39. How many different elements does A^n have when A has m elements and n is a positive integer?

40. Show that $A \times B \neq B \times A$, when A and B are nonempty, unless $A = B$.

41. Explain why $A \times B \times C$ and $(A \times B) \times C$ are not the same.

42. Explain why $(A \times B) \times (C \times D)$ and $A \times (B \times C) \times D$ are not the same.

43. Prove or disprove that if A and B are sets, then $\mathcal{P}(A \times B) = \mathcal{P}(A) \times \mathcal{P}(B)$.

44. Prove or disprove that if A, B, and C are nonempty sets and $A \times B = A \times C$, then $B = C$.

45. Translate each of these quantifications into English and determine its truth value.

a) $\forall x \in \mathbf{R}\ (x^2 \neq -1)$ **b)** $\exists x \in \mathbf{Z}\ (x^2 = 2)$
c) $\forall x \in \mathbf{Z}\ (x^2 > 0)$ **d)** $\exists x \in \mathbf{R}\ (x^2 = x)$

46. Translate each of these quantifications into English and determine its truth value.

a) $\exists x \in \mathbf{R}\ (x^3 = -1)$ **b)** $\exists x \in \mathbf{Z}\ (x + 1 > x)$
c) $\forall x \in \mathbf{Z}\ (x - 1 \in \mathbf{Z})$ **d)** $\forall x \in \mathbf{Z}\ (x^2 \in \mathbf{Z})$

47. Find the truth set of each of these predicates where the domain is the set of integers.

a) $P(x)$: $x^2 < 3$ **b)** $Q(x)$: $x^2 > x$
c) $R(x)$: $2x + 1 = 0$

48. Find the truth set of each of these predicates where the domain is the set of integers.

a) $P(x)$: $x^3 \geq 1$ **b)** $Q(x)$: $x^2 = 2$
c) $R(x)$: $x < x^2$

***49.** The defining property of an ordered pair is that two ordered pairs are equal if and only if their first elements are equal and their second elements are equal. Surprisingly, instead of taking the ordered pair as a primitive concept, we can construct ordered pairs using basic notions from set theory. Show that if we define the ordered pair (a, b) to be $\{\{a\}, \{a, b\}\}$, then $(a, b) = (c, d)$ if and only if $a = c$ and $b = d$. [*Hint:* First show that $\{\{a\}, \{a, b\}\} = \{\{c\}, \{c, d\}\}$ if and only if $a = c$ and $b = d$.]

***50.** This exercise presents **Russell's paradox**. Let S be the set that contains a set x if the set x does not belong to itself, so that $S = \{x \mid x \notin x\}$.

Links

a) Show the assumption that S is a member of S leads to a contradiction.

b) Show the assumption that S is not a member of S leads to a contradiction.

By parts (a) and (b) it follows that the set S cannot be defined as it was. This paradox can be avoided by restricting the types of elements that sets can have.

***51.** Describe a procedure for listing all the subsets of a finite set.

2.2 Set Operations

2.2.1 Introduction

Two, or more, sets can be combined in many different ways. For instance, starting with the set of mathematics majors at your school and the set of computer science majors at your school, we can form the set of students who are mathematics majors or computer science majors, the set of students who are joint majors in mathematics and computer science, the set of all students not majoring in mathematics, and so on.

Links

Definition 1

Let A and B be sets. The *union* of the sets A and B, denoted by $A \cup B$, is the set that contains those elements that are either in A or in B, or in both.

An element x belongs to the union of the sets A and B if and only if x belongs to A or x belongs to B. This tells us that

$$A \cup B = \{x \mid x \in A \vee x \in B\}.$$

The Venn diagram shown in Figure 1 represents the union of two sets A and B. The area that represents $A \cup B$ is the shaded area within either the circle representing A or the circle representing B.

We will give some examples of the union of sets.

EXAMPLE 1 The union of the sets $\{1, 3, 5\}$ and $\{1, 2, 3\}$ is the set $\{1, 2, 3, 5\}$; that is, $\{1, 3, 5\} \cup \{1, 2, 3\} = \{1, 2, 3, 5\}$. ◀

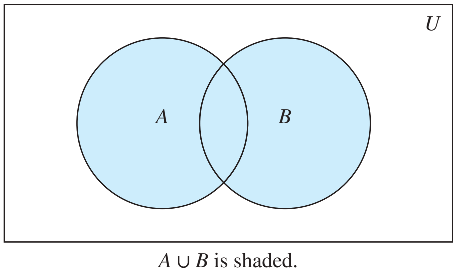


FIGURE 1 Venn diagram of the union of A and B.

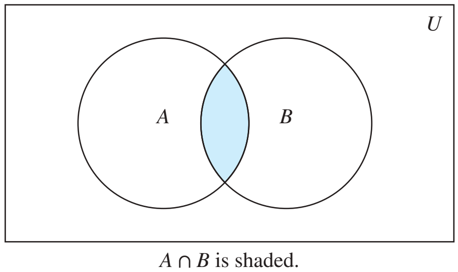


FIGURE 2 Venn diagram of the intersection of A and B.

EXAMPLE 2 The union of the set of all computer science majors at your school and the set of all mathematics majors at your school is the set of students at your school who are majoring either in mathematics or in computer science (or in both). ◀

Definition 2 Let A and B be sets. The *intersection* of the sets A and B, denoted by $A \cap B$, is the set containing those elements in both A and B.

An element x belongs to the intersection of the sets A and B if and only if x belongs to A and x belongs to B. This tells us that

$$A \cap B = \{x \mid x \in A \land x \in B\}.$$

The Venn diagram shown in Figure 2 represents the intersection of two sets A and B. The shaded area that is within both the circles representing the sets A and B is the area that represents the intersection of A and B.

We give some examples of the intersection of sets.

