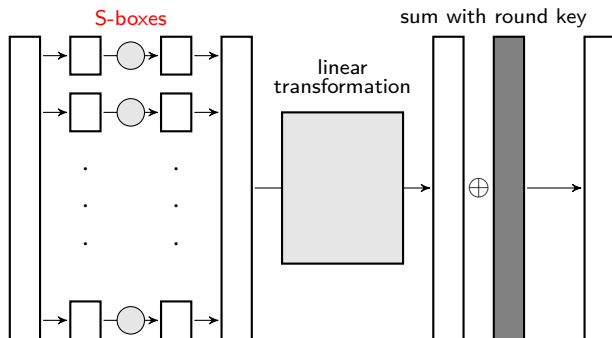
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Substitution box (S-box)

- vectorial Boolean function
- nonlinear
- often invertible
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 - ▶ F is a permutation if $\text{Im}(F) = \mathbb{F}_{2^n}$

Vectorial Boolean function $F : \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^n}$

Univariate representation of F

$$F(x) = \sum_{i=0}^{2^n-1} b_i x^i, \quad b_i \in \mathbb{F}_{2^n}$$

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- F **linear** if $F(x) = \sum_{i=0}^{n-1} b_i x^{2^i}$
- F **affine** if $F = \text{linear} + \text{constant}$
- F **DO polynomial** if $F(x) = \sum_{i,j=0}^{n-1} b_{ij} x^{2^i+2^j}, i < j$
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Example of linear function

The trace map: $\text{Tr}(x) = x + x^2 + x^{2^2} + \dots + x^{2^{n-1}}$

Differential uniformity

Important cryptographic property related to the differential attack

Differentially δ -uniform

For $F : \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^n}$

$$\delta = \max_{a, b \in \mathbb{F}_{2^n} a \neq 0} |\{x \in \mathbb{F}_{2^n} : F(x + a) + F(x) = b\}|$$

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F is called **almost perfect nonlinear (APN)** if $\delta = 2$

Differential uniformity

Equivalence relations

Differential uniformity is invariant under the following equivalence relations

linear equivalence $F \stackrel{lin}{\sim} A_1 \circ F \circ A_2$ (A_1, A_2 linear permutations)

affine equivalence $F \stackrel{aff}{\sim} A_1 \circ F \circ A_2$ (A_1, A_2 affine permutations)

EA-equivalence $F \stackrel{EA}{\sim} F' + A$ ($F' \stackrel{aff}{\sim} F$, A affine)
(extended affine)

CCZ-equivalence $F \stackrel{CCZ}{\sim} G$ if $\Gamma_G = \mathcal{L}(\Gamma_F)$
(Γ_F graph of F , \mathcal{L} affine permutation)

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- construction methods of optimal functions
- classification of optimal functions
- invariants

On APN functions over \mathbb{F}_{2^n}

1994



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- 6 families of power APN functions

Known APN power functions $F(x) = x^d$ over \mathbb{F}_{2^n}

Name	Exponent d	Conditions	Degree
Gold	$2^i + 1$	$\gcd(i, n)=1$	2
Kasami	$2^{2i} - 2^i + 1$	$\gcd(i, n)=1$	$i+1$
Welch	$2^t + 3$	$n = 2t + 1$	3
Niho	$2^t + 2^{\frac{t}{2}} - 1, t \text{ even}$ $2^t + 2^{\frac{3t+1}{2}} - 1, t \text{ odd}$	$n = 2t + 1$	$\frac{t+2}{2}$ $t+1$
Inverse	$2^{2t} - 1$	$n = 2t + 1$	$n - 1$
Dobbertin	$2^{4i} + 2^{3i} + 2^{2i} + 2^i - 1$	$n = 5i$	$i + 3$

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- 16 families of quadratic APN functions

