

# Going Deep

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## The Universal Approximation Theorem

Let  $\psi : \mathbb{R} \rightarrow \mathbb{R}$  be a nonconstant, bounded, and continuous function. Let  $I_m$  denote the  $m$ -dimensional unit hypercube  $[0, 1]^m$ . The space of real-valued continuous functions on  $I_m$  is denoted by  $C(I_m)$ . Then, given any  $\epsilon > 0$  and any function  $f \in C(I_m)$ , there exist an integer  $N$ , real constants  $v_i, b_i \in \mathbb{R}$  and real vectors  $w_i \in \mathbb{R}^m$  for  $i = 1, \dots, N$ , such that we may define:

$F(x) = \sum_{i=1}^N v_i \psi(w_i^T x + b_i)$  as an approximate realization of the function  $f$  ; that is,

$$|F(x) - f(x)| < \epsilon$$

for all  $x \in I_m$ .

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## Then Why Go Deep?

- ▶ There are functions you can compute with a deep neural network that shallow networks require exponentially more hidden units to compute.
- ▶ The following function is more efficient to implement using a deep neural network:  $y = x_1 \oplus x_2 \oplus x_3 \oplus \cdots \oplus x_n$

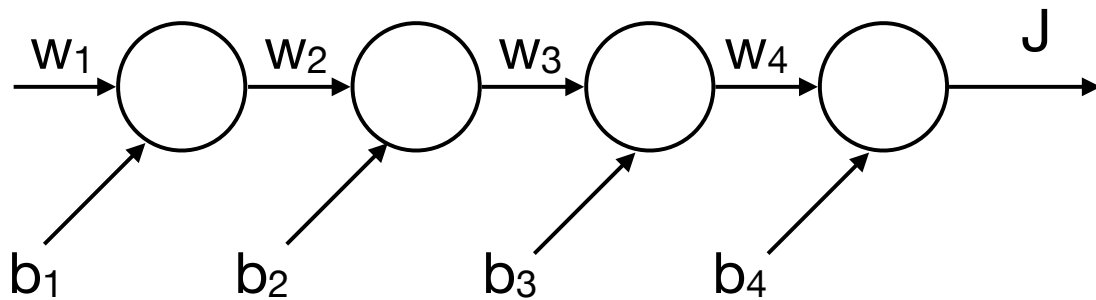
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## Vanishing and Exploding Gradients

- ▶ The vanishing and exploding gradient problem is a difficulty found in training NN with gradient-based learning methods and backpropagation.
- ▶ In training, the gradient may become vanishingly small (or large), effectively preventing the weight from changing its value (or exploding in value).
- ▶ This leads to the neural network not being able to train.
- ▶ This issue affects many-layered networks (feed-forward), as well as recurrent networks.

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## Issues with Going Deep



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## Residual Connections

- ▶ One of the most effective ways to resolve the vanishing gradient problem is with residual neural networks (ResNets)<sup>1</sup>.
- ▶ ResNets are artificial neural networks that use *skip connections* to jump over layers.
- ▶ The vanishing gradient problem is mitigated in ResNets by reusing activations from a previous layer.

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<sup>1</sup>K. He, X. Zhang, S. Ren and J. Sun, "Deep Residual Learning for Image Recognition," CVPR, Las Vegas, NV, 2016, pp. 770-778.

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## Residual Connections

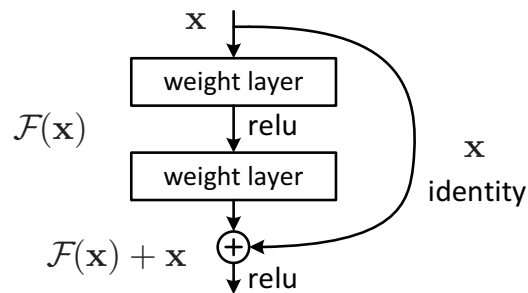


Figure 2. Residual learning: a building block.<sup>2</sup>

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<sup>2</sup>K. He, X. Zhang, S. Ren and J. Sun, "Deep Residual Learning for Image Recognition," CVPR, Las Vegas, NV, 2016, pp. 770-778.

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## Residual Connections

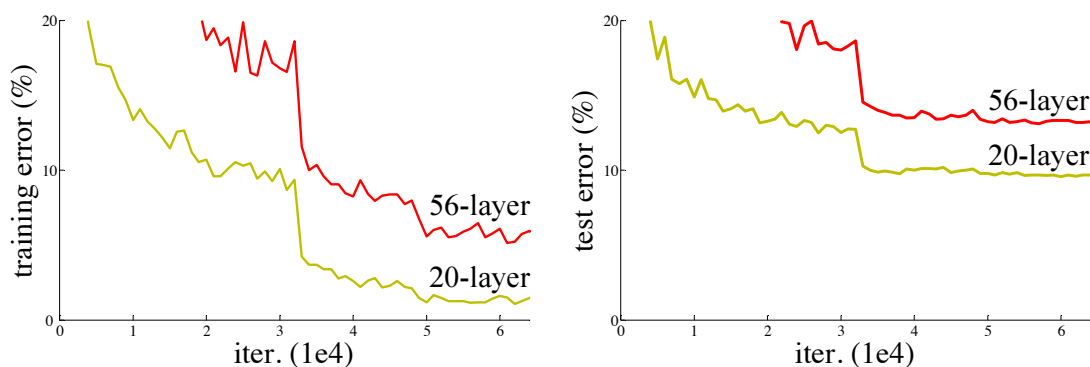


Figure 1. Training error (left) and test error (right) on CIFAR-10 with 20-layer and 56-layer “plain” networks. The deeper network has higher training error, and thus test error. Similar phenomena on ImageNet is presented in Fig. 4.

