### Permutation groups and security of block ciphers

Marco Calderini

June 7, 2021

### Block ciphers

Let  $V = (\mathbb{F}_2)^n$  be the set of messages.

### Block cipher

A block cipher  $\mathcal C$  is a set of (bijective) encryption functions.

$$\{\varphi_k\}_{k\in\mathcal{K}}\subseteq \mathrm{Sym}(V),$$

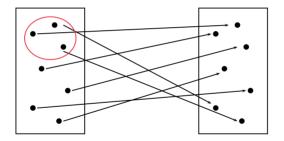
each of which is individuated by a key k in the space  $\mathcal{K} = (\mathbb{F}_2)^{\kappa}$ .

Most block ciphers are iterated block ciphers, where  $\varphi_k$  is the composition of many key-dependent permutations, known as round functions

A Block Cipher is considered secure if an attacker cannot understand  $\varphi_k$  (or k) from

$$\{(P,\varphi_k(P))\}_{P\in X}$$

with X small subset of V, even if X is chosen by the attacker.



We would like that the most efficient attack is equivalent to trying all the possible keys (**brute force attack**).

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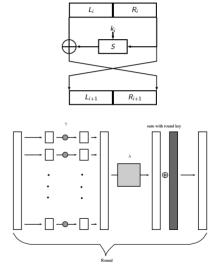
### Iterated Block Cipher

#### Round of Feistel Network

$$\left(\begin{array}{cc}0&1\\1&S\end{array}\right)\sigma_{(0,k_i)}$$

Round of Translation Based (TB) Cipher (more commonly called SPN)

$$\gamma \lambda \sigma_{k_i}$$



### Translation Based Cipher

Let  $\mathcal{C}=\{\varphi_k\mid k\in\mathcal{K}\}$  be a block cipher acting on  $V=V_1\oplus\cdots\oplus V_s$ , with  $V_i=\mathbb{F}_2^m$  for  $i=1,\ldots,s$ .

#### Definition

An element  $\gamma \in \operatorname{Sym}(V)$  is called a **parallel S-box** of V if there exist some  $\gamma_i$ 's in  $\operatorname{Sym}(V_i)$  (called S-boxes) s.t. for all  $v = (v_1 \oplus \cdots \oplus v_s) \in V$ 

$$v\gamma=v_1\gamma_1\oplus\cdots\oplus v_s\gamma_s,$$

#### Definition

A block cipher  $\mathcal{C} = \{\varphi_k \mid k \in \mathcal{K}\}$  is called **translation based (TB)** if each  $\varphi_k$  is the composition of r round functions  $\varphi_{k,h}$ , for  $k \in \mathcal{K}$ , and  $h = 1, \ldots, r$ , where in turn each round function can be written as a composition  $\gamma_h \lambda_h \sigma_{k_h}$  of three permutations of V, with

- $\triangleright \gamma_h$  is a parallel S-box depending on the round
- $\triangleright$   $\lambda_h$  is a linear permutation depending on the round
- $\triangleright$   $\sigma_{k_h}$  is the translation by  $k_h$  depending on the key k and the round

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### Weaknesses based on properties of permutation groups

Let  $\mathcal C$  be an r-round iterated block cipher acting on V. The group generated by the encryption functions

$$\Gamma(\mathcal{C}) \stackrel{\text{def}}{=} \langle \varphi_k \in \operatorname{Sym}(V) \mid k \in \mathcal{K} \rangle$$

can reveal dangerous weaknesses of the cipher:

- ▶ the group is too small (Kaliski, Rivest and Sherman, 1998)
- the group acts imprimitively on the message space (Paterson, 1999)
- the group is of affine type (Calderini and Sala, 2015)

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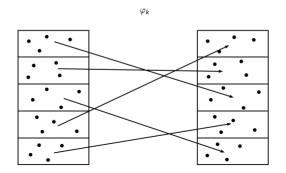
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Since  $\Gamma(\mathcal{C})$  depends on the key-schedule algorithm it is hard to study this, in general. So, usually, we study the group generated by the round functions

$$\Gamma_{\infty}(\mathcal{C}) \stackrel{\scriptscriptstyle\mathsf{def}}{=} \langle arphi_{k,h} \in \operatorname{Sym}(V) \mid k \in \mathcal{K}, h = 1, \dots, r \rangle$$

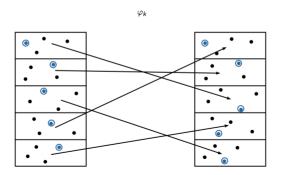
A finite group G is called *imprimitive* in its action on V if there exists a non-trivial partition of V,  $\mathcal{B}$ , such that  $Bg \in \mathcal{B}$ , for every  $B \in \mathcal{B}$  and  $g \in G$ .