Known classes of quadratic APN polynomial over \mathbb{F}_{2^n}

CCZ-inequivalent to power functions

N°	APN function over \mathbb{F}_{2^n}	Conditions
C1- C2	$x^{2^s+1} + \alpha^{2^k-1}x^{2^{ik}+2^{mk+s}}$	$n = pk$, $\gcd(k, 3) = \gcd(s, 3k) = 1$, $p \in \{3, 4\}$, $i = sk \pmod p$, $m = p - i$, $n \geq 12$, α primitive in $\mathbb{F}_{2^n}^*$
C3	$x^{2^{2i}+2^i} + bx^{q+1} + cx^{q(2^{2i}+2^i)}$	$q = 2^m$, $n = 2m$, $\gcd(i, m) = 1$, $\gcd(2^i + 1, q + 1) \neq 1$, $cb^q + b \neq 0$, $c \notin \{\lambda^{(2^i+1)(q-1)}, \lambda \in \mathbb{F}_{2^n}\}$, $c^{q+1} = 1$
C4	$x(x^{2^i} + x^q + cx^{2^iq}) +$ $x^{2^i}(c^qx^q + sx^{2^iq}) + x^{(2^i+1)q}$	$q = 2^m$, $n = 2m$, $\gcd(i, m) = 1$, $c \in \mathbb{F}_{2^n}$, $s \in \mathbb{F}_{2^n} \setminus \mathbb{F}_q$, $X^{2^i+1} + cX^{2^i} + c^qX + 1$ is irreducible over \mathbb{F}_{2^n}
C5	$x^3 + a^{-1}\text{Tr}_n(a^3x^9)$	$a \neq 0$
C6	$x^3 + a^{-1}\text{Tr}_n^3(a^3x^9 + a^6x^{18})$	$3 n$, $a \neq 0$
C7	$x^3 + a^{-1}\text{Tr}_n^3(a^6x^{18} + a^{12}x^{36})$	$3 n$, $a \neq 0$
C8- C10	$ux^{2^s+1} + u^{2^k}x^{2^{-k}+2^{k+s}} +$ $vx^{2^{-k}+1} + wu^{2^k+1}x^{2^s+2^{k+s}}$	$n = 3k$, $\gcd(k, 3) = \gcd(s, 3k) = 1$, $v, w \in \mathbb{F}_{2^k}$, $vw \neq 1$, $3 (k+s)$, $\mathbb{F}_{2^n}^* = \langle u \rangle$

N°	APN function over \mathbb{F}_{2^n}	Conditions
C11	$dx^{2^i+1} + d^q x^{q(2^i+1)} + cx^{q+1} + \sum_{s=1}^{m-1} \gamma_s x^{2^s(q+1)}$	$q = 2^m, n = 2m, \gcd(i, m) = 1, i, m$ odd, $c \notin \mathbb{F}_{2^m}, \gamma_s \in \mathbb{F}_{2^m}, d$ not a cube
C12	$(x + x^q)^{2^j+1} + u'(ux + u^q x^q)^{(2^j+1)2^j} + u(x + x^q)(ux + u^q x^q)$	$q = 2^m, n = 2m, m \geq 2$ even, $\gcd(i, m) = 1$ and j even $\mathbb{F}_{2^n}^* = \langle u \rangle, u' \in \mathbb{F}_{2^m}$ not a cube
C13	$ut(x)(x^q + x) + t(x)^{2^{2i}+2^{3i}} + at(x)^{2^{2i}}(x^q + x)^{2^i} + b(x^q + x)^{2^i+1}$	$q = 2^m, n = 2m, \gcd(i, m) = 1$ $a, b \in \mathbb{F}_{2^m}, u \notin \mathbb{F}_{2^m}, t(x) = u^q x + x^q u$ and $X^{2^i+1} + aX + b$ has no solution over \mathbb{F}_{2^m}
C14	$x^3 + ax^{2^k(2^i+1)} + bx^{3 \cdot 2^m} + cx^{2^{n+k}(2^i+1)}$	$n = 2m = 10, (a, b, c) = (\beta, 1, 0), i = 3, k = 2, \mathbb{F}_4^* = \langle \beta \rangle$
		$n = 2m, m$ odd, $3 \nmid m, (a, b, c) = (\beta, \beta^2, 1), \mathbb{F}_4^* = \langle \beta \rangle, i \in \{m-2, m, 2m-1, (m-2)^{-1} \bmod n\}$
C15	$u[t(x)^{2^j+1} + t(x)(x^q + x)^{2^i} + (x^q + x)^{2^j+1}] + t(x)^{2^{2i}+1} + t(x)^{2^{2i}}(x^q + x) + (x^q + x)^{2^{2i}+1}$	$q = 2^m, n = 2m, \gcd(3i, m) = 1,$ $\mathbb{F}_{2^n}^* = \langle u \rangle, t(x) = u^q x + ux^q$
C16	$u[t(x)^{2^j+1} + t(x)(x^q + x)^{2^i} + (x^q + x)^{2^j+1}] + t(x)^{2^{3i}}(x^q + x) + t(x)(x^q + x)^{2^{3i}}$	m odd, $q = 2^m, n = 2m, \gcd(3i, m) = 1,$ $\mathbb{F}_{2^n}^* = \langle u \rangle, t(x) = u^q x + ux^q$

