# IL PRINCIPIO DI INDETERMINAZIONE DAL PUNTO DI VISTA DELLA TEORIA DEI CODICI

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# **OUTLINE**

- PRELIMINARIES
- 2 Asymptotic performance of G-codes
- 3 The classical uncertainty principle
- 4 Uncertainty principle and cyclic codes
- $\bullet$  Uncertainty principle and G-codes
- 6 CONCLUSION AND OUTLOOK
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# Preliminaries

K finite field of cardinality q.

#### Basic definitions

- A g-ary linear code C of length n is a subspace of  $K^n$ .
- For  $c = (c_1, \ldots, c_n) \in \mathcal{C}$  (codeword), the (Hamming) support of c is

$$\operatorname{supp}(c) = \{i \in \{1, \dots, n\} \mid c_i \neq 0\}$$

and wt(c) = #supp(c) (weight).

#### PARAMETERS

Parameters:  $[n, k, d]_a$ .

•  $d = d(C) = \min_{c \in C} \operatorname{wt}(c)$  (minimum distance).

• R = k/n (information rate).

# **PRELIMINARIES**

 $G \neq \{1_G\}$  finite group.

#### **DEFINITION**

A G-code (or a group code) over K is a right ideal in the group algebra

$$KG = \left\{ a = \sum_{g \in G} a_g g \mid a_g \in K \right\}.$$

#### **DEFINITION**

- $G = C_m$  (cyclic group of order m)  $\Rightarrow$  cyclic code.
- $G = D_{2m}$  (dihedral group of order 2m)  $\Rightarrow$  **dihedral code**.
- $G = C_m \times C_r$  (metacylic group of order rm)  $\Rightarrow$  metacyclic code.

# **PRELIMINARIES**

#### REMARK

If #G = n, fix an ordering  $G = \{g_1, \dots, g_n\}$ , then

$$\varphi: KG \xrightarrow{\sim} K^n$$

$$\sum_{i=1}^n a_i g_i \mapsto (a_1, \dots, a_n).$$

The isomorphism is not canonical!

Different orderings yield permutation equivalent codes.

Via  $\varphi$ :

G-codes  $\leadsto$  Linear codes.

Hamming metric in KG  $\Longleftrightarrow$  Hamming metric in  $K^n$ .

Inner product in KG  $\Longleftrightarrow$  Inner product in  $K^n$ .

Action of G  $\Longrightarrow$  Permutation automorphism (regular) subgroup.

## **PRELIMINARIES**

#### EXAMPLES

- The self-dual [24, 12, 8] **Golay code** is a  $S_4$ -code (Bernhardt, Landrock and Manz 1990) and a  $D_{24}$ -code (McLoughlin and Hurley 2008).
- The self-dual [48, 24, 12] **extended quadratic residue code** is a  $D_{48}$ -code.
- The self-dual [72, 36, 16] code (if it exists!) is not a group code, since  $\#\mathrm{PAut}(\mathcal{C}) \leqslant 5$  (B., Willems and many others).
- The  $[12,6,6]_3$  Golay code  $\mathcal G$  is not a group code, even if  $\#\mathrm{PAut}(\mathcal G)=660.$
- The **Reed-Muller codes**  $\mathcal{RM}_p(r,m) = J^{m(p-1)-r}$  (p prime), with J Jacobson radical (intersection of maximal ideals) of KG, where G is elementary abelian of rank m (Berman 1967 and Charpin 1988).

# ASYMPTOTIC PERFORMANCE OF G-CODES

#### **DEFINITION**

A family of codes  $\mathcal{F}$  is called **asymptotically good** if it exists an infinite set  $\{C_n\}_{n\in\mathcal{I}}\subseteq\mathcal{F}$  of  $[n,k_n,d_n]_q$  codes such that

$$R = \liminf_{n \to \infty} k_n/n > 0 \ \ (\text{asymptotic rate}),$$

 $\delta = \liminf_{n \to \infty} d_n/n > 0$  (asymptotic relative minimum distance).

OPEN PROBLEM (Assmus, Mattson, Turyn - 1966) Is the family of cyclic codes asymptotically good?

# ASYMPTOTIC PERFORMANCE OF G-CODES

#### THEOREM (LIN, WELDON - 1967)

Long (particular) BCH codes are bad.

## THEOREM (BERMAN - 1967)

Cyclic codes are bad if only finitely many primes are involved in the lengths of the codes.

