

# Adjuvant Capecitabine for Early Breast Cancer: 15-Year Overall Survival Results From a Randomized Trial

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**PURPOSE** Few data are available regarding the influence of adjuvant capecitabine on long-term survival of patients with early breast cancer.

**METHODS** The Finland Capecitabine Trial (FinXX) is a randomized, open-label, multicenter trial that evaluates integration of capecitabine to an adjuvant chemotherapy regimen containing a taxane and an anthracycline for the treatment of early breast cancer. Between January 27, 2004, and May 29, 2007, 1,500 patients with axillary node-positive or high-risk node-negative early breast cancer were accrued. The patients were randomly allocated to either TX-CEX, consisting of three cycles of docetaxel (T) plus capecitabine (X) followed by three cycles of cyclophosphamide, epirubicin, and capecitabine (CEX, 753 patients), or to T-CEF, consisting of three cycles of docetaxel followed by three cycles of cyclophosphamide, epirubicin, and fluorouracil (CEF, 747 patients). We performed a protocol-scheduled analysis of overall survival on the basis of approximately 15-year follow-up of the patients.

**RESULTS** The data collection was locked on December 31, 2020. By this date, the median follow-up time of the patients alive was 15.3 years (interquartile range, 14.5-16.1 years) in the TX-CEX group and 15.4 years (interquartile range, 14.8-16.0 years) in the T-CEF group. Patients assigned to TX-CEX survived longer than those assigned to T-CEF (hazard ratio 0.81; 95% CI, 0.66 to 0.99;  $P = .037$ ). The 15-year survival rate was 77.6% in the TX-CEX group and 73.3% in the T-CEF group. In exploratory subgroup analyses, patients with estrogen receptor-negative cancer and those with triple-negative cancer treated with TX-CEX tended to live longer than those treated with T-CEF.

**CONCLUSION** Addition of capecitabine to a chemotherapy regimen that contained docetaxel, epirubicin, and cyclophosphamide prolonged the survival of patients with early breast cancer.

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## INTRODUCTION

Adjuvant chemotherapy improves overall survival (OS) of patients with early breast cancer, but the benefit is dependent on the type of chemotherapy administered and chemotherapy dose intensity.<sup>1,2</sup>

Capecitabine is an oral prodrug of fluorouracil that is approved for the treatment of advanced breast cancer, but not for neoadjuvant or adjuvant treatment of early breast cancer. Several randomized studies have evaluated capecitabine as neoadjuvant<sup>3-7</sup> or adjuvant<sup>8-17</sup> treatment of early breast cancer. In some of these studies, capecitabine was added to the chemotherapy backbone,<sup>4,7,10,12,13,16,17</sup> whereas in others a chemotherapy agent was replaced by capecitabine in the experimental arm.<sup>3,8,9,11,14,15</sup> A recent meta-analysis of randomized trials on the basis of individual patient data found that addition of capecitabine to standard adjuvant chemotherapy regimens

prolongs disease-free survival, whereas replacing a standard agent with capecitabine did not improve disease-free survival.<sup>18</sup> In preclinical models, agents such as docetaxel, paclitaxel, and cyclophosphamide increase cancer thymidine phosphorylase concentration potentially leading to improved conversion of capecitabine to fluorouracil within the tumor, suggesting that concomitant administration of capecitabine with such drugs improves efficacy compared with single-agent capecitabine.<sup>19,20</sup>

The main purpose of adjuvant treatment is to prolong OS. Breast cancer may recur late, but little long-term OS data are available from the trials that have evaluated capecitabine in the treatment of early breast cancer. We report here the OS results of the randomized Finland Capecitabine Trial (FinXX) during a median patient follow-up time of approximately 15 years since the date of random assignment. To the

## ASSOCIATED CONTENT

### Appendix

### Protocol

Author affiliations and support information (if applicable) appear at the end of this article.

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## CONTEXT

### Key Objective

Adjuvant chemotherapy improves the survival of patients with early breast cancer. Capecitabine, an oral prodrug of fluorouracil, is approved for the treatment of advanced breast cancer, but not for adjuvant treatment. In some experimental models, docetaxel, paclitaxel, and cyclophosphamide upregulate thymidine phosphorylase, the key enzyme that converts capecitabine to fluorouracil within tumors. The randomized FinXX trial evaluated addition of capecitabine (X) to an adjuvant chemotherapy regimen consisting of docetaxel (T), followed by cyclophosphamide (C), epirubicin (E), and fluorouracil (F).