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- complete classification of quadratic APN functions with coefficients in \mathbb{F}_2 for $n = 8, 9$
- (not exhaustive) lists of CCZ-inequivalent quadratic APN functions for $n = 8, 9, 10$

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The big APN problem

- Construct other APN permutations in even dimension
- Construct an infinite family of APN permutations for even dimensions

Open problems and research interests

- The big APN problem
- Construct other infinite families of APN functions
- Increase the lists of known CCZ-inequivalent APN functions
- Find an equivalence relation more general than CCZ-equivalence
- Provide new CCZ/EA-invariants to help determining the equivalence between functions

Combination of theoretical analysis with computational results

List of papers

① **Constructing APN functions through isotopic shifts**

Lilya Budaghyan, Marco Calderini, Claude Carlet, Robert Coulter and I.V., *IEEE Transaction on Information Theory*, vol. 66, no. 8, pp. 5299-5309, 2020.

② **Generalised isotopic shift construction for APN functions**

Lilya Budaghyan, Marco Calderini, Claude Carlet, Robert Coulter and I.V., *Designs, Codes and Cryptography*, 2020.

③ **On equivalence between known families of quadratic APN functions**

Lilya Budaghyan, Marco Calderini and I.V., *Finite Fields and their Applications*, vol. 66, 2020.

④ **Isotopic shift construction for planar functions**

Lilya Budaghyan, Marco Calderini, Claude Carlet, Robert Coulter and I.V., *2019 IEEE International Symposium on Information Theory (ISIT)*, pp. 2962-2966, 2019.

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Planar functions over \mathbb{F}_{p^n}

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For any $a \in \mathbb{F}_{p^n}^*$, $b \in \mathbb{F}_{p^n}$ $F(x+a) - F(x) = b$ has at most one solution.

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- linear, affine, EA- and CCZ-equivalence

Isotopic equivalence

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Theorem

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The isotopic shift of F by L

$$F_L(x) = F(x + L(x)) - F(x) - F(L(x))$$

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Isotopic shift applied to APN maps

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Some properties

- For $F \in \mathbb{F}_{2^n}[x]$ quadratic function and L linear, F_L is APN only if L is a permutation or 2-to-1.
- The isotopic shift does not preserve the differential uniformity.

Isotopic shift of Gold functions

For F a Gold function $F(x) = x^{2^i+1}$,

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When L is a monomial

All such APN maps F_L over \mathbb{F}_{2^n} for $3 \leq n \leq 12$ are affine equivalent to some Gold maps.

$$F_L(x) = x^{2^i} L(x) + xL(x)^{2^i}$$

For $n = km$, $L(x) = \sum_{i=0}^{k-1} b_i x^{2^{im}}$ is a 2^m -polynomial.

Theorem

Characterisation of APN maps F_L over \mathbb{F}_{2^n} with $n = km$, $\gcd(n, i) = 1$ and L a 2^m -polynomial.

