

Chapter 32

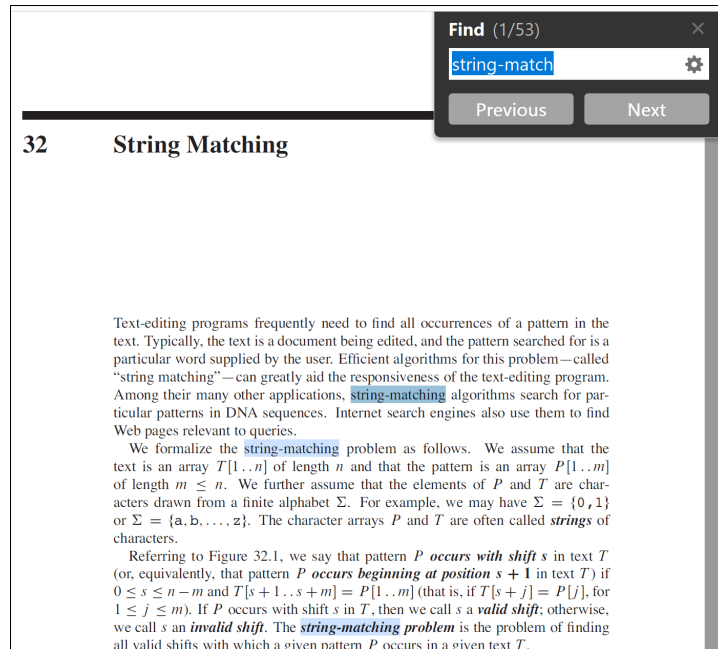
String Matching

VII Selected Topics

- ✓ VII Selected Topics
 - 27 Multithreaded Algorithms
 - 28 Matrix Operations
 - 29 Linear Programming
 - ~~30 Polynomials and the FFT~~
 - 31 Number-Theoretic Algorithms
 - 32 String Matching
 - ~~33 Computational Geometry~~
 - 34 NP-Completeness
 - 35 Approximation Algorithms

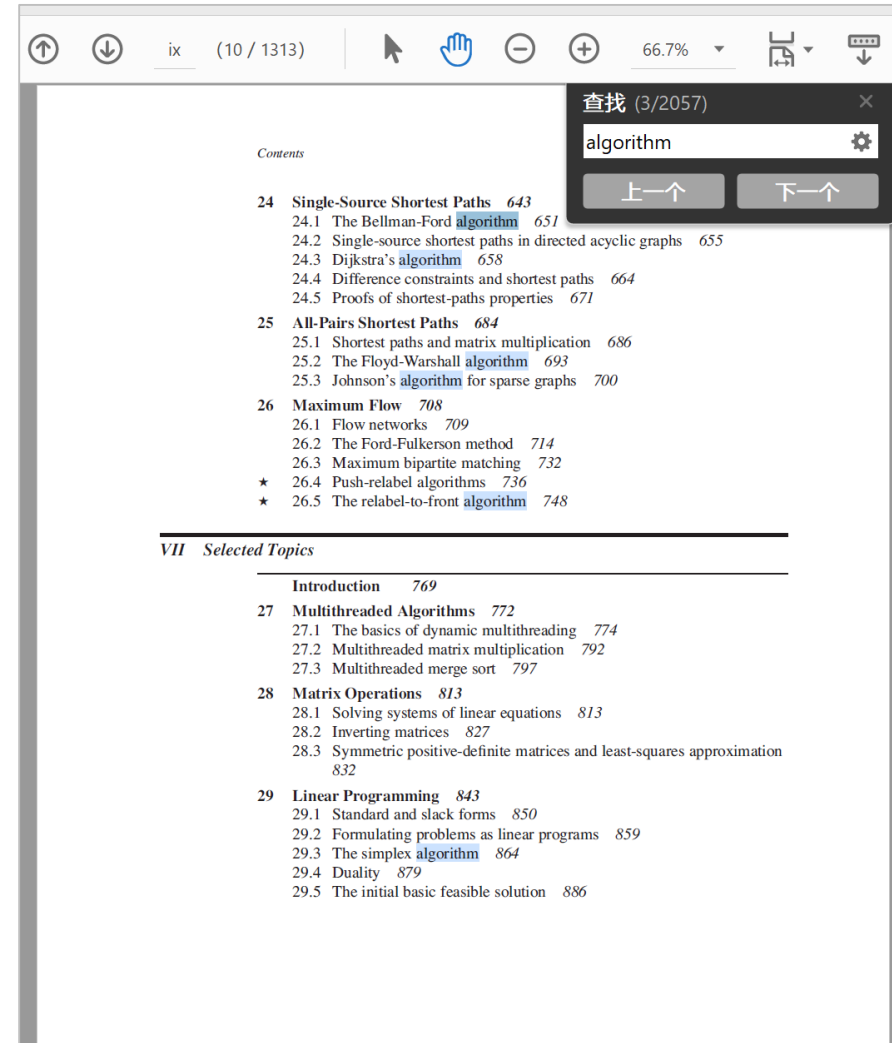
32 String Matching

```
char *strstr(char *text, char *pattern);
```



Finding all occurrences of a pattern in a text is a problem that arises frequently in text-editing programs.

在文本中搜索某个模版出现的所有位置



32 String Matching

```
char *strstr(char *text, char *pattern);
```

Fast pattern matching in strings



找到约 270,000 条结果 (用时0.12秒)

Fast pattern matching in strings

DE Knuth, [JH Morris, Jr.](#), [VR Pratt](#) - SIAM journal on computing, 1977 - SIAM

... Finally, 8 discusses still more recent work on **pattern matching**. ... The idea behind this approach to **pattern matching** is perhaps easiest to grasp if we imagine placing the **pattern** over the ...

☆ 保存 ↯ 引用 被引用次数: 4686 相关文章 所有 17 个版本 ↯

Fastest pattern matching in strings

L Colussi - Journal of Algorithms, 1994 - Elsevier

An algorithm is presented that substantially improves the algorithm of Boyer and Moore for **pattern matching** in **strings**, both in the worst case and in the average. Both the Boyer and ...

☆ 保存 ↯ 引用 被引用次数: 70 相关文章 所有 4 个版本

Pattern matching in strings

[AV Aho](#) - Formal Language Theory, 1980 - Elsevier

... **match** measured as a function of the lengths of p and x. We will assume the **pattern** is given before the input **string** ... input **string** of length n, we can use a NDFA to do **pattern matching** in ...

☆ 保存 ↯ 引用 被引用次数: 110 相关文章 所有 2 个版本

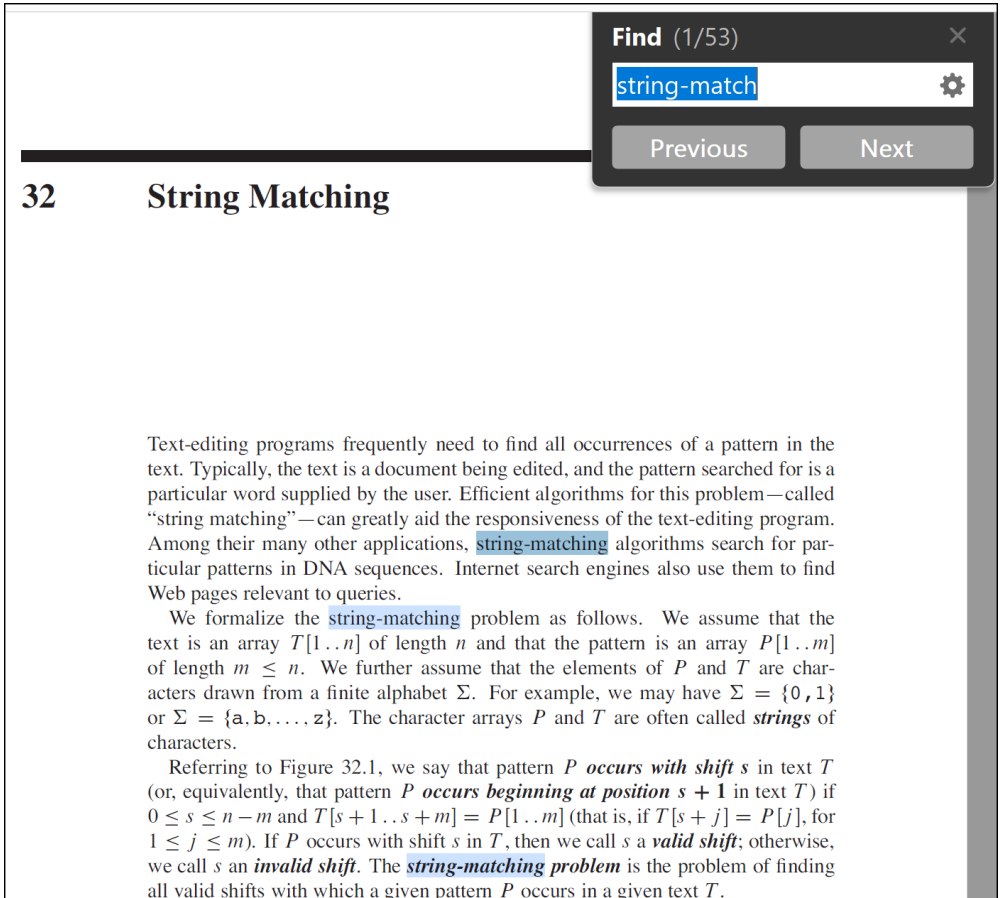
- 这篇文章提出了KMP算法。
- 在网络上搜索关键字（海量数据里匹配关键字，如查找这篇文章，本身就是一个字符串匹配过程）

32 String Matching

Applications:

- text-editing program
- search for particular patterns in DNA sequences
- ...

```
char *strstr(char *text, char *pattern);
```



The screenshot shows a text editor window titled "32 String Matching". A search bar in the top right corner displays "Find (1/53)" with a search icon and a settings gear. The search term "string-match" is entered in the bar. Below the search bar are "Previous" and "Next" buttons. The main text area shows the title "32 String Matching" and a paragraph of text. The text discusses string matching algorithms and their applications in text editing and DNA sequence search. It defines the string-matching problem and introduces the concept of a valid shift.

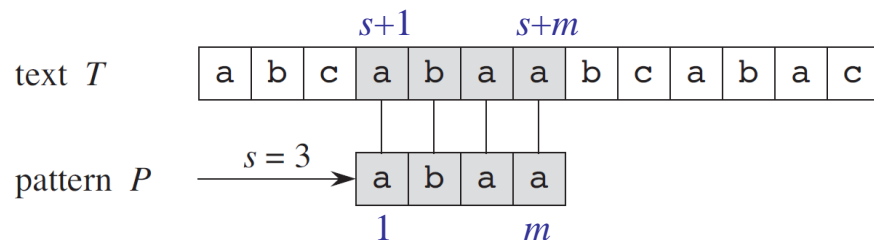
32 String Matching

Text-editing programs frequently need to find all occurrences of a pattern in the text. Typically, the text is a document being edited, and the pattern searched for is a particular word supplied by the user. Efficient algorithms for this problem—called “string matching”—can greatly aid the responsiveness of the text-editing program. Among their many other applications, `string-matching` algorithms search for particular patterns in DNA sequences. Internet search engines also use them to find Web pages relevant to queries.

We formalize the `string-matching` problem as follows. We assume that the text is an array $T[1..n]$ of length n and that the pattern is an array $P[1..m]$ of length $m \leq n$. We further assume that the elements of P and T are characters drawn from a finite alphabet Σ . For example, we may have $\Sigma = \{0, 1\}$ or $\Sigma = \{a, b, \dots, z\}$. The character arrays P and T are often called *strings* of characters.

Referring to Figure 32.1, we say that pattern P *occurs with shift* s in text T (or, equivalently, that pattern P *occurs beginning at position* $s + 1$ in text T) if $0 \leq s \leq n - m$ and $T[s + 1..s + m] = P[1..m]$ (that is, if $T[s + j] = P[j]$, for $1 \leq j \leq m$). If P occurs with shift s in T , then we call s a *valid shift*; otherwise, we call s an *invalid shift*. The *string-matching problem* is the problem of finding all valid shifts with which a given pattern P occurs in a given text T .

32 String Matching



The pattern occurs only once in the text, at shift $s = 3$.

The shift $s = 3$ is said to be a valid shift.

String-matching problem:

- ◆ Text: $T[1 .. n]$, Pattern: $P[1 .. m]$, $m \leq n$.
- ◆ Finite alphabet: Σ , for example, $\Sigma = \{0, 1\}$ or $\Sigma = \{a, b, \dots, z\}$.
- ◆ $P_i \in \Sigma$, $T_i \in \Sigma$.
- ◆ P occurs with shift s (偏移量, 转移, 漂移, 位移) in T if $0 \leq s \leq n-m$ and $T[s+1 .. s+m] = P[1 .. m]$ (that is, if $T[s+j] = P[j]$, for $1 \leq j \leq m$). (or, equivalently, that P occurs beginning at position $s+1$ in T).
- ◆ Valid shift s : if P occurs with shift s in T ; otherwise, s is an invalid shift.
有效偏移, P 在 T 中出现, 偏移量为 s
- ◆ Finding **all** valid shifts with which a given P occurs in a given T .

Notation and terminology

- Σ^* : the set of all finite-length strings formed using characters from the alphabet Σ . 有限长度的字符串结合

Example:

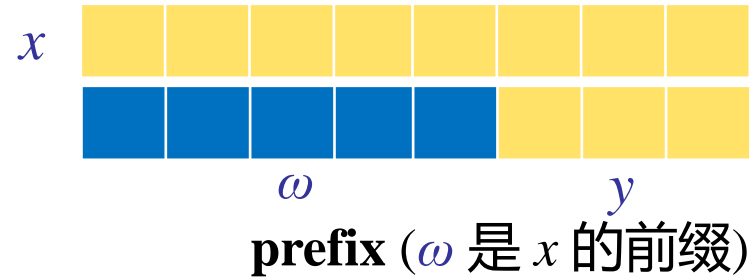
$$\Sigma = \{a, b, c\}$$

$$\Sigma^* = \{\varepsilon, a, b, c, ab, bc, ac, abc, acb, aabbc, \dots\}$$

- ε : The zero-length empty string, also belongs to Σ^* .
- $|x|$: The length of x .
- The **concatenation** of two strings x and y , denoted xy , has length $|x| + |y|$ and consists of the characters from x followed by the characters from y .

Notation and terminology

- $\omega \sqsubset x$: string ω is a **prefix** of x , if $x = \omega y$ for some $y \in \Sigma^*$.



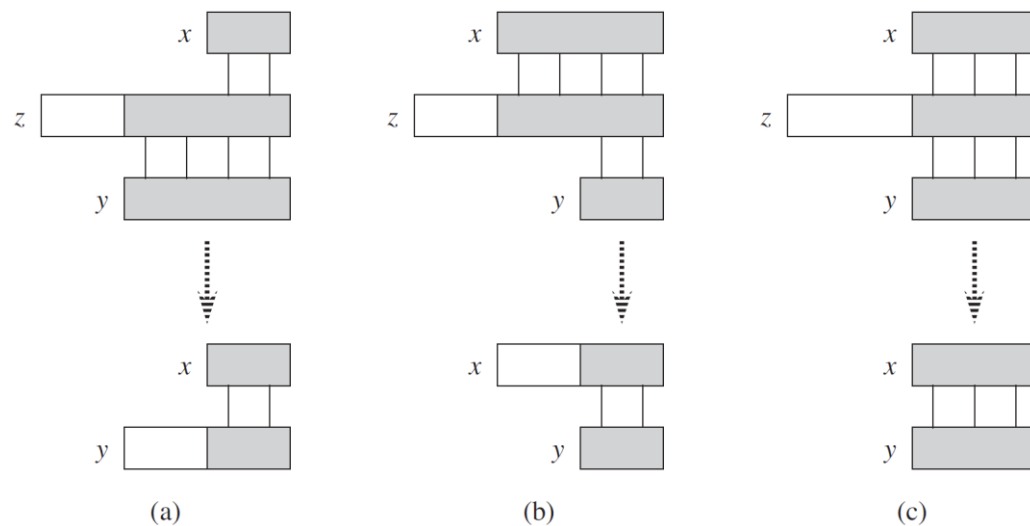
- $\omega \sqsupset x$: ω is a **suffix** of x , if $x = y\omega$ for some $y \in \Sigma^*$.
 - ◆ If $\omega \sqsubset x$ or $\omega \sqsupset x$, then $|\omega| \leq |x|$.
 - ◆ The empty string ε is both a suffix and a prefix of every string.
 - ◆ For example, we have **ab** \sqsubset **abcca** and **cca** \sqsupset **abcca**.
 - ◆ For any strings x and y and any character a , we have $x \sqsupset y$ if and only if $xa \sqsupset ya$.
 - ◆ \sqsubset and \sqsupset are transitive relations.

Notation and terminology

Lemma 32.1: (Overlapping-suffix lemma) 重叠后缀引理

Suppose that x , y , and z are strings such that $x \sqsupset z$ and $y \sqsupset z$. If $|x| \leq |y|$, then $x \sqsupset y$. If $|x| \geq |y|$, then $y \sqsupset x$. If $|x| = |y|$, then $x = y$.

Proof See Fig for a graphical proof.



