

be very carefully written to make these assurances. Returning to the example of changing passwords, the `passwd` command is `setuid-root` and will indeed modify the password database, but only if first presented with the user's valid password, and it will then restrict itself to editing the password of that user and only that user.

Unfortunately, experience has repeatedly shown that few `setuid` binaries, if any, fulfill both criteria successfully. Time and again, `setuid` binaries have been subverted—some through race conditions and others through code injection—yielding instant root access to attackers. Attackers are frequently successful in achieving privilege escalation in this way. Methods of doing so are discussed in Chapter 16. Limiting damage from bugs in `setuid` programs is discussed in Section 17.8.

### 17.4.3 Example: Android Application IDs

In Android, distinct user IDs are provided on a per-application basis. When an application is installed, the `install` daemon assigns it a distinct user ID (UID) and group ID (GID), along with a private data directory (`/data/data/<app-name>`) whose ownership is granted to this UID/GID combination alone. In this way, applications on the device enjoy the same level of protection provided by UNIX systems to separate users. This is a quick and simple way to provide isolation, security, and privacy. The mechanism is extended by modifying the kernel to allow certain operations (such as networking sockets) only to members of a particular GID (for example, `AID_INET`, 3003). A further enhancement by Android is to define certain UIDs as “isolated,” which prevents them from initiating RPC requests to any but a bare minimum of services.

## 17.5 Access Matrix

The general model of protection can be viewed abstractly as a matrix, called an **access matrix**. The rows of the access matrix represent domains, and the columns represent objects. Each entry in the matrix consists of a set of access rights. Because the column defines objects explicitly, we can omit the object name from the access right. The entry  $\text{access}(i, j)$  defines the set of operations that a process executing in domain  $D_i$  can invoke on object  $O_j$ .

To illustrate these concepts, we consider the access matrix shown in Figure 17.5. There are four domains and four objects—three files ( $F_1, F_2, F_3$ ) and one laser printer. A process executing in domain  $D_1$  can read files  $F_1$  and  $F_3$ . A process executing in domain  $D_4$  has the same privileges as one executing in domain  $D_1$ ; but in addition, it can also write onto files  $F_1$  and  $F_3$ . The laser printer can be accessed only by a process executing in domain  $D_2$ .

The access-matrix scheme provides us with the mechanism for specifying a variety of policies. The mechanism consists of implementing the access matrix and ensuring that the semantic properties we have outlined hold. More specifically, we must ensure that a process executing in domain  $D_i$  can access only those objects specified in row  $i$ , and then only as allowed by the access-matrix entries.

The access matrix can implement policy decisions concerning protection. The policy decisions involve which rights should be included in the  $(i, j)^{\text{th}}$  entry.

<div>object</div> <div>domain</div>	$F_1$	$F_2$	$F_3$	printer
$D_1$	read		read	
$D_2$				print
$D_3$		read	execute	
$D_4$	read write		read write	

Figure 17.5 Access matrix.

We must also decide the domain in which each process executes. This last policy is usually decided by the operating system.

The users normally decide the contents of the access-matrix entries. When a user creates a new object  $O_j$ , the column  $O_j$  is added to the access matrix with the appropriate initialization entries, as dictated by the creator. The user may decide to enter some rights in some entries in column  $j$  and other rights in other entries, as needed.

The access matrix provides an appropriate mechanism for defining and implementing strict control for both static and dynamic association between processes and domains. When we switch a process from one domain to another, we are executing an operation (switch) on an object (the domain). We can control domain switching by including domains among the objects of the access matrix. Similarly, when we change the content of the access matrix, we are performing an operation on an object: the access matrix. Again, we can control these changes by including the access matrix itself as an object. Actually, since each entry in the access matrix can be modified individually, we must consider each entry in the access matrix as an object to be protected. Now, we need to consider only the operations possible on these new objects (domains and the access matrix) and decide how we want processes to be able to execute these operations.

Processes should be able to switch from one domain to another. Switching from domain  $D_i$  to domain  $D_j$  is allowed if and only if the access right  $\text{switch} \in \text{access}(i, j)$ . Thus, in Figure 17.6, a process executing in domain  $D_2$  can switch to domain  $D_3$  or to domain  $D_4$ . A process in domain  $D_4$  can switch to  $D_1$ , and one in domain  $D_1$  can switch to  $D_2$ .

Allowing controlled change in the contents of the access-matrix entries requires three additional operations: copy, owner, and control. We examine these operations next.

