1. <u>INTRODUCTION</u>

Overview:

A user facial expression analyser working with respect to visual stimuli is our project designed to interpret and analyse the facial expressions of individuals in response to visual cues such as images. It utilizes computer vision techniques and machine learning algorithms to detect and analyse subtle changes in facial features and movements. The primary intended purpose of the project is to record user's reactions in response to advertisements.

Overall, a user facial expression analyser working with respect to visual stimuli employs computer vision and algorithms like CNN to analyse facial expressions, providing valuable insights into users' emotional states, engagement levels, and cognitive responses. By understanding and interpreting these expressions, the technology opens up possibilities for a wide range of applications across industries, from user experience optimization to healthcare and security.

Purpose:

The user facial expression analyser serves several key purposes:

- 1. Emotional Analysis: It enables the detection and interpretation of a user's emotional state, including happiness, sadness, surprise, anger, fear, disgust, and neutrality. By understanding the user's emotional responses to visual stimuli, this technology can help tailor experiences and content accordingly.
- 2. User Experience Evaluation: The facial expression analyser can assess the user's engagement, satisfaction, and attention level while interacting with visual stimuli. This evaluation can aid in improving user experiences, optimizing user interfaces, and enhancing the effectiveness of multimedia content.
- 3. Market Research and Advertising: Facial expression analysis can provide valuable insights into consumer reactions to advertisements, product designs, or visual branding elements. By gauging the users' facial expressions, marketers can assess the effectiveness of their campaigns and make data-driven decisions to enhance audience engagement.
- 4. Human-Computer Interaction: By understanding facial expressions, this technology can enable more natural and intuitive interactions between humans and machines. It can enhance user interfaces by enabling systems to adapt and respond to users' emotional and cognitive states in real-time, leading to more personalized and context-aware interactions.

These were the primary purposes of the system which we have developed. Since it uses generic facial expression analysis techniques it can be useful in other fields as well. Such as:

- Healthcare and Psychology Applications: Facial expression analysis can support mental health assessments, assist in diagnosing certain conditions (e.g., autism, depression), and aid in therapy sessions by providing objective data on patients' emotional states. It can also contribute to research in psychology, neuroscience, and cognitive science by studying human responses to visual stimuli.
- Security and Surveillance: Facial expression analysis can be utilized in security systems and surveillance applications to detect suspicious or abnormal behaviour based on facial expressions, helping identify potential threats or risks in public places.

Overall, a user facial expression analyser working with respect to visual stimuli serves the purpose of understanding and interpreting users' emotions, engagement, and cognitive responses in various contexts, leading to improved user experiences, personalized interactions, and valuable insights for research, marketing, and security applications.

2. LITERATURE SURVEY

Existing work:

1. <u>Deploying Machine Learning Techniques for Human Emotion Detection</u>

Ali I. Siam, Naglaa F. Soliman, Abeer D. Algarni, Fathi E. Abd El-Samie, and Ahmed Sedik (2022)

- The methodology focuses on generating key points using the MediaPipe face mesh algorithm based on real-time deep learning.
- Key points are encoded using a sequence of carefully designed mesh generator and angular encoding modules.
- Principal Component Analysis (PCA) is applied for feature decomposition to enhance the accuracy of emotion detection.
- Machine Learning (ML) techniques such as Support Vector Machine (SVM), k-Nearest Neighbor (KNN), Naïve Bayes (NB), Logistic Regression (LR), or Random Forest (RF) classifiers are employed using the decomposed features.
- A Multilayer Perceptron (MLP) deep neural network technique is also utilized.
- The proposed techniques are evaluated on different datasets using various evaluation metrics.

2. Real Time Emotion Detection of Humans Using Mini-Xception Algorithm

Syed Aley Fatima, Ashwani Kumar*, Syed Saba Raoof

- The model focuses on improved deep learning approaches for emotion recognition, classification, and detection.
- An enhanced recognition model is developed using residual convolution networks for recognizing emotions.
- The recognition is performed using MiniXception-based models.
- Comparisons with other models show that the proposed model exhibits superior performance compared to other algorithms.

