

- \*55. Describe an algorithm that finds the Cantor expansion of an integer.
- \*56. Describe an algorithm to add two integers from their Cantor expansions.
- 57. Add  $(10111)_2$  and  $(11010)_2$  by working through each step of the algorithm for addition given in the text.
- 58. Multiply  $(1110)_2$  and  $(1010)_2$  by working through each step of the algorithm for multiplication given in the text.
- 59. Describe an algorithm for finding the difference of two binary expansions.
- 60. Estimate the number of bit operations used to subtract two binary expansions.
- 61. Devise an algorithm that, given the binary expansions of the integers  $a$  and  $b$ , determines whether  $a > b$ ,  $a = b$ , or  $a < b$ .
- 62. How many bit operations does the comparison algorithm from Exercise 61 use when the larger of  $a$  and  $b$  has  $n$  bits in its binary expansion?
- 63. Estimate the complexity of Algorithm 1 for finding the base  $b$  expansion of an integer  $n$  in terms of the number of divisions used.
- \*64. Show that Algorithm 5 uses  $O((\log m)^2 \log n)$  bit operations to find  $b^n \bmod m$ .
- 65. Show that Algorithm 4 uses  $O(q \log a)$  bit operations, assuming that  $a > d$ .

## 4.3 Primes and Greatest Common Divisors

### 4.3.1 Introduction

In Section 4.1 we studied the concept of divisibility of integers. One important concept based on divisibility is that of a prime number. A prime is an integer greater than 1 that is divisible by no positive integers other than 1 and itself. The study of prime numbers goes back to ancient times. Thousands of years ago it was known that there are infinitely many primes; the proof of this fact, found in the works of Euclid, is famous for its elegance and beauty.

We will discuss the distribution of primes among the integers. We will describe some of the results about primes found by mathematicians in the last 400 years. In particular, we will introduce an important theorem, the fundamental theorem of arithmetic. This theorem, which asserts that every positive integer can be written uniquely as the product of primes in nondecreasing order, has many interesting consequences. We will also discuss some of the many old conjectures about primes that remain unsettled today.

Primes have become essential in modern cryptographic systems, and we will develop some of their properties important in cryptography. For example, finding large primes is essential in modern cryptography. The length of time required to factor large integers into their prime factors is the basis for the strength of some important modern cryptographic systems.

In this section we will also study the greatest common divisor of two integers, as well as the least common multiple of two integers. We will develop an important algorithm for computing greatest common divisors, called the Euclidean algorithm.

### 4.3.2 Primes

Every integer greater than 1 is divisible by at least two integers, because a positive integer is divisible by 1 and by itself. Positive integers that have exactly two different positive integer factors are called **primes**.

#### Definition 1

An integer  $p$  greater than 1 is called *prime* if the only positive factors of  $p$  are 1 and  $p$ . A positive integer that is greater than 1 and is not prime is called *composite*.

**Remark:** The integer 1 is not prime, because it has only one positive factor. Note also that an integer  $n$  is composite if and only if there exists an integer  $a$  such that  $a \mid n$  and  $1 < a < n$ .

**EXAMPLE 1** The integer 7 is prime because its only positive factors are 1 and 7, whereas the integer 9 is composite because it is divisible by 3. ◀

The primes are the building blocks of positive integers, as the fundamental theorem of arithmetic shows. The proof will be given in Section 5.2.

**THEOREM 1** **THE FUNDAMENTAL THEOREM OF ARITHMETIC** Every integer greater than 1 can be written uniquely as a prime or as the product of two or more primes, where the prime factors are written in order of nondecreasing size.

Example 2 gives some prime factorizations of integers.

**EXAMPLE 2** The prime factorizations of 100, 641, 999, and 1024 are given by

Extra  
Examples ▶

$$100 = 2 \cdot 2 \cdot 5 \cdot 5 = 2^2 5^2,$$

$$641 = 641,$$

$$999 = 3 \cdot 3 \cdot 3 \cdot 37 = 3^3 \cdot 37,$$

$$1024 = 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 \cdot 2 = 2^{10}. \quad \blacktriangleleft$$

### 4.3.3 Trial Division

It is often important to show that a given integer is prime. For instance, in cryptology, large primes are used in some methods for making messages secret. One procedure for showing that an integer is prime is based on the following observation.

#### THEOREM 2

If  $n$  is a composite integer, then  $n$  has a prime divisor less than or equal to  $\sqrt{n}$ .