EXAMPLE 3 The intersection of the sets $\{1, 3, 5\}$ and $\{1, 2, 3\}$ is the set $\{1, 3\}$; that is, $\{1, 3, 5\} \cap \{1, 2, 3\} = \{1, 3\}$. ◀

EXAMPLE 4 The intersection of the set of all computer science majors at your school and the set of all mathematics majors is the set of all students who are joint majors in mathematics and computer science. ◀

Definition 3 Two sets are called *disjoint* if their intersection is the empty set.

EXAMPLE 5 Let $A = \{1, 3, 5, 7, 9\}$ and $B = \{2, 4, 6, 8, 10\}$. Because $A \cap B = \emptyset$, A and B are disjoint. ◀

Be careful not to overcount!

We are often interested in finding the cardinality of a union of two finite sets A and B. Note that $|A| + |B|$ counts each element that is in A but not in B or in B but not in A exactly once, and each element that is in both A and B exactly twice. Thus, if the number of elements that are in both A and B is subtracted from $|A| + |B|$, elements in $A \cap B$ will be counted only once. Hence,

$$|A \cup B| = |A| + |B| - |A \cap B|.$$

The generalization of this result to unions of an arbitrary number of sets is called the **principle of inclusion–exclusion**. The principle of inclusion–exclusion is an important technique used in enumeration. We will discuss this principle and other counting techniques in detail in Chapters 6 and 8.

There are other important ways to combine sets.

Definition 4 Let A and B be sets. The *difference* of A and B, denoted by $A - B$, is the set containing those elements that are in A but not in B. The difference of A and B is also called the *complement of B with respect to A*.

Remark: The difference of sets A and B is sometimes denoted by $A \backslash B$.

An element x belongs to the difference of A and B if and only if $x \in A$ and $x \notin B$. This tells us that

$$A - B = \{x \mid x \in A \wedge x \notin B\}.$$

The Venn diagram shown in Figure 3 represents the difference of the sets A and B. The shaded area inside the circle that represents A and outside the circle that represents B is the area that represents $A - B$.

We give some examples of differences of sets.

EXAMPLE 6 The difference of $\{1, 3, 5\}$ and $\{1, 2, 3\}$ is the set $\{5\}$; that is, $\{1, 3, 5\} - \{1, 2, 3\} = \{5\}$. This is different from the difference of $\{1, 2, 3\}$ and $\{1, 3, 5\}$, which is the set $\{2\}$. ◀

EXAMPLE 7 The difference of the set of computer science majors at your school and the set of mathematics majors at your school is the set of all computer science majors at your school who are not also mathematics majors. ◀

Once the universal set U has been specified, the **complement** of a set can be defined.

Definition 5 Let U be the universal set. The *complement* of the set A, denoted by $\overline{A}$, is the complement of A with respect to U. Therefore, the complement of the set A is $U - A$.

Remark: The definition of the complement of A depends on a particular universal set U. This definition makes sense for any superset U of A. If we want to identify the universal set U, we would write "the complement of A with respect to the set U."

An element belongs to $\overline{A}$ if and only if $x \notin A$. This tells us that

$$\overline{A} = \{x \in U \mid x \notin A\}.$$

In Figure 4 the shaded area outside the circle representing A is the area representing $\overline{A}$.

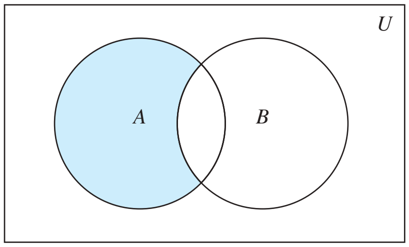
We give some examples of the complement of a set.

EXAMPLE 8 Let $A = \{a, e, i, o, u\}$ (where the universal set is the set of letters of the English alphabet). Then $\overline{A} = \{b, c, d, f, g, h, j, k, l, m, n, p, q, r, s, t, v, w, x, y, z\}$. ◀

EXAMPLE 9 Let A be the set of positive integers greater than 10 (with universal set the set of all positive integers). Then $\overline{A} = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$. ◀

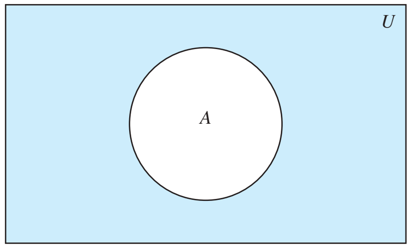
It is left to the reader (Exercise 21) to show that we can express the difference of A and B as the intersection of A and the complement of B. That is,

$$A - B = A \cap \overline{B}.$$



$A - B$ is shaded.

FIGURE 3 Venn diagram for the difference of A and B.



$\overline{A}$ is shaded.

FIGURE 4 Venn diagram for the complement of the set A.

2.2.2 Set Identities

Set identities and propositional equivalences are just special cases of identities for Boolean algebra.

Table 1 lists the most important identities of unions, intersections, and complements of sets. We will prove several of these identities here, using three different methods. These methods are presented to illustrate that there are often many different approaches to the solution of a problem. The proofs of the remaining identities will be left as exercises. The reader should note the similarity between these set identities and the logical equivalences discussed in Section 1.3. (Compare Table 6 of Section 1.6 and Table 1.) In fact, the set identities given can be proved directly from the corresponding logical equivalences. Furthermore, both are special cases of identities that hold for Boolean algebra (discussed in Chapter 12).

TABLE 1 Set Identities.