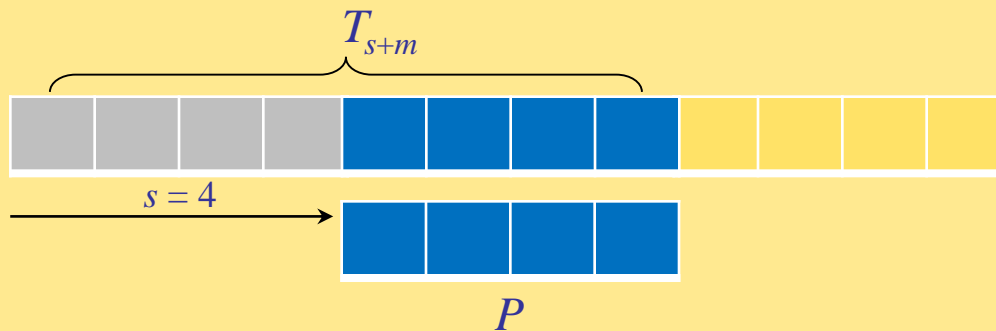
Notation and terminology

- For brevity of notation, we denote the k -character prefix $P[1 \dots k]$ of the pattern $P[1 \dots m]$ by P_k
 - ◆ Thus, $P_0 = \varepsilon$ and $P_m = P = P[1 \dots m]$
- Similarly, we denote the k -character prefix of the text T as T_k

- **string-matching problem:**

finding all shifts s in the range $0 \leq s \leq n-m$ such that $P \sqsupset T_{s+m}$

P 是否为文本 T 的前缀 T_x 的后缀



字符串匹配过程：从头到尾依序扫描文本 T ，扫描到的字符串都是 T 的前缀 T_x ，若有 P 匹配，则此时 P 为 T_x 的一个后缀。也就是，求文本 T 的前缀 T_x 的 P 后缀。

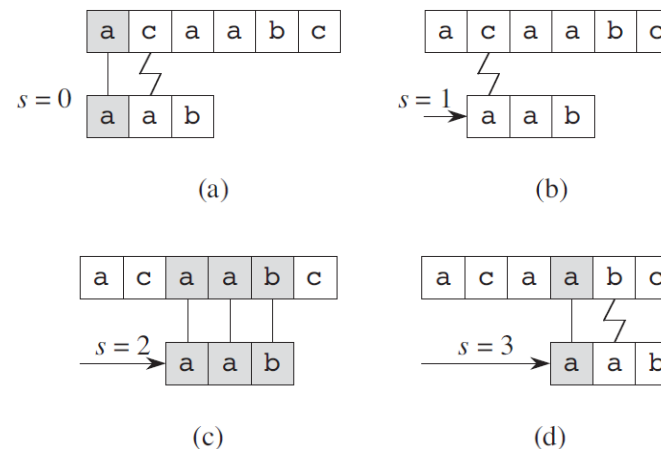
- Primitive operation: comparing characters

32.1 The naive string-matching algorithm

The naive algorithm finds all valid shifts using a loop that checks the condition $P[1 .. m] = T[s+1 .. s+m]$ for each of the $n-m+1$ possible values of s .

NAIVE-STRING-MATCHER(T, P)

```
1  $n \leftarrow \text{length}[T]$ 
2  $m \leftarrow \text{length}[P]$ 
3 for  $s \leftarrow 0$  to  $n-m$ 
4   if  $P[1 .. m] = T[s+1 .. s+m]$ 
5     print "Pattern occurs with shift"  $s$ 
```



- The procedure can be interpreted graphically as sliding a “template” containing the pattern over the text. 在文本中滑动模版，滑动过程中比较是否匹配
- Line 3 considers each possible shift explicitly.
- The test on line 4 determines whether the current shift is valid or not; this test involves an implicit loop. 第4行包括一个隐式的循环

32.1 The naive string-matching algorithm

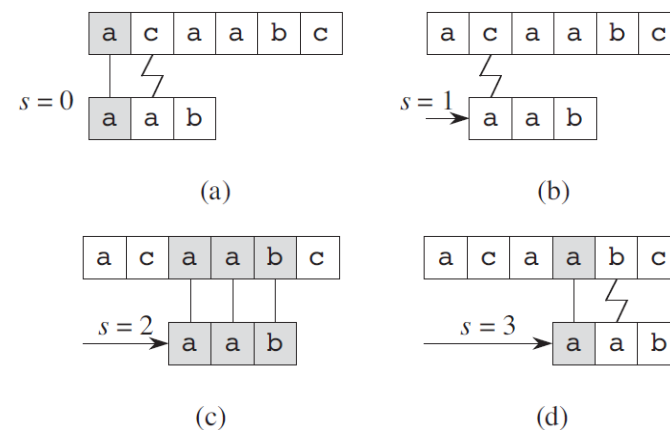
NAIVE-STRING-MATCHER(T, P)

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4   if  $P[1 .. m] = T[s+1 .. s+m]$ 
5     print "Pattern occurs with shift"  $s$ 
```

// 返回首次匹配位置，用库函数实现
strstr(T, P);

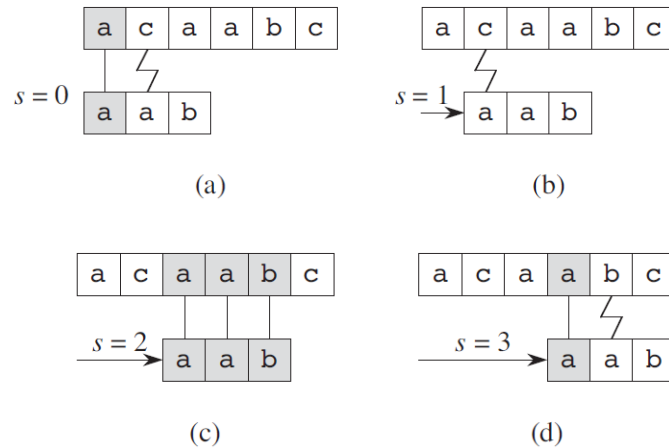
思考题：

- 所有匹配（按伪代码规则）都找出来，怎么实现（伪代码是子串有重叠的情况）？（若子串不重叠，即，若 $T = \text{aaaaa}$, $P = \text{aa}$, 匹配偏移量为 0 和 2，怎么实现？）
- 怎么实现 strstr？（最后一次匹配的位置）