**Proof:** If  $n$  is composite, by the definition of a composite integer, we know that it has a factor  $a$  with  $1 < a < n$ . Hence, by the definition of a factor of a positive integer, we have  $n = ab$ , where  $b$  is a positive integer greater than 1. We will show that  $a \leq \sqrt{n}$  or  $b \leq \sqrt{n}$ . If  $a > \sqrt{n}$  and  $b > \sqrt{n}$ , then  $ab > \sqrt{n} \cdot \sqrt{n} = n$ , which is a contradiction. Consequently,  $a \leq \sqrt{n}$  or  $b \leq \sqrt{n}$ . Because both  $a$  and  $b$  are divisors of  $n$ , we see that  $n$  has a positive divisor not exceeding  $\sqrt{n}$ . This divisor is either prime or, by the fundamental theorem of arithmetic, has a prime divisor less than itself. In either case,  $n$  has a prime divisor less than or equal to  $\sqrt{n}$ . ◀

From Theorem 2, it follows that an integer is prime if it is not divisible by any prime less than or equal to its square root. This leads to the brute-force algorithm known as **trial division**. To use trial division we divide  $n$  by all primes not exceeding  $\sqrt{n}$  and conclude that  $n$  is prime if it is not divisible by any of these primes. In Example 3 we use trial division to show that 101 is prime.

**EXAMPLE 3** Show that 101 is prime.

**Solution:** The only primes not exceeding  $\sqrt{101}$  are 2, 3, 5, and 7. Because 101 is not divisible by 2, 3, 5, or 7 (the quotient of 101 and each of these integers is not an integer), it follows that 101 is prime. ◀

Because every integer has a prime factorization, it would be useful to have a procedure for finding this prime factorization. Consider the problem of finding the prime factorization of  $n$ . Begin by dividing  $n$  by successive primes, starting with the smallest prime, 2. If  $n$  has a prime factor, then by Theorem 3 a prime factor  $p$  not exceeding  $\sqrt{n}$  will be found. So, if no prime factor not exceeding  $\sqrt{n}$  is found, then  $n$  is prime. Otherwise, if a prime factor  $p$  is found, continue by factoring  $n/p$ . Note that  $n/p$  has no prime factors less than  $p$ . Again, if  $n/p$  has no prime factor greater than or equal to  $p$  and not exceeding its square root, then it is prime. Otherwise, if it has a prime factor  $q$ , continue by factoring  $n/(pq)$ . This procedure is continued until the factorization has been reduced to a prime. This procedure is illustrated in Example 4.

**EXAMPLE 4** Find the prime factorization of 7007.

**Solution:** To find the prime factorization of 7007, first perform divisions of 7007 by successive primes, beginning with 2. None of the primes 2, 3, and 5 divides 7007. However, 7 divides 7007, with  $7007/7 = 1001$ . Next, divide 1001 by successive primes, beginning with 7. It is immediately seen that 7 also divides 1001, because  $1001/7 = 143$ . Continue by dividing 143 by successive primes, beginning with 7. Although 7 does not divide 143, 11 does divide 143, and  $143/11 = 13$ . Because 13 is prime, the procedure is completed. It follows that  $7007 = 7 \cdot 1001 = 7 \cdot 7 \cdot 143 = 7 \cdot 7 \cdot 11 \cdot 13$ . Consequently, the prime factorization of 7007 is  $7 \cdot 7 \cdot 11 \cdot 13 = 7^2 \cdot 11 \cdot 13$ . ◀

**Links** ▶ Prime numbers were studied in ancient times for philosophical reasons. Today, there are highly practical reasons for their study. In particular, large primes play a crucial role in cryptography, as we will see in Section 4.6.

### 4.3.4 The Sieve of Eratosthenes

Note that composite integers not exceeding 100 must have a prime factor not exceeding 10. Because the only primes less than 10 are 2, 3, 5, and 7, the primes not exceeding 100 are these four primes and those positive integers greater than 1 and not exceeding 100 that are divisible by none of 2, 3, 5, or 7.

**Links** ▶ The **sieve of Eratosthenes** is used to find all primes not exceeding a specified positive integer. For instance, the following procedure is used to find the primes not exceeding 100. We begin with the list of all integers between 1 and 100. To begin the sieving process, the integers that are divisible by 2, other than 2, are deleted. Because 3 is the first integer greater than 2 that is left, all those integers divisible by 3, other than 3, are deleted. Because 5 is the next integer left after 3, those integers divisible by 5, other than 5, are deleted. The next integer left is 7,

**Links** ▶



Source: Math Tutor Archive

**ERATOSTHENES (276 B.C.E.–194 B.C.E.)** It is known that Eratosthenes was born in Cyrene, a Greek colony west of Egypt, and spent time studying at Plato's Academy in Athens. We also know that King Ptolemy II invited Eratosthenes to Alexandria to tutor his son and that later Eratosthenes became chief librarian at the famous library at Alexandria, a central repository of ancient wisdom. Eratosthenes was an extremely versatile scholar, writing on mathematics, geography, astronomy, history, philosophy, and literary criticism. Besides his work in mathematics, he is most noted for his chronology of ancient history and for his famous measurement of the size of the earth.