### Knowledge Generated

The capecitabine-containing regimen (docetaxel plus capecitabine-cyclophosphamide and epirubicin plus capecitabine) improved significantly overall survival compared with docetaxel-cyclophosphamide and epirubicin plus fluorouracil during a median patient follow-up time of approximately 15 years. The 15-year survival rate was 77.6% in the capecitabine group and 73.3% in the control group.

### Relevance

Patients with early breast cancer lived longer when adjuvant capecitabine was administered concomitantly with chemotherapy that included docetaxel and cyclophosphamide.

best of our knowledge, the current results are based on the longest follow-up time reported from the adjuvant or neoadjuvant capecitabine trials addressing breast cancer. The 15-year analysis of OS was scheduled in the FinXX Study Protocol (online only). The findings suggest that addition of capecitabine to a taxane-anthracycline chemotherapy backbone improves OS of the patients.

## METHODS

FinXX is a randomized, open-label, phase III, multicenter trial (ClinicalTrials.gov identifier: [NCT00114816](https://clinicaltrials.gov/ct2/show/study/NCT00114816)). The patients were accrued from 20 study sites in Finland or Sweden between January 27, 2004, and May 29, 2007. The patients provided written informed consent before study entry. The study Protocol was approved by the institutional review boards.

The age of the patients was required to be from 18 to 65 years at the time of study entry. The patients had the WHO performance status  $< 2$  and the time interval between breast surgery and the date of random assignment  $\leq 12$  weeks. Invasive breast cancer was confirmed histologically. The axillary lymph nodes were required to contain cancer (pN-positive), or when cancer was node-negative (pN0), tumor diameter had to be  $\geq 20$  mm and cancer progesterone receptor (PR) expression-negative (defined as  $< 10\%$  of cancer cell nuclei staining positively in immunohistochemistry). No distant metastases were allowed in the staging examinations, which consisted of a bone scan, computed tomography of the chest or chest x-ray, and computed tomography, magnetic resonance imaging, or ultrasound of the abdomen when  $> 3$  positive axillary nodes were present<sup>21,22</sup>; otherwise, staging was done according to the institutional guidelines. We excluded patients who did not have adequate renal, hepatic, or

cardiac function and those who had received neoadjuvant therapy.<sup>23</sup>

The study participants were randomly assigned to capecitabine-containing investigational chemotherapy or to the control group in a 1:1 ratio. Random assignment was performed centrally using permuted blocks with a randomly varying block size with stratification by center, the axillary lymph node status ( $\leq 3$  v  $> 3$  positive nodes), and the tumor human epidermal growth factor receptor 2 (HER2) status (negative v positive; assessed by in situ hybridization or immunohistochemistry).<sup>23</sup>

Patients assigned to the investigational treatment (the TX-CEX group) received six 3-week cycles of capecitabine-containing chemotherapy. First, three cycles of docetaxel (T) plus capecitabine (X) was administered (TX) and, after this, three cycles of cyclophosphamide (C), epirubicin (E), and capecitabine (CEX). TX comprised 1-hour infusion of docetaxel 60 mg/m<sup>2</sup> on day 1 and oral capecitabine 900 mg/m<sup>2</sup> twice a day on days 1-15 of the 21-day cycle. CEX consisted of intravenous (IV) cyclophosphamide 600 mg/m<sup>2</sup> and IV epirubicin 75 mg/m<sup>2</sup> administered on day 1 and oral capecitabine 900 mg/m<sup>2</sup> given twice a day on days 1 to 15 of the 3-week cycle. Patients assigned to the control group (the T-CEF group) also received six 3-week cycles of chemotherapy. First, three cycles of docetaxel (80 mg/m<sup>2</sup> as a 1-hour infusion on day 1 of every 3-week cycle) and, after this, three cycles of 3-weekly IV CEF (cyclophosphamide 600 mg/m<sup>2</sup>, epirubicin 75 mg/m<sup>2</sup>, and fluorouracil 600 mg/m<sup>2</sup>, all administered on day 1 of the cycle). Chemotherapy doses were modified on the basis of adverse events observed.<sup>23</sup>

Adjuvant endocrine therapy was initiated within 2 months after completion of chemotherapy whenever cancer was estrogen receptor (ER)-positive or PR-positive. Patients considered premenopausal before starting chemotherapy

were scheduled to receive oral tamoxifen 20 mg once daily and postmenopausal women oral anastrozole 1 mg once daily for 5 years. Radiotherapy was given after completion of chemotherapy according to each institution's practice.