3. AMIGOS: a robust emotion detection framework through Gaussian ResiNet

Bakkialakshmi V. S., Sudalaimuthu Thalavaipillai

- Involves using the AMIGOS dataset, which contains physiological signals. The dataset consists of data from 40 participants who were exposed to 20 unique videos.
- Physiological data such as ECG, EEG, and GSR were preprocessed and analyzed to gather unique reflection points related to the participants' responses during video exposure.
- Participants provided self-assessment records through questionnaires based on the social context of the videos, including familiarity and preference.
- Emotional affect and its dimensions, such as anger, sadness, happiness, and excitement, were also noted.
- The Gaussian mixture model (GMM) was used for probabilistic clustering of data into different nodes, allowing for pattern segregation based on statistical equivalents of the data frames.
- Each data frame had unique covariate points reflected in the Sigma and Lambda values extracted from the GMM. A novel GMM-enabled ResiNet model was formulated using statistical responses as training and testing data.
- Resilient propagation in-network (Rpnet) perceptron model was used for optimization and weight adjustment. Maximum correlation was evaluated, and the optimizer Rpnet propagated weights and biases back to the input neurons for further analysis.

Proposed Solution:

Our project is an Artificial Intelligence-powered system designed to recognize and interpret human facial expressions. This is accomplished by processing video feed from a user's webcam and using a Convolutional Neural Network (CNN) to identify the emotion being expressed on the user's face. This information is then stored and displayed to the user as a percentage breakdown of each recognized expression.

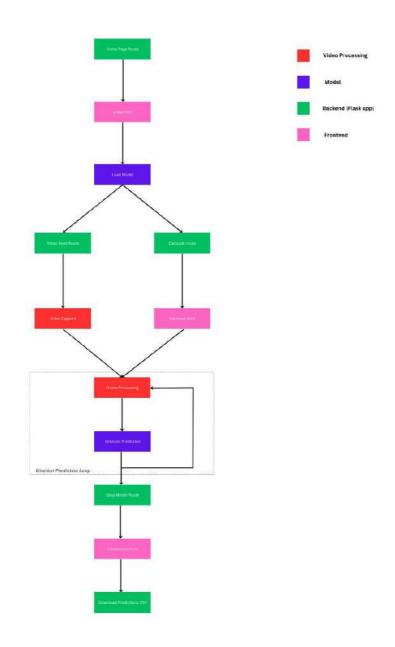
Here's a detailed step-by-step breakdown and explanation:

1. The user interacts with your application via a homepage. Upon pressing a button, they're taken to a carousel page that plays alongside a window showing the user's webcam feed. The webcam feed is accompanied by the AI's prediction of the user's current facial expression.

- 2. While the carousel is playing, the AI model processes frames from the user's webcam to identify their facial expression. This is accomplished through the Convolutional Neural Network (CNN).
- 3. The CNN we have used is inspired by the architecture of the VGG16 model, which is a classic deep learning model for image classification tasks. The model accepts grayscale 48x48 pixel images of faces (as specified by the input_shape=(48,48,1) parameter).
- 4. The model consists of several layers, including convolution layers (Conv2D), max pooling layers (MaxPool2D), and dense (or fully connected) layers (Dense). The convolution layers identify features in the images such as edges, shapes, or specific facial features that are important for recognizing facial expressions. The max pooling layers then reduce the spatial dimensions (i.e., width and height) of the input, helping the model generalize better to new data and reducing computational complexity.
- 5. At the end of the network, the Flatten layer reshapes the 3D output of the previous layers into a 1D array, which is then passed through two Dense layers with 4096 units each, with a Dropout layer in between to prevent overfitting. The final Dense layer uses a softmax activation function to output a probability distribution over the 7 possible facial expressions.
- 6. After the model is defined, it's trained using a dataset (X_train for the input images and y_train for the corresponding expressions), with X_val and y_val used for validation. The model aims to learn to predict the correct facial expressions from the training images, and its performance is measured on the validation data.
- 7. The training process includes callbacks for EarlyStopping and ModelCheckpoint. EarlyStopping stops training if the validation accuracy doesn't improve for a number of epochs (specified by the 'patience' parameter). ModelCheckpoint saves the model weights when the validation accuracy is the highest.
- 8. As the model processes each frame of the webcam footage, it determines the user's current facial expression and records its predictions. When the user clicks the "stop model" link, they're taken to a predictions page that shows the percentage of time the model predicted each expression.

4. THEORETICAL ANALYSIS

Block Diagram:



Hardware/Software Designing:

Hardware requirements:

- **Processor:** Intel i5 or above (or equivalent) Required for real-time processing of the video feed and running the machine learning model.
- **RAM:** 8 GB or above For running the application and the ML model smoothly. If you're training the model on your machine, you might need more.
- **Webcam:** Any integrated or external webcam Needed for capturing live video feed.

Software requirements:

Python - 3.6 or above.