If  $\Gamma_{\infty}(\mathcal{C})$  acts imprimitively on V then it is possible to introduce a trapdoor on  $\mathcal{C}$ . There exists a (non-trivial) partition  $\mathcal{B}$  of V such that for any encryption function  $\varphi_k \in \Gamma_{\infty}$   $B\varphi_k \in \mathcal{B}$  for all  $B \in \mathcal{B}$ .



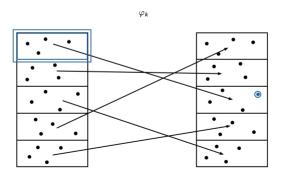
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#### Attack cost

The preprocessing costs  $\ell$  encryptions. For any intercepted ciphertext, the search for the corresponding plaintext is limited to a block, whose size is  $\frac{|V|}{\ell}$ , requiring at most  $\frac{|V|}{\ell}$  encryptions.

### Affine type

Cryptographers easily construct a cipher C s.t.  $\varphi_k \notin \mathrm{AGL}(V,+)$  for any key k, but there could be a hidden sum  $\circ$  s.t.:

 $(V,\circ)$  is a vector space and  $arphi_k\in \mathrm{AGL}(V,\circ)$ 

### Affine type

Cryptographers easily construct a cipher C s.t.  $\varphi_k \notin AGL(V, +)$  for any key k, but there could be a hidden sum  $\circ$  s.t.:

$$(V,\circ)$$
 is a vector space and  $arphi_k\in \mathrm{AGL}(V,\circ)$ 

Let us focus on round functions. Then the question is:

Is there any operation  $\circ$  s.t.  $(V, \circ)$  is a vector space and

$$\Gamma(\mathcal{C}) \subseteq \Gamma_{\infty}(\mathcal{C}) \subseteq \mathrm{AGL}(V, \circ)$$
?

Let  $T_+ \subset \mathrm{Sym}(V)$  be the usual translation group. Let T be an elementary abelian regular group, then T is a translation group with respect to some operation  $\circ$ . Indeed,

- ▶  $T = \{\tau_a \mid a \in V\}$  where  $\tau_a$  is the unique map in T such that  $0 \mapsto a$ .
- ightharpoonup define  $x \circ a := x\tau_a$ ,

so  $(V, \circ)$  is an additive group and it is an  $\mathbb{F}_2$ -vector space.

 $T_{\circ} = T_{+}^{g}$  for some  $g \in \text{Sym}(V)$ , and  $\text{AGL}(V, \circ) = \text{AGL}(V, +)^{g}$ .

### Properties that o should satisfy

We would like to understand which types of sums  $\circ$  could produce an efficient attack.

#### Remark

$$T_+ < \Gamma_\infty(\mathcal{C})$$
.

- $ightharpoonup T_+ < \mathrm{AGL}(V, \circ)$
- $\blacktriangleright$  we want to compute  $x \circ y$  in an efficient way

We focused on  $T_{\circ} < AGL(V, +)$ .

Let  $T_{\circ} < \mathrm{AGL}(V, +)$ , then  $T_{\circ} \cap T_{+} \neq \{1_{V}\}$ . We can define the (non trivial) vector space

$$U(T_{\circ}) = \{ v \mid \sigma_{v} \in T_{\circ} \cap T_{+} \}$$

where  $\sigma_{v}: x \mapsto x + v$ .

Not all the dimensions are possible for the space U:

### **Proposition**

Let  $T_{\circ} \subseteq \mathrm{AGL}(V,+)$ . If  $T_{\circ} \neq T_{+}$ , then  $1 \leq \dim(U(T_{\circ})) \leq n-2$ .