Chemotherapy adverse effects were graded according to the National Cancer Institute Common Terminology Criteria for Adverse Events v3.0.<sup>24</sup> The trial safety results have been reported in the planned interim analysis of the trial<sup>23</sup> and the final analysis of the trial.<sup>25</sup>

Follow-up of the study participants was done as per institutional practice, except that the study Protocol mandated a follow-up visit at 1, 3, and 5 years after study entry. In general, the patients were not followed up at the study sites after the first 10 years since the date of random assignment. Therefore, the OS data were captured from a national registry for the Finnish study participants (Statistics Finland<sup>26</sup>) and for the Swedish participants from the Swedish Population Register.<sup>27</sup> At these registries, each study participant was identified using a unique personal code number (the social security code) that is given by legislation for each citizen living in Finland or Sweden.

OS, the time from the date of random assignment to death, was a secondary end point in the FinXX trial, but OS was the only survival end point to be assessed according to the study Protocol in the current analysis on long-term follow-up of the patients. Survival was analyzed on the basis of the intention-to-treat principle. The trial primary end point was recurrence-free survival (RFS), the time interval between the date of random assignment and detection of invasive breast cancer recurrence, or death when the patient died before recurrence. The trial was powered assuming that RFS improves from 83.0% to 88.5% after a median follow-up of 5 years, leading to a hazard ratio (HR) of 0.65.<sup>23</sup>

The exploratory subgroup analyses were defined in the statistical plan (approved on November 6, 2008) and in the

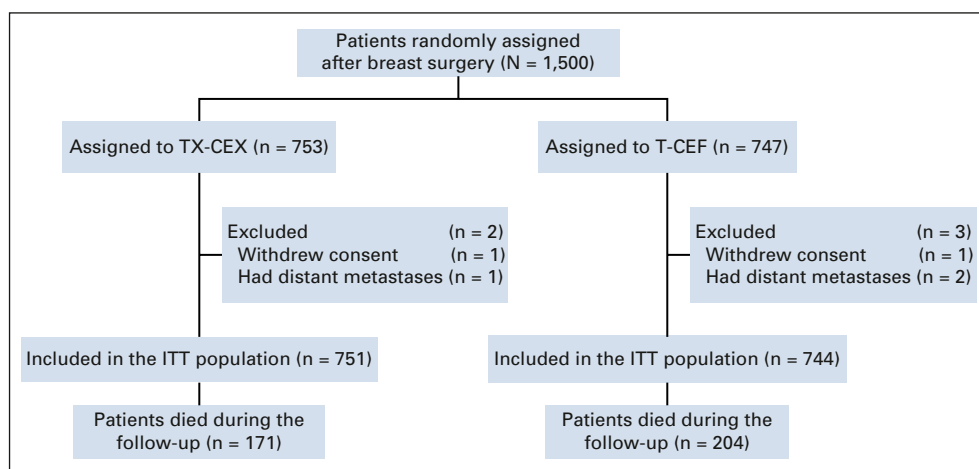
study Protocol (amended on May 27, 2012) and were to be done for center, the number of positive axillary nodes ( $\leq 3$  v  $> 3$ ), cancer ER status (positive v negative), HER2 status (positive v negative), and biological subgroups defined by the steroid hormone receptor status and the HER2 status. Survival between groups was compared using the Kaplan-Meier life-table method and the log-rank test that gives equal weight to deaths at all time points and the Gehan-Breslow-Wilcoxon test that gives more weight to deaths at early time points. An unadjusted multivariable Cox proportional hazards model was used to compute the HRs and their 95% CIs with treatment, subgroups, and their interaction as fixed terms. The *P* values are two-sided and not adjusted for multiple testing. *P* values  $< .05$  were considered significant except for the interaction test, in which values  $< .10$  were considered significant. Statistical analyses were performed with SAS for Windows (version 9.3; SAS Institute Inc, Cary, NC).