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Constructed APN functions over \mathbb{F}_{2^n} for $n = 6, 8, 9, 12, 18$.

- For $n = 9$ ($k = m = 3$) and $i = 1$, constructed F_L APN and not CCZ-equivalent to any known map (**new**).
- For $n = 8$ ($k = 4$, $m = 2$) and $i = 3$ constructed $F_L \stackrel{EA}{\sim} x^9 + \text{Tr}(x^3)$, APN map known since 2006 but not part of any known family (**unclassified**).

$$F_L(x) = x^{2^i} L(x) + xL(x)^{2^i}$$

For $n = km$, $L(x) = \sum_{i=0}^{k-1} b_i x^{2^{im}}$ is a 2^m -polynomial.

Theorem

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Conjecture

The Theorem covers APN functions for an infinite number of dimensions n .

The case $n = 6$

The isotopic shift connects any two quadratic APN maps over \mathbb{F}_{2^6}

For any $F, G \in \mathbb{F}_{2^6}[x]$ quadratic APN functions there exist L, L' linear maps with L permutation and L' 2-to-1 such that F_L and $F_{L'}$ are EA-equivalent to G .

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Isotopic shift with L linear

$$F_L(x) = F(x + L(x)) + F(x) + F(L(x)).$$

When F is a Gold map,

$$F_L(x) = xL(x)^{2^i} + x^{2^i}L(x).$$

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Isotopic shift with L linear not linear

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Theorem

Characterisation of APN maps $xL_1(x)^{2^i} + x^{2^i}L_2(x)$ over \mathbb{F}_{2^n} with $n = km$, $\gcd(i, m) = 1$ and L_1, L_2 2^m -polynomials.

- For $n = 8$ ($k = 4, m = 2$) with L_1, L_2 with coefficients over \mathbb{F}_{2^4} many APN functions constructed (**several unclassified**).
- For $n = 9$ ($k = m = 3$) with L_1, L_2 with coefficients over \mathbb{F}_{2^3} many APN functions constructed (**15 new** and **one unclassified**).

F_L with L not necessarily linear

F_L with L not necessarily linear

Theorem

Over \mathbb{F}_{2^n} with n odd, F any known APN power function (except Dobbertin) there exists a monomial $L(x) = ax^d$ and a Gold map $G(x) = x^{2^i+1}$ such that $G_L \stackrel{EA}{\sim} F$.

New EA-invariant

New EA-invariant

$$F : \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^n}$$

$$S(F) = \{b \in \mathbb{F}_{2^n} : \exists a \in \mathbb{F}_{2^n} \text{ s.t. } \mathcal{W}_F(a, b) = 0\}$$

Proposition

Let N_i be the number of \mathbb{F}_2 -vector subspaces of \mathbb{F}_{2^n} contained in $S(F)$ of dimension i . The values N_i for $i = 0, \dots, n$ are EA-invariant.

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Known classes of quadratic APN polynomial over \mathbb{F}_{2^n} CCZ-inequivalent to power functions

