# **Novel Self Learning Agent for Chatbot Applications**

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#### Abstract

With over 5 billion users using chat applications on a daily basis. This has spiked an interest of large organizations to leverage the power and strength of chat based applications. With the market being more inclined to using chat applications over other modes of communication applications, there has been an increased demand for creating chatbots to provide support over, purchasing, selling etc kinds of tasks. Creating a chatbot restricted to a set of queries within limited context and answers is an easy task but it gets more challenging when you require the bot to keep a conversation going without any human intervening in the process. In this paper we explain the approach through which we train a neural network model to learn and answer to questions on its own while keeping a track of variable context. Using bAbI dataset from Facebook we were able to successfully train our model to do the same with 89% accuracy on an average over 20 tasks provide in the bAbI dataset.

**Keywords:** Chatbots, ML (Machine Learning), RNN (Recurrent Neural Network), CNN (Convolutional Neural Network), LSTM (Long-Short Term Memory), GRU (Gated Recurrent Unit).

## Introduction

In a recent survey it was found that people around the globe prefer using WhatsApp over Twitter and Facebook. The data collected from this survey concluded that chat applications keep the user engaged in conversation since some manual exertion is required from the user's end too. Many organizations have ported their support over chatbots allowing the user to get quick responses to their queries which in turn removed the monotonous waiting for being assigned to support team for getting any query resolved. But this did not completely remove the requirement of human involvement in the process. Somewhere during the process human assistance is inevitable because the chatbot wouldn't truly be able to keep the conversation going and simulate the real time interaction when the challenge is with multiple conversation context come into the picture.

To eliminate the need of human intervention in AI applications is a major priority in their applications. Chatbots are applications that mimic a human conversation but in text exchanging form. But creating them is very challenging. In a traditional approach chatbots were designed with AIML[21] scripts, where the programmer would think of all possible inputs and map them to a particular output. But this the robustness of chatbot were limited to the fact that how many diverse scenarios are under considerations, how those scenarios would be handled. In short it was limited according to the thought process of programmers. There would still be some input scenarios that is left unattended causing the chatbot to fail.

In today's world, conversations between various parties became very inconsistent with all the SMS language and different slangs used in it is hard to train a bot on all these inconsistencies. This would also be a long and time consuming task to do so, and there will always be a slim chance of something being left out causing the bot to fail. Using a neural network model to learn how to converse was the right way to go excluding some small conversational aspects like greetings could be hardcoded into the bot application. But there were shortcomings to these models because the bot is only as smart as what is learnt upon. This is when scientists moved to deep learning models.

There is ambiguity present in conversations, they are unpredictable and inharmonious. RNN models were designed to handle variable-length data (sentences) and they had internal memories allowing them to remember inputs that would help to predict the next output. The challenge faced here was feeding the model with tokens from the input set was not enough as there was no true understanding of what they meant. So researchers moved to word embedding's which were able to establish relations between those tokens. When RNNs were fed with word embedding the relations between words was understood correctly.

In this paper we have aimed to understand what scenarios of a conversation fails for chatbot to learn on. This paper has been divided into six sections as follows, (1) introducing the area of interest, (2) covers the survey of previous works done in the area of interest, in brief (3) explains the proposed model, (4) the results of the model (5) conclusion of our paper and lastly the (6) references.

### **Related Work**

Vinyals[3] et. al proposed a model created with two Seq-2-Seq (Sequence-to-Sequence) model where one was called the encoder while the other called a decoder. The encoder was responsible for generating context (meaningful content) from the word embeddings fed to it, while the decoder would be fed with the output of the encoder to generate relevant output i.e. the response to the query in a Bot application.