## RESULTS

### Patients and Treatments

A total of 1,500 patients from 20 study sites were accrued between January 27, 2004, and May 29, 2007, and randomly assigned to either the capecitabine arm (TX-CEX, 753 patients) or to the control arm (T-CEF, 747 patients). Five patients were excluded from the intention-to-treat population (two withdrew consent and three had overt distant metastases at the time of study entry; Fig 1).

The characteristics of the remaining 1,495 patients and their cancers are provided in Table 1. The median age at the time of study entry was 53 years (TX-CEX group, 52 years; T-CEF group, 53 years;  $P = .844$ ), and the median tumor diameter was 22 mm in both groups ( $P = .842$ ). Most ( $n = 1,142$ , 76.4%) patients had ER-positive cancer, and 282 (18.9%) had HER2-positive cancer.



**FIG 1.** CONSORT diagram. CEF, cyclophosphamide and epirubicin plus fluorouracil; CEX, cyclophosphamide and epirubicin plus capecitabine; ITT, intention-to-treat patient population; T, docetaxel; TX, docetaxel plus capecitabine.

**TABLE 1.** Characteristics of the Patients and the Breast Tumors

Characteristic	TX-CEX (N = 751), No. (%)	T-CEF (N = 744), No. (%)
WHO performance status		
0	661 (88.0)	661 (88.8)
1	90 (12.0)	83 (11.2)
Breast tumor size category		
pT1	314 (41.9)	339 (45.6)
pT2	388 (51.8)	349 (47.0)
pT3 or T4	47 (6.3)	55 (7.4)
NA	2	1
Axillary nodal status		
pN0	86 (11.5)	71 (9.5)
pN+	665 (88.5)	673 (90.5)
Histological type		
Ductal	569 (75.8)	565 (75.9)
Lobular	136 (18.1)	117 (15.7)
Others	46 (6.1)	62 (8.3)
Histological grade		
1	86 (11.5)	79 (10.7)
2	362 (48.5)	351 (47.4)
3	298 (39.9)	310 (41.9)
NA	5	4
ER expression		
Positive	580 (77.2)	562 (75.5)
Negative	171 (22.8)	182 (24.5)
PR expression		
Positive	477 (63.5)	454 (61.0)
Negative	274 (36.5)	290 (39.0)
HER2 status		
Positive	145 (19.3)	137 (18.4)
Negative	606 (80.7)	607 (81.6)
Tumor biological group		
ER-positive and/or PR-positive and HER2-negative	512 (68.2)	497 (66.8)
ER-positive and/or PR-positive and HER2-positive	85 (11.3)	77 (10.3)
ER-negative and/or PR-negative and HER2-positive	61 (8.1)	61 (8.2)
ER-negative and/or PR-negative and HER2-negative	93 (12.4)	109 (14.7)

Abbreviations: CEF, cyclophosphamide and epirubicin plus fluorouracil; CEX, cyclophosphamide and epirubicin plus capecitabine; ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; NA, not available; PR, progesterone receptor; T, docetaxel; TX, docetaxel plus capecitabine.

Adjuvant trastuzumab was approved while the trial accrual was ongoing and was allowed for women with HER2-positive cancer after May 2005.<sup>23,25</sup> Once the trial protocol amendment had been approved, adjuvant trastuzumab was administered to 96 (13%) patients assigned to TX-CEF and to 82 (11%) patients assigned to T-CEF.

## OS

The data collection for OS was locked on December 31, 2020. By this date, the median follow-up time of the patients alive was 15.3 years (range, 8.9-16.9 years; interquartile range, 14.5-16.1 years) in the TX-CEF group and 15.4 years (range, 10.8-16.9 years; interquartile range, 14.8-16.0 years) in the T-CEF group. None of the patients was lost to follow-up; only two patients had shorter than 13 years of follow-up.