N°	Functions	N°	Functions
C1-C2	$x^{2^s+1} + u^{2^k-1}x^{2^{ik}+2^{mk+s}}$	C12	$(x + x^q)^{2^i+1} +$ $u'(ux + u^qx^q)^{(2^i+1)2^j} +$ $u(x + x^q)(ux + u^qx^q)$
C3	$x^{2^{2i}+2^j} + cx^{q+1} + dx^{q(2^{2i}+2^j)}$		
C4	$x(x^{2^i} + x^q + cx^{2^iq}) +$ $x^{2^i}(c^qx^q + sx^{2^iq}) + x^{(2^i+1)q}$		
C5	$x^3 + a^{-1}Tr(a^3x^9)$	C13	$(u^{q+1}x + u^2x^q)(x^q + x) +$ $(u^qx + ux^q)^{2^{2i}+2^{3i}} + b(x^q + x)^{2^i+1} +$ $a(u^qx + ux^q)^{2^{2i}}(x^q + x)^{2^i}$
C6	$x^3 + a^{-1}Tr_n^3(a^3x^9 + a^6x^{18})$	C14	$x^3 + ax^{2^k(2^i+1)} +$ $bx^{3 \cdot 2^m} + cx^{2^{n+k}(2^i+1)}$
C7	$x^3 + a^{-1}Tr_n^3(a^6x^{18} + a^{12}x^{36})$		
C8-C10	$ux^{2^s+1} + u^{2^k}x^{2^{-k}+2^{k+s}} +$ $vx^{2^{-k}+1} + wu^{2^k+1}x^{2^s+2^{k+s}}$		
C11	$dx^{2^i+1} + d^qx^{q(2^i+1)} +$ $cx^{q+1} + \sum_{s=1}^{m-1} \gamma_s x^{2^s(q+1)}$	C15	$u[t(x)^{2^i+1} + t(x)(x^q + x)^{2^i} + (x^q + x)^{2^i+1}]$ $+ t(x)^{2^{2i}+1} + t(x)^{2^{2i}}(x^q + x) + (x^q + x)^{2^{2i}+1}$
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APN functions over \mathbb{F}_{2^n} :

- (C3)¹ $cx^{2^m+1} + x^{2^{2i}+2^i} + dx^{2^m(2^{2i}+2^i)}$

with $n = 2m$, $\gcd(i, m) = 1$, $d^{2^m+1} = 1$, $d \notin \{\lambda^{(2^i+1)(2^m+1)} : \lambda \in \mathbb{F}_{2^n}\}$, $dc^{2^m} + c \neq 0$.

- (C11)² $cx^{2^m+1} + \sum_{s=1}^{m-1} \gamma_s x^{2^s(2^m+1)} + dx^{2^i+1} + d^{2^m} x^{2^m(2^i+1)}$

with $n = 2m$, i odd, $\gcd(m, i) = 1$, $c \notin \mathbb{F}_{2^m}$, d not a cube, $\gamma_s \in \mathbb{F}_{2^m}$.

¹L. Budaghyan, C. Carlet *Classes of quadratic APN trinomials and hexanomials and related structures*. IEEE Transaction on Information Theory 54, 5 (2008).

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with $n = 2m$, m odd, $i > j$, $\gcd(i - j, m) = 1$, $d^{2^m+1} = 1$, $d \notin \{\lambda^{(2^i+2^j)(2^m-1)} : \lambda \in \mathbb{F}_{2^n}\}$, $dc^{2^m} + c \neq 0$,
 $d = \gamma_l^{1-2^m}$.

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Theorem

The families $C3$, $C3^$, $C11$, $C11^*$ coincide and they are included in $C4$. In particular, the hexanomials ($C4$) admit a representation as pentanomials*

$$H(x) = dx^{2^m+1} + x^{2^i+1} + x^{2^m(2^i+1)} + cx^{2^{m+i}+1} + c^{2^m}x^{2^m+2^i},$$

$n = 2m$, $\gcd(m, i) = 1$, $d \notin \mathbb{F}_{2^m}$ and $x^{2^i+1} + cx^{2^i} + c^{2^m}x + 1 = 0$ has no solution x such that $x^{2^m+1} = 1$.

The updated list

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F3	$sx^{q+1} + x^{2^i+1} + x^{q(2^i+1)} +$ $cx^{2^i q+1} + c^q x^{2^i+q}$	F12	$(u^{q+1}x + u^2x^q)(x^q + x) +$ $(u^q x + ux^q)^{2^{2i}+2^{3i}} + b(x^q + x)^{2^i+1} +$ $a(u^q x + ux^q)^{2^{2i}}(x^q + x)^{2^i}$
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F7-F9	$ux^{2^s+1} + u^{2^k}x^{2^{-k}+2^{k+s}} +$ $vx^{2^{-k}+1} + wu^{2^k+1}x^{2^s+2^{k+s}}$	F14	$u[t(x)^{2^i+1} + t(x)(x^q + x)^{2^i} + (x^q + x)^{2^i+1}]$ $+ t(x)^{2^{2i}+1} + t(x)^{2^{2i}}(x^q + x) + (x^q + x)^{2^{2i}+1}$
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\mathcal{S} is a ring with left and right distributivity and no zero divisor (not necessarily associative).