**TABLE 1** The Sieve of Eratosthenes.

<i>Integers divisible by 2 other than 2 receive an underline.</i>										<i>Integers divisible by 3 other than 3 receive an underline.</i>									
1	2	3	<u>4</u>	5	<u>6</u>	7	<u>8</u>	9	<u>10</u>	1	2	3	<u>4</u>	5	<u>6</u>	7	8	9	<u>10</u>
11	<u>12</u>	13	<u>14</u>	15	<u>16</u>	17	<u>18</u>	19	<u>20</u>	11	<u>12</u>	13	<u>14</u>	<u>15</u>	<u>16</u>	17	<u>18</u>	19	<u>20</u>
21	<u>22</u>	23	<u>24</u>	25	<u>26</u>	27	<u>28</u>	29	<u>30</u>	21	<u>22</u>	23	<u>24</u>	25	<u>26</u>	<u>27</u>	<u>28</u>	29	<u>30</u>
31	<u>32</u>	33	<u>34</u>	35	<u>36</u>	37	<u>38</u>	39	<u>40</u>	31	<u>32</u>	<u>33</u>	<u>34</u>	35	<u>36</u>	37	<u>38</u>	<u>39</u>	<u>40</u>
41	<u>42</u>	43	<u>44</u>	45	<u>46</u>	47	<u>48</u>	49	<u>50</u>	41	<u>42</u>	43	<u>44</u>	<u>45</u>	<u>46</u>	47	<u>48</u>	49	<u>50</u>
51	<u>52</u>	53	<u>54</u>	55	<u>56</u>	57	<u>58</u>	59	<u>60</u>	51	<u>52</u>	53	<u>54</u>	55	<u>56</u>	<u>57</u>	<u>58</u>	59	<u>60</u>
61	<u>62</u>	63	<u>64</u>	65	<u>66</u>	67	<u>68</u>	69	<u>70</u>	61	<u>62</u>	<u>63</u>	<u>64</u>	65	<u>66</u>	67	<u>68</u>	<u>69</u>	<u>70</u>
71	<u>72</u>	73	<u>74</u>	75	<u>76</u>	77	<u>78</u>	79	<u>80</u>	71	<u>72</u>	73	<u>74</u>	<u>75</u>	<u>76</u>	77	<u>78</u>	79	<u>80</u>
81	<u>82</u>	83	<u>84</u>	85	<u>86</u>	87	<u>88</u>	89	<u>90</u>	81	<u>82</u>	83	<u>84</u>	85	<u>86</u>	<u>87</u>	<u>88</u>	89	<u>90</u>
91	<u>92</u>	93	<u>94</u>	95	<u>96</u>	97	<u>98</u>	99	<u>100</u>	91	<u>92</u>	<u>93</u>	<u>94</u>	95	<u>96</u>	97	<u>98</u>	<u>99</u>	<u>100</u>
<i>Integers divisible by 5 other than 5 receive an underline.</i>										<i>Integers divisible by 7 other than 7 receive an underline; integers in color are prime.</i>									
1	2	3	<u>4</u>	5	<u>6</u>	7	<u>8</u>	9	<u>10</u>	1	2	3	4	5	<u>6</u>	7	8	9	<u>10</u>
11	<u>12</u>	13	<u>14</u>	<u>15</u>	<u>16</u>	17	<u>18</u>	19	<u>20</u>	11	<u>12</u>	13	<u>14</u>	<u>15</u>	<u>16</u>	17	<u>18</u>	19	<u>20</u>
21	<u>22</u>	23	<u>24</u>	<u>25</u>	<u>26</u>	27	<u>28</u>	29	<u>30</u>	21	<u>22</u>	23	<u>24</u>	<u>25</u>	<u>26</u>	27	<u>28</u>	29	<u>30</u>
31	<u>32</u>	<u>33</u>	<u>34</u>	<u>35</u>	<u>36</u>	37	<u>38</u>	<u>39</u>	<u>40</u>	31	<u>32</u>	<u>33</u>	<u>34</u>	<u>35</u>	<u>36</u>	37	<u>38</u>	<u>39</u>	<u>40</u>
41	<u>42</u>	43	<u>44</u>	<u>45</u>	<u>46</u>	47	<u>48</u>	49	<u>50</u>	41	<u>42</u>	43	<u>44</u>	<u>45</u>	<u>46</u>	47	<u>48</u>	49	<u>50</u>
51	<u>52</u>	53	<u>54</u>	<u>55</u>	<u>56</u>	<u>57</u>	<u>58</u>	59	<u>60</u>	51	<u>52</u>	53	<u>54</u>	55	<u>56</u>	<u>57</u>	<u>58</u>	59	<u>60</u>
61	<u>62</u>	<u>63</u>	<u>64</u>	<u>65</u>	<u>66</u>	67	<u>68</u>	<u>69</u>	<u>70</u>	61	<u>62</u>	<u>63</u>	<u>64</u>	65	<u>66</u>	67	<u>68</u>	<u>69</u>	<u>70</u>
71	<u>72</u>	73	<u>74</u>	<u>75</u>	<u>76</u>	77	<u>78</u>	79	<u>80</u>	71	<u>72</u>	73	<u>74</u>	<u>75</u>	<u>76</u>	<u>77</u>	<u>78</u>	79	<u>80</u>
81	<u>82</u>	83	<u>84</u>	<u>85</u>	<u>86</u>	87	<u>88</u>	89	<u>90</u>	81	<u>82</u>	83	<u>84</u>	85	<u>86</u>	<u>87</u>	<u>88</u>	89	<u>90</u>
91	<u>92</u>	<u>93</u>	<u>94</u>	<u>95</u>	<u>96</u>	97	<u>98</u>	<u>99</u>	<u>100</u>	91	<u>92</u>	<u>93</u>	<u>94</u>	95	<u>96</u>	97	<u>98</u>	<u>99</u>	<u>100</u>