```
// 返回首次匹配位置，自定义函数实现
char * __strstr(const char *T, const char *P)
{
    if(T == NULL)
        return NULL;
    int n = strlen(T), m = strlen(P), s, i;
    for(s=0; s<=n-m; s++)
    {
        for(i=0; i<m; i++)
            if(P[i] != T[s+i]) break;
        if(i == m)
            return T+s;
    }
    return NULL;
}
```

32.1 The naive string-matching algorithm



Running time ?

NAIVE-STRING-MATCHER(T, P)

1 $n \leftarrow \text{length}[T]$

2 $m \leftarrow \text{length}[P]$

3 for $s \leftarrow 0$ to $n-m$

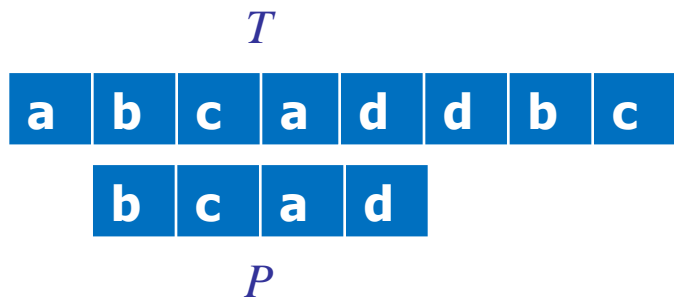
4 if $P[1 \dots m] = T[s+1 \dots s+m]$

5 print "Pattern occurs with shift" s

32.1 The naive string-matching algorithm

Exercise 32.1-2, 32.1-4

*32.2 The Rabin-Karp algorithm (拉宾-卡普 算法)



R-K algorithm performs well in practice and that also generalizes to other algorithms for related problems, such as two-dimensional pattern matching.

用了Hash和简单数论的方法。对 T_{s+m} 计算 p 时，还有更有效的方法（后一个 T_{s+m} 的 p 值跟前面计算的结果有关系，可充分利用前面的计算信息，加快计算速度）。计算 $p(P)$ ，可以用 **Horner's rule**.

coding rule (编码规则): $\{a, b, c, d\} \Rightarrow \{0, 1, 2, 3\}$,

then $p(P) = 1*4^3 + 2*4^2 + 0*4^1 + 3*4^0 = 99$

$$((12345)_{10} = 1*10^4 + 2*10^3 + 3*10^2 + 4*10^1 + 5*10^0)$$

if $p(P) == p(T_{s+m})$ ($T_{s+m} = T[s+1 .. s+m]$, $s = 0, 1, ..$), then match.

or, if $(p(P) \bmod q) == (p(T_{s+m}) \bmod q)$, check if $P == T_{s+m}$

preprocessing time: $\Theta(m)$

worst-case running time: $\Theta((n-m+1)m)$

$(12345)_{10}$

$$= 1*10^4 + 2*10^3 + 3*10^2 + 4*10^1 + 5*10^0$$

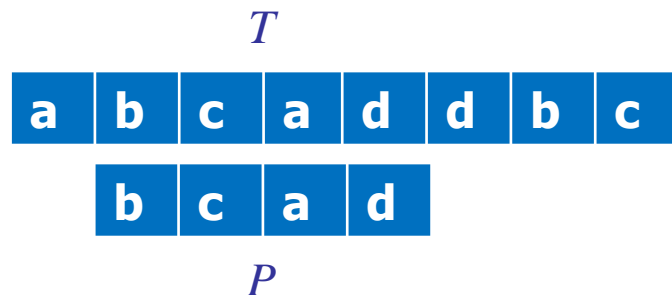
$$= (1*10^3 + 2*10^2 + 3*10 + 4)*10 + \mathbf{5}$$

$$= ((1*10^2 + 2*10 + 3)*10 + \mathbf{4})*10 + \mathbf{5}$$

$$= (((\mathbf{1*10} + \mathbf{2})*10 + \mathbf{3})*10 + \mathbf{4})*10 + \mathbf{5}$$

扩展阅读 chapter31
Number-Theoretic Algorithms

*32.2 The Rabin-Karp algorithm



coding rule: $\{a, b, c, d\} \Rightarrow \{0, 1, 2, 3\}$,

then $p(P) = 1*4^3 + 2*4^2 + 0*4^1 + 3*4^0 = 99$

($(12345)_{10} = 1*10^4 + 2*10^3 + 3*10^2 + 4*10^1 + 5*10^0$)

Efficient randomized pattern-matching algorithms

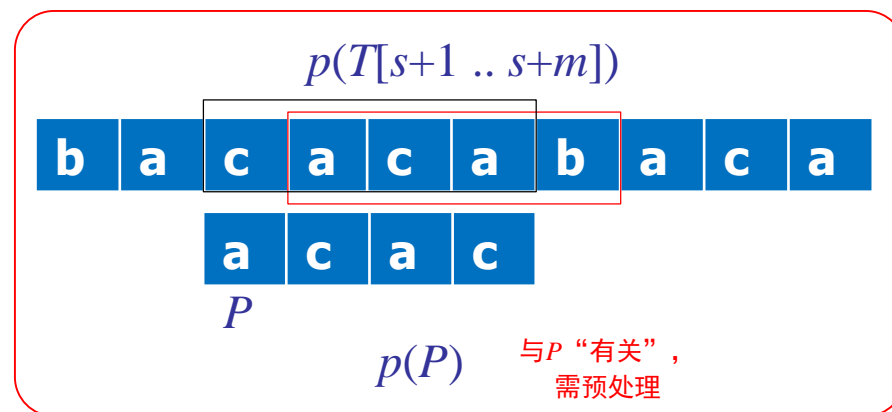
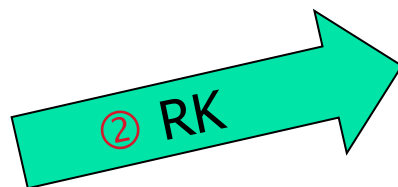
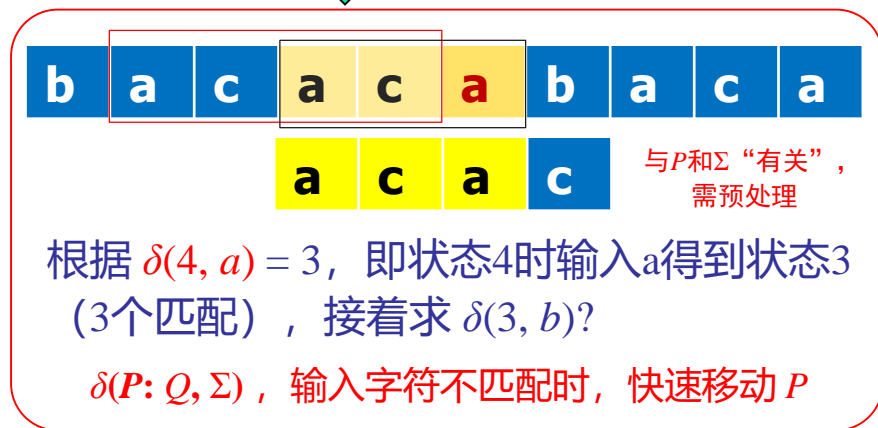
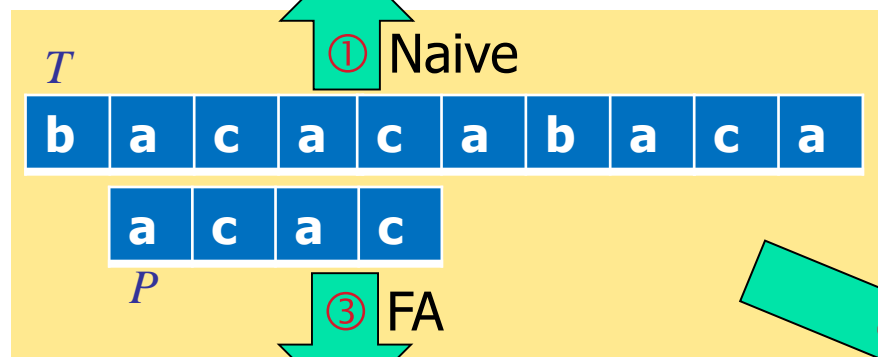
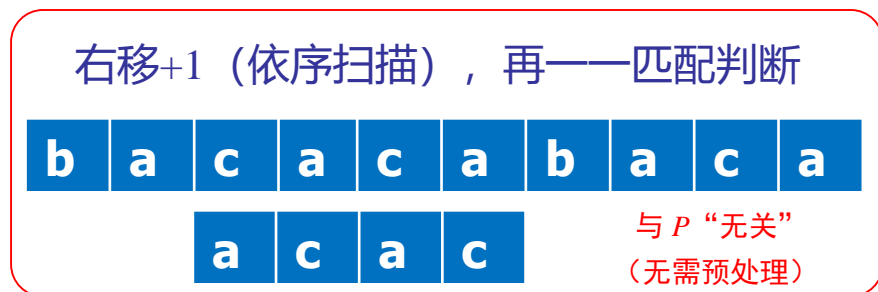
RM Karp, [MO Rabin](#) - IBM journal of research and development, 1987 - ieeexplore.ieee.org

We present **randomized algorithms** to solve the following string-matching problem and some of its generalizations: Given a string X of length n (the pattern) and a string Y (the text), find ...

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String Matching

初始态



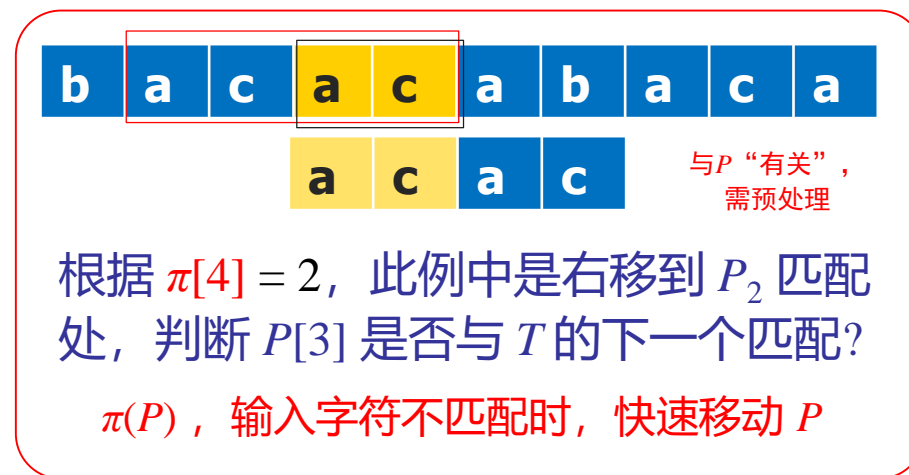
Naive vs RK vs FA vs KMP

①

②

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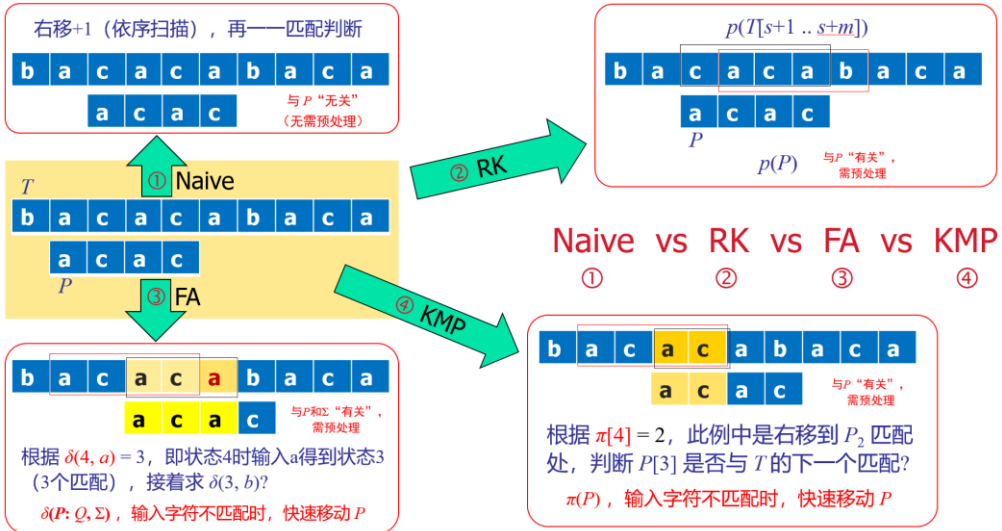
④



String Matching: Naive vs RK vs FA vs KMP

Algorithm	Preprocessing time	Matching time
Naive	0	$O((n - m + 1)m)$
Rabin-Karp	$\Theta(m)$	$O((n - m + 1)m)$
Finite automaton	$O(m \Sigma)$	$\Theta(n)$
Knuth-Morris-Pratt	$\Theta(m)$	$\Theta(n)$

	关键	特征
FA	求 $\delta(P; Q, \Sigma)$	输入字符 $T[i]$ 不匹配时，快速移动 P ，每个 $T[i]$ 匹配一次
KMP	求 $\pi(P)$	输入字符 $T[i]$ 不匹配时，快速移动 P ，每个 $T[i]$ 可能匹配多次



32.3 String matching with finite automata (有限自动机, 有穷自动机)

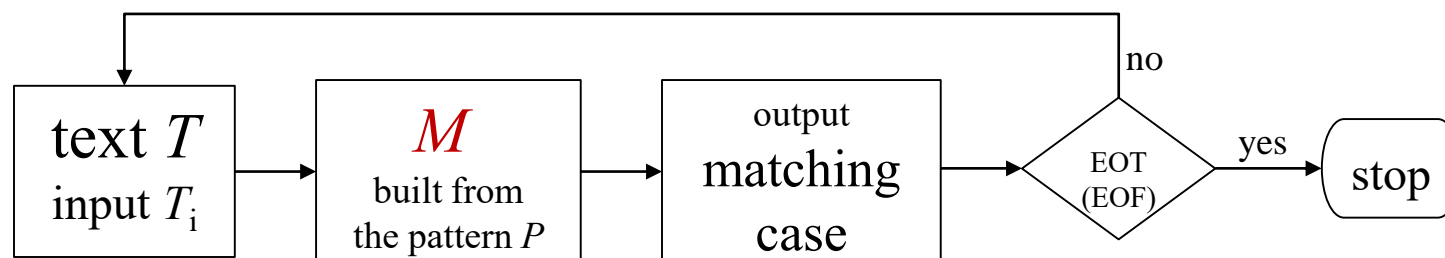
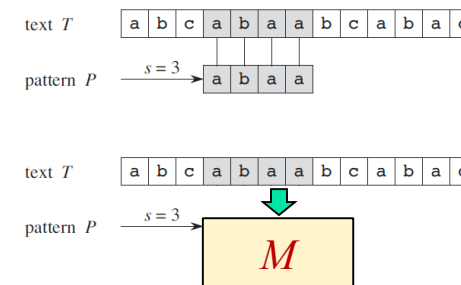
[引用] The design and analysis of computer algorithms

[AV Aho](#), [JE Hopcroft](#) - 1974 - Pearson Education India

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- Many string-matching algorithms build a **finite automaton (Machine)** that scans the text T for all occurrences of the pattern P .
- These string-matching automata are **very efficient**:
 - they examine each text character *exactly once* ;
 - taking constant time per text character.

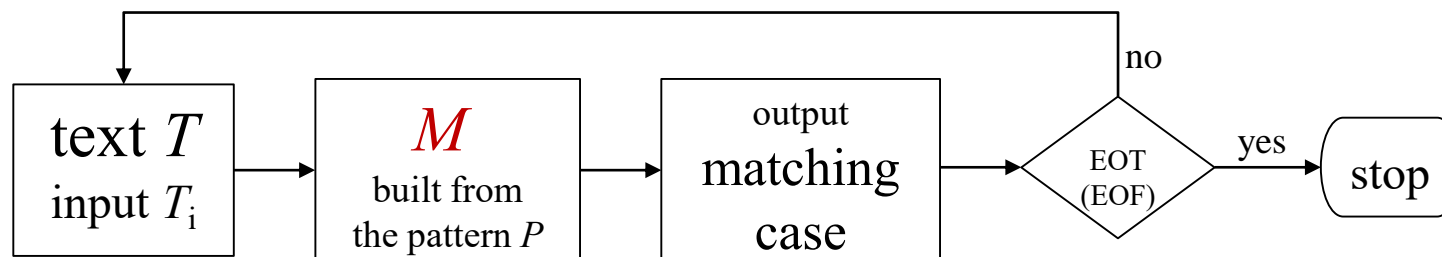
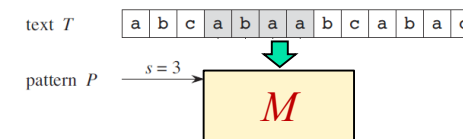


EOT: end of text

32.3 String matching with finite automata (有限自动机, 有穷自动机)

The matching time is $\Theta(n)$.

- ◆ The preprocessing time (to build the automaton by pattern) can be large if Σ is large. (对西文文本来说, 小写26, 大写26, 数字10, 共62, 再加上各种标点符号、或特殊符号、或希腊字母等, Σ 约百余个字符, 不算大; 若中文, Σ 可以很大)
- ◆ Section 32.4 describes a clever way around this problem.



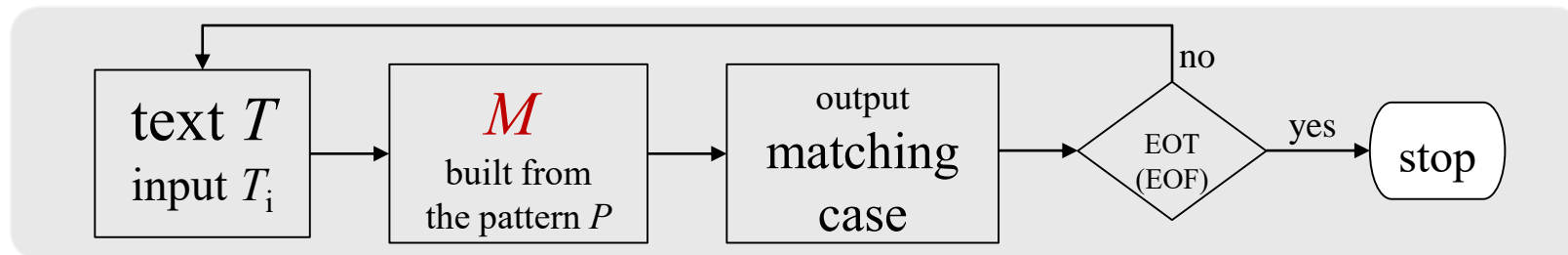
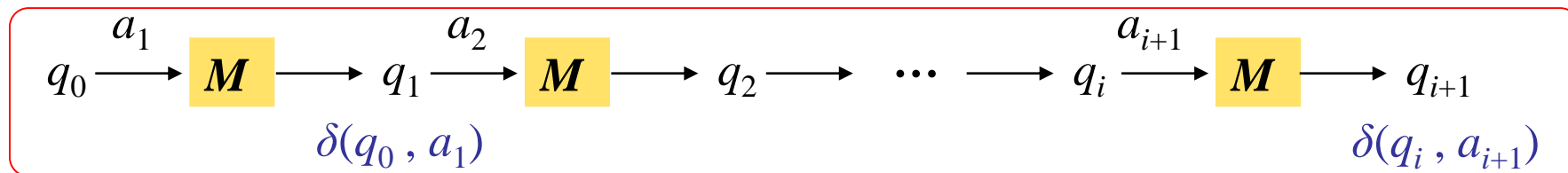
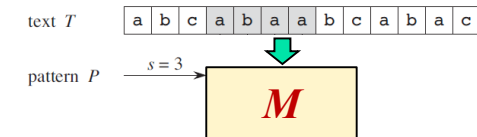
EOT: end of text

Finite automata (Machine)

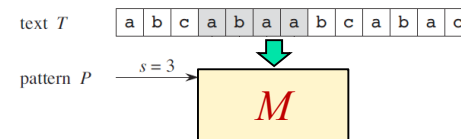
- A *finite automaton* M is a 5-tuple $M = (Q, q_0, A, \Sigma, \delta)$, where

- Q is a finite set of *states*,
- $q_0 \in Q$ is the *start state*,
- $A \subseteq Q$ is a distinguished set of *accepting states*,
- Σ is a finite *input alphabet*,
- δ is a function from $Q \times \Sigma$ into Q , called the *transition function* of M .

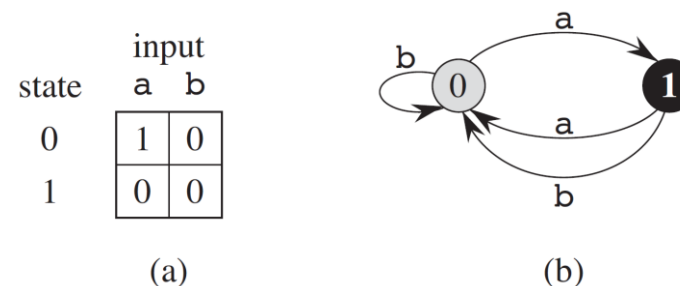
- The M begins in state q_0 and reads the characters of its input string one at a time. If the M is in state q and reads input a , it moves ("makes a transition") from state q to $\delta(q, a)$. Whenever its current state q is a member of A , the M is said to have *accepted* the string read so far. An input that is not accepted is said to be *rejected*.



Finite automata: an example



- Figure 32.6: A simple two-state finite automaton with state set $Q = \{0, 1\}$, start state $q_0 = 0$, and input alphabet $\Sigma = \{a, b\}$.



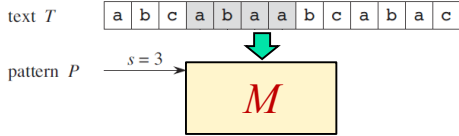
(a) A tabular representation of the transition function δ

(b) An equivalent state-transition diagram 状态转移图

a b a a a
 <0, 1, 0, 1, 0, 1>

- State 1 is the only accepting state** (shown blackened). Directed edges represent transitions. For example, the edge from state 1 to 0 labeled b indicates $\delta(1, b) = 0$. This automaton accepts those strings that end in an odd number of a's. For example, the sequence of states this automaton enters for input **abaaa** (including the start state) is <0, 1, 0, 1, 0, 1>, so it accepts this input. For input **abbaa**, the sequence of states is <0, 1, 0, 0, 1, 0>, so it rejects this input.

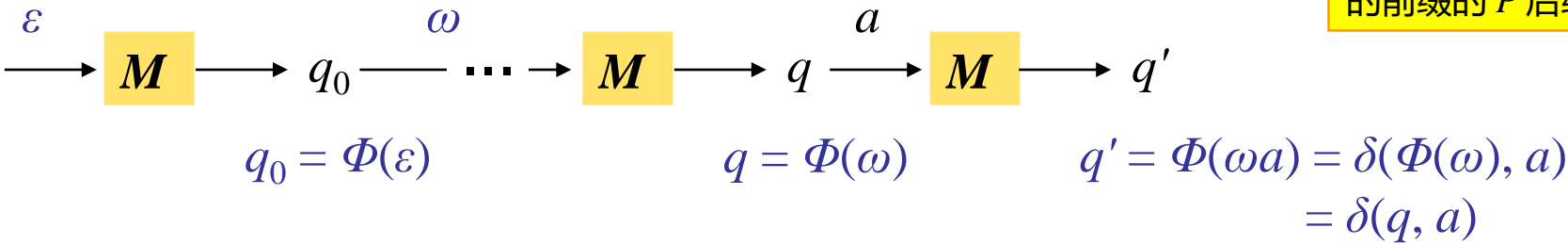
Finite automata: *final-state function*



- A finite automaton M induces a function Φ , called the *final-state function*, from Σ^* to Q such that $\Phi(\omega)$ is the state M ends up in after scanning the string ω .
终态函数：状态机 M 诱导出一个函数， M 读入字符串 ω 后得到状态 $\Phi(\omega)$
- Thus, M accepts a string ω if and only if $\Phi(\omega) \in A$.
- The function Φ is defined by the recursive relation

$$\Phi(\varepsilon) = q_0 ,$$

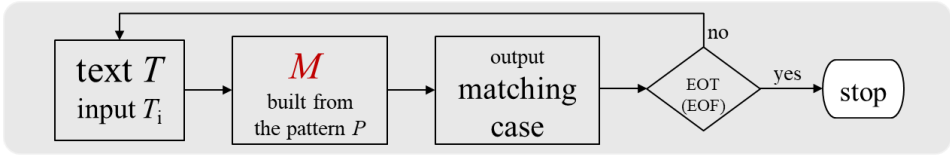
$$\Phi(\omega a) = \delta(\Phi(\omega), a) \text{ for } \omega \in \Sigma^*, a \in \Sigma .$$



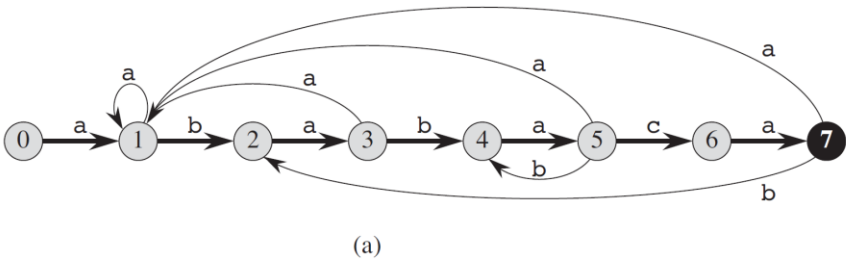
字符串匹配过程： M 从头到尾依序扫描文本 T ，扫描到的字符串都是 T 的前缀 T_x ，若有 P 匹配，则此时 P 为 T_x 的一个后缀。也就是，求文本 T 的前缀的 P 后缀。

终态函数的意义：扫描字符串 ω 后， ω 的后缀中，有多少个字符跟模版 P 的前缀 P_k 匹配

String-matching automata



- There is a string-matching automaton(**Machine**) for every pattern P .
- This automaton must be constructed from the pattern in a preprocessing step before it can be used to search the text string.
- Figure illustrates this construction for the pattern $P = \text{ababaca}$.



ω
 aba
 |||
 $P : \text{ababaca}$
 $\delta(2, a) = 3$

ω
 abaa
 |
 ababaca
 $\delta(3, a) = 1$

$q' = \Phi(\omega a) = \delta(\Phi(\omega), a) = \delta(q, a)$
 含义：读入 ω 时状态（与 P 中字符匹配个数）为 q ，再读入 a 时的状态是什么？

state	input			P
	a	b	c	
0	1	0	0	a
1	1	2	0	b
2	3	0	0	a
3	1	4	0	b
4	5	0	0	a
5	1	4	6	c
6	7	0	0	a
7	1	2	0	

$$M = (Q, q_0, A, \Sigma, \delta)$$

i	—	1	2	3	4	5	6	7	8	9	10	11
$T[i]$	—	a	b	a	b	a	b	a	c	a	b	a
state $\phi(T_i)$	0	1	2	3	4	5	4	5	6	7	2	3

应用：读入 T_k ，看 T_k 的后缀跟 P 的前缀 P_p 的匹配情况，匹配数为 m ，则字符串匹配。

String-matching automata: an example

(a) A state-transition **diagram** for the string-matching automaton that accepts all strings ending in the string **ababaca**. State 0 is the start state, and state 7 (shown blackened) is the only accepting state. A directed edge from state i to state j labeled α represents $\delta(i, \alpha) = j$. The right-going edges forming the “spine” of the automaton, shown heavy, correspond to successful matches between pattern and input characters.

The left-going edges correspond to failing matches.

指向左边的边表示当前输入字符匹配失败（模版 P 需要右移，重新进行匹配分析）。

Some edges corresponding to failing matches are not shown; by convention, if a state i has no outgoing edge labeled α for some $\alpha \in \Sigma$, then $\delta(i, \alpha) = 0$.

对状态 i ，输入时没有输出边，表示当前输入字符串的后缀与 P 零匹配，如状态 4 时，输入 b ，有 $\delta(4, b) = 0$ ，省略该输出边（输入 c 同理）。

$$M = (Q, q_0, A, \Sigma, \delta)$$

M of $P = ababaca$

(a diagram)

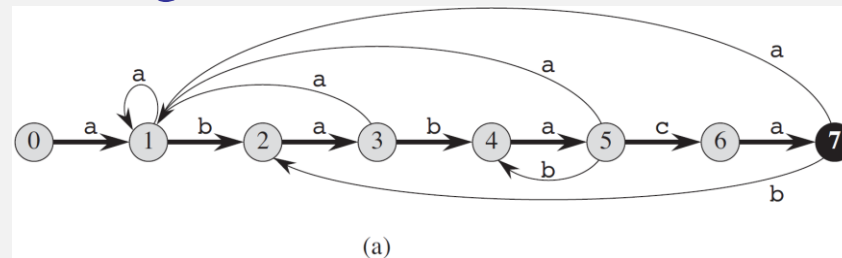


Figure 32.7

String-matching automata: an example

(b) The corresponding transition function δ (a **table**), and the pattern string $P = \mathbf{ababaca}$. The entries corresponding to successful matches between pattern and input characters are shown shaded.

以表格形式表示转移函数，输入字符和模版成功匹配的情况以见图中阴影符号。

M of $P = \mathbf{ababaca}$
(a table)

state	input			<i>P</i>
	a	b	c	
0	1	0	0	a
1	1	2	0	b
2	3	0	0	a
3	1	4	0	b
4	5	0	0	a
5	1	4	6	c
6	7	0	0	a
7	1	2	0	

(b)

(c) The operation of the automaton on the text $T = \mathbf{abababacaba}$.

