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# 0/1 knapsack problem

## Definition (0/1 knapsack problem)

Given a set  $S$  of  $n$  items, such that each item  $i$  has a positive benefit  $v_i$  and a positive weight  $w_i$ , the goal is to find the maximum-benefit subset that does not exceed a given weight  $W$ .

# 0/1 knapsack problem

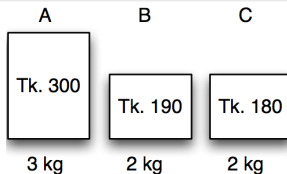
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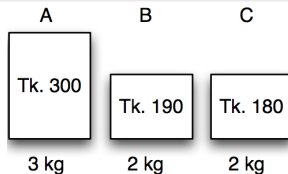


Maximum weight:  $W = 4 \text{ kg}$

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Maximum weight:  $W = 4 \text{ kg}$

Optimal solution: items  $B$  and  $C$

Benefit: **370**

# Developing a recursive solution

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- We have two parameters for each subproblem – the items  $S$ , and the maximum allowed weight  $W$ .

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    - ▷  $\vartheta(n, W) = \vartheta(n-1, W)$
  - Since an optimal solution must maximize the sum of the weights in the intervals it contains, we accept the larger of the two.
    - ▷  $\vartheta(n, W) = \text{MAX}(v_n + \vartheta(n-1, W - w_n), \vartheta(n-1, W))$

# Developing a recursive solution (continued)

## Recursive algorithm for an optimal value

If  $OPT(j, w)$  is an optimal solution to the subproblem for items  $\{1, 2, \dots, j\}$ , for any  $j \in \{1, 2, \dots, n\}$ , and with a maximum allowed weight of  $w$ , then:

$$OPT(j, w) = \begin{cases} OPT(j-1, w) & \text{if } w_j > w, \\ \text{MAX}(v_j + OPT(j-1, w - w_j), \\ \quad OPT(j-1, w)) & \text{otherwise.} \end{cases}$$

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## Extracting the items in an optimal solution

The item  $j$  is in an optimal solution  $OPT(j, w)$  **if and only if** the first of the two options is larger than the second.

$$v_j + OPT(j-1, w - w_j) \geq OPT(j-1, w)$$

# A recursive algorithm

KNAPSACK( $j, w$ )

```
1  if  $j = 0$  or  $w = 0$ 
2      then return 0
3  elseif  $w_j > w$ 
4      then return KNAPSACK( $j - 1, w$ )
5  else return MAX( $v_j + \text{KNAPSACK}(j - 1, w - w_j)$ ,
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- The initial call is KNAPSACK( $n, W$ ).
- The tree grows very rapidly, leading to **exponential** running time.
- There are many **overlapping subproblems**, so the obvious choice is to **memoize** the recursion.

## Memoizing the recursion

$$\text{M-KNAPSACK}(j, w)$$
1 **if**  $j = 0$  or  $w = 0$ 

```
2    then return 0
```

```
3 elseif  $M[j, w]$  is empty
```

```

4   then  $M[j, w] \leftarrow \text{MAX}(v_j + \text{M-KNAPSACK}(j - 1, w - w_j),$   

 $\text{M-KNAPSACK}(j - 1, w))$ 

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5  return  $M[j, w]$ 

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                                $\text{M-KNAPSACK}(j - 1, w))$ 
5  return  $M[j, w]$ 
  
```

- Each entry in  $M[j, w]$  gets filled in only once at  $\Theta(1)$  time, and there are  $n + 1 \times W + 1$  entries, so M-KNAPSACK( $n, W$ ) takes  $\Theta(nW)$  time.

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- Is this a linear-time algorithm?
- This is an example of a pseudo-polynomial problem, since it depends on another parameter  $W$  that is independent of the problem size.

# Developing a Dynamic Programming algorithm

KNAPSACK( $n, W$ )

```

1  for  $i \leftarrow 0$  to  $n$       ▷ no remaining capacity
2      do  $M[i, 0] \leftarrow 0$ 
3  for  $w \leftarrow 0$  to  $W$     ▷ no item to choose from
4      do  $M[0, w] \leftarrow 0$ 
5  for  $j \leftarrow 1$  to  $n$ 
6      do for  $w \leftarrow 1$  to  $W$ 
7          do if  $w_j > w$ 
8              then  $M[j] = M[j - 1, w]$ 
9              else  $M[j, w] \leftarrow \text{MAX}(v_j + M[j - 1, w - w_j],$ 
                                      $M[j - 1, w])$ 
10 return  $M[n, W]$ 

```

# 0/1 Knapsack recursive algorithm in action

Given the following (from M. H. Alsuwaiyel, ex. 7.6):

$$W = 9$$

$$w_i = \{2, 3, 4, 5\}$$

$$v_i = \{3, 4, 5, 7\}$$

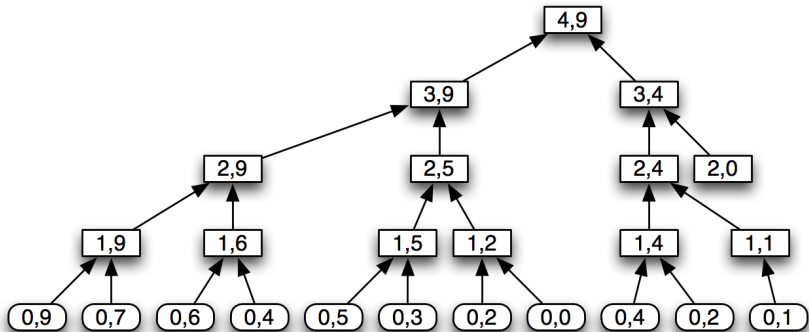
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	0	1	2	3	4	5	6	7	8	9
4	-	-	-	-	-	-	-	-	-	-
3	-	-	-	-	-	-	-	-	-	-
2	-	-	-	-	-	-	-	-	-	-
1	-	-	-	-	-	-	-	-	-	-
0	-	-	-	-	-	-	-	-	-	-

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3	0	0	3	4	4	7	8	9	9	12
2	0	0	3	4	4	7	7	7	7	7
1	0	0	3	3	3	3	3	3	3	3
0	0	0	0	0	0	0	0	0	0	0