so those integers divisible by 7, other than 7, are deleted. Because all composite integers not exceeding 100 are divisible by 2, 3, 5, or 7, all remaining integers except 1 are prime. In Table 1, the panels display those integers deleted at each stage, where each integer divisible by 2, other than 2, is underlined in the first panel, each integer divisible by 3, other than 3, is underlined in the second panel, each integer divisible by 5, other than 5, is underlined in the third panel, and each integer divisible by 7, other than 7, is underlined in the fourth panel. The integers not underlined are the primes not exceeding 100. We conclude that the primes less than 100 are 2, 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, 37, 41, 43, 47, 53, 59, 61, 67, 71, 73, 79, 83, 89, and 97.

**THE INFINITUDE OF PRIMES** It has long been known that there are infinitely many primes. This means that whenever  $p_1, p_2, \dots, p_n$  are the  $n$  smallest primes, we know there is a larger prime not listed. We will prove this fact using a proof given by Euclid in his famous mathematics text, *The Elements*. This simple, yet elegant, proof is considered by many mathematicians to be among the most beautiful proofs in mathematics. It is the first proof presented in the book *Proofs from THE BOOK* (AiZi[14]), where THE BOOK refers to the imagined collection of perfect proofs that the legendary mathematician Paul Erdős claimed is maintained by God. By the way, there are a vast number of different proofs that there are an infinitude of primes, and new ones are published surprisingly frequently.

**THEOREM 3**

There are infinitely many primes.



**Proof:** We will prove this theorem using a proof by contradiction. We assume that there are only finitely many primes,  $p_1, p_2, \dots, p_n$ . Let

$$Q = p_1 p_2 \cdots p_n + 1.$$

By the fundamental theorem of arithmetic,  $Q$  is prime or else it can be written as the product of two or more primes. However, none of the primes  $p_j$  divides  $Q$ , for if  $p_j \mid Q$ , then  $p_j$  divides  $Q - p_1 p_2 \cdots p_n = 1$ . Hence, there is a prime not in the list  $p_1, p_2, \dots, p_n$ . This prime is either  $Q$ , if it is prime, or a prime factor of  $Q$ . This is a contradiction because we assumed that we have listed all the primes. Consequently, there are infinitely many primes.  $\triangleleft$

**Remark:** Note that in this proof we do not state that  $Q$  is prime! Furthermore, in this proof, we have given a nonconstructive existence proof that given any  $n$  primes, there is a prime not in this list. For this proof to be constructive, we would have had to explicitly give a prime not in our original list of  $n$  primes.

Because there are infinitely many primes, given any positive integer there are primes greater than this integer. There is an ongoing quest to discover larger and larger prime numbers; for almost all the last 300 years, the largest prime known has been an integer of the special form  $2^p - 1$ , where  $p$  is also prime. (Note that  $2^n - 1$  cannot be prime when  $n$  is not prime; see Exercise 9.) Such primes are called **Mersenne primes**, after the French monk Marin Mersenne, who studied them in the seventeenth century. The reason that the largest known prime has usually been a Mersenne prime is that there is an extremely efficient test, known as the **Lucas–Lehmer** test, for determining whether  $2^p - 1$  is prime. Furthermore, it is not currently possible to test numbers not of this or certain other special forms anywhere near as quickly to determine whether they are prime.

**EXAMPLE 5**

The numbers  $2^2 - 1 = 3$ ,  $2^3 - 1 = 7$ ,  $2^5 - 1 = 31$  and  $2^7 - 1 = 127$  are Mersenne primes, while  $2^{11} - 1 = 2047$  is not a Mersenne prime because  $2047 = 23 \cdot 89$ .  $\triangleleft$

Progress in finding Mersenne primes has been steady since computers were invented. As of early 2018, 50 Mersenne primes were known, with 19 found since 1990. The largest Mersenne prime known (again as of early 2018) is  $2^{77,232,917} - 1$ , a number with 23,249,425 decimal

**Links**

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**MARIN MERSENNE (1588–1648)** Mersenne was born in Maine, France, into a family of laborers and attended the College of Mans and the Jesuit College at La Flèche. He continued his education at the Sorbonne, studying theology from 1609 to 1611. He joined the religious order of the Minims in 1611, a group whose name comes from the word *minimi* (the members of this group were extremely humble; they considered themselves the least of all religious orders). Besides prayer, the members of this group devoted their energy to scholarship and study. In 1612 he became a priest at the Place Royale in Paris; between 1614 and 1618 he taught philosophy at the Minim Convent at Nevers. He returned to Paris in 1619, where his cell in the Minims de l'Annociade became a place for meetings of French scientists, philosophers, and mathematicians, including Fermat and Pascal. Mersenne corresponded extensively with scholars throughout Europe, serving as a clearinghouse for mathematical and scientific knowledge, a function later served by mathematical journals (and today also by the Internet). Mersenne books covering mechanics,

