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```
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The repository principally contains the compiled products rather than the source for size reasons.

- Associated Python code (as Jupyter notebooks mostly) will be held on the same repository. The source data however might be big, so I am going to be naughty and possibly just refer you to where you might get the data if that is the case (e.g. JRA-55 data). I know I should make properly reproducible binders etc., but I didn't...
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# OCES 2003 : Descriptive Physical Oceanography

(a.k.a. physical oceanography by drawing pictures)

Lecture 16: Dynamics 2 (waves and dynamic mechanisms)

Thur 8<sup>th</sup> Apr



#### Outlook of the next few lectures

#### Dynamics important, next few lectures on

- waves (this Lec. + 16, 18) and instabilities (Lec. 17)
  - → because waves are easier to talk about without maths...

#### Highlight gross features (i.e. those that can be drawn...)

- ▶ how to describe waves (Lec. 15)
- types of waves (Lec. 16)
  - $\rightarrow$  consequence + leading to instabilities
- ▶ instabilities (Lec. 17)
  - → parcel-type (mechanistic) arguments for instability
- ▶ tides (particularly as internal gravity waves) (Lec. 18)

#### Outline

- gravity waves
  - $\rightarrow$  gravity/buoyancy as restoring mechanism ( $\sqrt{gH}$ )
- ▶ inertial waves
  - $\rightarrow$  Coriolis as restoring mechanism (f)
  - $\rightarrow$  e.g. Rossby waves, Kelvin waves
- ▶ inertial-gravity + internal waves  $(\sqrt{gH} \text{ or } N, \text{ and } f)$ 
  - $\rightarrow$  extra depth dimension to deal with
  - $\rightarrow$  Brunt–Väisälä or buoyancy frequency N
- propagation mechanism (Rossby wave example)
  - → kinematic argument with **vorticity**

**Key terms**: buoyancy frequency, gravity waves, inertial waves, Rossby waves, Kelvin waves, vorticity inversion



### Recap: what goes down must come up

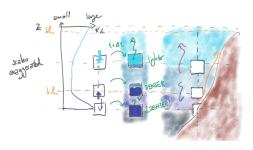


Figure: Schematic of the diffusive upwelling.

▶ diapycnal mixing contribute upwelling, strongest in boundary layers
 → broad diffusive boundary intensified upwelling

#### what causes the bounary intensification of $\kappa_d$ ? dynamics!

- at the surface, lots of things... (convection, waves, Langmuir turbulence etc.)
- ▶ at the bottom, probably tidal conversion (Lec. 18)  $\rightarrow$  internal gravity waves (Lec. 16)  $\rightarrow$  shear instabilities (Lec. 17)



### Recap: waves and dispersion relation

- waves are ubiquitous physical features
  - $\rightarrow$  depends on physics
- wave described by the dispersion relation  $\omega = \mathcal{F}(k)$ 
  - $\rightarrow$  physics dictate the form of  $\mathcal{F}$









Figure: Examples of systems supporting waves. All figures from Wikipedia except the cello one



Figure: Gravity waves with signal at the sea surface (as darker and lighter bands). Taken at HKUST.

- ► (linear) waves can interfere with each other
  - → constructive or destructive
  - → interference can lead to steepening and breaking ("becoming"

### Recap: wave propagation

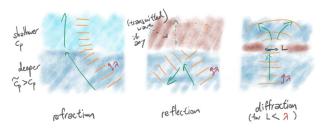


Figure: Schematic of refraction, reflection (and transmission), and diffraction. See Lec. 15.

**b** phase speed (in a direction) and group velocity as (note  $\omega = \mathcal{F}(k)$ )

$$c_{p,x} = \frac{\omega}{k}, \qquad c_{g,x} = \frac{\partial \omega}{\partial k}$$

- → individual wave vs. wavepacket behaviour
- $\rightarrow$  contribute to wave phenomenon (e.g. refraction from

$$c_p = c_p(x)$$



## Wave steepening and breaking



Figure: Schematic of mixing by (irreversible) wave breaking, with contours reconnecting leading to e.g. diapycnal mixing.

- growing waves by instability
  - $\rightarrow$  convective and/or shear (see Lec. 17)
  - → mixing of material **across** isopycnals after reconnection, leading to diapycnal mixing
- feedback onto MOC (see Lec. 14)

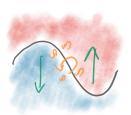


Figure: Velocity shear from waves can lead to mixing.