Identity	*Name*
$A \cap U = A$ $A \cup \emptyset = A$	Identity laws
$A \cup U = U$ $A \cap \emptyset = \emptyset$	Domination laws
$A \cup A = A$ $A \cap A = A$	Idempotent laws
$\overline{(\overline{A})} = A$	Complementation law
$A \cup B = B \cup A$ $A \cap B = B \cap A$	Commutative laws
$A \cup (B \cup C) = (A \cup B) \cup C$ $A \cap (B \cap C) = (A \cap B) \cap C$	Associative laws
$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$	Distributive laws
$\overline{A \cap B} = \overline{A} \cup \overline{B}$ $\overline{A \cup B} = \overline{A} \cap \overline{B}$	De Morgan's laws
$A \cup (A \cap B) = A$ $A \cap (A \cup B) = A$	Absorption laws
$A \cup \overline{A} = U$ $A \cap \overline{A} = \emptyset$	Complement laws

Before we discuss different approaches for proving set identities, we briefly discuss the role of Venn diagrams. Although these diagrams can help us understand sets constructed using two or three **atomic sets** (the sets used to construct more complicated combinations of these sets), they provide far less insight when four or more atomic sets are involved. Venn diagrams for four or more sets are quite complex because it is necessary to use ellipses rather than circles to represent the sets. This is necessary to ensure that every possible combination of the sets is represented by a nonempty region. Although Venn diagrams can provide an informal proof for some identities, such proofs should be formalized using one of the three methods we will now describe.

This identity says that the complement of the intersection of two sets is the union of their complements.

One way to show that two sets are equal is to show that each is a subset of the other. Recall that to show that one set is a subset of a second set, we can show that if an element belongs to the first set, then it must also belong to the second set. We generally use a direct proof to do this. We illustrate this type of proof by establishing the first of De Morgan's laws.

EXAMPLE 10 Prove that $\overline{A \cap B} = \overline{A} \cup \overline{B}$.

Extra Examples

Solution: We will prove that the two sets $\overline{A \cap B}$ and $\overline{A} \cup \overline{B}$ are equal by showing that each set is a subset of the other.

First, we will show that $\overline{A \cap B} \subseteq \overline{A} \cup \overline{B}$. We do this by showing that if x is in $\overline{A \cap B}$, then it must also be in $\overline{A} \cup \overline{B}$. Now suppose that $x \in \overline{A \cap B}$. By the definition of complement, $x \notin A \cap B$. Using the definition of intersection, we see that the proposition $\neg((x \in A) \wedge (x \in B))$ is true.

By applying De Morgan's law for propositions, we see that $\neg(x \in A)$ or $\neg(x \in B)$. Using the definition of negation of propositions, we have $x \notin A$ or $x \notin B$. Using the definition of the complement of a set, we see that this implies that $x \in \overline{A}$ or $x \in \overline{B}$. Consequently, by the definition of union, we see that $x \in \overline{A} \cup \overline{B}$. We have now shown that $\overline{A \cap B} \subseteq \overline{A} \cup \overline{B}$.

Next, we will show that $\overline{A} \cup \overline{B} \subseteq \overline{A \cap B}$. We do this by showing that if x is in $\overline{A} \cup \overline{B}$, then it must also be in $\overline{A \cap B}$. Now suppose that $x \in \overline{A} \cup \overline{B}$. By the definition of union, we know that $x \in \overline{A}$ or $x \in \overline{B}$. Using the definition of complement, we see that $x \notin A$ or $x \notin B$. Consequently, the proposition $\neg(x \in A) \vee \neg(x \in B)$ is true.

By De Morgan's law for propositions, we conclude that $\neg((x \in A) \wedge (x \in B))$ is true. By the definition of intersection, it follows that $\neg(x \in A \cap B)$. We now use the definition of complement to conclude that $x \in \overline{A \cap B}$. This shows that $\overline{A} \cup \overline{B} \subseteq \overline{A \cap B}$.

Because we have shown that each set is a subset of the other, the two sets are equal, and the identity is proved. ◀

We can more succinctly express the reasoning used in Example 10 using set builder notation, as Example 11 illustrates.

EXAMPLE 11 Use set builder notation and logical equivalences to establish the first De Morgan law $\overline{A \cap B} = \overline{A} \cup \overline{B}$.

Solution: We can prove this identity with the following steps.

$$
\begin{aligned}
\overline{A \cap B} &= \{x \mid x \notin A \cap B\} && \text{by definition of complement} \\
&= \{x \mid \neg(x \in (A \cap B))\} && \text{by definition of does not belong symbol} \\
&= \{x \mid \neg(x \in A \wedge x \in B)\} && \text{by definition of intersection} \\
&= \{x \mid \neg(x \in A) \vee \neg(x \in B)\} && \text{by the first De Morgan law for logical equivalences} \\
&= \{x \mid x \notin A \vee x \notin B\} && \text{by definition of does not belong symbol} \\
&= \{x \mid x \in \overline{A} \vee x \in \overline{B}\} && \text{by definition of complement} \\
&= \{x \mid x \in \overline{A} \cup \overline{B}\} && \text{by definition of union} \\
&= \overline{A} \cup \overline{B} && \text{by meaning of set builder notation}
\end{aligned}
$$

Note that besides the definitions of complement, union, set membership, and set builder notation, this proof uses the second De Morgan law for logical equivalences. ◀

Proving a set identity involving more than two sets by showing each side of the identity is a subset of the other often requires that we keep track of different cases, as illustrated by the proof in Example 12 of one of the distributive laws for sets.

EXAMPLE 12 Prove the second distributive law from Table 1, which states that $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ for all sets A, B, and C.

Solution: We will prove this identity by showing that each side is a subset of the other side.

Suppose that $x \in A \cap (B \cup C)$. Then $x \in A$ and $x \in B \cup C$. By the definition of union, it follows that $x \in A$, and $x \in B$ or $x \in C$ (or both). In other words, we know that the compound proposition $(x \in A) \wedge ((x \in B) \vee (x \in C))$ is true. By the distributive law for conjunction over disjunction, it follows that $((x \in A) \wedge (x \in B)) \vee ((x \in A) \wedge (x \in C))$. We conclude that either $x \in A$ and $x \in B$, or $x \in A$ and $x \in C$. By the definition of intersection, it follows that $x \in A \cap B$ or $x \in A \cap C$. Using the definition of union, we conclude that $x \in (A \cap B) \cup (A \cap C)$. We conclude that $A \cap (B \cup C) \subseteq (A \cap B) \cup (A \cap C)$.