#### THEOREM (BABAI, SHPILKA, STEFANKOVIC - 2005)

- There are no good cyclic LDPC (low density parity check) codes.
- There are no good cyclic locally testable codes.

## OPEN PROBLEM (ASSMUS, MATTSON, TURYN - 1966)

Is the family of cyclic codes asymptotically good? Maybe not!

# ASYMPTOTIC PERFORMANCE OF G-CODES

Theorem (Bazzi, Mitter - 2006, Solé et al. - 2016)

Binary dihedral codes are asymptotically good.

THEOREM (B., WILLEMS - 2020)

 $C_p \times C_q$ -codes over K are asymptotically good.

THEOREM (B., MOREE, SOLÉ - 2020)

Assuming Artin's conjecture for primitive roots in arithmetic progression (true under GRH), metacyclic codes are aymptotically good.

OPEN PROBLEM (ASSMUS, MATTSON, TURYN - 1966)

Is the family of cyclic codes asymptotically good? Maybe yes!

G finite abelian group and  $f: G \to \mathbb{C}$ .

#### **DEFINITION**

The **dual group** of G is

$$\hat{G} = \{\text{homomorphisms } \chi : G \to \mathbb{S}^1\} \cong G$$

where  $\mathbb{S}^1 = \{ z \in \mathbb{C} \mid |z| = 1 \}.$ 

#### **DEFINITION**

The **Fourier transform** of f is  $\hat{f}:\hat{G}\to\mathbb{C}$  defined by

$$\hat{f}(\chi) = \frac{1}{\#G} \sum_{g \in G} f(g) \overline{\chi(g)}$$

$$\operatorname{supp}(f) = \{g \in G \mid f(g) \neq 0\}.$$

## THEOREM (DONOHO, STARK - 1989)

Every  $f: G \to \mathbb{C}$ ,  $f \neq 0$ , satisfies

$$\#\operatorname{supp}(f) \cdot \#\operatorname{supp}(\hat{f}) \geqslant \#G.$$

## (Uncertainty Principle)

Stronger version for  $G = C_p$ , observed first by Meshulam.

## THEOREM (GOLDSTEIN, GURALNICK, ISAAC / TAO - 2005)

Every  $f: C_p \to \mathbb{C}$ ,  $f \neq 0$ , satisfies

$$\#\operatorname{supp}(f) + \#\operatorname{supp}(\hat{f}) \geqslant p + 1.$$

(Uncertainty Principle for simple cyclic group)

- $f: G \to \mathbb{C} \longleftrightarrow \sum_{g \in G} f(g)g \in \mathbb{C}G$
- $\mathbb{C}C_p = \mathbb{C}[x]/(x^p-1)$  and  $f = a_0 + a_1x + \ldots + a_{p-1}x^{p-1}$ .
- $\hat{C}_p \cong \mu_p(\mathbb{C}) = \{\zeta \in \mathbb{C} \mid \zeta^p = 1\}$  by  $\chi \mapsto \chi(1)$  and

$$\hat{f}(\zeta) = \frac{1}{p}(a_0 + a_1\zeta^{-1} + \ldots + a_{p-1}\zeta^{-(p-1)})$$

• Let  $\mathcal{I}_f = (f)$  in  $\mathbb{C}[x]/(x^p-1)$ , with  $f|x^p-1$ . Then

$$\dim \mathcal{I}_f = p - \deg(f) = p - \#zeros(f) = \#supp(\hat{f}).$$

## THEOREM (Uncertainty Principle reformulated)

Every  $f \in \mathbb{C}[x]/(x^p-1)$ ,  $f \neq 0$ , satisfies

$$\operatorname{wt}(f) + \dim \mathcal{I}_f \geqslant p + 1.$$

## COROLLARY (EVRA, KOWALSKI, LUBOTZKY - 2017)

Cyclic codes over  $\mathbb{C}$  are asymptotically good.

#### PROOF

Let  $\zeta_p$  is a primitive p-th root of unity and

$$f = \prod_{i=1}^{\frac{p-1}{2}} (x - \zeta_p^i).$$

Then  $\dim \mathcal{I}_f = p - \deg(f) = \frac{p+1}{2}$  and for  $h \in \mathcal{I}_f$ ,  $h \neq 0$ ,

$$\operatorname{wt}(h) \geqslant p+1-\dim \mathcal{I}_h \geqslant p+1-\dim \mathcal{I}_f = \frac{p+1}{2}.$$

So  $\mathcal{I}_f$  is a  $[p,\frac{p+1}{2},\frac{p+1}{2}]_{\mathbb{C}}$  cyclic code.