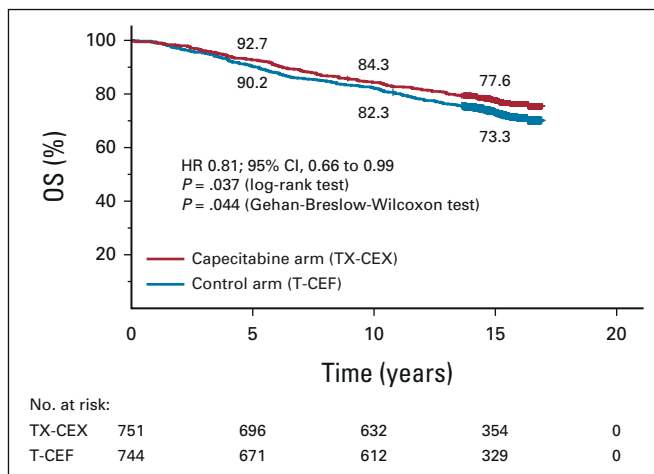
During the follow-up, 375 (25.1%) patients died: 171 (22.8%) in the TX-CEF group and 204 (27.4%) in the T-CEF group. OS was significantly longer in the TX-CEF group compared with the T-CEF group (HR 0.81; 95% CI, 0.66 to 0.99; log-rank test  $P = .037$ ; Gehan-Breslow-Wilcoxon test  $P = .044$ ; Fig 2).

## Subgroup Analyses

A forest plot summarizing the exploratory survival analyses with respect to subgroups consisting of the trial stratification factors (the axillary nodal status and HER2 status), ER status, and biological subgroups formed using the steroid hormone receptor status and HER2 status, is shown in Figure 3. Patients with ER-negative cancer and those with HER2-negative cancer treated with TX-CEF tended to live longer than those treated with T-CEF. When four subgroups were formed using cancer steroid hormone receptor status (ER-positive and/or PR-positive v ER-negative and PR-negative) and HER2 status (positive v negative), patients with triple-negative cancer (TNBC; ER-negative, PR-negative, and HER2-negative) tended to survive longer when treated with TX-CEF, whereas little survival benefit was observed in the three other subgroups (Appendix Fig A1, online only), but significant interactions were not detected between the study treatments and the subgroups. In the TNBC subgroup, 24 (25.8%) of the 93 patients assigned to TX-CEF died during the follow-up compared with 41 (37.6%) of the 109 patients assigned to T-CEF, and the 5-year, 10-year, and 15-year survival rates were 88.2%, 81.7%, and 74.5%, respectively, in the TX-CEF group and 75.2%, 69.7%, and 64.2%, respectively, in the T-CEF group (HR 0.59; 95% CI, 0.36 to 0.97; log-rank test  $P = .051$ ; Gehan-Breslow-Wilcoxon test  $P = .033$ ).

## DISCUSSION

We found that addition of capecitabine to a taxane-anthracycline chemotherapy backbone improved OS during a median follow-up of the patients for approximately 15 years after the date of random assignment. The improvement in OS was statistically significant, but modest (19%). In predefined subgroup analyses, patients with ER-negative cancer, HER2-negative cancer, and TNBC tended to benefit most from capecitabine-containing chemotherapy, but the subgroup analyses need to be interpreted with caution. To our knowledge, the current report is based on the longest follow-up time of the randomized trials