Now suppose that $x \in (A \cap B) \cup (A \cap C)$. Then, by the definition of union, $x \in A \cap B$ or $x \in A \cap C$. By the definition of intersection, it follows that $x \in A$ and $x \in B$ or that $x \in A$ and $x \in C$. From this we see that $x \in A$, and $x \in B$ or $x \in C$. Consequently, by the definition of union we see that $x \in A$ and $x \in B \cup C$. Furthermore, by the definition of intersection, it follows that $x \in A \cap (B \cup C)$. We conclude that $(A \cap B) \cup (A \cap C) \subseteq A \cap (B \cup C)$. This completes the proof of the identity. ◀

Set identities can also be proved using **membership tables**. We consider each combination of the atomic sets (that is, the original sets used to produce the sets on each side) that an element can belong to and verify that elements in the same combinations of sets belong to both the sets in the identity. To indicate that an element is in a set, a 1 is used; to indicate that an element is not in a set, a 0 is used. (The reader should note the similarity between membership tables and truth tables.)

EXAMPLE 13 Use a membership table to show that $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$.

Solution: The membership table for these combinations of sets is shown in Table 2. This table has eight rows. Because the columns for $A \cap (B \cup C)$ and $(A \cap B) \cup (A \cap C)$ are the same, the identity is valid. ◀

TABLE 2 A Membership Table for the Distributive Property.

A	B	C	$B \cup C$	$A \cap (B \cup C)$	$A \cap B$	$A \cap C$	$(A \cap B) \cup (A \cap C)$
1	1	1	1	1	1	1	1
1	1	0	1	1	1	0	1
1	0	1	1	1	0	1	1
1	0	0	0	0	0	0	0
0	1	1	1	0	0	0	0
0	1	0	1	0	0	0	0
0	0	1	1	0	0	0	0
0	0	0	0	0	0	0	0

Once we have proved set identities, we can use them to prove new identities. In particular, we can apply a string of identities, one in each step, to take us from one side of a desired identity to the other. It is helpful to explicitly state the identity that is used in each step, as we do in Example 14.

EXAMPLE 14 Let A, B, and C be sets. Show that

$$\overline{A \cup (B \cap C)} = (\overline{C} \cup \overline{B}) \cap \overline{A}.$$

Solution: We have

$$\begin{aligned}
\overline{A \cup (B \cap C)} &= \overline{A} \cap (\overline{B \cap C}) && \text{by the first De Morgan law} \\
&= \overline{A} \cap (\overline{B} \cup \overline{C}) && \text{by the second De Morgan law} \\
&= (\overline{B} \cup \overline{C}) \cap \overline{A} && \text{by the commutative law for intersections} \\
&= (\overline{C} \cup \overline{B}) \cap \overline{A} && \text{by the commutative law for unions.}
\end{aligned}$$

◀

We summarize the three different ways for proving set identities in Table 3.

TABLE 3 Methods of Proving Set Identities.

Description	***Method***
Subset method	Show that each side of the identity is a subset of the other side.
Membership table	For each possible combination of the atomic sets, show that an element in exactly these atomic sets must either belong to both sides or belong to neither side
Apply existing identities	Start with one side, transform it into the other side using a sequence of steps by applying an established identity.

2.2.3 Generalized Unions and Intersections

Because unions and intersections of sets satisfy associative laws, the sets $A \cup B \cup C$ and $A \cap B \cap C$ are well defined; that is, the meaning of this notation is unambiguous when A, B, and C are sets. That is, we do not have to use parentheses to indicate which operation comes first because $A \cup (B \cup C) = (A \cup B) \cup C$ and $A \cap (B \cap C) = (A \cap B) \cap C$. Note that $A \cup B \cup C$ contains those elements that are in at least one of the sets A, B, and C, and that $A \cap B \cap C$ contains those elements that are in all of A, B, and C. These combinations of the three sets, A, B, and C, are shown in Figure 5.

EXAMPLE 15 Let $A = \{0, 2, 4, 6, 8\}$, $B = \{0, 1, 2, 3, 4\}$, and $C = \{0, 3, 6, 9\}$. What are $A \cup B \cup C$ and $A \cap B \cap C$?

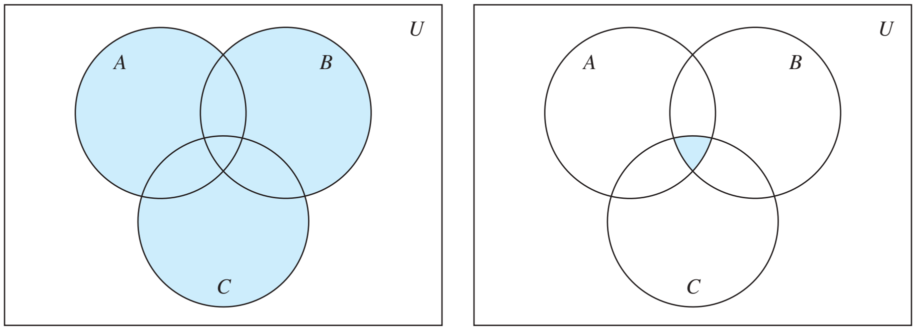
Solution: The set $A \cup B \cup C$ contains those elements in at least one of A, B, and C. Hence,

$$A \cup B \cup C = \{0, 1, 2, 3, 4, 6, 8, 9\}.$$

The set $A \cap B \cap C$ contains those elements in all three of A, B, and C. Thus,

$$A \cap B \cap C = \{0\}.$$

◀



(a) $A \cup B \cup C$ is shaded. (b) $A \cap B \cap C$ is shaded.

FIGURE 5 The union and intersection of A, B, and C.

We can also consider unions and intersections of an arbitrary number of sets. We introduce these definitions.

Definition 6 The *union* of a collection of sets is the set that contains those elements that are members of at least one set in the collection.

We use the notation

$$A_1 \cup A_2 \cup \cdots \cup A_n = \bigcup_{i=1}^{n} A_i$$

to denote the union of the sets $A_1, A_2, \ldots, A_n$.

