## a4-Multilingual-Large-Language-Models-dan-jang

November 30, 2023

### 1 CS410: Natural Language Processing, Fall 2023

# $1.1\,$ A4: Multilingual Large Language Models (LLMs), Dan Jang - 11/27/2023 Description of Assignment

Introduction As the training & testing datasets from our first & second assignments has become defunct recently (*The Multilingual Amazon Reviews Corpus* by Phillip Keung, Yichao Lu, György Szarvas, & Noah A. Smith (October 6th, 2020) [1]), in this assignment, *A4: Multilingual Large Language Models (LLMs)*, we will be using a new dataset, the "*Unified Multilingual Sentiment Analysis Benchmark*" [2] - where we will be exploring & comparing the performance of two, specific *Large Language Models* (LLMs):

1. Meta's LLaMA 2 (Large Language Model Meta AI 2) [3], [4]

&

2. OpenAI's ChatGPT (As of November 27th, 2023, ChatGPT is currently running the November 6th, 2023 Update model version of GPT-3.5 Turbo gpt-3.5-turbo-1106) [5]-[7]

Like the previous two assignment, this assignment focuses on using specific NLP models - specifically, *Meta*'s *LlaMA 2* [3], [4] and *OpenAI*'s *ChatGPT Large Language Models* (LLMs) [5], [6].

As a different approach in comparison to our previous three (3) assignments, in this current assignment, A4, instead of implementing a full text-classification model for sentiment-prediction, we will instead be exploring the techniques of *prompt engineering* [8] in creating a text-classifier - in comparison to previous assignment, A3, where we had used the monolingual *Pretrained Language Models* (**PLMs**) of **BERT** [9] and **GPT-2** [10].

**Data Preparation** In A4, we will be using a new dataset, *Unified Multilingual Sentiment Analysis Benchmark* [2], which is a multilingual dataset with Tweets labeled with a sentiment value, where the classification labels are as follows:

0 indicating negative,

1 indicating neutral,

...and a 2 indicating positive.

The *Unified Multilingual Sentiment Analysis Benchmark* [2] dataset contains sentiment-labeled Tweets in Arabic, English, French, German, Hindi, Italian, Portuguese, and Spanish.

Instead of using several thousands of data-entries, for both a training and a testing dataset to train and test our text-classification model like we've explored in our previous assignments, of assignments A1 through A3 - in this assignment, A4, we will *instead* be utilizing a **much smaller subset of data** from our **new dataset** [2] & only utilizing a testing dataset based on a sampling of Tweet-entries across the different languages, in lieu of using both a testing and training dataset.

Specifically, we will be creating a multilingual, Tweet-entry-based testing dataset, of a recommended amount of ~50 instances (the actual number used in my implementation will be specified below in my *Text Classification Through Prompt Engineering* section) of the testing dataset for each language as aforementioned, and sentimentality-wise, we will only look at the Tweet-entries with either the *positive* or *negative* sentiment labels, and will be ignoring Tweet-entries with the *neutral* sentiment label.

Although no training will be required, we will still need to carefully prepare a balanced test set across both classes, and throughout our various languages sampled from the **new dataset** [2].

**Prompt Engineering** Researcher Golam Md. Muktadir of the University of California, Santa Cruz, Computer Science and Engineering (muktadir@ucsc.edu) describes in good detail, the past-to-present history of *prompt engineering* in their relatively recent September 30th, 2023 paper, "A Brief History of Prompt: Leveraging Language Models" [8].

Modern day prompt engineering can be described by the following description...

"...researchers and practitioners [who] have explored various techniques to harness the full potential of language models, leveraging the power of prompts to guide, control, and tailor the output of these sophisticated AI systems..."

...where this **very description** of prompt engineering originates from a generated text borne of prompt engineering itself, as given by Researcher Muktadir in their paper's introduction, from these two prompts [8]:

"Prompt #1: You are a scholar in machine learning and language models. I am writing a paper on the history of prompt engineering and generation. Can you give me a timeline for prompt engineering evolution? (We used this timeline to create prompts for each section later)"

&

"Prompt #2: Write the introduction of this paper. Emphasize that this paper focuses on how language prompts and queries have been used so far."

While the techniques of prompt engineering, seemingly only recently popularized, or rather, been made the spotlight mainstream subject of discussion of recent, in regard to the wide field of Natural Language Processing (NLP) - this popularization, of course, significantly due to the rise of OpenAI's ChatGPT [6], [7] - however, nonetheless are a set of techniques a history long prior to this very novel advent of widely accessible platforms providing services based on Natural Language Processing (NLP) study & more specifically, through Large Language Models (LLMs) [8].

As section-titled in their paper, Researcher Muktadir describes an early era of prompt engineering in "Prehistoric Prompting: Pre NN-Era", which describes among the most preliminary studies into what we know as prompt engineering today - as far back as the 1960s through the 1970s with "Early Natural Language Interfaces", the 1990s through the 2010s with "Advances in Natural Language Processing", e.g. Neural Networks (NNs) and Machine Learning (ML), to modern-day, featuring

the rise of Multilingual Large Language Models (MLLMs) of those, we will exploring of two such MLLMs [8].

Multilingual Large Language Models (Multilingual LLMs, or 'MLLMs') One way we can/will be accessing our two Multilingual Large Language Models (*MLLMs*), *Meta*'s *LLaMA* 2 [3], [4] and *OpenAI*'s *ChatGPT* Multilingual Large Language Models (*MLLMs*) [5], [6], would be through the graphical interface chat platform provided through *HuggingFace* Chat [11].