Wlog,  $U(T_{\circ})$  is spanned by the last elements of the canonical basis. In that case we obtain:

#### **Theorem**

Let 
$$V = \mathbb{F}_2^{n+k}$$
, with  $n \ge 2$ ,  $k \ge 1$ , and  $T_\circ \subseteq \mathrm{AGL}(V,+)$  be such that  $U(T_\circ) = \mathrm{Span}\{e_{n+1},\ldots,e_{n+k}\}$ . Then,

for all  $\tau_v = \kappa_v \cdot \sigma_v \in T_o$  there exists an  $n \times k$  matrix  $B_v$  s.t.

$$T_+ \subseteq \mathrm{AGL}(V, \circ)$$

$$\kappa_{\mathbf{v}} = \left[ \begin{array}{cc} I_{n \times n} & B_{\mathbf{v}} \\ 0 & I_{k \times k} \end{array} \right].$$

#### Attack with hidden sum

#### **Theorem**

If  $T_{\circ} \subseteq AGL(V,+)$  and  $T_{+} \subseteq \mathrm{AGL}(V,\circ)$ , then there exists a procedure of polynomial time complexity that for all  $v \in V$  returns

$$[\alpha_1,\ldots,\alpha_n],$$

where  $\alpha_i \in \mathbb{F}_2$  s.t.  $v = \alpha_1 v_1 \circ \cdots \circ \alpha_n v_n$  for a fixed basis of  $(V, \circ)$ .

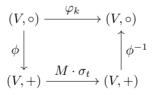
#### Remark

We are able to compute efficiently  $\phi: (V, \circ) \to (V, +)$  isomorphism of vector spaces.

Suppose  $\Gamma_{\infty} \subseteq AGL(V, \circ)$ , then for all  $k \in \mathcal{K} \varphi_k \in AGL(V, \circ)$ .

- $\triangleright$  choose the vector  $v_1, \ldots, v_n$  of the basis as in the previous Theorem,
- ightharpoonup compute  $[0\varphi_k], [v_1\varphi_k], \ldots, [v_n\varphi_k],$
- lacktriangle consider the affinity  $M \cdot \sigma_t$  s.t.  $M_i = [v_i \varphi_k]$  and  $t = [0 \varphi_k]$
- ▶ for all  $v \in V$  we have  $[v\varphi_k] = [v]M + t$  and  $[v\varphi_k^{-1}] = ([v] + t)M^{-1}$ .

We can reconstruct the encryption/decryption function using only n+1 ciphertexts



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$$(V, \circ) \xrightarrow{\varphi_k} (V, \circ)$$

$$\phi \downarrow \qquad \qquad \uparrow \phi^{-1}$$

$$(V, +) \xrightarrow{M \cdot \sigma_t} (V, +)$$

Question: Can we identify properties on the components of a cipher so that the groups associated to them is secure with respect to these attacks?

Let  $f: (\mathbb{F}_2)^s \to (\mathbb{F}_2)^s$ . Given  $u, v \in (\mathbb{F}_2)^s$  we define

$$\delta(f)_{u,v} \stackrel{\text{def}}{=} |\{x \in (\mathbb{F}_2)^s \mid x\hat{f}_u = xf + (x+u)f = v\}|$$

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► The differential uniformity of *f* is

$$\delta(f) \stackrel{\text{def}}{=} \max_{u,v \in (\mathbb{F}_2)^s, u \neq 0} \delta(f)_{u,v},$$

and f is said differentially  $\delta$ -uniform if  $\delta(f) = \delta$  ( $\delta = 1$ : PN;  $\delta = 2$ : APN).

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and f is said differentially  $\delta$ -uniform if  $\delta(f) = \delta$  ( $\delta = 1$ : PN;  $\delta = 2$ : APN).

► A function *f* satisfying

$$|\operatorname{Im}(\hat{f}_u)| > \frac{2^{s-1}}{\delta}$$

for each  $u \in (\mathbb{F}_2)^s \setminus \{0\}$  is called weakly differentially  $\delta$ -uniform ( $\delta = 2$ : weakly-APN).

▶  $f: (\mathbb{F}_2)^s \to (\mathbb{F}_2)^s$  is strongly I-anti-invariant, with  $0 \le I \le s-1$ , if, for any two subspaces U and W of  $(\mathbb{F}_2)^s$  such that Uf = W, then either  $\dim(U) = \dim(W) < s-I$  or  $U = W = (\mathbb{F}_2)^s$ .