Definition 7 The *intersection* of a collection of sets is the set that contains those elements that are members of all the sets in the collection.

We use the notation

$$A_1 \cap A_2 \cap \cdots \cap A_n = \bigcap_{i=1}^{n} A_i$$

to denote the intersection of the sets $A_1, A_2, \ldots, A_n$. We illustrate generalized unions and intersections with Example 16.

EXAMPLE 16 *Extra Examples* For $i = 1, 2, \ldots$, let $A_i = \{i, i+1, i+2, \ldots\}$. Then,

$$\bigcup_{i=1}^{n} A_i = \bigcup_{i=1}^{n} \{i, i+1, i+2, \ldots\} = \{1, 2, 3, \ldots\},$$

and

$$\bigcap_{i=1}^{n} A_i = \bigcap_{i=1}^{n} \{i, i+1, i+2, \ldots\} = \{n, n+1, n+2, \ldots\} = A_n.$$

◀

We can extend the notation we have introduced for unions and intersections to other families of sets. In particular, to denote the union of the infinite family of sets $A_1, A_2, \ldots, A_n, \ldots$, we use the notation

$$A_1 \cup A_2 \cup \cdots \cup A_n \cup \cdots = \bigcup_{i=1}^{\infty} A_i.$$

Similarly, the intersection of these sets is denoted by

$$A_1 \cap A_2 \cap \cdots \cap A_n \cap \cdots = \bigcap_{i=1}^{\infty} A_i.$$

More generally, when I is a set, the notations $\bigcap_{i \in I} A_i$ and $\bigcup_{i \in I} A_i$ are used to denote the intersection and union of the sets A_i for $i \in I$, respectively. Note that we have $\bigcap_{i \in I} A_i = \{x \mid \forall i \in I\,(x \in A_i)\}$ and $\bigcup_{i \in I} A_i = \{x \mid \exists i \in I\,(x \in A_i)\}$.

EXAMPLE 17 Suppose that $A_i = \{1, 2, 3, \ldots, i\}$ for $i = 1, 2, 3, \ldots$. Then,

$$\bigcup_{i=1}^{\infty} A_i = \bigcup_{i=1}^{\infty} \{1, 2, 3, \ldots, i\} = \{1, 2, 3, \ldots\} = \mathbf{Z}^+$$

and

$$\bigcap_{i=1}^{\infty} A_i = \bigcap_{i=1}^{\infty} \{1, 2, 3, \ldots, i\} = \{1\}.$$

To see that the union of these sets is the set of positive integers, note that every positive integer n is in at least one of the sets, because it belongs to $A_n = \{1, 2, \ldots, n\}$, and every element of the sets in the union is a positive integer. To see that the intersection of these sets is the set $\{1\}$, note that the only element that belongs to all the sets $A_1, A_2, \ldots$ is 1. To see this note that $A_1 = \{1\}$ and $1 \in A_i$ for $i = 1, 2, \ldots$. ◀

2.2.4 Computer Representation of Sets

There are various ways to represent sets using a computer. One method is to store the elements of the set in an unordered fashion. However, if this is done, the operations of computing the union, intersection, or difference of two sets would be time consuming, because each of these operations would require a large amount of searching for elements. We will present a method for storing elements using an arbitrary ordering of the elements of the universal set. This method of representing sets makes computing combinations of sets easy.

Assume that the universal set U is finite (and of reasonable size so that the number of elements of U is not larger than the memory size of the computer being used). First, specify an arbitrary ordering of the elements of U, for instance $a_1, a_2, \ldots, a_n$. Represent a subset A of U with the bit string of length n, where the ith bit in this string is 1 if a_i belongs to A and is 0 if a_i does not belong to A. Example 18 illustrates this technique.

EXAMPLE 18 Let $U = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$, and the ordering of elements of U has the elements in increasing order; that is, $a_i = i$. What bit strings represent the subset of all odd integers in U, the subset of all even integers in U, and the subset of integers not exceeding 5 in U?

2.3 Functions

2.3.1 Introduction

In many instances we assign to each element of a set a particular element of a second set (which may be the same as the first). For example, suppose that each student in a discrete mathematics class is assigned a letter grade from the set $\{A, B, C, D, F\}$. And suppose that the grades are A for Adams, C for Chou, B for Goodfriend, A for Rodriguez, and F for Stevens. This assignment of grades is illustrated in Figure 1.

This assignment is an example of a function. The concept of a function is extremely important in mathematics and computer science. For example, in discrete mathematics functions are used in the definition of such discrete structures as sequences and strings. Functions are also used to represent how long it takes a computer to solve problems of a given size. Many computer programs and subroutines are designed to calculate values of functions. Recursive functions, which are functions defined in terms of themselves, are used throughout computer science; they will be studied in Chapter 5. This section reviews the basic concepts involving functions needed in discrete mathematics.

Definition 1 Let A and B be nonempty sets. A *function* f from A to B is an assignment of exactly one element of B to each element of A. We write $f(a) = b$ if b is the unique element of B assigned by the function f to the element a of A. If f is a function from A to B, we write $f : A \to B$.

Remark: Functions are sometimes also called **mappings** or **transformations**.

Assessment

Functions are specified in many different ways. Sometimes we explicitly state the assignments, as in Figure 1. Often we give a formula, such as $f(x) = x + 1$, to define a function. Other times we use a computer program to specify a function.

A function $f : A \to B$ can also be defined in terms of a relation from A to B. Recall from Section 2.1 that a relation from A to B is just a subset of $A \times B$. A relation from A to B that contains one, and only one, ordered pair (a, b) for every element $a \in A$, defines a function f from A to B. This function is defined by the assignment $f(a) = b$, where (a, b) is the unique ordered pair in the relation that has a as its first element.

Definition 2 If f is a function from A to B, we say that A is the *domain* of f and B is the *codomain* of f. If $f(a) = b$, we say that b is the *image* of a and a is a *preimage* of b. The *range*, or *image*, of f is the set of all images of elements of A. Also, if f is a function from A to B, we say that f *maps* A to B.

