

#### Information

## Preliminaries

### Restrictions/Allowances

- You are allowed a calculator
- This test is closed book
- You may **not** code
- Access to any other Moodle course or page is forbidden and will be logged

### Rounding

- All numerical answers must be rounded to **3 decimal places**.
- Unless otherwise stated, when your calculations involve multiple steps, round any intermediate results to 5 decimal places or use fractions before using them in the next step.

#### Question 1

Correct

Mark 1.00 out of 1.00

Which function maps a real-valued number to a probability between 0 and 1 in logistic regression?

- ☐ a. ReLU
- ☐ b. Softmax
- ☒ c. Sigmoid ✓
- ☐ d. Linear

The correct answer is: Sigmoid

#### Question 2

Incorrect

Mark 0.00 out of 1.00

Regularisation adds a penalty term proportional to the:

- ☒ a. Sum of weights. ✗
- ☐ b. Sum of squared weights.
- ☐ c. Sum of absolute weights.
- ☐ d. Number of non-zero weights.

The correct answer is: Sum of squared weights.

**Question 3**

Correct

Mark 1.00 out of 1.00

A key difference between discriminative and generative models for classification is that:

- ☐ a. Discriminative models learn  $P(x|y)$ , while generative models learn  $P(y|x)$ .
- ☒ b. Generative models directly model the data distribution  $P(x)$ , discriminative models do not model  $P(x)$  directly. ✓
- ☐ c. Discriminative models are always linear, while generative models are always Gaussian.
- ☐ d. Generative models cannot handle continuous features, while discriminative models can handle continuous features.

The correct answer is: Generative models directly model the data distribution  $P(x)$ , discriminative models do not model  $P(x)$  directly.

**Question 4**

Correct

Mark 1.00 out of 1.00

What does the term  $\phi(x)$  represent in the context of  $h_{\theta}(x) = \sigma(\theta^T \phi(x))$ ?

- ☐ a. The model parameters (weights).
- ☐ b. The activation function.
- ☐ c. The output probability.
- ☒ d. A vector of input features. ✓

The correct answer is: A vector of input features.

**Question 5**

Correct

Mark 1.00 out of 1.00

In the context of gradient descent, the learning rate  $\alpha$  controls:

- ☐ a. The direction of the weight update.
- ☒ b. The magnitude (step size) of the weight update. ✓
- ☐ c. The number of iterations required for convergence.
- ☐ d. The complexity of the model.

The correct answer is: The magnitude (step size) of the weight update.

**Question 6**

Correct

Mark 1.00 out of 1.00

The Perceptron Learning Algorithm is guaranteed to converge if:

- ☒ a. The data is linearly separable. ✓
- ☐ b. A sigmoid activation is used.
- ☐ c. The learning rate is sufficiently small.
- ☐ d. Regularisation is applied.

The correct answer is: The data is linearly separable.

**Question 7**

Correct

Mark 1.00 out of 1.00

The decision boundary  $\theta^T x = 0$  in logistic regression corresponds to where the predicted probability  $h_\theta(x)$  equals 0.5.

- ☒ True ✓
- ☐ False

The correct answer is 'True'.

**Question 8**

Correct

Mark 1.00 out of 1.00

Using polynomial basis functions in logistic regression allows it to model non-linear decision boundaries.

- ☒ True ✓
- ☐ False

The correct answer is 'True'.

**Question 9**

Correct

Mark 2.00 out of 2.00

Match the concept (A-B) with its description (i-ii).

- |                       |   |
|-----------------------|---|
| A. Cross-Entropy Cost | ii. Measures the performance of a classification model whose output is a probability value between 0 and 1. ✓ |
| B. Softmax Function   | i. Generalises logistic regression to multi-class classification, producing probabilities that sum to 1. ✓    |

The correct answer is: A. Cross-Entropy Cost → ii. Measures the performance of a classification model whose output is a probability value between 0 and 1., B. Softmax Function → i. Generalises logistic regression to multi-class classification, producing probabilities that sum to 1.

## Information

## Relevant Formulae

- $\sigma(z) = \frac{1}{1+e^{-z}}$
- $h_{\theta}(\mathbf{x}) = \sigma(\theta^T \phi(\mathbf{x}))$
- $J(\theta) = -\frac{1}{m} \sum_{i=1}^m [y^{(i)} \log(h_{\theta}(x^{(i)})) + (1 - y^{(i)}) \log(1 - h_{\theta}(x^{(i)}))]$ 
  - where  $m$  is the number of data points and  $\log$  is the natural logarithm ( $\ln$ ).
- $\frac{\partial J}{\partial \theta_i} = (h_{\theta}(x) - y)x_i$
- $\theta_i \leftarrow \theta_i - \alpha \frac{\partial J}{\partial \theta_i}$

## Question 10

Correct

Mark 4.00 out of 4.00

Consider a logistic regression model for a single training example  $(\mathbf{x}, y)$ . The weights are  $\theta = [\theta_0, \theta_1, \theta_2]^T = [-1, 2, -1]^T$ .