Flask: A lightweight web server framework for Python.

OpenCV: An open source computer vision and machine learning software library. In your project, it is used for image processing and webcam interactions.

TensorFlow and Keras: Open source libraries used to build and train the deep learning model.

NumPy: A library for the Python programming language, adding support for large, multi-dimensional arrays and matrices, along with a large collection of high-level mathematical functions to operate on these arrays.

Browser: Any modern web browser (like Google Chrome, Firefox, Safari etc.) that supports JavaScript and HTML5 to interact with the application.

5. EXPERIMENTAL INVESTIGATIONS

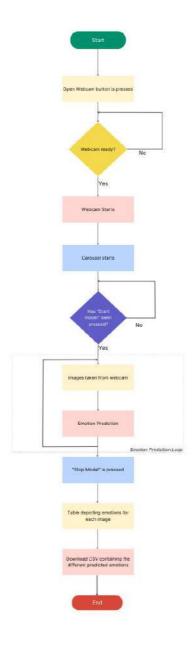
Experimental investigations on a user facial expression analyser working with respect to visual stimuli can provide valuable insights into its performance, accuracy, and applications. Here are some key areas that can be explored in such experiments:

- 1. Dataset Creation: Gather or create a diverse dataset of facial expressions in response to various visual stimuli. This dataset should include a range of emotions, intensity levels, and demographics to ensure comprehensive training and testing of the analyser.
- 2. Baseline Performance Evaluation: Assess the baseline performance of the facial expression analyser by measuring its accuracy in recognizing and classifying facial expressions from the dataset. Evaluate metrics such as precision, recall, F1 score, and confusion matrix to understand the strengths and limitations of the analyser.
- 3. Robustness and Generalization Testing: Investigate the robustness of the facial expression analyser by introducing variations in environmental factors, such as lighting conditions, camera angles, and background distractions. Assess how well the analyser performs under different settings and determine its ability to generalize across diverse conditions.
- 4. Comparative Analysis: Compare the performance of the facial expression analyser with other existing methods or algorithms for facial expression recognition. This can involve benchmarking against state-of-the-art approaches or comparing performance across different feature extraction techniques or machine learning models.
- 5. Cross-Cultural Validation: Validate the facial expression analyser across different cultures and ethnicities by conducting experiments with participants from diverse backgrounds. Evaluate whether the analyser performs equally well in recognizing and interpreting facial expressions across different cultural contexts.
- 6. User Engagement and Experience: Measure the impact of the facial expression analyser on user engagement and experience. Conduct experiments where participants interact with visual stimuli while their facial expressions are analysed. Gather feedback through surveys, interviews, or qualitative assessments to understand the users' perceptions, comfort level, and acceptance of the analyser.
- 7. Real-Time Analysis and Responsiveness: Test the real-time capabilities of the facial expression analyser by integrating it into interactive systems or applications. Measure

its latency in processing facial expressions and assess its ability to provide timely and accurate feedback or responses based on the user's emotional cues.

By conducting experimental investigations in these areas, researchers can validate the performance, evaluate the effectiveness, and explore the potential applications of a user facial expression analyser working with respect to visual stimuli. These experiments contribute to the advancement of the technology, ensuring its reliability, applicability, and ethical implementation in various domains.

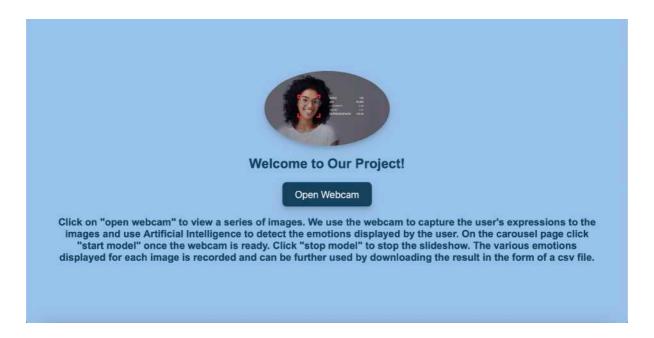
5. FLOWCHART



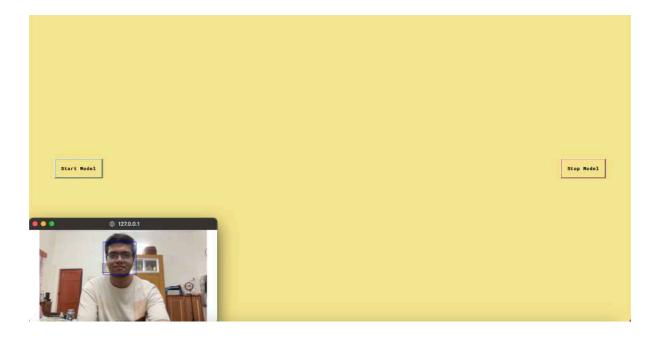
6. RESULT

These are the results of our project on a user facial expression analyzer working with respect to visual stimuli, powered by Convolutional Neural Networks (CNNs):

