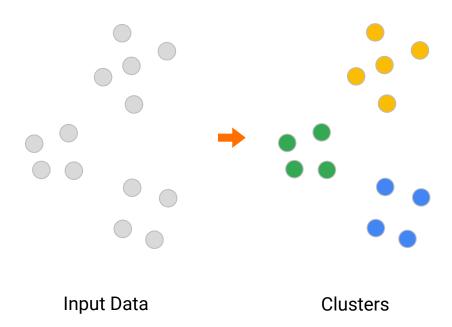


Yueqi Wang



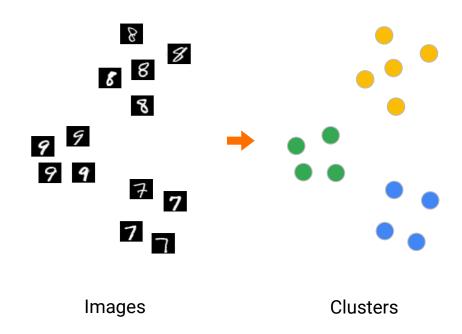


# Clustering



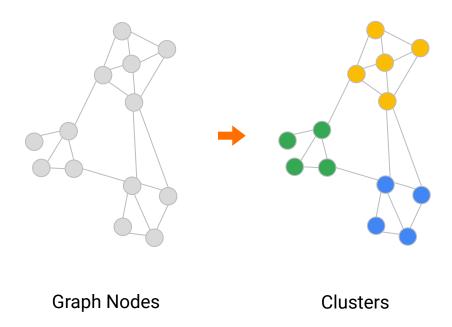


### **Image Clustering**



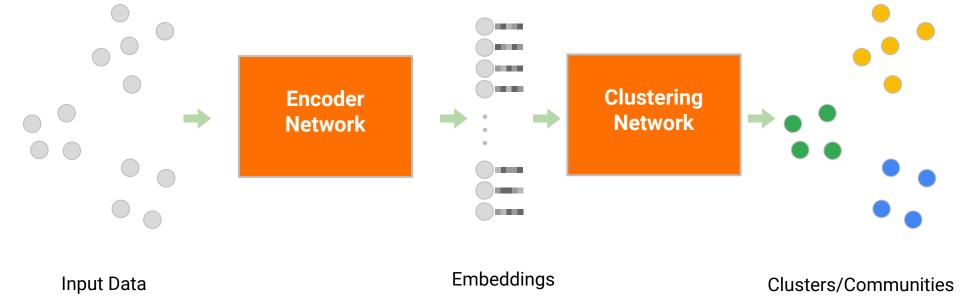


# **Graph Clustering**



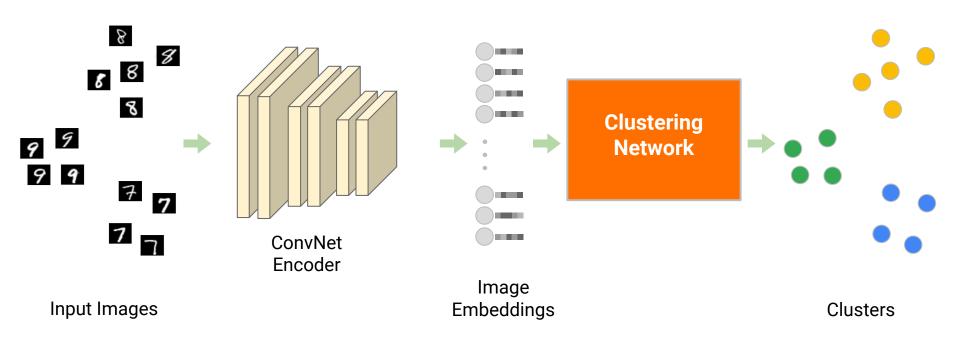


### Framework for Neural Clustering



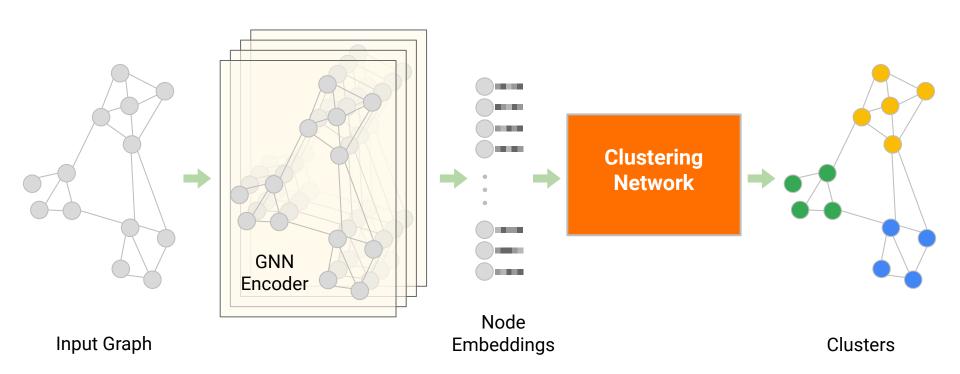


## **Image Clustering**





## **Graph Clustering**

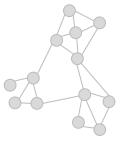




### How to Cluster the Embeddings

No labeled data

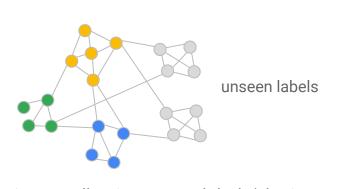
Unsupervised clustering



Not always agree with human labels

Have labeled data

Train a classifier?



