

Efficient Extraction of True Random Numbers from Quantum System on Resource Constrained Hardware

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1 INTRODUCTION

In computer science, there are many applications for randomly generated numbers. From generating keys for cryptography, salting password hashes, load balancing in distributed systems, memory addressing and so much more. However, the process of producing these random numbers tends to be pseudo-random, e.g. utilizing the current states of various modules [3]. Pseudo-random numbers do not generate true randomness, and in order to heighten security, other methods of generating random numbers are required. Current random number generators (*RNG*) are usually implemented in computer programs, using certain states of the host machine as a starting point before running a predetermined algorithm [3]. This pseudo-random number generation (*PRNG*) comes with the drawback that the result is always deterministic, provided that the initial state is known.

Imagine, then, if a malicious attacker somehow manages to ascertain the state a computer was in when it generated a random number, for instance to produce an SSH-key. This attacker then has the opportunity to accurately reproduce the exact, deterministic state that produced said random number, in essence removing the safety that randomness brings. While it may sound unrealistic, the exponential increase in processing power and the burgeoning field of quantum computing does introduce the possibility that one day, what we perceive as random is nothing more than a simple algorithm to crack.

True random numbers, then, cannot be produced solely through code. These systems require some input that is neither replicable nor reproducible. One method that can be realistically used is the inherently random movement of lava lamps [14], which is used as a backup source of randomness for Cloudflare¹. Another proposed solution for this is quantum random number generation (*QRNG*) [5]. By reading quantum fluctuation signals from any given source, for instance an optical signal, the inherent natural unpredictability of said signal can be harnessed in order to produce a random number from a state that is nigh impossible to reproduce accurately. Clason [2] presents a device that generates a fluctuating analogue signal utilizing this method, which we will

use as a basis for our work.

Further details about how this signal is produced and sampled will be introduced in section 2 and 3. The optical signal output by the device described in [2] needs to be converted to a stream of random, raw bits via an Analog to Digital Converter (*ADC*). Some post-processing of the said raw bits has to be performed in order to ensure that the bits are workable, and to remove potential deterministic patterns from the data. One method for this post-processing we will explore in this work is Toeplitz extraction [16], typically performed on the host computer utilizing the randomly generated numbers.

QRNG Analogue output → ADC-converter → post-processing

Clason proposes a simpler and cheaper way to achieve QRNG [2]. In keeping with this, utilizing microcontrollers rather than a host computer for processing the raw bits extracted further helps to keep the costs low and the solution reasonably complex. Additionally, this allows the system to be self-contained, for instance a simple and portable USB-device which both generates and processes true random numbers. Further details regarding the microcontrollers used in our work will be outlined in section 3.

However, due to the lower processing power of the average microcontroller, any implementation of Toeplitz extraction needs to work quickly and efficiently despite this hardware constraint. Experimenting with efficient implementations of this well-defined algorithm is the main focus of this thesis, and the key research areas explored in this work are as follows:

Research area 1 (RA1): How can Toeplitz extraction be implemented as effectively as possible on resource constrained hardware in order to process raw bits into a workable random number?

Toeplitz extraction been optimized quite well, and previous research can be utilized for this. However, there are still considerations when implementing the firmware for the microcontroller in order to optimize the code. Our goal is to attempt several implementations in order to find the most optimal implementation with the least amount of effective processing time spent on the algorithm.

Research area 2 (RA2): Can we ensure that the output of random numbers is not primarily limited primarily by our implementation, but rather limited by the processing power or the USB transfer speed of the microcontroller, alternatively by the ADC?

¹[Cloudflare.com](https://cloudflare.com), accessed 2025-03-10

There will unequivocally be a bottleneck for the processing speed. For instance, the speed at which the ADC can process the optical signal into raw bits as well as the speed that the USB output can transfer processed random number to the host computer will be limiting factors. Further details on the limitations of the ADC will be outlined in section 3. The slowest of these bottlenecks will inevitably be the limiting factor for any implementation. Our research aims to ensure that our implementation of Toeplitz extraction does not become the limiting factor, but rather processing data fast enough to match or exceed the speed of the hardware.