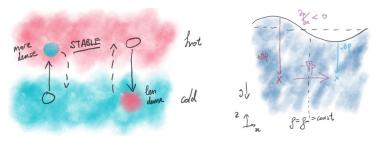


Figure: Gravity as restoring force. Pictures adapted from ones used in Lec. 7.

- deviation from resting isopycnal experiences restoring force from gravity (buoyancy)
  - → left case: internal isopycnal (as an **isotherm**)
  - $\rightarrow$  right case: sea surface is the isopycnal
- ▶ weak damping, restoring force, overshoots ⇒ oscillatory motion (up and down in this case)

For simplicity, consider a **homogeneous** (i.e.  $\rho = \text{const}$ ) layer of fluid (cf. Lec. 11 + 12, right case in previous Figure) until we get to internal waves

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In this instance, dispersion relation for gravity waves is given by (without derivation)

$$\omega^2 = gk \tanh(kH)$$
  $\Rightarrow$   $\omega = \pm \sqrt{gk \tanh(kH)}$ 

- ► H is water depth, and tanh = hyperbolic tangent, goes from -1 to 1
  - $\rightarrow$  note symmetry in both directions (the  $\pm$  sign)
  - ( $\omega \leq 0$  cases are just **shifts** in the **phase**)

$$\omega = \pm \sqrt{gk \tanh(kH)}$$

▶ for **deep** water waves ( $kH \gg 1$ ) and **shallow** water waves ( $kH \ll 1$ ),

$$\omega_{\mathrm{deep}} = \pm \sqrt{gk}, \qquad \omega_{\mathrm{shallow}} = \pm k\sqrt{gH}$$

- $\rightarrow kH \gg 1$  so  $tanh(kH) \rightarrow 1$
- $ightarrow kH \ll 1$  with  $anh(kH) pprox kH + O((kH)^3)$  (do a Taylor expansion)
- deep water waves are depth-independent and dispersive
- ▶ shallow water waves are slower in shallow waters  $(c_p \sim \sqrt{H})$  and non-dispersive  $(c_p = c_g)$



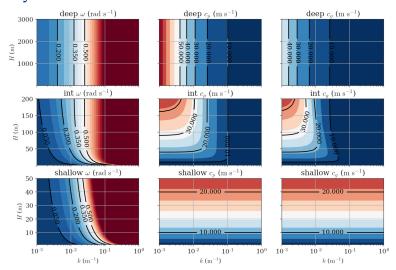
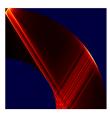


Figure: Water wave  $\omega$ ,  $c_p$  and  $c_g$  over (k,H) space, with k shown on a log axis. k chosen so wavelengths are roughly between 50 m to 5 km (recall  $k=2\pi/\lambda$ ). Also note the transitions from shallow to intermediate to deep are really to do with  $kH\sim H/\lambda$ . See waves.ipynb.

#### Inertial waves

Inertial waves has the Coriolis "force" act as the restoring force

- generic for rotating systems
  - $\rightarrow$  planetary interiors, stars, galactic disks
- mostly arise in context of internal waves
  - → at surface buoyancy effects can dominate
- ► limited in frequency by inertial frequency  $f_0$  (cf. Coriolis parameter)
  - → revisit later when talking about inertia-gravity waves



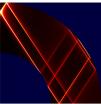


Figure: Inertial wave attractors in a homogeneous planetary interior at different tidal forcings. From Gordon Ogilvie (2009, Mon. Not. Royal. Astro. Soc).

### Inertial-Gravity waves

In reality Coriolis and buoyancy effects both contribute

- ▶ large-scale and/or slow  $\Rightarrow$  Coriolis important (because Ro  $\ll$  1), classify as inertial waves
  - $\rightarrow$  e.g. Rossby waves
- ightharpoonup small-scale and/or fast  $\Rightarrow$  Coriolis unimportant
  - $\rightarrow$  e.g. internal gravity waves
- somewhere in between? Poincaré or inertia-gravity waves

$$\omega = \pm \sqrt{f_0^2 + gH(k_x^2 + k_y^2)}$$

- $\rightarrow$  for  $gH(k_x^2 + k_y^2) \gg f_0$ , recover gravity waves
- $\rightarrow$  for  $gH(k_x^2 + k_y^2) \ll f_0$ , recover inertial waves