The ability to copy an access right from one domain (or row) of the access matrix to another is denoted by an asterisk (\*) appended to the access right. The copy right allows the access right to be copied only within the column (that is, for the object) for which the right is defined. For example, in Figure 17.7(a), a process executing in domain  $D_2$  can copy the read operation into any entry associated with file  $F_2$ . Hence, the access matrix of Figure 17.7(a) can be modified to the access matrix shown in Figure 17.7(b).

object domain	$F_1$	$F_2$	$F_3$	laser printer	$D_1$	$D_2$	$D_3$	$D_4$
$D_1$	read		read			switch		
$D_2$				print			switch	switch
$D_3$		read	execute					
$D_4$	read write		read write		switch			

**Figure 17.6** Access matrix of Figure 17.5 with domains as objects.

This scheme has two additional variants:

1. A right is copied from  $\text{access}(i, j)$  to  $\text{access}(k, j)$ ; it is then removed from  $\text{access}(i, j)$ . This action is a transfer of a right, rather than a copy.
2. Propagation of the copy right may be limited. That is, when the right  $R^*$  is copied from  $\text{access}(i, j)$  to  $\text{access}(k, j)$ , only the right  $R$  (not  $R^*$ ) is created. A process executing in domain  $D_k$  cannot further copy the right  $R$ .

A system may select only one of these three copy rights, or it may provide all three by identifying them as separate rights: copy, transfer, and limited copy.

object domain	$F_1$	$F_2$	$F_3$
$D_1$	execute		write*
$D_2$	execute	read*	execute
$D_3$	execute		

(a)

object domain	$F_1$	$F_2$	$F_3$
$D_1$	execute		write*
$D_2$	execute	read*	execute
$D_3$	execute	read	

(b)

**Figure 17.7** Access matrix with **copy** rights.

We also need a mechanism to allow addition of new rights and removal of some rights. The owner right controls these operations. If  $\text{access}(i, j)$  includes the owner right, then a process executing in domain  $D_i$  can add and remove any right in any entry in column  $j$ . For example, in Figure 17.8(a), domain  $D_1$  is the owner of  $F_1$  and thus can add and delete any valid right in column  $F_1$ . Similarly, domain  $D_2$  is the owner of  $F_2$  and  $F_3$  and thus can add and remove any valid right within these two columns. Thus, the access matrix of Figure 17.8(a) can be modified to the access matrix shown in Figure 17.8(b).

The copy and owner rights allow a process to change the entries in a column. A mechanism is also needed to change the entries in a row. The control right is applicable only to domain objects. If  $\text{access}(i, j)$  includes the control right, then a process executing in domain  $D_i$  can remove any access right from row  $j$ . For example, suppose that, in Figure 17.6, we include the control right in  $\text{access}(D_2, D_4)$ . Then, a process executing in domain  $D_2$  could modify domain  $D_4$ , as shown in Figure 17.9.

The copy and owner rights provide us with a mechanism to limit the propagation of access rights. However, they do not give us the appropriate tools for preventing the propagation (or disclosure) of information. The problem of guaranteeing that no information initially held in an object can migrate outside of its execution environment is called the **confinement problem**. This problem is in general unsolvable (see the bibliographical notes at the end of the chapter).

object domain	$F_1$	$F_2$	$F_3$
$D_1$	owner execute		write
$D_2$		read* owner	read* owner write
$D_3$	execute		

(a)

object domain	$F_1$	$F_2$	$F_3$
$D_1$	owner execute		write
$D_2$		owner read* write*	read* owner write
$D_3$		write	write

(b)

Figure 17.8 Access matrix with owner rights.

object domain	$F_1$	$F_2$	$F_3$	laser printer	$D_1$	$D_2$	$D_3$	$D_4$
$D_1$	read		read			switch		
$D_2$				print			switch	switch control
$D_3$		read	execute					
$D_4$	write		write		switch			

**Figure 17.9** Modified access matrix of Figure 17.6.

These operations on the domains and the access matrix are not in themselves important, but they illustrate the ability of the access-matrix model to let us implement and control dynamic protection requirements. New objects and new domains can be created dynamically and included in the access-matrix model. However, we have shown only that the basic mechanism exists. System designers and users must make the policy decisions concerning which domains are to have access to which objects in which ways.

## 17.6 Implementation of the Access Matrix

How can the access matrix be implemented effectively? In general, the matrix will be sparse; that is, most of the entries will be empty. Although data-structure techniques are available for representing sparse matrices, they are not particularly useful for this application, because of the way in which the protection facility is used. Here, we first describe several methods of implementing the access matrix and then compare the methods.

### 17.6.1 Global Table

The simplest implementation of the access matrix is a global table consisting of a set of ordered triples  $\langle \text{domain}, \text{object}, \text{rights-set} \rangle$ . Whenever an operation  $M$  is executed on an object  $O_j$  within domain  $D_i$ , the global table is searched for a triple  $\langle D_i, O_j, R_k \rangle$ , with  $M \in R_k$ . If this triple is found, the operation is allowed to continue; otherwise, an exception (or error) condition is raised.

This implementation suffers from several drawbacks. The table is usually large and thus cannot be kept in main memory, so additional I/O is needed. Virtual memory techniques are often used for managing this table. In addition, it is difficult to take advantage of special groupings of objects or domains. For example, if everyone can read a particular object, this object must have a separate entry in every domain.

### 17.6.2 Access Lists for Objects

Each column in the access matrix can be implemented as an access list for one object, as described in Section 13.4.2. Obviously, the empty entries can be