Meta's Open-Source LLaMA 2 (Large Language Model Meta AI 2) Multilingual Large Language Model (MLLM) [3], [4] The first MLLM we will be exploring will be the open-source, LLaMA 2 (Large Language Model Meta AI 2) [4] MLLM, which was first co-released by Meta and Microsoft, back on June 17th, 2023 [14].

This **MLLM** was first released & described as **LLaMA** (**Version 1**) in the paper, "**LLaMA**: **Open and Efficient Foundation Language Models**" [13] - which was first published earlier this year in February 27th, 2023, by the **Meta AI** team [3] - authored by Researchers Hugo Touvron, and thirteen (13) other authors.

This first version of *LLaMA* was described as "a collection of foundation language models ranging from 7B to 65B parameters", these models having been trained on "trillions of tokens", and importantly, claiming that it is and was possible to "train state-of-the-art models using publicly available datasets exclusively" - claiming, that the-then *LLaMA-13B* model outperformed the *GPT-3* (175B) model on "most benchmarks" [13].

The second iteration, LLama 2 has been released as part of various fine-tuned LLMs, e.g. LLama 2-Chat, Code LLama 2, etc. - as to represent the latest efforts by Meta and Microsoft to provide their updated advancements of their open-source LLM - where, in their paper, they claim that the latest LLama 2 LLM(s) are capable and very comparable in performance to those of other closed-source LLMs, either in regard to a closed-source based set of techniques used to create the LLM(s) and/or a closed-source service that is provided as SaaS (Software as a Service), e.g. OpenAI's ChatGPT [14], [4], [5], [6].

OpenAI's Proprietary ChatGPT (Chat Generative Pretrained Transformer) Multilingual Large Language Model (MLLM) [5]-[7] The most famous MLLM model as of recent mainstream attention, is the proprietary model ChatGPT (based on Generative Pretrained Transformer 3.5 Turbo, currently on the November 6th, 2023 update-iteration, gpt-3.5-turbo-1106) is based off advancements made by OpenAI on the closed-source 'release' of GPT-3, and of course, follows the progress made from the second predecessor, GPT-2 [6], [7], [5], [15], [10].

Recalling from A3: In GPT-2's technical paper, OpenAI researchers Alec Radford, Jeffrey Wu, Rewon Child, David Luan, Dario Amodei, and Ilya Sutskever describes the GPT-2 model - a unidirectional model trained on a dataset of 8 million web pages with 1.5 billion parameters (OpenAI, Radford et al. [10].

However, if we were to make a quick GPT lineage comparison between gpt-3.5-turbo-1106 vs. GPT-2, of course, the former, gpt-3.5-turbo-1106, has up to 175 billion parameters & the latter, at least the largest-parameter version of GPT-2, has still only 1.5 billion parameters - representing an extremely significant increase in parameter-power in gpt-3.5-turbo-1106 over its long predecessor GPT-2 model.

Comparison of LLaMA-2 vs. ChatGPT Multilingual Large Language Models (*MLLMs*) Architectures & Designs When comparing LLaMA 2 vs. ChatGPT (*gpt-3.5-turbo-1106*), the first difference we can note is that, since LLaMA 1 was released & now with LLaMA 2, LLaMA has remained available as a series of *open-source* Multilingual Large Language Models (*MLLM*), as released & created by *Meta's AI Team* (& *Microsoft* for version 2) - where anyone is able to request & receive access to freely download the model & its fine-tuned derivatives as per the nature open-source vs. the proprietary nature of ChatGPT, which is only offered indirectly, as a Software-as-a-Service (*SaaS*) through the free version of *OpenAI's* main *ChatGPT web-platform* (chat.openai.com) or as a paid service through *OpenAI's API platform* [4], [6], [7], [3], [13], [14], [6], [5].

From September 1st, 2023, Enterprise AI Strategist Sunil Ramlochan of the *Prompt Engineering Institute* described in the summary of their article, *How Does Llama-2 Compare to GPT-4/3.5 and Other AI Language Models* that **LLama 2** "competes on accuracy despite lower complexity [vs. the complexity of GPT-3.5]" [16] - where such strong accuracy could be through *Meta*'s various improvements from **LLama 1** to **LLama 2**, e.g. *Ghost Attention*, which may improve "dialogue context tracking" [16], [4], [14].

As cited in [16], Researcher Waleed Kadous of *anyscale* performed an analysis of our two **Multilingual Large Language Models** (*MLLMs*), in their supportively titled (of the claims made in [16]) article, "*Llama 2 is about as factually accurate as GPT-4 for summaries and is 30X cheaper*" [17]:

| Model         | Input Tokens | Output Tokens | Price MTokens input (\$) | Price<br>MTokens<br>output (\$) | Total cost |
|---------------|--------------|---------------|--------------------------|---------------------------------|------------|
| gpt-4         | 125902       | 834           | 30                       | 60                              | \$3.83     |
| gpt-3.5-turbo | 125902       | 770           | 1.5                      | 2                               | \$0.19     |
| Llama-2-7b    | 149238       | 80525         | 0.25                     | 0.25                            | \$0.06     |
| Llama-2-13b   | 149238       | 104947        | 0.5                      | 0.5                             | \$0.13     |
| Llama-2-70b   | 149238       | 61500         | 1                        | 1                               | \$0.21     |

**Text Classification Through Prompt Engineering** To perform our text-classification task on these, we will **follow these steps**:

- 1. Prepare a balanced test set of a specific, constant chosen-size of test Tweet-instances for each of the eight different languages from our new dataset, *Unified Multilingual Sentiment Analysis Benchmark* [2]. Specifically, we need to pick either 'positive' or 'negative' classes, ergo, ignoring Tweet-instances with the 'neutral' label. In my implementation, I will be using a test set of
- 2. Using Prompt Engineering, we will have each of the *Multilingual LLMs* attempt to predict the sentiment, neither 'positive' nor 'negative', of each of the Tweet-instances in our test set, for each language.