自动机 M 在文本 T 上的操作情况，处理 T_i 后，其状态为 $\phi(T_i)$

One occurrence of the pattern is found, ending in position 9.

<i>i</i>	—	1	2	3	4	5	6	7	8	9	10	11
$T[i]$	—	a	b	a	b	a	b	a	c	a	b	a
state $\phi(T_i)$	0	1	2	3	4	5	4	5	6	7	2	3

(c)

Key: how to build $\delta(q, a)$?

String-matching automata: an example

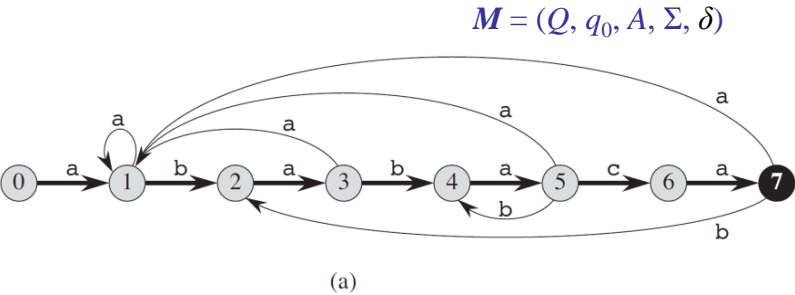
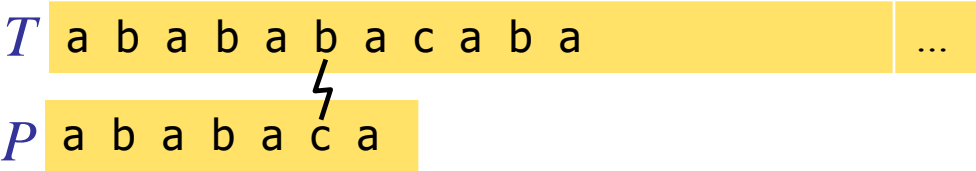
The operation of the automaton on the text $T = \text{abababacaba}$.

自动机 M 在文本 T 上的操作情况，处理 T_i 后，其状态为 $\phi(T_i)$

One occurrence of the pattern is found, ending in position 9.

从字符串匹配的扫描过程来理解 δ

$\delta(0, a) = 1, \delta(3, b) = 4, \delta(4, c) = 0, \delta(5, b) = 4$?



state	input			P
	a	b	c	
0	1	0	0	a
1	1	2	0	b
2	3	0	0	a
3	1	4	0	b
4	5	0	0	a
5	1	4	6	c
6	7	0	0	a
7	1	2	0	

(b)

i	—	1	2	3	4	5	6	7	8	9	10	11
$T[i]$	—	a	b	a	b	a	b	a	c	a	b	a
state $\phi(T_i)$	0	1	2	3	4	5	4	5	6	7	2	3

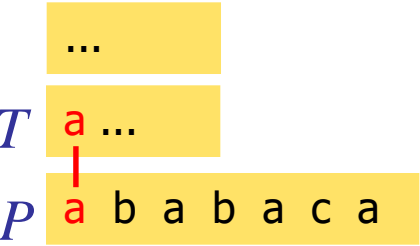
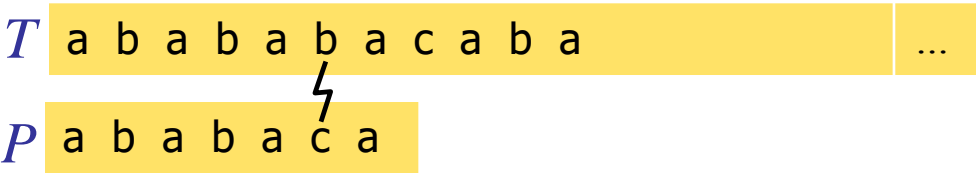
(c)

String-matching automata: an example

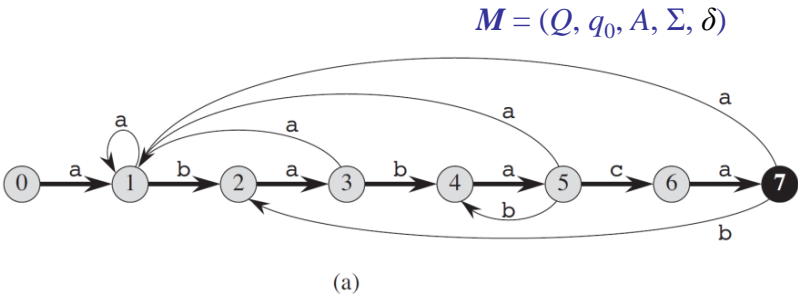
The operation of the automaton on the text $T = \text{abababacaba}$.

自动机处理 T_i 后，其状态为 $\phi(T_i)$

$\delta(0, a) = 1$?



从空字符开始，从文本串 T 的第一字符依序扫描，输入 $a\dots$ 时 (...表示还有很多字符)， P 的第1个跟其匹配，即 $\delta(0, a) = 1$ ；
 【*从 T 一个一个地扫描，跟 KMP 有相似性】



state	input			P
	a	b	c	
0	1	0	0	a
1	1	2	0	b
2	3	0	0	a
3	1	4	0	b
4	5	0	0	a
5	1	4	6	c
6	7	0	0	a
7	1	2	0	

(b)

i	—	1	2	3	4	5	6	7	8	9	10	11
$T[i]$	—	a	b	a	b	a	b	a	c	a	b	a
state $\phi(T_i)$	0	1	2	3	4	5	4	5	6	7	2	3

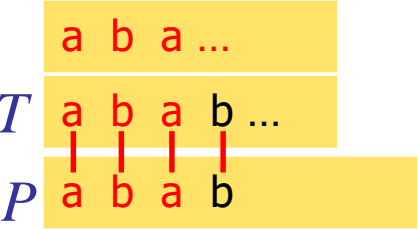
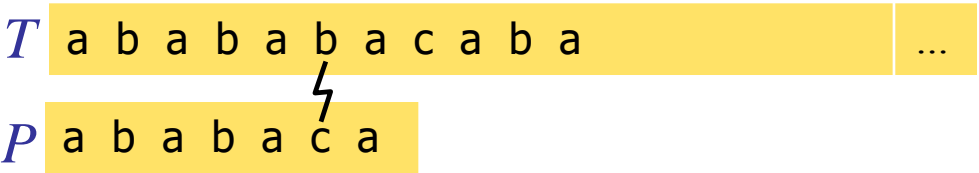
(c)

String-matching automata: an example

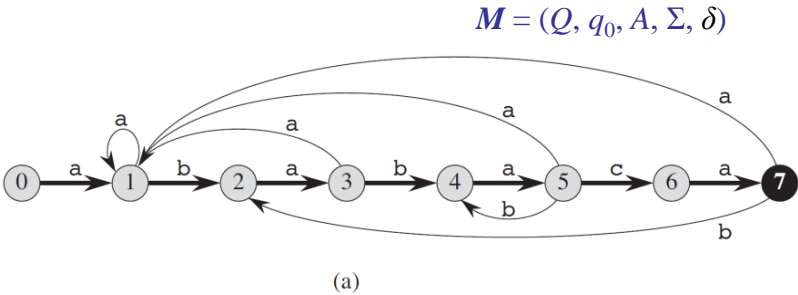
The operation of the automaton on the text $T = \text{abababacaba}$.

自动机处理 T_i 后，其状态为 $\phi(T_i)$

$\delta(0, a) = 1, \delta(3, b) = 4$?



状态3时（有3个匹配），
输入b，即文本串 T 为
abab...时（...表示还有很多
字符）， P 的前4个跟其匹
配，即 $\delta(3, b) = 4$



state	input			P
	a	b	c	
0	1	0	0	a
1	1	2	0	b
2	3	0	0	a
3	1	4	0	b
4	5	0	0	a
5	1	4	6	c
6	7	0	0	a
7	1	2	0	

(b)

i	—	1	2	3	4	5	6	7	8	9	10	11
$T[i]$	—	a	b	a	b	a	b	a	c	a	b	a
state $\phi(T_i)$	0	1	2	3	4	5	4	5	6	7	2	3

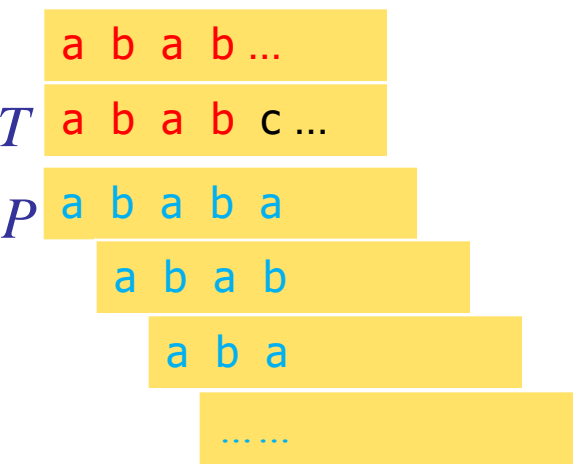
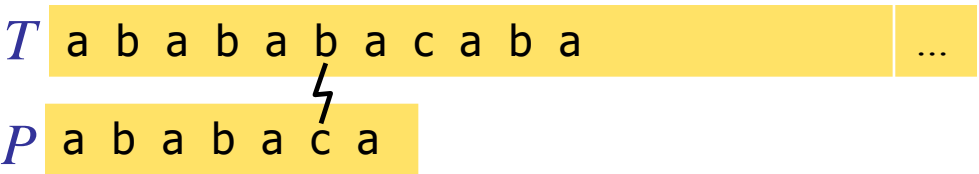
(c)

String-matching automata: an example

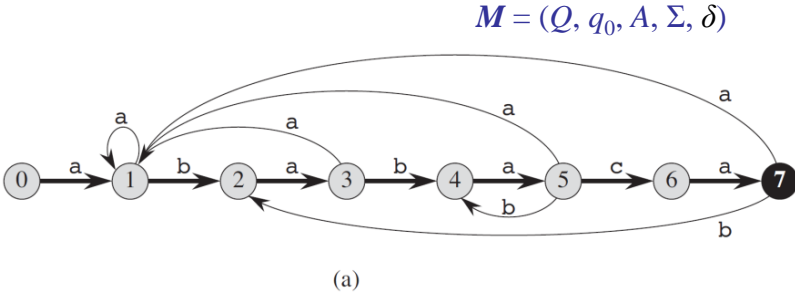
The operation of the automaton on the text $T = \text{abababacaba}$.

自动机处理 T_i 后, 其状态为 $\Phi(T_i)$

$\delta(0, a) = 1, \delta(3, b) = 4, \delta(4, c) = 0$?



Naive: 状态4时 (有4个匹配), 输入c, 即文本串 T 为ababc...时, P 的前5个跟其不匹配, 即 $\delta(4, c) \neq 5$;
把 P 按字符右移1位 (寻找新的可能匹配), P 的前4个跟其不匹配, 即 $\delta(4, c) \neq 4$;
把 P 按字符右移2位, P 的前3个跟其不匹配, 即 $\delta(4, c) \neq 3$; 以此类推。



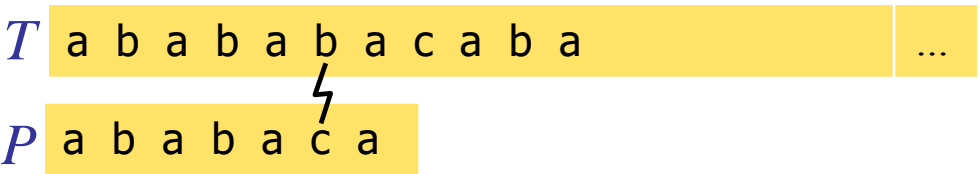
state	input			P
	a	b	c	
0	1	0	0	a
1	1	2	0	b
2	3	0	0	a
3	1	4	0	b
4	5	0	0	a
5	1	4	6	c
6	7	0	0	a
7	1	2	0	

String-matching automata: an example

The operation of the automaton on the text $T = \text{abababacaba}$.

自动机处理 T_i 后, 其状态为 $\Phi(T_i)$

$\delta(0, a) = 1, \delta(3, b) = 4, \delta(4, c) = 0, \delta(5, b) = 4$?



这样单步移动不需要

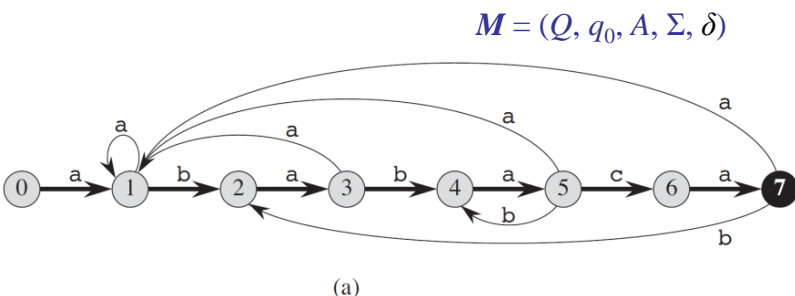
快速移动到这里

每次把 P 右移1位后, 都从 P 的第一个字符开始匹配, 跟 naive方法一样?

肯定不用这样做!

快速右移到 $\delta(q, x)$ 处!

求 $\delta(q, x)$ 是关键!



state	input			P
	a	b	c	
0	1	0	0	a
1	1	2	0	b
2	3	0	0	a
3	1	4	0	b
4	5	0	0	a
5	1	4	6	c
6	7	0	0	a
7	1	2	0	

(b)

i	—	1	2	3	4	5	6	7	8	9	10	11
$T[i]$	—	a	b	a	b	a	b	a	c	a	b	a
state $\phi(T_i)$	0	1	2	3	4	5	4	5	6	7	2	3

(c)

String-matching automata: *suffix function*

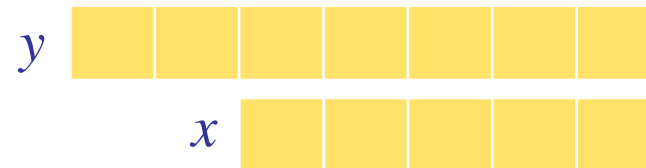


- Suffix function σ **corresponding to P** :

A mapping from Σ^* to $\{0, 1, \dots, m\}$ such that $\sigma(x)$ is the length of the longest prefix of P that is a suffix of x (后缀函数西格玛 σ : 字符串 x 的后缀, 且是 P 的最长前缀的长度)

$$\sigma(x) = \max \{k : P_k \sqsupseteq x\}.$$

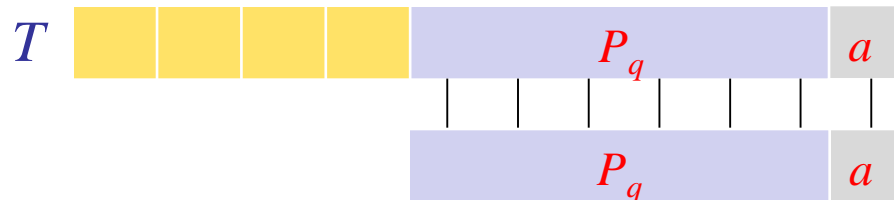
- The suffix function σ is well defined since the empty string $P_0 = \varepsilon$ is a suffix of every string.
As examples, (任何字符串, 针对模版 P , 都存在后缀函数)
 - ◆ for the pattern $P = ab$, we have $\sigma(\varepsilon) = 0$, $\sigma(ccaca) = 1$, and $\sigma(ccab) = 2$.
- For a pattern P of length m , we have $\sigma(x) = m$ **if and only if** $P \sqsupseteq x$.
- From the definition of the suffix function, if $x \sqsupseteq y$, then $\sigma(x) \leq \sigma(y)$.



String-matching automata

$$M = (Q, q_0, A, \Sigma, \delta)$$

$$\sigma(x) = \max \{k : P_k \sqsupseteq x\}.$$



We define the *string-matching automaton* that corresponds to a given pattern $P[1 .. m]$ as follows. (模版 P 的字符串匹配自动机定义如下)

- ◆ The transition function δ is defined by the following equation, for any **state** q and character a : (状态转移函数 δ 定义为后缀函数, 如下)

$$\delta(q, a) = \sigma(P_q a) \quad (32.3)$$

- ◆ where, the state set Q is $\{0, 1, \dots, m\}$, the start state q_0 is state 0, and state m is the only accepting state A .

$\delta(q, a) = \sigma(P_q a)$ 的定义合理, 后面将证明, $\delta(q, a) = \sigma(P_q a) = \sigma(T_i a)$, 即, 扫描 T_i 后, 匹配为 P_q , 接着读入 a , 对 $T_i a$ 的匹配与对 $P_q a$ 的匹配是一样的(**Lemma 32.1**)。 $P_q a$ 的长度比 $T_i a$ 短, 处理起来 (求 δ) 就简单得多。 换一个角度, 自动机跟模版 P 和输入字母表 Σ 相关, 即, 字母表 Σ 和一个 P 可构造一个自动机。

String-matching automata

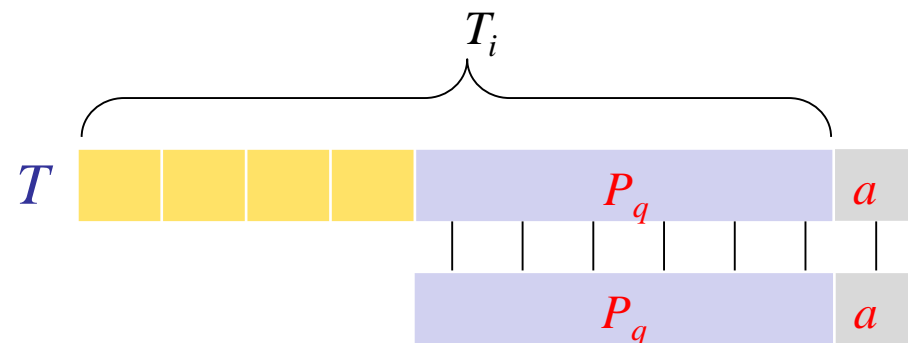
$$M = (Q, q_0, A, \Sigma, \delta)$$

$$\sigma(x) = \max \{k : P_k \sqsupseteq x\}.$$

We define the *machine* M :

$$Q = \{0, 1, \dots, m\}; q_0 = 0; A = \{m\}; \Sigma;$$

$$\delta(q, a) = \sigma(P_q a) \quad (32.3)$$



Intuitively, the machine M maintains an invariant:

$$\Phi(T_i) = \sigma(T_i), \quad (\text{where, } \Phi(T_i) = q = \sigma(T_i)). \quad (32.4)$$

自动机 M 扫描字符串 T 的过程中, 扫描到前缀子串 T_i 时状态为 q (为 T_i 的后缀函数 $\sigma(T_i)$), 接着扫描下一个字符 $T[i+1]$ (记为 a), 状态转移到 $\delta(q, a) = \sigma(P_q a)$, 这就是扫描到前缀子串 T_{i+1} 时状态 (为 T_{i+1} 的后缀函数 $\sigma(T_{i+1})$)

扫描过程

从模版 P 着手

匹配情况 (状态)

$$\Phi(T_{i+1}) = \Phi(T_i a) = \delta(\Phi(T_i), a) = \delta(q, a) = \sigma(P_q a) = \sigma(T_i a) = \sigma(T_{i+1}) \quad (32.4)^*$$

[(32.3) maintains the invariant, or, it is rationale for defining (32.3).]

String-matching automata

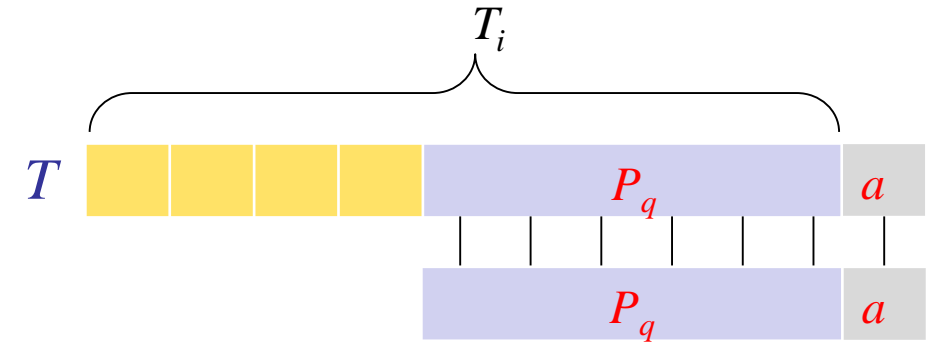
$$M = (Q, q_0, A, \Sigma, \delta)$$

$$\sigma(x) = \max \{k : P_k \sqsupseteq x\}.$$

We define the *machine* M :

$$Q = \{0, 1, \dots, m\}; q_0 = 0; A = \{m\}; \Sigma;$$

$$\delta(q, a) = \sigma(P_q a) \quad (32.3)$$



- $\Phi(T_{i+1}) = \Phi(T_i a) = \delta(\Phi(T_i), a) = \delta(q, a) = \sigma(P_q a) \stackrel{?}{=} \sigma(T_i a) = \sigma(T_{i+1}) \quad (32.4)$
 [(32.3) maintains the invariant, or, it is rationale for defining (32.3).]

- *Lemma 32.3:* $\sigma(T_i a) = \sigma(P_q a) \quad (32.A)$

this lemma means definition (32.3) maintains the desired invariant (32.4).

- **Compute:** With (32.A), to compute $\sigma(T_i a)$, we can compute $\sigma(P_q a)$.

String-matching automata

$$M = (Q, q_0, A, \Sigma, \delta)$$

$$\sigma(x) = \max \{k : P_k \sqsupset x\}.$$

We define the *machine* M :

$$Q = \{0, 1, \dots, m\}; q_0 = 0; A = \{m\}; \Sigma;$$

$$\delta(q, a) = \sigma(P_q a) \quad (32.3)$$

$$\bullet \quad \Phi(T_{i+1}) = \Phi(T_i a) = \delta(\Phi(T_i), a) = \delta(q, a) = \sigma(P_q a) = \sigma(T_i a) = \sigma(T_{i+1}) \quad (32.4)$$

[(32.3) maintains the invariant, or, it is rationale for defining (32.3).]

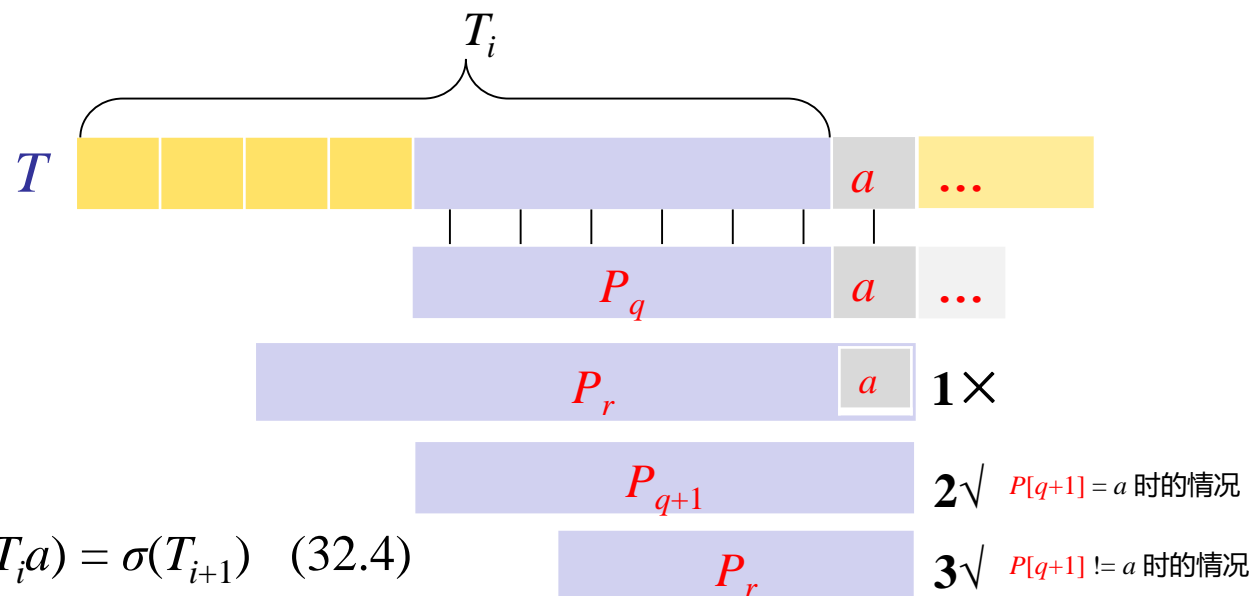
$$\bullet \quad \text{Lemma 32.3: If } \sigma(T_i) = \sigma(P_q) = q, \text{ then } \sigma(T_i a) = \sigma(P_q a). \quad (32.A)$$

Proof

Situation 1 is impossible ($\sigma(T_i a) > q+1$ 不可能) . if 1 满足, $\sigma(T_i) > q$, 与假设矛盾。

Apparently, if $P[q+1] = a$, it is situation 2, $\sigma(T_i a) = \sigma(P_{q+1}) = q+1$; else, situation 3.

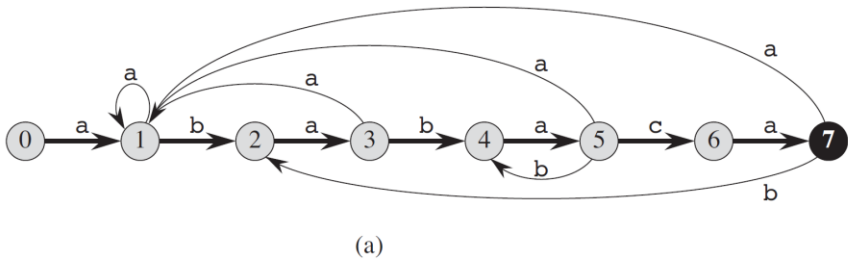
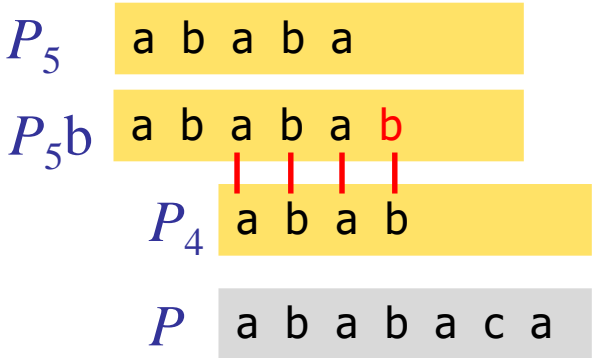
- 32.3 and 32.A show the automaton is in state $\sigma(T_i)$ after scanning character $T[i]$. Since $\sigma(T_i) = m$ if and only if $P \sqsupset T_i$, the machine is in the accepting state m if and only if the pattern P has just been scanned.



String-matching automata

For example, in the string-matching automaton of Figure 32.7 ($P = \text{ababaca}$), $\delta(5, b) = 4$.

We make this transition because if the automaton reads a **b** in state $q = 5$, then $P_q \text{b} = \text{ababab}$, and then, $\delta(5, b) = \sigma(\text{ababab}) = 4$.



state	input			P
	a	b	c	
0	1	0	0	a
1	1	2	0	b
2	3	0	0	a
3	1	4	0	b
4	5	0	0	a
5	1	4	6	c
6	7	0	0	a
7	1	2	0	

Figure 32.7

i	—	1	2	3	4	5	6	7	8	9	10	11
$T[i]$	—	a	b	a	b	a	b	a	c	a	b	a
state $\phi(T_i)$	0	1	2	3	4	5	4	5	6	7	2	3

$$\sigma(x) = \max \{k : P_k \sqsupseteq x\}.$$

We define the *machine* M :

$$Q = \{0, 1, \dots, m\};$$

$$q_0 = 0; A = \{m\}; \Sigma;$$

$$\delta(q, a) = \sigma(P_q a). \quad (32.3)$$

String-matching automata: *program*

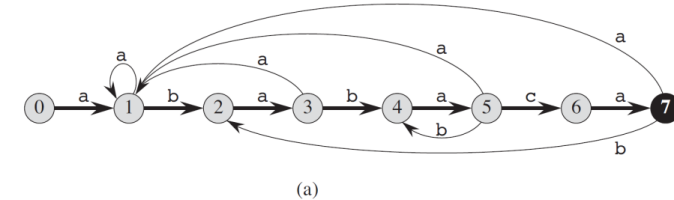
$$\sigma(x) = \max \{k : P_k \sqsupset x\}.$$

A string-matching automaton

$M = (Q, q_0, A, \Sigma, \delta) :$

$Q = \{0, 1, \dots, m\}; q_0 = 0; A = \{m\}; \Sigma;$

$\delta(q, a) = \sigma(P_q a) = \sigma(T_{i-1} a) . \quad (32.3)$



state	input			P
	a	b	c	
0	1	0	0	a
1	1	2	0	b
2	3	0	0	a
3	1	4	0	b
4	5	0	0	a
5	1	4	6	c
6	7	0	0	a
7	1	2	0	

i	—	1	2	3	4	5	6	7	8	9	10	11
T[i]	—	a	b	a	b	a	b	a	c	a	b	a
state $\phi(T_i)$	0	1	2	3	4	5	4	5	6	7	2	3

(b)

(c)

FINITE-AUTOMATON-MATCHER(T, δ, m)