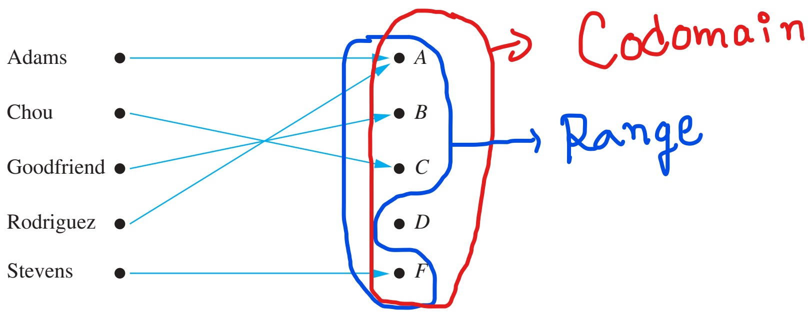


FIGURE 1 **Assignment of grades in a discrete mathematics class.**

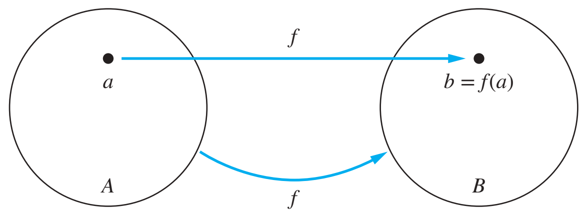


FIGURE 2 **The function f maps A to B.**

Figure 2 represents a function f from A to B.

Remark: Note that the codomain of a function from A to B is the set of all possible values of such a function (that is, all elements of B), and the range is the set of all values of $f(a)$ for $a \in A$, and is always a subset of the codomain. That is, the codomain is the set of possible values of the function and the range is the set of all elements of the codomain that are achieved as the value of f for at least one element of the domain.

When we define a function we specify its domain, its codomain, and the mapping of elements of the domain to elements in the codomain. Two functions are **equal** when they have the same domain, have the same codomain, and map each element of their common domain to the same element in their common codomain. Note that if we change either the domain or the codomain of a function, then we obtain a different function. If we change the mapping of elements, then we also obtain a different function.

Examples 1–5 provide examples of functions. In each case, we describe the domain, the codomain, the range, and the assignment of values to elements of the domain.

EXAMPLE 1 What are the domain, codomain, and range of the function that assigns grades to students described in the first paragraph of the introduction of this section?

Solution: Let G be the function that assigns a grade to a student in our discrete mathematics class. Note that $G(\text{Adams}) = A$, for instance. The domain of G is the set {Adams, Chou, Goodfriend, Rodriguez, Stevens}, and the codomain is the set $\{A, B, C, D, F\}$. The range of G is the set $\{A, B, C, F\}$, because each grade except D is assigned to some student. ◀

EXAMPLE 2 Let R be the relation with ordered pairs (Abdul, 22), (Brenda, 24), (Carla, 21), (Desire, 22), (Eddie, 24), and (Felicia, 22). Here each pair consists of a graduate student and this student's age. Specify a function determined by this relation.

Solution: If f is a function specified by R, then $f(\text{Abdul}) = 22$, $f(\text{Brenda}) = 24$, $f(\text{Carla}) = 21$, $f(\text{Desire}) = 22$, $f(\text{Eddie}) = 24$, and $f(\text{Felicia}) = 22$. [Here, $f(x)$ is the age of x, where x is a student.] For the domain, we take the set {Abdul, Brenda, Carla, Desire, Eddie, Felicia}. We also need to specify a codomain, which needs to contain all possible ages of students. Because it is highly likely that all students are less than 100 years old, we can take the set of positive integers less than 100 as the codomain. (Note that we could choose a different codomain, such as the set of all positive integers or the set of positive integers between 10 and 90, but that would change the function. Using this codomain will also allow us to extend the function by adding the names and ages of more students later.) The range of the function we have specified is the set of different ages of these students, which is the set $\{21, 22, 24\}$. ◀

EXAMPLE 3 *Extra Examples* Let f be the function that assigns the last two bits of a bit string of length 2 or greater to that string. For example, $f(11010) = 10$. Then, the domain of f is the set of all bit strings of length 2 or greater, and both the codomain and range are the set $\{00, 01, 10, 11\}$. ◀

EXAMPLE 4 Let $f: \mathbf{Z} \to \mathbf{Z}$ assign the square of an integer to this integer. Then, $f(x) = x^2$, where the domain of f is the set of all integers, the codomain of f is the set of all integers, and the range of f is the set of all integers that are perfect squares, namely, $\{0, 1, 4, 9, \ldots\}$. ◀

EXAMPLE 5 The domain and codomain of functions are often specified in programming languages. For instance, the Java statement

int **floor**(float real){...}

and the C++ function statement

int **function** (float x){...}

both tell us that the domain of the floor function is the set of real numbers (represented by floating point numbers) and its codomain is the set of integers. ◀

A function is called **real-valued** if its codomain is the set of real numbers, and it is called **integer-valued** if its codomain is the set of integers. Two real-valued functions or two integer-valued functions with the same domain can be added, as well as multiplied.

Definition 3 Let f_1 and f_2 be functions from A to $\mathbf{R}$. Then $f_1 + f_2$ and $f_1 f_2$ are also functions from A to $\mathbf{R}$ defined for all $x \in A$ by

$$(f_1 + f_2)(x) = f_1(x) + f_2(x),$$
$$(f_1 f_2)(x) = f_1(x) f_2(x).$$

Note that the functions $f_1 + f_2$ and $f_1 f_2$ have been defined by specifying their values at x in terms of the values of f_1 and f_2 at x.

EXAMPLE 6 Let f_1 and f_2 be functions from $\mathbf{R}$ to $\mathbf{R}$ such that $f_1(x) = x^2$ and $f_2(x) = x - x^2$. What are the functions $f_1 + f_2$ and $f_1 f_2$?