Results & Analysis We will, of course, be comparing the two *Multilingual LLMs* by the metrics such as the *F1-score* or other relevant metrics like from our previous assignments we've

explored this lovely Fall term.

Specific to this assignment, A4, we will be focusing on **answering the following questions** through our exploration of the two aforementioned MLLMs - and the results and analysis from our exploration:

- 1. "How do the two LLMs perform?" Which one is better? Any possible explanation?"
- 2. "Comparing the results across the six different languages, what do you observe? Any possible explanation?"
- 3. "What challenges did you face?"

#### Requirements

#### 1.1.1 Libraries & Constants Initialization

```
[1]: | ##### CS410: Natural Language Processing, Fall 2023 - 11/13/2023
     ##### A3: Pretrained Language Models (PLMs), Dan Jang - Initializations:
      →Libraries, Models, Data, & Constants
     ### 0.) Libraries
     #from sklearn.naive_bayes import GaussianNB
     from sklearn.linear_model import LogisticRegression
     from sklearn.metrics import accuracy_score
     from sklearn.metrics import confusion_matrix
     from sklearn.metrics import f1_score
     from sklearn.metrics import classification_report
     from sklearn.model selection import train test split
     from transformers import AutoTokenizer, AutoModel
     import torch
     import json
     import pandas
     #import huggingface_hub
     import numpy as np
     import random
```

#### 1.1.2 Formatted JSON Data Loading

```
'predicted_rating_chatgpt': 0, # My predicted sentiment rating (0 = ___
⇔negative)
                                                             'predicted_rating_llama': None,
                      },
                      {
                                                             'prompt': "\u0646\u0648\u0627\u0644
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4 \u0639\u0627\u062f\u064a".
                                                             'actual_rating': "2",
                                                             'predicted_rating_chatgpt': 2, # My predicted sentiment rating (2 = ___
⇔positive)
                                                            'predicted_rating_llama': None,
                      },
                                                               'prompt': "\u0644\u0645\u0627\u0642\u0627\u0644\u062a_
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\hookrightarrow \ud83d\ude02\ud83d\ude02\ud643\u0627\u0646\L
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→\u0627\u0647\u0627\u0646\u0629\u0645\u0634\u062b\u0646\u0627\u0621 http",
                                                             'actual_rating': "0",
                                                             'predicted_rating_chatgpt': 0, # My predicted sentiment rating (0 = ___
→negative)
                                                            'predicted rating llama': None,
                      },
                                                               'prompt': "@user \u062a\u0630\u0643\u0631\u0646\u064a_\
4 \times 0628 \times 0623 \times 0636 \times 0646 \times 0648 \times 0629 \times 0646 \times 0648 \times 0627 \times 0646 \times 0648 \times 0628 \times 0648 \times 0644 \times 064
_{\rm 4}\u0627\u0644\u0632\u063a\u0628\u064a\ \"\u0639\u064a\u0646\u064a\u0643\ \ \ }
4 \u0643\u062f\u0627\u0628\u064a\u0646\""
                                                             'actual rating': "2",
                                                             'predicted_rating_chatgpt': 2, # My predicted sentiment rating (2 = ___
⇔positive)
                                                             'predicted_rating_llama': None,
                      },
                       {
```

```
'prompt': "\u0628\u0644\u0627 \u062a\u0634\u0641\u064a\u0631-__
4 \u0623\u0645\u0644 \u062d\u0645\u0627\u062f\u064a_{11}
_{\circ}\u0646\u0648\u0627\u0644\u0632\u063a\u0628\u064a:\"_{\sqcup}
\Rightarrow \u0646\u062a\u064a... http",
                   'actual rating': "0",
                   'predicted_rating_chatgpt': 0, # My predicted sentiment rating (0 = ___
→negative)
                   'predicted_rating_llama': None,
       },
       {
                   'prompt': "\u0641\u0646\u0627\u0646\u0629
_{4}\u0644\u0628\u0646\u0627\u0646\u064a\u0629\u0643\u0628\u064a\u0631\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0629\u0643\u0643\u0629\u0643\u0643\u0629\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u0643\u064
_{\rm 4}\u0635\u0648\u062a\u0647\u0627\ \u0625\u0633\u0637\u0648\u0631\u064a\ \u061f!_{\rm L}
4\u0645\u0627\u062c\u062f\u0629_\u0627\u0644\u0631\u0648\u0645\u064a
4 \ u0646 \ u062c \ u0648 \ u0649 \ u0643 \ u0631 \ u0645 \ Quser
-#\u0646\u0648\u0627\u0644\u0627\u0644\u0632\u063a\u0628\u064a @user__
4 \u062c\u0648\u0644\u064a\u0627\u0628\u0637\u0631\u0633"
                   'actual_rating': "2",
                   'predicted_rating_chatgpt': 2, # My predicted sentiment rating (2 = ___
⇔positive)
                   'predicted rating llama': None,
       },
                   'prompt': "#\u0644\u0628\u0646\u0627\u0646\u064a\u
```