```

1   $n \leftarrow \text{length}[T]$ 
2   $q \leftarrow 0$ 
3  for  $i \leftarrow 1$  to  $n$     // scan  $T$ 
4       $a \leftarrow T[i]$ 
5       $q \leftarrow \delta(q, a)$ 
6      if  $q == m$ 
7          print "Pattern occurs with shift"  $i - m$ 
```

状态 q 时, 输入 a , 通过查转移函数表可知新状态为 $\delta(q, a)$, 将新状态赋值给 q , 如果 $q == m$, 即有 m 个字符匹配, 输出一个匹配位置。

Running time ?

- ◆ The matching time is $\Theta(n)$.
- ◆ However, it does not include the preprocessing time required to compute the transition function δ .

Computing the transition function

$$\sigma(x) = \max \{k : P_k \sqsupseteq x\}.$$

A string-matching automaton

$M = (Q, q_0, A, \Sigma, \delta) :$

$Q = \{0, 1, \dots, m\}; q_0 = 0; A = \{m\}; \Sigma;$

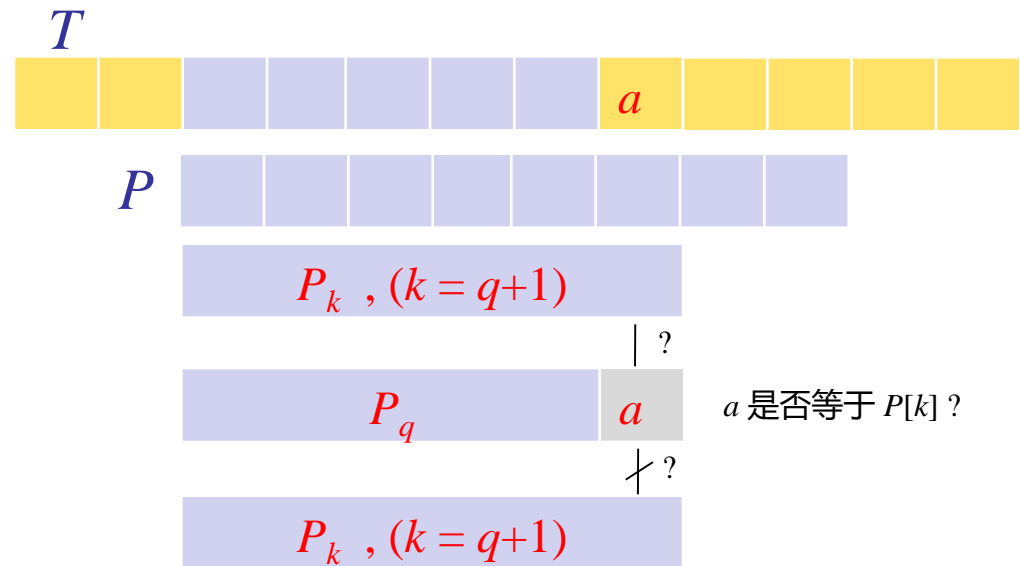
$$\delta(q, a) = \sigma(P_q a). \quad (32.3)$$

Computing δ from a given pattern $P[1 .. m]$:

COMPUTE-TRANSITION-FUNCTION(P, Σ)

```

1   $m \leftarrow \text{length}[P]$ 
2  for  $q \leftarrow 0$  to  $m$ 
3    for each character  $a \in \Sigma$ 
4       $k \leftarrow \min(m, q + 1)$  //  $P$  的最大长度为  $m$ 
5      while  $P_k \not\sqsupseteq P_q a$ 
6         $k--$ 
7       $\delta(q, a) \leftarrow k$ 
8  return  $\delta$ 
```



P_q 时 (即 T 与 P 的前 q 个字符匹配时) , 输入第 $q+1$ (即第 k 个) 字符 a 时:

1. $k = q+1$ (超过 m 时, 即已匹配, 即 $q=m$, 取 $k=m$, 因匹配数不大于 m)
2. $P_k \sqsupseteq P_q a$?

Computing the transition function

$$\sigma(x) = \max \{k : P_k \sqsupseteq x\}.$$

A string-matching automaton

$M = (Q, q_0, A, \Sigma, \delta) :$

$Q = \{0, 1, \dots, m\}; q_0 = 0; A = \{m\}; \Sigma;$

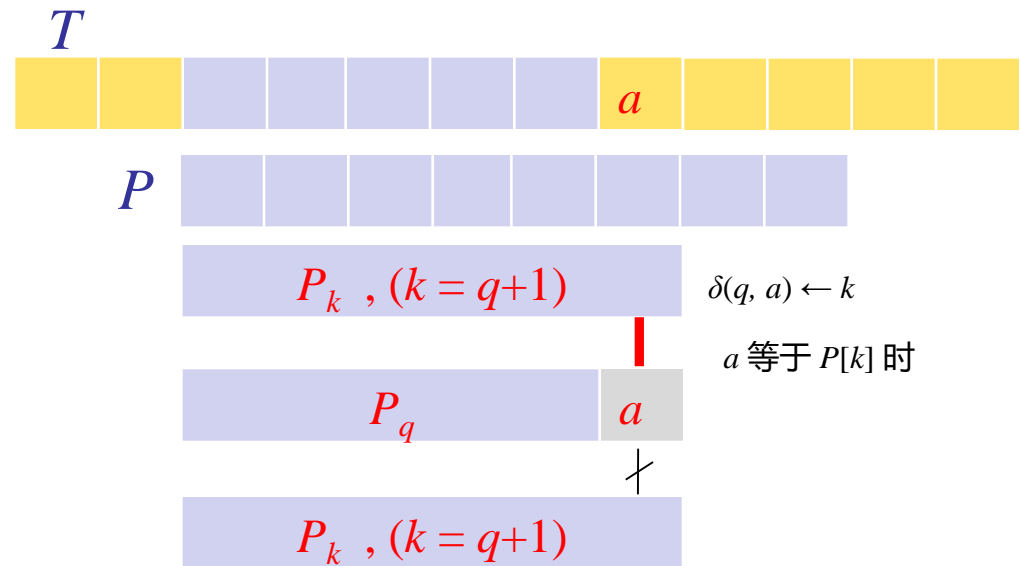
$\delta(q, a) = \sigma(P_q a) .$ (32.3)

Computing δ from a given pattern $P[1 .. m]$:

COMPUTE-TRANSITION-FUNCTION(P, Σ)

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1   $m \leftarrow \text{length}[P]$ 
2  for  $q \leftarrow 0$  to  $m$ 
3    for each character  $a \in \Sigma$ 
4       $k \leftarrow \min(m, q + 1)$  // 模版长度为  $m$ , 因此匹配数最多为  $m$ 
5      while  $P_k \not\sqsupseteq P_q a$ 
6         $k--$ 
7       $\delta(q, a) \leftarrow k$ 
8  return  $\delta$ 
```



P_q 时 (即 T 与 P 的前 q 个字符匹配时) , 输入第 $q+1$ (即第 k 个) 字符 a 时:

1. $k = q+1$ (超过 m 时, 取 m , 匹配数不大于 m)

2. $P_k \sqsupseteq P_q a$?