The input feature vector is  $\mathbf{x} = [x_1, x_2]^T = [1.5, 0.5]^T$ . The true label is  $y = 1$ .

Calculate the predicted probability  $h_{\theta}(\mathbf{x})$ .

Answer: 0.818



The correct answer is: 0.818

## Question 11

Correct

Mark 3.00 out of 3.00

For a single training example a logistic regression model predicts  $h_{\theta}(\mathbf{x}) = 0.7$ , while the true label is  $y = 1$ . Compute the non-regularised cross-entropy cost of this single example.

Answer: 0.357



The correct answer is: 0.357

## Question 12

Correct

Mark 3.00 out of 3.00

Consider a logistic regression model for a single training example  $(\mathbf{x}, y)$ . The weights are  $\theta = [\theta_0, \theta_1, \theta_2]^T = [0, 1, -2]^T$ . The input feature vector (excluding bias) is  $\mathbf{x} = [x_1, x_2]^T = [1.5, 0.5]^T$ . The true label is  $y = 1$  and the predicted value was  $h_{\theta}(\mathbf{x}) = 0.622$ .

Compute the new values of  $\theta$  after one gradient step with  $\alpha = 1$ .

 $\theta_0 =$  0.378  $\theta_1 =$  1.567  $\theta_2 =$  -1.811

**Question 13**

Correct

Mark 1.00 out of 1.00

Which of the following is commonly used as an activation function in hidden layers of neural networks designed for general function approximation or classification?

- ☐ a. Softmax
- ☒ b. ReLU ✓
- ☐ c. Cross-Entropy
- ☐ d. Gradient Descent

The correct answer is: ReLU

**Question 14**

Correct

Mark 1.00 out of 1.00

What does  $a_i^{(l)}$  represent in neural network notation?

Select one:

- ☐ a. The weight connecting neuron  $i$  to layer  $l$ .
- ☒ b. The activation of neuron  $i$  in layer  $l$  ✓
- ☐ c. The pre-activation value of neuron  $i$  in layer  $l$
- ☐ d. The bias term added to layer  $l$ .

The correct answer is: The activation of neuron  $i$  in layer  $l$

**Question 15**

Correct

Mark 1.00 out of 1.00

Which activation function outputs the input directly if it's positive, and zero otherwise?

- ☐ a. Sigmoid
- ☐ b. Softmax
- ☒ c. ReLU ✓
- ☐ d. Linear

The correct answer is: ReLU

**Question 16**

Correct

Mark 1.00 out of 1.00

A feed-forward network means that connections generally flow:

- ☐ a. From later layers back to earlier layers.
- ☐ b. Within the same layer only.
- ☒ c. From earlier layers forward to later layers. ✓
- ☐ d. Randomly between any two neurons.

The correct answer is: From earlier layers forward to later layers.

**Question 17**

Correct

Mark 1.00 out of 1.00

A neural network has layer sizes (excluding bias units)  $s_1 = 10$  (input),  $s_2 = 20$ ,  $s_3 = 5$  (output).

What is the dimension of the weight matrix  $\Theta^{(2)}$  connecting layer 2 to layer 3?

Select one:

- ☐ a.  $5 \times 20$
- ☒ b.  $5 \times 21$  ✓
- ☐ c.  $21 \times 5$
- ☐ d.  $20 \times 5$

The correct answer is:  $5 \times 21$

**Question 18**

Incorrect

Mark 0.00 out of 1.00

The Universal Approximation Theorem implies that neural networks are powerful but does NOT guarantee:

- ☐ a. The ability to represent complex functions.
- ☐ b. Efficient learning of the function from data.
- ☐ c. The need for non-linear activation functions.
- ☒ d. That a single hidden layer might suffice theoretically. ✗

The correct answer is: Efficient learning of the function from data.

**Question 19**

Correct

Mark 1.00 out of 1.00

In a classification problem the number of neurons in the output layer of an MLP is typically determined by the number of classes.

- ☒ True ✓
- ☐ False

The correct answer is 'True'.