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<sup>3</sup>K. He, X. Zhang, S. Ren and J. Sun, "Deep Residual Learning for Image Recognition," CVPR, Las Vegas, NV, 2016, pp. 770-778.

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# Residual Connections

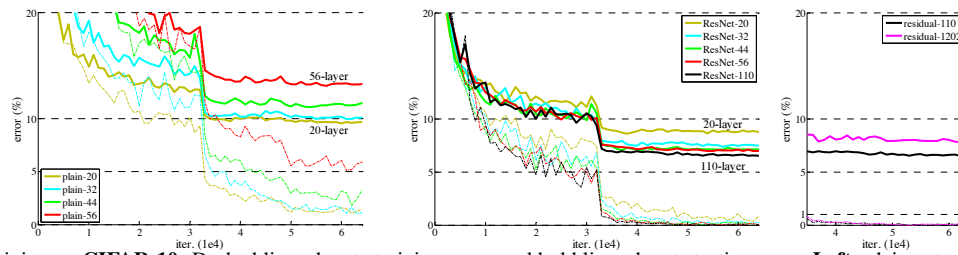


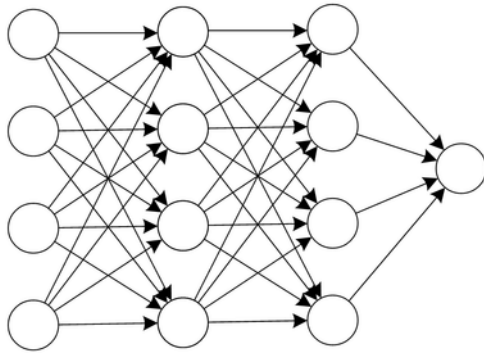
Figure 6. Training on **CIFAR-10**. Dashed lines denote training error, and bold lines denote testing error. **Left:** plain networks. The error of plain-110 is higher than 60% and not displayed. **Middle:** ResNets. **Right:** ResNets with 110 and 1202 layers. <sup>4</sup>

<sup>4</sup>K. He, X. Zhang, S. Ren and J. Sun, "Deep Residual Learning for Image Recognition," CVPR, Las Vegas, NV, 2016, pp. 770-778.

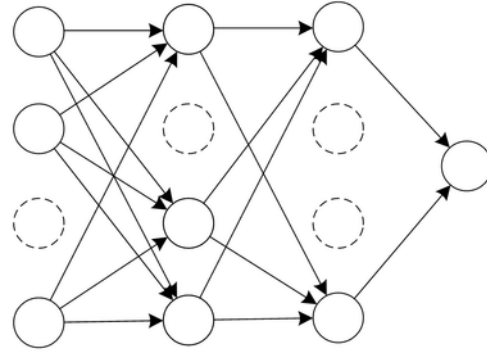
## Dropout

- ▶ Neural networks with a large number of parameters (and hidden layers) are powerful, however, overfitting is a serious problem in such systems.
- ▶ Dropout is a form of regularization
- ▶ The key idea in dropout is to randomly drop neurons, including all of the connections, from the neural network during training.

# Dropout



(a) Standard Neural Network



(b) Network after Dropout

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<sup>5</sup>Image from: [https://www.researchgate.net/figure/Dropout-neural-network-model-a-is-a-standard-neural-network-b-is-the-same-network-with-some-units-dropped-fig3\\_309206911](https://www.researchgate.net/figure/Dropout-neural-network-model-a-is-a-standard-neural-network-b-is-the-same-network-with-some-units-dropped-fig3_309206911)

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## How Does Dropout Work in Practice?

- In the learning phase, we stochastically remove hidden units by setting a dropout probability for each layer in the network. We then randomly decide whether or not a neuron in a given layer is removed stochastically.

## How Does Dropout Work in Practice?

- ▶ We define a random binary mask  $m^{(l)}$  which is used to remove neurons, and note,  $m^{(l)}$  changes for each iteration of the backpropagation algorithm.
- ▶ For layers,  $l = 1$  to  $L - 1$ , for the forward pass of backpropagation, we then compute

$$a^{(l)} = \sigma(w^{(l)} a^{(l-1)} + b^{(l)}) \odot m^{(l)} \quad (1)$$

- ▶ For layer  $L$ ,

$$a^{(L)} = \sigma(w^{(L)} a^{(L-1)} + b^{(L)}) \quad (2)$$

- ▶ For the backward pass of the backpropagation algorithm,

$$\delta^L = \Delta_a J \odot \sigma'(z^L) \quad (3)$$

$$\delta^l = ((w^{(l+1)})^T \delta^{l+1}) \odot \sigma'(z^l) \odot m^{(l)} \quad (4)$$

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## Why Does Dropout Work?

- ▶ Neurons cannot co-adapt to other units (they cannot assume that all of the other units will be present)
- ▶ By breaking co-adaptation, each unit will ultimately find more general features