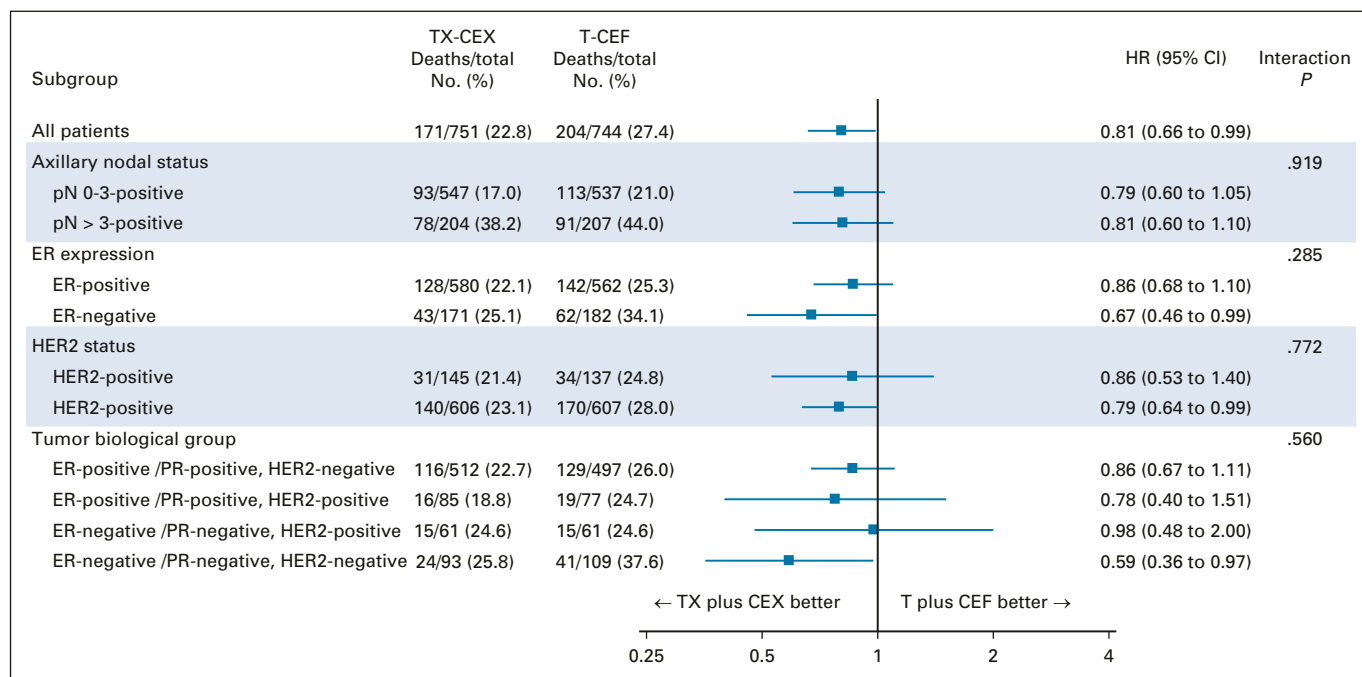
**FIG 2.** OS. The 5-year, 10-year, and 15-year survival rates are shown. Patients censored are indicated with a bar. CEF, cyclophosphamide and epirubicin plus fluorouracil; CEX, cyclophosphamide and epirubicin plus capecitabine; HR, hazard ratio; OS, overall survival; T, docetaxel; TX, docetaxel plus capecitabine. Adjuvant capecitabine added to conventional chemotherapy improves survival of patients with early breast cancer.

evaluating either neoadjuvant or adjuvant capecitabine in patients with breast cancer.<sup>3-17</sup> Besides FinXX, only one other trial<sup>15</sup> reported a median follow-up time exceeding 10 years.

The earlier analyses of FinXX on the basis of a median follow-up time of about 5 years<sup>25</sup> and 10 years<sup>12</sup> did not find

integration of capecitabine with standard chemotherapy to prolong OS statistically significantly, although the HRs for OS (0.73 and 0.84, respectively) do not differ markedly from the HR found in the current analysis (0.81). This suggests that the study, powered for RFS, did not have sufficient power for assessing OS in the previous analyses with shorter follow-up times, leading to a premature conclusion that addition of capecitabine does not prolong OS.<sup>12</sup> In agreement with the current FinXX OS analysis, a meta-analysis of trials that evaluated neoadjuvant and adjuvant capecitabine in early breast cancer found adding of capecitabine to other chemotherapy agents to improve OS compared with regimens that did not contain capecitabine with a HR of 0.84 (95% CI, 0.75 to 0.93), but no significant OS benefit was observed in the studies in which a standard agent was replaced with capecitabine.<sup>18</sup> A randomized trial carried out in China that had a similar design as FinXX but accrued only patients with early TNBC found adjuvant TX-CEX to improve significantly disease-free survival compared with T-FEC.<sup>17</sup>

The OS benefit in the FinXX trial was achieved despite a smaller docetaxel dose administered 3 weekly in the TX-CEX group compared with the T-CEF group (60 mg/m<sup>2</sup> v 80 mg/m<sup>2</sup> 3-weekly, respectively). The capecitabine dosing selected was moderate and in the same order of magnitude as was used in other trials evaluating adjuvant or neoadjuvant capecitabine.<sup>3,11,13-17</sup> With this dosing, the safety of TX-CEX was considered acceptable.<sup>23,25</sup>



**FIG 3.** Exploratory analyses of OS in subgroups. CEF, cyclophosphamide and epirubicin plus fluorouracil; CEX, cyclophosphamide and epirubicin plus capecitabine; ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; HR, hazard ratio; OS, overall survival; PR, progesterone receptor; T, docetaxel; TX, docetaxel plus capecitabine.