wrote mathematical physics, mathematics, music, and acoustics. He studied prime numbers and tried unsuccessfully to construct a formula representing all primes. In 1644 Mersenne claimed that  $2^p - 1$  is prime for  $p = 2, 3, 5, 7, 13, 17, 19, 31, 67, 127, 257$  but is composite for all other primes less than 257. It took over 300 years to determine that Mersenne's claim was wrong five times. Specifically,  $2^p - 1$  is not prime for  $p = 67$  and  $p = 257$  but is prime for  $p = 61, p = 87$ , and  $p = 107$ . It is also noteworthy that Mersenne defended two of the most famous men of his time, Descartes and Galileo, from religious critics. He also helped expose alchemists and astrologers as frauds.



digits, which was shown to be prime in December, 2017. A communal effort, the Great Internet Mersenne Prime Search (GIMPS), is devoted to the search for new Mersenne primes. You can join this search, and if you are lucky, find a new Mersenne prime and possibly even win a cash prize. By the way, even the search for Mersenne primes has practical implications. A commonly used quality control test for supercomputers is to replicate the Lucas–Lehmer test that establishes the primality of a large Mersenne prime. Also, in January, 2016, it was reported that a bug in the Intel Skylake processor was found when GIMPS software was run. (See [Ro10] for more information about the quest for finding Mersenne primes.)

**THE DISTRIBUTION OF PRIMES** Theorem 3 tells us that there are infinitely many primes. However, how many primes are less than a positive number  $x$ ? This question interested mathematicians for many years; in the late eighteenth century, mathematicians produced large tables of prime numbers to gather evidence concerning the distribution of primes. Using this evidence, the great mathematicians of the day, including Gauss and Legendre, conjectured, but did not prove, Theorem 4.

#### THEOREM 4

**THE PRIME NUMBER THEOREM** The ratio of  $\pi(x)$ , the number of primes not exceeding  $x$ , and  $x/\ln x$  approaches 1 as  $x$  grows without bound. (Here  $\ln x$  is the natural logarithm of  $x$ .)



The prime number theorem was first proved in 1896 by the French mathematician Jacques Hadamard and the Belgian mathematician Charles-Jean-Gustave-Nicholas de la Vallée-Poussin using the theory of complex variables. Although proofs not using complex variables have been found, all known proofs of the prime number theorem are quite complicated. Many refinements of the prime number theorem have been proved, with many addressing the error made by estimating  $\pi(x)$  with  $x/\ln x$ , and by estimating  $\pi(x)$  with other functions. Many unsolved questions remain in this area of study.

Table 2 displays  $\pi(x)$ ,  $x/\ln x$ , and their ratio, for  $x = 10^n$  where  $3 \leq n \leq 10$ . A tremendous amount of effort has been devoted to computing  $\pi(x)$  for progressively larger values of  $x$ . As of late 2017, the number of primes less than or equal to  $10^n$  has been determined for all positive integers  $n$  with  $n \leq 26$ . In particular, it is known that

$$\pi(10^{26}) = 1,699,246,750,872,437,141,327,603,$$

to the nearest integer

$$\pi(10^{26}) - (10^{26}/\ln 10^{26}) = 28,883,358,936,853,188,823,261,$$

**TABLE 2** Approximating  $\pi(x)$  by  $x/\ln x$ .

$x$	$\pi(x)$	$x/\ln x$	$\pi(x)/(x/\ln x)$
$10^3$	168	144.8	1.161
$10^4$	1229	1085.7	1.132
$10^5$	9592	8685.9	1.104
$10^6$	78,498	72,382.4	1.084
$10^7$	664,579	620,420.7	1.071
$10^8$	5,761,455	5,428,681.0	1.061
$10^9$	50,847,534	48,254,942.4	1.054
$10^{10}$	455,052,512	434,294,481.9	1.048



and up to six decimal places

$$\pi(10^{26})/(10^{26}/\ln(10^{26})) = 1.01729.$$

#### Links

You can find a great deal of computational data relating to  $\pi(x)$  and functions that estimate  $\pi(x)$  using the web.

We can use the prime number theorem to estimate the probability that a randomly chosen number is prime. (See Chapter 7 to learn the basics of probability theory.) The prime number theorem tells us that the number of primes not exceeding  $x$  can be approximated by  $x/\ln x$ . Consequently, the odds that a randomly selected positive integer less than  $n$  is prime are approximately  $(n/\ln n)/n = 1/\ln n$ . Sometimes we need to find a prime with a particular number of digits. We would like an estimate of how many integers with a particular number of digits we need to select before we encounter a prime. Using the prime number theorem and calculus, it can be shown that the probability that an integer  $n$  is prime is also approximately  $1/\ln n$ . For example, the odds that an integer near  $10^{1000}$  is prime are approximately  $1/\ln 10^{1000}$ , which is approximately  $1/2300$ . (Note that if we choose only odd numbers, we double our chances of finding a prime.)