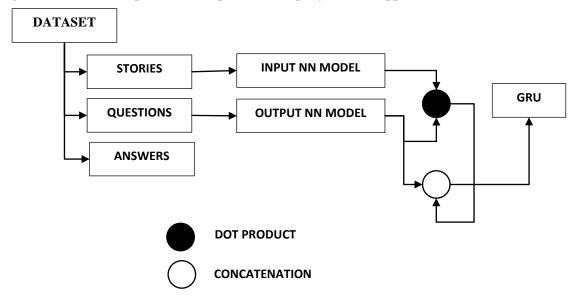


Figure 1. Flow Diagram

But in their model the responses were generic and different outputs would come for the same question. They suggested using SpeakerID as an added feature to the input which would in turn allow the bot to keep track of the flow of the conversation. Say any change in the context was faced then the SpeakerID would change in turn making the bot understand the change in context. Two major challenges identified by Liu[8] et. al were the mining of rich features from the dataset and complete utilization of label correlations.

The model proposed by them was called Extreme Multi-Label CNN (XML-CNN) originally inspired by Kim-CNN[10]. Compared to a traditional CNN, this would swipe through the temporal aspect of the input allowing a larger coverage over a relevant subset of labels from different categories. Usage of word embeddings was emphasized in their work also. Using Max Pooling for keeping the context of the input intact was proposed by Kobayashi[17] et. al called the Dynamic Entity Relation model. A attention based CNN model was proposed by Yin[18] which failed when too many questions were asked. A CNN model[19] was designed to read the daily news and answer questions regarding it which scored an accuracy of 74%. Data Sparsity faced in the training process was addressed by You[11] et. al using BoW (Bag-of-Words) which allowed reducing the size of the label vectors to a smaller dimensional embedding in the target space. But this did not always work for large data sizes.

Deep Learning models were used for Multi-Label classifications giving very good results. Liu[12] et. al proposed a similar approach to extract features from raw text automatically and succeeded. Creation of Deep Learning models started with the traditional RNN[13] model. RNNs take two inputs, one being the present state and the other being the previous(recent past) state. These are very crucial inputs which tells the RNN what will happen next. Because of this learning form RNN stands out compared to other algorithms.

But RNNs fail sometimes to learn as some relevant information gets lost due to vanishing/exploding gradient problem. To solve this problem LSTMs(Long-Short Term Memory)[4,9] models were proposed. Keeping in mind that RNNs failed to keep relevant information in-memory, this was achieved using the tanh activation function. If the information being fed to this model was too large, then deciding what gets to stay in-memory and what gets discarded was hard to make[7]. This brought in the dawn of Dynamic Memory models which allowed only steady information to stay in-memory reducing the load on the LSTM model, allowing a smoother learning curve. The memory model consists of two memories called dynamic and episodic. Because LSTM works with two different activation functions i.e. tanh and sigmoid, helped in deciding what gets remembered and what gets forgotten as the outputs depended on either (0,1) or (1,1).

GRU was inspired from the LSTM model. Compared to the complex LSTM model with its various gates and activation functions, GRU combined the functionalities of these gates to only two gates namely the forget gate and update gate.

But GRU also couldn't truly keep a conversation going. This was because if the context was changed it failed to keep up. To overcome this, SpeakerID [12] feature was introduced to help it track the context of a conversation. Using LSTM Tian[20] et. al proposed a ranking a technique to track the flow of a conversation. They were successful with some tasks and failed in inference, text summarization and event detection despite error analysis. The conclusion to their approach was splitting the task between different models.

# **Proposed Model**

A serious problem faced by neural network models is that it fails to interpret relations between words fed to it. With Seq-2-seq model Liu [8] et. al were able to successfully achieve this with the usage of word embeddings. Vanishing/Exploding gradient problem was another problem faced by them. LSTM [3] was able to solve this. The remembering and forgetting mechanism was improved adding an external memory source proposed in the Dynamic Memory model [6], but only worked with smaller datasets.