#### 1.1.3 Main Implementation: Metrics & Performance

```
[2]: ##### CS410: Natural Language Processing, Fall 2023 - 11/13/2023
     ##### A3: Pretrained Language Models (PLMs), Dan Jang - Main Implementation
     #### Objective: Exploring Natural Language Processing (NLP), by building a_{\sqcup}
      ⇔text-classifier
     #### for a text classification task, predicting whether a piece of text is i
      → "positive" or "negative."
     #### ...focusing on two (2) pretrained language models (PLMs),
     #### ...BERT (Bidirectional Encoder Representations from Transformers) &
      →OpenAI's GPT-2 (Generative Pretrained Transformer),
     #### ...and using the respective toenizers to each PLM to perform the
      →text-classification task as aforementioned
     ### 1.1.a) Logistic Regression algorithm using sklearn.linear_model.
      \hookrightarrowLogisticRegression
     ### https://scikit-learn.org/stable/modules/generated/sklearn.linear_model.
      \hookrightarrow Logistic Regression. html
     ### Returns four (4) thingys:
     # I.) accuracy_score,
```

```
# II.) f1_score,
# III.) confusion matrix,
# & IV.) classification_report.
def plm(model, xtrain, ytrain, xtest, ytest):
   lreg = LogisticRegression()
   lreg.fit(xtrain, ytrain)
   predictionresults = lreg.predict(xtest)
   return accuracy_score(ytest, predictionresults), f1_score(ytest,__
 ⇔predictionresults), confusion_matrix(ytest, predictionresults),⊔
 Graduation_report(ytest, predictionresults)
### A3-Specific: Implementation functions for implementing the two pretrained
 ⇔language models, BERT & GPT-2.
## Pretrained Language Model (PLM) Tokenizer Implementation Function
def plmodel(words, model, tokenizer):
    if tokenizer.pad_token is None:
       raise ValueError("[Debug A3.1 - PLModel()]: Tokenizer has no padding∟
 ⇔token for the current model!")
   wordlist = tokenizer(words, return_tensors="pt", truncation=True,
 →padding=True)
   with torch.no_grad():
        results = model(**wordlist)
   return results.last_hidden_state.mean(dim=1).squeeze().numpy()
    # wordlist = words.split()
    # vecs = [model[w] for w in wordlist if w in model]
    # if vecs:
         return sum(vecs) / len(vecs)
    # else:
         return [0] * model.vector_size
# ## GPT(ransformer)-2 Model Implementation Function
# def bumblebee(words, model):
      beds = [avgwordvec(w, model) for w in words]
      return beds
def main(): #trainfile, testfile):
   print("Welcome, this is the main program for A3: Pretrained Language Models.
   print("Written by Dan J. for CS410: Natural Language Processing, Fall 2023.
 ")
```

```
print("\nWe will use two (2) pretrained language models (PLM), BERT & GPT-2.

¬\n...to create a text-classifier to guess negative or positive

□

sentimentiality based on various text-reviews of products.")
  # 1.0.I.A) Debug Statements #1a for dataset loading times:
  print("\nLoading the training & testing datasets...")
  # with open(trainfile, "r") as trainfile:
  with open("sentiment_train.json", "r") as trainfile:
       #traindata = json.load(trainfile)
      for row in trainfile:
           traindata.append(json.loads(row))
  trainframe = pandas.DataFrame(traindata)
  # with open(testfile, "r") as testfile:
  with open("sentiment_test.json", "r") as testfile:
       #testdata = json.load(testfile)
      for row in testfile:
           testdata.append(json.loads(row))
  testframe = pandas.DataFrame(testdata)
  # 1.0.I.B) Debug Statements #1b for dataset loading times:
  print("Successfully loaded the training & testing datasets!\n")
  ## 1.0.1.) Initial Preprocessing of the training & testing data
  ## First, we isolate our two (2) columns, "review_title" & "stars."
   ## Second, we will convert values in the "stars" column so that 1_{\sqcup}
\hookrightarrow [negative] = 0 & 5 [positive] = 1.
   ## This will allow us to make the negative or positive sentiment a binary \Box
⇔value-based thingy.
  trainframe = trainframe[['review_title', 'stars']]
  trainframe['stars'] = trainframe['stars'].apply(lambda x: 1 if x == 5 else_
⇔0)
  testframe = testframe[['review_title', 'stars']]
  testframe['stars'] = testframe['stars'].apply(lambda x: 1 if x == 5 else 0)
  ## A3-Specific: From our Slack channel (#nlp_f23), using tip to only use
→25% of training dataset, evenly split
   ## Credits to Classmate Will McIntosh from the Slack thread started by \Box
⇔classmate Saurav Kumar Singh
   ## & also, full credits to classmate Will McIntosh for the following code_
→ for GPU usage:
  #### Credits to Will McIntosh (11/11/2023):
```

```
# Testing
  print(f"Is a GPU available: {torch.cuda.is_available()}")
   #print(f"Is this instance using a GPU?: {next(model.parameters()).is_cuda}")
   #### From Slack, #nlp_f23.
  trainframe = trainframe.sample(frac=1, random_state=69)
  trainframe = trainframe.iloc[:int(0.25 * len(trainframe))]
  # y2train = trainframe['stars']
  # print("[A3 Debug Size-Print #3] y2train", len(y2train))
  ytest = testframe['stars']
  # print("[A3 Debug Size-Print #4] ytest", len(ytest))
  ## Evenly split frames
  x3train1, x3train2 = train_test_split(trainframe, test_size=0.5,_
→random_state=69)
  y3train1 = x3train1['stars']
  y3train2 = x3train2['stars']
  #print("[A3 Debug Size-Print #1] x3train1 & x3train2", len(x3train1),
\hookrightarrow len(x3train2))
  ## A3-Specific: Applying BERT & GPT-2 PLM Specific Tokenization
  print("Tokenizing the training & testing datasets for BERT...")
  x2train1 = x3train1['review_title'].apply(lambda x: plmodel(x, bertie, u
⇔bertie_tokens))
   \#x2train1 = trainframe['review_title'].apply(lambda x: plmodel(x, bertie, ____
⇔bertie tokens))
  x2test1 = testframe['review_title'].apply(lambda x: plmodel(x, bertie,__
⇔bertie_tokens))
  print("BERT Tokenization has been applied to its training & testing⊔

datasets!")

  #print("[A3 Debug Size-Print #2a] x2train1 & x2test1", len(x2train1), u
\rightarrow len(x2test1))
  print("Tokenizing the training & testing datasets for GPT-2...")
  x2train2 = x3train2['review_title'].apply(lambda x: plmodel(x, bumblebee, ____
⇔bumblebee_tokens))
   \#x2train2 = trainframe['review_title'].apply(lambda x: plmodel(x, u)
⇒bumblebee, bumblebee_tokens))
  x2test2 = testframe['review_title'].apply(lambda x: plmodel(x, bumblebee, __
⇒bumblebee tokens))
  print("GPT-2's tokenization has been applied to its training & testing ⊔

datasets!")
```

```
#print("[A3 Debug Size-Print #2b] x2train2 & x2test2", len(x2train2),
 \hookrightarrow len(x2test2))
   ### 1.0.2b) Run Text-Classification Algorithms & Print the Model Results
   print("----\n")
   print("Running text-classification model le training & testing datasets,
 ⇔(with pretrained language models, BERT & GPT-2)...")
   print("Running Logistic Regression algorithm, version A.) BERT...")
   bed1accuracy, bed1f1, bed1cmatrix, bed1creport = plm(bertie, x2train1.
 →tolist(), y3train1, x2test1.tolist(), ytest)
   print("...The data processing from our first PLM, BERT, is done!")
   print("Running Logistic Regression algorithm, version B.) GPT-2...")
   bed2accuracy, bed2f1, bed2cmatrix, bed2creport = plm(bumblebee, x2train2.
 ⇔tolist(), y3train2, x2test2.tolist(), ytest)
   print("..The data processing from our second PLM, GPT-2, is done!")
   print("...All Done!")
   print("----\n")
   print("Here are le results [Logistic Regression, with comparative results⊔
 ⇔between two (2) PLMs, BERT & GPT-2]...\n")
   print("Logistic Regression Algorithm, Version A: BERT Pretrained Language⊔
 -Model-based Text-Classification Performance, Metrics, & Results:")
   print("...Accuracy was found to be, ", bed1accuracy * percentness, "%,")
   print("...F1 Score was found to be: ", bed1f1, ",")
   print("...with a Confusion Matrix: \n", bed1cmatrix, ",")
   print("...& lastly, the classification Report: \n", bed1creport)
   print("----\n")
   print("Logistic Regression Algorithm, Version B: GPT-2 Pretrained Language⊔
 →Model-based Text-Classification Performance, Metrics, & Results:")
   print("...Accuracy was found to be, ", bed2accuracy * percentness, "%,")
   print("...F1 Score was found to be: ", bed2f1, ",")
   print("...with a Confusion Matrix: \n", bed2cmatrix, ",")
   print("...& lastly, the classification Report: \n", bed2creport)
   print("----\n")
if __name__ == "__main__":
   main()
```