Using trial division with Theorem 2 gives procedures for factoring and for primality testing. However, these procedures are not efficient algorithms; many much more practical and efficient algorithms for these tasks have been developed. Factoring and primality testing have become important in the applications of number theory to cryptography. This has led to a great interest in developing efficient algorithms for both tasks. Clever procedures have been devised in the last 30 years for efficiently generating large primes. Moreover, in 2002, an important theoretical discovery was made by Manindra Agrawal, Neeraj Kayal, and Nitin Saxena. They showed there is a polynomial-time algorithm in the number of bits in the binary expansion of an integer for determining whether a positive integer is prime. Algorithms based on their work use  $O((\log n)^6)$  bit operations to determine whether a positive integer  $n$  is prime.

However, even though powerful new factorization methods have been developed in the same time frame, factoring large numbers remains extraordinarily more time-consuming than primality testing. No polynomial-time algorithm for factoring integers is known. Nevertheless, the challenge of factoring large numbers interests many people. There is a communal effort on the Internet to factor large numbers, especially those of the special form  $k^n \pm 1$ , where  $k$  is a small positive integer and  $n$  is a large positive integer (such numbers are called *Cunningham numbers*). At any given time, there is a list of the “Ten Most Wanted” large numbers of this type awaiting factorization.

#### Links



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**CHARLES-JEAN-GUSTAVE-NICHOLAS DE LA VALLÉE-POUSSIN (1866–1962)** De la Vallée-Poussin, the son of a professor of geology, was born in Louvain, Belgium. He attended the Jesuit College at Mons, first studying philosophy, but then turning to engineering. After graduating, he devoted himself to mathematics instead of engineering. His most important contribution to mathematics was his proof of the prime number theorem. He also established results about the distribution of primes in arithmetic progressions and refined the prime number theorem to include error estimates. De la Vallée-Poussin made important contributions to differential equations, analysis, and approximation theory. He also wrote a textbook, *Cours d'analyse*, which had significant impact on mathematical thought in the first half of the twentieth century.



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**JACQUES HADAMARD (1865–1963)** Hadamard, whose father was a Latin teacher and mother a distinguished piano teacher, was born in Versailles, France. After graduating from college, he taught at a secondary school in Paris. After receiving his Ph.D. in 1892, he was a lecturer at the Faculté des Sciences of Bordeaux. Later, he served on the faculties of the Sorbonne, the Collège de France, the École Polytechnique, and the École Centrale des Arts et Manufactures. Hadamard made significant contributions to complex analysis, functional analysis, and mathematical physics. He was recognized as an innovative teacher, writing many articles about elementary mathematics that were used in French schools and a widely used elementary geometry book.

**PRIMES AND ARITHMETIC PROGRESSIONS** Every odd integer is in one of the two arithmetic progressions  $4k + 1$  or  $4k + 3$ ,  $k = 1, 2, \dots$ . Because we know that there are infinitely many primes, we can ask whether there are infinitely many primes in both of these arithmetic progressions. The primes 5, 13, 17, 29, 37, 41, ... are in the arithmetic progression  $4k + 1$ ; the primes 3, 7, 11, 19, 23, 31, 43, ... are in the arithmetic progression  $4k + 3$ . Looking at the evidence hints that there may be infinitely many primes in both progressions. What about other arithmetic progressions  $ak + b$ ,  $k = 1, 2, \dots$ , where no integer greater than one divides both  $a$  and  $b$ ? Do they contain infinitely many primes? The answer was provided by the German mathematician G. Lejeune Dirichlet, who proved that every such arithmetic progression contains infinitely many primes. His proof, and all proofs found later, are beyond the scope of this book. However, it is possible to prove special cases of Dirichlet's theorem using the ideas developed in this book. For example, Exercises 54 and 55 ask for proofs that there are infinitely many primes in the arithmetic progressions  $3k + 2$  and  $4k + 3$ , where  $k$  is a positive integer. (The hint for each of these exercises supplies the basic idea needed for the proof.)

We have explained that every arithmetic progression  $ak + b$ ,  $k = 1, 2, \dots$ , where  $a$  and  $b$  have no common factor greater than one, contains infinitely many primes. But are there long arithmetic progressions made up of just primes? For example, some exploration shows that 5, 11, 17, 23, 29 is an arithmetic progression of five primes and 199, 409, 619, 829, 1039, 1249, 1459, 1669, 1879, 2089 is an arithmetic progression of ten primes. In the 1930s, the legendary and prolific mathematician Paul Erdős conjectured that for every positive integer  $n$  greater than two, there is an arithmetic progression of length  $n$  made up entirely of primes. In 2006, Ben Green and Terence Tao were able to prove this conjecture. Their proof, considered to be a mathematical tour de force, is a nonconstructive proof that combines powerful ideas from several advanced areas of mathematics.

### 4.3.5 Conjectures and Open Problems About Primes

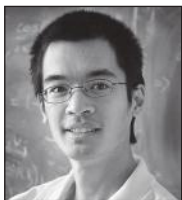
Number theory is noted as a subject for which it is easy to formulate conjectures, some of which are difficult to prove and others that remained open problems for many years. We will describe some conjectures in number theory and discuss their status in Examples 6–9.