Since capecitabine is administered daily, its addition to regimens with the standard agents increases dose-density and may lead to chemotherapy intensification. In a meta-analysis of 26 randomized trials, women with early breast cancer treated with dose-intensive chemotherapy regimens had moderately lower all-cause mortality compared with women treated with standard schedule chemotherapy (death rate ratio, 0.88; 95% CI, 0.78 to 0.99).<sup>2</sup> Although comparisons between trials need to be done with caution, the 19% reduction in the risk of death observed in the FinXX trial achieved by integration of capecitabine into the taxane-anthracycline regimen might not be inferior to the mortality reduction achieved with chemotherapy intensification by other means.<sup>2</sup> Some data suggest that dose-dense regimens are effective in the treatment of hormone receptor-negative breast cancers,<sup>28,29</sup> and in agreement with these data, the TX-CEX regimen tended to be more effective than T-CEF for ER-negative cancer and TNBC. DNA repair may be defective in TNBC because of DNA repair gene aberrations,<sup>30</sup> which could sensitize TNBC to capecitabine.

Platinum salts are active in the treatment of the basal type TNBC but were not evaluated in FinXX. Interestingly, a recently reported randomized trial found that administration of adjuvant carboplatin or cisplatin did not improve survival outcomes compared with capecitabine in a patient population with basal subtype TNBC with residual invasive disease after completion of neoadjuvant chemotherapy, and the platinum salts were associated with more severe toxicity.<sup>31</sup> Adjuvant olaparib<sup>32</sup> and neoadjuvant immunotherapy<sup>33</sup> are effective for some patients with TNBC. Despite these advances, the present results support further evaluation of capecitabine in the adjuvant and neoadjuvant treatment of patients with TNBC.

We chose to add capecitabine to the T-CEF regimen since docetaxel, paclitaxel, and cyclophosphamide increased in a xenograft model the activity of thymidine phosphorylase, an essential enzyme needed for activation of capecitabine to fluorouracil in tumors,<sup>20,34</sup> and administered capecitabine

concomitantly with either docetaxel or cyclophosphamide during each of the six chemotherapy cycles. In a randomized trial that compared adjuvant doxorubicin and cyclophosphamide followed by docetaxel (AC-T) to a regimen where capecitabine (X) was administered with docetaxel (AC-TX), addition of capecitabine did not prolong disease-free survival but improved OS.<sup>10</sup> Sequential adjuvant anthracycline and taxane-based regimens are considered standard options in the current treatment guidelines,<sup>35,36</sup> but several other types of adjuvant chemotherapy regimens are also recommendable.<sup>36</sup> It remains an important research question whether addition of capecitabine to these regimens could lead to improved survival outcomes.

This study has some limitations. The study primary objective (RFS) was not analyzed, as per the study Protocol. The follow-up of the patients was generally limited to the first 10 years after the date of random assignment at the study sites, and therefore, cancer recurrences could not be captured with certainty thereafter. On the other hand, all deaths could be captured with certainty because the death registries have 100% coverage in the participating countries, and since mandated by legislation, each citizen is provided with a unique personal identity code. The exploratory cancer biological subgroups were compiled using the ER, PR, and HER2 status only. Cancer ER negativity and PR negativity were defined using the 10% cutoff value, which was the standard when the patients were accrued, rather than with the 1% cutoff value now recommended. However, relatively few breast cancers have ER or PR expression between 1% and 10%, and such cancers often behave like ER-negative cancers.<sup>37</sup>

In conclusion, addition of capecitabine to a chemotherapy regimen that included docetaxel, cyclophosphamide, and epirubicin improved OS in a patient population with early breast cancer during a median follow-up time of 15 years since the date of random assignment. The results suggest that adjuvant capecitabine-containing chemotherapy could be considered as an option for some patients with early breast cancer.

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## CLINICAL TRIAL INFORMATION

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## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at DOI <https://doi.org/10.1200/JCO.21.02054>.

## DATA SHARING STATEMENT

The study Protocol has been available since the time of primary publications and is available in the Supplement. Individual patient data will not be made available.