3. 若2成立, 则 $P_q a == P_k$, 即, 对在 T 的继续扫描过程中, 若扫描的下一个字符 $a == P[k]$, 则匹配字符增加 1 (或继续为 m)

Computing the transition function

$$\sigma(x) = \max \{k : P_k \sqsupseteq x\}.$$

A string-matching automaton

$M = (Q, q_0, A, \Sigma, \delta) :$

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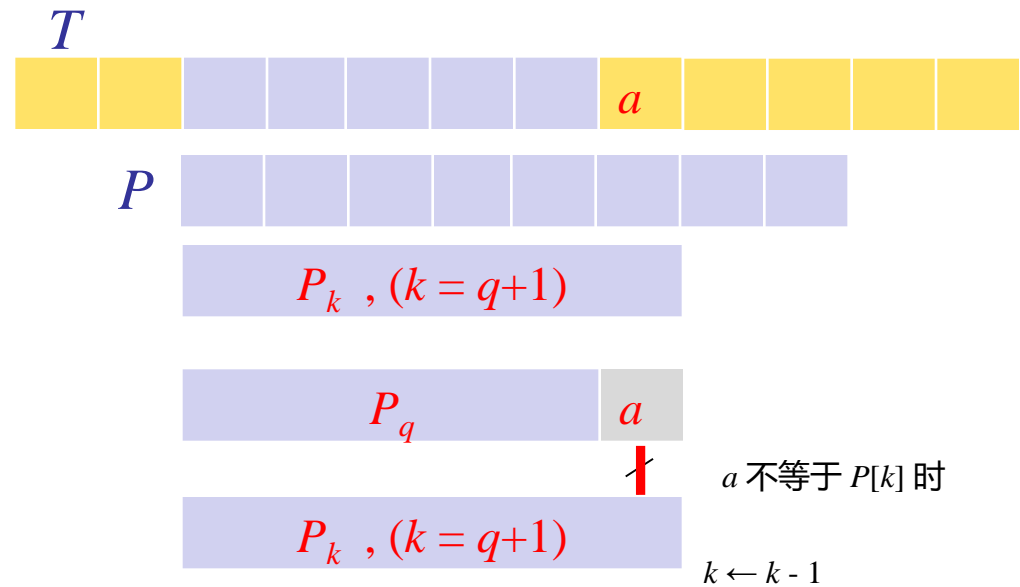
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```



P_q 时 (即 T 与 P 的前 q 个字符匹配时) , 输入第 $q+1$ (即第 k 个) 字符 a 时:

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4. 若2不成立, 即 $P_q a \neq P_k$, 即, 对在 T 的继续扫描过程中, 若扫描的下一个字符 $a \neq P[k]$, 模版右移($k--$), goto step 2

Computing the transition function

$$\sigma(x) = \max \{k : P_k \sqsupseteq x\}.$$

A string-matching automaton

$M = (Q, q_0, A, \Sigma, \delta) :$

$Q = \{0, 1, \dots, m\}; q_0 = 0; A = \{m\}; \Sigma;$

$\delta(q, a) = \sigma(P_q a) . \quad (32.3)$

Computing δ from a given pattern $P[1 .. m]$:

COMPUTE-TRANSITION-FUNCTION(P, Σ)

1 $m \leftarrow \text{length}[P]$

2 **for** $q \leftarrow 0$ to m

3 **for** each character $a \in \Sigma$

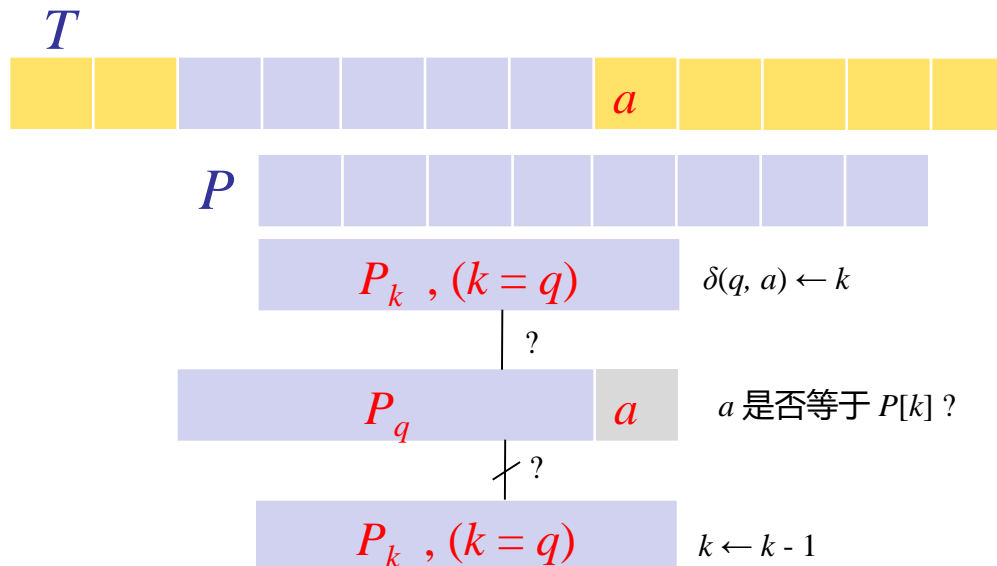
4 $k \leftarrow \min(m, q + 1)$

5 **while** $P_k \not\sqsupseteq P_q a$

6 $k--$

7 $\delta(q, a) \leftarrow k$

8 **return** δ



P_q 时 (即 T 与 P 的前 q 个字符匹配时) , 输入第 $q+1$ (即第 k 个) 字符 a 时:

1. $k = q+1$ (超过 m 时, 取 m , 匹配数不大于 m)

2. $P_k \sqsupseteq P_q a$?

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Computing the transition function

$$\sigma(x) = \max \{k : P_k \sqsupseteq x\}.$$

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1 $m \leftarrow \text{length}[P]$

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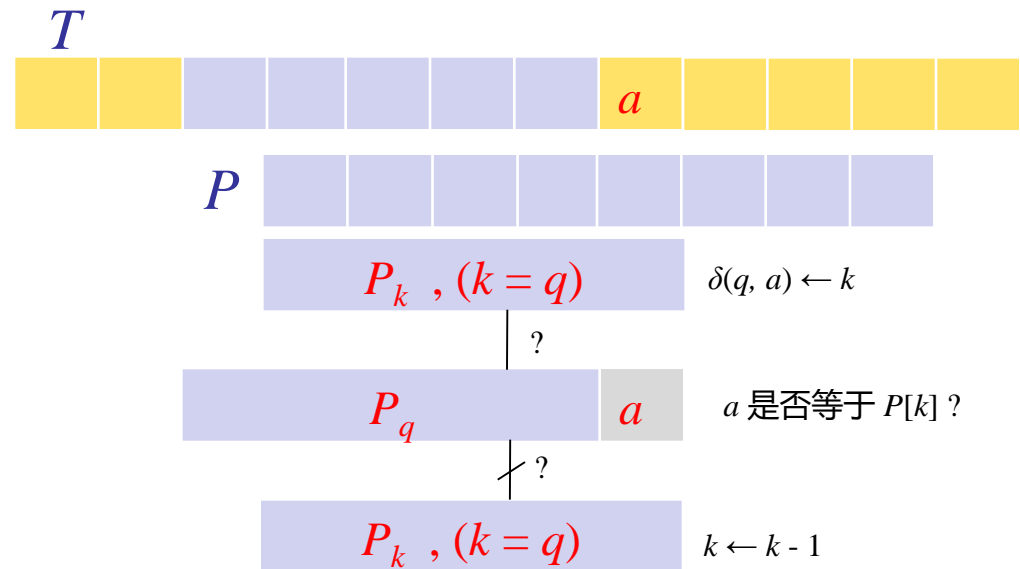
4 $k \leftarrow \min(m, q + 1)$

5 **while** $P_k \not\sqsupseteq P_q a$

6 $k--$

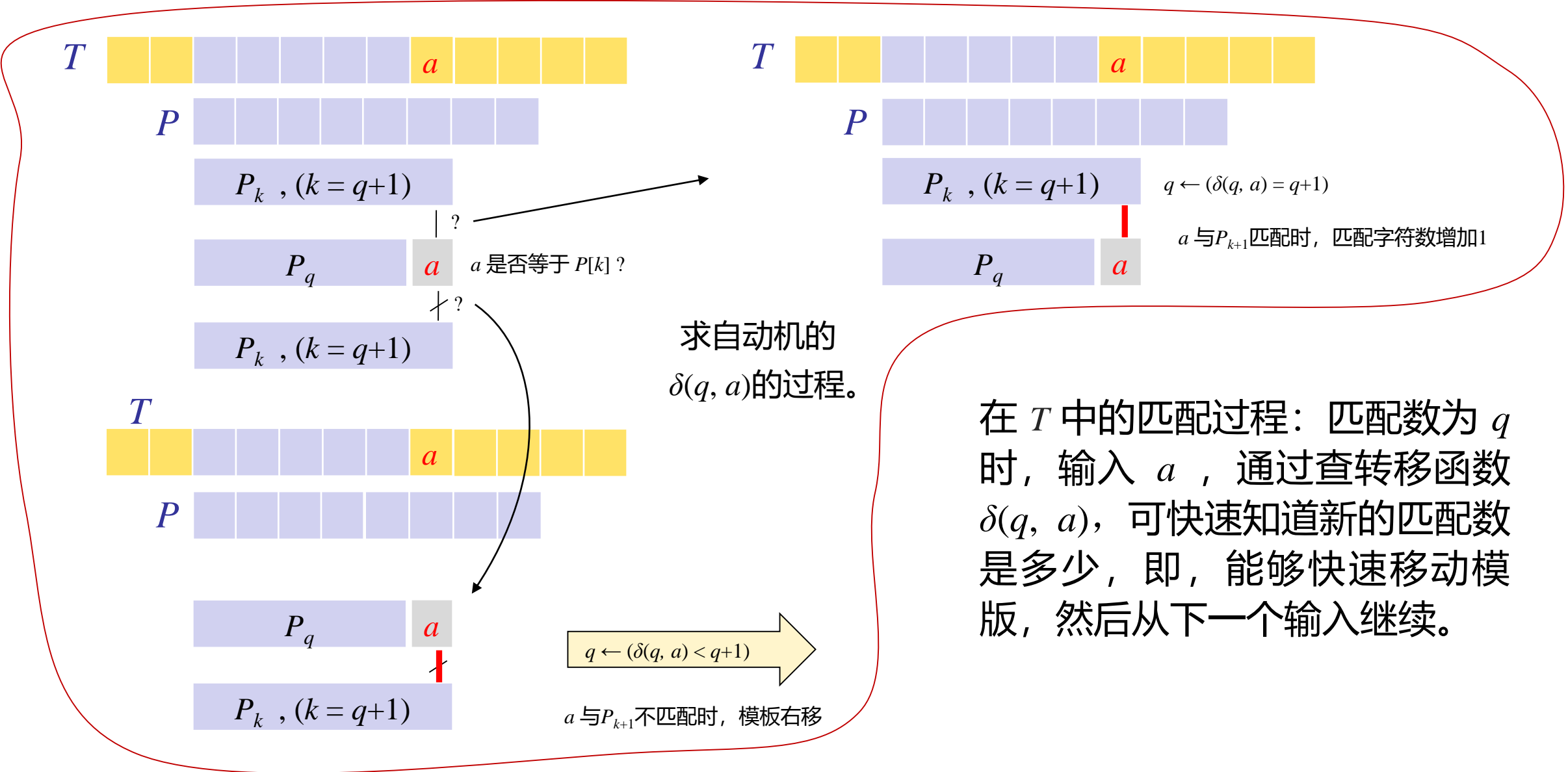
7 $\delta(q, a) \leftarrow k$

8 **return** δ

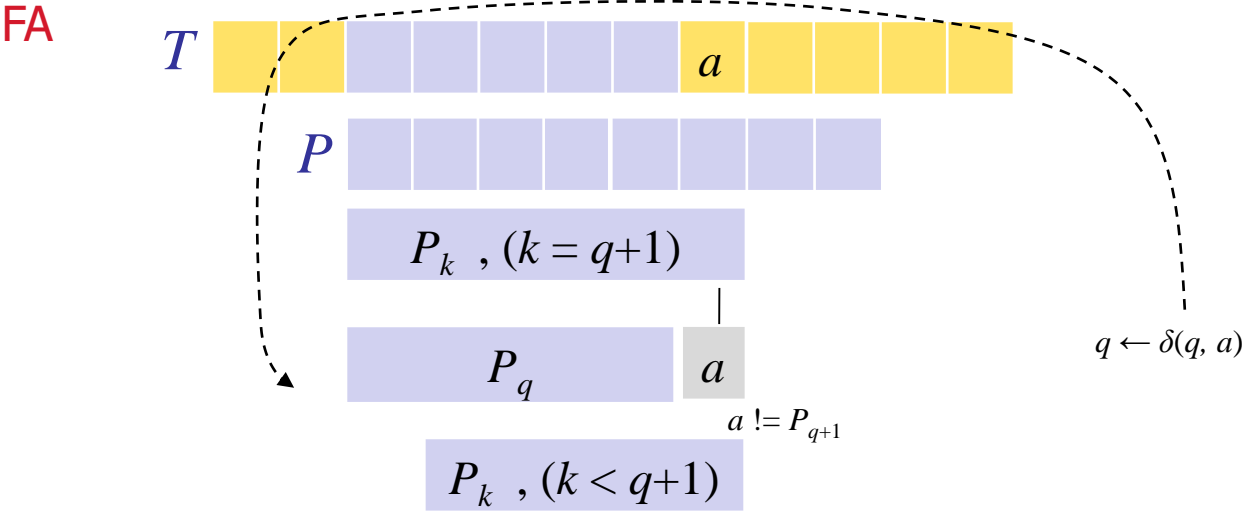


- Running time ?