Solution: From the definition of the sum and product of functions, it follows that

$$(f_1 + f_2)(x) = f_1(x) + f_2(x) = x^2 + (x - x^2) = x$$

and

$$(f_1 f_2)(x) = x^2(x - x^2) = x^3 - x^4.$$ ◀

When f is a function from A to B, the image of a subset of A can also be defined.

Definition 4 Let f be a function from A to B and let S be a subset of A. The *image* of S under the function f is the subset of B that consists of the images of the elements of S. We denote the image of S by $f(S)$, so

$$f(S) = \{t \mid \exists s \in S\ (t = f(s))\}.$$

We also use the shorthand $\{f(s) \mid s \in S\}$ to denote this set.

Remark: The notation $f(S)$ for the image of the set S under the function f is potentially ambiguous. Here, $f(S)$ denotes a set, and not the value of the function f for the set S.

EXAMPLE 7 Let $A = \{a, b, c, d, e\}$ and $B = \{1, 2, 3, 4\}$ with $f(a) = 2, f(b) = 1, f(c) = 4, f(d) = 1$, and $f(e) = 1$. The image of the subset $S = \{b, c, d\}$ is the set $f(S) = \{1, 4\}$. ◀

2.3.2 One-to-One and Onto Functions

Some functions never assign the same value to two different domain elements. These functions are said to be **one-to-one**.

Definition 5 A function f is said to be *one-to-one*, or an *injection*, if and only if $f(a) = f(b)$ implies that $a = b$ for all a and b in the domain of f. A function is said to be *injective* if it is one-to-one.

Note that a function f is one-to-one if and only if $f(a) \neq f(b)$ whenever $a \neq b$. This way of expressing that f is one-to-one is obtained by taking the contrapositive of the implication in the definition.

Remark: We can express that f is one-to-one using quantifiers as $\forall a \forall b(f(a) = f(b) \rightarrow a = b)$ or equivalently $\forall a \forall b(a \neq b \rightarrow f(a) \neq f(b))$, where the universe of discourse is the domain of the function.

Assessment

We illustrate this concept by giving examples of functions that are one-to-one and other functions that are not one-to-one.

EXAMPLE 8 Determine whether the function f from $\{a, b, c, d\}$ to $\{1, 2, 3, 4, 5\}$ with $f(a) = 4$, $f(b) = 5$, $f(c) = 1$, and $f(d) = 3$ is one-to-one.

Extra Examples

Solution: The function f is one-to-one because f takes on different values at the four elements of its domain. This is illustrated in Figure 3. ◀

EXAMPLE 9 Determine whether the function $f(x) = x^2$ from the set of integers to the set of integers is one-to-one.

Solution: The function $f(x) = x^2$ is not one-to-one because, for instance, $f(1) = f(-1) = 1$, but $1 \neq -1$. ◀

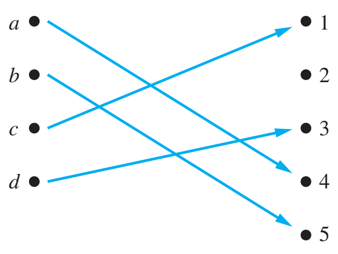


FIGURE 3 **A one-to-one function.**

Remark: The function $f(x) = x^2$ with domain $\mathbf{Z}^+$ is one-to-one. (See the explanation in Example 12 to see why.) This is a different function from the function in Example 9 because of the difference in their domains.

EXAMPLE 10 Determine whether the function $f(x) = x + 1$ from the set of real numbers to itself is one-to-one.

Solution: Suppose that x and y are real numbers with $f(x) = f(y)$, so that $x + 1 = y + 1$. This means that $x = y$. Hence, $f(x) = x + 1$ is a one-to-one function from $\mathbf{R}$ to $\mathbf{R}$. ◀

EXAMPLE 11 Suppose that each worker in a group of employees is assigned a job from a set of possible jobs, each to be done by a single worker. In this situation, the function f that assigns a job to each worker is one-to-one. To see this, note that if x and y are two different workers, then $f(x) \neq f(y)$ because the two workers x and y must be assigned different jobs. ◀

We now give some conditions that guarantee that a function is one-to-one.

Definition 6 A function f whose domain and codomain are subsets of the set of real numbers is called *increasing* if $f(x) \leq f(y)$, and *strictly increasing* if $f(x) < f(y)$, whenever $x < y$ and x and y are in the domain of f. Similarly, f is called *decreasing* if $f(x) \geq f(y)$, and *strictly decreasing* if $f(x) > f(y)$, whenever $x < y$ and x and y are in the domain of f. (The word *strictly* in this definition indicates a strict inequality.)

Remark: A function f is increasing if $\forall x \forall y (x < y \rightarrow f(x) \leq f(y))$, strictly increasing if $\forall x \forall y (x < y \rightarrow f(x) < f(y))$, decreasing if $\forall x \forall y (x < y \rightarrow f(x) \geq f(y))$, and strictly decreasing if $\forall x \forall y (x < y \rightarrow f(x) > f(y))$, where the universe of discourse is the domain of f.

EXAMPLE 12 The function $f(x) = x^2$ from $\mathbf{R}^+$ to $\mathbf{R}^+$ is strictly increasing. To see this, suppose that x and y are positive real numbers with $x < y$. Multiplying both sides of this inequality by x gives $x^2 < xy$. Similarly, multiplying both sides by y gives $xy < y^2$. Hence, $f(x) = x^2 < xy < y^2 = f(y)$. However, the function $f(x) = x^2$ from $\mathbf{R}$ to the set of nonnegative real numbers is not strictly increasing because $-1 < 0$, but $f(-1) = (-1)^2 = 1$ is not less than $f(0) = 0^2 = 0$. ◀

From these definitions, it can be shown (see Exercises 26 and 27) that a function that is either strictly increasing or strictly decreasing must be one-to-one. However, a function that is increasing, but not strictly increasing, or decreasing, but not strictly decreasing, is not one-to-one.