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**Final approval of manuscript:** All authors

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**AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST****Adjuvant Capecitabine for Early Breast Cancer: 15-Year Overall Survival Results From a Randomized Trial**

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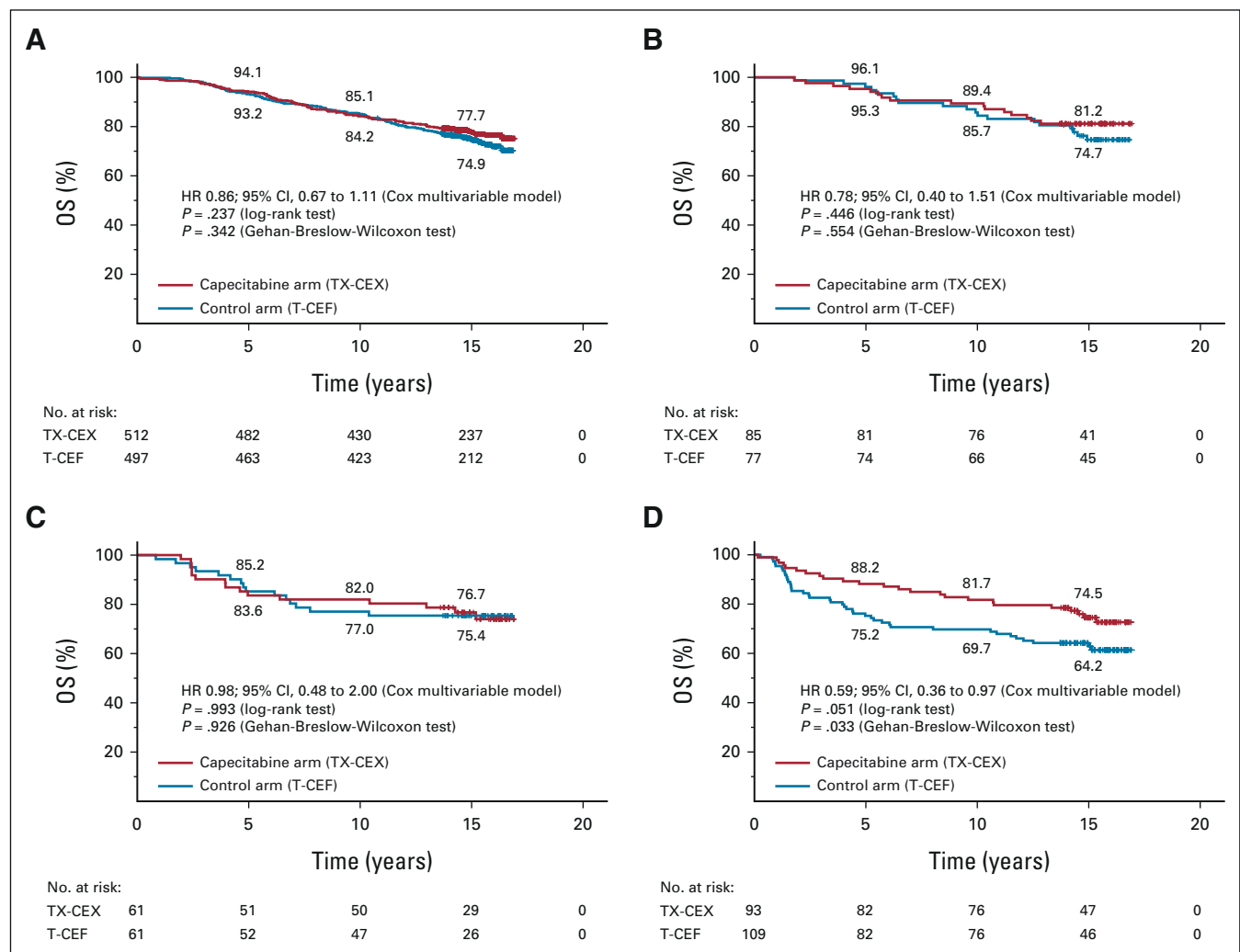
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## APPENDIX



**FIG A1.** OS in selected subgroups: (A) patients with ER-positive and/or PR-positive, HER2-negative cancer, (B) patients with ER-positive and/or PR-positive, HER2-positive cancer, (C) patients with ER-negative, PR-negative, and HER2-positive cancer, and (D) patients with ER-negative, PR-negative, and HER2-negative cancer. Patients censored are indicated with a bar. CEF, cyclophosphamide and epirubicin plus fluorouracil; CEX, cyclophosphamide and epirubicin plus capecitabine; ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; HR, hazard ratio; PR, progesterone receptor; OS, overall survival; T, docetaxel; TX, docetaxel plus capecitabine.