### **Proposition**

Let  $f: (\mathbb{F}_2)^s \to (\mathbb{F}_2)^s$  be a permutation. f is strongly 1-anti-invariant iff is the nonlinearity of f is not zero.

### Properties of the mixing layer

Let  $V = V_1 \oplus \cdots \oplus V_b$ ,  $V_i \simeq (\mathbb{F}_2)^s$  called *bricks*.

- ▶  $\lambda \in \operatorname{GL}(V)$  is a proper mixing layer if no direct sum of bricks properly contained in V (called wall) is  $\lambda$ -invariant.
- lacktriangledown  $\lambda$  is a strongly proper mixing layer if there are no walls W and W' such that  $W\lambda = W'$ .

### Avoiding the imprimitive attack

### Theorem (Caranti, Dalla Volta, Sala)

Let  $\mathcal C$  be a TB cipher over  $V=(\mathbb F_2)^n$  such that  $\lambda$  is proper and, for some  $1\leq l < s$ , each S-Box is

- (i) weakly differentially 2<sup>l</sup>-uniform, and
- (ii) strongly I-anti-invariant.

Then  $\Gamma_{\infty}(\mathcal{C})$  is primitive.

From the O'Nan-Scott classification of finite primitive groups we have:

If G is a primitive permutation group of degree  $2^d$ , with  $d \ge 1$ , containing an abelian regular subgroup T, then:

- 1. *G* is of affine type, that is,  $G \leq AGL(d, 2)$ ;
- 2. *G* is a wreath product;
- 3.  $G \simeq \text{Alt}(2^d)$  or  $\text{Sym}(2^d)$ .

Note that  $T_+ < \Gamma_{\infty}(\mathcal{C})$ .

### Avoiding the affine type

 $f: (\mathbb{F}_2)^s \to (\mathbb{F}_2)^s$  is anti-crooked (AC, for short) if, for any  $u \in (\mathbb{F}_2)^s \setminus \{0\}$ ,  $\operatorname{Im}(\hat{f}_u)$  is not an affine subspace of  $(\mathbb{F}_2)^s$ .

### Theorem (Caranti, Dalla Volta, Sala)

Let  $\mathcal C$  be a TB cipher over  $V=(\mathbb F_2)^n$  such that  $\lambda$  is strongly proper and, for some  $1\leq l < s$ , each S-Box is AC and satisfies (i) and (ii). Then  $\Gamma_\infty(\mathcal C)$  is  $\mathrm{Alt}(V)$  or  $\mathrm{Sym}(V)$ .

The S-Boxes of AES and SERPENT satisfy the hypotheses of previous theorems. Hence,  $\Gamma_{\infty}({\rm AES})$  and  $\Gamma_{\infty}({\rm SERPENT})$  are  ${\rm Alt}((\mathbb{F}_2)^{128})$ .

Some lightweight ciphers (i.e., ciphers designed to run on devices with very low computing power), such as PRESENT, do not satisfy the hypotheses of previous theorems.

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Is  $\Gamma_{\infty}(PRESENT)$  primitive?

Is  $\Gamma_{\infty}(PRESENT)$  the alternating group?

# Avoinding the imprimitive attack PRESENT and Lightweight TB Ciphers

#### **Theorem**

Let  $\mathcal C$  be a TB cipher over  $(\mathbb F_2)^{bs}$  with a proper mixing layer. Suppose that, for some 1 < l < s, each S-Box is

- (i) differentially 2<sup>l</sup>-uniform, and
- (ii) strongly (I-1)-anti-invariant.

Then  $\Gamma_{\infty}(\mathcal{C})$  is primitive.

### Corollary

The group generated by the round functions of the lightweight ciphers PRESENT, RECTANGLE and PRINTcipher are primitive.

#### **Theorem**

Let  $\mathcal C$  be a TB cipher over  $V=(\mathbb F_2)^{bs}$ , with a strongly proper mixing layer such that the corresponding S-Boxes are AC and satisfy the hypotheses of the previous theorem. Then  $\Gamma_\infty(\mathcal C)=\operatorname{Alt}(V)$ .