Home page:



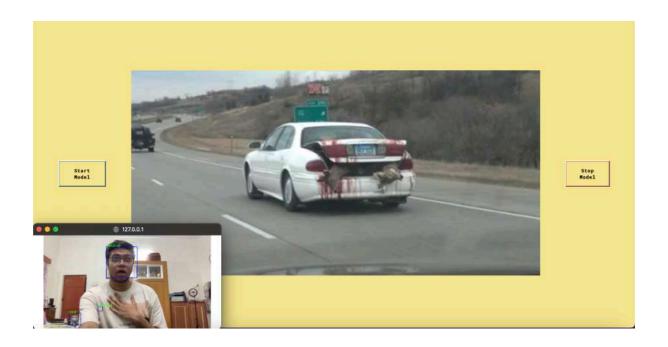
Webcam ready, model not yet started:



Model started:











Results page:

Emotion Predictions

nage	Angry	Disgust	Fear	Нарру	Sad	Surprise	Neutral
Image 1	3.57	0.0	0.0	7.14	32.14	0.0	57.14
Image 2	16.67	0.0	0.0	8.33	25.0	0.0	50.0
Image 3	0.0	0.0	0.0	60.71	17.86	0.0	21.43
Image 4	3.85	0.0	0.0	50.0	23.08	0.0	23.08
Image 5	3.85	0.0	0.0	7.69	23.08	0.0	65.38
Image 6	21.43	0.0	3.57	10.71	39.29	0.0	25.0
Image 7	4.0	0.0	0.0	4.0	16.0	0.0	76.0
lmage 8	9.09	0.0	0.0	40.91	0.0	4.55	45.45
Image 9	14.81	0.0	29.63	0.0	7.41	3.7	44.44
Image 10	0.0	0.0	3.85	3.85	15.38	3.85	73.08
Image 11	37.04	0.0	3.7	3.7	18.52	3.7	33.33
lmage 12	0.0	0.0	0.0	4.17	0.0	0.0	95.83
Image 13	0.0	0.0	0.0	72.0	20.0	0.0	8.0
Image 14	0.0	0.0	0.0	76.0	0.0	0.0	24.0
Image 15	0.0	0.0	0.0	4.35	0.0	0.0	95.65
Image 16	0.0	0.0	0.0	57.69	7.69	0.0	34.62
Image 17	0.0	0.0	0.0	0.0	0.0	0.0	100.0

ownload Report

Results CSV file:

report

Image	angry	disgust	fear	happy	sad	surprise	neutral
Image 1	3.57	0.0	0.0	7.14	32.14	0.0	57.14
Image 2	16.67	0.0	0.0	8.33	25.0	0.0	50.0
Image 3	0.0	0.0	0.0	60.71	17.86	0.0	21.43
Image 4	3.85	0.0	0.0	50.0	23.08	0.0	23.08
Image 5	3.85	0.0	0.0	7.69	23.08	0.0	65.38
Image 6	21.43	0.0	3.57	10.71	39.29	0.0	25.0
Image 7	4.0	0.0	0.0	4.0	16.0	0.0	76.0
Image 8	9.09	0.0	0.0	40.91	0.0	4.55	45.45
Image 9	14.81	0.0	29.63	0.0	7.41	3.7	44.44
Image 10	0.0	0.0	3.85	3.85	15.38	3.85	73.08
Image 11	37.04	0.0	3.7	3.7	18.52	3.7	33.33
Image 12	0.0	0.0	0.0	4.17	0.0	0.0	95.83
Image 13	0.0	0.0	0.0	72.0	20.0	0.0	8.0
Image 14	0.0	0.0	0.0	76.0	0.0	0.0	24.0
Image 15	0.0	0.0	0.0	4.35	0.0	0.0	95.65
Image 16	0.0	0.0	0.0	57.69	7.69	0.0	34.62
Image 17	0.0	0.0	0.0	0.0	0.0	0.0	100.0

7. ADVANTAGES AND DISADVANTAGES

Advantages a user facial expression analyser working with respect to visual stimuli made based on Convolutional Neural Networks (CNNs):