#### EXAMPLE 6

Extra  
Examples

It would be useful to have a function  $f(n)$  such that  $f(n)$  is prime for all positive integers  $n$ . If we had such a function, we could find large primes for use in cryptography and other applications. Looking for such a function, we might check out different polynomial functions, as some mathematicians did several hundred years ago. After a lot of computation we may encounter

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**TERENCE TAO (BORN 1975)** Tao was born in Australia. His father is a pediatrician and his mother taught mathematics at a Hong Kong secondary school. Tao was a child prodigy, teaching himself arithmetic at the age of two. At 10, he became the youngest contestant at the International Mathematical Olympiad (IMO); he won an IMO gold medal at 13. Tao received his bachelor's and master's degrees when he was 17, and began graduate studies at Princeton, receiving his Ph.D. in three years. In 1996 he became a faculty member at UCLA, where he continues to work.

Tao is extremely versatile; he enjoys working on problems in diverse areas, including harmonic analysis, partial differential equations, number theory, and combinatorics. You can follow his work by reading his blog, where he discusses progress on various problems. His most famous result is the Green-Tao theorem, which says that there are arbitrarily long arithmetic progressions of primes. Tao has made important contributions to the applications of mathematics, such as developing a method for reconstructing digital images using the least possible amount of information.

Tao has an amazing reputation among mathematicians; he has become a Mr. Fix-It for researchers in mathematics. The well-known mathematician Charles Fefferman, himself a child prodigy, has said that "if you're stuck on a problem, then one way out is to interest Terence Tao." Tao maintains a popular blog that describes his research work and many mathematical problems in great detail. In 2006 Tao was awarded a Fields Medal, the most prestigious award for mathematicians under the age of 40. He was also awarded a MacArthur Fellowship in 2006, and in 2008, he received the Allan T. Waterman award, which came with a \$500,000 cash prize to support research work of scientists early in their careers. Tao's wife Laura is an engineer at the Jet Propulsion Laboratory.



the polynomial  $f(n) = n^2 - n + 41$ . This polynomial has the interesting property that  $f(n)$  is prime for all positive integers  $n$  not exceeding 40. [We have  $f(1) = 41$ ,  $f(2) = 43$ ,  $f(3) = 47$ ,  $f(4) = 53$ , and so on.] This can lead us to the conjecture that  $f(n)$  is prime for all positive integers  $n$ . Can we settle this conjecture?

**Solution:** Perhaps not surprisingly, this conjecture turns out to be false; we do not have to look far to find a positive integer  $n$  for which  $f(n)$  is composite, because  $f(41) = 41^2 - 41 + 41 = 41^2$ . Because  $f(n) = n^2 - n + 41$  is prime for all positive integers  $n$  with  $1 \leq n \leq 40$ , we might be tempted to find a different polynomial with the property that  $f(n)$  is prime for *all* positive integers  $n$ . However, there is no such polynomial. It can be shown that for every polynomial  $f(n)$  with integer coefficients, there is a positive integer  $y$  such that  $f(y)$  is composite. (See Exercise 23 in the Supplementary Exercises.)

Many famous problems about primes still await ultimate resolution by clever people. We describe a few of the most accessible and better known of these open problems in Examples 7–9. Number theory is noted for its wealth of easy-to-understand conjectures that resist attack by all but the most sophisticated techniques, or simply resist all attacks. We present these conjectures to show that many questions that seem relatively simple remain unsettled even in the twenty-first century.

**EXAMPLE 7 Goldbach's Conjecture** In 1742, Christian Goldbach, in a letter to Leonhard Euler, conjectured that every odd integer  $n$ ,  $n > 5$ , is the sum of three primes. Euler replied that this conjecture is equivalent to the conjecture that every even integer  $n$ ,  $n > 2$ , is the sum of two primes (see Exercise 21 in the Supplementary Exercises). The conjecture that every even integer  $n$ ,  $n > 2$ , is the sum of two primes is now called **Goldbach's conjecture**. We can check this conjecture for small even numbers. For example,  $4 = 2 + 2$ ,  $6 = 3 + 3$ ,  $8 = 5 + 3$ ,  $10 = 7 + 3$ ,  $12 = 7 + 5$ , and so on. Goldbach's conjecture was verified by hand calculations for numbers up to the millions prior to the advent of computers. With computers it can be checked for extremely large numbers. As of early 2018, the conjecture has been checked for all positive even integers up to  $4 \cdot 10^{18}$ .

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Although no proof of Goldbach's conjecture has been found, most mathematicians believe it is true. Several theorems have been proved, using complicated methods from analytic number theory far beyond the scope of this book, establishing results weaker than Goldbach's conjecture. Among these are the result that every even integer greater than 2 is the sum of at most six primes (proved in 1995 by O. Ramaré) and that every sufficiently large positive integer is the sum of a prime and a number that is either prime or the product of two primes (proved in 1966 by J. R. Chen). Perhaps Goldbach's conjecture will be settled in the not too distant future.

