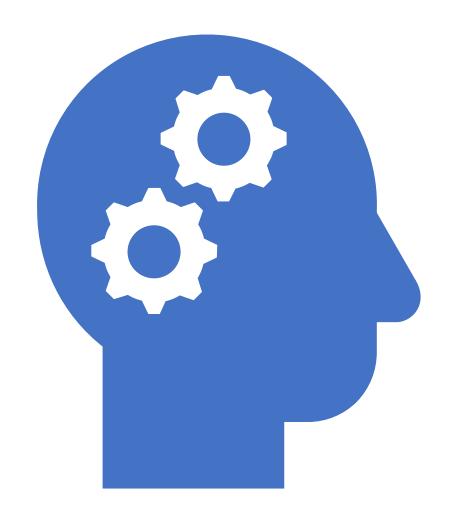
Algorithm Analysis

Michael Hahsler





This work is licensed under a <u>Creative Commons</u> <u>Attribution-ShareAlike 4.0 International License</u>.



What is an Algorithm?

Definition

"A clearly specified set of simple instructions to be followed to solve a problem."

Important properties

- Correctness
- Run time
- Memory requirement
- time complexity
- space complexity

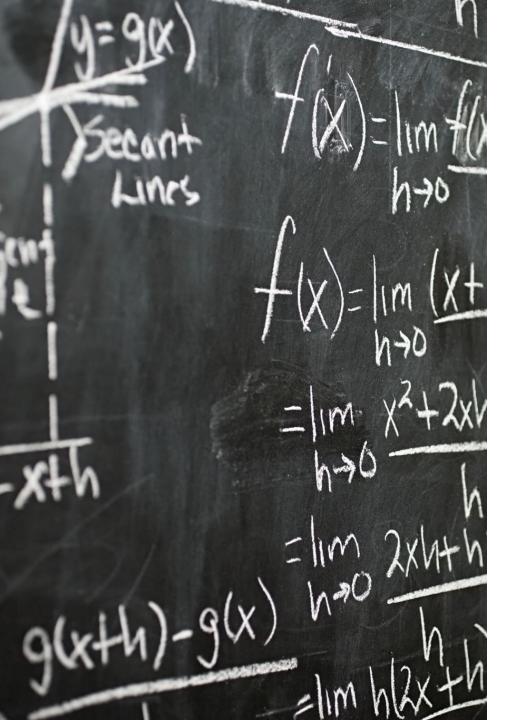


How do we assess/compare time complexity?

Issue: Runtime and memory requirements are machine/programming language/compiler dependent!

Approach

- 1. Assume that each instruction takes one time unit.
- 2. Define the problem size (typically called N) and look at the relative growth rate when N becomes large.
- 3. We typically analyze the worst-case behavior.



Mathematical Definitions

Big-Oh

(upper bound = worst case, i.e. the growth in not more than f)

$$T(N) = oldsymbol{O}ig(f(N)ig)$$
 if there is a c for which $T(N) \leq cf(N)$ for $N > n_0$

Most common

Omega

(lower bound, i.e. the growth in not less than g)

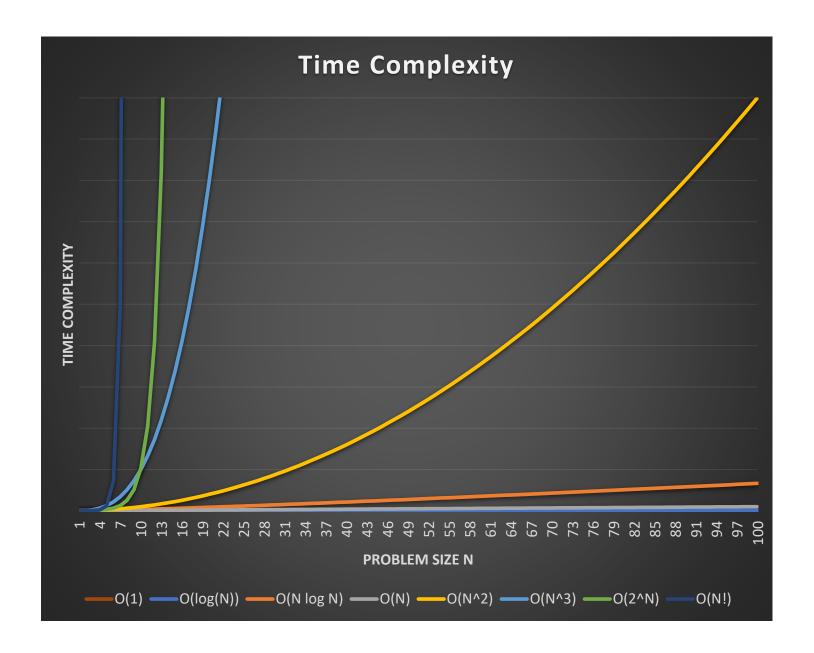
$$T(N) = \Omega ig(g(N)ig)$$
 if there is a c for which $T(N) \geq cg(N)$ for $N > n_0$