**Question 20**

Correct

Mark 1.00 out of 1.00

Bias units ( $a_0^{(l)}$ ) typically have fixed activation values (e.g., 1) and do not apply the layer's main activation function  $g(z)$ .

- ☒ True ✓
- ☐ False

The correct answer is 'True'.

**Question 21**

Correct

Mark 2.00 out of 2.00

Match the term with its correct description

- |                            |   |   |
|----------------------------|---|---|
| Activation $a_i^{(l)}$     | The final output value of neuron i in layer l                 | ✓ |
| Pre-activation $z_i^{(l)}$ | The weighted sum of inputs (plus bias) to neuron i in layer l | ✓ |

The correct answer is: Activation  $a_i^{(l)}$

→ The final output value of neuron i in layer l, Pre-activation  $z_i^{(l)}$

→ The weighted sum of inputs (plus bias) to neuron i in layer l

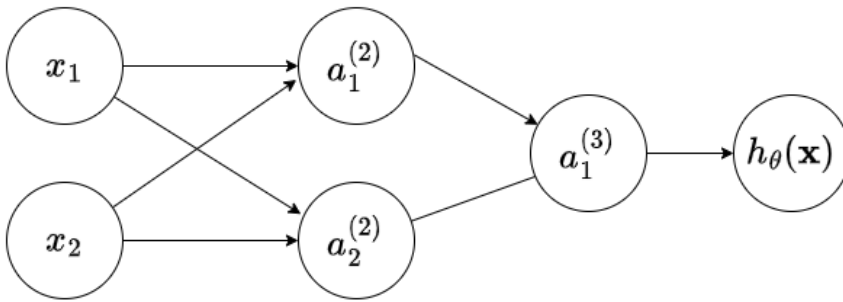
**Information****Relevant Formulae**

- **ReLU** function:  $g(z) = \max(0, z)$
- $\mathbf{z}^{(l)} = \Theta^{(l-1)} \mathbf{a}^{(l-1)}$  for all  $l \in [2, L]$

## Information

## Question 22

Consider a neural network with 2 input neurons ( $x_1, x_2$ ), 1 hidden layer with 2 neurons ( $a_1^{(2)}, a_2^{(2)}$ ), and 1 output neuron ( $a_1^{(3)}$ ). The neural network is visualised below. Bias units are implicit and are not visualised.



The activation function for all neurons is the **ReLU** function:  $g(z) = \max(0, z)$ .

The weight matrices are:

$$\Theta^{(1)} = \begin{bmatrix} -1 & 2 & -1 \\ 1 & -1 & -1 \end{bmatrix} \quad \Theta^{(2)} = \begin{bmatrix} -0.5 & 1 & -1 \end{bmatrix}$$

## Question 22.1

Correct

Mark 4.00 out of 4.00

Given  $\mathbf{x} = [x_1, x_2]^T = [2, 1]^T$ , calculate the pre-activation vector for the hidden layer  $\mathbf{z}^{(2)} = [z_1^{(2)}, z_2^{(2)}]^T$ .

$$z_1^{(2)} = \boxed{2} \quad \checkmark$$

$$z_2^{(2)} = \boxed{-2} \quad \checkmark$$

## Question 22.2

Correct

Mark 2.00 out of 2.00

Given some *other*  $\mathbf{x}$  that gives  $\mathbf{z}^{(2)} = [z_1^{(2)}, z_2^{(2)}]^T = [-10, 10]$ , calculate the activation vector for the hidden layer  $\mathbf{a}^{(2)} = [a_1^{(2)}, a_2^{(2)}]^T$ .

$$a_1^{(2)} = \boxed{0} \quad \checkmark$$

$$a_2^{(2)} = \boxed{10} \quad \checkmark$$

## Question 23.3

Correct

Mark 3.00 out of 3.00

Given some other  $\mathbf{x}$  assume that  $\mathbf{a}^{(2)} = [a_1^{(2)}, a_2^{(2)}] = [3, 4]$ . What is the value of  $z_1^{(3)}$  and  $h_\theta(\mathbf{x})$ ?

$$z_1^{(3)} = \boxed{-1.5} \quad \checkmark$$

$$h_\theta(\mathbf{x}) = \boxed{0} \quad \checkmark$$



**Question 24**

Correct

Mark 1.00 out of 1.00

Backpropagation is fundamentally an application of which mathematical rule?

- ☐ a. Bayes' Theorem
- ☒ b. The Chain Rule ✓
- ☐ c. Linear Algebra Matrix Inversion
- ☐ d. The Central Limit Theorem

The correct answer is: The Chain Rule

**Question 25**

Correct

Mark 1.00 out of 1.00

What does the cost function  $J(\Theta)$  quantify in neural network training?