### Rossby deformation radius

$$\omega = \pm \sqrt{f_0^2 + gH(k_x^2 + k_y^2)}$$

boundary between gravity and inertial regimes is roughly

$$L_d = \frac{\sqrt{gH}}{f_0}$$

- the Rossby deformation radius (for shallow water system)
  - $\rightarrow$  roughly also the boundary where geostrophic approximation should hold (see Lec. 8 + 13)
  - $\rightarrow$  estimates in a few slides

introduce a useful quantity

$$N = \sqrt{-\frac{g}{\rho_0} \frac{\partial \rho}{\partial z}}$$

- ► Brunt–Väisälä or buoyancy frequency (units: s<sup>-1</sup>)
  - $\rightarrow N^2$  normally used
  - $\rightarrow$  note  $\partial \rho / \partial z < 0$  for stable stratification, i.e.

$$N^2 > 0$$

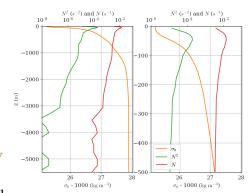


Figure:  $\sigma_0$  (see Lec. 6) and the associated  $N^2$  and N.  $N^2 \ll 1$  means weakly stratified (weak density gradients), whilst  $N^2 < 0$  shows unstable stratification (none in this case, but see Lec. 17). See plot-eos.ipynb.

simplistic view (!):  $\sqrt{gH} \rightarrow N$ 

Generally then, internal inertia-gravity waves described by

$$\omega = \pm \sqrt{\frac{f_0^2 k_z^2 + N^2 k_x^2}{k_x^2 + k_z^2}} \approx \pm \sqrt{f_0^2 + \frac{N^2 k_x^2}{k_z^2}} \quad \text{(for } |k_z| \gg |k_x|\text{)}$$

- ► atmosphere and ocean has  $N/f_0 = O(10^1 \text{ to } 10^2)$ 
  - $\rightarrow$  so really we have gravity waves influenced by rotation
  - → refer to them here as internal waves

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- ▶ note that  $|f_0| \le |\omega| \le |N|$ 
  - $\rightarrow$  since  $0 \le k_{x,z}^2/(k_x^2 + k_z^2) \le 1$
  - $\rightarrow$  frequency is **much lower** than gravity waves

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internal tides to be seen as internal waves (Lec. 18)



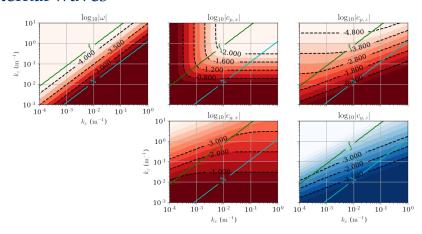
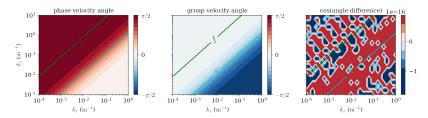


Figure: Inertial-gravity waves (with the  $k_z\gg k_x$  approximation)  $\omega$ ,  $c_{p,x}$ ,  $c_{p,y}$ ,  $c_{g,x}$  and  $c_{p,y}$  as a log-log plot in  $(k_x,k_z)$  space, with  $f=5\times 10^{-5}$  and  $N=3\times 10^{-3}$  (oceanic relevant values). The contours denote the exponent x of  $|10^x|$  and the colour shading denotes the sign (more blue = more negative actual values rather than exponents, more red = more positive actual values rather than exponents, since  $k_x$  and  $k_z$  is chosen to be positive, everything except  $c_{g,z}$  is positive. Contours of f and N plotted with an offset plotted to show the boundary beyond which everything is either gravity waves or inertial oscillations. See waves . ipynb.



**Figure:** Inertial-gravity waves (with the  $k_z \gg k_x$  approximation) phase velocity  $c_p$  angles and group velocity  $c_g$  angles (in radians, relative to the horizontal, and note  $\pi/2 = 90^{\circ}$ ). The final panel shows  $c_p \cdot c_g = |c_p||c_g|\cos\theta$  (which is zero up to rounding errors). Contours of f and N plotted with an offset plotted as in the previous diagram. See waves . i.pynb.

note that, for inertial-gravity waves (left as a bonus exercise),

$$c_p \cdot c_g = 0$$

ightarrow i.e. phase and group velocities are **perpendicular** to each other (see Lec. 4)



#### Deformation radius

 boundary given again by the Rossby deformation radius (for the continuously stratified case)

$$L_d = \frac{NH}{f}$$

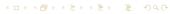
- $\rightarrow$   $L_{d,atmos} = O(1000 \text{ km})$ , scale of cyclones and anti-cyclones, i.e. weather systems form (synoptic structures)
- $\rightarrow$   $L_{d,ocean} = O(50 \text{ km})$ , scale of ocean eddies
- ▶ latitude (through *f*) and *H* dependent
  - $\rightarrow$  smaller  $L_d$  for **high** latitudes and **shallow** regions
  - → consequence for **geostrophic approximation?** (e.g. shelves and coasts, see Lec. 21 + 22)