# Uncertainty principle and cyclic codes

#### What about finite fields?

#### DEFINITION

$$\mu(K, n) = \min\{d(\mathcal{I}_f) + \dim \mathcal{I}_f \mid f \in K[x]/(x^n - 1)\}.$$

- $\mu(\mathbb{C}, p) = p + 1$  for all prime p.
- $\mu(K, n) \leq n + 1$  (Singleton bound).
- $\mu(K, p) = p + 1$  if q is primitive modulo p, i.e.  $\operatorname{ord}_{p}(q) = p 1$ .

## DEFINITION (EVRA, KOWALSKI, LUBOTZKY - 2017)

K satisfies the (strong) Uncertainty Principle if for all prime p

$$\mu(K, p) = p + 1.$$

# Uncertainty principle and cyclic codes

## THEOREM (B., SOLÉ - 2020)

Assume MDS conjecture. If q is not primitive modulo p and p > q + 2, then

$$\mu(K, p)$$

#### **PROOF**

• q is not primitive modulo  $p \Rightarrow$  it exists  $f|x^p - 1$  such that

$$1 < \deg(f) < p - 1$$
, i.e.  $1 < \dim \mathcal{I}_f < p - 1$ .

- By contradiction,  $d(\mathcal{I}_f) + \dim \mathcal{I}_f \geqslant \mu(K, p) \geqslant p + 1$  $\Rightarrow \mathcal{I}_f$  is MDS of length p, non-trivial.
- MDS conjecture  $\Rightarrow p \leqslant q + 2$ .

Something similar is true without MDS conjecture (e.g. nontrivial MDS codes have length at most 2q - 2). So, the (strong) UP is not true for any K.

# Uncertainty Principle and Cyclic codes

#### **DEFINITION** (Weak Uncertainty Principle)

Let  $0 < \varepsilon < \lambda \leqslant 1$ . K satisfies the  $(\varepsilon, \lambda)$ -Uncertainty Principle if there exists an infinite set of primes  $\mathcal P$  such that for all  $p \in \mathcal P$ ,

- $\mu(K, p) > \lambda p$
- $\operatorname{ord}_p(q) < \varepsilon p$ .

## THEOREM (EVRA, KOWALSKI, LUBOTZKY - 2017)

If K satisfies the  $(\varepsilon,\lambda)$ -Uncertainty Principle, then cyclic codes over K are asymptotically good.

#### Idea:

- $\mu(K, p) > \lambda p \Rightarrow$  we can find ideals with large distance.
- $\operatorname{ord}_p(q) < \varepsilon p \Rightarrow \text{we can find ideals with large dimension.}$

# Uncertainty principle and cyclic codes

## Proposition (B., Solé - 2020)

If K satisfies the  $(\varepsilon,\lambda)$ -Uncertainty Principle, then  $\lambda<\frac{q-1}{q}$ .

#### Proof

- There exists a sequence of cyclic codes of length  $p \in \mathcal{P}$ , asymptotic rate R and asymptotic relative distance  $\delta$ .
- $p\delta + pR \geqslant \mu(K, p) > \lambda p$ .
- $\lambda < \min\{\delta + \alpha_q(\delta)\}$ , where  $\alpha_q(\delta)$  is the largest possible rate of a code of relative distance  $\delta$ .
- Asymptotic Plotkin bound  $\Rightarrow \min\{\delta + \alpha_q(\delta)\} = \frac{q-1}{q}$ .

# Does it exist any K satisfying the Weak Uncertainty Principle for some $\varepsilon, \lambda$ ?

# UNCERTAINTY PRINCIPLE AND CYCLIC CODES

Generalization of Donoho-Stark:

Proposition (B., Solé - 2020)

For  $f \neq 0$ ,

$$\operatorname{wt}(f) \cdot \operatorname{wt}(\hat{f}) \geq n.$$

(Naive Uncertainty Principle)

Proof: BCH bound.

#### **COROLLARY**

Let  $\mathcal{I}_f = (f)$ , with  $f \neq 0$ . Then

$$d(\mathcal{I}_f) \cdot \dim \mathcal{I}_f \geqslant n$$
.

# Uncertainty principle and cyclic codes

## THEOREM (B., SOLÉ - 2020)

For every real number  $0 < \alpha < 1/2$ , there are sequences of cyclic codes of asymptotic rate R with minimum distance  $\Omega(n^{\alpha})$ .