- ☐ a. The number of layers in the network.
- ☐ b. The speed of convergence.
- ☒ c. The difference between the network's predictions and the true labels. ✓
- ☐ d. The computational complexity of the forward pass.

The correct answer is: The difference between the network's predictions and the true labels.

**Question 26**

Correct

Mark 1.00 out of 1.00

If weights are initialised to zero, what problem occurs during the first backpropagation step?

- ☐ a. Division by zero in the activation function.
- ☒ b. All neurons in a layer will compute the same gradient. ✓
- ☐ c. The forward pass cannot be computed.
- ☐ d. The cost function becomes infinite.

The correct answer is: All neurons in a layer will compute the same gradient.

**Question 27**

Correct

Mark 1.00 out of 1.00

Feature scaling or normalisation aims to put input features:

- ☐ a. Into a  $\{0, 1\}$  range only.
- ☒ b. Onto similar scales or ranges. ✓
- ☐ c. Into an orthogonal basis.
- ☐ d. Into a higher dimensional space.

The correct answer is: Onto similar scales or ranges.

**Question 28**

Correct

Mark 1.00 out of 1.00

Momentum helps gradient descent by:

- ☐ a. Adding noise to escape local minima.
- ☐ b. Decreasing the learning rate automatically.
- ☒ c. Penalising large changes in direction. ✓
- ☐ d. Temporarily removing neurons from the network according to probability  $p$ .

The correct answer is: Penalising large changes in direction.

**Question 29**

Correct

Mark 1.00 out of 1.00

Dropout is primarily used as a technique to:

- ☐ a. Speed up computation.
- ☒ b. Reduce overfitting. ✓
- ☐ c. Handle missing data.
- ☐ d. Automatically determine the number of hidden units.

The correct answer is: Reduce overfitting.

**Question 30**

Correct

Mark 1.00 out of 1.00

Backpropagation calculates the error  $\delta^{(l)}$  starting from the output layer and moving backward towards the input layer.

- ☒ True ✓
- ☐ False

The correct answer is 'True'.

**Question 31**

Correct

Mark 1.00 out of 1.00

Using gradient descent on a typical neural network cost function is guaranteed to find the set of weights that gives the lowest possible error.

- ☐ True
- ☒ False ✓

The correct answer is 'False'.

**Question 32**

Correct

Mark 2.00 out of 2.00

Match the backpropagation term with its role.

Error term  $\delta_i^{(l)}$ 

Represents how much a neuron i in layer l contributed to the errors in the subsequent layer(s)

Activation derivative  $g'(z_j^{(l)})$ 

Represents how much a change in the neuron's pre-activation impacts its activation, used in error backpropagation.



The correct answer is: Error term  $\delta_i^{(l)}$

→ Represents how much a neuron i in layer l contributed to the errors in the subsequent layer(s), Activation derivative  $g'(z_j^{(l)})$

→ Represents how much a change in the neuron's pre-activation impacts its activation, used in error backpropagation.

## Information

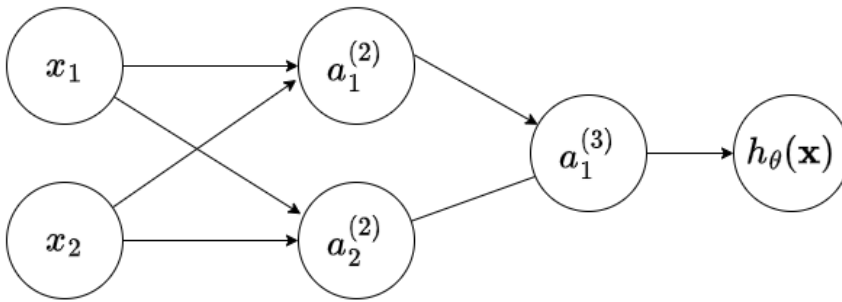
## Relevant Formulae

- $\odot$  represents Hadamard product (or otherwise known as element wise multiplication)
- $\sigma'(\mathbf{z}^{(l)}) = \mathbf{a}^{(l)} \odot (1 - \mathbf{a}^{(l)})$
- $\delta^{(L)} = \mathbf{a}^{(L)} - \mathbf{y}$
- $\delta_j^{(l)} = \left( \sum_m \delta_m^{(l+1)} \Theta_{mj}^{(l)} \right) g'(z_j^{(l)})$  for all  $l \in [2, L - 1]$
- $\delta^{(l)} = ((\tilde{\Theta}^{(l)})^T \delta^{(l+1)}) \odot g'(z^{(l)})$  for all  $l \in [2, L - 1]$ 
  - $\tilde{\Theta}^{(l)}$  refers to the weight matrix for layer  $l$  excluding bias connections.
- $\frac{\partial J}{\partial \Theta_{ij}^{(l)}} = a_j^{(l)} \delta_i^{(l+1)}$
- $\Theta^{(l)} \leftarrow \Theta^{(l)} - \alpha D^{(l)}$