Welcome, this is the main program for A3: Pretrained Language Models. Written by Dan J. for CS410: Natural Language Processing, Fall 2023.

We will use two (2) pretrained language models (PLM), BERT & GPT-2. ...to create a text-classifier to guess negative or positive sentimentiality based on various text-reviews of products. Loading the training & testing datasets... Successfully loaded the training & testing datasets! Is a GPU available: False Tokenizing the training & testing datasets for BERT... BERT Tokenization has been applied to its training & testing datasets! Tokenizing the training & testing datasets for GPT-2... GPT-2's tokenization has been applied to its training & testing datasets! Running text-classification model le training & testing datasets (with pretrained language models, BERT & GPT-2)... Running Logistic Regression algorithm, version A.) BERT... c:\tools\miniconda3\lib\site-packages\sklearn\linear\_model\\_logistic.py:460: ConvergenceWarning: lbfgs failed to converge (status=1): STOP: TOTAL NO. of ITERATIONS REACHED LIMIT. Increase the number of iterations (max\_iter) or scale the data as shown in: https://scikit-learn.org/stable/modules/preprocessing.html Please also refer to the documentation for alternative solver options: https://scikit-learn.org/stable/modules/linear\_model.html#logisticregression n\_iter\_i = \_check\_optimize\_result( .. The data processing from our first PLM, BERT, is done! Running Logistic Regression algorithm, version B.) GPT-2... .. The data processing from our second PLM, GPT-2, is done! ...All Done! Here are le results [Logistic Regression, with comparative results between two (2) PLMs, BERT & GPT-2]... Logistic Regression Algorithm, Version A: BERT Pretrained Language Model-based Text-Classification Performance, Metrics, & Results: ...Accuracy was found to be, 91.55 %, ...F1 Score was found to be: 0.9158785465405676 , ...with a Confusion Matrix: [[911 89] [ 80 920]], ...& lastly, the classification Report:

0.92

support

1000

recall f1-score

0.91

precision

0.92

0

| 1            | 0.91 | 0.92 | 0.92 | 1000 |
|--------------|------|------|------|------|
| accuracy     |      |      | 0.92 | 2000 |
| macro avg    | 0.92 | 0.92 | 0.92 | 2000 |
| weighted avg | 0.92 | 0.92 | 0.92 | 2000 |

----

Logistic Regression Algorithm, Version B: GPT-2 Pretrained Language Model-based Text-Classification Performance, Metrics, & Results:

...Accuracy was found to be, 87.4 %,

...F1 Score was found to be: 0.872598584428716 ,

...with a Confusion Matrix:

[[885 115]

[137 863]],

...& lastly, the classification Report:

|              | precision | recall | f1-score | support |
|--------------|-----------|--------|----------|---------|
| 0            | 0.87      | 0.89   | 0.88     | 1000    |
| 1            | 0.88      | 0.86   | 0.87     | 1000    |
| accuracy     |           |        | 0.87     | 2000    |
| macro avg    | 0.87      | 0.87   | 0.87     | 2000    |
| weighted avg | 0.87      | 0.87   | 0.87     | 2000    |

----

c:\tools\miniconda3\lib\site-packages\sklearn\linear\_model\\_logistic.py:460:
ConvergenceWarning: lbfgs failed to converge (status=1):
STOP: TOTAL NO. of ITERATIONS REACHED LIMIT.