Section 2 of this article will introduce the theory that allows for QRNG, and how this will be utilized in our works. Section 3 delves further into the hardware and algorithms our work will use, with related works in optimizing Toeplitz extraction listed under section 4. Section 5 will present our methodology and implementation strategy, as well as some limitations imposed on our work. Finally, section 7 will present the results of our experimentation.

2 THEORY

A majority of the research around this topic stems from physics, with implementations of the technology frequently being published and studied by physicists. As such, a brief introduction to the concepts used in previous research as well as an introduction to previous implementations of this technology will be presented in this section.

The idea of an optical QRNG (*OQRNG*) is not a novel one. The basis of the theory is that intrinsically random properties of a quantum process. Stefanov et. al. [13] proposes using the random choice of a photon between two output signals to generate a random stream of bits, however the theory behind it can be applied to other quantum processes as well. This particular theorem has been implemented by Wayne et. al. [15] to create a quantum number generator. While this article proves the efficacy of OQRNG, it utilizes a slightly different method.

2.1 Shot noise quantum fluctuations

Our work revolves around the measurement of shot noise of vacuum states rather than measuring arrival times of photons. Essentially, this is another quantum process with the same inherently random properties as described by Stefanov et. al. [13], but instead using shot noise. As described by Niemczuk [10], shot noise is minor fluctuations in an electrical current, which is inherently random. Reading this property, then, gives us an intrinsically random source from which to generate a random output, which in turn can be processed into a random number.

Implementations of this theory exist, however with significant drawbacks. Shen et. al. [11] presents an implementation using a fairly complex setup, in which a continuous-wave fiber laser is the optical source. They conclude that sampling the shot noise is, indeed, suitable for OQRNG. However, the implementation requires expensive and complex hardware, and the sheer size of the system prohibits it from being portable and easily reproducible in small-scale tests.

A more recent implementation of OQRNG in a smaller scale has been presented by Singh et. al. [12]. This particular implementation uses a bespoke circuit board where all components are present on a single board – e.g., this experimental setup contains an integrated ADC, post-processor, entropy controller and entropy generator. While this article cements the viability of OQRNG using shot noise (despite the article not being confirmed as peer reviewed), the bespoke nature of the circuit board makes this experiment difficult to reproduce. As our thesis will use commercially available ADCs and microcontrollers, the only bespoke component is the shot noise generator itself. Furthermore, the Toeplitz extraction is not run on the microcontroller itself in these experiments – instead, the hashing of these raw bits is done on the receiving computer as this bespoke circuit board featured a relatively weak processor.

In summary, previous research has proven that OQRNG can generate true randomness, and more specifically, Shen et. al. [11] and Singh et. al. [12] both implement OQRNG through readings of shot noise. However, there are limitations in both of these works. Either the system that generates the shot noise is large and complex [11] or the system is built on bespoke hardware with limitations in processing power which prevents a fully integrated system [12]. Furthermore, to the best of our knowledge, most of the work in this field is from the perspective of physicists, and there appears to be little research on this subject in the domain of computer science. Our work aims to bridge this gap by using commercially available hardware (other than the bespoke shot noise generator [2]) and focuses on implementing Toeplitz extraction directly on the microcontroller. Rather than focusing on the intricacies of quantum fluctuations, we will instead approach this problem from a computer science perspective.

3 BACKGROUND

Our work is a practical continuation of the work of Clason [2]. In this work, quantum shot noise originating from photodiodes was studied, and in so doing a prototype device was constructed. This device read from the data “seen” by the diodes. A prototype was constructed, in which an LED is read by a photodiode soldered millimeters apart. In this section, we introduce the hardware used for our implementations as well as the considerations taken in order to shift the focus from physics to computer science.

3.1 Optical RNG module

The one bespoke piece of hardware used in this study is the prototype designed by Clason [2] as a part of his masters thesis. This device produces the optical shot noise which will be the source of randomness in our work. Moving forward in this article, we will refer to this as the OQRNG-device.

As described in Clason's work [2], the OQRNG-device is an electro-optical system which measures optical shot noise, generating quantum randomness. The device has an LED and a photodiode positioned a few millimeters apart, ensuring efficient light coupling. The photodiode detects light from the LED, and converts the light into a current signal, which is sent to a transimpedance amplifier to convert it into measurable

voltage. In order to minimize disruptions by other external lights, the system is enclosed in a shielded measurement box.