32.3 String matching with finite automata (有限自动机, 有穷自动机)

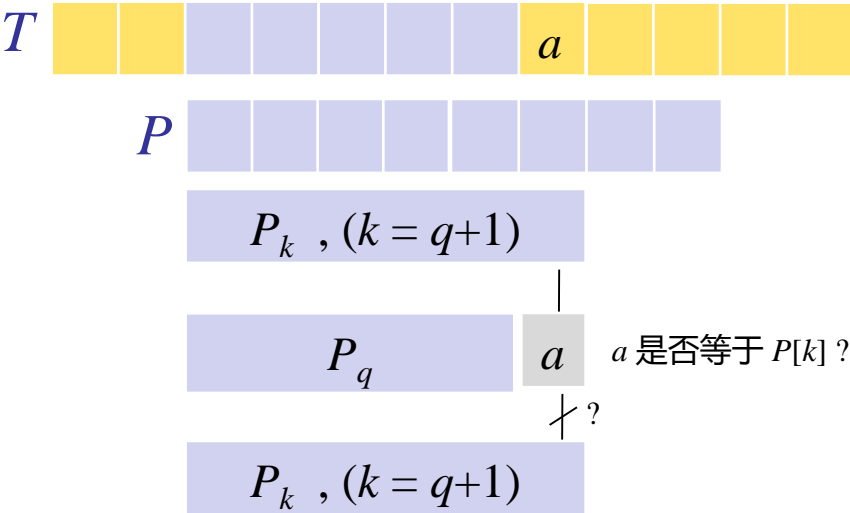


*32.4 The Knuth-Morris-Pratt algorithm

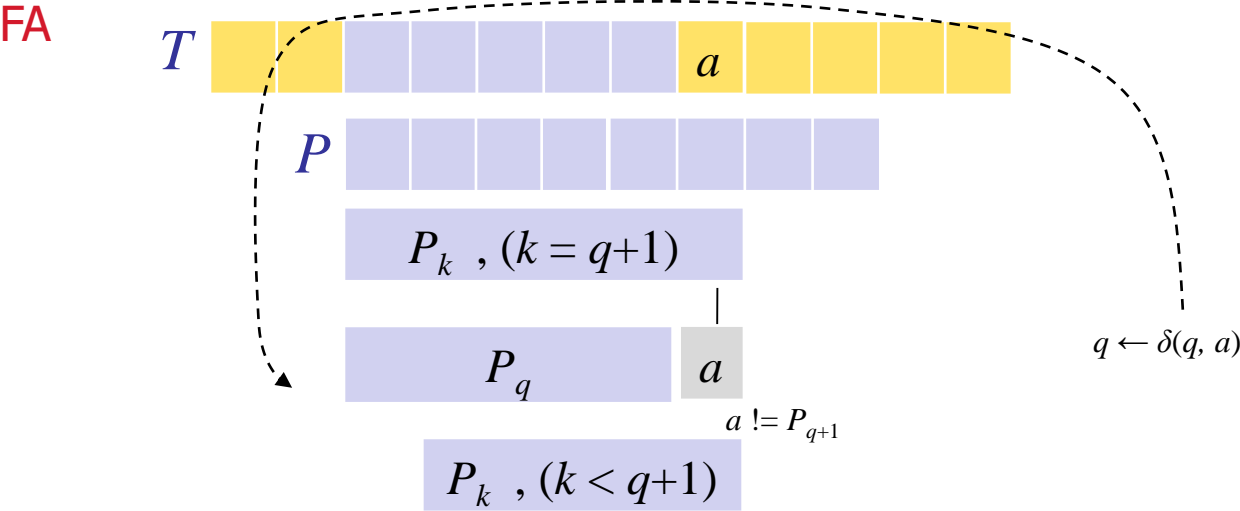


对 T 的扫描过程:

根据输入的 a , 然后查表 δ 知道需要转移的位置。
自动机构造完后, 从 δ 已经知道输入 a 后 P 应快速右移多少 (这种思想跟 KMP 相似, 但 FA 的核心在于求 δ 有额外计算开销) 。
 T 中一个字符扫描一次。

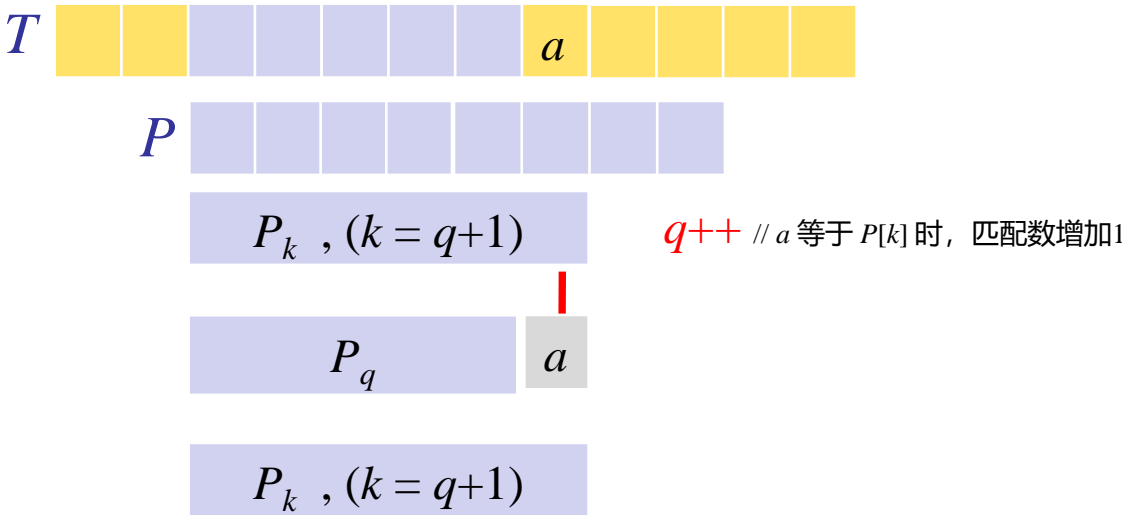


*32.4 The Knuth-Morris-Pratt algorithm

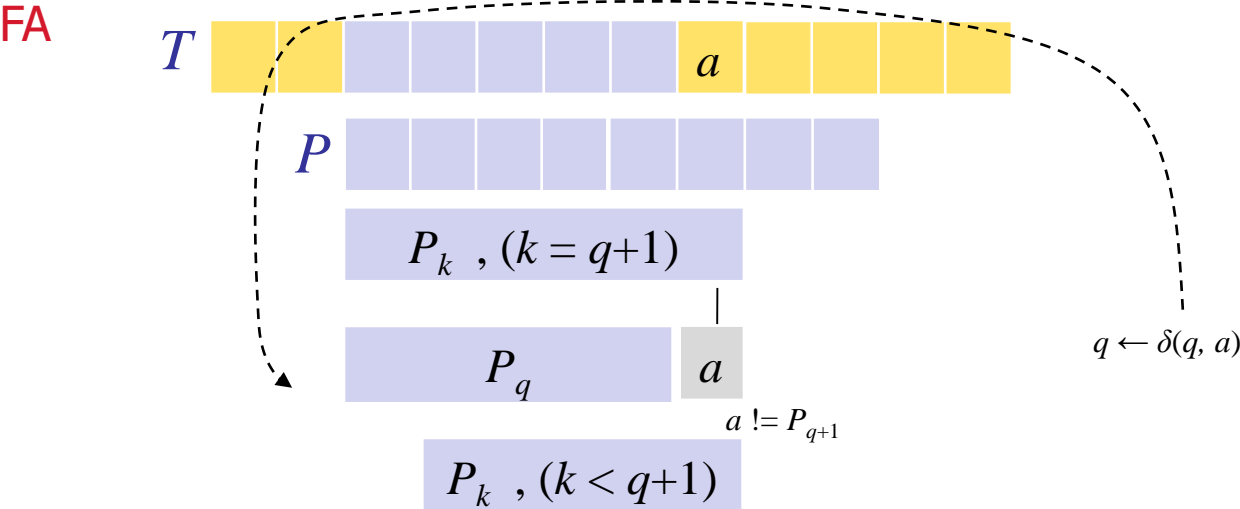


对 T 的扫描过程:

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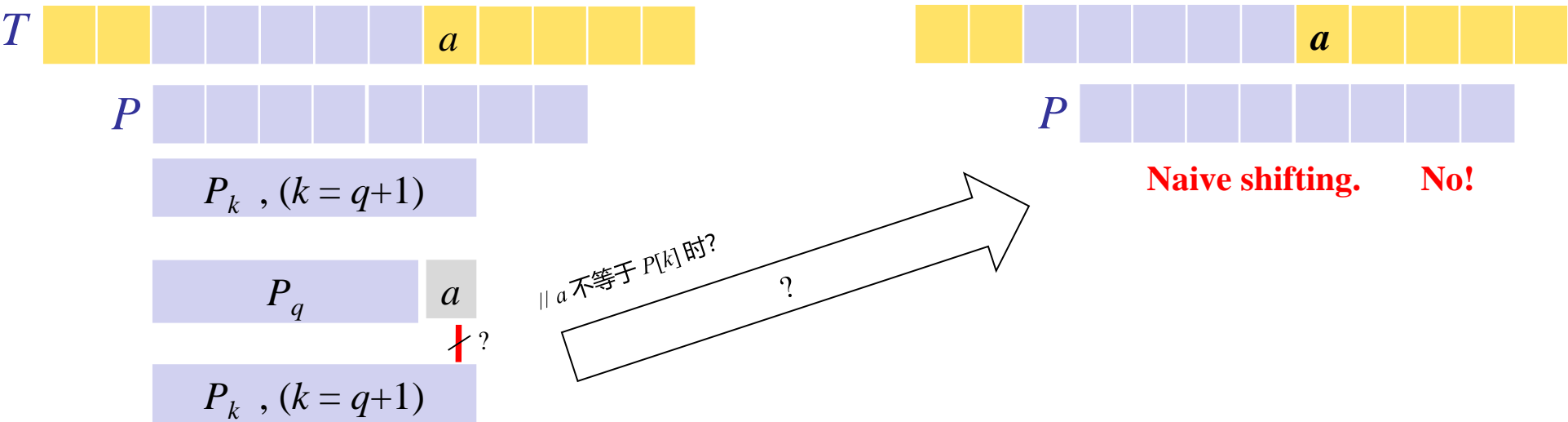


*32.4 The Knuth-Morris-Pratt algorithm

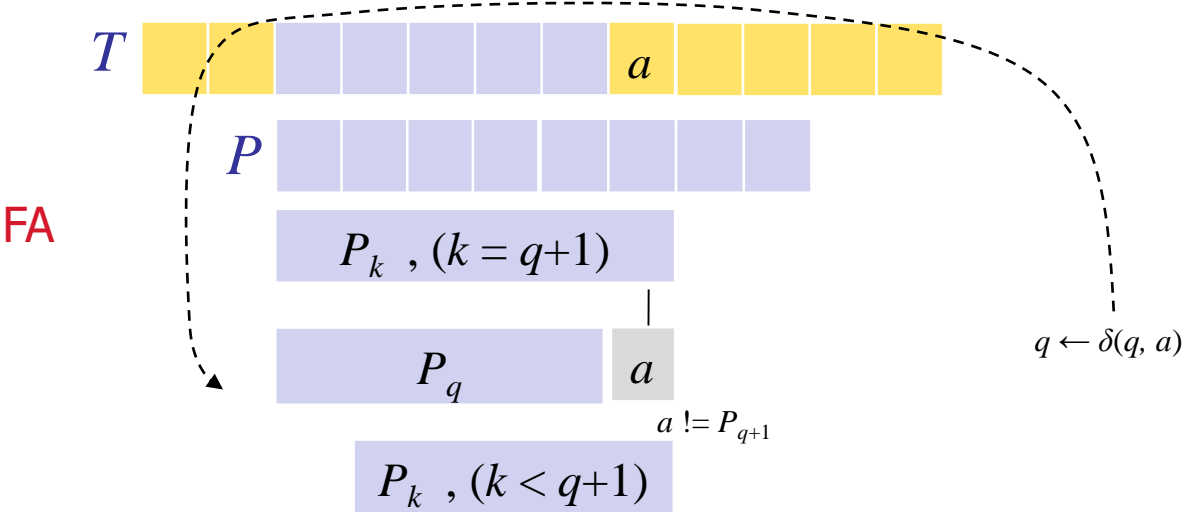


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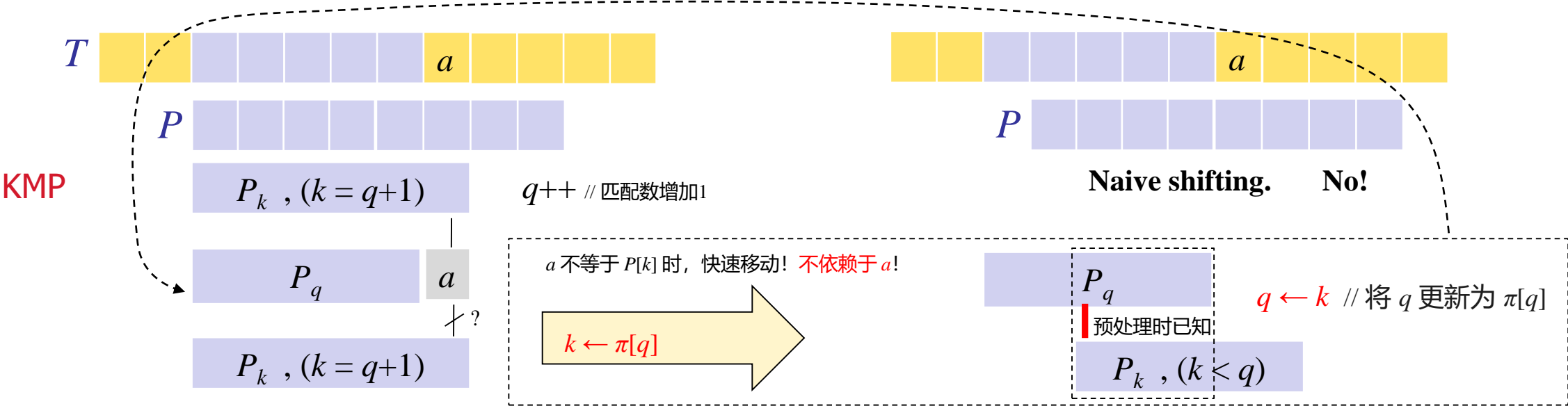


*32.4 The Knuth-Morris-Pratt algorithm



对 T 的扫描过程:

根据输入的 a , 然后查表 δ 知道需要转移的位置。
自动机构造完后, 从 δ 已经知道输入 a 后 P 应快速右移多少 (这种思想跟 KMP 相似, 但 FA 的核心在于求 δ 有额外计算开销) 。
 T 中一个字符扫描一次。



KMP本质: P 的前缀 P_q 与 T 的匹配; $P_k (k < q)$ 是 P_q 的后缀 (也是 P_q 的前缀) , 最大的 k 是多少? $q \leftarrow \pi(q)$ 后, 重新看是否 $a == P[q+1]$ (重复执行此过程)

KMP 的前缀函数构造完后, 在 T 中无论下一个输入 a 是什么都知道偏移多少位置 (从 P_q 移动到 P_k) (偏移跟输入 a 无关, 这是KMP跟FA方法的区别) 。 T 中一个字符 a 可能扫描多次。

*32.4 The Knuth-Morris-Pratt algorithm

KMP is a linear-time string-matching algorithm due to Knuth, Morris, and Pratt.

Fast pattern matching in strings

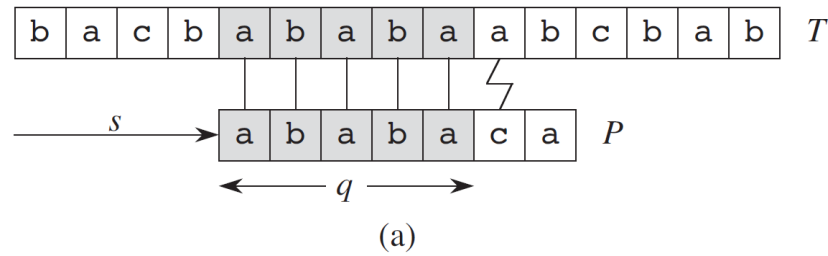
DE Knuth, JH Morris, Jr, VR Pratt - SIAM journal on computing, 1977 - SIAM

... Finally, 8 discusses still more recent work on **pattern matching**. ... The idea behind this approach to **pattern matching** is perhaps easiest to grasp if we imagine placing the **pattern** over the ...

☆ 保存 引用 被引用次数: 4686 相关文章 所有 17 个版本 》》

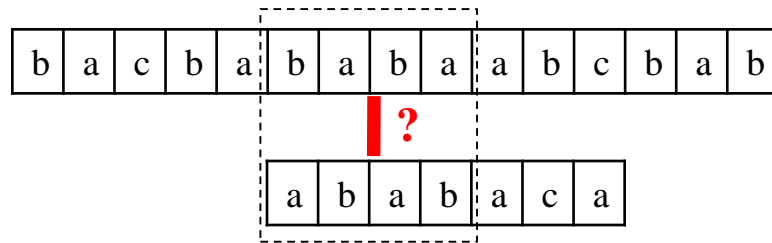
三个作者分别提出，联合发表的一篇文章。
KMP 是一个很伟大的算法。

*32.4 The Knuth-Morris-Pratt algorithm



$P_5 \supset T_{s+5}$, but,

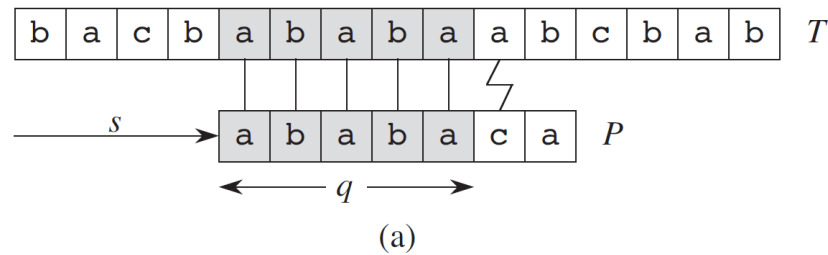
$T[s+5+1] \neq P[5+1] \quad (q = 5)$



Naive shifting, $P_4 \supset T_{s+1+4}$?

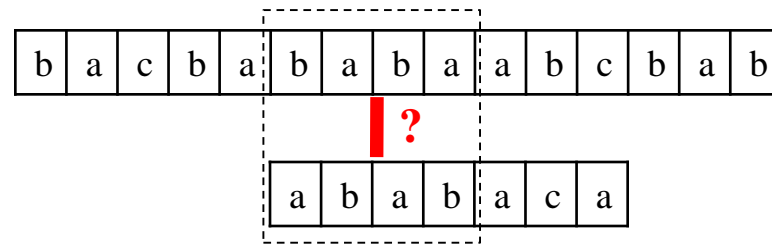
No!