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PRESENT S-Box does not satisfy AC condition

### Proposition

Let C be a TB cipher over  $V=(\mathbb{F}_2)^{bs}$ , with  $s\geq 3$  and  $b\geq 2$ . Suppose that there exists an S-Box  $\gamma_i$  such that

$$Alt(V_i) \subseteq \langle T(V_i), \gamma_i T(V_i) \gamma_i^{-1} \rangle.$$

If  $\Gamma_{\infty}(\mathcal{C})$  is primitive, then it is not of affine type.

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- (ii) strongly (I-1)-anti-invariant.

Suppose s = 3, 4 or 5, and  $b \ge 2$ . Then  $\Gamma_{\infty}(\mathcal{C}) = \mathrm{Alt}(V)$ .

### Corollary

The round functions of PRESENT, RECTANGLE and PRINTcipher generate the alternating group (l = 2).

### Starting to consider the key-schedule

We can consider another group associate to a cipher  $\mathcal{C}$ , the group of encryption functions with independent round keys (long-key scenario):

$$\Gamma_{ind} = \langle \gamma_1 \lambda_1 \sigma_{k_1} \cdot ... \cdot \gamma_r \lambda_r \sigma_{k_r} \mid k_i \in V \rangle.$$

Note that  $\Gamma \subset \Gamma_{ind} \subset \Gamma_{\infty}$ .

#### Why study $\Gamma_{ind}$ ?

It could happen that  $\Gamma_{\infty}$  seems secure but  $\Gamma_{ind}$  is not.

#### Remark

If  $\gamma_i \lambda_i$  are the same for all rounds then  $\Gamma_{ind} \lhd \Gamma_{\infty}$ . So if  $\Gamma_{\infty} \simeq \mathrm{Alt}(V)$  then  $\Gamma_{ind} = \Gamma_{\infty}$ .

### Group generated by encryptions with independent round keys

A partition  $\mathcal{L}(U) = \{U + v : v \in V\}$  with U subspace of V is called *linear* 

#### **Theorem**

If all encryption functions  $\gamma_1\lambda_1\sigma_{k_1}\cdot\ldots\cdot\gamma_r\lambda_r\sigma_{k_r}$  map a partition  $\mathcal P$  into  $\mathcal P'$  (for all round-keys  $k_i$ 's), then  $\mathcal P$  and  $\mathcal P'$  are linear. Moreover  $\mathcal A_i=(\mathcal A_{i-1})\gamma_i\lambda_i$  is linear for all i  $(\mathcal A_0=\mathcal P)$ .

#### **Theorem**

Let  $\mathcal C$  be a TB and there exists at least two consecutive round h and h+1 with  $\lambda_h$  strongly proper and the parallel S-boxes  $\gamma_h$  and  $\gamma_{h+1}$  are s.t.:

- $ightharpoonup \gamma_i 2^l$ -uniform,
- strongly (I-1)-anti-invariant Where 1 < t < m-1.

There do not exist  $\mathcal{P}$  and  $\mathcal{P}'$  (non-trivial) partitions such that  $\mathcal{P}\varphi_k = \mathcal{P}'$  for all k. In particular,  $\Gamma_{ind}(\mathcal{C})$  is primitive.

### Group generated by encryptions with independent round keys

#### **Theorem**

Let  $\mathcal C$  be a TB cipher over V. Suppose that there exists a brick  $\gamma_i$  (S-box) corresponding to the first round (h=1) such that

$$Alt(V_i) = \langle T_+(V_i), \gamma_i (T_+(V_i)) \gamma_i^{-1} \rangle$$

If  $\Gamma_{ind}(C)$  is primitive, then it is not of affine type.

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Open question: Is  $\Gamma_{ind} \simeq \text{Alt}(V)$ ?

### The Feistel case

#### Group generated by encryptions with independent round keys

Let 
$$\bar{\rho}_i = \begin{pmatrix} 0 & 1 \\ 1 & \rho_i \end{pmatrix}$$
. 
$$\Gamma_{ind}(\mathcal{F}) = \langle \bar{\rho}_1 \sigma_{(0,k_1)} \cdot ... \cdot \bar{\rho}_r \sigma_{(0,k_r)} \mid k_i \in V \rangle.$$

#### **Theorem**

$$T_+(V \times V) < \Gamma_{ind}(\mathcal{F})$$
. Moreover,  
 $\langle \bar{\rho}_1 \sigma_{(k_{1,1},k_{1,2})} \cdot \dots \cdot \bar{\rho}_r \sigma_{(k_{r,1},k_{r,2})} \mid (k_{i,1},k_{i,2}) \in V^2 \rangle < \Gamma_{ind}(\mathcal{F})$ .