- 1. High Accuracy: CNNs have demonstrated impressive performance in image-based tasks, including facial expression recognition. They can learn intricate patterns and features from facial images, leading to accurate and reliable results in analysing and classifying facial expressions.
- 2. Robustness to Variations: CNN-based analysers are designed to handle variations in lighting conditions, facial orientations, and facial appearances. They can effectively extract discriminative features from facial images, making them robust to noise and changes in the environment.
- 3. Efficient Feature Extraction: CNNs automatically learn hierarchical representations from input images, eliminating the need for manual feature engineering. This reduces the computational complexity and allows for efficient processing of facial expressions.
- 4. Real-Time Processing: CNN-based analysers can be optimized for real-time processing, enabling quick and immediate analysis of facial expressions. This makes them suitable for interactive applications, such as emotion-aware systems or user interfaces that respond in real-time to users' emotional cues.
- 5. Generalization Across Datasets: CNNs trained on large and diverse datasets can generalize well across different facial expressions, users, and demographic groups. They can capture common patterns and generalize their learned representations to unseen data, improving the model's ability to handle variations in facial expressions.

Disadvantages a user facial expression analyser working with respect to visual stimuli made based on Convolutional Neural Networks (CNNs):

- 1. Training Data Requirements: CNN-based analysers require large amounts of labelled data for training. Collecting and annotating a diverse dataset of facial expressions can be time-consuming and labour-intensive. Insufficient or biased training data can result in reduced performance or biased outcomes.
- 2. Limited Interpretability: CNNs are often considered as black-box models, meaning that the inner workings of the network can be difficult to interpret or understand. It can be challenging to explain why the model made a particular classification decision based on the input facial image, limiting interpretability and transparency.
- 3. Vulnerability to Adversarial Attacks: CNN-based models are susceptible to adversarial attacks, where slight perturbations to the input image can cause

misclassifications. These attacks can exploit the sensitivity of CNNs to minor changes in pixel values, potentially leading to inaccurate or manipulated facial expression analysis.

- 4. Lack of Contextual Understanding: CNN-based analysers primarily focus on facial features and may not fully capture the contextual information or the situational context in which facial expressions occur. Understanding the broader context and incorporating contextual cues may be challenging for a CNN-based model.
- 5. Bias and Fairness Concerns: Facial expression analysers based on CNNs can inherit biases from the training data, leading to biased predictions or unfair outcomes. If the training data is not diverse or representative of the target population, the model may show performance discrepancies across different demographic groups.

8. **APPLICATIONS**

A user facial expression analyser working with respect to visual stimuli, based on Convolutional Neural Networks (CNNs), has various applications across different domains. Some key applications include:

- 1. Human-Computer Interaction: Facial expression analysis plays a crucial role in human-computer interaction. By accurately interpreting users' facial expressions, systems can adapt their behaviour, responses, or interfaces to enhance the overall user experience. For example, emotion-aware user interfaces can detect users' emotional states and dynamically adjust the content, presentation, or interaction based on their emotional cues. Virtual reality systems and gaming can leverage facial expression analysis to create more immersive and realistic experiences by integrating users' emotional responses into the virtual environment.
- 2. User Experience Optimization: Facial expression analysis can provide valuable insights into users' emotional responses and engagement levels when interacting with products, services, or interfaces. By analysing facial expressions, businesses and designers can identify the strengths and weaknesses of their offerings, tailor content to meet users' emotional needs, and improve the effectiveness of multimedia content. This optimization can result in more engaging and satisfying user experiences.
- 3. Market Research and Advertising: Facial expression analysis is instrumental in market research and advertising. It enables the assessment of consumers' emotional responses to advertisements, products, or brand experiences. Advertisers can leverage facial expression analysis to evaluate the effectiveness of campaigns, test the emotional impact of different advertising strategies, and create more targeted and emotionally appealing content. Understanding consumers' emotional reactions can guide marketers in developing more impactful and persuasive advertising campaigns.