*32.4 The Knuth-Morris-Pratt algorithm



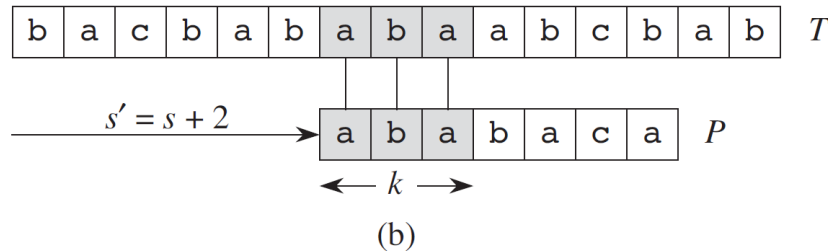
$P_5 \supset T_{s+5}$, but,

$T[s+5+1] \neq P[5+1] \quad (q = 5)$



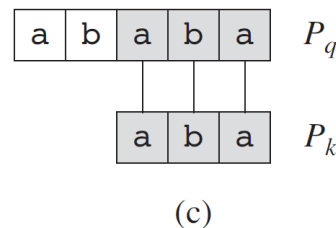
Naive shifting, $P_4 \supset T_{s+1+4}$?

No!



We have already known that P_k is the maximum suffix of P_q , that is, $P_{k'} \supset P_q$ ($k' < q$, and $k = \max(k')$). For this example, q is 5, k is 3. So, we have $P_3 \supset P_5 \supset T_{s+5}$, we just check whether ..

$T[s+5+1] \neq P[3+1] \quad (q \leftarrow k = 3)$




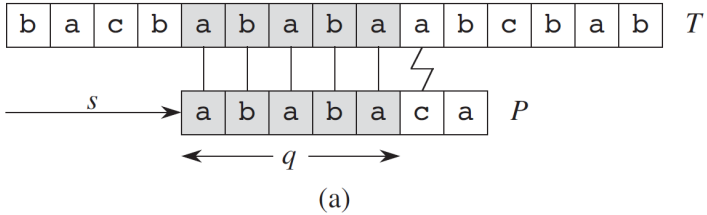
*32.4 The Knuth-Morris-Pratt algorithm

prefix function for the pattern P is the function..
 $\pi : \{1, 2, \dots, m\} \rightarrow \{0, 1, \dots, m-1\}$ such that
 $\pi[q] = \max\{k : k < q \text{ and } P_k \sqsupset P_q\}$

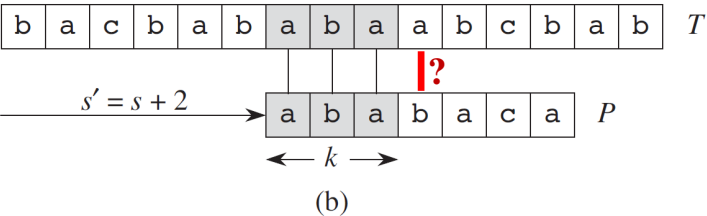
P 的 前缀 P_q 的真后缀是 P 的前缀 P_k (最长的)
 ✓ P 的 前缀 P_q 的真前缀 P_k 是 前缀 P_q 的后缀 (最长的)

P_q 是 P 的前缀 ,
 P_k 是 P_q 的前缀 , 且 P_k 是 P_q 的后缀 ,
 最大的 k 即为 $\pi[q]$

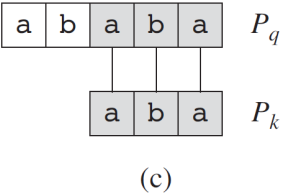
$P = \text{ababaca}$ $P_5 = \text{ababa}$ $P_3 = \text{aba}$		$\pi[5] = 3$
--	---	--------------



$P_5 \sqsupset T_{s+5}$, but,
 $T[s+5+1] \neq P[5+1] \quad (q = 5)$



We have already known that P_k is the maximum suffix of P_q , that is, $P_{k'} \sqsupset P_q$ ($k' < q$, and $k = \max(k')$). For this example, q is 5, k is 3. So, we have $P_3 \sqsupset P_5 \sqsupset T_{s+5}$, we just check whether ..



$T[s+5+1] \neq P[3+1] \quad (q \leftarrow k = 3)$

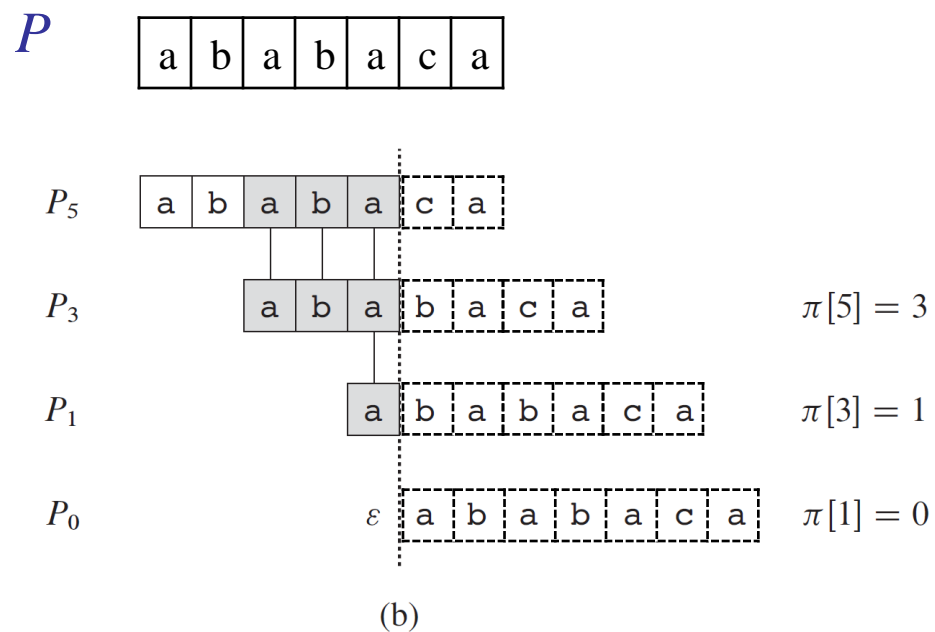
*32.4 The Knuth-Morris-Pratt algorithm

prefix function for the pattern P is the function..

$\pi : \{1, 2, \dots, m\} \rightarrow \{0, 1, \dots, m-1\}$ such that $\pi[q] = \max\{k : k < q \text{ and } P_k \sqsupset P_q\}$.

i	1	2	3	4	5	6	7
$P[i]$	a	b	a	b	a	c	a
$\pi[i]$	0	0	1	2	3	0	1

(a)



P 的前缀 P_q 的真前缀 P_k 是前缀 P_q 的后缀 (最长的)

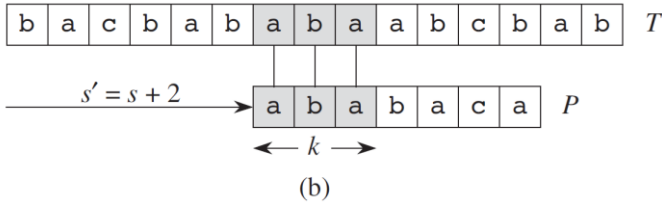
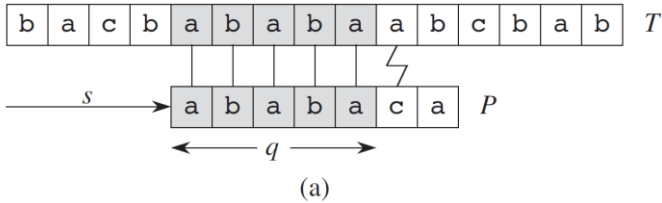
*32.4 The Knuth-Morris-Pratt algorithm

KMP-MATCHER(T, P)

1	$n = T.length$	
2	$m = P.length$	
3	$\pi = \text{COMPUTE-PREFIX-FUNCTION}(P)$	
4	$q = 0$	----- 已经匹配的字符个数
5	for $i = 1$ to n	----- 依序扫描文本 T
6	while $q > 0$ and $P[q + 1] \neq T[i]$	----- 输入字符 $T[i]$ 跟模版字符不匹配
7	$q = \pi[q]$	----- 根据前缀函数快速移位
8	if $P[q + 1] == T[i]$	----- 输入字符 $T[i]$ 跟模版字符匹配
9	$q = q + 1$	----- 匹配个数增加1
10	if $q == m$	----- 匹配个数为 m (模版 P 的长度), 找到匹配, 输出偏移量
11	print "Pattern occurs with shift" $i - m$	
12	$q = \pi[q]$	----- 找下一个匹配 (这种情况包括了模版重叠情况, 如果不考虑重叠, 算法如何改写)

已知 $P_q \supset T[i-1]$
判断 $P[q+1] \neq T[i]$

i	1	2	3	4	5	6	7
$P[i]$	a	b	a	b	a	c	a
$\pi[i]$	0	0	1	2	3	0	1



*32.4 The Knuth-Morris-Pratt algorithm

KMP-MATCHER(T, P)

```

1   $n = T.length$ 
2   $m = P.length$ 
3   $\pi = \text{COMPUTE-PREFIX-FUNCTION}(P)$ 
4   $q = 0$ 
5  for  $i = 1$  to  $n$ 
6      while  $q > 0$  and  $P[q + 1] \neq T[i]$ 
7           $q = \pi[q]$ 
8      if  $P[q + 1] == T[i]$ 
9           $q = q + 1$ 
10     if  $q == m$ 
11         print "Pattern occurs with shift"  $i - m$ 
12      $q = \pi[q]$ 

```

已知 $P_q \supset T[i-1]$
判断 $P[q+1] \neq T[i]$

COMPUTE-PREFIX-FUNCTION(P)

```

1   $m = P.length$ 
2  let  $\pi[1..m]$  be a new array
3   $\pi[1] = 0$ 
4   $k = 0$ 
5  for  $q = 2$  to  $m$ 
6      while  $k > 0$  and  $P[k + 1] \neq P[q]$ 
7           $k = \pi[k]$ 
8      if  $P[k + 1] == P[q]$ 
9           $k = k + 1$ 
10      $\pi[q] = k$ 
11 return  $\pi$ 

```

已知 $\pi[q-1] = k$, 求 $\pi[q]$
即, 已知 $P_k \supset P[q-1]$, 判断 $P[k+1] \neq P[q]$

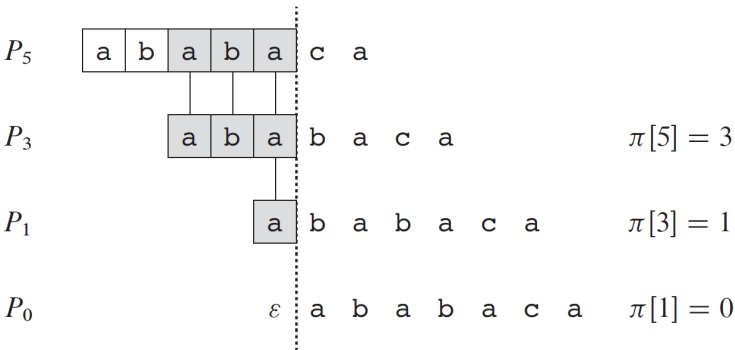
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$\pi : \{1, 2, \dots, m\} \rightarrow \{0, 1, \dots, m-1\}$ such that
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P 的前缀 P_q 的真前缀 P_k 是前缀 P_q 的后缀 (最长的)

i	1	2	3	4	5	6	7
$P[i]$	a	b	a	b	a	c	a
$\pi[i]$	0	0	1	2	3	0	1

(a)



(b)

*32.4 The Knuth-Morris-Pratt algorithm

COMPUTE-PREFIX-FUNCTION(P)

```
1   $m = P.length$ 
2  let  $\pi[1..m]$  be a new array
3   $\pi[1] = 0$ 
4   $k = 0$ 
5  for  $q = 2$  to  $m$ 
6    while  $k > 0$  and  $P[k+1] \neq P[q]$ 
7       $k = \pi[k]$ 
8    if  $P[k+1] == P[q]$ 
9       $k = k + 1$ 
10    $\pi[q] = k$ 
11  return  $\pi$ 
```

已知 $\pi[q-1] = k$, 求 $\pi[q]$

即, 已知 $P_k \sqsupset P[q-1]$, 判断 $P[k+1] \neq P[q]$

$q = 1: k \leftarrow 0, \pi[1] \leftarrow 0$

$q = 2:$
 $\because k$ is 0, $P[1] \neq P[2]$
 $\therefore \pi[2] \leftarrow 0$

a b a b a c a

a b a b a c a

$q = 3:$
 $\because k$ is 0, $P[1] == P[3]$
 $\therefore k \leftarrow 1, \pi[3] \leftarrow 1$

a b a b a c a

a b a b a c a

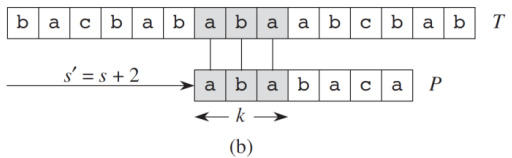
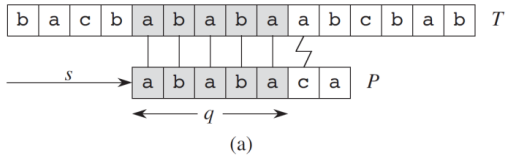
$q = 4:$
 $\because k > 0, P[2] == P[4]$
 $\therefore k \leftarrow 2, \pi[4] \leftarrow 2$

a b a b a c a

a b a b a c a



i	1	2	3	4	5	6	7
$P[i]$	a	b	a	b	a	c	a
$\pi[i]$	0	0	1	2	3	0	1



prefix function for the pattern P is the function..

$\pi : \{1, 2, \dots, m\} \rightarrow \{0, 1, \dots, m-1\}$ such that

$\pi[q] = \max\{k : k < q \text{ and } P_k \sqsupset P_q\}$.

P 的前缀 P_q 的真前缀 P_k 是前缀 P_q 的后缀 (最长的)

*32.4 The Knuth-Morris-Pratt algorithm

Running time?

chapter17

Amortized analysis (accounting)

KMP-MATCHER(T, P)

```
1   $n = T.length$ 
2   $m = P.length$ 
3   $\pi = \text{COMPUTE-PREFIX-FUNCTION}(P)$ 
4   $q = 0$ 
5  for  $i = 1$  to  $n$ 
6      while  $q > 0$  and  $P[q + 1] \neq T[i]$ 
7           $q = \pi[q]$ 
8      if  $P[q + 1] == T[i]$ 
9           $q = q + 1$ 
10     if  $q == m$ 
11         print "Pattern occurs with shift"  $i - m$ 
12          $q = \pi[q]$ 
```

$\Theta(n)$

COMPUTE-PREFIX-FUNCTION(P)

```
1   $m = P.length$ 
2  let  $\pi[1..m]$  be a new array
3   $\pi[1] = 0$ 
4   $k = 0$ 
5  for  $q = 2$  to  $m$ 
6      while  $k > 0$  and  $P[k + 1] \neq P[q]$ 
7           $k = \pi[k]$ 
8      if  $P[k + 1] == P[q]$ 
9           $k = k + 1$ 
10      $\pi[q] = k$ 
11 return  $\pi$ 
```

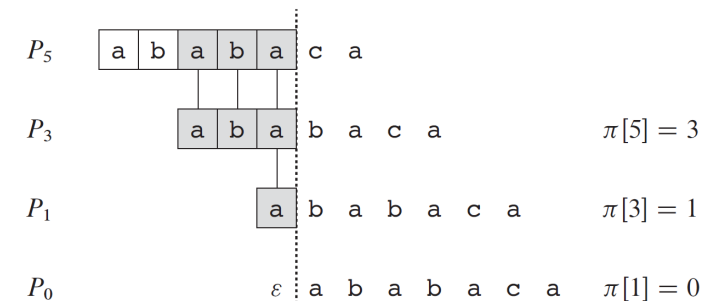
$\Theta(m)$

prefix function:

$\pi[q] = \max\{k : k < q \text{ and } P_k \sqsupseteq P_q\}$

i	1	2	3	4	5	6	7
$P[i]$	a	b	a	b	a	c	a
$\pi[i]$	0	0	1	2	3	0	1

(a)



(b)

*32.4 The Knuth-Morris-Pratt algorithm

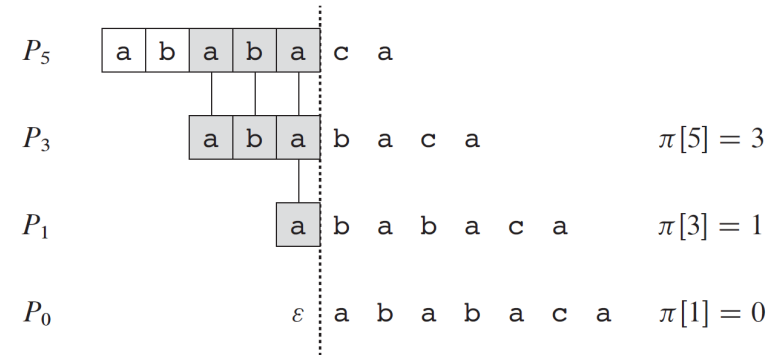
KMP algorithm avoids computing the transition function δ , and its matching time is $\Theta(n)$ using just an auxiliary function π , which we precompute from the pattern in time $\Theta(m)$ and store in an array $\pi[1 \dots m]$.

prefix function:

$$\pi[q] = \max\{k : k < q \text{ and } P_k \sqsupseteq P_q\}$$

i	1	2	3	4	5	6	7
$P[i]$	a	b	a	b	a	c	a
$\pi[i]$	0	0	1	2	3	0	1

(a)



(b)

Exercises

All