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Isotopic equivalence

$\mathcal{S}_1 = (\mathbb{F}_{p^n}, +, \star)$ and $\mathcal{S}_2 = (\mathbb{F}_{p^n}, +, *)$ are **isotopic equivalent** if there exist M, N, L linear permutations such that for any $x, y \in \mathbb{F}_{p^n}$

$$T(x \star y) = M(x) * N(y).$$

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For p odd:

- Given \mathcal{S} commutative, then $F_{\mathcal{S}}(x) = \frac{1}{2}x \star x \in \mathbb{F}_{p^n}[x]$ is planar DO.
- Given $F \in \mathbb{F}_{p^n}[x]$ planar DO polynomial, then $\mathcal{S}_F = (\mathbb{F}_{p^n}, +, \star)$ with $x \star y = F(x + y) - F(x) - F(y)$ is a commutative presemifield.

Quadratic planar functions $F : \mathbb{F}_{p^n} \rightarrow \mathbb{F}_{p^n}$

$$\begin{array}{ccc} \mathcal{S}_1 = (\mathbb{F}_{p^n}, +, \star) & \xrightarrow[\substack{\text{isotopic equivalent} \\ T(x \star y) = M(x) * N(y)}} & \mathcal{S}_2 = (\mathbb{F}_{p^n}, +, *) \\ \updownarrow & & \updownarrow \\ F_{\mathcal{S}_1} & & F_{\mathcal{S}_2} \end{array}$$

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- Isotopic equivalence can be extended to planar DO polynomials;
[F, G isotopic equivalent if $\mathcal{S}_F, \mathcal{S}_G$ isotopic equivalent]
- Isotopic equivalence is more general than CCZ-equivalence;
[CCZ-equivalence corresponds to $M = N$]
- two planar functions are CCZ-equivalent if and only if they are EA-equivalent.

Isotopic shift of quadratic planar maps over \mathbb{F}_{p^n}

$$F_L(x) = F(x + L(x)) - F(x) - F(L(x))$$

- It describes completely (up to EA-equivalence) the isotopic equivalence $(F \stackrel{isot}{\sim} G \rightarrow G \stackrel{EA}{\sim} F_L$ for some L linear permutation).

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- It can connect planar maps not isotopic equivalent.

$$F(x) = x^2, L(x) = x^{p^j} \rightarrow F_L(x) = 2x^{p^j+1} \text{ (Albert function)}$$

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Isotopic shift of quadratic planar maps over \mathbb{F}_{p^n}

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- If F_L is planar then L must be a permutation.

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$$\begin{array}{ccc}
 & F_L \stackrel{EA}{\sim} G & \\
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The isotopic shift (together with EA-transformation), even when applied to quadratic planar functions, is not an equivalence relation.

Isotopic shift over \mathbb{F}_{p^n}

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Proposition ($F(x) = x^2$)

For $n = 3m$ and L a p^m -polynomial with coefficients in \mathbb{F}_{p^m} , if $F_L(x) = 2xL(x)$ is planar then it is affine equivalent to x^2 or to x^{p^m+1} .

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Theorem

Characterisation of planar maps $xL_1(x)^{p^i} + x^{p^i}L_2(x)$ over \mathbb{F}_{p^n} with $n = km$, $m/\gcd(m, i)$ odd and L_1, L_2 p^m -polynomials.

- 1 Introduction to cryptography and APN Boolean functions
- 2 APN isotopic shift construction
- 3 Generalised isotopic shift
- 4 Equivalence of known APN families
- 5 Planar isotopic shift construction
- 6 Conclusions

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Possible future works

- study further the isotopic shift construction over \mathbb{F}_{2^n}
 - ▶ construct new families of differentially 4-uniform permutations
- study further the isotopic shift construction over \mathbb{F}_{p^n}
 - ▶ construct new planar families

Thank you for your attention