Whereas the exact quantum mechanisms that ensure that this system ensures randomness and further details regarding the OQRNG-device is better derived directly from Clason's work [2], the end result as it correlates to our study is an inherently random, analog voltage current.

3.2 ADC converter

This analog current isn't suitable to operate on without further processing. As mentioned in section 1, the signal needs to pass through an ADC and converted into raw bits in order for it to be usable. In his thesis, Clason [2] suggests a discrete ADC chip capable of analyzing frequencies higher than 25 MHz, as this is the highest frequency studied in his work. However, in the interest of keeping the implementation light and cheap, we will be using ADCs that provide less samples per second and lower frequencies. This is done mainly for ease of development and access to this hardware.

Many microcontrollers furthermore come equipped with internal ADCs that can be utilized, and while these provide a lower sample size (often around 1 MSPS), the ease of development may be prudent to utilize for this proof-of-concept. While our initial ADC has a fairly low throughput, this can always be upgraded if it ends up becoming too limiting.

Should these internal ADCs prove too limiting, we propose utilizing MAX11102AUB² with an effective sample rate of 2 million samples per second (MSPS). This ADC provides a 12 bit sample size, providing roughly 24 Mbit/s of sampled data per second, derived by the following calculation.

$$\text{ADC Throughput} = \frac{2,000,000_{\text{MSPS}} \times 12}{1,000,000} \approx 24 \text{ Mbit/s} \quad (1)$$

The final output from the ADC, whether built into the microcontroller or an external one, will be a stream of raw bits, as the analog signal from the OQRNG-device is processed.

3.3 Microcontroller

Microcontrollers (MCUs) are compact and low-power computing devices designed for embedded systems and real-time operations, and suitable as a processing unit for the purposes of this work. Unlike general purpose CPUs, an MCU integrates a processor, memory and peripherals (*such as an ADC, for instance*) into a single chip. Furthermore, modern MCUs often feature advanced microarchitectural elements to enhance processing capabilities on single threads (*such as dual-issue superscalar architectures, allowing the MCU to run several instructions per CPU cycle*), making them suitable candidates for the post-processing required for OQRNG-data.

Since MCUs often function under strict timing requirements, it is critical to have effective ways to access memory and transfer data for processing in real time. High-performance MCUs enhance memory usage in various ways. Some of

them use Tightly Coupled Memory³ (TCM), which gives fast SRAM with specific access routes for important data, avoiding cache misses and guaranteeing consistent performance. Moreover, instruction and data caching techniques, including instruction prefetching and branch prediction, help minimize execution delays in computationally intensive real-time applications. Another important aspect is Direct Memory Access⁴ (DMA), which enables data transfer between peripherals such as the ADC and RAM, without CPU intervention. This offloading reduces processing overhead, allowing the MCU to manage fast data transfers effectively. These improvements are especially significant for Toeplitz extraction, where large amount of random data needs to be processed and sent quickly with low delay. Efficient memory management guarantees that randomness extraction can occur rapidly without major slowdowns in computing. Both approaches will be tested during development.

In our work, we intend to use Teensy 4.1⁵, based on the ARM Cortex-M7. This MCU is especially suitable for computationally demanding tasks involving randomness extraction due to its dual-issue superscalar architecture and Digital Signal Processing (DSP) capabilities. The floating-point unit⁶ (FPU) and Single Instruction, Multiple Data (SIMD) style DSP instructions improves how quickly it can perform bitwise and arithmetic tasks, which are crucial for quick Toeplitz extraction. SIMD-controlled DSP architectures, as described by Han et al. [4], leverage parallel vectorized computation to accelerate matrix operations – making them highly effective for Toeplitz matrix-vector multiplications.

In order to evaluate how efficient our implementation can become, our aim is to try our implementation on other MCUs with varying levels of power and hardware support. Whereas Teensy 4.1 is our primary development platform which we will evaluate closely, we aim to run our implementations on Raspberry Pi Pico 2⁷ as well as ESP32-S3⁸. Due to the lower computational power of these MCUs, there may be significant issues in utilizing these weaker models, yet they are significantly cheaper and easier to access. Testing of these will consist solely of running the implementation on these controllers and measuring execution speed and correctness of the output.