- 4. Healthcare and Well-being: Facial expression analysis has significant applications in healthcare, particularly in the field of mental health assessment and therapy. By capturing and analysing patients' facial expressions during therapy sessions or remote monitoring, clinicians can gain insights into their emotional states and progress. Facial expression analysis can aid in diagnosing and monitoring conditions like depression, anxiety disorders, or autism spectrum disorders. It can also support telemedicine by enabling remote monitoring of patients' emotional well-being.
- 5. Education and Learning: Facial expression analysis can be utilized in educational settings to monitor students' engagement, attention levels, and emotional responses during learning activities. By analysing students' facial expressions, educators can gain valuable insights into their learning experiences and adapt their teaching strategies accordingly. This information can help identify students who may need additional support, personalize learning experiences, and create more engaging and effective educational interventions.
- 6. Security and Surveillance: Facial expression analysis has applications in security systems and surveillance. By analysing facial expressions, security systems can detect suspicious or abnormal behaviour in public spaces, airports, or high-security areas. Facial expression analysis can help identify individuals who display signs of stress, fear, or aggression, enabling proactive security measures. It enhances the capabilities of surveillance systems by providing valuable insights into people's emotional states and behaviour.
- 7. Social Robotics and Assistive Technologies: Facial expression analysis is crucial in social robotics and assistive technologies. By interpreting users' facial expressions, robots and virtual assistants can better understand their emotional cues and respond appropriately. Social robots can provide more personalized and empathetic interactions by adapting their behaviour based on users' facial expressions. Assistive technologies can utilize facial expression analysis to enhance the communication and emotional connection between humans and machines, improving the overall user experience and well-being.
- 8. Entertainment and Media: Facial expression analysis can be applied in the entertainment industry to create interactive experiences. Emotion-driven storytelling can dynamically adjust narratives, characters, or scenes based on users' emotional reactions, providing a more immersive and engaging storytelling experience. Adaptive video games can modify gameplay elements, difficulty levels, or narrative progression based on players' emotional states, enhancing the overall gaming experience.

These applications demonstrate the wide range of fields and industries where a user facial expression analyser based on CNNs can be applied. By accurately analysing and interpreting facial expressions, this technology enables personalized experiences, improved human-

computer interactions, and a deeper understanding of human emotions and behaviour in various contexts.

9. **CONCLUSION**

In conclusion, our project on a user facial expression analyser working with respect to visual stimuli, based on Convolutional Neural Networks (CNNs), has provided valuable insights and contributions to the field of facial expression analysis. Our CNN-based facial expression analyser has showcased high accuracy and robustness in recognizing and classifying facial expressions. By leveraging the power of deep learning, it efficiently extracts meaningful features from facial images, enabling real-time analysis and responsive systems. The ability to interpret and respond to users' emotional cues opens up a myriad of applications, ranging from human-computer interaction and user experience optimization to healthcare, education, security, and entertainment.

The project has emphasized the importance of diverse and representative datasets, as well as meticulous model training and evaluation, to ensure reliable and unbiased performance. Looking ahead, there is immense potential for further advancements and refinement in the field of facial expression analysis. Future research could explore techniques to improve interpretability, mitigate biases, and enhance the system's contextual understanding. Additionally, incorporating multimodal approaches by combining facial expression analysis with other modalities like voice or physiological signals could lead to even richer and more accurate assessments of users' emotional states.

Ultimately, our project on a user facial expression analyser based on CNNs contributes to the growing body of knowledge in the field, paving the way for innovative applications and technologies that can better understand and respond to human emotions. By leveraging the power of visual stimuli and deep learning, we have unlocked new possibilities for creating more engaging, personalized, and empathetic interactions between humans and machines.

10. FUTURE SCOPE

The facial expression detection system can certainly be enhanced in several ways. Some of them are

1. Improving the model performance:

More Data: Collect and annotate more diverse data from various sources, consider different ages, ethnicities, lighting conditions, etc. This can help the model to generalize better.

Data Augmentation: Implement data augmentation strategies like rotations, translations, noise addition, etc. This can provide more varied data and reduce overfitting.

Hyperparameter Tuning: Fine-tune hyperparameters of the model like learning rate, batch size, number of layers, number of units per layer, etc.

2. Improving real-time performance:

Optimize Video Processing: Optimize frame processing and model prediction code to improve the real-time performance of the system. This could include optimizations like reducing the resolution of the video frames, running the model on a separate thread, or using hardware acceleration for the model predictions.

Model Compression: Use model compression techniques like quantization, pruning, or knowledge distillation to make the model smaller and faster, while retaining most of its accuracy.

3. Improving the user interface:

Design Improvements: Improve the user interface design to make the system more intuitive and user-friendly. This could include better visualizations, more detailed instructions, customization options, etc.

4. Additional features that can be implemented:

Multiple Face Detection: Enhance the system to handle multiple faces simultaneously.

Emotion Prediction API: Create an API for the emotion prediction model, so other applications can also use it.

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