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  - $\rightarrow$  consequence for **geostrophic approximation?** (e.g. shelves and coasts, see Lec. 21 + 22)
- internal  $L_d$  defined analogously (normally smaller than above)



### Kelvin waves (more on this in Lec. 18, 21 + 22)

#### A type of boundary wave

- ► need *f* and a **boundary** 
  - $\rightarrow$  could be land (coastal Kelvin waves) (see Lec. 18, 21 + 22)
  - $\rightarrow$  could be a wave guide (e.g. equator where f changes sign, equatorial Kelvin waves) (see OCES 4001, El-Niño, QBO etc.)
- needs f but propagates at the gravity wave speed, with

$$\omega = k\sqrt{gH}$$

- → non-dispersive
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- $\rightarrow$  fairly fast (gravity wave speed)
- NOTE the lack of ±!

### Kelvin waves (more on this in Lec. 18, 21 + 22)

 boundary introduces asymmetry in this case: general solution like

$$\eta \sim \mathrm{e}^{\pm f_0 y / \sqrt{gH}} \cos(kx - \omega t)$$

- $\rightarrow$  take  $y \le 0$  to be **boundary**, if  $f_0 > 0$  (NH), need minus sign, and vice-versa
- → wave propagates cyclonically (same sign as f)
- ▶ taking  $f_0 > 0$  (NH),

$$\eta \sim e^{-y/L_d} \cos(kx - \omega t),$$

so decay over the  $L_d = \sqrt{gH}/f_0$ 

#### Rossby waves (more on this later)

A (particularly important) type of **inertial** wave

- requires a gradient in background vorticity
  - $\rightarrow \partial f/\partial y = \beta$  (planetary case)
  - ightarrow background flow  $-\partial U/\partial y \sim \nabla \times u$  (see later and Lec. 17)
- dispersion relation given by (on  $\beta$ -plane)

$$\omega = -\frac{\beta k_x}{k_x^2 + k_y^2}$$

 $\rightarrow$  note that Rossby waves propagate to the **west** (more generally, **retrograde** or against the mean flow) Since

$$c_{p,x} = \frac{\omega}{k_x} = -\frac{\beta}{k_x^2 + k_y^2} < 0,$$

and **long** waves ( $k_x \ll 1$ ) are **fast**(er)



### Rossby waves (more on this later)

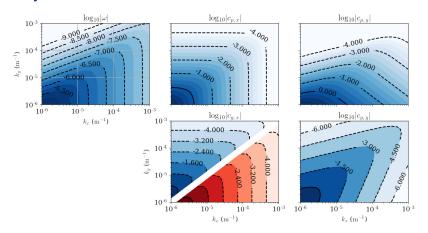


Figure: Rossby waves  $\omega$ ,  $c_{p,x}$ ,  $c_{p,y}$ ,  $c_{g,x}$  and  $c_{p,y}$  as a log-log plot in  $(k_x, k_y)$  space, with magnitude also as logs. The contours denote the exponent x of  $|10^x|$  and the colour shading denotes the sign (more blue = more negative actual values, more red = more positive actual values); since  $k_x$  and  $k_y$  is chosen to be positive, everything except  $c_{g,x}$  is negative. Choice of  $k_x$  and  $k_y$  correspond to wavelengths roughly between 6 km to 6000 km (Rossby waves are usually seen as planetary-scale waves). See waves.ipynb.

### Propagation mechanism: Rossby waves

Rossby waves propagate west-ward (or, more generally, retrograde)

$$c_{p,x} = \frac{\omega}{k_x} = -\frac{\beta}{k_x^2 + k_y^2} < 0$$
why?

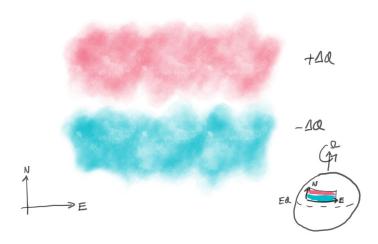
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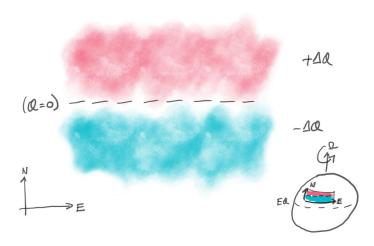
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$$c_{p,x} = \frac{\omega}{k_x} = -\frac{\beta}{k_x^2 + k_y^2} < 0$$
 why?