For some functions the range and the codomain are equal. That is, every member of the codomain is the image of some element of the domain. Functions with this property are called **onto** functions.

Definition 7 A function f from A to B is called *onto*, or a *surjection*, if and only if for every element $b \in B$ there is an element $a \in A$ with $f(a) = b$. A function f is called *surjective* if it is onto.

Codomain = Range

Remark: A function f is onto if $\forall y \exists x (f(x) = y)$, where the domain for x is the domain of the function and the domain for y is the codomain of the function.

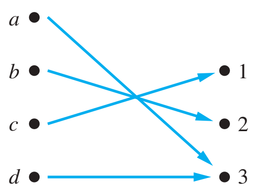


FIGURE 4 **An onto function.**

We now give examples of onto functions and functions that are not onto.

EXAMPLE 13 Let f be the function from $\{a, b, c, d\}$ to $\{1, 2, 3\}$ defined by $f(a) = 3$, $f(b) = 2$, $f(c) = 1$, and $f(d) = 3$. Is f an onto function?

Extra Examples

Solution: Because all three elements of the codomain are images of elements in the domain, we see that f is onto. This is illustrated in Figure 4. Note that if the codomain were $\{1, 2, 3, 4\}$, then f would not be onto. ◀

EXAMPLE 14 Is the function $f(x) = x^2$ from the set of integers to the set of integers onto?

Solution: The function f is not onto because there is no integer x with $x^2 = -1$, for instance. ◀

EXAMPLE 15 Is the function $f(x) = x + 1$ from the set of integers to the set of integers onto?

Solution: This function is onto, because for every integer y there is an integer x such that $f(x) = y$. To see this, note that $f(x) = y$ if and only if $x + 1 = y$, which holds if and only if $x = y - 1$. (Note that $y - 1$ is also an integer, and so, is in the domain of f.) ◀

EXAMPLE 16 Consider the function f in Example 11 that assigns jobs to workers. The function f is onto if for every job there is a worker assigned this job. The function f is not onto when there is at least one job that has no worker assigned it. ◀

Definition 8 The function f is a *one-to-one correspondence,* or a *bijection,* if it is both one-to-one and onto. We also say that such a function is *bijective*.

Examples 16 and 17 illustrate the concept of a bijection.

EXAMPLE 17 Let f be the function from $\{a, b, c, d\}$ to $\{1, 2, 3, 4\}$ with $f(a) = 4$, $f(b) = 2$, $f(c) = 1$, and $f(d) = 3$. Is f a bijection?

Solution: The function f is one-to-one and onto. It is one-to-one because no two values in the domain are assigned the same function value. It is onto because all four elements of the codomain are images of elements in the domain. Hence, f is a bijection. ◀

Figure 5 displays four functions where the first is one-to-one but not onto, the second is onto but not one-to-one, the third is both one-to-one and onto, and the fourth is neither one-to-one nor onto. The fifth correspondence in Figure 5 is not a function, because it sends an element to two different elements.

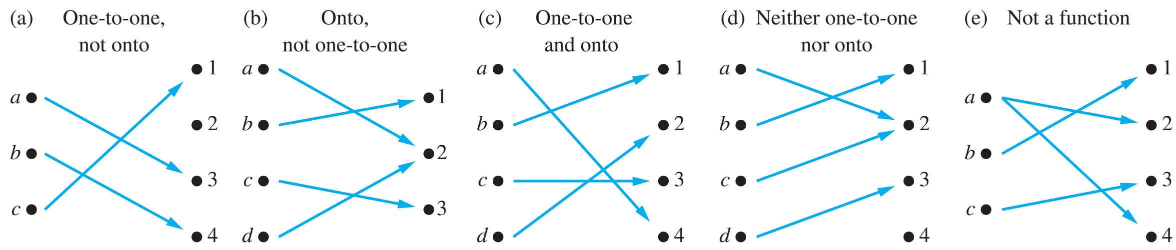


FIGURE 5 **Examples of different types of correspondences.**

Suppose that f is a function from a set A to itself. If A is finite, then f is one-to-one if and only if it is onto. (This follows from the result in Exercise 74.) This is not necessarily the case if A is infinite (as will be shown in Section 2.5).

EXAMPLE 18 Let A be a set. The *identity function* on A is the function $\iota_A : A \to A$, where

$$\iota_A(x) = x$$

for all $x \in A$. In other words, the identity function ι_A is the function that assigns each element to itself. The function ι_A is one-to-one and onto, so it is a bijection. (Note that ι is the Greek letter iota.) ◀

For future reference, we summarize what needs be to shown to establish whether a function is one-to-one and whether it is onto. It is instructive to review Examples 8–17 in light of this summary.

Suppose that $f : A \to B$.

To show that f is injective Show that if $f(x) = f(y)$ for arbitrary $x, y \in A$, then $x = y$.

To show that f is not injective Find particular elements $x, y \in A$ such that $x \neq y$ and $f(x) = f(y)$.

To show that f is surjective Consider an arbitrary element $y \in B$ and find an element $x \in A$ such that $f(x) = y$.

To show that f is not surjective Find a particular $y \in B$ such that $f(x) \neq y$ for all $x \in A$.

2.3.3 Inverse Functions and Compositions of Functions

Now consider a one-to-one correspondence f from the set A to the set B. Because f is an onto function, every element of B is the image of some element in A. Furthermore, because f is also a one-to-one function, every element of B is the image of a *unique* element of A. Consequently, we can define a new function from B to A that reverses the correspondence given by f. This leads to Definition 9.

Definition 9 Let f be a one-to-one correspondence from the set A to the set B. The *inverse function* of f is the function that assigns to an element b belonging to B the unique element a in A such that $f(a) = b$. The inverse function of f is denoted by f^{-1}. Hence, $f^{-1}(b) = a$ when $f(a) = b$.