3.4 Toeplitz extraction

The raw bits from the ADC can potentially have some deterministic patterns, and as such have to be processed somehow in order to remove these patterns. Several methods exist for this purpose, and for our work, we will perform this preprocessing via Toeplitz extraction. The main focus of this study is to implement this extraction algorithm as effectively as possible on resource constrained hardware.

³Technical specification for MAX11102AUB, accessed 2025-03-13

⁴ScienceDirect Journals & Books, accessed 2025-03-13

⁵Teensy developer documentation, accessed 2025-02-27

⁶ScienceDirect Journals & Books, accessed 2025-03-13

⁷Raspberry Pi Pico 2 documentation, accessed 2025-03-13

⁸ESP32-S3 documentation, accessed 2025-03-13

²Technical specification for MAX11102AUB, accessed 2025-03-13

A detailed account of the inner workings of Toeplitz extraction can be found in the work of Chouhan et al. [1]. This work focuses on implementing Toeplitz extraction on field-programmable gate-arrays (FPGA), but some specific details can be derived from their work. As these authors describe, Toeplitz extraction is a strong contender for our work due to a lower computational complexity than other alternatives, as well as a relatively easy algorithm to use. This extraction utilizes either matrix multiplication or hashing between a pseudo-random seed and the raw data provided from a high-entropy source of randomness – in our case, the OQRNG-device.

To summarize the theoretical working of Toeplitz extraction (as explained by Chouhan et al. [1]), a pre-determined seed matrix (T) is multiplied with the sampled raw bit matrix (K). The size of the seed is directly dependent on the size of the sampled data, and can be fixed or continually re-sampled as needed. To ensure high levels of entropy, our intuition is that re-sampling the seed from the OQRNG-device continually is prudent. The sample and seed will then be processed with matrix multiplication to remove deterministic patterns, and produce a bitstring that results in our randomly generated number. An example of how this extraction works can be seen below.

Where n = number of input bits,
 m = number of output bits:

Let X be the input array (length n)
 Let T be the seed matrix (length $n + m - 1$)
 Let Y be a new array of length m

```
For i from 0 to m - 1:
    sum = 0
    For j from 0 to n - 1:
        sum = sum + X[j] * T[i + j]
    Y[i] = sum mod 2
```

Return Y

The main focus of this work is implementing this algorithm as efficiently as possible on our MCUs, and as such, several optimization efforts need to be taken into account during our experimentation.

3.5 Summary

With the assumption that the OQRNG-device produces a truly random analog signal, we can now clearly define the scope in which this thesis operates. Considering the maximum conversion speed from the ADC and the USB-output from the MCU, we have a clear bound over 24 Mbit/s (imposed by the ADC) in which Toeplitz extraction needs to be executed. Any speeds over 2.86 MB/s allows us to upgrade the ADC iteratively to continue increasing the output speed. Any implementation of Toeplitz extraction must then execute fast enough on any given microcontroller feasible for the proposed quantum RNG-thumbstick as to not be the decisive limiting factor.

4 RELATED WORKS

Most related works found tend to revolve around optimizing Toeplitz using more advanced hardware, and few works seem to delve into evaluating the implementation in code.

As mentioned in section 3.4, Chouhan et al. [1] utilized FPGA to implement Toeplitz extraction specifically for OQRNG, a work also studied by Zhang et al. [16]. Furthermore, Zhang et al. utilized a standardized min-entropy evaluation to ensure true, unbiased randomness in their result. Both of these implementations utilize powerful hardware where the matrix multiplication is offloaded to FPGA. These implementations provided extraction speeds of between 3.36 Gbps [16] to 26.57 Gbps [1]. Neither of these implementations operate on constrained hardware, instead creating bespoke circuit boards for their works.

Another important point that is often neglected in randomness extraction using Toeplitz matrices is how the seed key is handled when forming the Toeplitz matrix. Numerous systems, such as those developed by Chouhan et al. [1] and Zhang et al. [16], depends on fixed seeds. Fixed seeds can create security risks over prolonged operation time. To tackle this problem, Lin et al [8] proposed a method for seed-renewable Toeplitz post-processing in QRNG. Their strategy incorporates a dynamic seed pool within the FPGA, where each instance of post-processing picks a new, randomly selected seed. Thus, minimizing temporal correlations between extractions. Furthermore, an external seed updating mechanism via PCIe ensures that seeds are refreshed whenever a certain security limit is reached. Compared to fixed-seed methods, this renewable approach enhances cryptographic robustness and ensures sustained high-security randomness extraction in real-world applications.