Increase the number of iterations (max\_iter) or scale the data as shown in:
 https://scikit-learn.org/stable/modules/preprocessing.html

Please also refer to the documentation for alternative solver options:

 $\verb|https://scikit-learn.org/stable/modules/linear_model.html#logistic-regression| \\$ 

n\_iter\_i = \_check\_optimize\_result(

# 1.1.4 A3: Pretrained Language Models (PLMs), Text-Classification Model Performance Analysis & Discussion

Initial Data Results, Metrics, & Analysis Using the Logistic Regression Algorithm like previously for A2, this algorithm was used to implement our text-classification model, for the text-classification task in both  $Version\ A\ \&\ B$ .

As shown below,  $Version\ A$  featured  $Google\ AI$ 's BERT model &  $Version\ B$  featured OpenAI's GPT-2 model - where we saw the following results:

#### Version A - BERT Pretrained Language Model (PLM) Results:

Accuracy: ~91.6%

F1 Score: 0.9158785465405676

Confusion Matrix:

[[911 89] [ 80 920]]

#### Classification Report:

|             | precision | recall | f1-score | support |
|-------------|-----------|--------|----------|---------|
| 0           | 0.92      | 0.91   | 0.92     | 1000    |
| 1           | 0.91      | 0.92   | 0.92     | 1000    |
| accuracy    |           |        | 0.92     | 2000    |
| macro avg   | 0.92      | 0.92   | 0.92     | 2000    |
| weighted av | g 0.92    | 0.92   | 0.92     | 2000    |

#### Version B - GPT-2 Pretrained Language Model (PLM) Results:

Accuracy: 87.4%

F1 Score: 0.872598584428716

Confusion Matrix:

[[885 115] [137 863]]

#### Classification Report:

|             | precision | recall | f1-score | support |
|-------------|-----------|--------|----------|---------|
| 0           | 0.87      | 0.89   | 0.88     | 1000    |
| 1           | 0.88      | 0.86   | 0.87     | 1000    |
| accuracy    |           |        | 0.87     | 2000    |
| macro avg   | 0.87      | 0.87   | 0.87     | 2000    |
| weighted av | g 0.87    | 0.87   | 0.87     | 2000    |

Pretrained Language Model (PLM) Comparative Analysis & Discussion From the results above, we see that both *Google AI*'s *BERT & OpenAI*'s *GPT-2 PLMs* faired very well in accuracy, of ~91.6% & 87.4% respectively.

Between these two PLMs, BERT & GPT-2, we can see that from our performance results that there is/was a slight, low difference of accuracy, where BERT has a **higher accuracy** of ~4.2% in comparison to GPT-2.

Also, by looking at the respective Confusion Matrices for our two **PLMs**, we can see that **BERT**, of course, was able to classify sentiment more accurately than **GPT-2**, but we also can observe that **BERT** seems to be a little bit more efficient in detecting negative sentimentality vs. **GPT-2** 

lean towards *positive* sentimentality - however, *GPT-2*'s decreased accuracy in detecting *negative* sentiment seems to be more significant in magnitude compared to the aforementioned, *negative* over *positive* sentimentality bias, of *BERT*.

Interestingly, a possible scenario where this supposed sentimentality bias in our text-classification task may present itself as to yield a different result, at least for accuracy & the F1 score, may lie in how the positive & negative sentiment composition during the processing of our training & testing dataset, particularly, at the time of randomized splitting. Specifically, if we had somehow chosen a random seed in our splitting code, that supposedly had much more positive or negative sentimentality in the composition of the reviews, we could have seen variations from our initial performance & results as described above.

Ergo, between *Google AI*'s *BERT & OpenAI*'s *GPT-2 PLMs*, *BERT* seems to be a clearly more suitable model, at least for the text-classification task & model with our assignment's training & dataset, "*Multilingual Amazon Reviews Corpus*."

Analysis of Current & Previous Text-Classification Models & Performance Results from A1 & A2 In comparison to the performance seen from A2: Word2Vec & GloVe Embeddings, both Google AI's BERT & OpenAI's GPT-2 PLMs outperformed the accuracies & F1 scores from either Word2Vec or GloVe pretrained embeddings - where we recall the A2 results as follows:

A2: Version A, Word2Vec Model:

Accuracy: ~86.3%,

F1 Score: 0.86105476673428

Confusion Matrix:

[[1754 246] [ 302 1698]]

#### Classification Report:

|             | precision | recall | f1-score | support |
|-------------|-----------|--------|----------|---------|
| 0           | 0.85      | 0.88   | 0.86     | 2000    |
| 1           | 0.87      | 0.85   | 0.86     | 2000    |
| accuracy    |           |        | 0.86     | 4000    |
| macro avg   | 0.86      | 0.86   | 0.86     | 4000    |
| weighted av | g 0.86    | 0.86   | 0.86     | 4000    |

A2: Version B, GloVe Model:

Accuracy: ~69.69%,

F1 Score: 0.7313829787234042

Confusion Matrix:

[[1138 862] [ 350 1650]]

Classification Report:

|             | precision | recall | f1-score | support |
|-------------|-----------|--------|----------|---------|
| 0           | 0.76      | 0.57   | 0.65     | 2000    |
| 1           | 0.66      | 0.82   | 0.73     | 2000    |
| accuracy    |           |        | 0.70     | 4000    |
| macro avg   | 0.71      | 0.70   | 0.69     | 4000    |
| weighted av | g 0.71    | 0.70   | 0.69     | 4000    |

Although, we do see only a  $slight \sim 1.1\%$  accuracy improvement when we compare results from Word2Vec vs. GPT-2.