Key bits to the pictorial/parcel (cf. Lec 5 for temperature) argument:

- ► the initial wave conserves and carries vorticity (spini-ness, recall Lec. 4, 11, 12) into the external environments
  - → these are now vorticity anomalies
- vorticity anomalies induces a velocity/flow (because spini-ness)
- ▶ induced flow seen to self-advect the wave and move it to the West (retrograde in the general case)





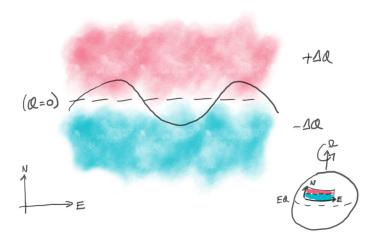
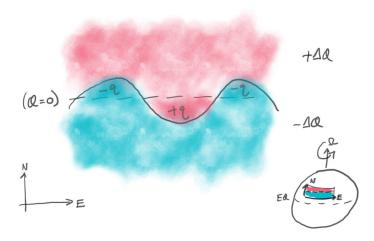
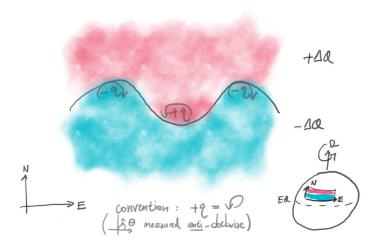
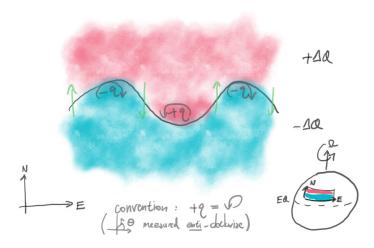


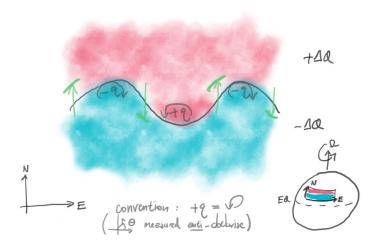
Figure: Rossby wave propagation schematic.

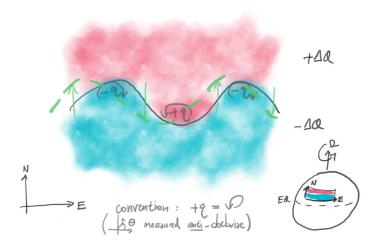




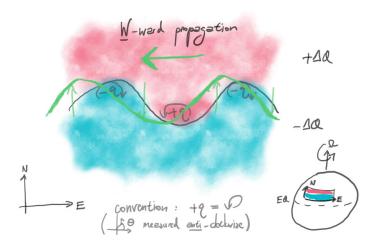














### Summary

- gravity waves (gravity/buoyancy)
- inertial waves (Coriolis)
- inertial-gravity waves (general)
  - $\rightarrow$  internal waves have

$$|f| \le |\omega| \le |N|$$

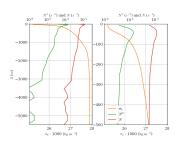


Figure:  $\sigma_0$  (see Lec. 6) and the associated  $N^2$ and N. See plot\_eos.ipvnb.

► Brunt–Väisälä or buoyancy frequency *N* 

$$N = \sqrt{-\frac{g}{\rho_0} \frac{\partial \rho}{\partial z}}$$

 $\rightarrow$  measure of stratification strength (see also Lec. 17)



### Summary

- parcel argument for west-ward
   Rossby wave propagation
  - → conservation of vorticity
  - → vorticity anomalies induces flow
  - $\rightarrow self\text{-}advecting$

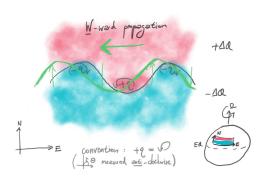


Figure: Rossby wave propagation schematic.

▶ generalisations exist (e.g. internal gravity waves in Harnik et al., 2008, J. Atmos. Sci)

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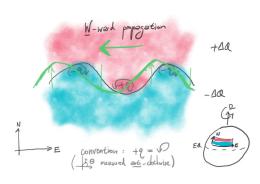


Figure: Rossby wave propagation schematic.

- peneralisations exist (e.g. internal gravity waves in Harnik et al., 2008, J. Atmos. Sci)
- ▶ two such waves interacting? (see Lec. 17)
  - → potential for instabilities