Theta

(exact growth rate is h)

$$T(N) = \Theta(h(N))$$
 if $T(N) = O(h(N))$ and $T(N) = \Omega(h(N))$

Comparison of different complexity functions f



Some Rules

1. Ignore constants

$$f(N) = 5 + 3N^2 \rightarrow O(N^2)$$

2. Only keep the highest degree since it grows the fastest with N

$$f(N) = N^2 + N^3 \rightarrow O(N^3)$$

- 3. Sequence: $O(f(N)) + O(g(N)) = O(\max[f(N), g(N)]) \rightarrow \text{the worst part counts.}$
- 4. Nested loops: $O(f(N)) * O(g(N)) = o(f(N)g(N)) \rightarrow do g(N) f(N)$ times.

For code this means

- Only loops really count: k nested loops over $N \to O(N^k)$
- Consecutive code blocks: only the most complex block counts.
- Conditional code blocks: count the most complex path (worst case)

Some Useful Series

$$\sum_{i=0}^{N} s^i = 2^{N+1} - 1$$

$$\sum_{i=1}^{N} i^{k} \approx \frac{N^{k+1}}{|k+1|} \ for \ k \neq -1$$

$$\sum_{i=1}^{N} i = \frac{N(N+1)}{2} \approx \frac{N^2}{2}$$

$$\sum_{i=1}^{N} \frac{1}{i} \approx \log_e N$$

Example: Bubble Sort

Worst-case time complexity?

Worst-case space complexity?

Example: Bubble Sort

Worst-case time complexity?

i = 1 : n - 1 iterations in j-loop

i = 2 : n - 2

```
i = 3 : n - 3

...

i = n - 2 : n - (n - 2) - 1 = 1

i = n - 1 : n - (n - 1) - 1 = 0

\sum_{i=1}^{n-1} i = \frac{(n-1)(n-1+1)}{2} = \frac{n(n-1)}{2} = \frac{n^2}{2} - \frac{n}{2} \to O(n^2)
```

Worst-case space complexity? Only memory for i and j is needed $\rightarrow O(1)$

Example: Linear Search

```
template <typename Comparable>
int linearSearch(
  const vector<Comparable> & a,
  const Comparable & x )
{
   for(int i = 0; i < a.size(); ++i)
    {
      if( a[ i ] == x )
        return i;
   }
  return -1
}</pre>
```

Find the index of a value in a presorted array/vector.

Time complexity?

Example: Linear Search

```
template <typename Comparable>
int linearSearch(
  const vector<Comparable> & a,
  const Comparable & x )
{
   for(int i = 0; i < a.size(); ++i)
     {
      if( a[ i ] == x )
        return i;
   }
  return -1
}</pre>
```

Time complexity?

- Problem size n = size of a represented as high-low.
- 2. Worse case is of we do not find the element x. We have to iterate n times $\rightarrow O(n)$

Example: Binary Search

```
template <typename Comparable>
int binarySearch(
  const vector<Comparable> & a,
 const Comparable & x )
   int low = 0, high = a.size() - 1;
   while( low <= high )</pre>
       int mid = (low + high) / 2;
       if (a[mid] < x)
            low = mid + 1;
        else if( a[mid] > x)
            high = mid - 1;
        else
            return mid; // Found
    return -1
```

Find the index of a value in a presorted array/vector.

Time complexity?

Example: Binary Search

```
template <typename Comparable>
int binarySearch( const vector<Comparable> & a,
                 const Comparable & x )
  int low = 0, high = a.size() - 1;
 while( low <= high )
    int mid = (low + high)/2;
    if(a[mid] < x)
      low = mid + 1;
    else if( a[mid] > x)
      high = mid - 1;
    else
      return mid; // Found
  return -1
```

Time complexity?

- 1. Problem size n = size of a represented as high-low.
- 2. Worse case is of we do not find the element x.
- 3. In ever iteration, high-low halves so we get:

$$n, \frac{n}{2}, \frac{n}{4}, \dots 1$$

4. It takes *k* steps to got form *N* to 1:

$$\frac{n}{2^k} = 1$$

$$n = 2^k$$

$$k = \frac{\log_2(n)}{\log_2(2)}$$

$$\to O(\log n)$$

General rule: If every iteration halves the problem size, then we have a logarithmic time complexity.

Some Final Words on Complexity

- Better complexity does not mean faster! Complexity analysis ignores constants. runtime
- Assumption that each operation takes the same amount of time is very strong.
- Complexity analysis points to the part of the code that would benefit from algorithmic optimization.
- Complexity analysis looks at the **algorithm** and bad implementations (e.g., copying arrays unnecessarily) may lead to worse run time.
- **Big-Oh looks at the worst case.** Average case analysis is typically much harder to determine.
- Space complexity analysis looks at how the memory need grows with N.