Efficient Toeplitz matrix-vector multiplication (TMVM) is critical for optimizing randomness extraction that relies on Toeplitz, particularly in constrained hardware environments. Liao et al. [7] showed that this process could be greatly accelerated using Fast Fourier Transform (FFT) and its inverse (IFFT). Thus, reducing computational complexity from $O(n^2)$ to $O(n \log n)$. Their implementation on FPGA utilized this approach for deep neural networks, resulting in a 28.7 times decrease in model size while still achieving fast inference speeds. By using FFT and IFFT acceleration, Toeplitz post-processing for randomness extraction could achieve higher throughput. Thus, potentially could improve performance and reduce latency.

5 METHODOLOGY

With the consideration that our work revolves around optimizing Toeplitz extraction in order to quickly process random bits into a random number, we will take an iterative approach. For our tests, we will use a pre-defined stream of raw bits which is sent to the microcontroller via USB, and run several different implementations of Toeplitz extraction to produce numbers. As we always use a pre-defined bitstream, the result will at this stage be deterministic, giving us a clear indication whether the algorithm works as intended.

However, in order to ensure the results work with varying

data, we cannot limit ourselves to simply one stream of bits. The main point of the algorithm is to remove patterns in the bitstream that may lead to less randomized results. As such, we will sample several bitstreams from the OQRNG-device to use for our tests – each with varying degrees of repeated patterns that should be eliminated by the algorithm. All bitstrings tested are available as an appendix to this paper.

5.1 Implementation strategy

Our implementation of the Toeplitz extractor followed a structured, iterative approach divided into two phases. Phase one focused on exploring performance improvements through incremental algorithmic changes. Phase two then addressed architectural inefficiencies identified during phase one. Each phase then consisted of a number of individual iterations, in which performance was evaluated in terms of both correctness and execution time on the target hardware.

5.2 Phase one

Initially, we require a “naive” version designed to prioritize correctness over speed. This version was first executed on a separate computer to generate reference output for various input bitstrings, which were later used as accuracy base-lines. The naive implementation was then flashed onto the Teensy 4.1 microcontroller, where execution time was measured in microseconds. Each subsequent iteration introduced controlled modifications aimed at improving throughput.

The initial implementation followed the pseudocode described in section 3.4, using matrix multiplication over raw input and seed data. It relied on `std::vector<int>` for storage and used nested loops to compute each output bit. From this point, this implementation was improved over the coming iterations, and the new implementation verified in the same manner as the initial version.

Iteration 1 - Data structures: This iteration kept the same algorithmic logic as the initial implementation, but experimented with data structures such as raw pointers and hash maps to enhance the use of `std::vector`. The goal was to reduce or eliminate the reliance on nested loops.

Iteration 2 - Bitshifting: Basic bitwise operation was introduced to replace arithmetic whenever possible. Multiplication was replaced with logical AND & and modulo operations with bit masking & 1. The goal was to reduce the number of instructions and improve per-bit processing speed.

Iteration 3 - Batching and Hardware optimization: This iteration focused on optimizing performance through batching and the use of ARM-native instructions, beginning by testing batching alone. This was followed by isolated use of ARM instructions such as `__builtin_popcountll()` (which counts the number of set bits in an unsigned integer). After establishing their individual effect we combined both techniques, multiple batch sizes were tested to determine their impact. More details and benchmarks for each configuration can be found in the section 6.

5.3 Phase two

Phase two focused on addressing inefficiencies and design issues that were unintentionally introduced during earlier iterations.

Rather than continuing with new algorithmic ideas, this phase aimed to identify and fix structural problems. Several assumptions from phase one were re-evaluated – such as the benefits of certain data structures or abstractions.

Iteration 4 - Loop unrolling: This iteration focused on reducing the number of loops in the extractor by manually unrolling repeated operations. The goal was to decrease overhead created by loops. Whereas this operation is commonly done by compiler optimization, manually performing this guarantees that we unroll the loops rather than leaving it to the compiler.

Iteration 5 - Removal of vector usage: This iteration removed `std::vector` in favor of fixed-size types like `uint32_t` and `uint64_t` to reduce the overhead introduced by creating and populating this complex data structure.