#### Proof

- $n = q^p 1$ , with p prime.
- $x^n 1 = \prod_{a \neq 0} (x a) \prod_{i=1}^s f_i$ , with  $f_i$  irreducible of degree p.
- $g_I = \prod_{i \in I} f_i$ , with #I = [s(1-R)].
- $\mathcal{I}_{g_I} = (g_I)$  has asymptotic rate R.
- Calculate  $\Lambda_n \geqslant \#\{\text{codes containing a codewords of weight at most } n^{\alpha}\}$  (using naive UP).
- Prove that asymptotically  $\Lambda_n \cdot \#B_0(n^\alpha) \leq \#\{\text{possible } g_l\}$ .

#### REMARK

The square-root bound is a similar result for QR codes (only for  $R \leq 1/2$ ).

# Uncertainty principle and G-codes

## What about general *G*-codes?

#### **DEFINITION**

Let  $\emptyset \neq S \subseteq G$ . A sequence  $g_1, \ldots, g_t$  in G has **right** S-**rank** t if

$$Sg_i = \{sg_i \mid s \in S\} \nsubseteq \bigcup_{j < i} Sg_i \quad \forall i \in \{2, \dots, t\}.$$

For any  $f \in KG$ ,

- $T_f: KG \to KG$  the map  $v \mapsto fv$ .
- $\mathcal{I}_f = \operatorname{Im}(T_f)$ .

#### LEMMA

Let  $0 \neq f \in KG$  and  $S = \operatorname{supp}(f)$ . If  $\exists$  a sequence in G with right S-rank  $t \Rightarrow$ 

$$\dim \mathcal{I}_f = \operatorname{rank}_K(T_f) \geqslant t.$$

# Uncertainty principle and G-codes

Generalization of Meshulam - 1992.

THEOREM (B., WILLEMS, ZINI - 2022)

For any  $0 \neq f \in KG$ ,

$$|\operatorname{supp}(f)| \cdot \operatorname{rank}_{K}(T_{f}) \geqslant |G|.$$

#### **COROLLARY**

For any nonzero G-code C,

$$d(\mathcal{C}) \cdot \dim \mathcal{C} \geqslant |\mathcal{G}|. \tag{1}$$

In particular,

$$2\sqrt{|G|} \leqslant d(C) + \dim C \leqslant |G| + 1.$$

# UNCERTAINTY PRINCIPLE AND G-CODES

#### EXAMPLES

• Let  $\mathcal{C}$  be the self-dual [24, 12, 8] Golay code, which is an  $S_4$ -code:

$$d(\mathcal{C}) \cdot \dim \mathcal{C} = 8 \cdot 12 = 96 > |G|.$$

• Let  $C = \mathcal{RM}(r, m)$ , which is a G-code, for G an elementary abelian 2-group of rank m:

$$d(\mathcal{C}) \cdot \dim \mathcal{C} = 2^{m-r} \cdot \sum_{i=0}^{r} \binom{m}{i} \geqslant 2^{m-r} \cdot \sum_{i=0}^{r} \binom{r}{i} = 2^{m} = |G|.$$

## THEOREM (B., WILLEMS, ZINI - 2022)

A G-code  $\mathcal C$  satisfies  $d(\mathcal C) \cdot \dim \mathcal C = |G| \Leftrightarrow \exists H \leqslant G \text{ and } c \in KH \text{ s.t. } |H| = d(\mathcal C),$  cKH has dimension 1 and  $\mathcal C = cKG$ .

# CONCLUSION AND OUTLOOK

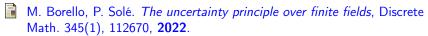
#### CONCLUSION

- We presented arguments for and against the existence of asymptotically good families of cyclic codes.
- We presented different versions of the **uncertainty principle** and the relation with the problem above.
- "Almost good" cyclic codes of any asymptotic rate.
- Algebraic structure of the zeros of a cyclic code ⇒ BCH bound.
- Algebraic structure of the zeros of a G-code, with G abelian and KG semisimple ⇒ Shift bound (Feng, Hollmann, Xiang - 2019)

#### **OUTLOOK**

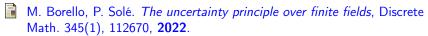
- How to define "zeros" of C in relation to the submodules of C, and hence to dim C for general G-codes?
- Can we get **bounds better than** (1) for some families of *G*-codes?
- Other asymptotically good or "almost good" families of G-codes as before?

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# Thank you very much for the attention!