## Information

## Question 30

Consider a neural network with 2 input neurons ( $x_1, x_2$ ), 1 hidden layer with 2 neurons ( $a_1^{(2)}, a_2^{(2)}$ ), and 1 output neuron ( $a_1^{(3)}$ ). The neural network is visualised below. This is the same network as before except using **Sigmoid** as the activation function  $g(z) = \sigma(z) = \frac{1}{1+e^{-z}}$ . Bias units are implicit and are not visualised.



Each of the preceding questions in this section use the same architecture but differing values for  $\mathbf{x}$  and  $\mathbf{y}$  and these values will only be given when relevant. Precomputed pre-activations, activations, and errors will be given where relevant and are specific to each question. Use this information and the information provided with each of the preceding questions in your answers.

**TODO: Remove following**

The activation function for all neurons is the **Sigmoid** function:  $\sigma(z) = \frac{1}{1+e^{-z}}$ . The weight matrices are:

$$\Theta^{(1)} = \begin{bmatrix} -1 & 2 & -1 \\ 1 & -1 & -1 \end{bmatrix} \quad \Theta^{(2)} = [-0.5 \quad 1 \quad -1]$$

Given the data  $\mathbf{x} = [x_1, x_2]^T = [3, 4]^T$ , forward propagation produced the following values (rounded to 2 decimal places):

$$z^{(2)} = [1 \quad -6], \quad a^{(2)} = [0.73 \quad 0.00], \quad z^{(3)} = [0.23], \quad a^{(3)} = [0.56]$$

Use this information to answer the subsequent questions.

**Question 33.1**

Correct

Mark 2.00 out of 2.00

Assume the true label for this example is  $y = 1$  and the activation for the output layer was computed as  $a^{(3)} = [0.56]$ . Calculate the error term for the output layer  $\delta^{(3)}$ . Provide the value for  $\delta_1^{(3)}$ .

Answer:  ✓

The correct answer is: -0.44

**Question 33.2**

Correct

Mark 2.00 out of 2.00

Assume that the activations for the hidden layer were calculated as  $a^{(2)} = \begin{bmatrix} 0.5 \\ 0.25 \end{bmatrix}$ . Calculate the vector of activation derivatives for the hidden layer  $g'(z^{(2)})$ .

$g'(z_1^{(2)}) =$   ✓

$g'(z_2^{(2)}) =$   ✓

**Question 33.3**

Correct

Mark 4.00 out of 4.00

Assume the following values:

$$\Theta^{(2)} = \begin{bmatrix} -0.5 & 1 & -1 \end{bmatrix}$$

$$\delta^{(3)} = \begin{bmatrix} 0.25 \end{bmatrix}$$

$$g'(\mathbf{z}^{(2)}) = \begin{bmatrix} 0.5 \\ 0.3 \end{bmatrix}$$

Calculate the error vector for the hidden layer  $\delta^{(2)} = \begin{bmatrix} \delta_1^{(2)} \\ \delta_2^{(2)} \end{bmatrix}$ .

$\delta_1^{(2)} =$   ✓

$\delta_2^{(2)} =$   ✓

## Question 37

Correct

Mark 3.00 out of 3.00

Assume that the original value of the weight matrix for the hidden layer is  $\Theta^{(2)} = \begin{bmatrix} -0.5 & 1 & -1 \end{bmatrix}$ .

Assume  $\delta_1^{(3)} = 2$  and  $\mathbf{a}^{(2)} = \begin{bmatrix} 1 \\ 1 \\ 0.5 \end{bmatrix}$

Use  $\alpha = 0.25$ .

Perform one gradient update to  $\Theta^{(2)} = \begin{bmatrix} \theta_0^{(2)} & \theta_1^{(2)} & \theta_2^{(2)} \end{bmatrix}$  and provide the answers below.

$$\theta_0^{(2)} = \text{input\_field} \quad \checkmark$$

$$\theta_1^{(2)} = \text{input\_field} \quad \checkmark$$

$$\theta_2^{(2)} = \text{input\_field} \quad \checkmark$$