Cannot generalize to unseen labels/clusters

Goal: A model that learns the clustering objective from labeled data.



#### Learning to Cluster using Neural Networks

- Amortized/Supervised Clustering
  - Fast inference on many statistically similar datasets after training
- Encoding prior knowledge into a trained model
  - Specify complex clustering objectives by labeled training data
- End-To-End model to cluster many types of data
  - ConvNet encoder for images, GNN encoder for graphs, etc

#### **Neural Clustering Process (NCP)**

- Handles arbitrary numbers of clusters at test time
- Probabilistic clustering with well-defined posterior



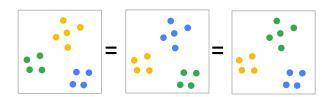
## Challenges in Neural Clustering

#### **Challenges:**

Unknown number of clusters at test time

Permutation symmetry between cluster labels





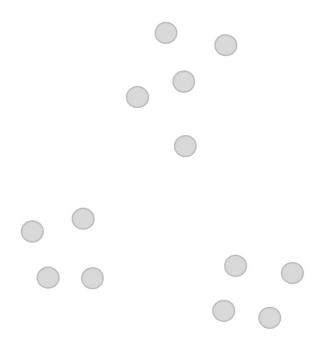
NCP:

Sequential assignment of cluster labels

Permutation-invariant neural representation



#### **Neural Clustering Process (NCP)**



- Pick any unlabeled point
- Predict
  - which existing cluster to join
  - or create a new cluster
- Iterate



## **Neural Clustering Process (NCP)**

Pointwise expansion of the posterior

$$p(c_{1:N}|\mathbf{x}) = p(c_1|\mathbf{x})\,p(c_2|c_1,\mathbf{x})\dots p(c_N|c_{1:N-1},\mathbf{x})$$

Each factor 
$$p(c_i|c_{1:i-1},\mathbf{x}) = \frac{p(c_i,c_{1:i-1},\mathbf{x})}{\sum_{c_i'=1}^{K+1} p(c_i',c_{1:i-1},\mathbf{x})}$$
 is learned using a neural network.

#### K+1 possibilities:

- Join one of the K existing clusters
- Create a new cluster

Dynamically grows the number of clusters at test time



#### **Neural Architecture**

Each cluster

$$H_k = \sum_{i:c_i=k} h(x_i)$$

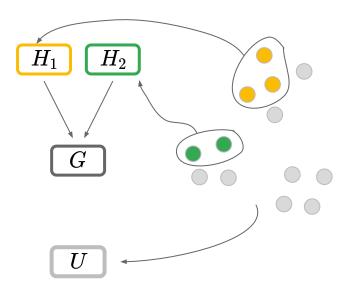
All existing clusters

$$G = \sum_{k \in \{1...K\}} g(H_k)$$

All unassigned data

$$U = \sum_{i \in I_u} u(x_i)$$

 $I_u$  -- indices of unassigned data



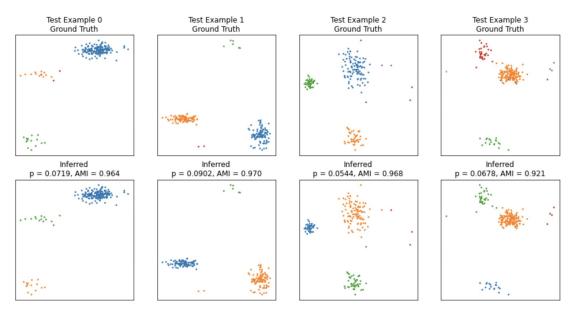
(G, U): current clustering configuration



$$p_{ heta}(c_i = k | c_{1:i-1}, \mathbf{x}) \, = \, rac{e^{f(G_{c_i = k}, \, U)}}{\sum_{k' = 1}^{K+1} e^{f\left(G_{c_i = k'}, \, U
ight)}}$$



#### **Example: Gaussian Mixtures**



Colab notebook





#### Probabilistic Formulation for Amortized Clustering

Generative Model (e.g. Gaussian Mixtures)

$$c_1 \dots c_N \sim p(c_1 \dots c_N)$$
 Cluster Labels (K distinct)  $\mu_k \sim p(\mu)$   $k=1\dots K$  Cluster Parameters  $x_i \sim p(x_i \,|\, \mu_{c_i})$   $i=1\dots N$  Observations

Inference goal: given observations  $\mathbf{x} = \{x_i\}$ , produce cluster labels from  $p(c_{1:N} \,|\, \mathbf{x})$ 

Alternative approaches: MCMC, variational inference

**Amortized Inference for Probabilistic Clustering** 

Learn a neural network function that approximates  $p(c_{1:N} \,|\, \mathbf{x})$