However, this is a neat & expected observation, as we can recall that both Word2Vec & GPT-2 are suitable or otherwise geared towards the generation of text, rather than the specificity of text-classification required for efficiency & performance with our Amazon review sentimentality prediction task. As expected, contrarywise, as the results from the implementation of GloVe pretrained embeddings & the BERT PLM show higher performance & accuracy compared to the Word2Vec pretrained embeddings & GPT-2 PLM, we could also make the observation of this supposed higher efficiency & efficacy by recalling that the GloVe pretrained embeddings were also designed & trained to create an unsupervised learning algorithm, with training that "performed on aggregated global word-word co-occurence statistics" (Pennington, et al., 2014), which, like the nature of BERT's greater suitability towards text-classification tasks, could explain these higher accuracies & better performance as observed from our previous A2 & most current, A3 results.

Recalling further, note that from our first assignment, A1: Sentiment Analysis Text Classification, we saw the following results from using two (2) different algorithms to implement the text-classification model, specifically, the Logistic Regression & the Gaussian Näive Bayes Algorithms:

From A1 Results: Version A: Gaussian Näive Bayes Algorithm:

Accuracy: ~59.2%

F1 Score: 0.3664596273291925

CConfusion Matrix:

[[948 52] [764 236]]

#### Classification Report:

| precision | recall               | f1-score                            | support  |
|-----------|----------------------|-------------------------------------|--|
| 0.55      | 0.95                 | 0.70                                | 1000   |
| 0.82      | 0.24                 | 0.37                                | 1000   |
|           |                      | 0.59                                | 2000   |
| 0.69      | 0.59                 | 0.53                                | 2000   |
| g 0.69    | 0.59                 | 0.53                                | 2000   |
|           | 0.55<br>0.82<br>0.69 | 0.55 0.95<br>0.82 0.24<br>0.69 0.59 | 0.55 0.95 0.70<br>0.82 0.24 0.37<br>0.59<br>0.69 0.59 0.53 |

From A1 Results: Version B: Logistic Regression Algorithm:

Accuracy: 92.7%

F1 Score: 0.9272908366533865

Confusion Matrix: [[923 77] [ 69 931]]

#### Classification Report:

|              | precision | recall | f1-score | support |
|--------------|-----------|--------|----------|---------|
| 0            | 0.93      | 0.92   | 0.93     | 1000    |
| 1            | 0.92      | 0.93   | 0.93     | 1000    |
| accuracy     |           |        | 0.93     | 2000    |
| macro avg    | 0.93      | 0.93   | 0.93     | 2000    |
| weighted ava | g 0.93    | 0.93   | 0.93     | 2000    |

This is interesting, as while it appears that using **PLMs** over **pretrained embeddings** yielded much significant improvements in both accuracy & performance, the results from using only the Logistic Regression Algorithm with no classifiers had yielded a 92.7% accuracy, which still stands as one of the most accurate results from our three (3) assignments.

However, this observation & other possible variations that can be observed, in performance & results, may be attributed to both the nature of text-tokenization, usage of classifiers, & particularly, that in the implementation of the first text-classification model of our A1 assignment, I had trained the text-classification model cases with the **full**, **eighty-thousand** (80,000) rows of training **data**, while in our current A3 implementation, the training data for both the **BERT** & **GPT-2 PLMs** were truncated randomly (with a shared random seed of 69) to a quarter-percent (25%) of the original number of rows, or twenty-thousand (20,000) rows of training data.

Text-Classification Challenges & Limitations The initial & biggest challenge to implement these pretrained language models (PLMs) was the very significantly resource & time-intensive requirements for the computation, tokenization, & training of the two (2) PLMs used.

With the current version of code & implementation, in average, it appeared that **BERT** took around two-to-eight (2-to-8) minutes, while **GPT-2** took longer, around five-to-fifteen (5-to-15) minutes long. Both, on average, usually took around fifteen-to-thirty (15-to-30) minutes to fully complete.

However, in the earlier stages of coding & implementation, particularly before truncating the training & testing datasets to the currently-set percentage of twenty-five percent (25%) of the original amount of rows/lines of text, the average varied wildly, with completion durations taking *hours*, upon hours to complete or were stopped prior to completion due to time constraints.

Furthermore, even post-truncation adjustments, the pretraining of the two *PLMs*, in terms of computational power & resources, were very intensive, which had caused *multiple*, full computer crashes, leading to a lot of processing delays & mental energy to continue implementing.

Discussion for Future Performance & Efficacy Improvements With the issue of computational resources & the prevention of computer crashes, would be, of course, to finally sign-up for the student discounted *Google Cloud* subscription for using high-resource, cloud computing in *Google Colaboratory*, where NLP compatible, GPU-acceleration is available to expedite *PLM*, model training, or otherwise for running intensive NLP code & tasks. As such, this specific idea

for future performance & efficacy improvement will be implemented immediately following this current assignment, A3, where I will go ahead & attempt to set-up Google Colaboratory for use to hopefully, avoid the aforementioned resource & crash pitfalls for A4 and our Group Project, heh.

Furthermore, I should attempt to start early & try to implement for assignments in smaller codeblocks/pieces.