Iteration 6 - Data type exploration: Following the removal of vectors, this iteration explored alternative static data types to determine the most efficient structure for storing input and seed data.

Iteration 7 - Re-implementation of bitshifting: In this final iteration, bit shifting techniques were reintroduced into the now simplified implementation. With high-level abstractions removed and memory structures streamlined, bit-level operations such as shifting and masking were applied.

5.4 Evaluation

To evaluate the correctness of each implementation, a baseline was generated as discussed in section 5.2. Using the naive, initial implementation to process bits and saving for later evaluation gave us a source of truth against which to compare following iterations. To verify that the algorithm successfully removed the patterns it should, we verified the measured entropy score with the command line utility `ent`⁹.

Hyncica et. al. [6] propose that measuring execution time of algorithms directly via the microcontrollers internal timers (while subtracting the interrupt overhead) provides adequate measurements of an algorithms execution speed. An additional advantage is that the same code can be used to measure execution speed on several different microcontrollers, rather than relying on counting CPU cycles (as the process for this may vary greatly between controllers). As we will use fixed-size bitstrings for evaluation, we can then derive the throughput of the algorithm in *Mbit/s* as follows:

$$\text{ThroughputMbit/s} = \frac{\text{DataSizebits}}{\text{ExecutionTime}_{\text{ms}}} \times 10^{-3} \quad (2)$$

This measurement allows us to place the throughput of our algorithm soundly in the bounds imposed on us by the hardware. Plugging in the *24Mbit/s* bound imposed by the ADC with an arbitrarily chosen 64-bit sample size, we can derive the average execution speed in microseconds:

⁹Manual page for `ent`, accessed 2025-04-23.

$$T_{ms} = \frac{64}{24} \times 10^{-3} \text{ ms} \approx 2.667 \times 10^{-3} \text{ ms} = 2.667 \mu\text{s}. \quad (3)$$

In section 6, this calculation will be used to derive the execution speed of the various iterations.

5.3 Limitations

Our proposed iterations all assume that the limited hardware will support it. Whereas we are confident that Teensy 4.1 will be able to handle each iteration step (even the naive implementation), the remaining microcontrollers with lower specifications might not be suitable for the first iterations. Testing the implementations on different microcontrollers could turn out to be unfeasible – however, this remains to be seen during the experimentation.

6 RESULTS

We created a script to facilitate easier testing, which is attached to this paper. Using this script, all bitstrings used for testing can be evaluated against the baseline, ensuring that the output from the new iteration matches the baseline exactly. Furthermore, the script also provides the average execution time of only the Toeplitz extraction in microseconds.

Bit size	Teensy (μs)			Pico (μs)		
	Min	Max	Avg	Min	Max	Avg
64	13	14	13.1564	103	117	106.3914
512	788	791	788.3139	5296	5311	5302.4976
1024	3124	3130	3124.0580	21108	21119	21111.2163

. Minimum, maximum and average processing time for Teensy and Pico.

Table 1 presents the execution speeds of each iteration as listed in sections 5.3 and 5.4, alongside the execution speed in Mbit/s as calculated by utilizing the average value in (3). My thought then is that we here talk about the results and what they demonstrate, before moving on to draw conclusions and attempt to answer our research questions in section 7.

7 CONCLUSION

CHANGELOG

2025-02-14: Added background section, smaller reviews to introduction.

2025-02-28: Template adjusted, added methodology. Started review of background and theory to add stronger correlation to computer science. Not yet finished due to review of articles as well as some additional information required from the project owner. The update to theory and background should be considered a heavy work in progress at this stage.

2025-03-10: Moved evaluation down in the methodology in order to provide a better flow. Elaborated further on Toeplitz extraction and ADC converters, as well as motivating the selection of these. Some additional information added in introduction as motivation for the work.

2025-03-13: Elaborated on background, as well as adding more details regarding hardware. Note that the hardware selected is subject to change over time. Further elaborated on related works in optimizing Toeplitz extraction.

2025-04-22: Begun including details regarding initial experimentation, updating details regarding experiments that had to change (e.g. no baseline on separate hardware).

2025-04-27: Added first table with test data.

2025-05-20: Unfortunately, we've missed adding concrete details about changes made up until this point. Fix later.

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