**EXAMPLE 8** There are many conjectures asserting that there are infinitely many primes of certain special forms. A conjecture of this sort is the conjecture that there are infinitely many primes of the form  $n^2 + 1$ , where  $n$  is a positive integer. For example,  $5 = 2^2 + 1$ ,  $17 = 4^2 + 1$ ,  $37 = 6^2 + 1$ , and so on. The best result currently known is that there are infinitely many positive integers  $n$  such that  $n^2 + 1$  is prime or the product of at most two primes (proved by Henryk Iwaniec in 1973 using advanced techniques from analytic number theory, far beyond the scope of this book).

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**EXAMPLE 9 The Twin Prime Conjecture** **Twin primes** are pairs of primes that differ by 2, such as 3 and 5, 5 and 7, 11 and 13, 17 and 19, and 4967 and 4969. The twin prime conjecture asserts that

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**CHRISTIAN GOLDBACH (1690–1764)** Christian Goldbach was born in Königsberg, Prussia, the city noted for its famous bridge problem (which will be studied in Section 10.5). He became professor of mathematics at the Academy in St. Petersburg in 1725. In 1728 Goldbach went to Moscow to tutor the son of the Tsar. He entered the world of politics when, in 1742, he became a staff member in the Russian Ministry of Foreign Affairs. Goldbach is best known for his correspondence with eminent mathematicians, including Euler and Bernoulli, for his intriguing conjectures in number theory, and for several contributions to analysis.



there are infinitely many twin primes. The strongest result proved concerning twin primes is that there are infinitely many pairs  $p$  and  $p + 2$ , where  $p$  is prime and  $p + 2$  is prime or the product of two primes (proved by J. R. Chen in 1966).

The world's record for twin primes, as of early 2018, consists of the numbers  $2,996,863,034,895 \cdot 2^{1,290,000} \pm 1$ , which have 388,342 decimal digits.

Let  $P(n)$  be the statement that there are infinitely many pairs of primes that differ by exactly  $n$ . The twin prime conjecture is the statement that  $P(2)$  is true. Mathematicians working on the twin prime conjecture formulated a weaker conjecture, known as the *bounded gap conjecture*, which asserts that there is an integer  $N$  for which  $P(N)$  is true. The mathematical community was surprised when Yitang Zhang, a 50-year-old professor at the University of New Hampshire, who had not published a paper since 2001, proved the bounded gap conjecture in 2013. In particular, he showed that there is an integer  $N < 70,000,000$  such that  $P(N)$  is true. A team of mathematicians, including Terrance Tao, lowered the Zhang's bound by showing that there is an integer  $N \leq 246$  for which  $P(N)$  is true. Furthermore, they showed that if a certain conjecture was true, it could be shown that  $N \leq 6$  and that this is the best possible estimate that could be proved using the methods introduced by Zhang. ◀

### 4.3.6 Greatest Common Divisors and Least Common Multiples

The largest integer that divides both of two integers is called the **greatest common divisor** of these integers.

#### Definition 2

Let  $a$  and  $b$  be integers, not both zero. The largest integer  $d$  such that  $d \mid a$  and  $d \mid b$  is called the *greatest common divisor* of  $a$  and  $b$ . The greatest common divisor of  $a$  and  $b$  is denoted by  $\gcd(a, b)$ .

The greatest common divisor of two integers, not both zero, exists because the set of common divisors of these integers is nonempty and finite. One way to find the greatest common divisor of two integers is to find all the positive common divisors of both integers and then take the largest divisor. This is done in Examples 10 and 11. Later, a more efficient method of finding greatest common divisors will be given.

**EXAMPLE 10** What is the greatest common divisor of 24 and 36?

**Solution:** The positive common divisors of 24 and 36 are 1, 2, 3, 4, 6, and 12. Hence,  $\gcd(24, 36) = 12$ . ◀



Source: John D. & Catherine T. MacArthur Foundation

**YITANG ZHANG (BORN 1955)** Yitang Zhang was born in Shanghai, China, in 1955. When he was ten years old, he learned about famous conjectures, including Fermat's last theorem and the Goldbach conjecture. During the Cultural Revolution he spent ten years working in the fields instead of attending school. However, once this period was over, he was able to attend Peking University, receiving his bachelor's and master's degree in 1982 and 1984, respectively. He moved to the United States, attending Purdue University and completing the work for his Ph.D. in 1991.

After receiving his Ph.D., Zhang could not find an academic position because of the poor job market and disagreements with his thesis advisor. Instead he did accounting work and delivered food for a Queens, New York restaurant; he later worked in Kentucky at Subway restaurants owned by a friend. He even lived in his car while looking for work, but was finally able to obtain an academic job as a lecturer at the University of New Hampshire. He held this position from 1999 until early 2014. From 2009 to 2013, he worked on the bounded gap conjecture seven days a week, about ten hours a day, until he made his key discovery. His success led the University of New Hampshire to promote him to full professorship. In 2015, however, he accepted the offer of a full professorship at the University of California, Santa Barbara. Zhang was awarded a MacArthur Fellowship, also known as a Genius Award, in 2014.

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