#### 1.1.5 References & Resources

#### Libraries & Dependencies

numpy pandas torch

random

HuggingFace hub

Google AI's BERT

OpenAI's GPT-2

HuggingFace's transformers

from transformers import AutoModel(s)

from transformers import AutoTokenizer

from transformers import AutoTokenizer.from pretrained, AutoModel.from pretrained

sklearn.linear model.LogisticRegression

sklearn.model selection.train test split

sklearn.metrics.fl\_score

sklearn.metrics.accuracy\_score

sklearn.metrics.confusion matrix

sklearn.metrics.classification\_report

nbconvert

References & Credits [1] P. Keung, Y. Lu, G. Szarvas, and N. A. Smith, "The Multilingual Amazon Reviews Corpus." arXiv, Oct. 06, 2020. doi: 10.48550/arXiv.2010.02573.

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- [4] "Llama 2: Open Foundation and Fine-Tuned Chat Models | Research AI at Meta." [Online]. Available: https://ai.meta.com/research/publications/llama-2-open-foundation-and-fine-tuned-chat-models/
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- [11] "HuggingChat." [Online]. Available: https://huggingface.co/chat
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- [13] H. Touvron et al., "LLaMA: Open and Efficient Foundation Language Models." arXiv, Feb. 27, 2023. doi: 10.48550/arXiv.2302.13971.
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- [17] "Llama 2 vs. GPT-4: Nearly As Accurate and 30X Cheaper," Anyscale. [Online]. Available: https://www.anyscale.com/blog/llama-2-is-about-as-factually-accurate-as-gpt-4-for-summaries-and-is-30x-cheaper?ref=promptengineering.org

Credits to GitHub Copilot & ChatGPT for code implementation assistance.

**Special Thanks** Thanks to fellow classmate *Will McIntosh* for their helpful tips & tricks for resource management particularly for PLMs in the current A3 assignment, from the  $\#nlp_f23$  class-channel on pdx-cs Slack, November 11th, 2023.

```
[2]: ##### Juypter Notebook -> PDF Conversion thingy
#!pip install nbconvert
!jupyter nbconvert a4-Multilingual-Large-Language-Models-dan-jang --to pdf
```

```
[NbConvertApp] Converting notebook a4-Multilingual-Large-Language-Models-dan-
jang.ipynb to pdf
[NbConvertApp] Writing 106548 bytes to notebook.tex
[NbConvertApp] Building PDF
[NbConvertApp] Running xelatex 3 times: ['xelatex', 'notebook.tex', '-quiet']
[NbConvertApp] CRITICAL | x failed: xelatex notebook.tex -quiet
```

```
dvipdfmx:fatal: Image inclusion failed. Could not find file:
GPT4-3.5-vs.-LLaMA-2-{[}16-17{]}.png
No output PDF file written.
Sorry, but xelatex did not succeed.
The log file hopefully contains the information to get MiKTeX going again:
 C:\Users\Dan\AppData\Local\MiKTeX\miktex\log\xelatex.log
Traceback (most recent call last):
 File "C:\tools\miniconda3\lib\runpy.py", line 196, in _run_module_as_main
   return _run_code(code, main_globals, None,
 File "C:\tools\miniconda3\lib\runpy.py", line 86, in _run_code
    exec(code, run_globals)
 File "c:\tools\miniconda3\Scripts\jupyter-nbconvert.EXE\ main .py", line 7,
in <module>
 File "C:\tools\miniconda3\lib\site-packages\jupyter_core\application.py", line
277, in launch_instance
   return super().launch_instance(argv=argv, **kwargs)
 File "C:\tools\miniconda3\lib\site-packages\traitlets\config\application.py",
line 1053, in launch_instance
    app.start()
 File "C:\tools\miniconda3\lib\site-packages\nbconvert\nbconvertapp.py", line
369, in start
   self.convert notebooks()
 File "C:\tools\miniconda3\lib\site-packages\nbconvert\nbconvertapp.py", line
541, in convert_notebooks
    self.convert_single_notebook(notebook_filename)
 File "C:\tools\miniconda3\lib\site-packages\nbconvert\nbconvertapp.py", line
506, in convert_single_notebook
   output, resources = self.export_single_notebook(notebook_filename,
resources, input_buffer=input_buffer)
 File "C:\tools\miniconda3\lib\site-packages\nbconvert\nbconvertapp.py", line
435, in export_single_notebook
   output, resources = self.exporter.from_filename(notebook_filename,
resources=resources)
```

```
File "C:\tools\miniconda3\lib\site-packages\nbconvert\exporters\exporter.py",
line 190, in from_filename
   return self.from_file(f, resources=resources, **kw)
 File "C:\tools\miniconda3\lib\site-packages\nbconvert\exporters\exporter.py",
line 208, in from file
   return self.from_notebook_node(nbformat.read(file_stream, as_version=4),
resources=resources, **kw)
 File "C:\tools\miniconda3\lib\site-packages\nbconvert\exporters\pdf.py", line
183, in from_notebook_node
   self.run_latex(tex_file)
 File "C:\tools\miniconda3\lib\site-packages\nbconvert\exporters\pdf.py", line
153, in run_latex
   return self.run_command(self.latex_command, filename,
 File "C:\tools\miniconda3\lib\site-packages\nbconvert\exporters\pdf.py", line
141, in run_command
   raise raise_on_failure(
nbconvert.exporters.pdf.LatexFailed: PDF creating failed, captured latex output:
Failed to run "xelatex notebook.tex -quiet" command:
dvipdfmx:fatal: Image inclusion failed. Could not find file:
GPT4-3.5-vs.-LLaMA-2-{[}16-17{]}.png
No output PDF file written.
Sorry, but xelatex did not succeed.
The log file hopefully contains the information to get MiKTeX going again:
 C:\Users\Dan\AppData\Local\MiKTeX\miktex\log\xelatex.log
```