**Table 1. Results** 

Challenge Type	LSTM	DM	Our Model
Single Supporting Fact	52.1	100	96
Two Supporting Facts	37	100	65.1
Three Supporting Facts	20.5	20	92.55
Two Arg Relations	62.9	71	93.9
Three Arg Relations	61.9	83	95.29
Yes No Questions	50.7	47	97.73
Counting	78.9	68	92.15
Lists Sets	77.2	77	83.19
Simple Negation	64	65	98.31
Indefinite Kknowledge	47.7	59	98.54
Basic Coreference	74.9	100	98.1
Conjunction	76.4	100	98.92
Compound Coreference	94.4	100	99.69
Time Reasoning	34.8	99	88.39
Basic Deduction	32.4	74	77.94
Basic Induction	50.6	27	59.4
Positional Reasoning	49.1	54	81.95
Size Reasoning	90.8	57	99.62
Path Finding	9.8	0	20.66
Agents Motivation	90.7	100	98.95

Our proposed model consists of two sequential models and a classic GRU model. The two sequential models are responsible for handling the input. Since we are using the bAbI dataset, it consists of a story, questions of that story and answers to that question. Say our chatbot has three functional modules namely (1) understanding, (2) input and (3) output. Understanding would be represented by the story in the dataset as it tells us what it learns. The input would be the question queried by the user represented by the questions of that story and the output would be the reply made by the bot represented by the answers to the questions. bAbI dataset consists of 20 tasks each representing a different area of a conversation, like deductive, inductive, set etc.

The two sequential models will be used to handle the stories and questions each. The dot product of the outputs of each model and then fed to a softmax activation function so that the probabilities of choosing the next word would be achieved. This output is then added to the output of the questions handling sequential model for mapping sake. The response generated is then fed to GRU as an input for training.

### Result

We compared our model results with other models like LSTM[1] and Dynamic Memory model(DM)[2].

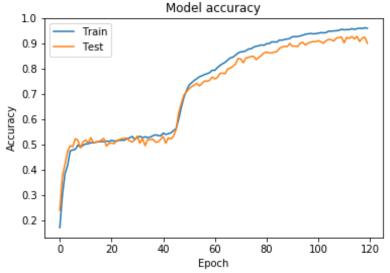


Figure 1. Model Accuracy of single supporting fact for 120 epochs

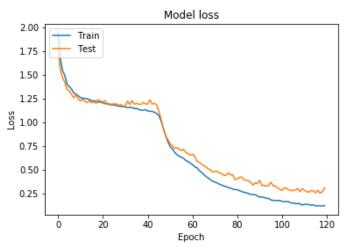


Figure 2. Model loss for single supporting fact for 120 epochs

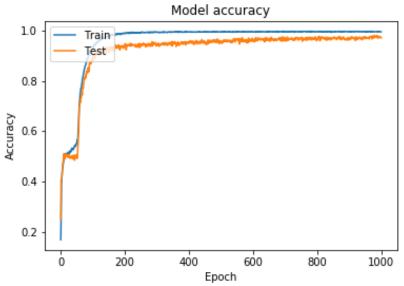


Figure 4. Model Accuracy of single supporting fact for 1000 epoch

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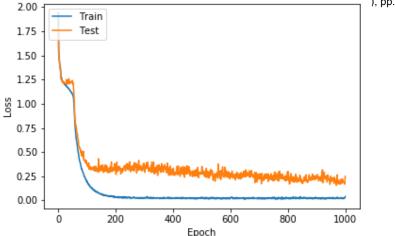


Figure 5. Model loss of single supporting fact for 1000 epoch

The results of these models are taken from the previous work [1,2]. For training, the number of epochs were set to 120 and the batch size was 32 (default). Looking at the first task i.e. Single Supporting Fact, our model scored 96% accuracy with 98.7% for training and 90.8% for testing. We then increased the number of epochs to 1000 to look for overfitting condition which does not exist.

With Path Finding task, we looked into the dataset and found that the directions were encoded into specific characters like 's' for south, 'n' for north etc. We probably need some mechanism that will help our model to understand these encodings. With the Basic Induction task our model fails to understand the hierarchical nature of the story and we would require some tree-based model for these kinds of input.

### **Conclusion**

Usage of neural network models for creating chatbot applications has improved the learning process largely. Our model was able to score about 86% accuracy on an average across the 20 tasks. For the tasks that it failed on we could try using Dynamic Coattention network model [14,15] for improving the learning curve for those tasks.

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