### Corollary

Let  $\mathcal F$  be a Feistel network on  $V \times V$ . If all encryption functions  $\bar 
ho_1\sigma_{(0,k_1)} \cdot \ldots \cdot \bar 
ho_r\sigma_{(0,k_r)}$  map a partition  $\mathcal P$  into  $\mathcal P'$ , then  $\mathcal P$  and  $\mathcal P'$  are linear. Moreover  $\mathcal A_i = (\mathcal A_{i-1})\bar 
ho_i$  is linear for all i  $(\mathcal A_0 = \mathcal P)$ .

### Remark (Goursat's Lemma)

Let U be a subspace of  $V \times V$ . Then, there exist A, D < V and  $\phi$  morphism such that  $U = \{(a, a\phi + d) \mid a \in A, d \in D\}$ .

#### **Theorem**

Let  $\rho_1, \rho_2 \in \operatorname{Sym}(V) \setminus \operatorname{AGL}(V)$ . If  $\langle \bar{\rho}_1 \sigma_{(0,k_1)} \bar{\rho}_2 \sigma_{(0,k_2)} \mid k_1, k_2 \in V \rangle$  is imprimitive, then there exist  $U_1, W_1, U_2, W_2 < V$  such that  $\mathcal{L}(U_1)\rho_1 = \mathcal{L}(W_1)$  and  $\mathcal{L}(U_2)\rho_2 = \mathcal{L}(W_2)$ .

### Corollary

 $\Gamma_{\infty}(\mathcal{F})$  is primitive iff for some round i,  $\langle \rho_i, T_+(V) \rangle$  is primitive.

### Excluding some partitions

#### **Theorem**

Let  $\mathcal{F}$  be a Feistel network, with  $\rho_1, \ldots, \rho_r \in \operatorname{Sym}(V)$ . Let us assume that  $0\rho_i = 0$  and  $\rho_i = \gamma_i \lambda_i$ , where

- a)  $\gamma_i$  is a parallel map which applies  $2^{\delta}$ -differentially uniform and  $(\delta-1)$ -strongly anti-invariant S-boxes, for some  $\delta < s$ , where s denotes the dimension of each brick,
- b)  $\lambda_i$  a linear strongly-proper mixing layer.

Suppose that there exists a sequence of r+1 non-trivial linear partitions  $\mathcal{L}(\mathcal{U}_1),\ldots,\mathcal{L}(\mathcal{U}_{r+1})$ , where  $\mathcal{U}_i$  is a proper and non-trivial subgroup of  $V\times V$  and  $\mathcal{L}(\mathcal{U}_i)\bar{\rho}_i=\mathcal{L}(\mathcal{U}_{i+1})$  for all  $1\leq i\leq r$ . Then, none of the following condition is satisfied:

- 1. there exists  $1 \leq i \leq r-1$  such that  $\mathcal{L}(\mathcal{U}_{i+1})\bar{\rho}_{i+1} = \mathcal{L}(\mathcal{U}_i)$ ,
- 2. there exists  $1 \le i \le r-1$  such that  $\mathcal{U}_i = A_i \times D_i$ ,  $\mathcal{U}_{i+1} = A_{i+1} \times D_{i+1}$  and  $\mathcal{U}_{i+2} = A_{i+2} \times D_{i+2}$ ,

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- 3. there exists  $1 \le i \le r$  such that  $D_i = \{0\}$  and  $D_{i+1} = \{0\}$ ,
- 4. there exists  $1 \le i \le r$  such that  $A_i = \{0\}$  and  $A_{i+1} = \{0\}$ .

Marco Calderini June 7, 2021

#### Open questions:

- ▶ Prove that  $\Gamma_{ind}(\mathcal{F})$  is primitive.
- ▶ Prove that we can avoid affine type for  $\Gamma_{ind}(\mathcal{F})$  or  $\Gamma_{\infty}(\mathcal{F})$
- ▶ Prove that  $\Gamma_{ind}(\mathcal{F})$  or  $\Gamma_{\infty}(\mathcal{F})$  are  $\mathrm{Alt}(V \times V)$ .

## Thanks for your attention!